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1 INTRODUCTION

In this report we will describe all results and issues about the utilization of several *testing techniques* involving following open source projects:

Apache BookKeeper™ Which is a scalable, fault tolerant and low latency storage service[1].

Apache OpenJPA™ An implementation of the Java Persistence API specification[2].

To be more precise, the aim of this report is to analyse 2 classes of each aforementioned project, attempting both to find if there are any errors in it and to increase our confidence in the correct functioning of the **software under test** (**SUT**), although the completeness of our testing does not necessarily demonstrate that these classes are error free.

1.1 Class selection

To select classes for our testing activities, we have mainly relied on results elaborated by our **defect prediction model**, developed using **Weka** [3] machine learning software, using a *random forests* classifier without *sampling* or *feature selection*.

Particularly, we have focused on classes marked as *defective* (or *buggy*) by our predictor because it is likely that they exhibit a defect observable by our test sets. As known, this approach is able to *reduce the cost of testing*, by letting to focus on specific classes, ignoring stable ones [5].

In particular, for our testing activities involving Apache BookKeeper™, we have choose following classes:

`DefaultEnsemblePlacementPolicy` [4] Because it has been marked as defective by our prediction model and, as we will see later, our testing activity has confirmed that prediction.

`DiskChecker` [5] Although it has not been marked as defective, we have choose this class due to its relative high LOC value (294), high number of revisions (11) and high number of authors (9). Despite its presumed stability, our testing activity has found several defects inside that class.

1.2 Testing technique

In order to build our test set, we have adopted a testing technique called *equivalence class partitioning*, according to which the domain of possible input data for each input data element is divided into **equivalence classes**; an equivalence class is a set of data values that the tester assumes are processed in the same way by the test object [8].

Equivalence class definition was been mainly based on *specifications* of our test objects using, therefore, a *black-box* approach; however, sometimes, due to lacking of detailed specifications, we have performed our analysis looking the code too, using conversely a **while-box** approach.

For any equivalence classes is important to find a **representative**. As known, testing one representative of the equivalence class is considered sufficient because it is assumed that for any other input value of the same equivalence class, the test object will show the same reaction or behaviour [8]. Besides equivalence classes for *correct* input, those for *incorrect* input values must be tested as well.

To guarantee that all test object reactions are triggered, we have combined representative values using following rules:

(1) The representative value of all valid equivalence classes have been combined to test cases (*valid/positive test case*), meaning that all possible combinations of valid equivalence classes will be covered.
(2) The representative value of an invalid equivalence class have been combined only with representatives of other valid equivalence classes (*invalid/negative test case*).

Moreover, we have adopted following guidelines[8]:

(1) Test cases including boundary values combinations are preferred.
(2) Every representative of an equivalence class appears in at least one test case.
(3) Representatives of invalid equivalence classes should not be combined with representatives of other invalid equivalence classes.

2 APACHE BOOKKEEPER™

2.1 `DefaultEnsemblePlacementPolicy` class testing analysis

What are responsibilities of `DefaultEnsemblePlacementPolicy` class? What meant by `ensemble`? And what for `placement policy`?

According to bookkeeper specifications[1], an **ensemble** represents a group of **bookies** storing **entries**. To be more precise, according to bookkeeper's nomenclature, a **bookie** is an individual storage server while **entries** represent stored data, therefore an ensemble of size E represents simply a group of storage servers.

The aim of this design is to guarantee **consistency** in an ensemble of bookies of all stored data exploiting a **quorum-based replicated-write** protocol. As known, to support replicated writes at multiple replicas of a file, a client must first contact at least half the servers plus one (a majority) and get them to agree to do the

[1] https://github.com/apache/bookkeeper
[2] https://github.com/apache/openjpa
[3] https://www.cs.waikato.ac.nz/~ml/weka/
[4] `org.apache.bookkeeper.client.DefaultEnsemblePlacementPolicy`
[5] `org.apache.bookkeeper.util.DiskChecker`

update[9]. Technically, in order to modify a file, a client needs to assemble the so called **write quorum**, that is an arbitrary collection of servers which must be more than the half of all available servers[9]. Therefore, using bookkeeper's nomenclature, the size of write quorum Q_w represents the number of bookies where each entry is written.

According to bookKeeper protocol[3], the following invariant must hold:

$$E \geqslant Q_w \geqslant Q_a \tag{1}$$

In other word, the ensemble size E must be larger than the write quorum size Q_w, which must in turn be larger than the so called **ack quorum size** Q_a, which represents, instead, the number of nodes an entry must be acknowledged on.

BookKeeper uses several algorithms to selects a number of bookies from a cluster to build an ensemble compliant to above specifications, some of which are capable to exploit several network topology proprieties too.

