# ISW2 Project A.A. 2019-2020

Andrea Graziani (0273395) andrea.graziani93@outlook.it Università degli Studi di Roma "Tor Vergata" Rome, Italy

#### **KEYWORDS**

Testing, Equivalence Class Partitioning, Boundary Value Analysis, Mutation Testing

#### 1 INTRODUCTION

In this report we will describe all results and issues about the utilization of several *testing techniques* involving following open source projects:

**Apache BookKeeper™** Which is a scalable, fault tolerant and low latency storage service<sup>1</sup>.

**Apache OpenJPA**<sup>TM</sup> An implementation of the Java Persistence API specification $^2$ .

To be more precise, the aim of this report is to analyse 2 classes of each aforementioned project, attempting both to find if there are any errors in it and to increase our confidence in the correct functioning of the **software under test (SUT)**, although the completeness of our testing does not necessarily demonstrate that these classes are error free.

## 1.1 Class selection

To select classes for our testing activities, we have mainly relied on results elaborated by our **defect prediction model**, developed using **Weka** <sup>3</sup> machine learning software, using a *random forests* classifier without *sampling* or *feature selection*.

Particularly, we have focused on classes marked as *defective* (or *buggy*) by our predictor because it is likely that they exhibit a defect observable by our test sets. As known, this approach is able to *reduce the cost of testing*, by letting to focus on specific classes, ignoring stable ones [5].

In particular, for our testing activities involving Apache Book-Keeper™, we have choose following classes:

 $\label{eq:DefaultEnsemblePlacementPolicy} \begin{tabular}{l} $^4$ Because it has been marked as defective by our prediction model and, as we will see later, our testing activity has confirmed that prediction. \end{tabular}$ 

**DiskChecker** <sup>5</sup> Although it has not been marked as defective, we have choose this class due to its relative high LOC value (294), high number of revisions (11) and high number of authors (9). Despite its presumed stability, our testing activity has found several defects inside that class.

Regarding Apache OpenJPA<sup>TM</sup>, we have canalised following classes:

**SimpleRegex** <sup>6</sup> Marked as defective and, fortunately, our testing activity has discovered many defects.

**ClassUtil** <sup>7</sup> Not been marked as defective.

We have choose this class due to its relative high LOC value (213) and, primarily, owing to age (214 weeks) which, compared to the average age of all project's classes (661.72 weeks), we believe being very low.

Generally, younger classes belonging to latest releases can be affected by dormant (not discovered) defects[5].

Our testing activity has found very few defects inside that class

# 1.2 Testing technique

In order to build our test set, we have adopted a testing technique called *equivalence class partitioning*, according to which the domain of possible input data for each input data element is divided into **equivalence classes**; an equivalence class is a set of data values that the tester assumes are processed in the same way by the test object [8].

Equivalence class definition was been mainly based on *specifications* of our test objects using, therefore, a *black-box* approach; however, sometimes, due to lacking of detailed specifications, we have performed our analysis looking the code too, using conversely a **while-box** approach.

For any equivalence classes is important to find a **representative**. As known, testing one representative of the equivalence class is considered sufficient because it is assumed that for any other input value of the same equivalence class, the test object will show the same reaction or behaviour [8]. Besides equivalence classes for *correct* input, those for *incorrect* input values must be tested as well.

To guarantee that all test object reactions are triggered, we have combined representative values using following rules:

- (1) The representative value of all valid equivalence classes have been combined to test cases (valid/positive test case), meaning that all possible combinations of valid equivalence classes will be covered.
- (2) The representative value of an invalid equivalence class have been combined only with representatives of other valid equivalence classes (*invalid/negative test case*).

Moreover, we have adopted following guidelines[8]:

- Test cases including boundary values combinations are preferred.
- (2) Every representative of an equivalence class appears in at least one test case.
- (3) Representatives of invalid equivalence classes should not be combined with representatives of other invalid equivalence classes.

<sup>&</sup>lt;sup>1</sup>https://github.com/apache/bookkeeper

<sup>&</sup>lt;sup>2</sup>https://github.com/apache/openjpa

<sup>3</sup>https://www.cs.waikato.ac.nz/~ml/weka/

 $<sup>^4 {</sup>m org}$  apache.bookkeeper.client.DefaultEnsemblePlacementPolicy

<sup>&</sup>lt;sup>5</sup>org.apache.bookkeeper.util.DiskChecker

 $<sup>^6 {</sup>m org.apache.openjpa.lib.util.SimpleRegex}$ 

 $<sup>^7 {</sup>m org.apache.openjpa.lib.util.ClassUtil}$ 

#### 1.2.1 Notation.

Before proceeding with our analysis, it's important to make some clarifications.

For all analysed class, we have developed several test sets, every of which is denoted as  $T_x$  with  $x = \{1, 2, 3, ...\}$ .

Moreover, is true that:

$$\forall x, y \in \mathbb{Z}^+ : y > x \Rightarrow T_x \subset T_y \tag{1}$$

Above statement states that  $T_y$  contains all test cases developed for  $T_x$  including some more. Is important to precise that  $T_y$  represents an "improved" version of  $T_x$  because, running  $T_y$ , we can achieve better results respect to our adequacy criteria. The aim of that notation is to highlight the improving of our test sets during various step of our testing activity.

In particular, we have used  $T_1$  to denote the initial test set developed during classes equivalence identification step. All improved test sets, denoted as  $T_2$ ,  $T_3$ ,  $T_4$  etc., were been developed during both mutation analysis and during the improving of our results related to our adequacy criteria. For each improved test set, we will indicate which method was added or changed.

#### 1.2.2 Existent test set removal.

As request, we have removed all existing tests inside each aforementioned project.

To do that, we have simply exploited following bash command, invoked at the root directory of the each project:

## 2 APACHE BOOKKEEPER™

# 2.1 DefaultEnsemblePlacementPolicy class testing analysis

What are responsibilities of DefaultEnsemblePlacementPolicy class? What meant by ensemble? And what for placement policy?

