# Databases

Lecture 2

The Relational Model

#### The Relational Data Model

- proposed by Edgar Codd in 1970
  - 1981 Turing Award
- the dominant data model today (represented by DBMSs like Oracle, SQL Server, etc.)
- advantages
  - simple data representation
  - queries, even complex ones, can be easily expressed, using a simple, high-level language
- even non-technical users can understand the contents of a database

#### The Relational Data Model

- 1. relations
- 2. integrity constraints
- 3. relational databases
- 4. managing relational databases

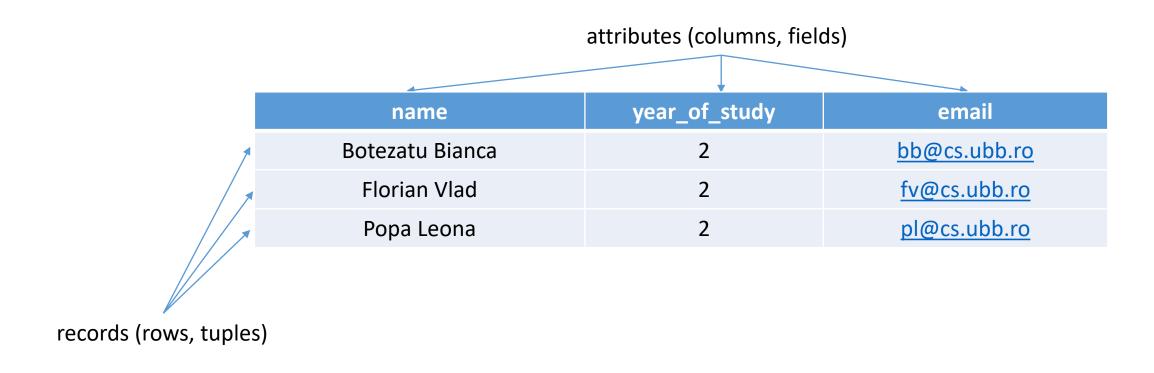
- in an application, a data collection is used according to a model
- in the **relational model**, the data collection is organized as a set of **relations** (tables)
- a relation has a relation schema and a relation instance
- the relation instance can be thought of as a table
- the relation schema describes the table's column heads

- the schema specifies the relation's name, and the name and domain for each field
- the domain of a field is specified through its name and has a set of associated values
- schema example for the Students relation:

```
Students[name, year_of_study, email] or notation:
```

Students(name: string, year\_of\_study: integer, email: string)

• instance example for the Students relation:



- $\{A_1, A_2, ..., A_n\}$  a set of attributes
- $D_i = Dom(A_i) \cup \{?\}$ 
  - domain of possible values for attribute A<sub>i</sub>
  - the undefined (null) value is denoted here by {?}
    - unknown / not applicable
    - can be used to check if a value has been assigned to an attribute (the attribute could have the *undefined* value)
    - this value doesn't have a certain data type; attribute values of different types can be compared against this value (numeric, string, etc.)
- relation of **arity** (degree) n:  $R \subseteq D_1 \times D_2 \times ... \times D_n$
- R[A<sub>1</sub>, A<sub>2</sub>, ..., A<sub>n</sub>] the relation schema

• the relation can be stored in a table of the form:

R	A <sub>1</sub>		A <sub>j</sub>		A <sub>n</sub>
r <sub>1</sub>	a <sub>11</sub>		a <sub>1j</sub>		a <sub>1n</sub>
	•••	•••	•••	•••	•••
r <sub>i</sub>	a <sub>i1</sub>	•••	a <sub>ij</sub>	•••	a <sub>in</sub>
	•••	•••	•••	•••	•••
r <sub>m</sub>	a <sub>m1</sub>	•••	a <sub>mj</sub>	•••	a <sub>mn</sub>

where  $a_{ij} \in D_j$ , j = 1,...,n, i = 1,...,m.