According to BookKeeper design, the implementations of these algorithm must be compliant to `EnsemblePlacementPolicy` interface [4]; in other words, any implementation must respect a specific *contract*, established by aforementioned interface, which covers aspects related to initialization and bookie selection for data placement and reads[4].

Currently there are 3 implementations available by default. They are:

- `DefaultEnsemblePlacementPolicy`
- `RackawareEnsemblePlacementPolicy`
- `RegionAwareEnsemblePlacementPolicy`

In particular, `DefaultEnsemblePlacementPolicy` class encapsulates the simplest algorithm for bookie selection for ensemble creation because it, simply, picks bookies randomly in order to build an ensemble.

2.1.1 *Methods testing analysis.*

2.1.1.1 `initialize`.

According to documentation, after the creation of an `DefaultEnsemblePlacementPolicy` object's instance, bookKeeper client have to call `initialize` method, which signature is reported in **listing 1**, in order to initialize the placement policy.

Observing method's signature and documentation, we note that several complex resources, passed as parameter, are necessary to invoke this method, however, observing method's implementation, only one of them is effectively used, simplifying our testing activity.

In fact, only `conf` parameter, representing a `ClientConfiguration` object, used to contain client configuration necessary for bookKeeper client construction, is effectively used.

Moreover, only following methods, belonging `ClientConfiguration` class, using `conf` parameter, are invoked in `initialize` method:

- `getDiskWeightBasedPlacementEnabled`
- `getBookieMaxWeightMultipleForWeightBasedPlacement`

Therefore, in order to test `initialize` method, we have choose to use a **mock**. As known, a mock is a special-purpose replacement class that mimic behaviour of real objects in controlled ways, for example returning values to the calling component. As known, the use of mock is very useful during testing activity of incomplete portions of software because you have not to to wait for their completion to start your test activity.

Oblivious, we have use **Mockito framework**[6] to manage `conf` parameter's return values.

All valid and invalid equivalence classes, indicated as *vEC* and *iEC* respectively, are reported in **table 1**. We have developed 2 valid test cases and 1 negative test case. All test passed.

Listing 1: Signature of method `initialize`

```
EnsemblePlacementPolicy initialize(ClientConfiguration conf,
                                   Optional<DNSToSwitchMapping> optionalDnsResolver,
                                   HashedWheelTimer hashedWheelTimer,
                                   FeatureProvider featureProvider,
                                   StatsLogger statsLogger)
```

2.1.1.2 `newEnsemble`.

Documentation [4] reports that `newEnsemble` method is used to build an ensemble made up of several bookies. Method signature, shown below in **listing 2**, reports that it takes up to 5 parameters and return an ensemble which is represented by a `List<BookieSocketAddress>` object.

Listing 2: Signature of method `newEnsemble`

```
List<BookieSocketAddress> newEnsemble(int ensembleSize,
                                      int writeQuorumSize,
                                      int ackQuorumSize,
                                      Map<String,byte[]> customMetadata,
                                      Set<BookieSocketAddress> excludeBookies)
                            throws BKException.BKNotEnoughBookiesException
```

The meaning of all parameter is:

`ensembleSize` represents the ensemble size.
`writeQuorumSize` the value of write quorum size.
`ackQuorumSize` the value of ack quorum Size.
`customMetadata` user meta-data.
`excludeBookies` a collection of bookies that should not be considered as targets for the new ensemble.

Is very important to precise that, in order to build an ensemble, bookies are picked up from a set called `knownBookies`, which can be populated invoking `onClusterChanged` method (which we will describe following).

Moreover, please note that we will use K to represent the set of `BookieSocketAddress` objects of `knownBookies`, while E, conversely, will be used to refer to the set of `BookieSocketAddress` objects belonging to `excludeBookies`.

All valid and invalid equivalence classes are reported in **table 2**.

For this method, we have developed $2 \times 1 \times 1 \times 1 \times 2 = 4$ valid test cases, by combining the representatives of the equivalence classes, and $2 + 1 + 1 + 3 = 7$ negative test cases, by separately testing representatives of every invalid class, with a total of 11 test cases. However, every test case was been executed twice because, as said previously, two different forms of initialization of `DefaultEnsemblePlacementPolicy` object exist (see `initialize` method); therefore, we have executed a total of 22 tests; of these, 8 failed.

