

## Harper 4

Assume  $a, b, A, c: E_{qA}(c, b) \gg c \text{ type}$   
If  $a: A \gg P \in C[a, a, * / a, b, c]$  } Equality is  
least reflexive  
relation  
and  $M \in E_{qA}(M_1, M_2)$

then  $P[M/a] \in C[M_1, M_2, M/a, b, c]$

Pf  $M = *$  if  $*$   $\in E_{qA}(M_1, M_2)$  and  $M_1 \equiv M_2 \in A$   
 $C[M_1, M_2, M] \doteq C[M_1, M_1, *]$   
 $P[M_1]$

Fact The only element of  $E_{qA}(M_1, M_2)$  is  $*$ .

if  $M \in E_{qA}(M_1, M_2)$  then  $M \doteq * \in \dots$   
 $* \in E_{q_{E_{qA}(M_1, M_2)}}(M, *)$ .

"uniqueness of equality {evidence}  
proof"

# Examining formalisms ("abstract implementation") for type theory

- inductively defined by rules for deriving judgments of the following forms

$$\left. \begin{array}{l} \Gamma \vdash M, A \\ \Gamma \vdash A \text{ type} \end{array} \quad \begin{array}{l} \Gamma \vdash M \equiv M' : A \\ \Gamma \vdash A \equiv A' \end{array} \right\} \begin{array}{l} \text{definitional equivalence} \\ \text{"calculational" equivalence} \end{array}$$

$$\frac{\Gamma, a:A_1 \vdash M:A_2}{\Gamma \vdash \lambda a:A_1. M : a:A_1 \rightarrow A_2}$$

$$\frac{\Gamma \vdash M_1, a:A_2 \rightarrow A}{\Gamma \vdash M_1 M_2 : A [M_2/a]}$$

$$\frac{\Gamma, a:A_1 \vdash M_1:A_2 \quad \Gamma \vdash M_2:A_1}{\Gamma \vdash (\lambda a:A_1. M_1) M_2 \equiv M_1 [M_2/a] : A_2 [M_2/a]} \quad \text{"B rule" for } \rightarrow$$

$$\begin{array}{l} \langle M_1, M_2 \rangle \cdot 1 \equiv M_1 \\ \quad \cdot 2 \equiv M_2 \\ \quad \quad B \end{array}$$

$$\begin{array}{l} \langle M \cdot 1, M \cdot 2 \rangle \equiv M \\ \quad \quad \eta \end{array}$$

## Criteria

1. decidability
- 2. canonicity (jumping-off point)

Idea formalism is "just" a means of deriving truths (about computations)

props-as-types / proofs-as-programs  
extraction derivations

1. define notion of erasure of formal terms, types  
 $|M|$   $|A|$

eg.)  $|\lambda a:A. M| = \lambda a. |M|$

(more or less trivial)

2. Soundness (w/ erasure)

if  $\Gamma \vdash M:A$  then  $|\Gamma| \gg |M| \in |A|$   
then  $|\Gamma| \gg |M| \in |A|$

if  $\Gamma \vdash M_1 \equiv M_2$  then  $|\Gamma| \gg |M_1| \doteq |M_2| \in |A|$

$\Gamma \vdash A$  type

$|\Gamma| \gg |A|$  type

$\Gamma \vdash A_1 \equiv A_2$

$|\Gamma| \gg |A_1| \doteq |A_2|$

"fundamental theorem"

of logical relations

(typical relations)  
if you will

Corollary If  $M: \text{Bool}$  then

$|M| \Downarrow \text{true}$  or  $|M| \Downarrow \text{false}$

Cor logical consistency

(true doesn't eval to false)

Soundness tells us that we can do proof extraction, that proofs have a computational content

→ would like to internalize computation as definitional equivalence

Canonicity If  $M: \text{Bool}$ , then  $M \equiv \text{true} : \text{Bool}$   
or  $M \equiv \text{false} : \text{Bool}$

(Separate thm.) M-L 1972 (~75)

"Internal completeness" property

How to formalize equality (not just calculation)

eg)  $a: \text{Nat} \gg a + a \doteq 2 \times a \in \text{Nat}$

$\lambda a. a + a \doteq \lambda a. 2 \times a \in \text{Nat} \rightarrow \text{Nat}$

