Algebrai elméletek és induktív típusok specifikációja típuselméleti szignatúrákkal

A Ph.D. disszertáció tézisei

Szerző:

Kovács András

Témavezető:

Kaposi Ambrus

Eötvös Loránd Tudományegyetem Informatika Doktori Iskola

Doktori iskola vezetője: Csuhaj-Varjú Erzsébet

Doktori program: Az informatika alapjai és módszertana

Programvezető: Horváth Zoltán



2021 szeptember

1 Bevezető

A tézis fő célja az, hogy kidolgozza bizonyos típuselméletek használatát algebrai elméletek és induktív típusok leírásához. Minden ilyen típuselméletben a típuskörnyezeteket értelmezzük algebrai szignatúraként, ami felsorolja egy algebrai elmélet szortjait, műveleteit és egyenleteit.

A függő típuselméletek kifejezőereje nagyban elősegíti a tömör és általános specifikációkat, és lehetővé teszi, hogy a szigatúrák szemantikáját és metaelméletét olyan eszközökkel viszgáljuk, amelyek korábbról ismertek a típuselméletben.

Három szignatúra-elméletet mutatunk be. Mindhárom esetben lehetőség van az elméletek kisebb változtatásaira.

A jelenlegi kutatás kiegészíti és általánosítja az induktív szignatúrák korábbi irodalmát a típuselmélet kontextusában. A kutatásunk egyik fontos motivációja az volt, hogy nagy kifejezőerejű induktív típusokat fejlesszünk jövőbeli tételbizonyítórendszerekhez. Ebből kifolyólag a szignatúráink szintaxisa és szemantikája közel van ahhoz, ami praktikus rendszerekben lenne szükséges. Ugyanakkor az eredményeink felhasználhatók általánosabb matematikai kontextusban, az algebrai elméletek kutatásában.

2 Contributions

A fő eredményeket a következőkben foglaljuk össze.

Thesis 1

In Chapter 3 we describe a way to use two-level type theory [ACKS19] as a metalanguage for developing semantics of algebraic signatures. This makes it possible to work in a concise internal notation of a type theory, and at the same build semantics internally to arbitrary structured categories. For example, the signature for natural number objects can be interpreted in any category with finite products.

Thesis 2

We present syntax and semantics for finitary quotient inductive-inductive (FQII) signatures in Chapter 4 of the thesis. These are close in expressive power to Cartmell's generalized algebraic theories [Car86], but differ in formalization and what kind of semantics results and constructions are built around them.

- FQII signatures can describe most type theories in the wild, thus providing a model theory for them through the semantics of signatures.
- The theory of FQII signatures is specified compactly as a type theory, and it is itself amenable to algebraic specification.
- For each signatures a finitely complete category of algebras is given. This category is presented as a cwf (category with families, see [CCD19]) with certain type formers, which makes it possible to exactly compute notions of induction. We show that induction is equivalent to initiality in each category of algebras.
- We show that initial algebras can be constructed from the syntax of FQII signatures, by a term algebra construction. In turn, we show that certain fragments of the syntax of FQII signatures can be reduced to basic type formers, thereby reducing some of the initial algebras to basic type formers.
- We show that substitutions of signatures can be viewed as model constructions, being functors between categories of algebras in the semantics. Additionally, under the assumption that initial FQII-algebras exist, every such functor has a left adjoint.

Thesis 3

In Chapter 5, we modify FQII signatures to obtain infinitary quotient inductiveinductive signatures. This allows us to describe infinitely branching trees as initial algebras.

• Real numbers, surreal numbers, ordinals and the cumulative hierarchy of sets [Uni13] can be now specified using signatures.

- Additionally, theories of FQII and infinitary QII signatures can be themselves described with infinitary QII signatures. This self-description can be utilized to bootstrap the metatheory of theories of signatures, starting from minimal assumptions.
- The semantics of signatures is extended to include *iso-fibrancy* of signature types; this means that every construction in the theory of signatures respects isomorphisms of described algebras.
- We adapt constructions of term algebras and left adjoint functors to the current setting.
- We show that signatures have semantic interpretation internally to the theory of signatures itself. This implies, in particular, that for each signature, the notion of algebra morphisms can be still specified with a signature.