[6] https://site.mockito.org/

Table 1: Equivalence classes and representatives of `initialize` method

Parameter	Equivalence Classes		Representatives
conf	vEC_1	A not-null `ClientConfiguration` object which: • `conf.getDiskWeightBasedPlacementEnabled() = true`	*(see the code)*
	vEC_1	A not-null `ClientConfiguration` object which: • `conf.getDiskWeightBasedPlacementEnabled() = false`	*(see the code)*
	iEC_1	`null` object	`null`
optionalDnsResolver	vEC_1	Any value. `optionalDnsResolver` is never used or accessed by the class.	`null`
hashedWheelTimer	vEC_1	Any value. `hashedWheelTimer` is never used or accessed by the class.	`null`
featureProvider	vEC_1	Any value. `featureProvider` is never used or accessed by the class.	`null`
statsLogger	vEC_1	Any value. `statsLogger` is never used or accessed by the class.	`null`

Table 2: Equivalence classes and representatives of `newEnsemble` method

Parameter	Equivalence Classes		Representatives
ensembleSize	vEC_1	`ensembleSize` $= 0$	0
	vEC_2	$0 <$ `ensembleSize` $\leq \lvert K\rvert - \lvert E\rvert$	$\lvert K\rvert - \lvert E\rvert$
	iEC_1	`ensembleSize` < 0	-1
	iEC_2	`ensembleSize` $> \lvert K\rvert - \lvert E\rvert$	$\lvert K\rvert - \lvert E\rvert + 1$
writeQuorumSize	vEC_1	`writeQuorumSize` $\leq$ `ensembleSize`	`ensembleSize`
	iEC_1	`writeQuorumSize` $>$ `ensembleSize`	`ensembleSize` $+ 1$
ackQuorumSize	vEC_1	`ackQuorumSize` $\leq$ `writeQuorumSize`	`writeQuorumSize`
	iEC_1	`ackQuorumSize` $>$ `writeQuorumSize`	`ackQuorumSize` $+ 1$
customMetadata	vEC_1	Any value. `customMetadata` is never used or accessed by the class.	`null`
excludeBookies	vEC_1	A not-null `Set<BookieSocketAddress>` object where: • $\lvert E\rvert = 0$	`new HashSet<>()`
	vEC_2	A not-null `Set<BookieSocketAddress>` object where: • $0 < \lvert E\rvert < \lvert K\rvert$ • $E \subset K$ • $\lvert K\rvert - \lvert E\rvert \geq$ `ensembleSize`	*(see the code)*
	iEC_1	A not-null `Set<BookieSocketAddress>` object where: • $\lvert E\rvert > 0$ • $\exists x \in E : x \notin K$	*(see the code)*
	iEC_2	A not-null `Set<BookieSocketAddress>` object where: • $\lvert E\rvert > 0$ • $\exists x \in E : x =$ `null`	*(see the code)*
	iEC_3	`null` object	`null`

2.1.1.3 `onClusterChanged`.
`onClusterChanged` method is used to update the view of the cluster, that is to specify what bookies are available as *writeable* and what bookies are available as *read-only*; this operation is necessary to populate, or update, `knownBookies` set which, as already said, is used to pick up bookies during `newEnsemble` invocation. According to documentation, `onClusterChanged` should be invoked when any changes happen in the cluster, returning a list of *failed* (or *dead*) bookies during this cluster change.

Signature is reported in **listing 3**.

Listing 3: Signature of method `onClusterChanged`

```
Set<BookieSocketAddress> onClusterChanged(Set<BookieSocketAddress> writableBookies,
                                          Set<BookieSocketAddress> readOnlyBookies)
```

All valid and invalid equivalence classes are reported in **table 3**.

Combining the representatives of the equivalence classes, we have developed are $2 \times 2 = 4$ valid test cases and $3 + 3 = 6$ negative test cases, by separately testing representatives of every invalid class. Therefore, we have a 10 distinct tests and, since we have to run each test twice, we have a total of 20 test cases; of these, 8 failed.

2.1.1.4 `updateBookieInfo`.
According to `DefaultEnsemblePlacementPolicy` interface's documentation [4], `updateBookieInfo` is used to update bookie info details, taking only one input parameter, a `Map<BookieSocketAddress, BookieInfo>` object.

Please note that we will use K to represent the set of the *keys* (or *indexes*) of the dictionary `bookieInfoMap`, that is the set of all `BookieSocketAddress` objects used as indexes. Conversely, V will be used to refer to the set of the *values* of the dictionary, made up of `BookieInfo` objects.

Signature is reported in **listing 4**, while equivalence classes are shown in **table 4**. In this case, we have developed are 2 valid test cases and 4 negative test cases. Therefore, we have 6 distinct tests and, since we have to run each test twice, we have a total of 12 test cases; of these, 3 failed.