According to bookkeeper specifications[1], an **ensemble** represents a group of **bookies** storing **entries**. To be more precise, according to bookkeeper's nomenclature, a **bookie** is an individual storage server while **entries** represent stored data, therefore an ensemble of size *E* represents simply a group of storage servers.

The aim of this design is to guarantee **consistency** in an ensemble of bookies of all stored data exploiting a **quorum-based replicated-write** protocol. As known, to support replicated writes at multiple replicas of a file, a client must first contact at least half the servers plus one (a majority) and get them to agree to do the update[9]. Technically, in order to modify a file, a client needs to assemble the so called **write quorum**, that is an arbitrary collection of servers which must be more than the half of all available servers[9]. Therefore, using bookkeeper's nomenclature, the size of write quorum  $Q_w$  represents the number of bookies where each entry is written.

According to bookKeeper protocol[3], the following invariant must hold:

$$E \geqslant Q_w \geqslant Q_a \tag{2}$$

In other word, the ensemble size E must be larger than the write quorum size  $Q_w$ , which must in turn be larger than the so called

ack quorum size  $Q_a$ , which represents, instead, the number of nodes an entry must be acknowledged on.

BookKeeper uses several algorithms to selects a number of bookies from a cluster to build an ensemble compliant to above specifications, some of which are capable to exploit several network topology proprieties too.

According to BookKeeper design, the implementations of these algorithm must be compliant to EnsemblePlacementPolicy interface [4]; in other words, any implementation must respect a specific *contract*, established by aforementioned interface, which covers aspects related to initialization and bookie selection for data placement and reads[4].

Currently there are 3 implementations available by default. They are:

- DefaultEnsemblePlacementPolicy
- RackawareEnsemblePlacementPolicy
- RegionAwareEnsemblePlacementPolicy

In particular, DefaultEnsemblePlacementPolicy class encapsulates the simplest algorithm for bookie selection for ensemble creation because it, simply, picks bookies randomly in order to build an ensemble.

## 2.1.1 Methods testing analysis.

#### 2.1.1.1 initialize.

According to documentation, after the creation of an Default-EnsemblePlacementPolicy object's instance, bookKeeper client have to call initialize method, which signature is reported in **listing 1**, in order to initialize the placement policy.

Observing method's signature and documentation, we note that several complex resources, passed as parameter, are necessary to invoke this method, however, observing method's implementation, only one of them is effectively used, simplifying our testing activity.

In fact, only conf parameter, representing a ClientConfiguration object, used to contain client configuration necessary for bookKeeper client construction, is effectively used.

Moreover, only following methods, belonging ClientConfiguration class, using conf parameter, are invoked in initialize method:

- getDiskWeightBasedPlacementEnabled
- getBookieMaxWeightMultipleForWeightBasedPlacement

Therefore, in order to test initialize method, we have choose to use a **mock**. As known, a mock is a special-purpose replacement class that mimic behaviour of real objects in controlled ways, for example returning values to the calling component. As known, the use of mock is very useful during testing activity of incomplete portions of software because you have not to to wait for their completion to start your test activity.

Oblivious, we have use  $Mockito\ framework^8$  to manage conf parameter's return values.

All valid and invalid equivalence classes, indicated as vEC and iEC respectively, are reported in **table 1**. We have developed 2 valid test cases and 1 negative test case. All test passed.

## Listing 1: Signature of method initialize

 $\begin{tabular}{ll} Ensemble Placement Policy & initialize (Client Configuration & conf, \\ \end{tabular}$ 

<sup>&</sup>lt;sup>8</sup>https://site.mockito.org/

```
Optional<DNSToSwitchMapping> optionalDnsResolver,
HashedWheelTimer hashedWheelTimer,
FeatureProvider featureProvider,
Statslogger statslogger)
```

#### 2.1.1.2 newEnsemble.

Documentation [4] reports that newEnsemble method is used to build an ensemble made up of several bookies. Method signature, shown below in **listing 2**, reports that it takes up to 5 parameters and return an ensemble which is represented by a List<BookieSocket-Address> object.

#### Listing 2: Signature of method newEnsemble

The meaning of all parameter is:

**ensembleSize** represents the ensemble size.

writeQuorumSize the value of write quorum size.

ackQuorumSize the value of ack quorum Size.

customMetadata user meta-data.

**excludeBookies** a collection of bookies that should not be considered as targets for the new ensemble.

Is very important to precise that, in order to build an ensemble, bookies are picked up from a set called knownBookies, which can be populated invoking onClusterChanged method (which we will describe following).

Moreover, please note that we will use K to represent the set of BookieSocketAddress objects of knownBookies, while E, conversely, will be used to refer to the set of BookieSocketAddress objects belonging to excludeBookies.

All valid and invalid equivalence classes are reported in **table 2**. For this method, we have developed  $2 \times 1 \times 1 \times 1 \times 2 = 4$  valid test cases, by combining the representatives of the equivalence classes, and 2+1+1+3=7 negative test cases, by separately testing representatives of every invalid class, with a total of 11 test cases. However, every test case was been executed twice because, as said previously, two different forms of initialization of DefaultEnsemblePlacementPolicy object exist (see initialize method); therefore, we have executed a total of 22 tests; of these, 8 failed.

#### 2.1.1.3 onClusterChanged.

onClusterChanged method is used to update the view of the cluster, that is to specify what bookies are available as *writeable* and what bookies are available as *read-only*; this operation is necessary to populate, or update, knownBookies set which, as already said, is used to pick up bookies during newEnsemble invocation. According to documentation, onClusterChanged should be invoked when any changes happen in the cluster, returning a list of *failed* (or *dead*) bookies during this cluster change.

Signature is reported in **listing 3**.

Listing 3: Signature of method onClusterChanged

```
Set<BookieSocketAddress> onClusterChanged(Set<BookieSocketAddress> writableBookies, Set<BookieSocketAddress> readOnlyBookies)
```

All valid and invalid equivalence classes are reported in table 3.

Combining the representatives of the equivalence classes, we have developed are  $2 \times 2 = 4$  valid test cases and 3 + 3 = 6 negative test cases, by separately testing representatives of every invalid class. Therefore, we have a 10 distinct tests and, since we have to run each test twice, we have a total of 20 test cases; of these, 8 failed.

