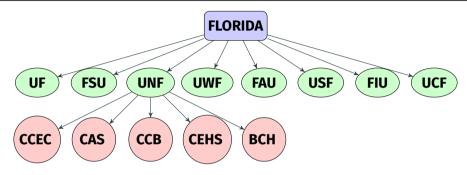
# **Trees**

Dr. Anirban Ghosh

School of Computing University of North Florida



#### Introduction

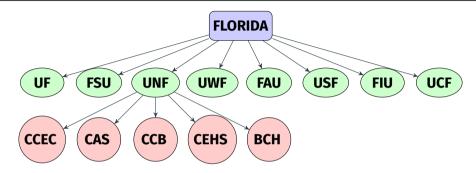


#### **Informal definition**

A **tree** is an abstract data type that stores elements hierarchially. With the exception of the top element, each element in a tree has a parent element and zero or children elements.

### Your first non-linear ADT!

#### **Formal definition**

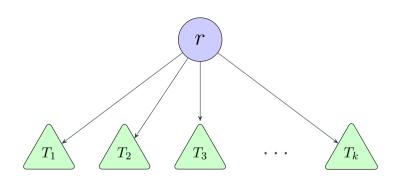


#### **Definition**

A tree T is a set of **nodes** storing elements such that the nodes have a **parent-child** relationship that satisfies the following properties:

- $\bullet$  If T is nonempty, it has a special node, called the **root** of T, that has no parent.
- **2** Each node v of T different from the root has a unique **parent** node w; every node with parent w is a **child** of w

#### **Recursive definition**



#### **Recursive definition**

A tree T is either empty or consists of a node r, called the root of T, and a (possibly empty) set of subtrees  $T_1, T_2, \ldots, T_k$ , whose roots are the children of r.

#### **Applications**

- Easy real-world uses: family tree, organizational charts, etc.
- Are widely used in computing to represent various kinds of hierarchical structures: directory structure, topologies in computer networks, etc.
- Game programming
- Machine learning
- Compiler design
- Operating systems
- Computer graphics
- Database management systems
- Searching algorithms
- Used as an auxiliary data structure for many algorithms
- ...

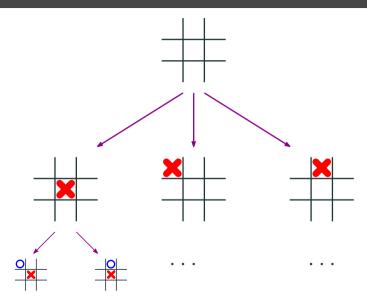
#### **Directory structures in file systems**

```
n01388139@UNF-C02DREMKMD6M Code % tree -L 3
-- COP3530
     - COP3530.iml
      - out
        __ production
       - oz.txt
        — analysis
          — arraysandLLs
         — ехаm1
          – hashing
          - hw1
         — ood
          primer
         — quiz
         — recursion

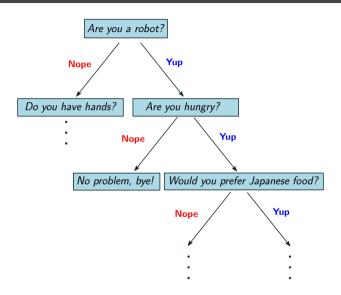
    stacksandqueues

        L- tester
19 directories, 2 files
```

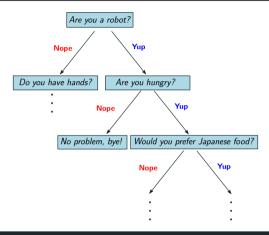
## Game trees in Al



#### **Binary trees**



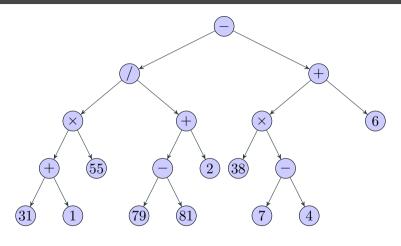
#### **Binary trees**



#### **Definition**

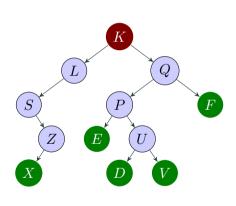
A binary tree is a tree in which every node has  $\leq 2$  children

# **Expression trees**



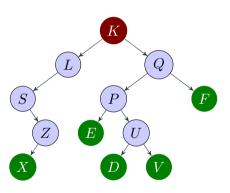
$$31 + 1 \times 55/79 - 81 + 2 - 38 \times 7 - 4 + 6$$