- the values of an attribute are atomic and scalar
- the rows in a table are not ordered
  - e.g., 2 representations of the same *Students* relation instance

name	year_of_study	email
Botezatu Bianca	2	bb@cs.ubb.ro
Florian Vlad	2	fv@cs.ubb.ro
Popa Leona	2	pl@cs.ubb.ro

name	year_of_study	email
Florian Vlad	2	fv@cs.ubb.ro
Botezatu Bianca	2	bb@cs.ubb.ro
Popa Leona	2	pl@cs.ubb.ro

• the records in a table **are distinct** - a relation is defined as a set of distinct tuples (however, DBMSs allow tables to contain duplicates)

• the cardinality of an instance is the number of tuples it contains

• a relation that is not well-designed:

Students[name, program, year\_of\_study, group]

name	program	year_of_study	group
Alexandra Mihai	CS ro	2	222
Vlad Dan	CS ro	2	222
Andreea Stancu	CS ro	2	226
Flaviu Pop	CS ro	3	226

- the association between group=222 and (program='CS ro', year\_of\_study=2) is stored 2 times, for the first 2 students
- the last 2 students are in the same group, but in different years error
- to avoid such situations, relations must be normalized

- integrity constraints (restrictions) are conditions specified on the database schema, restricting the data that can be stored in the database
- these constraints are **checked** when the data is **changed**; operations that violate the constraints are not allowed (e.g., introducing a student with a CNP identical to a different student's CNP, entering data of the wrong type in a column, etc.)
- examples: domain constraints, key constraints, foreign key constraints

 domain constraints - conditions that must be satisfied by every relation instance: a column's values belong to its associated domain

- key constraint a constraint stating that a subset of the attributes in a relation is a unique identifier for every tuple in the relation; this subset of attributes is minimal
- $K \subseteq \{A_1, A_2, ..., A_n\}$  is a **key** for relation  $R[A_1, A_2, ..., A_n]$  if:
  - the attributes in K can be used to identify every record in R
  - no subset of K has this property
- two different records are not allowed to have identical values in all the fields that constitute a key, hence specifying a key is a restriction for the database

- e.g., in the Doctors[dep, did, lastname, firstname] relation, we can define the constraint: a doctor is uniquely identified by his / her department and did, i.e., 2 different doctors can have the same department or the same did, but not both; the key is the group of attributes {dep, did}
- e.g., Books[author, title, publisher, year]

author	title	publisher	year
C. J. Date	An Introduction to Database Systems	Addison-Wesley Publishing Comp.	2004
P. G. Wodehouse	Summer Lightning	Polirom	2003
Simon Singh	Fermat's Last Theorem	Humanitas	2012
Stephen Hawking	A Brief History of Time	Humanitas	2012

• a possible key is the group of attributes {author, title, publisher, year}; in such a case, the schema can be augmented to include another attribute (with distinct values; the latter can be automatically generated when records are added)

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bid	author	title	publisher	year
457	C. J. Date	An Introduction to Database Systems	Addison-Wesley Publishing Comp.	2004
4	P. G. Wodehouse	Summer Lightning	Polirom	2003
34	Simon Singh	Fermat's Last Theorem	Humanitas	2012
769	Stephen Hawking	A Brief History of Time	Humanitas	2012

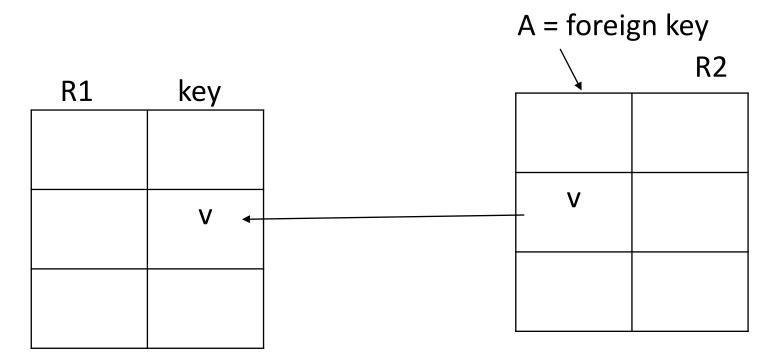
- **{bid}** can now be chosen as the key; two different tuples will always have different values in the **bid** column
- the user must be careful not to define a key constraint that prevents the storage of correct tuple sets, e.g., if **{title}** is declared to be a key, the *Books* relation can't contain tuples describing different books with the same title
- superkey a set of fields that contains the key (e.g., the set {bid, title} is a superkey)