#### 2.1.1.4 updateBookieInfo.

According to DefaultEnsemblePlacementPolicy interface's documentation [4], updateBookieInfo is used to update bookie info details, taking only one input parameter, a Map<BookieSocketAddress, BookieInfo> object.

Please note that we will use *K* to represent the set of the *keys* (or *indexes*) of the dictionary bookieInfoMap, that is the set of all BookieSocketAddress objects used as indexes. Conversely, *V* will be used to refer to the set of the *values* of the dictionary, made up of BookieInfo objects.

Signature is reported in **listing 4**, while equivalence classes are shown in **table 4**. In this case, we have developed are 2 valid test cases and 4 negative test cases. Therefore, we have 6 distinct tests and, since we have to run each test twice, we have a total of 12 test cases; of these, 3 failed.

#### Listing 4: Signature of method updateBookieInfo

void updateBookieInfo(Map<BookieSocketAddress,BookieInfo> bookieInfoMap)

### 2.1.1.5 replaceBookie.

Documentation reports that replaceBookie is choose randomly a bookie form knownBookies set, if available, in order to replace it with a bookie passed as parameter.

Observing method's implementation and its signature, the latter reported in **listing 5**, it is easy to notice a similarity with newEnsamble the method, described previously. Despite very little differences, equivalence classes of both methods are, practically, the same; please see **table 5**.

Listing 5: Signature of method replaceBookie

#### 2.1.1.6 Other methods.

To be precise, DefaultEnsemblePlacementPolicy class contains many other methods not analysed in this report because either they does nothing or they return always the same value regardless input parameters.

Those methods are:

```
uninitalize This method does nothing.
registerSlowBookie This method does nothing too.
isEnsembleAdheringToPlacementPolicy Return always Place-
mentPolicyAdherence.MEETS_STRICT regardless input pa-
rameters.
```

reorderReadSequence Returns always a WriteSet object which is passed as parameter.

Parameter	Equivalence Classes	Representatives
conf		(see the code)
	A not-null ClientConfiguration object which: $vEC_1 \qquad \bullet \   \text{conf.getDiskWeightBasedPlacementEnabled() = false}$	(see the code)
	iEC <sub>1</sub> null object	null
optionalDnsResolver	$vEC_1$ Any value. optionalDnsResolver is never used or accessed by the class.	null
hashedWheelTimer	$vEC_1$ Any value. hashedWheelTimer is never used or accessed by the class.	null
featureProvider	$vEC_1$ Any value. featureProvider is never used or accessed by the class.	null
statsLogger	$vEC_1$ Any value. statsLogger is never used or accessed by the class.	null

Table 2: Equivalence classes and representatives of newEnsemble method

Parameter	Equivalence Classes	Representatives
ensembleSize	$egin{array}{ll} vEC_1 & {\sf ensembleSize} = 0 \\ vEC_2 & 0 < {\sf ensembleSize} \le  K  -  E  \end{array}$	0  K  -  E
	$iEC_1$ ensembleSize $< 0$	-1
	$iEC_2$ ensembleSize $>  K  -  E $	K  -  E  + 1
writeQuorumSize	$vEC_1$ writeQuorumSize $\leq$ ensembleSize	ensembleSize
•	$iEC_1$ writeQuorumSize > ensembleSize	ensembleSize + 1
ackQuorumSize	$vEC_1$ ackQuorumSize $\leq$ writeQuorumSize	writeQuorumSize
	$iEC_1$ ackQuorumSize > writeQuorumSize	ackQuorumSize+1
customMetadata	$vEC_1$ Any value. customMetadata is never used or accessed by the class.	null
excludeBookies	A not-null Set <bookiesocketaddress> object where: <math>vEC_1</math> • <math> E  = 0</math></bookiesocketaddress>	new HashSet<>()
	A not-null Set <bookiesocketaddress> object where: <math display="block"> 0 &lt;  E  &lt;  K  </math> <math display="block"> vEC_2                                    </math></bookiesocketaddress>	(see the code)
	$iEC_1 \begin{tabular}{ll} A not-null Set < Bookie Socket Address > object where: \\ \bullet &  E  > 0 \\ \bullet & \exists x \in E: x \notin K \end{tabular}$	(see the code)
	A not-null Set <bookiesocketaddress> object where: <math display="block">iEC_2 \qquad \bullet   E  &gt; 0 \\ \bullet  \exists x \in E : x = \text{null}</math></bookiesocketaddress>	(see the code)
	iEC <sub>3</sub> null object	null

Oblivious, for purposes related to our adequacy criteria, we have developed one test case for each above method, passing random values as parameters.

### 2.1.2 Adequacy Criteria.

Is our test set T good enough? Has DefaultEnsemblePlacementPolicy class been tested thoroughly?

In general, test adequacy refers to the *goodness* of a test set, which must be measured against a quantitative criterion. As known, a test adequacy criterion could be based either on *requirements* or the *implementation* of the program under test. Particularly, for all test objects analysed in this report, we have adopted several **white-box** test adequacy criteria, that is depend solely on the implementation of our test objects.

#### 2.1.2.1 Statement Coverage.

The statement coverage of a test set *T* is computed as following[7]:

Statement Coverage = 
$$\frac{|S_c|}{|S_e| - |S_i|}$$
 (3)

## Where:

 $S_c$  is the set of statements covered.

 $S_i$  is the set of unreachable statements.

 $S_e$  is the set of statements in the program.

Let's start with our test set  $T_1$ , that is the test set containing all valid and invalid test cases built during the analysis of the equivalence classes made previously.

According to sonarcloud and JaCoCo reports, our test set  $T_1$  is able to cover up to 72 lines statements out of a total of 82, giving to us a statement coverage equal to 0.963 (96.3%)

To improve statement coverage, we have add following unit test, obtaining test set  $T_2$ :

• additionalTestCase\_1() (TestOnClusterChanged class)

Fortunately, test set  $T_2$  is able to cover up to 81 lines statements out of a total of 82.

