# Recursion

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### What is recursion?

**Recursion** is a technique for solving a computational problem where the final solution to the problem is constructed using the solutions of smaller subproblems, obtained recursively.

#### **Factorial**

For a non-negative integer n, we define n! (read as n factorial) as:

$$n! = 1 \times 2 \times \ldots \times n$$

Factorial can also be defined recursively as:

$$n! = egin{cases} 1 & \text{if } n = 0, 1 \\ n \cdot (n-1)! & \text{otherwise} \end{cases}$$

Expressing using functions we obtain:

$$f(n) = \begin{cases} 1 & \text{if } n = 0, 1 \\ n \cdot f(n-1) & \text{otherwise} \end{cases}$$

#### **Recursive code**

$$f(n) = egin{cases} 1 & \text{if } n = 0, 1 \\ n \cdot f(n-1) & \text{otherwise} \end{cases}$$

```
public class Factorial {
    public static long factorial(int n) {
        if( n < 0 )
            throw new IllegalArgumentException("n must non-negative!");
        else if( n == 0 || n == 1 ) // base cases
            return 1;
        else
            return n * factorial(n-1); // recursive call
    }
    public static void main(String[] args) {
        System.out.println( factorial(5) );
    }
}</pre>
```

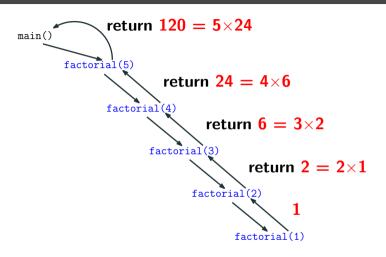
#### **Recursive code**

```
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    else if( n == 0 || n == 1 ) // base cases
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    else
        return n * factorial(n-1); // recursive call
  }
  public static void main(String[] args) {
        System.out.println( factorial(5) );
  }
}</pre>
```

Every recursive method contains the following two things:

- **Base case(s)**. the case(s) for which we know how to calculate the answer without recursion; at least one base case is always required; every possible chain of recursive calls must eventually reach a base case.
- 2 **Recursive call(s)**. these are the calls to the current method. Each recursive call should be defined so that it makes progress towards a base case.

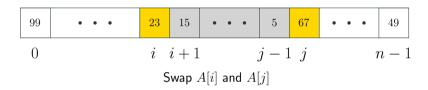
#### Illustration

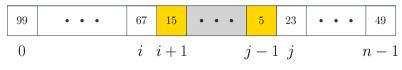


The system uses a **stack** in the background to run recursive code

# **Reversing an array**

How to recursively reverse the subarray that starts at index i and ends at index j?





Reverse the subarray  $A[i+1\dots j-1]$ 

### **Reversing an array**

```
import java.util.Arrays;
public class ReverseArray{
   public static void reverseArray(int[] A, int i, int j) {
        if (i > j)
          throw new IllegalArgumentException("i <= i is required."):</pre>
        int hold = A[i];
        A[i] = A[i]:
        A[i] = hold:
        if(i + 1 < i - 1)
           reverseArray(A, i + 1, j - 1); // recursive call
   public static void main(String[] args) {
      int[] arr = {10, 20, 30, 40, 50};
      reverseArray(arr.0.arr.length-1):
      System.out.print(Arrays.toString(arr));
```

### Summing up an array

#### Recursive idea

To add the numbers inside the subarray A[0] to A[i], first **recursively** add the numbers inside the subarray A[0] to A[i-1] and then add the number A[i] to the result.

```
public class ArraySummer {
  public static int add(int[] A. int i) {
      if ( i < 0 )
         throw new IllegalArgumentException("i should be non-negative."):
      else if( i == 0 )
        return A[0]:
      else
        return add(A. i-1) + A[i]: // recursive call
  public static void main(String[] args) {
      int[] arr = {10, 20, 30, 40, 50};
      System.out.print( add(arr,arr.length-1) );
```

### **Binary search**

- Given a **sorted** array *A* of *n* items, how fast can you search a given element?
- One can search by scanning A from left to right (linear search), but this takes O(n) time
- Can we do it faster? Use the fact that the array is already sorted
- ullet Yes, we can using binary search; runs in  $O(\log n)$  time

### **Binary search**

#### Recursive algorithm (asssumption: A is sorted)

- If the target equals A[mid], then we have found the target!
- If the target is less than A[mid], search recursively in the left half
- Othewise, search recursively in the right half