#### **Terminologies**



- **Root.** The node that has no parent
- **Leaf node.** A node that has no children
- Internal node. A node is internal if it has at least one child
- Ancestors of node. The nodes that are on the path from the root to the node
- **Depth of a node.** The number of ancestors it has; the root has depth zero
- Height of a tree. Maximum possible depth of a node in the tree

#### **Fun results**

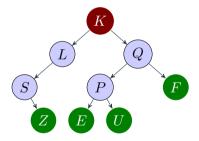


Let h denote the height and n the number of nodes. Also, let  $n_2$  denote the number of nodes having two children and  $n_\ell$  denote the number of leaves.

- $h+1 \le n \le 2^{h+1}-1$
- $\log_2(n+1) 1 \le h \le n-1$
- $n_{\ell} = n_2 + 1$

#### How to represent binary trees?

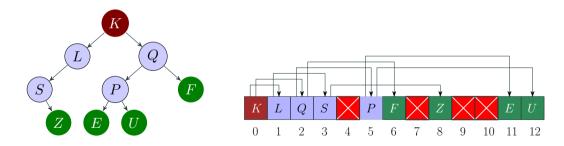
**Approach 1: using a linked structure.** Every node has left and right fields that points to the left and right child, respectively; node structure looks quite similar to that of a doubly linked-list node class



Space efficient; uses O(n) space

#### How to represent binary trees?

**Approach 2: using an array.** The root is at index 0. If a node has index i, then its left child (if any) is at index 2i+1 and its right child (if any) is at 2i+2. Parent of the node at index i can be found at  $\lfloor (i-1)/2 \rfloor$ 



Space inefficient; uses  $O(2^n)$  space

#### How to traverse a tree?

#### inorder(node)

- 1 if node has a left child, then recursively call inorder(node.left);
- visit(node.element);
- 3 if node has a right child, then recursively call inorder(node.right);

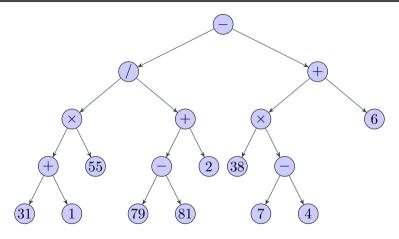
#### preorder(node)

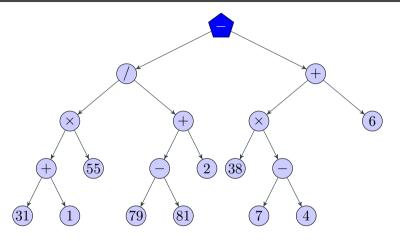
- 1 visit(node.element);
- if node has a left child, then recursively call preorder(node.left);
- if node has a right child, then recursively call preorder(node.right);

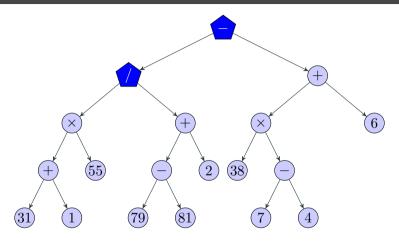
#### postorder(node)

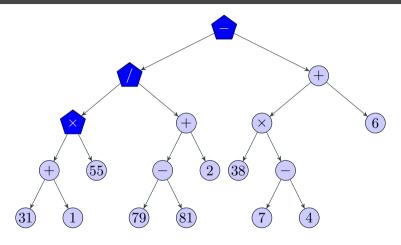
- 1 if node has a left child, then recursively call postorder(node.left);
- if node has a right child, then recursively call postorder(node.right);
- 3 visit(node.element);

