# **Analysis of Algorithms**

Dr. Anirban Ghosh

School of Computing University of North Florida



#### Introduction

#### What is an algorithm?

An algorithm is a step-by-step well-defined procedure for performing some given task in a finite amount of time

#### What do we mean by analyzing an algorithm?

Figuring out theoretically (using math) how much **time** and **memory** it is going to take when implemented using any programming language

#### In this course

We will assume that an algorithm and a program written using it are equivalent from the analysis point of view

# Why it is so important these days?



Nobody likes slow and memory-hungry softwares!

# Speed

#### **Problem**

Generate **really long** strings made up of English language characters

#### Approach A: uses String

```
public static String generateLongStringA(int length) {
   String password = "";

for(int i = 0; i < length; i++)
    password += randomLetter();

return password;
}</pre>
```

#### Approach B: uses StringBuilder

```
public static String generateLongStringB(int length) {
   StringBuilder password = new StringBuilder();
   for(int i = 0; i < length; i++)
      password.append( randomLetter() );
   return password.toString();
}</pre>
```

### Speed

```
long startA = System.currentTimeMillis();
generateLongStringA(200000);
long timeTakenA = System.currentTimeMillis() - startA;

System.out.println("Time taken by A: " + timeTakenA + " ms" );
long startB = System.currentTimeMillis();
generateLongStringB(2000000);
long timeTakenB = System.currentTimeMillis() - startB;

System.out.println("Time taken by B: " + timeTakenB + " ms" );

System.out.println("Speedup: " + (double)timeTakenA/timeTakenB);
```

### Output in one run (result varies slightly every time)

Time taken by A: 6726 ms

Time taken by B: 33 ms

Speedup: 203.8181818181818



Tip: for toString methods use StringBuilder instead of String

#### Reason

We will come back to the discussion of **StringBuilder** later this semester...

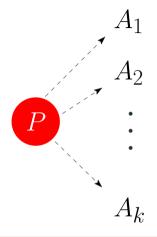
# Real-world challenge for coders handling Big Data

# The most challenging aspect of coding

Given a computational problem P, there may exist quite a few algorithms, say,  $A_1, A_2, \ldots, A_k$ , for solving P. Now, which algorithm among these ones will be one of the fastest when implemented using a programming language?



# Real-world challenge for coders handling Big Data



A super-stressed coder!



"Gosh, which one am I going to use!"

#### An easy-peasy answer

I am going to implement all of them and find out the best ones

#### The real world situation

- Nobody has this amount of time unless you are doing **Algorithm Engineering** and **Experiments** for one problem (may even take months)
- Even if you do, which datasets are you going to use to judge the implementations? For complex algorithms, finding appropriate datasets is a hassle
- Computational experiments are always hardware and software dependent

#### **Common concerns**

- Why my program is taking so long?
- Why it is running out of memory?
- I am unsure if my program will run to completion within a reasonable time for every dataset! What should I do?

# A good solution to all these problems

#### Theoretical approach

Use math to analyze algorithms/programs so that we can get away from time-consuming experiments

#### Does it work?

Yes, it does in most cases and will work everywhere in this course

#### What is it?

Analysis of algorithms (completely theoretical, no machines needed!)

#### Exponents

Let a, b, n, m be real numbers. Then,

• 
$$a^n \times a^m = a^{n+m}$$
  
**Example:**  $2^3 \times 2^{10.5} = 2^{13.5}$ 

• 
$$a^n/a^m = a^{n-m}$$
  
**Example:**  $2^{30}/2^{10} = 2^{20}$ ,  $6^3/6^{10} = 6^{-7}$ 

• 
$$(a^n)^m = a^{nm}$$
  
**Example:**  $(5^{30})^9 = 5^{270}$ 

• 
$$a^0 = 1$$
, when  $a \neq 0$   
**Example**:  $(-27.18)^0 = 1$ 

# Logarithms

The **logarithm** of a positive real number x with respect to base b is the exponent by which b must be raised to yield x. The logarithm of x to base b is denoted by  $\log_b x$ .

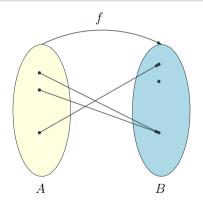
$$\log_2 1024 = 10$$
 since  $2^{10} = 1024$   
 $\log_{10} 100 = 2$  since  $10^2 = 100$ 

In this course, we will use **base-2** logarithms (b=2) mostly

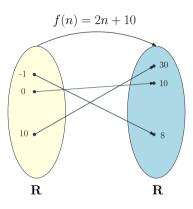
#### What is a function?

