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| **Problem Chosen** ABCDEF | **2024 MCM/ICM Summary Sheet** | **Team Control Number** 2410605 |

Summary Sheet

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1. **Introduction**
   1. **Problem Background**

Modern people's understanding of the ocean, especially the deep sea, is far less than that of the land. Deep-sea exploration is to comprehensively study the mysteries of the ocean and the earth, exploring the natural conditions of the deep ocean, such as the appearance of the seabed, ocean currents, as well as the biological and economic resources contained in the seabed. The deep-sea space has complex and special environmental characteristics, its sea surface Marine meteorology and sea water movement are changeable, and the sea bottom has no light, high pressure, low temperature and no oxygen. The severe Marine environment, equipment failure, human factors and other factors make the deep sea major sudden safety accidents hover at a high level for a long time. In order to reduce the loss of deep-sea accident and find out the cause of the accident, it is necessary to carry out rescue and search and salvage the accident equipment at the first time.

* 1. **Restatement of the Problem**

According to the requirements of MCMS, we are supposed to support their submersible safety system in the following aspects.

* Develop a model to predict the position of the submersible over time. Through the analysis of uncertain factors, consider the auxiliary positioning information and the corresponding acquisition equipment.
* Under the premise of considering economy and practicality, adding additional search equipment to the main vessel and the rescue vessel.
* By using the information in the positioning model, recommend the initial deployment point and search mode of the equipment in order to minimize the search time, and determine the probability of finding the submersible based on the time and cumulative search results.
* Extend the model to different marine environment and the environment with identified disturbances.
  1. **Our work**

1. **Assumptions and Justification**
2. **Notations**

Important notations used in this paper are listed in Table 1.

Table 1 Notations

|  |  |  |
| --- | --- | --- |
| Symbol | Description | Unit |
|  | Mass of submersible |  |
|  | Height of submersible at time |  |
|  | Velocity of sea water |  |
|  | Temperature of sea water |  |
|  | Density of sea water |  |
|  | Friction between submersible and sea water |  |
|  |  |  |
|  |  |  |
|  |  |  |

1. **Model I: Submersible Location Prediction Model**
   1. **Submersible configuration**

