





## Mobile Iris CHallenge Evaluation II: results from the ICPR competition

Modesto Castrillón-Santana
Universidad de Las Palmas de Gran Canaria,
Spain
modesto.castrillon@ulpgc.es

Michele Nappi University of Salerno. Italy mnappi@unisa.it



Maria De Marsico Sapienza University of Rome, Italy demarsico@di.uniroma1.it

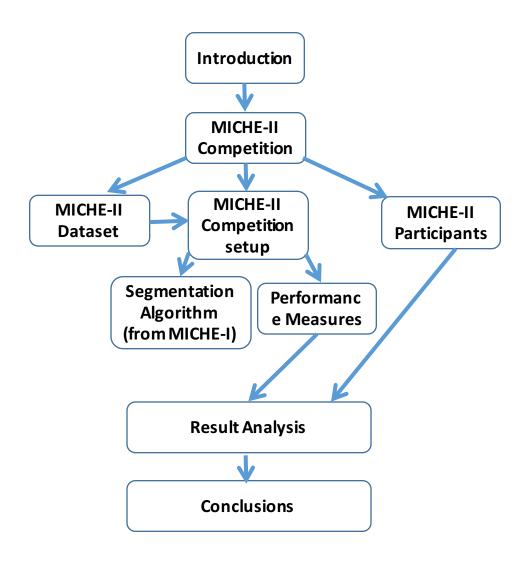
Fabio Narducci
University of Salerno, Italy
fnarducci@unisa.it

Hugo Proença
Universidade da Beira Interior, Portugal
hugocmp@ubi.pt



### **OUTLINE**





### **MOTIVATIONS OF RELATED RESEARCH**



#### Increasing popularity of mobile biometrics















**Uncontrolled conditions** Non-technical users Lower computational resources



### WHY MICHE-II



#### CASIA DATASET (LATEST VERSION)







CASIA\_INTERVAL

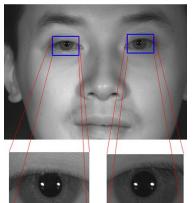


CASIA\_LAMP



Screen Camera





CASIA\_IRISTHOUSANDs



Why not suited for mobile testing?

A few mobile devices at present are equipped with (cheap and easy usable) NIR sensors

### WHY MICHE-II

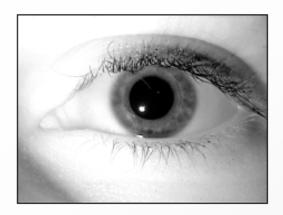


ICE (Iris Challenge Evaluation) COMPETITIONS by NIST

Right Eye



Left Eye



Why not suited for mobile testing?

A few mobile devices at present are equipped with (cheap and easy usable) NIR sensors

### WHY MICHE-II



NICE (Noisy Iris Challenge Evaluation) COMPETITIONS by SOCIA LAB AT BEIRA INTERIOR

DA COMPLETARE



First crucial difference: images in visible light

Second crucial difference: uncontrolled conditions, normal equipment

Why not much suited for mobile testing? Very high image resolution

### **MICHE DATASET**



- Images captured
  - in visible light
  - by «normal» user-level mobile devices



### **MICHE DATASET**



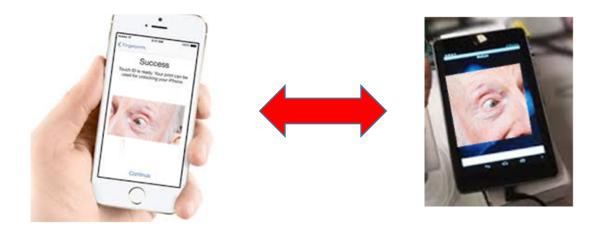
• Images captured by the user in uncontrolled/unattended conditions



### **MICHE DATASET**



Images captured by different devices for cross-device matching



## MICHE II COMPETITION SETUP – COMMON SEGMENTATION



 All participants had to exploit the results of segmentation provided by the best algorithm in MICHE I competition\*

 For all participants, tests were repeated at BIPlab according to the common protocol

<sup>\*</sup>Haindl, M., Krupi cka, M., 2015. Unsupervised detection of non-iris occlusions. Pattern Recognition Letters 57, 60–65.