#### **Definiton**

Given two sets A,B, a function f from A to B is a rule that associates every element of A to an element of B



# **Example**



The function f(n) = 2n + 10 is shown pictorially

 $\mathbb{R}$  R is an infinite set

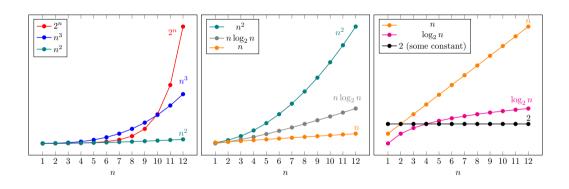
# **Upper bounds**

Since we are interested in worst-case time analysis of algorithms, we will use functions like  $T(n) \le 2n + 10$ , etc. to derive upper bounds on algorithm runtimes

# The 7 types of functions we are interested in

- **1 Constant.**  $T(n) \le k$ , for some positive constant k Examples: T(n) < 19.87: T(n) < 10, etc.
- **2 Logarithmic.**  $T(n) \le k \log n$ , for some positive constant k Examples:  $T(n) \le 5 \log_2 n$ ;  $T(n) \le 19 \log_{10} n$ , etc.
- **3 Linear.**  $T(n) \le kn$ , for some positive constant k Examples: T(n) < 6n;  $T(n) < 2^{100}n$ , etc.
- **Linearithmic.**  $T(n) \le kn \log n$ , for some positive constant k Examples:  $T(n) < 100n \log_2 n$ ;  $T(n) < 16n \log_{10} n$ , etc.
- **§ Quadratic.**  $T(n) \le kn^2$ , for some positive constant k Examples:  $T(n) \le 100n^2$ ;  $T(n) \le \sqrt{2}n^2$ , etc.
- **6 Cubic.**  $T(n) \le kn^3$ , for some positive constant k Examples:  $T(n) \le 8999n^3$ ;  $T(n) \le \sqrt{99}n^3$ , etc.
- **Exponential.**  $T(n) \le k \cdot 2^n$ , for some positive constant k Examples:  $T(n) \le 10 \cdot 2^n$ ;  $T(n) \le 9^{99} \cdot 2^n$ , etc.

# **Growth rates comparisons of the 7 functions**



# What are we trying to accomplish?

- ullet Assume that we have an algorithm A
- ullet Denote the input size by n
- Find out the function T(n), such that A takes T(n) units of time when executed on any input of size n
- T(n) is a theoretical upper bound on the runtime; a.k.a. the **time complexity** of the algorithm A

One can also do the same for the additional space needed by A. This is known as the **space complexity** of A. We will talk about this later.

# The two important aspects of an algorithm

- Time complexity. how much time as a function of the input size n does an algorithm takes to give the desired result? In this case, we are interested in counting the number of steps instead of real-world clock time, although these two are related.
- Space complexity. how much extra space (apart from the input and the expected output) as a function of the input size n does an algorithm need to get executed successfully?

Note that both are important in this age of Big Data!

# **Primitive operations**

#### **Definition**

Basic computations performed by an algorithm which take constant amount of time on a fixed machine; such operations are largely independent from programming languages and hence can be used for theoretical analyses

# **Some examples**

```
• a = b + c;
• i++;
• arr[k] = 0;
• arr[k] = s[i] + t[j];
• Counter wallet = new Counter();
• return arr;
• boolean b = (i > j);
:
```

#### Be careful!



# Not every statement that ends with a semi-colon is a primitive operation! In that case, we need to analyze them separately.

### **Not primitive operations**

```
Arrays.sort(...);findMax(someArray);:
```

#### So what?

- The **runtime** of a program *is proportional* to the number of primitive operations it has; larger input means more such operations must be executed
- Clearly, depending on n (input size), the number of such operations will vary; larger n means higher runtime
- Let T(n) denote the theoretical runtime of a given algorithm (the total number of primitive operations executed by it)
- ullet In algorithm analysis, we aim to obtain an upper-bound for T(n) by estimating the number of primitive operations executing by the algorithm under investigation
- Know that  $a \le b$  is pronounced as 'a is at most b'

#### Example

We assume that every primitive operation or a constant number of contiguous primitive operations takes 1 unit of time. Let the total cost of line i be  $c_i$ . In our case,  $c_i$  is the number of times line i is executed. Then,

$$T(n) \le c_2 + c_3 + c_5 + c_6 + c_7 + c_9$$

$$\le 1 + 1 + n + (n - 1) + (n - 1) + 1$$

$$= 3 + 3n - 2$$

$$= 3n + 1 \le 3n + n = 4n$$

So, we conclude that T(n) < 4n.