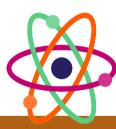
Searching for 22 in the array

#### Code

```
public class BinarySearch {
  public static boolean binarySearchRec(int[] A, int target, int low, int high) {
    if( low > high )
       return false:
    else {
       int mid = (low + high) / 2; // mid takes the floor of (low + high) / 2
       else
                              return binarySearchRec(A, target, mid + 1, high); // recursive call
  public static boolean binarySearch(int[] A, int target) {
    return binarySearchRec(A, target, 0, A.length-1);
  public static void main(String[] args) {
    int[] A = \{2.4.5.7.8.9.12.14.17.19.22.25.27.28.33.37\}:
    System.out.println(binarySearch(A.22)): // prints true: search successful
    System.out.println(binarySearch(A.21)): // prints false: search unsuccessful
```

# **Time complexity**

- At every recursive call, we discard approximately half of the array
- Also, at every recursive call, we do constant amount of work O(1)
- Let m be the number of recursive calls made
- At every recursive call, array size gets halved
- After m recursive calls, array size equals  $n/2^m$
- In the worst case, we stop when  $n/2^m = 1 \implies 2^m = n$
- Taking log of both sides we obtain,  $m = \log_2 n = O(\log n)$
- Time complexity.  $O(\log n) \times O(1) = O(\log n)$



#### **Fun fact**

Number of atoms in this universe:  $10^{80} \approx 2^{266}$ 

Even if we have a dataset as large as this, binary search will make just  $\log(2^{266}) = 266 \cdot \log_2 2 = 266 \cdot 1 = 266$  recursive calls in the worst case!

# Suggested excercise

Write a non-recursive (iterative) binary search

# **Recursive string printer**

For a given value of n, we need to print a string made up of n-1 comps, computing, and n-1 tings; here are few examples for you...

n	Output
1	computing
2	compcomputingting
3	compcompcomputingting
4	compcompcomputingtingting
5	compcompcompcomputingtingtingting

#### Code

#### **Self-referential classes**

```
private static class Node<E> {
   private E element;
   private Node<E> prev, next; // defined recursively

// ...
}
```

A **self-referential class** contains an instance variable that refers to another object of the same class type

### **Using recursion for linked-lists**

```
public class DoublyLinkedList<E> implements Iterable<E>{
   // other methods. variables. classes
   public String print() {
      return (printRecursive(head)).toString();
   private StringBuilder printRecursive(Node<E> n) {
      if( n == null )
         return new StringBuilder();
      StringBuilder s = new StringBuilder(n.element.toString() + " ");
      s.append(printRecursive(n.next));
      return s:
   // other methods, variables, classes
```

#### **Fractals**

#### What are fractals? https://en.wikipedia.org/wiki/Fractal

Fascinating geometric figures that can be drawn recursively



Sierpiński triangle (source: Wikipedia)



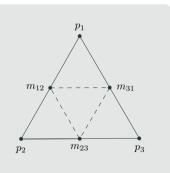
Wacław Sierpiński (source: Wikipedia)

#### Pseudo-code



#### Sierpiński triangle (source: Wikipedia)

```
private static void drawTriangles(Graphics g, int d, Point p1, Point p2, Point p3) {
  if (d == 0) { // depth is 0. draw the triangle: base case
      Polygon P = new Polygon():
      P.addPoint(p1.x.p1.v); P.addPoint(p2.x.p2.v); P.addPoint(p3.x.p3.v);
      g.fillPolygon(P): // draws a filled triangle
      return:
  Point m12 = midpoint(p1,p2);
  Point m23 = midpoint(p2.p3):
  Point m31 = midpoint(p3.p1):
  // Draw 3 Sierpinski triangles recursively of depth d-1
  drawTriangles(q, d - 1, p1, m12, m31); // recursive call 1
  drawTriangles(g, d - 1, m12, p2, m23); // recursive call 2
  drawTriangles(g, d - 1, m31, m23, p3); // recursive call 3
```



# **Merge sort**

### **Merge sort**

- Merge sort runs in  $O(n \log n)$  time
- It uses a linear-time algorithm known as **merging** for sorting the input
- Let us begin by understanding what is meant by merging two sequences ...