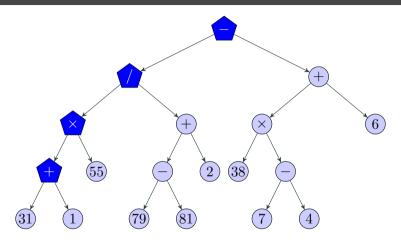
# **Inorder traversal demo**

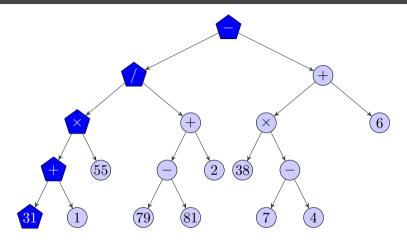


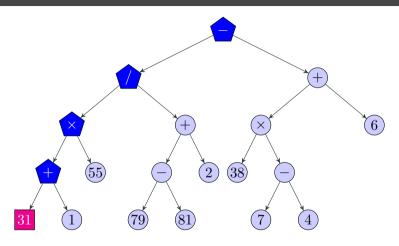


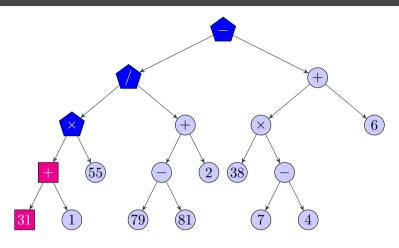


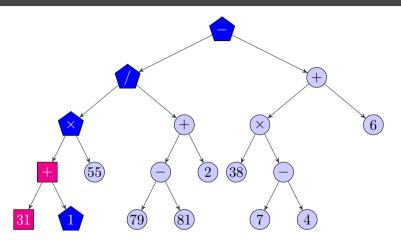


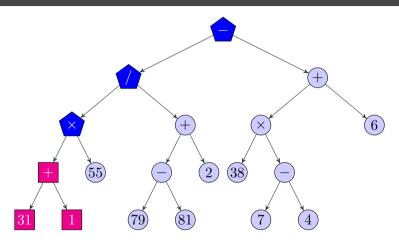


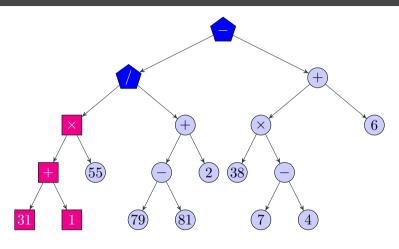




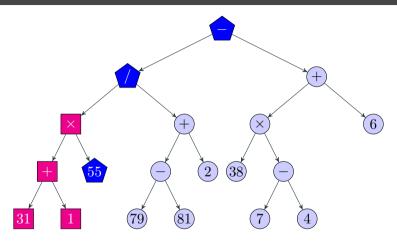




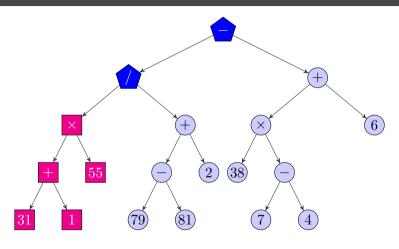




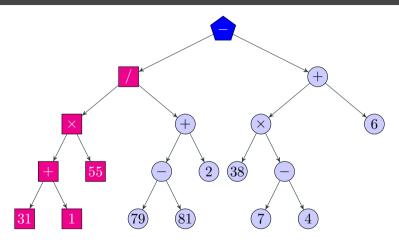
$$31 + 1 \times$$



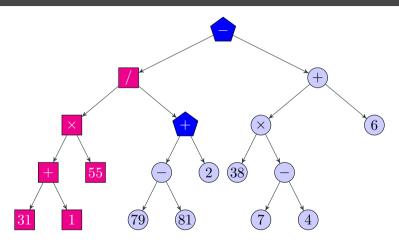
$$31 + 1 \times$$



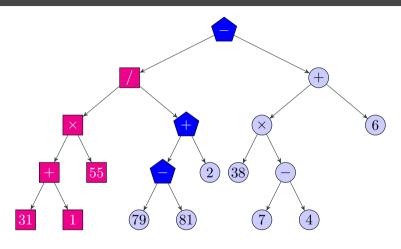
$$31 + 1 \times 55$$



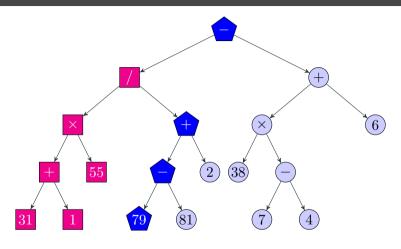
$$31 + 1 \times 55/$$



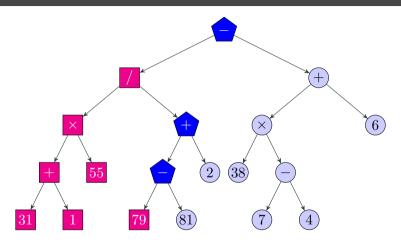
$$31 + 1 \times 55/$$



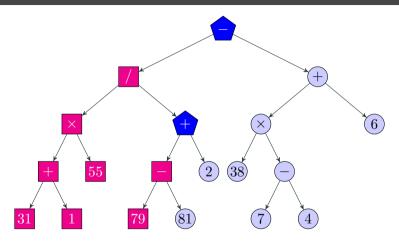