9

10

#### What does this mean?

It means that the worst-case wall-clock runtime W(n) of this method is proportional to 4n. In other words,

$$W(n) = m \cdot T(n) \le m \cdot 4n,$$

for some positive constant m.

- ullet If you are using a speedy machine, m is smaller than when you are using a slower machine
- ullet But for a fixed machine m remains the same

#### **Another example**

$$T(n) \le c_1 + c_4 + c_5 + c_6 + c_7 + c_8 + c_9$$

$$\le 1 + n + n^2 + n^2 + n^2 + n^2 + n^2$$

$$= 5n^2 + n + 1$$

$$\le 5n^2 + n^2 + n^2$$

$$\le 7n^2$$

So, we conclude that  $T(n) \leq 7n^2$ .

#### **Growth rates and runtime**

Class of $T(n)$	How does $T(n)$ look like?	Real-world impact
Constant	$T(n) \le k$	Best possible speed!
Logarithmic	$T(n) \le k \cdot \log n$	Crazy-fast
Linear	$T(n) \le k \cdot n$	Super-fast
Linearithmic	$T(n) \le k \cdot n \log n$	Very much acceptable in practice
Quadratic	$T(n) \le k \cdot n^2$	Very slow in practice
Cubic	$T(n) \le k \cdot n^3$	Do not expect to finish anytime soon
Exponential	$T(n) \le k \cdot 2^n$	You will surely get fired!

Observations are made when n (size of the input) is large

# **Deeper thoughts**

- Say an algorithm A has runtime  $T(n) \leq k \cdot n^2$
- What happens when the input size is doubled?

$$\bullet \ \frac{k \cdot (2n)^2}{k \cdot n^2} = \frac{k \cdot 4n^2}{k \cdot n^2} = 4$$

- This means runtime may quadruple on some inputs!
- But if  $T(n) \le k \cdot n$ , then  $\frac{k \cdot 2n}{k \cdot n} = 2$  (quite expected, right?)
- What if  $T(n) \leq k \cdot 2^n$ ?
- $\frac{k \cdot 2^{2n}}{k \cdot 2^n} = 2^n$  (is not even bounded by a constant!)



### A real-world example

- Say you want to sort a million integers
- Quite common these days!
- Insertion sort takes roughly 70 hours on a 'slow' machine
- Merge sort takes roughly 40 seconds on the same machine
- Insertion sort:  $T(n) \le k \cdot n^2$  (quadratic)
- Merge sort:  $T(n) \le k \cdot n \log n$  (linearithmic)
- If the machine is 100x faster, then it is 40 minutes vs less than 0.5 seconds

Moral of the story: theoretical analyses maybe hard but super-helpful in practice!

# Facts about T(n)

- ullet T(n) can be simplified if we just consider the highest order term without its coefficient; we denote it by h
- ullet h for a given T(n) is defined considering the growth rates of all the terms in T(n)
- **Example:**  $T(n) \le 10n^2 + 3n + 99$  (in this case,  $h = n^2$ )
- $T(n) < 10n^2 + 3n^2 + 99n^2 = 112n^2$
- Another example:  $T(n) \le 22n \log n + 56$  (in this case,  $h = n \log n$ )
- $T(n) \le 22n \log n + 56n \log n = 78n \log n$
- Yet another example:  $T(n) \le 99 \cdot 2^n + 66n^3 + n + 100$  (in this case,  $h = 2^n$ )
- $T(n) < 99 \cdot 2^n + 66 \cdot 2^n + 2^n + 2^n = 167 \cdot 2^n$
- T(n) can always be simplified to a form  $T(n) \leq c \cdot h$ , for some constant positive constant c
- This brings us to the famous Big-Oh notation used by the programmers around the globe no matter what programming language they are using!

