



# Controllable and trustworthy blockchain-based cloud data management<sup>☆</sup>

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## HIGHLIGHTS

- Controllable blockchain data management with higher level of voting authorization.
- Semi-disruptive working mode with partial centralized control capability.
- Mitigate limitation due to lack of control on posted ledgers.

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## ABSTRACT

In recent years, there have been efforts to deploy blockchain in a broad range of applications and in different domains, such as the critical infrastructure sectors. Generally, blockchain can be leveraged to establish a fair and transparent data sharing environment, where unauthorized modification to the data can be audited and traced. There are, however, known limitations of blockchain-based solutions, such as a significantly weakened networking control capability due to the distributed nature of such solutions. In addition, decisions recorded on a blockchain cannot be changed and there is the risk of majority attack (also known as 51% attack). Seeking to mitigate these limitations, in this paper we propose a *controllable blockchain data management* (CBDM) model that can be deployed in a cloud environment. We then evaluate its security and performance, in order to demonstrate utility.

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## 1. Introduction

The recent trend in blockchain is probably due to the success and popularity of bitcoin. The interest in blockchain is also evidenced by the increasing number of blockchain-based solutions in a broad range of fields [1–5]. This is not surprising, for example due to its capability to provide a transparent data usage and sharing environment [6,7]. Specifically, a blockchain system is widely considered a secure platform since all actions made by system participants are recorded on the chain and the continuing expanding chain makes it computationally challenging to change any block without detection (i.e. blocks are iteratively linked by cryptographic hashes).

Blockchain also permits flexible access, which may be used to achieve privacy-preserving feature, for example by permitting the use of alias accounts [8–11]. For example, on many cryptocurrency systems, the owner of the digital currency is permitted to register an alias account, so that the identity of the owner is neither distributed nor published in the system [12]. The only authentication is the configuration of alias accounts rather than the owner's actual attributes that are associated with his/her personal information.

However, no technology is perfect. In the context of blockchain, for example, there is the risk of a majority (or 51%) attack.

In this paper, we propose a novel approach that seeks to mitigate the lack of control in blockchain. In our proposed *Controllable Blockchain Data Management* (CBDM) model (see also Fig. 1), we introduce a specific node in the network system. This particular node is also referred to as the *Trust Authority* (TA) node, which has a higher level of voting authorization in comparison to other participant nodes. The system configures a TA with a veto power, which is particularly designed for preventing malicious voting. Such an approach differs from a typical blockchain system, as it configures a semi-disruptive working mode with a partial centralized

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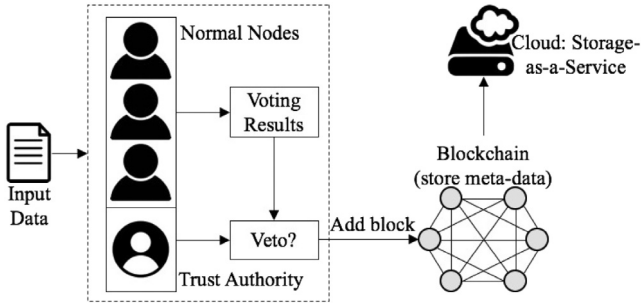


Fig. 1. High level architecture of the proposed model.

control capability. Moreover, our model leverages cloud storage to achieve storage efficiency (i.e. *Storage-as-a-Service* (StaaS)), and data in each block only store meta data in order to enhance block construction efficiency and minimize distributive storage waste.

We will next review the extant literature (see Section 2). Then, we present the system design of the proposed approach as well as the core definitions in Section 3. The key algorithms in our approach are described in Section 4. Section 5 presents the security analysis and partial results of the experiment evaluation. Finally, this study is concluded in Section 6.

## 2. Related work

There is a growing body of work on blockchain in recent years, and in this section we will briefly review relevant literature.

One particular popular and successful application of blockchain is in smart contracts [13–18]. For example, Kosba et al. [19] designed a decentralized smart contract system, *Hawk*. To ensure privacy, plaintext financial transactions are not stored on the blockchain and a cryptographic protocol can be constructed by the *Hawk* programmer. In another work, Heilman et al. [9] presented an approach to ensure anonymity for both blockchain-oriented and off-chain transactions. In this approach, there is an untrusted third party tasked to distribute anonymous vouchers that can be considered the monetary transaction. Generally, smart contract systems are associated with openness and traceability.

Blockchain can be used to facilitate secure data management or to enhance the security level in a particular application (e.g. Internet of Things (IoT) environment). For example, Aitzhan et al. [20] attempted to enhance the security of trading data in their decentralized smart grid energy trading approach. More specifically, their approach uses a series of blockchain-oriented techniques to achieve privacy protection in multi-signature, anonymous messaging encryption, anonymous negotiable energy trading, and other applications. Studies such as those of [21] focused on user-centric services, for example using blockchain to enable content delivery management. In such approaches, a content brokering contract is used to specify both contents and user preferences.

Generally, blockchain-based data management approaches leverage the decentralization characteristic of blockchain, without the capability to control its over-centralization. In this presented work, a trust authority is introduced to facilitate blockchain data management. This allows us to monitor data that needed to be recorded and the operations users made to minimize risk.

Blockchain and blockchain-based services can be deployed in environment such as the cloud [22–24]. This has also resulted in the coining of the term, *Blockchain-as-a-Service* (BaaS). Specifically, BaaS utilizes blockchain's feature of tamper proof storage for approved/authorized actions. There have also been efforts to utilize blockchain techniques to enhance the performance of cloud systems. For instance, the authors in [25] utilized blockchain

techniques to combine cloud computing, *Software-Defined Network* (SDN), and fog nodes, in order to accurately allocate computing resources in the distributed blockchain cloud architecture. In yet another work [26], the authors combined both cloud and blockchain techniques to facilitate secure medical data sharing between cloud service providers. However, the size of cloud data is generally significant and it is not realistic to store cloud data in the blockchain. In addition, as discussed earlier, data stored in the blockchain is open and transparent, and thus it is not advisable to store sensitive data, even when such data are encrypted, on the chain.