However, we are unable to cover all statements because, we believe, in newEnsemble method is present an *unreachable* statements,

Table 3: Equivalence classes and representatives of onClusterChanged method

Parameter	Equivalence Classes	Representatives
writableBookies	An not-null Set <bookiesocketaddress> object where: <math>vEC_1</math> • writableBookies.size() = 0</bookiesocketaddress>	new HashSet<>()
	$vEC_2 \begin{tabular}{ll} A not-null Set < Bookie Socket Address > object where: \\ \bullet writable Bookies.size() > 0 \\ \bullet writable Bookies \cap readOnly Bookies = \emptyset \\ \end{tabular}$	(see the code)
	$A \   \text{not-null Set \text{object where:} \\ iEC_1 \\ \bullet \   \text{writableBookies.size()} > 0 \\ \bullet \   \exists x \in \text{writableBookies} : x \in \text{readOnlyBookies} \\ \end{cases}$	(see the code)
	$A \   \text{not-null Set object where:} \\ iEC_2 \qquad \qquad \bullet \   \text{writableBookies.size()} > 0 \\ \bullet \   \exists x \in \text{writableBookies} : x = \text{null} $	(see the code)
	iEC <sub>3</sub> null object	null
readOnlyBookies	An not-null Set <bookiesocketaddress> object where: <math>vEC_1</math> • readOnlyBookies.size() = 0</bookiesocketaddress>	new HashSet<>()
		(see the code)
	$A \   \text{not-null Set} < BookieSocketAddress} > object \   \text{where:} \\ iEC_1 \qquad \qquad \bullet  \text{readOnlyBookies.size()} > 0 \\ \bullet  \exists x \in \text{readOnlyBookies} : x \in \text{writableBookies} \\ \end{cases}$	(see the code)
	$A \   \text{not-null Set object where:} \\ iEC_2 \qquad \qquad \bullet \   \text{readOnlyBookies.size()} > 0 \\ \bullet \   \exists x \in \text{readOnlyBookies} : x = \text{null} \\ \end{cases}$	(see the code)
	iEC <sub>3</sub> null object	null

Table 4: Equivalence classes and representatives of updateBookieInfo method

Parameter	Equivalence Classes	Representatives
bookieInfoMap	A not-null Map <bookiesocketaddress, bookieinfo=""> object where: <math>vEC_1</math>:  • bookieInfoMap.size() = 0</bookiesocketaddress,>	(see the code)
	$vEC_2: \begin{tabular}{ll} A not-null Map object where: \\ \bullet bookieInfoMap.size()>0 \\ \bullet \ \forall (x,y)\in K\times V\Rightarrow x\neq null, y\neq null \end{tabular}$	(see the code)
	$iEC_1: \begin{tabular}{ll} A not-null Map object where: \\ \bullet bookieInfoMap.size() > 0 \\ \bullet \exists (x,y) \in K \times V : x = null, y \neq null \end{tabular}$	(see the code)
	$iEC_2: \begin{tabular}{ll} A not-null Map object where: \\ \bullet bookieInfoMap.size()>0 \\ \bullet \exists (x,y) \in K \times V: x \neq null, y = null \end{tabular}$	(see the code)
	$iEC_3: \begin{tabular}{ll} A not-null Map object where: \\ \bullet bookieInfoMap.size()>0 \\ \bullet \exists (x,y) \in K \times V: x=null, y=null \end{tabular}$	(see the code)
	$iEC_4$ : null object.	null

because it falls on an *infeasible path*, that is a path that would never be reached by any test set with any type of input data.

Therefore, since  $|S_i| = 1$ , according to **eq. (3)**, statement coverage is equal to 1 (100%).

Since statement coverage of  $T_2$  is 1, we can consider  $T_2$  as adequate with respect to the statement coverage criterion.

2.1.2.2 Decision coverage (Branch decision coverage).

According to [7], a *decision* is considered covered if the flow of control has been diverted to all possible destinations that correspond to this decision, i.e. all outcomes of the decision have been taken.

This implies that, for example, the expression in the if or while statement has evaluated to true in some execution and to false in the same or another execution. Note that each if and each while

Parameter	Equivalence Classes	Representatives
ensembleSize	See table 2	
writeQuorumSize	See table 2	
ackQuorumSize	See table 2	
customMetadata	See table 2	
currentEnsemble	$A \   \text{not-null List object where:} \\ \bullet \   \text{currentEnsemble.size()} > 0 \\ vEC_1 \qquad \bullet \   \forall x \in \text{currentEnsemble} \Rightarrow x \in K \\ \bullet \   \forall x \in \text{currentEnsemble} \Rightarrow x \neq \text{null} \\$	(see the code)
	A not-null List <bookiesocketaddress> object where: <math display="block">iEC_1 \qquad  \bullet  \text{currentEnsemble.size()} = 0</math></bookiesocketaddress>	new ArrayList<>(
	A not-null List <bookiesocketaddress> object where:  • currentEnsemble.size() &gt; 0  • <math>\forall x \in \text{currentEnsemble} \Rightarrow x \neq \text{null}</math>  • <math>\exists x \in \text{currentEnsemble} : x \notin K</math></bookiesocketaddress>	(see the code)
	$iEC_3 \begin{tabular}{ll} A not-null List object where: \\ & \bullet \  \  \  \  \  \  \  \  \  \  \  \  \$	(see the code)
	iEC <sub>4</sub> null object	null
bookieToReplace		(see the code)
	A valid BookieSocketAddress object where:  iEC <sub>1</sub> • bookieToReplace ∉ currentEnsemble • bookieToReplace ≠ null	(see the code)
	iEC <sub>2</sub> null object	null
excludeBookies	See table 2	

Table 5: Equivalence classes and representatives of replaceBookie method

contribute to *one* decision whereas a switch may contribute to more than one [7].

Decision coverage of a test set *T* is computed as following[7]:

**Decision Coverage** = 
$$\frac{|D_c|}{|D_e| - |D_i|}$$
 (4)

Where:

 $D_c$  is the set of decisions covered.

 $D_i$  is the set of unreachable decisions.

 $D_e$  is the set of decisions in the program.