29 / 57

### Big-Oh

- The constant c does not matter since h is enough to determine the class of the algorithm we are analyzing; so just drop c
- $T(n) \leq 112n^2$  is thus written as  $O(n^2)$
- $T(n) \le 78n \log n$  is thus written as  $O(n \log n)$
- $T(n) \leq 167 \cdot 2^n$  is thus written as  $O(2^n)$
- For a particular problem P, there may exist many algorithms for P which have the same runtime when expressed using Big Oh; in that case, they all are considered to be equivalent for solving P from efficiency perspective
- An easy method for determining the Big-Oh of T(n): drop the lower-order terms and then drop the coefficient of the highest order term
- Examples:  $T(n) \le 5n^2 + 6n + 21 = O(n^2)$ ;  $T(n) \le 99 \cdot 2^n + 66n^3 + n + 100 = O(2^n)$
- In other words, given T(n), we can simply write T(n) = O(h) where the highest order term in T(n) without its coefficient

# Runtimes using Big-Oh

Class of $T(n)$	How it looks like?	Expressing $T(n)$ using Big-Oh
Constant	$T(n) \le k$	O(1)
Logarithmic	$T(n) \le k \cdot \log n$	$O(\log n)$
Linear	$T(n) \le k \cdot n$	O(n)
Linearithmic	$T(n) \le k \cdot n \log n$	$O(n \log n)$
Quadratic	$T(n) \le k \cdot n^2$	$O(n^2)$
Cubic	$T(n) \le k \cdot n^3$	$O(n^3)$
Exponential	$T(n) \le k \cdot 2^n$	$O(2^n)$

- **CONSTANT**. The algorithm under consideration has a constant (can be terribly big) number of operations; runtime is not dependent on input size
  - Printing out the first integer in A
  - ullet Finding the maximum and minimum elements in a sorted array A
  - Finding the Euclidean distance between two given points  $(x_1, y_1)$  and  $(x_2, y_2)$

We say that the algorithm runs in constant time or O(1) time

- **LOGARITHMIC.** The algorithm under consideration has a runtime of  $O(\log n)$  and is barely slower than a constant-time algorithm
  - ullet Binary search on a sorted array of size n

We say that the algorithm has a logarithmic runtime

- **LINEAR**. The algorithm under consideration spends a constant amount of time processing each piece of input data, or is based on a single loop and has a runtime of O(n)
  - ullet Finding the largest integer in an array A of length n
  - Given a set A of n points, find the farthest point in A from a given point not in A

We say that the algorithm has a linear runtime

- **LINEARITHMIC.** The running time of the algorithm under consideration has a runtime of  $O(n \log n)$ 
  - ullet Merge sort an array of n comparable items

We say that the algorithm has a linearithmic runtime

- QUADRATIC. The running time of the algorithm under consideration has a runtime of  $O(n^2)$ ; usually has two nested loops each of which iterates for n times approximately
  - Bubble sort an array of n comparable items
  - Insertion sort an array of n comparable items
  - ullet Selection sort an array of n comparable items

We say that the algorithm has a quadratic runtime

- **CUBIC.** The running time of the algorithm under consideration has a runtime of  $O(n^3)$ ; usually has three nested loops each of which iterates for n times approximately
  - Multiply two  $n \times n$  matrices in a naive way

We say that the algorithm has a cubic runtime

- **EXPONENTIAL**. The running time of the algorithm under consideration has a runtime of  $O(2^n)$  or even worse
  - Print out all possible subsets of an n-element set

We say that the algorithm has an exponential runtime

# What to find the runtime without doing math?

- Find out the most costly statement/step; the one that is executed most number of times among all the statements/steps. Let the cost of that statement/step be t(n) and h be its highest order term without its constant coefficient

  Approximating t(n) is okay but over-approximation is certainly a bad idea!
- **2** Runtime of the algorithm is O(h)

# Figuring out runtime just by eyeballing

```
public static double findMax(double[] array) {
    int n = array.length;
    double maxSoFar = array[0];

for(int i = 1; i < n; i++)
    if( array[i] > maxSoFar )
        maxSoFar = array[i];

return maxSoFar;
}
```

The most costly steps are 5, 6, 7 and each of them run approximately n times each. So, the whole algorithm runs in O(n) time.

# Figuring out runtime just by eyeballing

```
void doBubbleSort(int[] array) {
    int n = array.length;

for (int i = 0; i < n-1; i++) {
    for (int j = 0; j < n-i-1; j++) {
        if (array[j] > array[j+1]) {
            int hold = array[j];
            array[j] = array[j+1];
            array[j+1] = hold;
    }

}

}
```

The most costly steps are 5,6 and each of them run approximately  $n^2$  times each. So, the whole algorithm runs in  $O(n^2)$  time.