Blockchain can also be utilized to optimize key management. For example, Lin et al. [27] demonstrated how blockchain can be used to facilitate user authentication, where a linearly homomorphic signature on blockchain was designed to avoid the drawbacks of public-key certificates. Additionally, a dynamic key management approach was proposed in [28], in order to ensure security in *Vehicular Communication Systems* (VCSs). Blockchain can also be used to facilitate group message broadcast. For example, Guo et al. [29] explained how blockchain can be implemented in *Electronic Health Records* (EHR) systems, by using an attribute-based signature scheme.

Another recent blockchain research trend is in the design of scalable blockchain. For example, Otte et al. [30] explained that it is possible to build a scalable blockchain for each agent for the purpose of achieving scalability. Such an approach follows the rule of immutable chain, but uses an order of temporal interactions for each agent. Thus, each agent could have a genesis block, and hence achieves a certain scalability. However, such approach does not address the “lack-of-control” limitation.

We can draw the following observations from existing literature. Firstly, the decentralized nature of smart contract can be utilized to achieve other functionality. Secondly, blockchain can be integrated with other consumer technologies, such as cloud systems. Thirdly, key management can potentially be optimized by using blockchain, and finally “lack-of-control” is an ongoing challenge in blockchain-based solutions.

In the next section, we present the system design of the proposed model.

## 3. System design

### 3.1. Preliminaries

In this section, we outline the bilinear pairing technique, which will serve as the basic point of the proposed CBDM scheme.

**Bilinear Pairing:** The bilinear pairing defines three multiplicative cyclic groups  $G_1$ ,  $G_2$ ,  $G_T$  of the same prime order  $p$ . Let  $g_1 \in G_1$  and  $g_2 \in G_2$  two generators for  $G_1$  and  $G_2$  respectively. A pairing relationship  $\hat{e}: G_1 \times G_2 \rightarrow G_T$  is defined on the three groups  $G_1$ ,  $G_2$ ,  $G_T$  and it satisfies the following properties: (i) Bilinear:  $\forall g \in G_1, h \in G_2, a, b \in \mathbb{Z}_p, e(g^a, h^b) = e(g, h)^{ab}$ ; (ii) Non-degenerated:  $\exists g_1 \in G_1, g_2 \in G_2, e(g_1, g_2) \neq 1_{G_T}$ , where  $1_{G_T}$  is identity element in  $G_T$ ; (iii) Computable:  $\forall g \in G_1, h \in G_2, e(g, h)$  can be computed efficiently;

**Definition 3.1 (Bilinear Pairing Generator).** A bilinear pairing generator *BPG* is a probabilistic algorithm that takes a security parameter  $\lambda$  as its input, and outputs some parameters  $\{G_1, G_2, \hat{e}, q, P, H\}$ , where  $q$  is a  $\lambda$ -bit prime number,  $(G_1, G_2)$  are two cycle groups of the same order  $q$ ,  $G_1$  is multiplicative group,  $G_2$  is additive group.

### 3.2. Problem definition

Modifying documents is very common in document management, but it is a serious problem to guarantee changed documents legality and security in trustworthy document management system. When users modify documents, the DMS will encounter many problems of trustworthiness and reliability, such as invalidated documents changes and illegal operations. For example, modifying architectural blueprints is a common operation in the construction industry. Without validations, document changes are typically based on an assumption that all architects' inputs are validated. However, this assumption is inapplicable in reality due to many reasons, such as distinct capabilities and intended improper modifications for financial concerns.

To address the problem above, a trustworthy, transparent and traceable approach is needed to achieve validate document modifications. We introduce a blockchain-enabled system to record each user's request (documents changes) and their key behavior. We also introduce a TA to monitor users identities and behaviors. The probability of attacks will be reduced on a blockchain system, because attackers must possess more than 51 percent hashing power for launch a malicious activity. In most situations, the cost of the computational resource is greater than the gain of the attack.

**Definition 3.2** (*Controllable Blockchain Data Management (CBDM) Problem*). Input: a set of encrypted messages of requesting to change documents of users,  $\{Enc(\mathcal{M}_i)\}$ , where  $i \in N$ . Output: the vote results set,  $\{\mathcal{R}_i\}$ , where  $i \in N$ .

In Definition 3.2, a set of encrypted messages  $\{Enc(\mathcal{M}_i)\}$  refers to requests to change documents users sending to administrator in blockchain system, where  $N$  means the amount of users. The vote results set  $\{\mathcal{R}_i\}$  refers to the approval or the rejection to each user's request. Users send their encrypted requests of changing documents to administrator of blockchain system to achieve its agreement. Administrator of blockchain system verifies whether user's identity is valid or not. If user's identity is valid, the user's request will be voted by other users and trust authority. If user's identity is invalid, the user's request will be dropped. After the vote of users and trust authority, the final result  $\{\mathcal{R}_i\}$  returns to the user to decide if the user has the right to modify documents.

### 3.3. Threat model

Due to the *Honest-But-Curious* feature of cloud computing, it will mine the data information to analyze the contents of documents and the relationship between users. So the CBDM scheme can have two types of attackers: the external adversary and the internal adversary. Based on attack types, we define a main threat model that is *User Collusion Attack Model* (UCAM). In this model, we assume that users have the opportunity to collude with each other to modify documents to get invalid documents, then they conspire to malicious votes so that invalid documents are approved as pseudo-legitimate documents. Meanwhile, some users may have some bad behavior, which is also recorded on the blockchain and reviewed by the rest of the users causing bad consequences. Besides, attackers outside may forge some users' secret signatures to vote for illegal documents or achieve some valid users' identity.