According to our analysis, our test set  $T_2$  is able to cover 14 decisions out of a total of 15. In newEnsemble method, there is an unreachable decisions, therefore, since  $|D_i| = 1$ , our test set  $T_2$  give to us a decision coverage equal to 1 (100%).

Since decision coverage of  $T_2$  is 1, we can consider  $T_2$  as adequate with respect to the decision coverage criterion.

## 2.1.2.3 Condition coverage.

Unlike decision coverage, condition coverage ensures that each simple condition within a *compound* condition has assumed both values true and false[7].

Condition coverage of a test set *T* is computed as following[7]:

Condition Coverage = 
$$\frac{|C_c|}{|C_e| - |C_i|}$$
 (5)

Where:

 $C_e$  the set of simple conditions in the program.

 $C_c$  the set of of simple conditions covered by our test set T.

 $C_i$  the set infeasible simple conditions.

Our test set  $T_2$  is able to cover 33 decisions out of a total of 34. Unfortunately, in newEnsemble method there is an infeasible conditions (a simple condition inside a while statement which is never false), therefore, since  $|C_i| = 1$ , our test set  $T_2$  give to us a condition coverage equal to 1 (100%).

Since condition coverage of  $T_2$  is 1, we can consider  $T_2$  as adequate with respect to the condition coverage criterion.

## 2.1.3 Mutation Analysis.

So, mutation testing represents a very powerful technique to achieve two goals[6]:

- (1) Evaluating test suite quality.
- (2) Once we have a good test suite, executing its test cases against the original program to find errors.

In mutation analysis, from a program p, a set of faulty programs  $p_m$ , called *mutants*, is generated by a few single syntactic changes to the original program p. Assume that a test set T is supplied to the system. In this case each, mutant  $p_m$  will then be run against this test set T. If the result of running  $p_m$  is different from the result of running p for any test case in T, then the mutant  $p_m$  is said to be killed, otherwise, it is said to have survived[6].

Our objective, is to improve our test set *T* providing additional test inputs (or improving existing ones) to kill these surviving mutants.

As known, to evaluate the goodness of a test suite, an adequacy criterion, known as the *Mutation Score* (or *Mutation Coverage*), is used.

So, according to PIT  $^9$  report, mutation coverage of our  $T_2$  test set is equal to 28, out of a total of 41 (mutation score 68.29%). This result means that, after that each mutant is been run against our test set  $T_2$ , some mutants *survived*.

Therefore, to improving our test suite, we have add following unit tests to our test set  $T_2$ :

- additionalTestCase\_1() (TestInitialize class)
- additionalTestCase\_1() (TestOther class)

Moreover, we have improved following unit test:

- test\_1 (TestOther class)
- test\_3 (TestOther class)
- test\_4 (TestOther class)

After these changes, with our new test set  $T_3$ , we have killed 4 extra mutants, obtaining a mutation coverage equal to 32, out of a total of 41 (mutation score 78.04%).

As known, the goal of mutation analysis is to raise the mutation score to 1, indicating that a test set T is sufficient to detect all the faults denoted by the mutants[6]. However, this aim is out the scope of our project.

2.1.4 Conclusions. Finally, using our tests set  $T_3$ , 23 test, out of a total of 86, failed, revealing the presence of several bugs, confirming results made by defect prediction model.

## 2.2 DiskChecker class testing analysis

According to BookKeeper's documentation, DiskChecker class is used to provide several utility functions for checking disk problems, managing all directories belonging to **ledgers**, which represents the basic unit of storage in BookKeeper[1].

Specifications establishes that, for each ledger directory, is possible to specify a maximum disk space D which can be used and a, so-called, warning threshold  $D_w$  for disk usage[2]. In particular, the following invariant must hold:

$$0 < D < 1$$

$$D_w \le D \tag{6}$$

However, for both thresholds, BookKeeper's specifications establish a default value, which is equal to 0.95.

#### 2.2.1 Methods testing analysis.

#### 2.2.1.1 DiskChecker.

Obliviously, that method represents the constructor of DiskChecker class and takes up to 2 parameters:

threshold previously indicated as D warnThreshold previously indicated as  $D_{\it w}$ 

All valid and invalid equivalence classes, which definition is clearly based on specifications described before, are reported in **table 6**, while method signature is reported in **listing 6**.

From identified equivalence classes, we have developed 1 valid test case and 3 negative test cases. All tests passed. Test cases are reported in **table 7**.

#### Listing 6: Signature of method DiskChecker

public DiskChecker(float threshold, float warnThreshold)

#### 2.2.1.2 checkDir.

checkDir method, taking only one File abject as parameter, is used to perform several checks involving a directory, verifying disk usage, write and read permissions or the exceeding of the disk usage threshold.

It returns the disk usage fraction usage, defined as following:

$$usage = 1 - \frac{usableSpace}{totalSpace}$$
 (7)

All valid and invalid equivalence classes are reported in table **table 8**, while method signature is reported in **listing 7**. We have developed 2 valid test cases and 5 negative test cases, with a total 8 test cases, all passed. Test cases are described in **table 9**.

During equivalence class definitions, it was assumed that Book-Keeper's user is *not* root user.

#### Listing 7: Signature of method checkDir

public float checkDir(File dir) throws DiskErrorException

## 2.2.1.3 getTotalDiskUsage.

getTotalDiskUsage is used to compute disk usage fraction, already defined in 7, taking as input a list of directories.