Given two **sorted** sequences  $S_1, S_2$ , how fast can you **merge** them into one final sorted sequence S?

$$S_1$$
 244 311 478  $S_2$  324 415 499 505 666  $S$  244 311 324 415 478 499 505 666

Assume that  $S_1$  has  $k_1$  elements and  $S_2$  has  $k_2$  elements Clearly, S has  $k_1 + k_2$  elements

We need to do it in  $O(k_1 + k_2)$  time

$S_1$	244	311	478				
$S_2$	324	415	499	505	666		
S							

$S_1$	<u>244</u>	311	478				
$S_2$	<u>324</u>	415	499	505	666		
S							

$S_1$	244	311	478				
$S_2$	<u>324</u>	415	499	505	666		
S	244						

$S_1$	244	311	<u>478</u>				
$S_2$	<u>324</u>	415	499	505	666		
S	244	311					

$S_1$	244	311	<u>478</u>				
$S_2$	324	415	499	505	666		
S	244	311	324				

$S_1$	244	311	478				
$S_2$	324	415	<u>499</u>	505	666		
S	244	311	324	415			

$S_1$	244	311	478				
$S_2$	324	415	<u>499</u>	505	666		
S	244	311	324	415	478		

$S_1$	244	311	478				
$S_2$	324	415	499	<u>505</u>	666		
S	244	311	324	415	478	499	

$S_1$	244	311	478					
$S_2$	324	415	499	505	<u>666</u>			
S	244	311	324	415	478	499	505	

$S_1$	244	311	478					
$S_2$	324	415	499	505	666			
S	244	311	324	415	478	499	505	666

### Time complexity

Merging takes time proportional to the total number of blue cursors movements, and they move  $k_1+k_2$  times to the right in total. Further, at each cursor movement, we spend O(1) time for comparing two elements, sending an element to S, and incrementing a blue pointer.

So, the time complexity amounts to 
$$(k_1 + k_2) \times O(1) = O(k_1 + k_2)$$

### **Merge sort**

- It is a recursive divide and conquer sorting algorithm
- Runs in  $O(n \log n)$  time (faster than Insertion, Bubble, and Selection sorts)

### The algorithm

Let the input be denoted by S

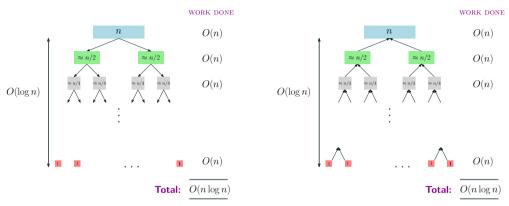
- **1 Divide. Split** the array into two halves  $S_1, S_2$
- 2 Conquer.
  - **1** Recursively sort the left half  $S_1$
  - **2** Recursively sort the right half  $S_2$
- **3 Combine. Merge** the two sorted halves  $S_1, S_2$  into S

#### **Visualization**

https://opendsa-server.cs.vt.edu/embed/mergesortAV

Try: 85 24 63 45 17 31 96 50 67 88 11

## Time complexity of merge sort



Left: total time spent to create the two halves  $S_1, S_2$  at every recursive call; Right: total time spent for merging.

 $ext{ Merge sort runs in } O(n \log n) + O(n \log n) = 2 \times O(n \log n) = O(n \log n) time$ 

## Space complexity

#### **Space complexity**

**Space complexity** of an algorithm refers to the amount extra space the algorithm needs (apart from the input) for its execution.

- To find space complexity, focus on the additional defined data structures (arrays, stacks, queues, lists, etc.) whose sizes are dependent on n. For recursive code, also consider the stack depth of the call stack.
- Count the total number of data elements stored in those data structures in the worst case
- Let s be total number of such data elements
- Space complexity is O(s)
- If no such data structures are used, space complexity is O(1) (constant amount of extra space is used)

#### **Examples**

- ullet The space complexity of the ExpresssionChecker implementation is O(n) where n is the number of symbols since it uses a stack whose size is n in the worst case
- The space complexity of bubble sort/insertion sort/selection sort is O(1) since they use just a constant amount of extra space (size independent of n) for maintaining a bunch of variables
- Let us say a method uses a doubly linked list having at most n nodes and a bunch of variables for processing. The space complexity of the method is O(n)
- If a method uses a linked list of size n and a  $n \times n$  matrix of size  $n^2$ . The space complexity of the method amounts to  $O(n) + O(n^2) = O(n^2)$