## MICHE II COMPETITION SETUP – DISTANCE MEASURE



• Participants were to provide any distance measure they deemed suitable for their approach, given that it was a (semi)metric.

$$D: I_a \times I_b \to [0,1] \subset \mathbb{R}$$

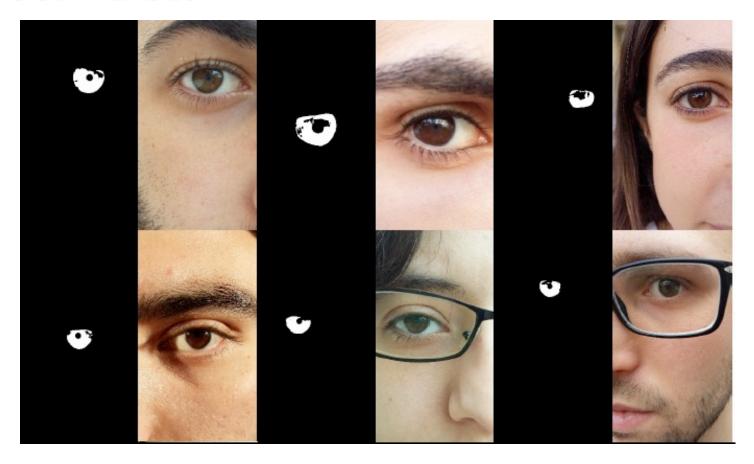
1. 
$$D(I_a, I_a) = 0$$

2. 
$$D(I_a, I_b) = 0 \rightarrow I_a = I_b$$

3. 
$$D(I_a, I_b) = D(I_b, I_a)$$

# MICHE II COMPETITION SETUP – «GOOD» CASES

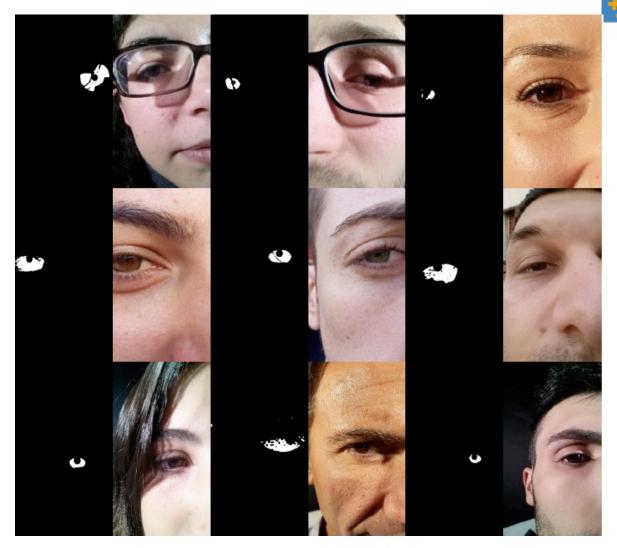




- Good segmentation
- Sufficient (and connected) iris region for matching

MICHE II COMPETITION SETUP -

**«BAD» CASES** 



- Poor segmentation (possible fragments)
- Possibly insufficient iris region for matching

## MICHE II COMPETITION SETUP – PERFORMANCE MEASURES



Identification (1:N) = Recognition Rate (RR)

Verification (1:1) = Area Under (ROC) Curve (AUC)

## MICHE II COMPETITION – PARTICIPANTS



- Algorithms presented in this session
- We adopted a suitable labeling in reporting results:

•	tiger	miche

• karanahujax

• irisom

• FICO matcher

• otsedom

• ccpsiarb

described in Ahmed et al.

described in Ahuja et al.

described in Abate et al.

described in Galdi and Dugelay

described in Aginako-Bengoa et al.

presented in Aginako-Bengoa et al.

