

Block header:

$$b_k.header = \langle H(b_{k-1}.header), k, iter \rangle$$

Block:

$$b_k = \langle \langle H(b_{k-1}.header), k, iter \rangle, txs \rangle$$

Notarized Block:

$$b_k = \langle \langle H(b_{k-1}.header), k, iter \rangle, txs, cert \rangle$$

Blockchain:

$$\mathcal{B} = \{ \langle \langle H(b_{k-1}.header), k, iter \rangle, txs, cert \rangle \}_{k=0}^{|\mathcal{B}|}$$

$$\text{extendable}(b): b_{|\mathcal{B}|}.k = b.k - 1 \wedge b.hash = H(b_{|\mathcal{B}|}.header) \wedge b_{|\mathcal{B}|}.iter < b.iter$$

$$\text{validCertificate}(cert, header): |\{j \mid \langle -, - \rangle, \langle h \rangle_j \in cert, h = header\}| \geq n - f$$

Note: For simplicity when referring to $b_k.header.iter$ we use $b_k.iter$ instead for abbreviation.

Algorithm 1 Practical Simplex – replica i .

```
task start()
1:  $iter \leftarrow 1$ 
2: trigger newIteration( $iter$ )

task handleNotarization( $header, cert, txs, it$ )
3: notarize( $header, cert, txs$ )
4: if  $\neg isTimeout$ 
5:   broadcast  $\langle \text{FINALIZE}, it \rangle_i$ 
6:   send  $\langle \text{STATE}, header, cert \rangle_i$  to everyone except  $i$ 
7:   trigger newIteration( $it + 1$ )

upon newIteration( $h$ )
8:  $iter \leftarrow h$ 
9: resetTimer( $timer$ )
10:  $isTimeout \leftarrow false$ 
11: if  $i = \text{leader}(h)$ 
12:    $b \leftarrow \langle \langle H(b_{|\mathcal{B}|}.header), b_{|\mathcal{B}|}.k + 1, h \rangle, txs \rangle$ 
13:   broadcast  $\langle \text{PROPOSE}, b \rangle_i$ 

upon expiring  $timer$ 
14: stopTimer( $timer$ )
15:  $isTimeout \leftarrow true$ 
16: broadcast  $\langle \text{TIMEOUT}, iter + 1 \rangle_i$ 

upon receiving  $\langle \text{PROPOSE}, b \rangle_j$ 
17: pre:  $proposes[b.iter] = \perp \wedge j = \text{leader}(b.iter) \wedge b.iter = iter \wedge \neg isTimeout$ 
18:  $proposes[iter] \leftarrow b$ 
19: if extendable( $b$ )
20:   broadcast  $\langle \text{VOTE}, h, \langle b.header \rangle_i \rangle$ 

upon receiving  $\{ \langle \text{VOTE}, h, \langle header \rangle_j \rangle : j \in Q \} = V$  from a quorum  $Q$ 
21: pre:  $h = iter \wedge proposes[h] \neq \perp$ 
22: handleNotarization( $header, \{ \langle header \rangle_j \mid \langle -, -, \langle header \rangle_j, -, - \rangle \in V \}, proposes[h].txs, iter$ )

upon receiving  $\{ \langle \text{FINALIZE}, iter \rangle_j : j \in Q \}$  from a quorum  $Q$ 
23: if  $\exists b \in \mathcal{B}, b.iter = iter$ 
24:   finalize( $iter$ )
25: else
26:   send  $\langle \text{REQUEST}, |\mathcal{B}| \rangle_i$  to one  $j \in Q$ 

upon receiving  $\{ \langle \text{TIMEOUT}, nextIter \rangle_j : j \in Q \}$  from a quorum  $Q$ 
27: pre:  $nextIter = iter + 1$ 
28: trigger newIteration( $nextIter$ )

upon receiving  $\langle \text{STATE}, header, cert \rangle_j$ 
29: pre:  $h > |\mathcal{B}| \wedge \text{validCertificate}(cert, header)$ 
30: send  $\langle \text{REQUEST}, |\mathcal{B}| \rangle_i$  to  $j$ 

upon receiving  $\langle \text{REQUEST}, h \rangle_j$ 
31: pre:  $h < |\mathcal{B}|$ 
32: send  $\langle \text{REPLY}, \{ \langle b_k.header, b_k.cert, b_k.txs \rangle \}_{k=h}^{|\mathcal{B}|} \rangle_i$  to  $j$ 

upon receiving  $\langle \text{REPLY}, \mathcal{M} = \{ \langle b_k.header, b_k.cert, b_k.txs \rangle \}_{k=|\mathcal{B}|+1}^h \rangle_j$ 
33: pre:  $h > |\mathcal{B}| \wedge \forall \langle header, cert, - \rangle \in \mathcal{M}, \text{validCertificate}(cert, header)$ 
34: for each  $\langle header, cert, txs \rangle \in \mathcal{M}$ 
35:   handleNotarization( $header, cert, txs, header.iter$ )
```