Listing 4: Signature of method `updateBookieInfo`

Table 3: Equivalence classes and representatives of `onClusterChanged` method

Parameter	Equivalence Classes		Representatives
`writableBookies`	vEC_1	An not-null `Set<BookieSocketAddress>` object where: • `writableBookies.size()` = 0	`new HashSet<>()`
	vEC_2	A not-null `Set<BookieSocketAddress>` object where: • `writableBookies.size()` > 0 • `writableBookies` ∩ `readOnlyBookies` = ∅	*(see the code)*
	iEC_1	A not-null `Set<BookieSocketAddress>` object where: • `writableBookies.size()` > 0 • $\exists x \in$ `writableBookies` : $x \in$ `readOnlyBookies`	*(see the code)*
	iEC_2	A not-null `Set<BookieSocketAddress>` object where: • `writableBookies.size()` > 0 • $\exists x \in$ `writableBookies` : $x =$ `null`	*(see the code)*
	iEC_3	`null` object	`null`
`readOnlyBookies`	vEC_1	An not-null `Set<BookieSocketAddress>` object where: • `readOnlyBookies.size()` = 0	`new HashSet<>()`
	vEC_2	A not-null `Set<BookieSocketAddress>` object where: • `readOnlyBookies.size()` > 0 • `writableBookies` ∩ `readOnlyBookies` = ∅	*(see the code)*
	iEC_1	A not-null `Set<BookieSocketAddress>` object where: • `readOnlyBookies.size()` > 0 • $\exists x \in$ `readOnlyBookies` : $x \in$ `writableBookies`	*(see the code)*
	iEC_2	A not-null `Set<BookieSocketAddress>` object where: • `readOnlyBookies.size()` > 0 • $\exists x \in$ `readOnlyBookies` : $x =$ `null`	*(see the code)*
	iEC_3	`null` object	`null`

```
void updateBookieInfo(Map<BookieSocketAddress,BookieInfo> bookieInfoMap)
```

2.1.1.5 `replaceBookie`.

Documentation reports that `replaceBookie` is choose randomly a bookie form `knownBookies` set, if available, in order to replace it with a bookie passed as parameter.

Observing method's implementation and its signature, the latter reported in **listing 5**, it is easy to notice a similarity with `newEnsamble` the method, described previously. Despite very little differences, equivalence classes of both methods are, practically, the same; please see **table 5**.

Listing 5: Signature of method `replaceBookie`

```
BookieSocketAddress replaceBookie(int ensembleSize,
                                  int writeQuorumSize,
                                  int ackQuorumSize,
                                  Map<String,byte[]> customMetadata,
                                  Set<BookieSocketAddress> currentEnsemble,
                                  BookieSocketAddress bookieToReplace,
                                  Set<BookieSocketAddress> excludeBookies)
                          throws BKException.BKNotEnoughBookiesException
```

2.1.1.6 `Other methods`.

To be precise, `DefaultEnsemblePlacementPolicy` class contains many other methods not analysed in this report because either they does nothing or they return always the same value regardless input parameters.

Those methods are:

`uninitalize` This method does nothing.

`registerSlowBookie` This method does nothing too.

`isEnsembleAdheringToPlacementPolicy` Return always `PlacementPolicyAdherence.MEETS_STRICT` regardless input parameters.

`reorderReadSequence` Returns always a `WriteSet` object which is passed as parameter.

Oblivious, for purposes related to our adequacy criteria, we have developed one test case for each above method, passing random values as parameters.

2.1.2 *Adequacy Criteria.*

Is our test set T good enough? Has `DefaultEnsemblePlacementPolicy` class been tested thoroughly?

In general, test adequacy refers to the *goodness* of a test set, which must be measured against a quantitative criterion. As known, a test adequacy criterion could be based either on *requirements* or the *implementation* of the program under test. Particularly, for all test objects analysed in this report, we have adopted several **white-box** test adequacy criteria, that is depend solely on the implementation of our test objects.

2.1.2.1 *Statement Coverage.*

The statement coverage of a test set T is computed as following[7]:

$$\textbf{Statement Coverage} = \frac{|S_c|}{|S_e| - |S_i|} \tag{2}$$

Where:

S_c is the set of statements covered.

S_i is the set of unreachable statements.

Table 4: Equivalence classes and representatives of `updateBookieInfo` method

Parameter	Equivalence Classes		Representatives
bookieInfoMap	vEC_1:	A not-null `Map<BookieSocketAddress, BookieInfo>` object where: • `bookieInfoMap.size()` $= 0$	*(see the code)*
	vEC_2:	A not-null `Map<BookieSocketAddress, BookieInfo>` object where: • `bookieInfoMap.size()` > 0 • $\forall (x, y) \in K \times V \Rightarrow x \neq null, y \neq null$	*(see the code)*
	iEC_1:	A not-null `Map<BookieSocketAddress, BookieInfo>` object where: • `bookieInfoMap.size()` > 0 • $\exists (x, y) \in K \times V : x = null, y \neq null$	*(see the code)*
	iEC_2:	A not-null `Map<BookieSocketAddress, BookieInfo>` object where: • `bookieInfoMap.size()` > 0 • $\exists (x, y) \in K \times V : x \neq null, y = null$	*(see the code)*
	iEC_3:	A not-null `Map<BookieSocketAddress, BookieInfo>` object where: • `bookieInfoMap.size()` > 0 • $\exists (x, y) \in K \times V : x = null, y = null$	*(see the code)*
	iEC_4:	`null` object.	`null`