### 3.4. Design objectives

Considering the threat models mentioned above, our design goal is to propose a secure, reliable and privacy-preserving CBDM with traceability scheme. The following design objectives should be satisfied:

**Controllability:** We aim at designing a special node with a veto power which is TA (trust authority) to efficiently monitor voting

actions  $\mathcal{A}$  in the blockchain. Because of public keys  $U_{pk}$  and private keys  $U_{sk}$  distributed for users  $U$  by TA, TA can trace  $U$  true identities  $ids$  through signatures  $U_{sig}$  of  $U$  on votes and revoke the voting rights  $\mathcal{V}$  of  $U$ .  $U$  who request to modify documents  $D$  will send TA modified documents  $nD$  in a secure channel, then TA will compare  $nD$  with those which are found on the cloud by hash values  $dH$  of changed documents to judge they are valid or not aiming at avoiding documents are tampered with. Besides, attackers cannot tamper with voting information  $vR$  because they are incapable of decrypting encrypted  $vR$  under the situation of not being detected due to the secure encryption algorithm.

**Privacy-preserving:** When  $U$  join the system, TA will distribute  $U_{pk}$  and  $U_{sk}$  for each user.  $U$  will sign their votes by their  $U_{sk}$  during voting stage. No user knows others or others'  $vR$ . All mentioned for privacy-preserving guarantee users anonymity and privacy. Each user needs to obtain the permission  $\mathcal{P}$  of the TA in the blockchain system, that is to say,  $U$  only can be nodes in the blockchain system through user identity certificates  $U_{cert}$  published by TA which guarantees that  $U$  joining the blockchain are valid. Besides, each user should give corresponding fees for voting to avoid malignant vote.

**Openness and Transparency:** Both modification records  $cR$  and voting records  $vR$  are published to the blockchain to give convenience to  $U$  view which satisfies openness and transparency. If an attacker intercepts a voting message and sends it to counting contracts  $CC$ ,  $CC$  will find that the vote has existed and drop the vote. The attacker also cannot forge a vote because it cannot forge the VC's signatures due to signature algorithm security. Any nodes are free and peer-to-peer, they can join or leave blockchain system at anytime, so that the system will not be affected the operation due to a node's crash.

### 3.5. Proposed model

The system model is illustrated in Fig. 2, which consists of four parties: trust authority (TA), cloud servers (CS), blockchain system (BS) and a group of users  $U = \{U_1, U_2, \dots, U_N\}$ . And in our scheme, we consider a scenario that some of users may request TA to change documents  $D = \{D_1, D_2, \dots, D_M\}$  and send other users their changed documents  $nD = \{nD_1, nD_2, \dots, nD_S\}$  after achieving confirmation for changing documents from TA, where each  $nD_i \in nD$  indicates a new version document of documents  $D = \{D_1, D_2, \dots, D_M\}$ . Then other users vote for the changed documents in trustworthy document management system. Detailed descriptions of four parties are given in the followings:

**Trust Authority (TA):** TA is a fully trustable party, which is a legitimate and authoritative institute authorized by government for blockchain system. The most important for TA is that it could examine the changed document to determine it invalid to reject it regardless of other users opinions.

**Cloud Servers (CS):** Cloud servers only store the encrypted changed documents to save users storage space. It is convenient for users and TA to review the changed documents and avoid users making the same change on documents next time.

**Blockchain System (BS):** Blockchain system counts the votes for changed documents to decide whether users approve or reject the change. In blockchain system, there are three entities, which are an system administrator SA, a set of voting contracts and a set of counting contracts. SA takes charge of the registration of all users and users requesting to change documents aiming at achieving the confirmation. SA distributes public keys, private keys, address, which is generated by public keys to each user and public and private key pairs to a set of voting contracts  $VC = \{VC_1, VC_2, \dots, VC_R\}$

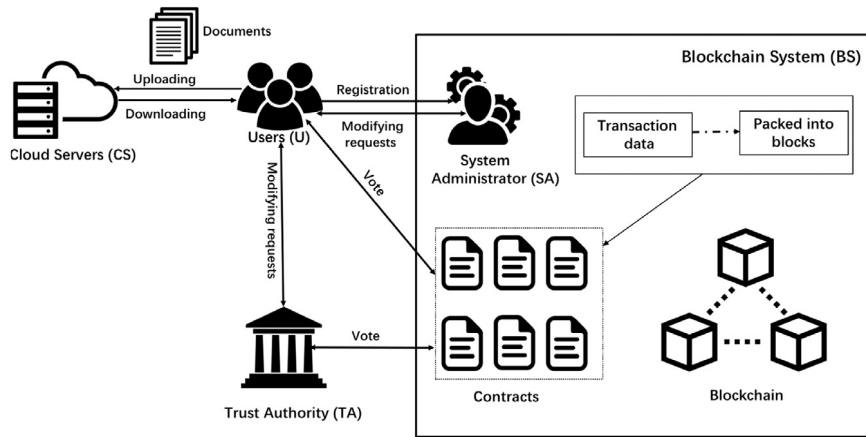


Fig. 2. The system model of blockchain-based controllable trustworthy document management approach.

and counting contracts  $CC = \{CC_1, CC_2, \dots, CC_R\}$ . SA also manages the time for registration, voting starting and stopping and system initialization. Voting contracts are used to verify users identities and give a platform for users to vote. Counting contracts are used to count the amount of votes and publish votes and voting results. Blockchain is used to store records of changing documents  $cR = \{cR_1, cR_2, \dots, cR_T\}$  and voting information  $vR = \{vR_1, vR_2, \dots, vR_Q\}$  and the hash value of changed documents  $dH = \{dH_1, dH_2, \dots, dH_T\}$ . Blockchain packages the information mentioned above after counting contract publishing these records. Users can retrieve the content of blocks to find the hash value of previously changed documents and download them from cloud servers to check the past changes to documents consisting valid changes and invalid changes. Compared with cloud servers, blockchain is public, transparent, unforgeability and difficult to be attacked.

**Users** ( $U = \{U_1, U_2, \dots, U_N\}$ ): each user  $U_i \in U$  is registered by SA. They can request SA and voting contracts to change documents, and each of them has the authority to determine whether to approve the proposal of modifying documents or not. Besides, they can trace changed documents from blockchain system and cloud servers.

Specifically speaking, there are three phases in our CBDM scheme: (1) system initialization, (2) document modification, and (3) document management.