$$31 + 1 \times 55/$$



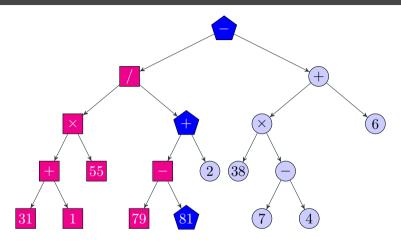
$$31 + 1 \times 55/$$



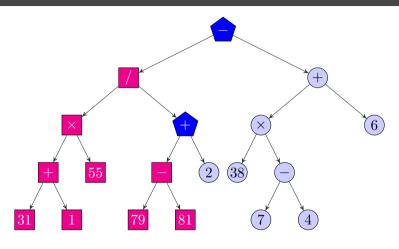
$$31+1\times55/79$$



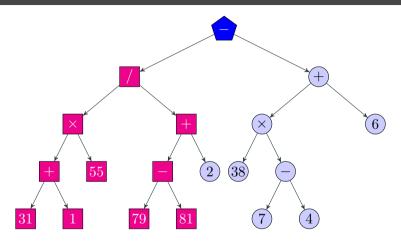
$$31 + 1 \times 55/79 -$$



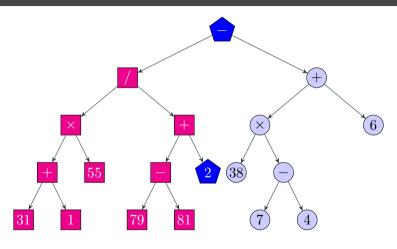
$$31 + 1 \times 55/79 -$$



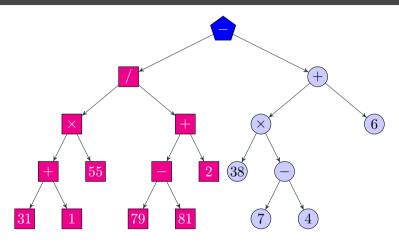
$$31 + 1 \times 55/79 - 81$$



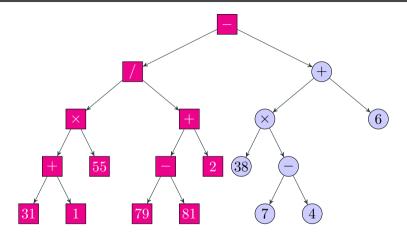
$$31 + 1 \times 55/79 - 81 +$$



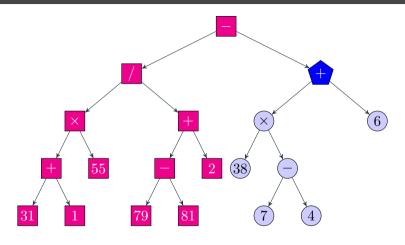
$$31 + 1 \times 55/79 - 81 +$$



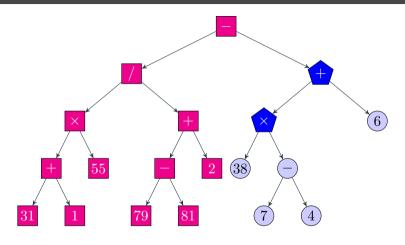
$$31 + 1 \times 55/79 - 81 + 2$$



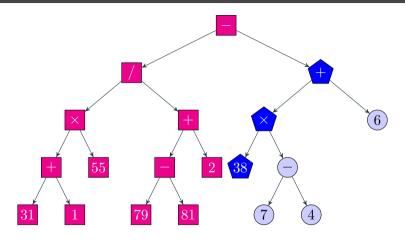
$$31 + 1 \times 55/79 - 81 + 2 -$$



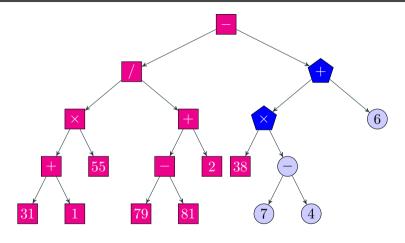
$$31 + 1 \times 55/79 - 81 + 2 -$$