Table 5: Equivalence classes and representatives of `replaceBookie` method

Parameter	Equivalence Classes		Representatives
ensembleSize		See **table 2**	
writeQuorumSize		See **table 2**	
ackQuorumSize		See **table 2**	
customMetadata		See **table 2**	
currentEnsemble	vEC_1	A not-null `List<BookieSocketAddress>` object where: • `currentEnsemble.size()` > 0 • $\forall x \in$ `currentEnsemble` $\Rightarrow x \in K$ • $\forall x \in$ `currentEnsemble` $\Rightarrow x \neq$ `null`	*(see the code)*
	iEC_1	A not-null `List<BookieSocketAddress>` object where: • `currentEnsemble.size()` $= 0$	`new ArrayList<>()`
	iEC_2	A not-null `List<BookieSocketAddress>` object where: • `currentEnsemble.size()` > 0 • $\forall x \in$ `currentEnsemble` $\Rightarrow x \neq$ `null` • $\exists x \in$ `currentEnsemble` $: x \notin K$	*(see the code)*
	iEC_3	A not-null `List<BookieSocketAddress>` object where: • `currentEnsemble.size()` > 0 • $\exists x \in$ `currentEnsemble` $: x =$ `null`	*(see the code)*
	iEC_4	`null` object	`null`
bookieToReplace	vEC_1	A valid `BookieSocketAddress` object where: • `bookieToReplace` $\in$ `currentEnsemble` • `bookieToReplace` $\neq$ `null`	*(see the code)*
	iEC_1	A valid `BookieSocketAddress` object where: • `bookieToReplace` $\notin$ `currentEnsemble` • `bookieToReplace` $\neq$ `null`	*(see the code)*
	iEC_2	`null` object	`null`
excludeBookies		See **table 2**	

S_e is the set of statements in the program.

Let's start with our test set T_1, that is the test set containing all valid and invalid test cases built during the analysis of the equivalence classes made previously.

According to `sonarcloud` and `JaCoCo` reports, our test set T_1 is able to cover up to 72 lines statements out of a total of 82, giving to us a statement coverage equal to 0.963 (96.3%)

To improve statement coverage, we have add following unit test, obtaining test set T_2:

- `additionalTestCase_1()` (`TestOnClusterChanged` class)

Fortunately, test set T_2 is able to cover up to 81 lines statements out of a total of 82.

However, we are unable to cover all statements because, we believe, in `newEnsemble` method is present an *unreachable* statements,

because it falls on an *infeasible path*, that is a path that would never be reached by any test set with any type of input data.

Therefore, since $|S_i| = 1$, according to **eq. (2)**, statement coverage is equal to 1 (100%).

Since statement coverage of T_2 is 1, we can consider T_2 as **adequate with respect to the statement coverage criterion**.

2.1.2.2 Decision coverage (Branch decision coverage).
According to [7], a *decision* is considered covered if the flow of control has been diverted to all possible destinations that correspond to this decision, i.e. all outcomes of the decision have been taken.

This implies that, for example, the expression in the `if` or `while` statement has evaluated to `true` in some execution and to `false` in the same or another execution. Note that each `if` and each `while` contribute to *one* decision whereas a `switch` may contribute to more than one [7].

Decision coverage of a test set T is computed as following[7]:

$$\textbf{Decision Coverage} = \frac{|D_c|}{|D_e| - |D_i|} \tag{3}$$

Where:

D_c is the set of decisions covered.
D_i is the set of unreachable decisions.
D_e is the set of decisions in the program.

According to our analysis, our test set T_2 is able to cover 14 decisions out of a total of 15. In `newEnsemble` method, there is an unreachable decisions, therefore, since $|D_i| = 1$, our test set T_2 give to us a decision coverage equal to 1 (100%).

Since decision coverage of T_2 is 1, we can consider T_2 as **adequate with respect to the decision coverage criterion**.

2.1.2.3 Condition coverage.
Unlike decision coverage, condition coverage ensures that each simple condition within a *compound* condition has assumed both values `true` and `false`[7].

Condition coverage of a test set T is computed as following[7]:

$$\textbf{Condition Coverage} = \frac{|C_c|}{|C_e| - |C_i|} \tag{4}$$

Where:

C_e the set of simple conditions in the program.
C_c the set of of simple conditions covered by our test set T.
C_i the set infeasible simple conditions.