Thesis 4

In Chapter 6, we describe higher inductive-inductive signatures. These differ from the previous signatures mostly in their intended semantics, whose context is now homotopy type theory [Uni13], and which allows specified equalities to be proof-relevant. The higher-dimensional generalization of types and equalities makes semantics more complicated, so we only present enough semantics to specify notions of initiality and induction for each signature. Additionally, we consider two different notions of algebra morphisms: one preserves structure strictly (up to definitional equality), while the other preserves structure up to paths.

3 Publications

The above contributions build on and extend the following previous publications, all coauthored by the thesis' author.

1. A Syntax for Higher Inductive-Inductive Types [KK18].

- 2. Signatures and Induction Principles for Higher Inductive-Inductive Types [KK20a].
- 3. Constructing Quotient Inductive-Inductive Types [KKA19].
- 4. Large and Infinitary Quotient Inductive-Inductive Types [KK20b].
- 5. For Finitary Induction-Induction, Induction is Enough [KKL19].

References

- [ACKS19] Danil Annenkov, Paolo Capriotti, Nicolai Kraus, and Christian Sattler. Two-level type theory and applications. *ArXiv e-prints*, may 2019. URL: http://arxiv.org/abs/1705.03307.
- [Car86] John Cartmell. Generalised algebraic theories and contextual categories. Annals of Pure and Applied Logic, 32:209–243, 1986.
- [CCD19] Simon Castellan, Pierre Clairambault, and Peter Dybjer. Categories with families: Unityped, simply typed, and dependently typed. CoRR, abs/1904.00827, 2019. URL: http://arxiv.org/abs/1904.00827, arXiv:1904.00827.
- [KK18] Ambrus Kaposi and András Kovács. A Syntax for Higher Inductive-Inductive Types. In Hélène Kirchner, editor, 3rd International Conference on Formal Structures for Computation and Deduction (FSCD 2018), volume 108 of Leibniz International Proceedings in Informatics (LIPIcs), pages 20:1–20:18, Dagstuhl, Germany, 2018. Schloss Dagstuhl-Leibniz-Zentrum fuer Informatik. URL: http://drops.dagstuhl.de/opus/volltexte/2018/9190, doi:10.4230/LIPIcs.FSCD.2018.20.
- [KK20a] Ambrus Kaposi and András Kovács. Signatures and induction principles for higher inductive-inductive types. *Log. Methods Comput. Sci.*, 16(1), 2020. doi:10.23638/LMCS-16(1:10)2020.

- [KK20b] András Kovács and Ambrus Kaposi. Large and infinitary quotient inductive-inductive types. In Holger Hermanns, Lijun Zhang, Naoki Kobayashi, and Dale Miller, editors, LICS '20: 35th Annual ACM/IEEE Symposium on Logic in Computer Science, Saarbrücken, Germany, July 8-11, 2020, pages 648-661. ACM, 2020. doi:10.1145/3373718.3394770.
- [KKA19] Ambrus Kaposi, András Kovács, and Thorsten Altenkirch. Constructing quotient inductive-inductive types. Proc. ACM Program. Lang., 3(POPL):2:1-2:24, 2019. doi:10.1145/3290315.
- [KKL19] Ambrus Kaposi, András Kovács, and Ambroise Lafont. For finitary induction-induction, induction is enough. In Marc Bezem and Assia Mahboubi, editors, 25th International Conference on Types for Proofs and Programs, TYPES 2019, June 11-14, 2019, Oslo, Norway, volume 175 of LIPIcs, pages 6:1–6:30. Schloss Dagstuhl Leibniz-Zentrum für Informatik, 2019. doi:10.4230/LIPIcs.TYPES.2019.6.
- [Uni13] The Univalent Foundations Program. Homotopy Type Theory: Univalent Foundations of Mathematics. https://homotopytypetheory.org/book, Institute for Advanced Study, 2013.