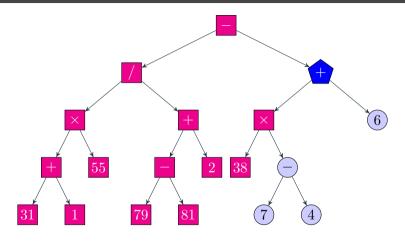
$$31 + 1 \times 55/79 - 81 + 2 -$$



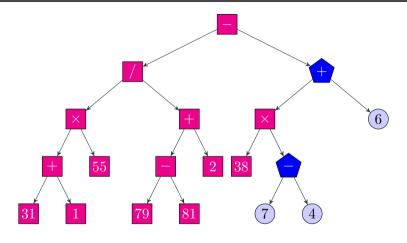
$$31 + 1 \times 55/79 - 81 + 2 -$$



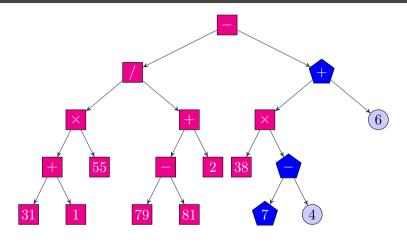
$$31 + 1 \times 55/79 - 81 + 2 - 38$$



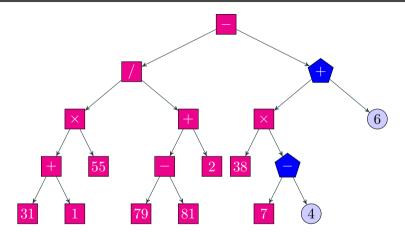
$$31 + 1 \times 55/79 - 81 + 2 - 38 \times$$



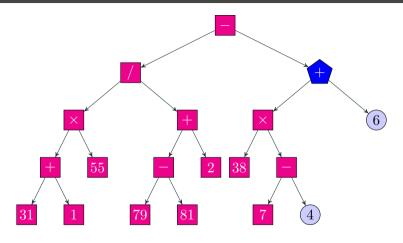
$$31 + 1 \times 55/79 - 81 + 2 - 38 \times$$



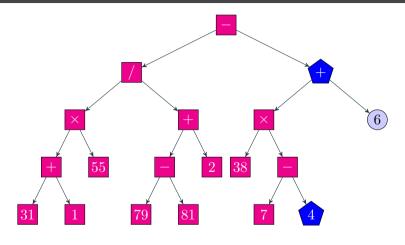
$$31 + 1 \times 55/79 - 81 + 2 - 38 \times$$



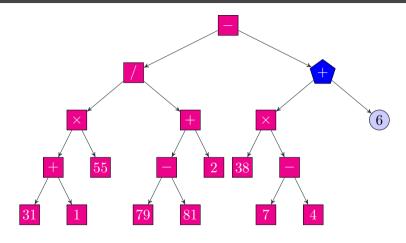
$$31 + 1 \times 55/79 - 81 + 2 - 38 \times 7$$



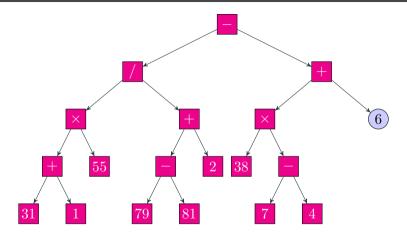
$$31 + 1 \times 55/79 - 81 + 2 - 38 \times 7 -$$



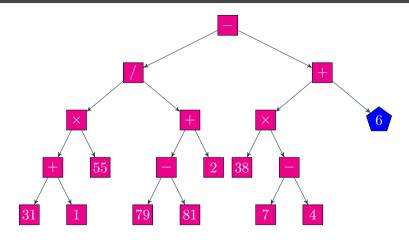
$$31 + 1 \times 55/79 - 81 + 2 - 38 \times 7 -$$