All valid and invalid equivalence classes are reported in table **table 10**, while method signature is reported in **listing 8**. We have

<sup>9</sup>https://pitest.org/

Table 6: Equivalence classes and representatives of DiskChecker method

Parameter	Equivalence Classes	Representatives
threshold	$vEC_1$ 0 < warnThreshold < threshold < 1	0.5
	$iEC_1$ threshold $\leq 0$	0
	$iEC_2$ threshold $\geq 1$	1
	$iEC_3$ 0 < threshold < warnThreshold < 1	warnThreshold — 0.1
warnThreshold	$vEC_1$ : warnThreshold $\leq$ threshold	threshold
	<pre>iEC<sub>1</sub> warnThreshold &gt; threshold</pre>	threshold + 0.1

Table 7: Test cases of DiskChecker method

Test Case	Parameter		Expected output	Actual output	Passed
	threshold	warnThreshold			
$Valid_1$	0.5	0.5	No Exception	No Exception	<b>√</b>
$Invalid_1$	0	0	Exception	Exception	✓
$Invalid_2$	1	1	Exception	Exception	✓
$Invalid_3$	0.5	0.6	Exception	Exception	✓

Table 8: Equivalence classes and representatives of checkDir method

Parameter	Equivalence Classes	Representatives
dir	A not-null File object, which:  • Represents a valid directory  • Exists • BookKeeper's user has read permissions • BookKeeper's user has write permissions	<pre>createTempDir("directoryFile", "test")</pre>
	A not-null File object, which:  • Represents a valid directory  • Not exists • BookKeeper's user has write permissions to make that directory	new File("./makeMultiple/dir/path")
	A not-null File object, which:  • It does <b>not</b> represent a directory, that is it can be one of the following:  (1) regular file  iEC <sub>1</sub> (2) symbolic link (3) character device file (4)	new File("/dev/zero")
	A not-null File object, which:  Represents a valid directory  iEC <sub>2</sub> Not exists  BookKeeper's user has <b>not</b> write permissions to make that directory	new File("/root/notMakable")
	A not-null File object, which:  • Represents a valid directory  iEC <sub>3</sub> • Exists • BookKeeper's user has <b>not</b> read permissions	new File("/root")
	A not-null File object, which:  • Represents a valid directory  iEC <sub>4</sub> • Exists • BookKeeper's user has <b>not</b> write permissions	new File("/home")
	A not-null File object, which:  • Does not represent a valid directory (from file system point of view) because contains forbidden characters like:  (1) For NTFS file system:  \( \ / : * ? < > \    (2) For Btrfs, ext4, ext3, XFS file systems:  \( NULL \ / \)	new File("\u0000")(NULL)
	$iEC_6$ A null object.	null

Test Case	<b>Parameter</b> dir	Expected output	Actual output	Passed
$Valid_1$	<pre>IOUtils.createTempDir("directoryFile", "test")</pre>	No Exception	No Exception	<b>✓</b>
$Valid_2$	<pre>new File("./makeMultiple/dir/path")</pre>	No Exception	No Exception	✓
$Invalid_1$	<pre>new File("/dev/zero")</pre>	Exception	Exception	✓
$Invalid_2$	<pre>new File("/root/notMakable")</pre>	Exception	Exception	✓
$Invalid_3$	<pre>new File("/root")</pre>	Exception	Exception	✓
$Invalid_4$	<pre>new File("/home")</pre>	Exception	Exception	✓
Invalid <sub>5</sub>	new File("\u0000")	Exception	Exception	✓
$Invalid_6$	null	Exception	Exception	✓

Table 9: Test cases of checkDir method

developed 1 valid test case and 7 negative test cases, with a total of 8 test cases, of which 2 failed.

#### Listing 8: Signature of method getTotalDiskUsage

public float getTotalDiskUsage(List<File> dirs) throws IOException

#### 2.2.1.4 getTotalDiskSpace - getTotalFreeSpace.

Observing both the implementations and the signatures of these last methods, called getTotalDiskSpace and getTotalFreeSpace, is easy to understand their meaning and aim, however, if we look at the code carefully, we will note several defects that must be taken into account during test activities:

(1) Unexpectedly, methods comments are the same, although they perform different activities; in other words, methods comments "*lie*", because they do not describe what the functions truly do.

The only way to build our test set is to observe method implementations ignoring comments, adopting a pure white-box approach.

This consideration is true for getTotalDiskSpace while, for getTotalFreeSpace method, the comment is just imprecise.

(2) getTotalFreeSpace method signature "lies" too. In fact, although we would expect that the method returns "total free space" of a set of directories, observing the implementation, is easy to understand that it returns, instead, the total usable space of a set of directories.

Since, usable space and free space have different meaning, this erroneous signature is the reason according to which many of our test cases failed.

An other defect, not strictly related to our testing activities, is that methods implementations are the same (except one line). In other words, this is a case of code duplication.

Although some differences (an empty List<File> object is a valid input), equivalence classes of both these methods are the same of the getTotalDiskUsage method, already described in 10.

To test getTotalDiskSpace method, we have developed 2 valid test cases and 6 negative test cases, with a total 8 test cases, of which 2 failed. Likewise, we have developed the same number of tests for getTotalFreeSpace method too; however 3 unit tests failed out of a total of 8

#### 2.2.2 Adequacy Criteria.

#### 2.2.2.1 Statement coverage.

Our test set  $T_1$ , made up of all test cases built during equivalence class analysis, is able to cover up to 69 statements out of a total of 89, reaching a statement coverage equal to **0,775** (**77,5%**).

To improve our test set, we add following unit tests to test set  $T_1$ :

- additionalTest\_1 (TestDiskChecker class).
- (2) additionalTest\_1 (TestCheckDir class).
- (3) additionalTest\_2 (TestCheckDir class).
- (4) additionalTest\_3 (TestCheckDir class).

With this new test set,  $T_2$ , we are able to cover up to 85 statements out of a total of 89.

However, DiskChecker class contains a method, called setDisk-SpaceThreshold(float, float), which, we believe, contains up to 4 unreachable statements. Generally, in order to identify unreachable statements, drawing the flow-graph of the developed code and finding out the path that would never be reached is required. However, in this case, we can consider as unreachable all statements of setDiskSpaceThreshold(float, float) method owing to following reasons:

- setDiskSpaceThreshold(float, float) method is never used in the project, that is it is never called by any other method.
- (2) setDiskSpaceThreshold(float, float) method has no access modifier (package private) which means, according to Java language specification, that it is only accessible within classes in the same package, therefore that method is not visible by our test set.

Therefore, we can conclude by stating that  $|S_i| = 4$  and our test set  $T_2$  gives to us a statement coverage equal to **1** (**100**%).

Since statement coverage of  $T_2$  is 1, we can consider  $T_2$  as adequate with respect to the statement coverage criterion.

