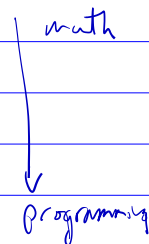


## Outline

1. Algebraic Theories
2. Prog. Language
3. Reasoning (connecting 1&2)
4. Applications



## ① Algebraic Theories

Example: A group:  $\langle G, n, \cdot, {}^{-1} \rangle$  and equations

- assoc. of  $\cdot$
- $x \cdot u = u = u \cdot x$
- $x \cdot x^{-1} = u = x^{-1} \cdot x$

General Form: operations on a set + equations  
 $(n, \cdot, {}^{-1})$  ("laws")  
 nullary  $\uparrow$  binary  $\uparrow$  unary

Alternatively:  $(G, n, \cdot)$  a monoid ( $\text{w/ assoc.}$ )  $\cdot$  and unit  $u$ .

plus axiom:  $\forall x \exists y \ x \cdot y = u = y \cdot x$

(not just an equation w/  $\forall$ )

It has  $\forall \exists \dots$

(complicates the theory)

Def: Signature  $\Sigma = \{(\sigma_i, n_i) : i \in I\}$

where  $\sigma_i$  is an operation symbol  
 and each  $n_i$  = arity of  $\sigma_i$ .

Def: A term in context  $x_1, \dots, x_k$  is

- one of the variables or
- an operation applied to some terms:  
 $\sigma_i(t_1, \dots, t_n)$  where  $t_1, \dots, t_n$  are terms  
 in context  $x_1, \dots, x_k$ .

(Read as an inductive def. These are all/only ways to construct terms.)

Def: An algebraic (or equational) theory  $T$  is

$(\Sigma_T, E_T)$  where  $\Sigma_T$  is a signature &

$E_T$  is a set of equations, where

an equation is  $x_1, \dots, x_k \mid l = r$

$l, r$  are  $\Sigma_T$ -terms in  $x_1, \dots, x_k$ .

Ex (Group) signature  $\Sigma_{\text{group}} = \{(n, 0), (m, 2), (i, 1)\}$

$E_{\text{group}} = \left\{ \begin{array}{l} x \mid m(u(x), x) = x, \\ x \mid m(x, i(x)) = u(x), \dots \end{array} \right\}$

Others: • ring  
 • empty

Ex: Thry of a pointed set  $\Sigma = \{(0,0)\}$

Ex: Semilattice:  $\Sigma_{sl} = \{(1,0), (1,2)\}$

$$E_{sl} = \left\{ x \mid \begin{array}{l} 1 \vee x = x, \quad x \vee (y \vee z) = (x \vee y) \vee z, \\ x \vee x = x \end{array} \right\}$$

Ex: Field  $+, -, \times, ^{-1}, 0, 1, \cdot$   
 $\uparrow$  problem:  $0^{-1}$  undefined

## (2) Interpretations & Models

Suppose  $T$  is a theory

An interpretation  $\mathcal{I}$  of  $\Sigma_T$  is given by

- a carrier set  $|\mathcal{I}|$
- for each  $(op; n_i) \in \Sigma_T$  a map  

$$\llbracket op; \rrbracket_{\mathcal{I}} : \underbrace{|\mathcal{I}| \times \dots \times |\mathcal{I}|}_{n_i} \rightarrow |\mathcal{I}|$$

Each term  $x_1 \dots x_k | t$  is interpreted as a map

$$\llbracket x_1 \dots x_k | t \rrbracket_{\mathcal{I}} : |\mathcal{I}|^k \rightarrow |\mathcal{I}| \text{ as follows:}$$

From now on, write

$$x^m = \underbrace{x \dots x}_{m \text{ times}}$$

$$x^0 = \{()\} = 1$$

$$\bullet \llbracket x_1 \dots x_k | x_i \rrbracket_{\mathcal{I}} : |\mathcal{I}|^k \rightarrow |\mathcal{I}|$$