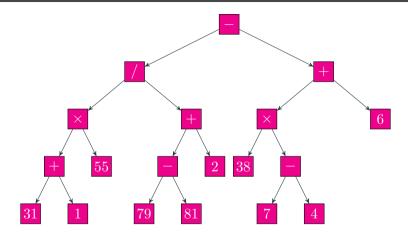
$$31 + 1 \times 55/79 - 81 + 2 - 38 \times 7 - 4$$



$$31 + 1 \times 55/79 - 81 + 2 - 38 \times 7 - 4 +$$

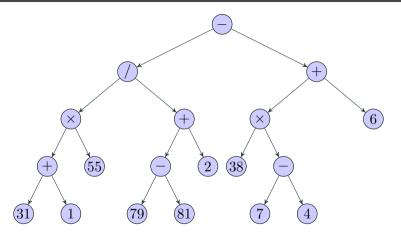


$$31 + 1 \times 55/79 - 81 + 2 - 38 \times 7 - 4 +$$



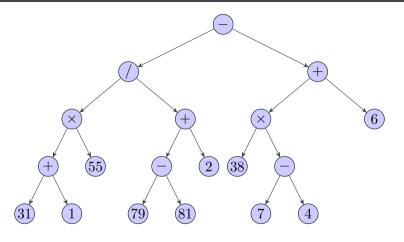
$$31 + 1 \times 55/79 - 81 + 2 - 38 \times 7 - 4 + 6$$

## Preorder traversal: node, left subtree, right subtree



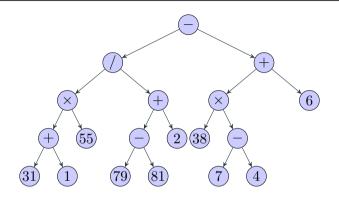
$$-/\times + 31155 + -79812 + \times 38 - 746$$

## Postorder traversal: left subtree, right subtree, node



$$31\ 1\ +\ 55\ imes\ 79\ 81\ -\ 2\ +\ /\ 38\ 7\ 4\ -\ imes\ 6\ +\ -$$

### Three traversals together

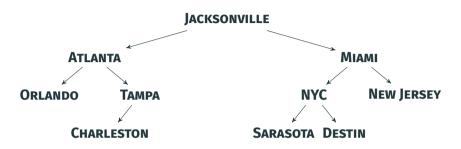


**Inorder:**  $31 + 1 \times 55 / 79 - 81 + 2 - 38 \times 7 - 4 + 6$ 

**Preorder:**  $-/\times + 31155 + -79812 + \times 38 - 746$ 

**Postorder:**  $31\ 1\ +\ 55\ \times\ 79\ 81\ -\ 2\ +\ /\ 38\ 7\ 4\ -\ \times\ 6\ +\ -\$ 

## **Another example**



#### **PREORDER TRAVERSAL**

Jacksonville, Atlanta, Orlando, Tampa, Charleston, Miami, NYC, Sarasota, Destin, New Jersey

#### **INORDER TRAVERSAL**

Orlando, Atlanta, Charleston, Tampa, Jacksonville, Sarasota, NYC, Destin, Miami, New Jersey

### POSTORDER TRAVERSAL

Orlando, Charleston, Tampa, Atlanta, Sarasota, Destin, NYC, New Jersey, Miami, Jacksonville

## From traversal sequences to trees

- It is natural to ask the following question: can we construct back the tree **uniquely** when we are given one or more its traversals?
- If exactly one traversal is given, the answer is **NO**
- If both the preorder and postorder traversals are given, the answer is **NO**
- If the inorder + postorder/preorder traversals are given, the answer is **YES**

#### **Recommended excercise**

Given an inorder + postorder/preorder traversals, recursively construct the corresponding binary tree

## **Implementation**

See the class BinaryTree<E>

#### Code

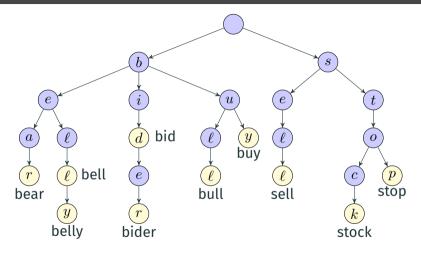
```
public class TestBinaryTree {
  public static void main(String[] args) {
     BinaryTree<String> cityTree = new BinaryTree<>();
     cityTree.insert("Jacksonville"."root"):
     cityTree.insert("Atlanta", "0");
                                                                    IACKSONVILLE
     cityTree.insert("Miami", "1");
     cityTree.insert("Orlando", "00");
     cityTree.insert("Tampa", "01"):
                                                                                              MIAMI
                                                  ATLANTA
     cityTree.insert("Charleston", "010");
     cityTree.insert("NYC", "10");
     cityTree.insert("New Jersey", "11"):
     cityTree.insert("Sarasota", "100"); ORLANDO
                                                                                                    NEW JERSEY
                                                                                     NYC
                                                              TAMPA
     citvTree.insert("Destin", "101");
     System.out.print("PreOrder: "):
                                                   CHARLESTON
                                                                          SARASOTA DESTIN
     cityTree.printPreOrder():
     System.out.print("\nInOrder: "):
     cityTree.printInOrder():
     System.out.print("\nPostOrder: "):
     cityTree.printPostOrder();
     System.out.print("\nNumber of nodes in the tree: " + cityTree.countNodes( cityTree.getRoot() ) ):
```