## 2.2.2.2 Decision coverage (Branch decision coverage).

According to our analysis, DiskChecker class contains 16 decision. Since our test set  $T_2$  covers all decisions, decision coverage is equal to **1** (**100%**). Because decision coverage of  $T_2$  is 1, we can

Table 10: Equivalence classes and representatives of getTotalDiskUsage method

Parameter	Equivalence Classes	Representatives
dirs	A not-null List <file> object, which:  • dirs.size() &gt; 0  • <math>\forall x \in dirs</math> is true that:  <math>vEC_1</math>  - <math>x</math> exists  - <math>x</math> represents a valid directory  - BookKeeper's user has permissions to read <math>x</math></file>	(see the code)
	A not-null List <file> object, where: <math>iEC_1</math> • dirs.size() = 0</file>	new new ArrayList<>()
	A not-null List <file> object, where:  • dirs.size() &gt; 0  • <math>\exists x \in dirs: x</math> is not a directory, that is <math>x</math> can be:  iEC<sub>2</sub>  (1) regular file (2) symbolic link (3) character device file (4)</file>	(see the code)
	A not-null List <file> object, where: <math display="block">iEC_3 \qquad \bullet \text{ dirs.size()} &gt; 0</math> <math display="block">\bullet \exists x \in dirs : x \text{ not exist}</math></file>	(see the code)
	A not-null List <file> object, where: <math display="block">iEC_4 \qquad                                   </math></file>	(see the code)
	A not-null List <file> object, where:  <math>iEC_5</math>  • dirs.size() &gt; 0  • <math>\exists x \in dirs</math>: BookKeeper's user has not permissions to read <math>x</math></file>	(see the code)
	A not-null List <file> object, where:  iEC<sub>6</sub>  • dirs.size() &gt; 0 • ∃x ∈ dirs: x does not represent a valid directory (from file system point of view).</file>	(see the code)
	$iEC_7$ A null object.	null

# consider $T_2$ as adequate with respect to the decision coverage criterion.

#### 2.2.2.3 Condition coverage.

According to sonarcloud reports, our test set  $T_2$  covers up to 45 simple conditions, out of a total of 46 with a condition coverage equal to 0.978 (97, 8%).

Since condition coverage is less than 1, our test set  $T_2$  is *not* adequate with respect to the condition coverage criterion.

### 2.2.3 Mutation Analysis.

According to PIT report, mutation coverage of our  $T_2$  test set is equal to 29, out of a total of 46 (mutation score 63.04%).

To build our new test set  $T_3$ , we added following unit tests to  $T_2$ :

- additionalTest\_1 (TestTest class)
- additionalTest\_2 (TestTest class)
- additionalTest\_3 (TestTest class)

After these changes, with our new test set  $T_3$ , we have killed 4 extra mutants, obtaining a mutation coverage equal to 34, out of a total of 41 (mutation score 82.92%).

2.2.4 Conclusions. Finally, using our test set  $T_3$ , 7 tests, out of a total of 50, failed revealing the presence of several bugs, despite its stability according to our prediction model.

# 3 APACHE OPENJPA™

## 3.1 SimpleRegex class testing analysis

As the name suggests, SimpleRegex class is used to manage a "simple" RegEx, that is a **Regular Expression**, which represents a sequence of characters, or a pattern, that define a search pattern used in several string-searching algorithms or during string-input validation operation; in other word, the aim of a RegEx pattern is to matches a target string.

An example of RegEx is gr(a|e)y which matches both gray or grey strings.

A RegEx pattern is made up of a sequence of elements including:

**Atoms** which represents a regular character that has a literal meaning.

**Meta-characters** which represents an element with a very special meaning, depending on context.

The majority of RegEx processor engines supports up to 14 meta-characters including:

According to documentation, the RegEx processor engine of the SimpleRegex class supports only 2 meta-characters:

. which matches any single character.

This meta-characters is known as *wildcard*. For example, a.b matches any string that contains an "a", then any other character and then "b", like axb.

.\* which matches any string.

For example, a.\*b matches any string that contains an "a", and then the character "b" at some later point, like

a andrea graziani b.

#### 3.1.1 Methods testing analysis.

#### 3.1.1.1 SimpleRegex.

Obliviously, that method represents the constructor of SimpleRegex class and takes up to 2 parameters:

expr A string object containing the RegEx.

caseInsensitive To enable or disable case sensitive.

All valid and invalid equivalence classes are reported in **table 11**, while method signature is shown below, in **listing 9**.

From identified equivalence classes, we have developed  $1 \times 2 = 2$  valid test cases and 1+1+1+1+1=5 negative test cases. Precisely, we have tested each negative test case when caseInsensitive is both true and false; therefore there is a total of 10 negative test cases, then 12 total tests, 7 of which failed: a very bad result.

#### Listing 9: Signature of method SimpleRegex

public SimpleRegex(String expr, boolean caseInsensitive)

#### *3.1.1.2* matches.

This method is used to check the matching between RegEx expression and target string.

All valid and invalid equivalence classes are reported in **table 12**, while method signature is shown in **listing 10**. We have developed 1 valid test case and 1 negative test case, both run twice using different values of caseInsensitive parameter described previously. All tests passed.

#### Listing 10: Signature of method matches

public boolean matches(String target)

#### 3.2 ClassUtil class testing analysis

As the name suggests, ClassUtil class is used to manage classes class object

In particular, it is designed in order to retrieve the name, the package of a specifified class and

ClassLoader objects are a part of the Java Runtime Environment that dynamically loads Java classes into the Java Virtual Machine. When a class is requested, the class loader tries to locate the class and load the class definition into the runtime using a fully qualified class name

ClassLoader instances use a so-called *delegation approach*: each instance of ClassLoader has an associated parent class loader. When requested to find a class or resource, a ClassLoader instance will delegate the search for the class or resource to its parent class loader before attempting to find the class or resource itself. A special class loader, called *bootstrap*, is used as a parent of all the other ClassLoader instances.

Normally, the Java virtual machine loads classes from the local file system in a platform-dependent manner. For example, on UNIX systems, the virtual machine loads classes from the directory defined by the CLASSPATH environment variable.