Algorithm 2 Probabilistic Practical Simplex – replica i .

```
task start()
1:  $iter \leftarrow 1$ 
2: trigger newIteration( $iter$ )

task handleNotarization( $header, cert, txs, it$ )
3: notarize( $header, cert, txs$ )
4: if  $\neg isTimeout$ 
5:    $S_f, P_f \leftarrow \text{VRF\_prove}(K_{p,i}, it \parallel \text{"finalize"}, o \times q)$ 
6:   send  $\langle \text{FINALIZE}, it, S_f, P_f \rangle_i$  to  $S_f$ 
7: trigger newIteration( $it + 1$ )

upon newIteration( $h$ )
8:  $iter \leftarrow h$ 
9: resetTimer( $timer$ )
10:  $isTimeout \leftarrow false$ 
11: if  $i = \text{leader}(h)$ 
12:    $b \leftarrow \langle \langle H(b_{|\mathcal{B}|}.header), b_{|\mathcal{B}|}.k + 1, h \rangle, txs \rangle$ 
13:   broadcast  $\langle \text{PROPOSE}, b, b_{|\mathcal{B}|}.cert, b_{|\mathcal{B}|}.iter \rangle_i$ 

upon expiring  $timer$ 
14: stopTimer( $timer$ )
15:  $isTimeout \leftarrow true$ 
16: broadcast  $\langle \text{TIMEOUT}, iter + 1 \rangle_i$ 

upon receiving  $\langle \text{PROPOSE}, b, cert, it \rangle_j$ 
17: if  $proposes[b.iter] = \perp \wedge j = \text{leader}(b.iter)$ 
18:    $proposes[b.iter] \leftarrow b$ 
19:   if  $b.iter > iter \wedge proposes[it] \wedge \text{validCertificate}(cert, proposes[it].header)$ 
20:     handleNotarization( $proposes[it].header, cert, proposes[it].txs, it$ )
21:   if  $b.iter > iter \wedge \neg proposes[it]$ 
22:     send  $\langle \text{REQUEST}, |\mathcal{B}| \rangle_i$  to  $j$ 
23:   pre:  $b.iter = iter \wedge \text{extendable}(b) \wedge \neg isTimeout$ 
24:    $S_v, P_v \leftarrow \text{VRF\_prove}(K_{p,i}, iter \parallel \text{"vote"}, o \times q)$ 
25:   send  $\langle \text{VOTE}, iter, \langle b.header \rangle_i, S_v, P_v \rangle$  to  $S_v$ 

upon receiving  $\{ \langle \text{VOTE}, h, \langle header \rangle_j, S, P \rangle : j \in Q \} = V$  from a probabilistic quorum  $Q$ 
26: pre:  $h = iter \wedge proposes[h] \neq \perp \wedge \forall \langle \neg, \neg, S, P \rangle_j \in V : i \in S \wedge$   

 $\text{VRF\_verify}(K_{u,j}, iter \parallel \text{"vote"}, o \times q, S, P)$ 
27: handleNotarization( $header, \{ \langle header \rangle_j \mid \langle \neg, \neg, \langle header \rangle_j, \neg, \neg \rangle \in V \}, proposes[h].txs, iter$ )

upon receiving  $\{ \langle \text{FINALIZE}, iter, S, P \rangle_j : j \in Q \} = F$  from a probabilistic quorum  $Q$ 
28: pre:  $\forall \langle \neg, \neg, S, P \rangle_j \in F : i \in S \wedge \text{VRF\_verify}(K_{u,j}, iter \parallel \text{"finalize"}, o \times q, S, P)$ 
29: if  $\exists b \in \mathcal{B}, b.iter = iter$ 
30:   finalize( $iter$ )

upon receiving  $\langle \text{TIMEOUT}, nextIter \rangle_j$  from  $j$  for the first time
31: if  $\exists b \in \mathcal{B}, b.iter = nextIter - 1$ 
32:   send  $\langle \text{REPLY}, \{ \langle b.header, b.cert, b.txs \rangle \}_i$  to  $j$ 

upon receiving  $\{ \langle \text{TIMEOUT}, nextIter \rangle_j : j \in Q \}$  from a quorum  $Q$ 
33: pre:  $nextIter = iter + 1$ 
34: trigger newIteration( $nextIter$ )

upon receiving  $\langle \text{REQUEST}, h \rangle_j$ 
35: pre:  $h < |\mathcal{B}|$ 
36: send  $\langle \text{REPLY}, \{ \langle b_k.header, b_k.cert, b_k.txs \rangle \}_{k=h}^{|\mathcal{B}|} \rangle_i$  to  $j$ 

upon receiving  $\langle \text{REPLY}, \mathcal{M} = \{ \langle b_k.header, b_k.cert, b_k.txs \rangle \}_{k=|\mathcal{B}|+1}^h \rangle_j$ 
37: pre:  $h > |\mathcal{B}| \wedge \forall \langle header, cert, \_ \rangle \in \mathcal{M}, \text{validCertificate}(cert, header)$ 
38: for each  $\langle header, cert, txs \rangle \in \mathcal{M}$ 
39:   handleNotarization( $header, cert, txs, header.iter$ )
```