1. ETT - equality reflection  
uniqueness

expressive - not decidable

## 2. ITT (Martin-Löf)

formalizes  $Id_A$  as the least reflexive

$$\frac{\Gamma \vdash A \text{ type} \quad \Gamma \vdash M_1 : A \quad \Gamma \vdash M_2 : A}{\Gamma \vdash Id_A(M_1, M_2) \text{ type}}$$

$$\frac{\Gamma \vdash M : A}{\Gamma \vdash refl_A(M) : Id_A(M, M)}$$

$$\left\{ \begin{array}{l} \Gamma, a, b : A, c : Id_A(a, b) \vdash C \text{ type} \\ \Gamma \vdash P : Id_A(M, N) \\ \Gamma, a : A \vdash Q : C[a, a, Id_A(M)] \\ \hline \Gamma \vdash J(a, b, c, C)(a.Q)(P) : C[M, N, P] \end{array} \right.$$

$$J(a, b, c, C)(a.Q)(refl_A(M)) = Q[M/a]$$

### Soundness

$$\begin{array}{l} \text{Idea} \quad |Id_A(M, N)| \stackrel{\Delta}{=} \varepsilon_{g|A|}(|M|, |N|) \\ \quad \quad |refl_A(M)| \stackrel{\Delta}{=} * \\ \quad \quad |J \dots| \stackrel{\Delta}{=} |Q|[|M|/a] \end{array}$$

ITT has  
a computational  
meaning

Thm of Martin-Löf

$$\checkmark a : \text{Nat} \vdash \underline{\quad} : \text{Id}_{\text{Nat}}(a+a, 2 \times a) \quad \checkmark$$

not the case:

$$\times \text{Id}_{\text{Nat} \rightarrow \text{Nat}}(\lambda a. a+a, \lambda. 2 \times a) \quad !$$

not definitionally equal  $\neq$

failure of function extensibility!

What to do?

A common approach is to add an axiom (??)

$$\text{FunExt} : (a : A_1 \rightarrow \text{Id}_{A_2}(\text{ap}(h, a), \text{ap}(h, a))) \\ \rightarrow \text{Id}_{a : A_1 \rightarrow A_3}(h, h)$$

$$\text{FunExt}(h) : \text{Id}_{a : A_1 \rightarrow A_3}(h, h) \\ \hookrightarrow \text{premise}$$

Weakness is strength (strength in weakness)  
(Hofmann + Streicher)

ITT cannot prove (internally) that there is only one identification!

"groupoid model"

binary relation  
group model w / evidence

Semantically OK (FunExt)  
but it ruins canonicity

$$J(a, b, c, c)(a.Q)(\text{FunExt}(H)) \equiv \underline{\underline{??}}$$

YET it is valid under erasure.

$$|\text{FunExt}(H)| \triangleq *.$$

way out using OTT  
atkinson, McBride

Idea along similar lines called univalence  
by Voevodsky

Motivation: it is common to informally  
"identify structures up to isomorphism"

eg)  $A \times B \cong B \times A$

by swap is a bijection

what does it mean to identify these?

Add axiom (univalence axiom) UA

$$\text{UA}(\text{"swap"}) \bullet \text{Id}_u(A \times B, B \times A)$$

=  
data  
matters

$\uparrow$   
inverse  
(a type of types)

For specific choices of  $A, B$

there can be many such isomorphisms.

eg.  $\text{Bool} \approx \text{Bool}$

by: 1) id  
2) ~~swap~~ not

1. Id is a mechanism for interchangeability

stipulate that equivalence types

are identical and therefore exchangeable

(don't want to manually put swap  
everywhere in code)

Voer. Simplicial sets model validates this

ruins canonicity:

1.  $J \text{ --- } (\text{Fun Ext}) \equiv ?$

2.  $J \text{ --- } (\text{VA}(\epsilon)) \equiv ?$

case 1. has a computational interpretation

case 2. what would be a computational  
interpretation? (of univalence)

it ought to exist (<sup>want</sup> sprinkle in swaps when you need them)



Answer:  $\left. \begin{array}{l} CCH \quad n \quad 18 \\ A \quad F \quad H \quad 17, 18 \end{array} \right\} \text{Coquand}$

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Roberto Di Cosmo - Isomorphism of types