## Tries: an application of trees

#### **Definition**

- Consider a set C of m characters  $c_1, c_2, \ldots, c_m$  and a set S of strings made up of characters taken only from C (characters may repeat in the strings)
- A **trie** T on C is a m-ary tree (every node has at most m children) such that
  - Each node of T, except the root node, is labeled with a character of C.
  - The children of any node have distinct labels, taken from C.
  - The root has an empty label.
  - Each node t in the tree is associated with a string s, obtained by concatenating the labels of the nodes from the root to that node. If  $s \in S$ , then store s with t, else store a null.

## **Example**



$$C=\{a,b,\dots,z\}\text{,}\qquad m=26\text{,}$$
  $S=\{\text{bear, bell, belly, bid, bider, bull, buy, sell, stock, stop}\}$ 

## **Implementation**

#### Node structure for tries when m=26

```
private static class TrieNode {
   String word = null;
   TrieNode parent = null;
   TrieNode[] children = new TrieNode[26]; // every cell contains a 'null' by default
}
```



An array of children references (some possibly empty)

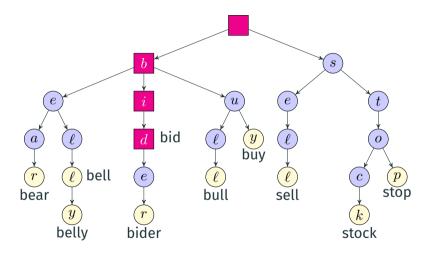
## How to find the child id corresponding to a character in our case?

```
int childID = s.charAt(i) - 'a'; // childID will be an integer between 0 and 25

Note that this simple relation may not work if C contains other types of characters. In that case, some kind of mapping must be used for efficiency (stay tuned for maps!).
```

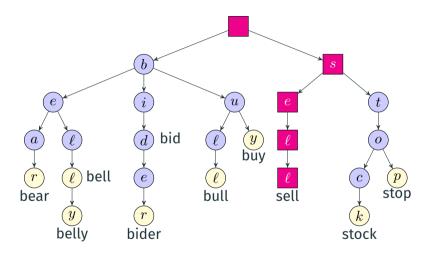
# **Searching**

# Searching the string 'bid'



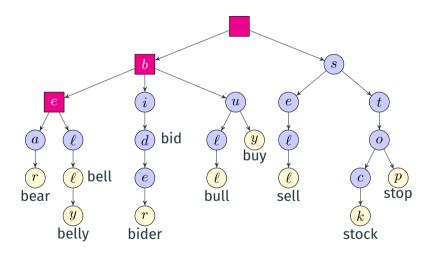
Found!

## Searching the string 'sell'



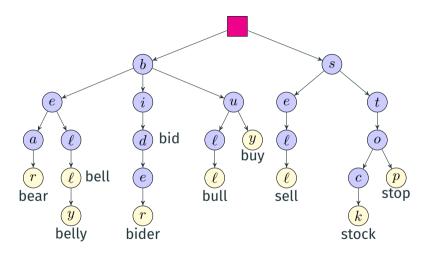
Found!

## Searching the string 'best'



Not found!

# Searching the string 'donkey'



Not found!

## **Searching time**

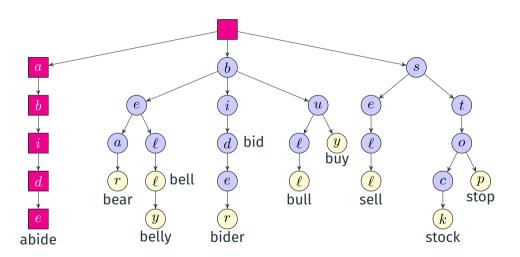
- ullet Let n be the length of the string that needs to be searched
- In the worst case, we need to visit n+1 nodes (including the root node); less than n+1 nodes will be visited if the string is not present in the trie
- $\bullet\,$  At every node, we need to spend O(m) time to figure out next child in the search path
- At the last node, we check if the a word is stored over there or not; takes O(1) time for verification
- Total time taken.  $(n+1) \cdot O(m) + O(1) = O(nm)$
- In our case (while playing with English letters only), it takes O(1) time to figure out the next child in the search path using the following mapping formula and hence, search time is O(n)