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Table 11: Equivalence classes and representatives of SimpleRegex method

Parameter	Equivalence Classes	Representatives
	A not-null String object which:	
	<ul> <li>Is not blank, that is expr.length() &gt; 0 [1]</li> <li>It may contain alphanumeric characters</li> </ul>	
expr	$vEC_1$ • It may contain following meta-characters only: [2]	a.*b
-	*	
	• It must not contain any escape character [3]	
	A not-null String object which:	
	$iEC_1$ • expr.length() = 0	""
	A not-null String object which:	
	• expr.length() > 0	
	<ul> <li>iEC<sub>2</sub></li> <li>It represents an invalid pattern because it contains both unsupported meta-characters and escharacters.</li> </ul>	cape a.*(r t)b\r
	A not-null String object which:	
	• expr.length() > 0	
	<ul> <li>iEC<sub>3</sub></li> <li>It represents an invalid pattern because it contains unsupported meta-characters and no escharacters.</li> </ul>	cape a*
	A not-null String object which:	
	• expr.length() > 0	
	• It represents an invalid pattern because it contains escape characters and no unsupported n characters	neta- \t
	iEC <sub>5</sub> null object.	null
caseInsensitive		true
	$vEC_2$ false	false

According to RegEx specification, an empty pattern is *invalid*, because it either returns empty matches or matches with any string depending on RegEx processor engine. In other words, it has an undefined behaviour. In order to match empty strings, (^?![\s\S]) is used.

Table 12: Equivalence classes and representatives of matches method

Parameter	Parameter   Equivalence Classes		Representatives
target	$vEC_1$	A not-null String object which  • expr.length() ≥ 0  • Can contain any character.	"Andrea1234\t= "
	$iEC_1$	null object.	null

<sup>&</sup>lt;sup>2</sup> Any other meta-character is considered as invalid because, according to SimpleRegex documentation, they are unsupported.

<sup>&</sup>lt;sup>3</sup> An escape character is a character that invokes an alternative interpretation on the following characters in a character sequence. It is a particular case of meta-characters. For example \u000A9 is an escape character for <sup>©</sup>. SimpleRegex class doesn't support them.

Table 13: Equivalence classes and representatives of toClass method

Parameter	Equivalence Classes	Representatives	
str	A not-null String object which:  • Is not blank, that is str.length() > 0  • Must be a binary name as defined by The Java™Language Specification. <sup>[1]</sup> • Specified binary name must matches an existent and readable .class (or .jar) file. In particular:  - Into specified str is represent a dollar signs ('\$') used to separate inner and outer classes	"javax.swing.JSpinner\$DefaultEditor"	
	A not-null String object which:  • str.length() > 0  • Must be a binary name as defined by The Java™Language Specification.  • Specified binary name must matches an existent and readable .class (or .jar) file.  In particular:  - It is a valid binary name containing only periods ('.') used to identify the package name.	"java.lang.String"	
	A not-null String object which:  • str.length() > 0  • Must be a binary name as defined by The Java™Language Specification.  • Specified binary name must matches an existent and readable .class (or .jar) file.  In particular:  - Specified str represents an array classes with one or more brackets ('[' and ']')	"java.awt.Point[][]"	
	A not-null String object which: $iEC_1$ • str.length() = 0	**	
	A not-null String object which:  iEC <sub>2</sub> • str.length() > 0  • It represents an invalid binary name.	"\$%&andreainvalidbinary*-+"	
	iEC <sub>3</sub> null object.	null	
resolve	$vEC_1$ true <sup>[2]</sup>	true	
	vEC2 false	false	
loader	A not-null ClassLoader object which:  • Must be able to load specified .class file; that is, loader's class paths must include a directory containing specified str class file.	(see the code)	
	$vEC_2$ null object. [3]	null	
	A not-null ClassLoader object which:		
	$iEC_1$ • Is unable to load specified . class class file.	(see the code)	

<sup>&</sup>lt;sup>1</sup> According to the *The Java™Language Specification*, any class or interface must be named by its *binary name*, which must meet several constraints. Examples of valid class names include:

• java.lang.String

<sup>•</sup> javax.swing.JSpinner\$DefaultEditor

<sup>•</sup> java. security.KeyStore\$Builder\$FileBuilder\$1

For details, please visit: https://docs.oracle.com/javase/specs/jls/se8/html/jls-13.html#jls-13.1

When true, the specified class will be initialized if it has not been initialized earlier. Initialization of a class consists of executing its static initializers and the initializers for static fields declared in the class.

For details, please visit: https://docs.oracle.com/javase/specs/jls/se7/html/jls-12.html

<sup>&</sup>lt;sup>3</sup> Specified ClassLoader object can be null because, in that case, bootstrap class loader would be called.

Table 14: Equivalence classes and representatives of getClassName(String) and getPackageName(String) methods

Parameter	Equivalence Classes	Representatives
fullName	A not-null String object which:  • Is not blank, that is str.length() > 0  • Must be a binary name as defined by The Java™Language Specification.  In particular:  - Into specified str is represent a dollar signs ('\$') used to separate inner and outer classes	"javax.swing.JSpinner\$DefaultEditor"
	A not-null String object which:  • str.length() > 0  • Must be a binary name as defined by The Java™Language Specification. In particular:  - It is a valid binary name containing only periods ('.') used to identify the package name.	"java.lang.String"
	A not-null String object which:  • str.length() > 0  • Must be a binary name as defined by The Java™Language Specification. In particular:  - Specified str represents an array classes with one or more brackets ('[' and ']')	"java.awt.Point[][]"
	A not-null String object which: $iEC_1$ • str.length() = 0	н
	A not-null String object which:  • str.length() > 0  • It represents an invalid binary name.	"\$%&andreainvalidbinary*-+"
	<i>iEC</i> <sub>3</sub> null object.	null

Table~15: Equivalence~classes~and~representatives~of~get Class Name (Class)~and~get Package Name (Class)~methods

Parameter   Equivalence Classes		Representatives
cls	$vEC_1$ A not-null Class object.	"andrea.Test"
	$iEC_1$ null object.	null