■ Visualize sorting algorithms https://visualgo.net/en/sorting

# Figuring out runtime just by eyeballing

```
void somemethod(int[] items) {
    int result = 0;
    for (int i = 0; i < items.length; i++)

    for (int j = 0; j < 100; j++)
        result += items[i];

System.out.println(result);
}</pre>
```

The most costly steps are 4,5 and each of them run approximately 100n times each. So, the whole algorithm runs in O(n) time.

## Now from problem to code

## Problem: used in finance to keep tracking of rising and falling profit averages

You are given an array X of n numbers. For every  $0 \le i \le n-1$ , you need to find out:  $A[i] = \frac{X[0] + X[1] + \ldots + X[i]}{i+1}$ . These are called **prefix averages** of an array.

### **Example**

**Input:**  $X = \{10, 0, 1, 5, 99, 3\}$  (here n = 6)

$$A[0] = (10)/1 = 10$$

$$A[1] = (10+0)/2 = 5$$

$$A[2] = (10 + 0 + 1)/3 \approx 3.67$$

$$A[3] = (10+0+1+5)/4 = 4$$

$$A[4] = (10 + 0 + 1 + 5 + 99)/5 = 23$$

$$A[5] = (10 + 0 + 1 + 5 + 99 + 3)/6 \approx 19.67$$

**Output:**  $A = \{10, 5, 3.67, 4, 23, 19.67\}$ 

# Approach A

#### Idea

### Compute every A[i] from scratch

```
public static double[] findPrefixAveragesA(double[] x) {
         int n = x.length:
         double[] a = new double[n];
         for(int i = 0; i < n; i++) {
            double total = 0:
            for(int j = 0; j \le i; j++)
               total += x[i]:
10
11
            a[i] = total/(i+1):
12
13
         return a:
14
15
```

Lines 8, 9 are the most expensive ones; they are executed approximately  $n^2$  times each; so overall runtime is  $O(n^2)$ 

# Approach B

#### Idea

```
A[i] = rac{	ext{the sum in the numerator when } A[i-1] 	ext{ was calculated plus } X[i]}{i+1};
```

We save additions using this observation!

```
public static double[] findPrefixAveragesB(double[] x) {
    int n = x.length;
    double[] a = new double[n];

double total = 0;

for(int i = 0; i < n; i++) {
    total += x[i];
    a[i] = total/(i+1);
}
return a;
}</pre>
```

Lines 7, 8, 9 are the most expensive ones; they are executed approximately n times each; so overall runtime is O(n)

## **Experiment**

```
Random generator = new Random():
int n = 100000:
double[] testArray = new double[n];
for( int i = 0: i < n: i++)
  testArrav[i] = generator.nextInt(n):
long startA = System.currentTimeMillis():
findPrefixAveragesA(testArray):
long timeTakenA = System.currentTimeMillis() - startA:
System.out.println("Time taken by approach A (runs in O(n^2) time): " + timeTakenA + " ms"):
long startB = System.currentTimeMillis();
findPrefixAveragesB(testArray):
long timeTakenB = System.currentTimeMillis() - startB:
System.out.println("Time taken by B (runs in O(n) time): " + timeTakenB + " ms" ):
System.out.println("Speedup: " + (double)timeTakenA/timeTakenB):
```

### Output in one run (result varies slightly every time)

# Theoretically, why there is no faster algorithm?

#### **Answer**

We need to scan the whole array. Such a scan already takes cn steps, for some constant c>0. This means a faster algorithm does not exist for the prefix sum problem!

## What happens in real life?

- f 1 You get a computational problem P
- 3 Having the abstract ideas and/or pseudo-codes of these algorithms and using pencil + paper, you find out their theoretical runtimes
- 4 Implement the algorithm that appears best (has the best theoretical runtime)

In some cases, you may need to find out their **space complexities** too

# **Sorting faster**

#### **Problem**

Sort an array of length n, where the array elements are taken from the set  $\{0,1,2,3,4\}$ .

### Question

What's the fastest algorithm you can design for this problem?



#### Solution

### Straightforward solution: runs in $O(n \log n)$ time

```
Arrays.sort(arr1);
System.out.println("Time taken by Arrays.sort(): " + (System.currentTimeMillis() - start) + " ms" );
```

### A faster solution: runs in O(n) time

## Speed comparison, n = 1,000,000

Time taken by Arrays.sort(): 27 ms

Time taken by our approach: 11 ms

# **Element uniqueness**

# **Real-world problem**

Given a long list of IP addresses, determine if they are unique, meaning no two IP addresses are identical.