• The authors of a further proposal labeled Bata did not provide the details requested for publication, therefore we will not include the corresponding results in the presentation

## MICHE II COMPETITION – PARTICIPANTS



- Participants with more proposals
  - karanahujax
    - Model1: Deep Learning in unsupervised mode
    - Model2: Deep Learning in supervised mode
  - irisom
    - 5×5 and 10×10 refer to the size of the exploited Self Organizing Map (SOM)
  - FICO matcher
    - V1: distance measure considers color, texture and cluster descriptors
    - V2: distance measure only considers color and cluster descriptors
  - ccpsiarb (different combinations of computer vision transformations and machine learning-based classifiers)
    - ccpsiarb 17: Edge transformation + IB1 classifier
    - ccpsiarb 2: Equalize transformation + IB1 classifier
    - ccpsiarb 42: Gaussian transformation + IB1 classifier

## MICHE II COMPETITION – RESULTS FOR SAME-DEVICE MATCHING



Algorithm	GS4 vs GS4				
Algorithm	RR	AUC	Global Score	Time(seconds)	
tiger_miche	1,00	1,00	1,00	1,72	
karanahujax_Model1	0,83	0,97	0,90	5,72	
karanahujax_Model2	0,83	0,95	0,89	4,62	
irisom_10_10	0,77	0,88	0,82	3,45	
otsedom	0,67	0,94	0,80	42,37	
FICO_matcher_V1	0,67	0,89	0,78	1,00	
Irisom_5_5	0,63	0,88	0,75	3,00	
ccpsiarb_42	0,63	0,81	0,72	289,27	
ccpsiarb_17	0,63	0,81	0,72	61,43	
ccpsiarb_2	0,63	0,81	0,72	265,38	
FICO_matcher_V2	0,50	0,79	0,65	0,57	

karanahujax versions achieve comparable results, as well as ccpsiarb

irisom is less stable

the most significant difference in performance is achieved by  ${\tt FICO\_matcher} \ \ {\tt versions}$ 

time was not considered for ranking, but we observe that best methoda also achieve acceptable processing times (single match)

## MICHE II COMPETITION – RESULTS FOR SAME-DEVICE MATCHING



A la a with we	IP5 vs IP5					
Algorithm	RR	AUC	Global Score	Time(seconds)		
tiger_miche	1,00	1,00	1,00	1,71		
karanahujax_Model1	1,00	1,00	1,00	5,63		
karanahujax_Model2	0,93	0,98	0,96	4,69		
FICO_matcher_V1	0,87	0,98	0,92	1,01		
Irisom_5_5	0,87	0,93	0,90	3,05		
irisom_10_10	0,83	0,92	0,88	3,51		
otsedom	0,63	0,92	0,78	41,10		
ccpsiarb_17	0,70	0,85	0,77	54,30		
FICO_matcher_V2	0,57	0,93	0,75	0,57		
ccpsiarb_2	0,63	0,86	0,75	252,84		
ccpsiarb_42	0,63	0,81	0,72	283,39		

karanahujax versions are still consistent, as well as different versions of ccpsiarb

irisom is stable this time (lower resolution = less noise?)

again, the most significant difference in performance is achieved by  ${\tt FICO\_matcher} \ \ {\tt versions}$ 

as for time, the same observation regarding the best methods hold, but it is worth observing the speed of FICO matcher V2