bid	author	title	publisher	year
457	C. J. Date	An Introduction to Database Systems	Addison-Wesley Publishing Comp.	2004
4	P. G. Wodehouse	Summer Lightning	Polirom	2003
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• non-key fields can contain identical values in different tuples: the relation can contain 2 different books from the same year, i.e., 2 tuples with *year = 2004*; or 3 different books written by the same author, i.e., 3 tuples with *author = Stephen Hawking*, etc.

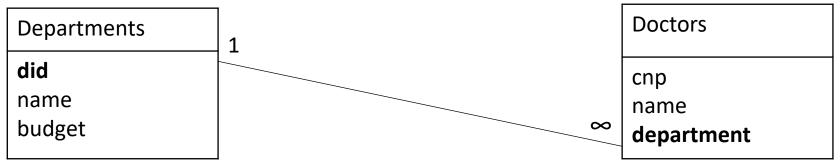
- a relation can have multiple keys: one key (an attribute or a group of attributes) is chosen as the primary key, while the others are considered candidate keys
- e.g., Schedule[day, hour, room, teacher, group, subject]
   with the schedule for a week
- the following sets of attributes can be chosen as keys:
   {day, hour, room}; {day, hour, teacher}; {day, hour, group}

- foreign key constraints the values of some attributes in a relation can also appear in another relation
- in the figure below, A is defined as a foreign key in R2; it refers to R1's key
- R1 and R2 need not be distinct



e.g., Departments[did, name, budget]

Doctors[cnp, name, department]



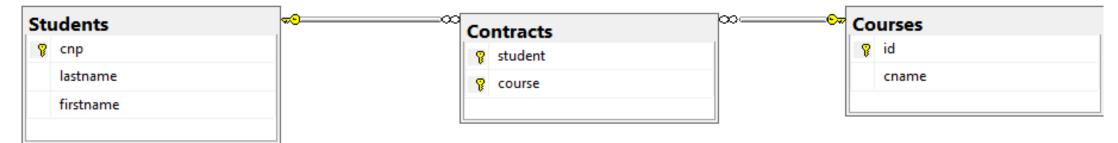
- establishing a link betwen *Departments* (as the parent relation) and *Doctors* (child relation): **Departments.did = Doctors.department**
- a department stored in the *Departments* relation and identified through a code corresponds to all doctors with the department's code; the *department* attribute in the *Doctors* relation is a foreign key
- a foreign key can be used to store **1:n** associations among entities: a department can correspond to several doctors, and a doctor can be associated with at most one department

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- foreign keys can be used to store m:n associations among entities
- e.g., a student can enroll in several courses; multiple students can take a course; storing such associations requires an additional table



• any value that appears in the *student* field of the *Contracts* relation must appear in the *cnp* field of the *Students* relation, but there may be students who haven't enrolled in any courses yet (i.e., *cnp* values in *Students* that don't appear in the *student* column from *Contracts*)



• the foreign key in the *Contracts* relation must have the same number of columns as the referenced key from *Students* (preferably, the primary key); the data types of the corresponding columns must be compatible, however, the column names can be different

#### enforcing integrity constraints

- when the data is changed, the DBMS rejects operations that violate the specified integrity constraints
  - e.g., entering a row in Contracts that has no corresponding student row in Students
- in some cases, instead of rejecting the operation, the DBMS will make additional changes to the data, so in the end all integrity constraints are satisfied
  - e.g., when removing a *Students* row that has referencing *Contracts* rows, the operation can be:
    - rejected the row is not deleted
    - cascaded, i.e., the Students row and the referencing rows in Contracts are deleted
    - \* other options see the CREATE TABLE statement in this lecture Sabina S. CS