## Space complexity of merge sort

#### **Merge sort**

- For creating the two subsequences  $S_1, S_2$  we need O(n/2) + O(n/2) = O(n) extra space.
- So, the total amount of extra space needed by a series of recursive call from the root to a leaf of the recursion tree also amounts to O(n) since

$$O(n) + O\left(\frac{n}{2}\right) + O\left(\frac{n}{4}\right) + \ldots + O(1) = O\left(n + \frac{n}{2} + \frac{n}{4} + \ldots + 1\right) = O(2n) = O(n)$$

- ullet For recursion, a stack is needed of size  $O(\log n)$
- Total space complexity:  $O(n) + O(\log n) = O(n)$

#### The Comparable interface in Java

https://docs.oracle.com/en/java/javase/17/docs/api/java.base/java/lang/Comparable.html

#### Why you should use the Comparable interface?

If we ever need to compare two objects of a class, there must be a comparison method for the class. This interface forces the class to define such a method if it is not already defined inside it. For the wrapper classes such as **Integer**, **Double**, **Character** etc. comparison methods are already defined. Generic sorting methods in Java use the comparison method for sorting by comparisons.

The comparison method must be named **compareTo**, as declared inside the **Comparable** interface

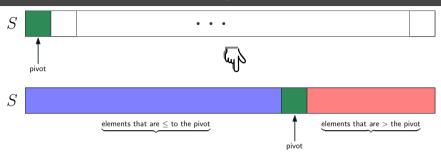
```
obj1.compareTo(obj2) < 0 if obj1 is less than obj2;
obj1.compareTo(obj2) == 0 if obj1 is equals obj2;
obj1.compareTo(obj2) > 0 if obj1 is greater than obj2;
```

## **Merge sort**

See the class MergeSort

## **Quick sort**

## Partitioning an array

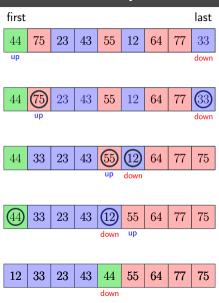


#### The algorithm

Denoted the input by S

- 1 Select the first element in S; call it **pivot**
- $oldsymbol{2}$  Find the elements in S that are less than equal to pivot and send them to the left part of S and the ones that are greater than the pivot to the right part of S
- $\bigcirc$  Put the pivot at the appropriate location in S, meaning put it at the location where it would appear if S is sorted

#### An example



#### **Pseudocode**

- 1 pivot = S[first], up = first, down = last
- 2 do
  - 2.1 Increment up until up selects the first element greater than the pivot value or up has reached last
  - 2.2 Decrement down untill down selects the first element less than or equal to the pivot value or down has reached first
  - **2.3** if up < down, exchange S[up] and S[down]
- **3 while** up is to the left of down
- ${\bf 4}$  Exchange  $S[{
  m first}]$  and  $S[{
  m down}]$

#### **Partition**

```
private static <K extends Comparable<K>> void swapTheItemsAt(K[] S, int i, int j) {
  K hold = S[i];
   S[i] = S[i]:
   S[i] = hold:
private static <K extends Comparable<K>> int partition(K[] S, int first, int last) {
  K pivot = S[first]:
   int up = first, down = last;
  do {
      while( (up < last) && (pivot.compareTo(S[up]) >= 0))
         up++:
      while( pivot.compareTo(S[down]) < 0)</pre>
         down--:
      if( up < down )</pre>
         swapTheItemsAt(S.up.down):
   }while(up < down);</pre>
   swapTheItemsAt(S.first.down):
   return down;
```

#### **Quick sort**

- It is another divide and conquer sorting algorithm
- Runs in  $O(n^2)$  time (explained next)

#### The algorithm

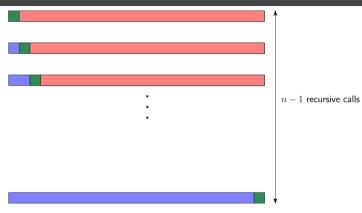
Let the input be denoted by S[first,...,last]

- 1 Divide. Partition the array so that the pivot item reaches its correct place in the array (its index is pivIndex)
- 2 Conquer.
  - Recursively sort the subarray first,...,pivIndex-1 (the subarray to the left of pivot)
  - **Recursively** sort the subarray pivIndex+1,...,last (the subarray to the right of pivot)