## The brute-force approach

#### Idea

Take the no-brainer approach; take one address at a time and scan the whole array to see if it is present elsewhere in the input array

# Takes ${\cal O}(n^2)$ time

```
public static boolean bruteForce(String[] addresses) {
  for( int i = 0; i < addresses.length-1; i++)
    for( int j = i+1; j < addresses.length; j++) {
      if( i != j && addresses[i].equals(addresses[j]))
          return false;
    }
  return true;
}</pre>
```

## Smart approach: sort and check

#### Idea

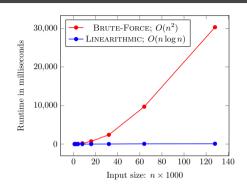
Sort the whole array first. If there are duplicates, those must appear contiguously in the array.

### Takes $O(n \log n)$ time

```
public static boolean linearithmic(String[] addresses) {
   Arrays.sort(addresses); // Takes O(n log n) time; uses the compareTo() method from the String class
   for( int i = 0; i < addresses.length - 1; i++) // this for loop runs in O(n) time
    if( addresses[i].equals(addresses[i+1]) )
        return false;
   return true;
}</pre>
```

## Comparison

n	BRUTE-FORCE	LINEARITHMIC
1K	9	3
2K	12	2
4K	49	3
8K	203	4
16K	714	7
32K	2406	20
64K	9697	61
128K	30314	88



Runtimes are shown in milliseconds

### Experiment ran on a 2019 Macbook Pro 16

Depending on the array, the brute-force algorithm may terminate fast. For instance, when the first two elements in the array are identical, both the loops will iterate exactly once!

## 2-SUM problem

### The problem

Given an array A of n numbers and a number x, verify if there are two numbers A[i] and A[j] such that A[i] + A[j] = x and  $i \neq j$ 

### **Examples**

$$A = [99, 1, -3, 0, 22, 5, 6, 22, -101, 1, 17], x = 23$$
, Answer. true

$$A = [99, 1, -3, 0, 22, 5, 6, 22, -101, 1, 17], \\ x = 10 \text{, Answer. false}$$

## The brute-force approach

#### Idea

Try all possible pairs of numbers from the array and check if there are two numbers in the array that satisfy the condition

# Takes $O(n^2)$ time

```
public static boolean bruteForce(int[] A, int x) {
    for(int i = 0; i < A.length - 1; i++)
        for( int j = i + 1; j < A.length; j++)
        if( A[i] + A[j] == x )
            return true;
    return false;
}</pre>
```

## Smart approach: sort and check

#### Idea

Sort the array and then check

## Takes $O(n \log n)$ time

```
public static boolean linearithmic(int[] A, int x) {
    Arrays.sort(A);

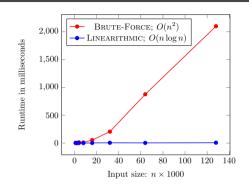
int left = 0, right = A.length-1;

while( left < right )
    if( A[left] + A[right] > x ) right--; // sum is larger; decrement right
    else if( A[left] + A[right] < x ) left++; // sum is less; increment left
    else return true; // A[left] + A[right] == x; success!

return false;
}</pre>
```

## Comparison

n	BRUTE-FORCE	LINEARITHMIC
1K	6	3
2K	2	1
4K	15	2
8K	12	1
16K	55	3
32K	206	6
64K	877	4
128K	2099	8



Runtimes are shown in milliseconds

### Experiment ran on a 2019 Macbook Pro 16

Depending on the array, the brute-force algorithm may terminate fast. For instance, when the first two elements in the array equals x, both the loops will iterate exactly once!

# **Highly recommended**



### 3-SUM problem (an extension of the 2-SUM problem)

Given an array A of n numbers and a number x, verify if there are three numbers A[i], A[j], A[k] such that A[i] + A[j] + A[k] = x and  $i \neq j \neq k$ 

#### **Brute-force**

Takes  $O(n^3)$  time

#### Question

Can you design a faster algorithm? Write a code for the algorithm and then experimentally compare it with the brute-force algorithm for large values of n.

# Reading

# Chapter 4 from

https://opendsa-server.cs.vt.edu/OpenDSA/Books/CS3/html/index.html