

# Paul Downen (Lecture 1) [2018/07/03] ①

## Today's Agenda

1. Syntax & scope ... (Downen)
2. Static & Dynamic Semantics ... (Hoffmann)
- ... of a programming language

(Lunch)

3. Type Safety
4.  $\lambda$ -calculus

Example  $1 + 1 = 2$  semantically equal, but not syntactically

Syntactically,  $LHS = \begin{array}{c} + \\ / \quad \backslash \\ 1 \quad 1 \end{array} \neq 2 = RHS$

Let's start by defining a language with  
numbers  $n \in Num ::= 0 | 1 | 2 | \dots$   
booleans  $b \in Bool ::= True | False$   
variables  $Var ::= x | y | z | \dots$   
... and expressions,

$e \in Expr ::= x | Num[n] | Bool[b] | Plus(e_1, e_2) |$   
 $Less(e_1, e_2) | \pm (e_1, e_2, e_3) | let(e_1, x. e_2)$

Notation Note:

$x.e_2$  means "bind free occurrences of  $x$  in  $e_2$ "  
i.e.  $e_2$  might have a free occurrence  
of  $x$  in it, but  $x.e_2$  binds the  $x$ .

## Static Scope

(i.e.  $\alpha$ -equivalence)

1. names of local (bound) variables don't matter
2. substitution does not "capture" free variables.

Ex:  $\text{let } x=5 \text{ in}$   
 $\quad \text{let } y=x \text{ in}$   
 $\quad \quad \text{let } x=10 \text{ in } y$  (static binding - good)

$\text{let } x=5$   
 $\text{in let } x=10 \text{ in } x$  (Dynamic binding)  
 (no good because names of variables matter)

## Substitution

$e' [e/x]$  want  $e'$  but whenever free  $x$  occurs in  $e'$ , replace it with  $e$ .

### Definition of substitution

Base cases

- $x [e/x] = e$
- $y [e/x] = y$
- $\text{Num}[n] [e/x] = \text{Num}[n]$
- $\text{Bool}[b] [e/x] = \text{Bool}[b]$

Inductive cases

- $\text{Plus}(e_1; e_2) [e/x] = \text{Plus}(e_1 [e/x]; e_2 [e/x])$
- $\text{Less}(e_1; e_2) [e/x] = \text{Less}(e_1 [e/x]; e_2 [e/x])$
- $\text{If}(e_1; e_2; e_3) [e/x] = \text{If}(e_1 [e/x]; e_2 [e/x]; e_3 [e/x])$
- $\text{let } \downarrow$

## Substitution for Let

EX:  $(\text{let } x=1 \text{ in } x)[5/x] = \text{let } x=1 \text{ in } x$

case:  $\text{let } (e_1; x.e_2)[e/x] = \text{let } (e_1[e/x]; x.e_2)$   
↑      ↑ don't plug in here because  $x$  is bound.  
plug in for any free  $x$ 's in  $e_1$ .

case:  $\text{let } (e_1; y.e_2)[e/x] = \text{let } (e_1[e/x]; y.e_2[e/x])$   
 $x \neq y \text{ \& } y \notin \text{FV}(e)$

But these don't cover all the cases (To be cont)

## Bound and Free Variables

$$\text{BV}(x) = \emptyset$$

$$\text{BV}(\text{Num}[n]) = \emptyset$$

$$\text{BV}(\text{Bool}[b]) = \emptyset$$

$$\text{BV}(\text{Plus}(e_1; e_2)) = \text{BV}(e_1) \cup \text{BV}(e_2)$$

Similar for If and Less.

$$\text{BV}(\text{let } (e_1; x.e_2)) = \text{BV}(e_1) \cup \text{BV}(e_2) \cup \{x\}$$

$$\text{FV}(x) = \{x\}$$

$$\text{FV}(\text{Num}[n]) = \emptyset = \text{FV}(\text{Bool}[b])$$

$$\text{FV}(\text{Plus}(e_1; e_2)) = \text{FV}(e_1) \cup \text{FV}(e_2) = \text{FV}(\text{less}(e_1; e_2))$$

$$\text{FV}(\text{let } (e_1; x.e_2)) = \text{FV}(e_1) \cup (\text{FV}(e_2) \setminus \{x\})$$

### $\alpha$ -equivalence

syntactically, 'let  $x=1$  in  $x$ '  
is not equal to 'let  $y=1$  in  $y$ '  
But 'let  $x=1$  in  $x$ '  $=_{\alpha}$  'let  $y=1$  in  $y$ '

$$\text{let } x=e_1 \text{ in } e_2 =_{\alpha} \text{let } y=e_1 \text{ in } e_2[y/x] \\ (y \notin FV(e_2))$$

### Properties of Substitution

1. For all  $e$  and  $e'$ : if  $BV(e') \cap FV(e) = \emptyset$   
then  $e'[e/x]$  is defined.
2. For all  $e, e'$ : if  $x \notin FV(e')$ , then  $e'[e/x] = e'$ .

### Properties of $\alpha$ -equivalence

1. For all  $e, e'$ ,  $\exists e''$ . ( $e =_{\alpha} e''$  and  $e''[e'/x]$  is defined.)  
(so, with  $\alpha$ -equivalence, substitution becomes a total fn.)
2. If  $e =_{\alpha} e'$ , then  $e[e''/x] =_{\alpha} e'[e''/x]$ .

### Homework

1. Add more operations to this language  
(e.g. minus, equals)
2. Prove some of the properties listed above  
(by structural induction)