## **Quick sort**

See the class QuickSort

## Time and space complexities of quick sort



- When the array is sorted, at every recursive call, we find that all the other elements are bigger than the pivot! This is the worst case in fact
- So, we make n-1=O(n) recursive calls; we spend O(n) time for paritioning at every level
- Total time taken  $O(n^2)$
- Space complexity: O(n) since recursion depth can be at most n-1

## **Speed comparison**

already sorted subarray A[i] items yet to be considered

## Insertion sort, needed for comparison; runs in $O(n^2)$ time

**Input**: An array A of n comparable elements

for i = 1 to n - 1 do

Insert A[i] at the proper spot within the sorted subarray  $A[0], A[1], \ldots, A[i]$ ;

If A is already sorted then every A[i] is already in its correct position. As a result, every iteration of the for-loop runs in O(1) time. Consequently, insertion sort takes O(n) time when A is already sorted.

Applet. https://visualgo.net/en/sorting

Now see the class SortingSpeedComparison

#### Quick sort vs Merge sort, output in some run, n = 1M

```
n = 1,000,000
QuickSort (O(n^2)): 624 ms
MergeSort (O(n*log n)): 1264 ms
```



Quick sort **beats** merge sort in practice on randomly ordered arrays despite having worse time complexity!

## Merge sort vs. Quick sort vs. Insertion sort

```
n = 100,000
QuickSort (O(n^2)): 85 ms
MergeSort (O(n log n)): 124 ms
InsertionSort (O(n^2)): 19002 ms
```

Insertion sort is unusable in general when n is large. However, if the input was already sorted, it could finish in just 10 ms when n=100K. In such cases, every iteration of the for-loop takes O(1) time since A[i] is already at its correct spot! As result, insertion sort takes O(n) time on sorted inputs.

## Quick sort performs terribly when the input is already sorted!

#### Output, n = 10K

```
n = 10,000
QuickSort (O(n^2)) on a random array: 6 ms
QuickSort on a sorted array: 200 ms
```

When the input is sorted, quick sort runs in quadratic time

## What happens when the input size is 50,000?

```
n = 50.000
Exception in thread "main" java.lang.StackOverflowError
  at recursion.QuickSort.recurseAndSort(QuickSort.java:12)
  at recursion.QuickSort.recurseAndSort(QuickSort.java:13)
  at recursion.QuickSort.recurseAndSort(QuickSort.java:13)
  at recursion.OuickSort.recurseAndSort(OuickSort.java:13)
  at recursion.QuickSort.recurseAndSort(QuickSort.java:13)
  at recursion.QuickSort.recurseAndSort(QuickSort.java:13)
  at recursion.OuickSort.recurseAndSort(OuickSort.java:13)
```

The call stack runs out of space since quick sort strives to make around 50K calls in this case!

#### Avoiding StackOverflowError exception

#### How to avoid StackOverflowError exception?

Make quick sort non-recursive by using a stack explicitly

```
LinkedStack<Pair> stack = new LinkedStack<);
stack.push( new Pair(0,S.length-1) ); // push the left and right indices of the source array

while(!stack.isEmpty()) {
    var currentPair = stack.pop(); // pop a pair from the stack

    if( currentPair.leftIndex >= currentPair.rightIndex ) continue;

    int pivotIndex = partition(S, currentPair.leftIndex, currentPair.rightIndex);
    stack.push( new Pair(currentPair.leftIndex, pivotIndex - 1) ); // push the left and right indices of the left chunk
    stack.push( new Pair(pivotIndex+1, currentPair.rightIndex) ); // push the left and right indices of the right chunk
}
```

- See the class NonRecursiveQuickSort
- The non-recursive version is still slow on sorted inputs but unlike the recursive version, it doesn't crash by throwing a StackOverflowError exception
- Now, NonRecursiveQuickSort can sort a 50K-sized sorted input in 2608 ms

## How to 'almost' avoid quadratic runtime in practice?

#### **Speeding up quick sort in practice**

- Quick sort slows down on sorted inputs because of the bad pivots which generate empty left chunks (all the other elements goes to the right chunks)
- Idea. choose pivots randomly instead of sticking to the first element every time
- It will be then **unlikely** a bad pivot is chosen every time a partition is executed
- It can be shown theoretically that this small change will result in  $O(n \log n)$  behavior in practice (proof is out of scope)
- See the class RandomizedQuicksort (recursive)
- Random generator = new Random();
  int pivotIndex = generator.nextInt(first, last + 1); // generate a random index for pivot selection
  K pivot = S[pivotIndex];
- The randomized **recursive** version can sort a 50K-sized sorted input in well under 30 ms (previously it took 2608 ms!)