#### 3. Relational Databases

#### relational database

collection of relations with distinct names

#### relational database schema

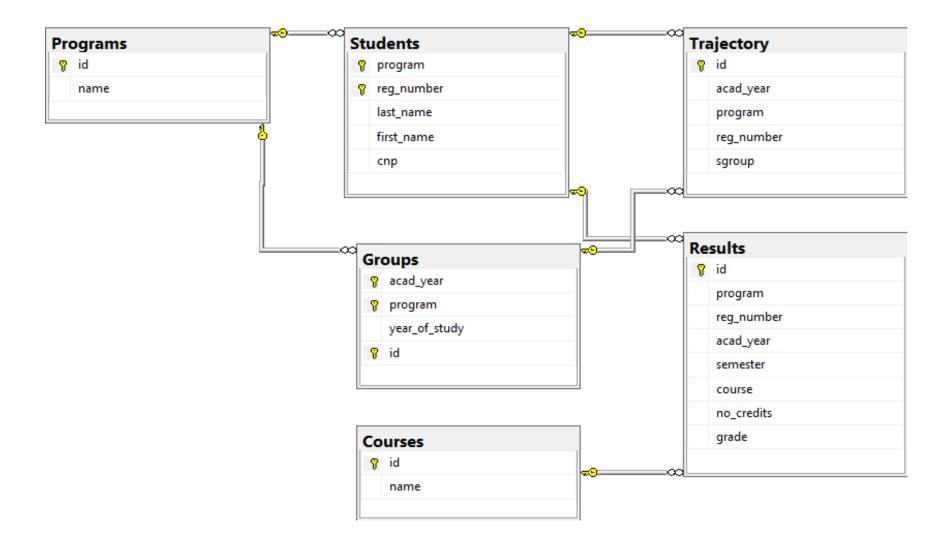
collection of schemas for the relations in the database

#### database instance

- collection of relation instances, one / relational schema in the database schema
- must be legal, i.e., it must satisfy all the specified integrity constraints

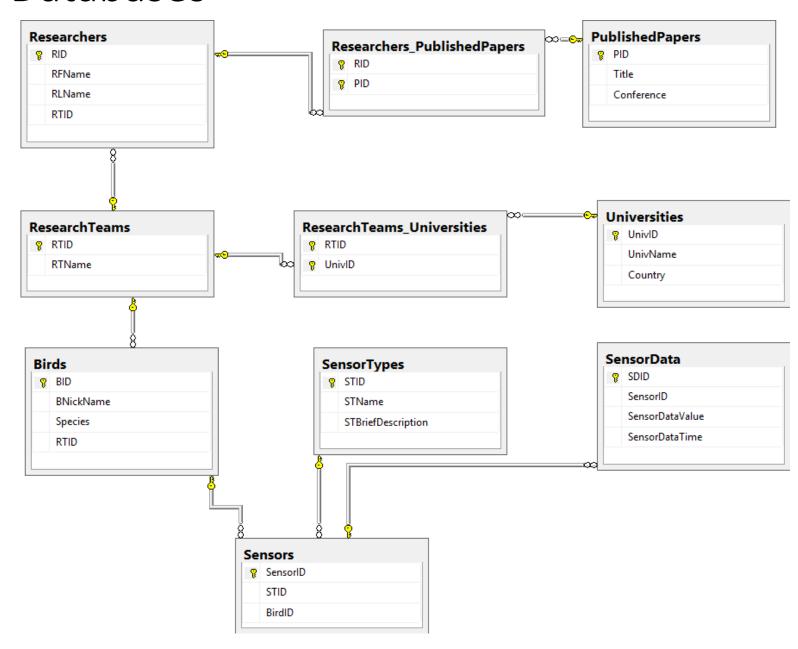
#### 3. Relational Databases

#### • ex1:



#### 3. Relational Databases

• ex2:



- 4. Managing Relational Databases with SQL
- SQL Structured Query Language
- 1970 E.F. Codd formalizes the relational model
- 1974 the SEQUEL language (Structured English Query Language) is defined at IBM (in San Jose)
- 1976 SEQUEL/2, a changed version of SEQUEL, is defined at IBM; following a revision, it becomes the SQL language
- 1986 SQL adopted by ANSI (American National Standards Institute)
- 1987 SQL adopted by ISO (International Standards Organization)
- versions (extensions): SQL-86, SQL-89, SQL-92, SQL:1999, SQL:2003,
   SQL:2006, SQL:2008, SQL:2011, SQL:2016, SQL:2023

- defining components
  - CREATE, ALTER, DROP (SQL DDL)
- managing and retrieving data
  - SELECT, INSERT, UPDATE, DELETE (SQL DML)
- managing transactions
  - START TRANSACTION, COMMIT, ROLLBACK

CREATE TABLE – defines a new table

```
CREATE TABLE table_name
(column_definition [, column_definition] ... [, table_restrictions])
column_definition: column_name data_type[(length)] [DEFAULT value]
      [column_restriction]
          CREATE TABLE Students
                 (sid INT PRIMARY KEY,
                 cnp CHAR(13),
                 lastname VARCHAR (50),
                 firstname VARCHAR (50) DEFAULT 'TBA',
                 age INT CHECK (age >= 18))
```

- data type categories: numeric, string, date-time, etc.
- \* we use the age attribute for simplicity; it is preferable to store the date of birth, as it doesn't change every year

- restrictions associated with a column:
  - NOT NULL can't have undefined values
  - PRIMARY KEY the column is a primary key
  - UNIQUE the values in the column are unique
  - CHECK(condition) the condition that must be satisfied by the column's values (simple conditions that evaluate to true or false)
  - FOREIGN KEY REFERENCES parent\_table[(column\_name)] [ON UPDATE action] [ON DELETE action]

#### restrictions associated with a table:

- PRIMARY KEY(column\_list) defining the primary key for the table
- UNIQUE(column\_list) the values in the column list are unique
- CHECK(condition) the condition that must be satisfied by a row
- FOREIGN KEY(column\_list) REFERENCES parent\_table[(column\_list)][ON UPDATE action][ON DELETE action]