Our test set T_2 is able to cover 33 decisions out of a total of 34. Unfortunately, in `newEnsemble` method there is an infeasible conditions (a simple condition inside a `while` statement which is never `false`), therefore, since $|C_i| = 1$, our test set T_2 give to us a condition coverage equal to 1 (100%).

Since condition coverage of T_2 is 1, we can consider T_2 as **adequate with respect to the condition coverage criterion**.

2.1.3 Mutation Analysis.
So, mutation testing represents a very powerful technique to achieve two goals[6]:

(1) Evaluating test suite quality.
(2) Once we have a good test suite, executing its test cases against the original program to find errors.

In mutation analysis, from a program p, a set of faulty programs p_m, called *mutants*, is generated by a few single syntactic changes to the original program p. Assume that a test set T is supplied to the system. In this case each, mutant p_m will then be run against this test set T. If the result of running p_m is different from the result of running p for any test case in T, then the mutant p_m is said to be *killed*, otherwise, it is said to have *survived*[6].

Our objective, is to improve our test set T providing additional test inputs (or improving existing ones) to kill these surviving mutants.

As known, to evaluate the goodness of a test suite, an adequacy criterion, known as the *Mutation Score* (or *Mutation Coverage*), is used.

So, according to `PIT` [7] report, mutation coverage of our T_2 test set is equal to 28, out of a total of 41 (mutation score 68.29%). This result means that, after that each mutant is been run against our test set T_2, some mutants *survived*.

Therefore, to improving our test suite, we have add following unit tests to our test set T_2:

- `additionalTestCase_1()` (`TestInitialize` class)
- `additionalTestCase_1()` (`TestOther` class)

Moreover, we have improved following unit test:

- `test_1` (`TestOther` class)
- `test_3` (`TestOther` class)
- `test_4` (`TestOther` class)

After these changes, with our new test set T_3, we have killed 4 extra mutants, obtaining a mutation coverage equal to 32, out of a total of 41 (mutation score 78.04%).

As known, the goal of mutation analysis is to raise the mutation score to 1, indicating that a test set T is sufficient to detect all the faults denoted by the mutants[6]. However, this aim is out the scope of our project.

2.1.4 Conclusions. Finally, using our tests set T_3, 23 test, out of a total of 86, failed, revealing the presence of several bugs, confirming results made by defect prediction model.

[7] https://pitest.org/

2.2 `DiskChecker` class testing analysis

According to BookKeeper's documentation, `DiskChecker` class is used to provide several utility functions for checking disk problems, managing all directories belonging to **ledgers**, which represents the basic unit of storage in BookKeeper[1].

Specifications establishes that, for each ledger directory, is possible to specify a maximum disk space D which can be used and a, so-called, warning threshold D_w for disk usage[2]. In particular, the following invariant must hold:

$$\begin{aligned} 0 < \quad & D \quad < 1 \\ D_w \leq \quad & D \end{aligned} \tag{5}$$

However, for both thresholds, BookKeeper's specifications establish a default value, which is equal to 0.95.

2.2.1 *Methods testing analysis.*

2.2.1.1 `DiskChecker`.

Obliviously, that method represents the constructor of `DiskChecker` class and takes up to 2 parameters:

`threshold` previously indicated as D
`warnThreshold` previously indicated as D_w

All valid and invalid equivalence classes, which definition is clearly based on specifications described before, are reported in **table 6**, while method signature is reported in **listing 6**.

From identified equivalence classes, we have developed 1 valid test case and 3 negative test cases. All tests passed. Test cases are reported in **table 7**.

Listing 6: Signature of method `DiskChecker`

```
public DiskChecker(float threshold, float warnThreshold)
```

2.2.1.2 `checkDir`.

`checkDir` method, taking only one `File` abject as parameter, is used to perform several checks involving a directory, verifying disk usage, write and read permissions or the exceeding of the disk usage threshold.

It returns the disk usage fraction `usage`, defined as following:

$$\texttt{usage} = 1 - \frac{\texttt{usableSpace}}{\texttt{totalSpace}} \tag{6}$$

All valid and invalid equivalence classes are reported in table **table 8**, while method signature is reported in **listing 7**. We have developed 2 valid test cases and 5 negative test cases, with a total 8 test cases, all passed. Test cases are described in **table 9**.

During equivalence class definitions, it was assumed that BookKeeper's user is *not* `root` user.

Listing 7: Signature of method `checkDir`

```
public float checkDir(File dir) throws DiskErrorException
```

2.2.1.3 `getTotalDiskUsage`.

`getTotalDiskUsage` is used to compute disk usage fraction, already defined in **6**, taking as input a list of directories.

All valid and invalid equivalence classes are reported in table **table 10**, while method signature is reported in **listing 8**. We have developed 1 valid test case and 7 negative test cases, with a total of 8 test cases, of which 2 failed.