**System initialization** This phase essentially initializes the system by configuring a group of parameters and completing key generations for user registrations. Descriptions about phases are given in the followings.

- (1) **SystemSetup**: The system sets a security parameter  $\lambda$  to create parameters  $\{G_1, G_2, \hat{e}, q, P, H\}$ . SA generates public key  $G_{pk}$  and private key  $G_{sk}$  for itself, who takes a responsibility to be a group administrator, and it generates public and private keys pairs to contracts. TA generates public and private key pairs for itself according to parameters  $\{G_1, G_2, \hat{e}, q, P, H\}$ . Besides, all addresses are created by corresponding public keys. SA also needs to set time for registration starting and stopping and time for voting starting and stopping.
- (2) **Registration**: Each user who wants to join the blockchain system should register to SA, then SA gives public keys  $U_{pk} = \{U_{pk1}, U_{pk2}, \dots, U_{pkN}\}$  and private keys  $U_{sk} = \{U_{sk1}, U_{sk2}, \dots, U_{skN}\}$  to user which can make user be valid in blockchain system and generates secret signature keys  $U_{sig} = \{U_{sig1}, U_{sig2}, \dots, U_{sigN}\}$  for users.

**Document modification** This phase addresses major operations of the voting implementation in smart contract, including request, verification, vote, and count. It represents one of the core functions offered by TA, which is a veto power right. Explanations about these operations are provided below.

- (1) **Request**: User  $U_i \in U$ , where  $i \in N$  sends a request message  $\mathcal{M}$  to change documents with his private keys encrypting his request  $\mathcal{M}$  to SA. Besides, this user needs to send his secret signature key and sends the modified document encrypted by his private key to TA in a secure channel.
- (2) **Verification**: SA checks user's request  $\mathcal{M}$  decrypted by user's public key and verifies whether the secret signature key is valid or not. If the secret signature key is valid, SA will agree with the user's request and make this request to be a vote option. Otherwise, the request will be rejected.
- (3) **Vote**: Each user sends his hash value of vote option to TA. TA generates some signature parameters for users. Then user generates his own signature for vote option and sends the signature to voting contract. Voting contract checks whether the signature is valid or not. If the signature is valid, voting contract will sign it by its own private key and send it to user. User encrypts the signed signature, his signature and his vote option by counting contract's public key and send them to counting contract. TA also needs to vote for these modified documents and it has a **veto power right**.
- (4) **Count**: If counting contract verifies the signatures of users are valid, it will count the vote. Counting contract is used to count the amount of votes and computes the voting results. The vote of TA should be considered separately. If TA approves the modified document, its vote is similar to normal users'. If TA disagrees with the modified document, counting contract will publish the voting result only according to TA's vote option.

**Document management** This phase emphasizes a number of primary operations on blockchain, which include recording document changes, document uploads, and document downloads.

- (1) **RecordStore**: Records of changing documents, users voting for changed documents and TA's determination of whether accepting changed documents or not will be packaged in blocks with hash values of changed documents every ten minutes. Both TA and users can verify the validity of changing documents and find the documents exactly by the hash values of documents which can make users to review the reasons for the rejection and adoption of the previous changed documents with the use of blockchain.



**Algorithm 1 User Registration Algorithm****Require:**

The number of unregistered users  $Num_{unreg}$ ;  
 The number of modified documents  $Num_d$ ;  
 The hash value set of modified documents  $dH$ ;

**Ensure:**

Users' public keys set  $U_{pk}$ ;  
 Users' private keys set  $U_{sk}$ ;  
 Users' addresses set  $U_{Addr}$ ;  
 Users' secret signature keys set  $U_{sig}$ ;

```

1: for  $i = 0$ ;  $i < Num_{unreg}$ ;  $i++$  do
2:   SA generates public key  $U_{pki}$  and private key  $U_{ski}$  for user  $U_i \in \mathbf{U}$ 
3:    $U_{pki}$  generates address  $U_{Addr_i}$  for  $U_i$ 
4:   SA generates a random number  $x_i^u \in Z_q^*$  for user  $U_i$ 
5:   SA computes  $x_i^s = (x - x_i^u) \bmod q$  and sends  $(x_i^s, U_i)$  to TA
6:   TA generates a random number  $y_i^u \in Z_q^*$  for user  $U_i$ , computes  $y_i^s = (y - y_i^u) \bmod q$  and stores  $y_i^s$ 
7:   Each user  $U_i$  has their secret signature key  $U_{sig_i}(x_i^u, y_i^u)$ 
8: end for
9: return  $U_{pk}, U_{sk}, U_{Addr}$  and  $U_{sig}$ 

```

- (2) *Upload*: Users who want to modify documents should upload changed documents to cloud servers in encrypted form and all group members have secret keys to decrypt encrypted changed documents.
- (3) *Download*: TA and users can download changed documents to make a reference for their future revision. To TA, it can also compare the modified document downloaded from cloud with the modified document sent from user who wants to modify documents to check whether these two documents are same or not which is a great basis for rejecting the request.

The next section presents main proposed algorithms in our approach.

**4. Algorithms****4.1. User registration algorithm**

The user registration algorithm is designed to generate corresponding parameters for users. We consider that if users register the blockchain system, they should achieve ids and secret signature keys to modify documents and vote modified documents. The input of user registration algorithm are the number of unregistered users  $Num_{unreg}$ , the number of modified documents  $Num_d$  and the hash value of modified documents. The output of the user registration algorithm are users' public keys, private keys, addresses, which are achieved by public keys and secret signature keys. Users' private keys are used to make users can do operations, such as voting.

Next, at SystemSetup phase, SA has distributed public and private keys pairs to contracts and addresses to TA, contracts and itself. According to 3.1, SA generates some parameters  $\{G_1, G_2, \hat{e}, q, P, H\}$  according to 3.1 and expose them, where  $H : \{0, 1\}^* \rightarrow G_1$ . SA sets  $x \in Z_q^*$  as SA's private key  $G_{sk}$  and computes  $X = xP$  as its public key  $G_{pk}$ . TA set  $y \in Z_q^*$  as its private key  $T_{sk}$  and computes  $Y = yP$  as itself's public key  $T_{pk}$ .