#### **Avoiding StackOverflowError exceptions**

- Although very unlikely, bad pivots can still be chosen resulting in StackOverflowError exceptions since RandomizedQuicksort is recursive
- **Solution.** make it non-recursive
- See the class NonRecursiveRandomizedQuickSort
- No more stack overflows and painful slowdowns on sorted datasets are unlikely!

## Making quick sort faster in practice

#### Median of three heuristic

Use the median of the three items S[first], S[(first+last)/2], S[last] as the pivot. In this case, median is the second item of the sorted sequence of the above three items.

- In the randomized version, we choose pivots randomly
- Finding a pivot using random number generator is **slower** than computing the median of the above three items since only comparison operators and swapping can be used to select the median

- Also, this results in careful selection of pivots in practice
- Consequently, quick sort surprisingly faster!

## **Further optimization**

- Insertion sort works very fast for small inputs
- We leverage insertion sort in the algorithm
- When array size is at most 50 (other small numbers may work as well), do not partition anymore, use insertion sort instead

```
if( (currentPair.rightIndex - pivotIndex + 1) > 50)
    stack.push(new Pair(pivotIndex, currentPair.rightIndex));
else
    insertionSort(S,pivotIndex,currentPair.rightIndex);

if( (pivotIndex - currentPair.leftIndex) > 50)
    stack.push(new Pair(currentPair.leftIndex, pivotIndex - 1));
else
    insertionSort(S,currentPair.leftIndex,pivotIndex-1);
```

#### See the class MedOfThreeNonRecQuickSort

#### **Demonstration**

#### n = 10,000,000, randomly generated integer array

```
n = 10,000,000
```

NonRecursiveRandomizedQuickSort (O(n log n) behavior expected): 6723 ms

MedOfThreeNonRecQuickSort (O(n log n) behavior expected): 4971 ms

MergeSort (O(n log n)): 5195 ms

Arrays.sort() (0(n log n)): 5435 ms

#### n=10,000,000, sorted integer array

```
n = 10,000,000
```

NonRecursiveRandomizedQuickSort (O(n log n) behavior expected): 3654 ms

MedOfThreeNonRecQuickSort (O(n log n) behavior expected): 1498 ms

MergeSort (0(n log n)): 4411 ms

Arrays.sort() (0(n log n)): 161 ms

Moral of the story. Arrays.sort() is hard to beat in general

## Using multiple cores on your machine to sort faster

#### n = 10,000,000, randomly generated integer array

```
n = 10,000,000
```

Arrays.sort() (0(n log n)): 5564 ms

Arrays.parallelSort() (O(n log n)): 488 ms

## **Suggested exercise**

# Implement the selection sort algorithm using the Comparable interface

https://en.wikipedia.org/wiki/Selection\_sort

## Timsort (optional, for algorithm lovers only)

Java uses **Timsort** for sorting an array of non-primitives https://en.wikipedia.org/wiki/Timsort

#### **Generic binary search**

```
public class GenericBinarySearch {
  private static <K extends Comparable<K>>> boolean binarySearchRec(K[] A, K target, int low, int high) {
      if( low > high )
         return false:
      else {
         int mid = (low + high) / 2; // mid takes the floor of (low + high) / 2
         if( target.equals(A[mid]) )
                                          return true:
         else if( target.compareTo( A[mid] ) < 0 ) return binarySearchRec(A, target, low, mid - 1 ); // recursive call</pre>
         else return binarySearchRec(A, target, mid + 1, high );// recursive call
  public static <K extends Comparable<K>> boolean search(K[] A, K target) {
      return binarySearchRec(A, target, 0, A,length-1):
```

The Comparable interface also helps us to implement a generic binary search

## **Recursion tips**

- Make sure every chain of recursive calls eventually reach at least one base case
- Long chains of recursive calls can throw **StackOverflowError**; be careful!
- If such long chains cannot be avoided, make your code iterative (non-recursive)

## Reading

https://opendsa-server.cs.vt.edu/ODSA/Books/Everything/html/RecIntro.html