- possible actions for a foreign key:
  - NO ACTION
    - the operation is not allowed if it violates integrity constraints
  - SET NULL
    - the foreign key value is set to *null*
  - SET DEFAULT
    - the foreign key value is set to the default value
  - CASCADE
    - the delete / update is performed on the parent table, but it generates corresponding deletes / updates in the child table

```
CREATE TABLE Programs
  (id SMALLINT PRIMARY KEY,
  name VARCHAR(70))
CREATE TABLE Students
  (program SMALLINT REFERENCES Programs(id),
  reg_number CHAR(10),
  last name CHAR(30),
  first name CHAR(30),
  cnp CHAR(13) UNIQUE,
  PRIMARY KEY(program, reg number))
CREATE TABLE Groups
  (acad year SMALLINT,
  program SMALLINT REFERENCES Programs(id),
  year of study SMALLINT,
  id CHAR(10),
  PRIMARY KEY (acad year, program, id))
CREATE TABLE Courses
  (id CHAR(10) PRIMARY KEY,
  name VARCHAR(70))
```

```
CREATE TABLE Trajectory
  (id INT PRIMARY KEY IDENTITY(1,1),
  acad_year SMALLINT,
  program SMALLINT,
  reg number CHAR(10),
  sgroup CHAR(10),
  FOREIGN KEY(program, reg number) REFERENCES
Students(program, reg number),
  FOREIGN KEY(acad year, program, sgroup)
REFERENCES Groups(acad year, program, id))
CREATE TABLE Results
  (id INT PRIMARY KEY IDENTITY(1,1),
  program SMALLINT,
  reg number CHAR(10),
  acad year SMALLINT,
  semester SMALLINT,
  course CHAR(10) REFERENCES Courses(id),
  no credits DECIMAL(3,1),
  grade SMALLINT,
  FOREIGN KEY(program, reg_number) REFERENCES
Students(program, reg number))
```

```
CREATE TABLE Universities
                                                             CREATE TABLE Sensors
  (UnivID SMALLINT PRIMARY KEY IDENTITY(1,1),
                                                               (SensorID INT PRIMARY KEY IDENTITY(1,1),
                                                               STID TINYINT REFERENCES SensorTypes ON DELETE NO ACTION
  UnivName NVARCHAR(100) NOT NULL,
                                                            ON UPDATE CASCADE,
  Country NVARCHAR(40))
                                                               BirdID INT REFERENCES Birds(BID))
CREATE TABLE ResearchTeams
                                                             CREATE TABLE SensorData
  (RTID SMALLINT IDENTITY(1,1),
                                                               (SDID INT PRIMARY KEY IDENTITY(1,1),
  RTName NVARCHAR(100) UNIQUE,
                                                               SensorID INT REFERENCES Sensors (SensorID),
  CONSTRAINT PK ResearchTeams PRIMARY KEY(RTID))
                                                               SensorDataValue REAL,
                                                               SensorDataTime DATETIME2)
CREATE TABLE ResearchTeams Universities
  (RTID SMALLINT FOREIGN KEY REFERENCES
ResearchTeams(RTID) ,
                                                             CREATE TABLE Researchers
  UnivID SMALLINT REFERENCES Universities (UnivID),
                                                               (RID SMALLINT PRIMARY KEY IDENTITY(1,1),
  PRIMARY KEY(RTID, UnivID))
                                                               RFName NVARCHAR(90) NOT NULL,
                                                               RLName NVARCHAR(90) NOT NULL,
CREATE TABLE SensorTypes
                                                               RTID SMALLINT REFERENCES ResearchTeams(RTID))
  (STID TINYINT PRIMARY KEY IDENTITY(1,1),
  STName NVARCHAR(50) UNIQUE,
                                                             CREATE TABLE PublishedPapers
  STBriefDescription NVARCHAR(300) DEFAULT 'TBW')
                                                               (PID INT PRIMARY KEY IDENTITY(1,1),
                                                               Title NVARCHAR(200),
                                                               Conference NVARCHAR(200))
CREATE TABLE Birds
  (BID INT PRIMARY KEY IDENTITY(1,1),
  BNickName NVARCHAR(50),
                                                             CREATE TABLE Researchers PublishedPapers
  Species VARCHAR(100),
                                                               (RID SMALLINT REFERENCES Researchers(RID) ,
  RTID SMALLINT,
                                                               PID INT REFERENCES PublishedPapers(PID),
  CONSTRAINT FK_Birds_ResearchTeams FOREIGN KEY(RTID)
                                                               PRIMARY KEY(RID, PID))
REFERENCES ResearchTeams(RTID))
                                                                                                           Sabina S. CS
```

ALTER TABLE – changes the structure of a defined table
 ALTER TABLE table\_name operation

```
ALTER TABLE Students

ADD FavSymphony VARCHAR (50)
```

- possible operations (differences among DBMSs)
  - add / change / remove a column
    - ADD column\_definition
    - {ALTER COLUMN | MODIFY} column\_definition
    - DROP COLUMN column\_name

- add / remove a constraint
  - ADD [CONSTRAINT constraint\_name] PRIMARY KEY(column\_list)
  - ADD [CONSTRAINT constraint\_name] UNIQUE(column\_list)
  - ADD [CONSTRAINT constraint\_name] FOREIGN KEY (column\_list)
     REFERENCES table\_name[(column\_list)] [ON UPDATE action] [ON DELETE action]
  - DROP [CONSTRAINT] constraint\_name

DROP TABLE – destroys a table

**DROP TABLE table\_name** 

DROP TABLE Students

• Data Definition Language (DDL) - subset of SQL used to create / remove / change components (e.g., tables)

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