Algorithm 1 represents the pseudo codes of the user registration algorithm.

The main phases of this algorithm include:

- The administrator of blockchain system SA generates public keys set  $U_{pk}$  and private keys set  $U_{sk}$  for users  $\mathbf{U}$  registering

for blockchain system.

- Blockchain system generates users' addresses set  $U_{Addr}$  by users' public keys set  $U_{pk}$  to let users join the blockchain system.
- SA and TA generate random number  $(x_i^u, y_i^u)$  to achieve secret signature keys set  $U_{sig}$  for each user.
- SA computes  $x_i^s = (x - x_i^u) \bmod q$  and sends  $(x_i^s, U_i)$  to TA. TA computes  $y_i^s = (y - y_i^u) \bmod q$  and stores  $y_i^s$ . When users vote for the request, TA can check whether their identity is valid or not by computing  $x_i^s, x_i^u, y_i^s$  and  $y_i^u$ .

**Algorithm 2 Voting And Counting Algorithm****Require:**

The number of voters  $Num_v$ ;  
 The hash values set of each voter's vote option  $H(V)$ ;

**Ensure:**

The vote result  $\mathcal{R}$ ;

```

1: for  $i = 0$ ;  $i < Num_v$ ;  $i++$  do
2:   Voter  $v_i$  sends his vote option  $H(V_i)$  and his secret signature key  $U_{sig_i}$  to TA
3:   TA checks the validity of voter's signature.
4:   if  $x_i^u \neq x_j^u$  and  $y_i^u \neq y_j^u$ , where  $i \neq j$  then
5:     if  $x_{i_1}^u + x_{i_2}^u + \dots + x_{i_j}^u \neq x \bmod q$  and  $y_{i_1}^u + y_{i_2}^u + \dots + y_{i_j}^u \neq y \bmod q$  where  $j \in \mathbb{Z}$  then
6:       if  $x_{i_1}^u + x_{i_2}^u + \dots + x_{i_j}^u \neq x_j^u \bmod q$  and  $y_{i_1}^u + y_{i_2}^u + \dots + y_{i_j}^u \neq y_j^u \bmod q$  where  $j \in \mathbb{Z}$  then
7:          $U_{sig_i}$  is valid.
8:       end if
9:     end if
10:  end if
11:  while  $U_{sig_i}$  is valid do
12:    TA computes  $v_i^s = y_i^s * H(V_i)$  and  $\sigma_i^s = x_i^s * H(V_i)$ 
13:    TA stores  $(U_{Addr_i}, H(V_i))$  and sends voter  $v_i$  ( $v_i^s, \sigma_i^s$ )
14:    Voter  $v_i$  computes  $\sigma_i^u = x_i^u * H(V_i)$  and  $v_i^u = y_i^u * H(V_i)$  and sets his signature on vote option is  $\sigma_i$ , where  $\sigma_i = v_i^s + v_i^u + \sigma_i^s + \sigma_i^u$ 
15:    Voter sends voting contract his signature  $\sigma_i$ 
16:    Voting contract checks the signature's validity
17:    if  $\hat{e}(P, \sigma) = \hat{e}(X + Y, H(V_i))$  then
18:       $\sigma_i$  is valid
19:    end if
20:    while  $\sigma_i$  is valid do
21:      Voting contract uses its private key  $Y_A$  to sign  $\sigma_i$ 
22:      Voter uses public key  $X_B$  of counting contract to encrypt  $\{V_i || Y_A(\sigma_i) || \sigma_i\}$  to get  $m_i = Enc\{V_i || Y_A(\sigma_i) || \sigma_i\}$  and sends  $m_i$  to counting contract
23:      Counting contract verifies the validity of voter's signature and counts the corresponding vote
24:    end while
25:  end while
26: end for
27: if TA Votes then
28:   Counting contract sets the vote result  $\mathcal{R}$  to be the vote option of TA
29: if TA approves then
30:   Pack up the hash value into the block
31: else if then
32:   Mark the document as "denied"
33: end if
34: else if then
35:   Pack up the hash value into the block
36: end if
37: return  $\mathcal{R}$ 

```

#### 4.2. Voting and counting algorithm

The voting and counting algorithm of CBDM scheme is designed to let users vote for modified documents and make contracts count votes to publish the vote results. The input of the voting and counting algorithm are the number of voters  $Num_v$  and hash values set of each voter's vote option  $H(V)$ . The output of the voting and counting algorithm is the vote result. Algorithm 2 represents the pseudo codes of the voting and counting algorithm.

The main phases of this voting and counting algorithm include:

1. For each voter, he sends his vote option  $H(V_i)$  and his secret signature key  $U_{sig_i}$  to TA, then TA checks whether this voter is valid. We set some requirements for voters to check whether their secret signature keys are valid or not. The detailed requirements are:
  - $x_i^u \neq x_j^u$  and  $y_i^u \neq y_j^u$ , where  $i \neq j$ . This requirement means that each user's signature should be different from others'.
  - $x_{i_1}^u + x_{i_2}^u + \dots + x_{i_j}^u \neq x \bmod q$  and  $y_{i_1}^u + y_{i_2}^u + \dots + y_{i_j}^u \neq y \bmod q$  where  $j \in \mathbb{Z}$ . This requirement indicates that any random numbers of users cannot add up to be equal to  $x$  and  $y$  to avoid  $x$  and  $y$  being guessed. Once the above formulas can be equalized, users can collude to generate their own legitimate signatures to illegally vote.
  - $x_{i_1}^u + x_{i_2}^u + \dots + x_{i_j}^u \neq x_j^u \bmod q$  and  $y_{i_1}^u + y_{i_2}^u + \dots + y_{i_j}^u \neq y_j^u \bmod q$  where  $j \in \mathbb{Z}$ . This requirement presents that any random numbers of users cannot add up to be equal to  $x_j^u$  and  $y_j^u$  to avoid  $x_j^u$  and  $y_j^u$  being guessed. Once  $x_j^u$  and  $y_j^u$  are guessed,  $x$  and  $y$  will be guessed finally. Then users can collude with each other to illegally vote.
2. When TA has checked the validity of voters' signature keys, they computes a part of signatures of voters' vote option, which are  $(v_i^s, \sigma_i^s)$ . And  $v_i^s = y_i^s * H(V_i)$ ,  $\sigma_i^s = x_i^s * H(V_i)$ . Besides, TA stores  $(U_{Addr}$  and  $H(V))$  to open the signature by querying  $(U_{Addr}$  and  $H(V))$  aiming at check the user identity of the signature of vote option. Then voters compute their full signatures by the random number  $x_i^u$  generated by SA.
3. Each voter sends his signature  $\sigma_i$  to voting contract, where  $\sigma_i = v_i^s + v_i^u + \sigma_i^s + \sigma_i^u$ . Then, voting contract checks the validity of voters' signatures in Eq. (1). And  $v_i^u = y_i^u * H(V_i)$  and  $\sigma_i^u = x_i^u * H(V_i)$ .