Listing 8: Signature of method `getTotalDiskUsage`

```
public float getTotalDiskUsage(List<File> dirs) throws IOException
```

2.2.1.4 `getTotalDiskSpace` – `getTotalFreeSpace`.

Observing both the implementations and the signatures of these last methods, called `getTotalDiskSpace` and `getTotalFreeSpace`, is easy to understand their meaning and aim, however, if we look at the code carefully, we will note several defects that must be taken into account during test activities:

(1) Unexpectedly, methods comments are the same, although they perform different activities; in other words, methods comments "*lie*", because they do not describe what the functions truly do.
The only way to build our test set is to observe method implementations ignoring comments, adopting a pure white-box approach.
This consideration is true for `getTotalDiskSpace` while, for `getTotalFreeSpace` method, the comment is just imprecise.

(2) `getTotalFreeSpace` method signature "*lies*" too. In fact, although we would expect that the method returns "*total free space*" of a set of directories, observing the implementation, is easy to understand that it returns, instead, the *total usable space* of a set of directories.
Since, usable space and free space have different meaning, this erroneous signature is the reason according to which many of our test cases failed.

An other defect, not strictly related to our testing activities, is that methods implementations are the same (except one line). In other words, this is a case of code duplication.

Although some differences (an empty `List<File>` object is a valid input), equivalence classes of both these methods are the same of the `getTotalDiskUsage` method, already described in **10**.

To test `getTotalDiskSpace` method, we have developed 2 valid test cases and 6 negative test cases, with a total 8 test cases, of which 2 failed. Likewise, we have developed the same number of tests for `getTotalFreeSpace` method too; however 3 unit tests failed out of a total of 8

2.2.2 *Adequacy Criteria.*

2.2.2.1 *Statement coverage.*

Our test set T_1, made up of all test cases built during equivalence class analysis, is able to cover up to 69 statements out of a total of 89, reaching a statement coverage equal to **0,775** (**77,5%**).

To improve our test set, we add following unit tests to test set T_1:

(1) `additionalTest_1` (`TestDiskChecker` class).
(2) `additionalTest_1` (`TestCheckDir` class).
(3) `additionalTest_2` (`TestCheckDir` class).
(4) `additionalTest_3` (`TestCheckDir` class).

Table 6: Equivalence classes and representatives of `DiskChecker` method

Parameter	Equivalence Classes		Representatives
`threshold`	vEC_1	$0 <$ `warnThreshold` $\leq$ `threshold` < 1	0.5
	iEC_1	`threshold` ≤ 0	0
	iEC_2	`threshold` ≥ 1	1
	iEC_3	$0 <$ `threshold` $<$ `warnThreshold` < 1	`warnThreshold` $- 0.1$
`warnThreshold`	vEC_1:	`warnThreshold` $\leq$ `threshold`	`threshold`
	iEC_1	`warnThreshold` $>$ `threshold`	`threshold` $+ 0.1$

Table 7: Test cases of `DiskChecker` method

Test Case	Parameter		Expected output	Actual output	Passed
	`threshold`	`warnThreshold`			
$Valid_1$	0.5	0.5	No Exception	No Exception	✓
$Invalid_1$	0	0	Exception	Exception	✓
$Invalid_2$	1	1	Exception	Exception	✓
$Invalid_3$	0.5	0.6	Exception	Exception	✓

Table 8: Equivalence classes and representatives of `checkDir` method

Parameter	Equivalence Classes		Representatives
`dir`	vEC_1	A not-null `File` object, which: • Represents a valid directory • Exists • BookKeeper's user has read permissions • BookKeeper's user has write permissions	`createTempDir("directoryFile", "test")`
	vEC_2	A not-null `File` object, which: • Represents a valid directory • Not exists • BookKeeper's user has write permissions to make that directory	`new File("./makeMultiple/dir/path")`
	iEC_1	A not-null `File` object, which: • It does **not** represent a directory, that is it can be one of the following: (1) regular file (2) symbolic link (3) character device file (4) ...	`new File("/dev/zero")`
	iEC_2	A not-null `File` object, which: • Represents a valid directory • Not exists • BookKeeper's user has **not** write permissions to make that directory	`new File("/root/notMakable")`
	iEC_3	A not-null `File` object, which: • Represents a valid directory • Exists • BookKeeper's user has **not** read permissions	`new File("/root")`
	iEC_4	A not-null `File` object, which: • Represents a valid directory • Exists • BookKeeper's user has **not** write permissions	`new File("/home")`

	iEC_5	A not-null `File` object, which:
		• Does not represent a valid directory (from file system point of view) because contains forbidden characters like:
		(1) For NTFS file system:
		`\ / : * ? < > |`
		(2) For Btrfs, ext4, ext3, XFS file systems:
		`NULL /`
			`new File("\u0000")` (`NULL`)