( $\pi_i = i^{\text{th}}$  projection)

$$\bullet \llbracket x_1 \dots x_k | op_i(t_1, \dots, t_n) \rrbracket_{\mathcal{I}} :$$

$$|\mathcal{I}|^k \xrightarrow{\llbracket t_1 \rrbracket_{\mathcal{I}} \dots \llbracket t_n \rrbracket_{\mathcal{I}}} |\mathcal{I}|^{n_i} \xrightarrow{\llbracket op_i \rrbracket_{\mathcal{I}}} |\mathcal{I}|$$

Def: A T-model is an interpretation  $\mathcal{M}$  of theory  $T$  s.t. for every  $x_1 \dots x_k | l = r$  in  $E_T$  the maps

$$\llbracket x_1 \dots x_k | l \rrbracket_{\mathcal{M}} : |\mathcal{M}|^k \rightarrow |\mathcal{M}| \text{ and}$$

$$\llbracket x_1 \dots x_k | r \rrbracket_{\mathcal{M}} : |\mathcal{M}|^k \rightarrow |\mathcal{M}|$$

are equal.

Convention:

~~Example:~~ Every theory has the trivial model  $\mathcal{M}$

$$|\mathcal{M}| = 1 \quad \llbracket op_i \rrbracket_{\mathcal{M}} : 1^{n_i} \rightarrow 1$$

(3) Free Models Suppose  $T$  is a theory and  $X$  is a set. Say that a  $T$ -model  $M$  with a map  $\eta: X \rightarrow |M|$  is freely generated by  $X$  when  $\forall T$ -models  $L$

$$\begin{array}{ccc} X & \xrightarrow{\eta} & |M| \\ & \searrow f & \swarrow \exists! h \\ & & |L| \end{array} \quad \begin{array}{l} \forall f: X \rightarrow |L| \\ \exists! h: |M| \rightarrow |L| \text{ hom.} \\ \text{s.t. } h \circ \eta = f \end{array}$$

A  $T$ -homomorphism  $f: M \rightarrow L$  is a map from  $|M|$  to  $|L|$  st.

$$f \llbracket \text{op}_i \rrbracket_M (a_1, \dots, a_n) = \llbracket \text{op}_i \rrbracket_L (f(a_1), \dots, f(a_n))$$

Terminology:  $(M, \eta: X \rightarrow |M|)$  is  $\begin{cases} \text{free model over } X \\ \text{or free model gen by } X \end{cases}$

Example: (Free semilattice)

Define  $P_{\text{fin}}(X) = \{ S \subseteq X \mid S \text{ finite} \}$

Claim:  $(P_{\text{fin}}(X), \emptyset, \cup)$  the free sl generated by  $X$ .  
(with  $\eta: X \rightarrow P_{\text{fin}}(X)$   
 $\eta: x \mapsto \{x\}$ )

Let  $L$  be a s.l.

and  $f: X \rightarrow L$   $L = \langle |L|, \perp, \vee \rangle$

Define  $h: P_{\text{fin}}(X) \rightarrow L$  as follows:

Let  $h(\emptyset) = \perp$

and  $h(\eta(x_i)) = f(x_i)$  i.e.  $h(\{x_i\}) = f(x_i)$ .

Extend  $h$  to all of  $P_{\text{fin}}(X)$ .

check:  $h(S \cup S_2) = h(S) \vee h(S_2)$ .

Free Model Construction  $\text{Free}_T(X)$

1.  $\text{Tree}_T(X) =$  set of well founded trees  
(no infinite paths)

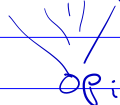
defined inductively.

• for every  $x \in X$ , there is a tree

called "return  $x$ "; i.e.  $\text{return } x \in \text{Tree}_T(X)$

• If  $(\text{op}_i, n_i) \in \Sigma_T$  and  $t_1, \dots, t_{n_i} \in \text{Tree}_T(X)$

then  $\bigwedge_{i=1}^{n_i} \text{op}_i(t_1, \dots, t_{n_i}) \in \text{Tree}_T(X)$



2. Define an equivalence relation  $\approx_T$  on  $\text{Tree}_T(X)$

to be the least equiv. rel. s.t. ...

a. ... } (homework) congruence (wrt ops)  
b. ... and it validates the eqns  $\Sigma_T$ .