Because,

$$\begin{aligned}
 \sigma_i &= v_i^s + v_i^u + \sigma_i^s + \sigma_i^u \\
 &= y_i^s * H(V_i) + y_i^u * H(V_i) + x_i^s * H(V_i) \\
 &\quad + x_i^u * H(V_i) \\
 &= y_i^s + y_i^u * H(V_i) + x_i^s + x_i^u * H(V_i) \\
 &= (x + y) * H(V_i)
 \end{aligned} \tag{1}$$

Therefore,

$$\hat{e}(P, \sigma) = \hat{e}(X + Y, H(V_i))$$

When voters' signatures are checked and they are valid voters, voting contract will sign voters signatures by voting contract's private key  $Y_A$ .

4. Voters encrypt  $\{V_i \| Y_A(\sigma_i) \| \sigma_i\}$  by counting contract public key  $X_B$  and send  $Enc\{V_i \| Y_A(\sigma_i) \| \sigma_i\}$  to counting contract. Counting contract decrypts  $Y_A(\sigma_i)$  by voting contract's public key  $X_A$  to check whether the signature is valid. Besides, counting contract computes the hash value of vote option  $V_i$  to justify whether the vote option is true. After verifying the validity of voters, counting contract counts the vote information according to  $H(V_i)$ .

**Table 1**

Partial experiment settings.

Setting	Document size	User amount
Setting 1-1	10	[0, 50]
Setting 1-2	20	[0, 50]
Setting 1-3	50	[0, 50]
Setting 1-4	100	[0, 50]
Setting 2-1	10	[0, 100]
Setting 2-2	20	[0, 100]
Setting 2-3	50	[0, 100]
Setting 2-4	100	[0, 100]

5. TA has a **veto power right**. Once TA votes for one vote option, counting contract will set the vote result  $\mathcal{R}$  to be the vote option of TA. If TA approves of the users' decision, blockchain system will pack up the final document hash value. If TA denies users vote option, the document hash value will be marked "denied".

### 5. Experiment evaluation and security analysis

#### 5.1. Experiment evaluation

This section presented the experiment configuration and partial results to exhibit main findings deriving from our evaluations. We mainly focused on assessing two aspects in our experiments, which were cost and efficiency. The meaning of the cost in our model referred to the financial cost produced during the mining process. An assessment on efficiency meant the time length of constructing blocks. In order to achieve the evaluation objective above, we configured two variable parameters, which were user amount and document size. A variety of application scenarios were evaluated in our experiment. Due to the page length limit, we only presented partial experiment results collected from two types of scenarios. Table 1 showed partial experiment settings that were aligned with the experiment results provided in this section.

Moreover, considering the environment configuration, we used an Ethereum client Geth (1.8.3-stable) and an Ethereum Wallet 0.10.0 running on a desktop computer MacBook Pro 2017 (OS: macOS 10.13.4, CPU: 2.3 GHz Intel Core i5, RAM: 8 GB of 2133 MHz LPDDR3). Under this environment, the miners' earned fee was equal to the value of multiply of the used gas and the gas unit price. Current price configuration was 0.018 Ether per million gas. The reason for selecting Ethereum as the experiment platform was that it could successfully simulated the application scenarios by constructing a private blockchain system. Implementing experiments on Ethereum could examine a variety of aspects, including correctness, efficiency, and cost.

Moreover, we tested time cost of all votes and publishing results phases. The time of all votes and publishing results phases included time  $t_{register}$  for user registration and time  $t_{vote}$  for user votes. Besides, the time also included publishing transactions and hash values of modified documents. Figs. 3–8 depicted various time costs for users in different documents. On the whole, we found that the cost had a positive relationship with both user amount and document size in all evaluated scenarios. First, we observed that the time cost tendency grew smoothly along with the increase of users sizes, when documents sizes were set to 10, 20, 50 and 100, respectively. Meanwhile, the incremental trend became lighter when the number of users was increased. According to our model design, the hash value of the document could be selected by users via smart contract rather than directly selecting documents. The advantage of this design was that the size of the document had limited impacts on the efficiency of the document selections.

According to Fig. 11, we found that the gas cost had a positive relationship with the user size. This phenomenon was reasonable

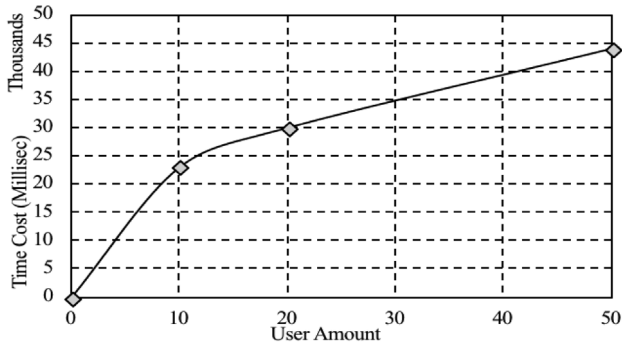


Figure 3: Results under Setting 1-1.