# MICHE II COMPETITION – RESULTS FOR CROSS-DEVICE MATCHING (HARDEST)



A la arithm	All vs ALL					
Algorithm	RR	AUC	Global Score	Time(seconds)		
tiger_miche	1,00	0,99	0,99	1,71		
karanahujax_Model2	0,92	0,86	0,89	4,65		
karanahujax_Model1	0,88	0,76	0,82	5,68		
irisom_10_10	0,80	0,78	0,79	3,48		
otsedom	0,63	0,93	0,78	41,74		
Irisom_5_5	0,75	0,79	0,77	3,03		
FICO_matcher_V1	0,73	0,80	0,77	1,00		
ccpsiarb_17	0,68	0,83	0,75	57,64		
ccpsiarb_2	0,65	0,82	0,74	259,27		
ccpsiarb_42	0,65	0,81	0,73	286,20		
FICO_matcher_V2	0,48	0,73	0,61	0,57		

karanahujax versions are still consistent, as well as different versions of ccpsiarb

irisom is quite stable

the most significant difference in performance is achieved by FICO matcher versions

as for time, the same observation regarding the best methods hold, but it is worth observing the speed of FICO matcher V2

# MICHE II COMPETITION – SUMMARY RESULTS (ALL VERSIONS)



Rank	Algorithm	ALLvsALL	GS4vsGS4	lp5vslP5	Final Score
1	tiger_miche	0,99	1,00	1,00	1,00
2	karanahujax_Model2	0,89	0,89	0,96	0,91
3	karanahujax_Model1	0,82	0,90	1,00	0,91
4	Raja	0,82	0,95	0,83	0,86
5	irisom_10_10	0,79	0,82	0,88	0,83
6	FICO_matcher_V1	0,77	0,78	0,92	0,82
7	lrisom_5_5	0,77	0,75	0,90	0,81
8	otsedom	0,78	0,80	0,78	0,79
9	ccpsiarb_17	0,75	0,72	0,77	0,75
10	ccpsiarb_2	0,74	0,72	0,75	0,74
11	ccpsiarb_42	0,73	0,72	0,72	0,73
12	FICO_matcher_V2	0,61	0,65	0,75	0,67

most methods achieve better results with IP5vsIP5 (lower resolution = less noise?)

## MICHE II COMPETITION – FINAL RESULTS



Rank	Algorithm	ALLvsALL	GS4vsGS4	lp5vslP5	Final Score
1	tiger_miche	0,99	1,00	1,00	1,00
3	karanahujax_Model2	0,89	0,89	0,96	0,91
4	irisom_10_10	0,79	0,82	0,88	0,83
5	FICO_matcher_V1	0,77	0,78	0,92	0,82
6	otsedom	0,78	0,80	0,78	0,79
7	ccpsiarb_17	0,75	0,72	0,77	0,75

we choose karanahujax\_Mode2 instead of karanahujax\_Model (they got the same final score) since it has a more stable behaviour across operational settings, and also achieves better results in cross-device matching

### **FINAL OBSERVATIONS**



- In general, methods presented in different versions are quite stable across test coditions, except for FICO\_matcher
- The best metods also generally require less computational time per single match
- The better the ranking achieved, the more stable the method with respect to the test setting
- All methods provide consistently lower performances in ALLvsALL condition.
- On the average, the images over which the best results are achieved in same-device settings come from IP5 (mean value= 0,89), and the achieved results further present a lower standard deviation (0,086). Lower resolution = less noise?

	ALLvsALL	GS4vsGS4	lp5vslP5
Average Global Score achieved by proposed algorithms	0,85	0,87	0,89
Variance of Global Score achieved by proposed algorithms	0,090596	0,0943836	0,0865148

### **Conclusions**



- The performance achieved by the methods participating in MICHE-I were not comparable to other investigation performed so far on iris recognition.
- However, the best methods participating in MICHE-II, focusing on feature extraction and recognition only, achieve extremely promising results.
- The most interesting aspect is that images were acquired in uncontrolled conditions and in visible light, that are widely recognized as extremely adverse conditions.
- On the other hand, most mobile devices are equipped with high-resolution RGB cameras, and not with Near Infrared (NIR) sensors that would allow better accuracy.
- Results suggest that it is worth further exploring possible improvements of the available techniques.











### THANK YOU!