	iEC_6	A `null` object.	`null`

Table 9: Test cases of `checkDir` method

Test Case	Parameter `dir`	Expected output	Actual output	Passed
$Valid_1$	`IOUtils.createTempDir("directoryFile", "test")`	No Exception	No Exception	✓
$Valid_2$	`new File("./makeMultiple/dir/path")`	No Exception	No Exception	✓
$Invalid_1$	`new File("/dev/zero")`	Exception	Exception	✓
$Invalid_2$	`new File("/root/notMakable")`	Exception	Exception	✓
$Invalid_3$	`new File("/root")`	Exception	Exception	✓
$Invalid_4$	`new File("/home")`	Exception	Exception	✓
$Invalid_5$	`new File("\u0000")`	Exception	Exception	✓
$Invalid_6$	`null`	Exception	Exception	✓

Table 10: Equivalence classes and representatives of `getTotalDiskUsage` method

Parameter	Equivalence Classes		Representatives
`dirs`	vEC_1	A not-null `List<File>` object, which: • `dirs.size()` > 0 • $\forall x \in dirs$ is true that: – x exists – x represents a valid directory – BookKeeper's user has permissions to read x	*(see the code)*
	iEC_1	A not-null `List<File>` object, where: • `dirs.size()` $= 0$	`new new ArrayList<>()`
	iEC_2	A not-null `List<File>` object, where: • `dirs.size()` > 0 • $\exists x \in dirs$: x is not a directory, that is x can be: (1) regular file (2) symbolic link (3) character device file (4) ...	*(see the code)*
	iEC_3	A not-null `List<File>` object, where: • `dirs.size()` > 0 • $\exists x \in dirs$: x not exist	*(see the code)*
	iEC_4	A not-null `List<File>` object, where: • `dirs.size()` > 0 • $\exists x \in dirs$: $x =$ `null`	*(see the code)*
	iEC_5	A not-null `List<File>` object, where: • `dirs.size()` > 0 • $\exists x \in dirs$: BookKeeper's user has not permissions to read x	*(see the code)*
	iEC_6	A not-null `List<File>` object, where: • `dirs.size()` > 0 • $\exists x \in dirs$: x does not represent a valid directory (from file system point of view).	*(see the code)*
	iEC_7	A `null` object.	`null`

With this new test set, T_2, we are able to cover up to 85 statements out of a total of 89.

However, `DiskChecker` class contains a method, called `setDiskSpaceThreshold(float, float)`, which, we believe, contains up to 4 unreachable statements. Generally, in order to identify unreachable statements, drawing the flow-graph of the developed code and finding out the path that would never be reached is required. However, in this case, we can consider as unreachable all statements of `setDiskSpaceThreshold(float, float)` method owing to following reasons:

(1) `setDiskSpaceThreshold(float, float)` method is **never used** in the project, that is it is never called by any other method.
(2) `setDiskSpaceThreshold(float, float)` method has **no access modifier** (*package private*) which means, according to Java language specification, that it is only accessible within classes in the same package, therefore that method is not visible by our test set.

Therefore, we can conclude by stating that $|S_i| = 4$ and our test set T_2 gives to us a statement coverage equal to **1** (**100%**).

Since statement coverage of T_2 is 1, we can consider T_2 as **adequate with respect to the statement coverage criterion**.

2.2.2.2 *Decision coverage (Branch decision coverage).*

According to our analysis, `DiskChecker` class contains 16 decision. Since our test set T_2 covers all decisions, decision coverage is equal to **1** (**100%**). Because decision coverage of T_2 is 1, we can consider T_2 as **adequate with respect to the decision coverage criterion**.

2.2.2.3 *Condition coverage.*

According to sonarcloud reports, our test set T_2 covers up to 45 simple conditions, out of a total of 46 with a condition coverage equal to 0.978 (97, 8%).

Since condition coverage is less than 1, our test set T_2 is *not* adequate with respect to the condition coverage criterion.

2.2.3 *Mutation Analysis.*

According to `PIT` report, mutation coverage of our T_2 test set is equal to 29, out of a total of 46 (mutation score 63.04%).

To build our new test set T_3, we added following unit tests to T_2:

- `additionalTest_1` (`TestTest` class)
- `additionalTest_2` (`TestTest` class)
- `additionalTest_3` (`TestTest` class)

After these changes, with our new test set T_3, we have killed 4 extra mutants, obtaining a mutation coverage equal to 34, out of a total of 41 (mutation score 82.92%).

2.2.4 *Conclusions.*

Finally, using our test set T_3, 7 tests, out of a total of 50, failed revealing the presence of several bugs, despite its stability according to our prediction model.

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