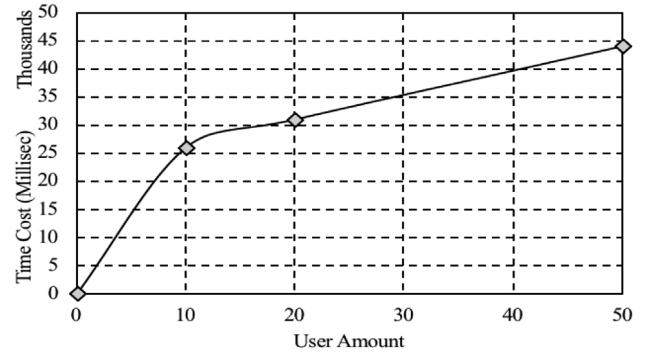


Figure 4: Results under Setting 1-2.

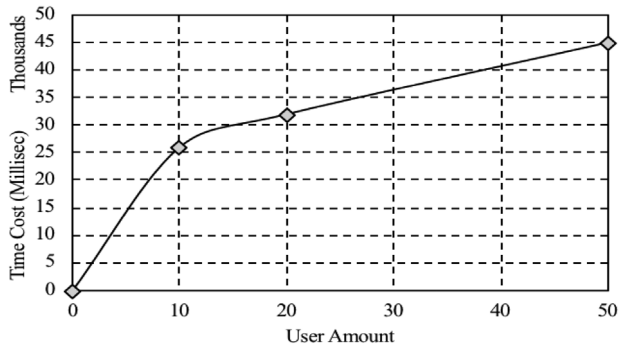


Figure 5: Results under Setting 1-3.

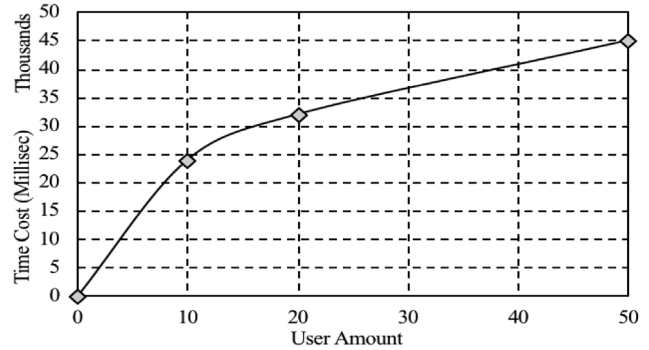


Figure 6: Results under Setting 1-4.

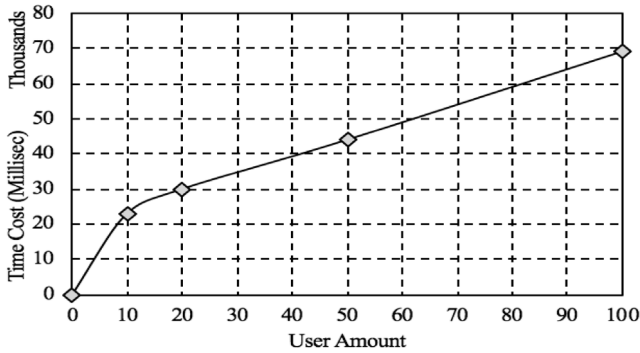


Figure 7: Results under Setting 2-1.

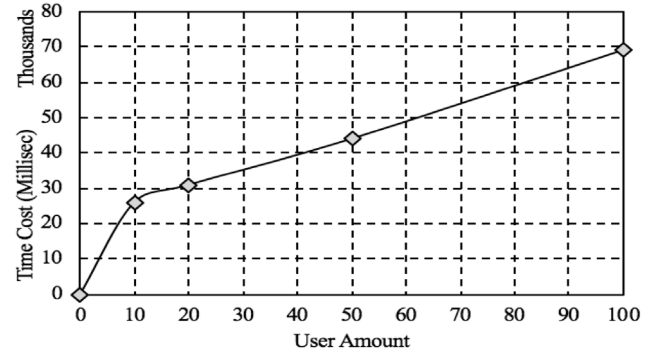


Figure 8: Results under Setting 2-2.

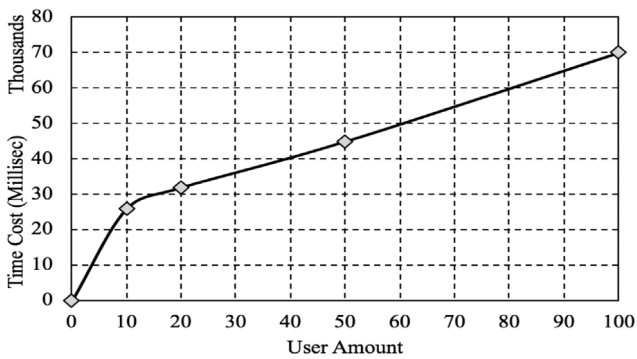


Figure 9: Results under Setting 2-3.

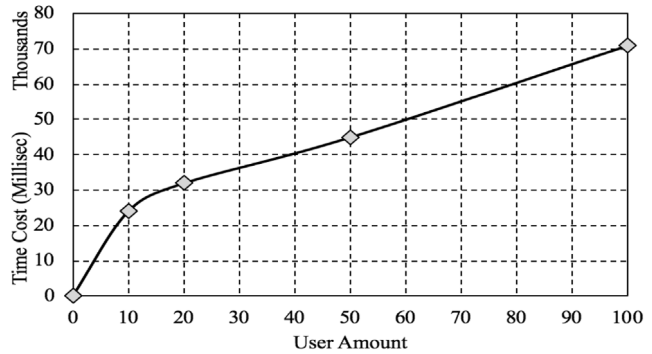


Figure 10: Results under Setting 2-4.

Figs. 3–10. Comparisons of time consumptions for users in different documents' sizes.