int childID = s.charAt(i) - 'a'; // obtain the child id

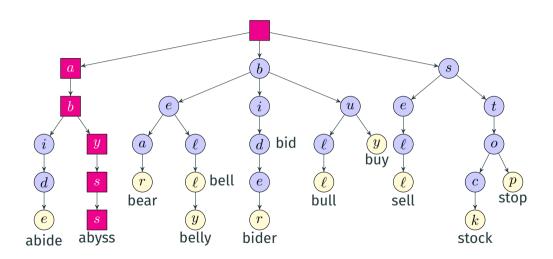
Tries can be used where fast lookups are required quite often

# **Insertion**

# Inserting the string 'abide'



# Inserting the string 'abyss'

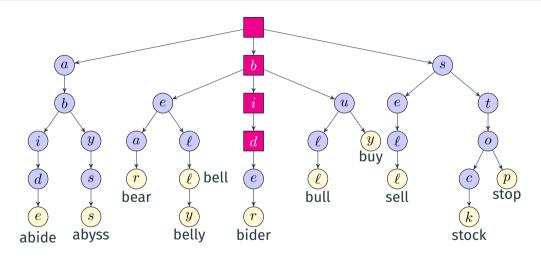


#### **Insertion time**

Takes O(nm) time, similar to the search operation

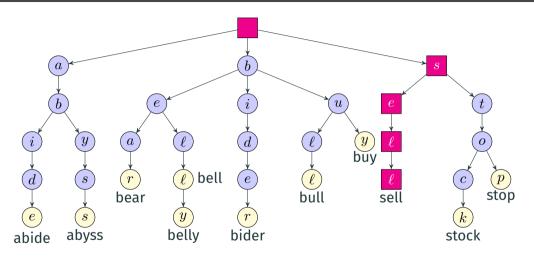
# **Deletion**

# Deleting the string 'bid'



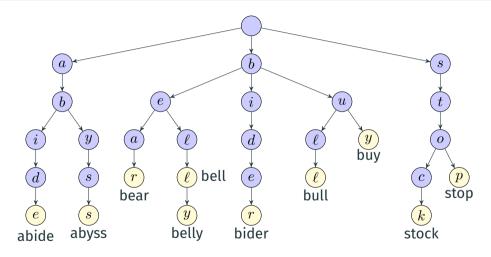
Remove the word 'bid' stored at the node d

## Deleting the string 'sell'



After detecting 'sell', delete the three nodes  $\ell$ ,  $\ell$ , e

# Deleting the string 'sell'



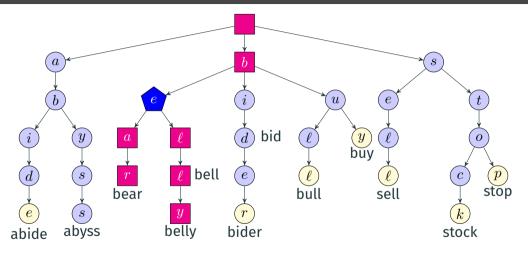
The tree after deleting 'sell'

#### **Deletion time**

- Locating the word in the tree takes O(nm) time by climbing down
- ullet Then, we need to climb up for deleting the useless nodes; this takes O(nm) time as well
- Total time taken.  $O(nm) + O(nm) = 2 \cdot O(nm) = O(nm)$

# Report the words with a given prefix

# Find the words with prefix 'be'

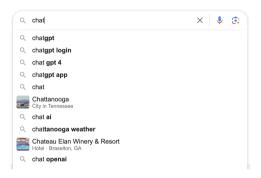


Report every word present in the subtree rooted at the last character of the prefix; in this example the subtree of interest is rooted at e (the pentagon)

# **Implementation**

See the class Trie

## Auto-completion: an application of tries



To find the auto-complete choices, one can use a pre-built trie and easily find the entries which start with the string typed so far by running the wordsHavingPrefix(...) method on the trie

# Reading

# **Chaper 12** from

https://opendsa-server.cs.vt.edu/ODSA/Books/Everything/html/index.html