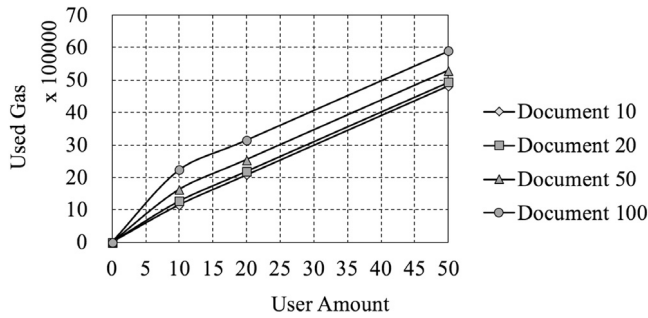


Fig. 11. Gas costs of votes in different user amounts with different document sizes.

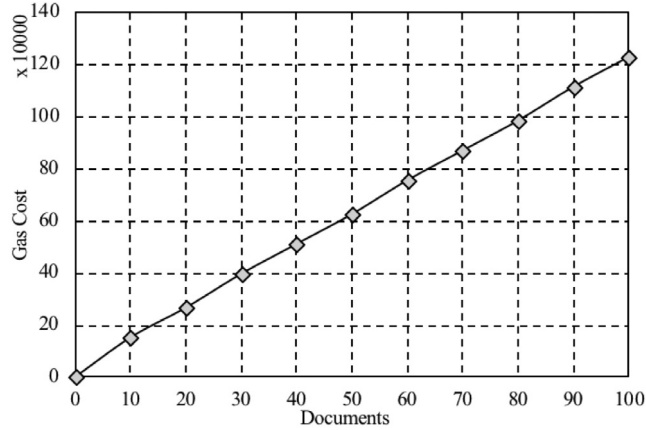


Fig. 12. Gas used for packing up each document's hash value into a block.

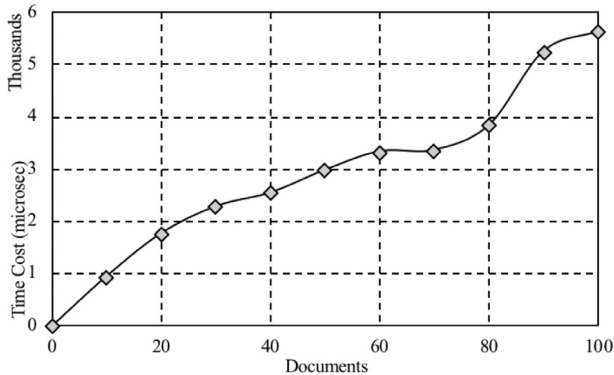


Fig. 13. Time cost for packing up each document's hash value into a block.

due to the increase of the computation workload. At the vote and publishing results phases, users consumed the gas when registering to the blockchain system. Besides, users vote for modified documents and blockchain system published the result as well as the consumed gas.

In Fig. 12, it illustrated that the number of the modified document was linear to the gas cost, which included the committed transactions and the transaction confirmed by miners. Our collected data depicted that the number of documents was associated with the time cost. Meanwhile, the size of the document had a strong impact on the time cost. In Fig. 13, it showed that the time of packing up documents by using hash values also was linear to the number of modified documents. When number of documents turned into 80, the speed of the cost growth tended to be slower. We analyzed the results and found that this phenomenon was

caused by the performance fluctuation of the computing resource. The trend still was likely a linear growth.

The proposed CBDM model proved that it is effective and correct, according to the use of blockchain. We set a series of changing parameters to verify the CBDM model's feasibility and controllability and verify that the proposed model offers a controllable document management system while achieving both trustworthiness and efficiency.

## 5.2. Security analysis

In this section, we would prove the security of our proposed model in terms of three aspects of controllability, privacy-preserving and unforgeability.

- Because we introduced TA, our system is under the supervision of TA. When users register in the blockchain system (BS), SA distributes the public keys  $U_{pk}$  and private keys  $U_{sk}$  to users  $U$  registered with the BS and generates addresses for  $U$ . SA and TA generate signatures  $U_{sig}$  for registered users. In addition, TA needs to verify that whether they have voting rights  $\mathcal{V}$  by checking  $U_{sig}$  when  $U$  vote for requests. Besides, it is also necessary to verify that if the users' votes are legal when counting votes. The entire process of requesting for modification of documents is monitored by TA. In addition, any illegal actions or requests from a user cannot be authenticated by TA. Meanwhile, TA also has the power to veto illegal documents. Therefore, introducing TA will prevent any illegal behavior caused by excessive decentralization characteristic of blockchain.
- The encrypted requests for modifying documents  $D$  made by  $U$  on the BS are transmitted to SA, and the permission  $\mathcal{P}$  only can be obtained after being verified by SA. Then, users' voting rights  $\mathcal{V}$  and the votes are needed to be validated by TA to achieve the real  $\mathcal{V}$ . Recorded on the block are the request data and users' voting data as well as the encrypted document data. If the document is too large, it will be encrypted and stored in the cloud. These documents are then encrypted to ensure a certain level of privacy. The remaining data recorded on the block are the data that can be publicly viewed by registered users.
- When an attacker wishes to forge a signature for voting, (s)he must satisfy the corresponding signature requirements in order to falsify the real signature to obtain  $\mathcal{V}$ . Then, the algorithm describes the requirements needed to be met to obtain a legitimate signature. In this case, the security of the signature algorithm ensures that an legitimate user cannot obtain the actual signature to obtain  $\mathcal{V}$ . When some collude to forge votes to obtain a fake document, TA will veto illegal documents in advance; thus, invalidating such collusion.

## 6. Conclusions

In this paper, we presented a novel approach for achieving a controllable blockchain, CBDM. This allows us to address the concerns relating to the lack of control on the posted ledgers. The introduction of a TA node in our approach allows one to terminate any potentially malicious actions even in a majority attack. Although we evaluated the security and performance of the proposed approach in this paper, a future extension is to implement a prototype of this approach in a real-world environment. This will allow us to better evaluate the proposed approach, and identify and address any shortcomings.



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