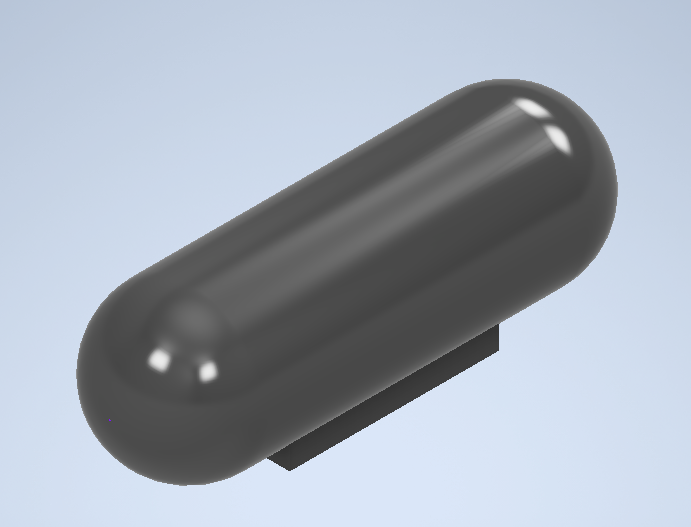
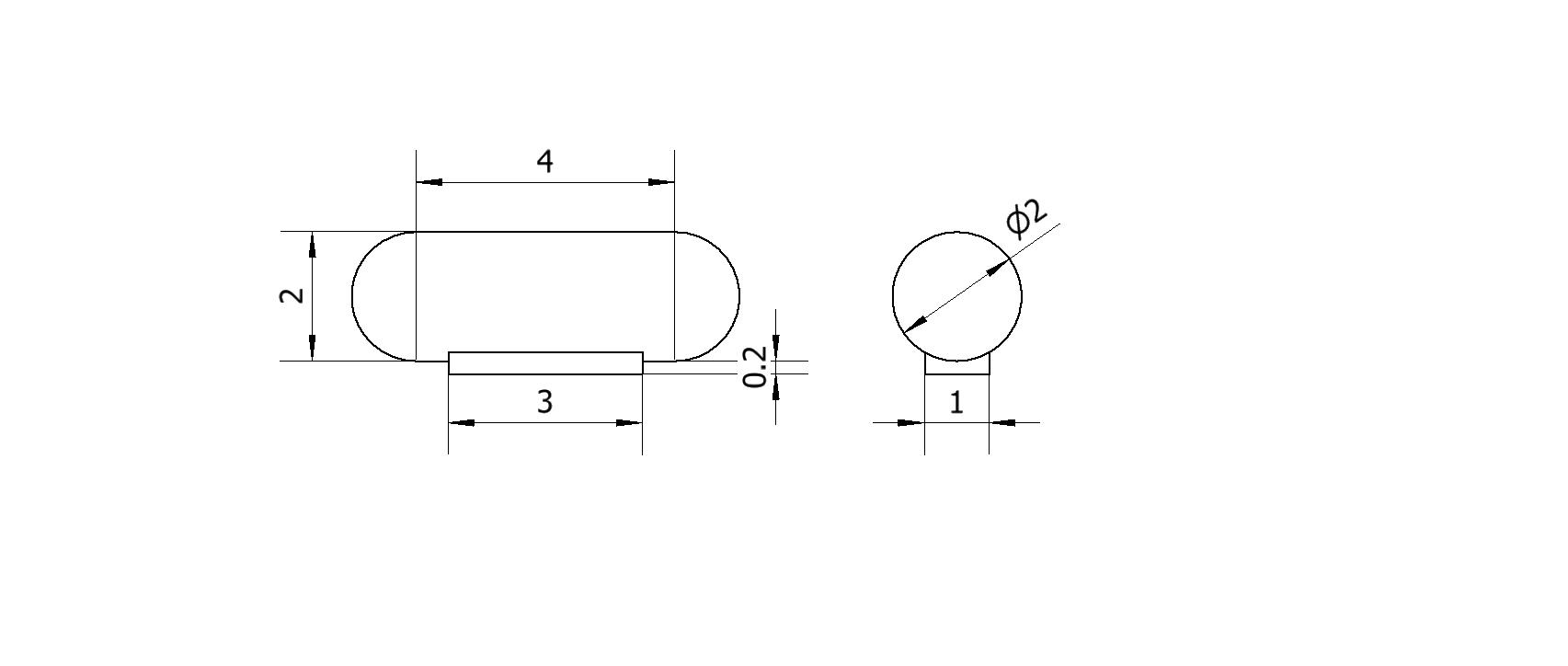
In order to simplify the model, through data search and comparison, we set the submersible as a capsule-like shape, and the specific structure and size are shown in the figure below (in meters).

Figure 2 Schematic Diagram of Submarine Model

We can see that the structure of the submersible consists of two parts, the main body of the capsule, and a piece of ballast iron suspended below the body. 压载铁的作用On this basis, we make further assumptions as follows:

Table 2 Detailed Parameters of Submarine

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Length | Width | Height | Full load displacement | Empty Weight | Water Storage Place |
| 6m | 2m | 2.2m | 16.7552 |  |  |

As a result, the total weight of the submersible m can be expressed as follows：



Where  is the weight of the empty ship,  is the weight of the ballast iron,  is the weight of other weights such as personnel and equipment, and  is the weight of water contained in the water storage tank.

* 1. **State of the Ionian Sea**

The data we use to describe the state of Ionian Sea include historical ocean currents data, density of sea water and the depth of Ionian Sea. The data sources are summarized in Table 3.

Table 3 Data Source Collocation

|  |  |  |
| --- | --- | --- |
| Database Names | Database Websites | Data Type |
| HYCOM | https://www.hycom.org/dataserver/gofs-3pt1/analysis | Currents |
| HURRICAN | https://hurricanescience.org/science/basic/water/index.html | Density |
| GBECO | https://www.gebco.net/data\_and\_products | Depth |

* + 1. **Currents**

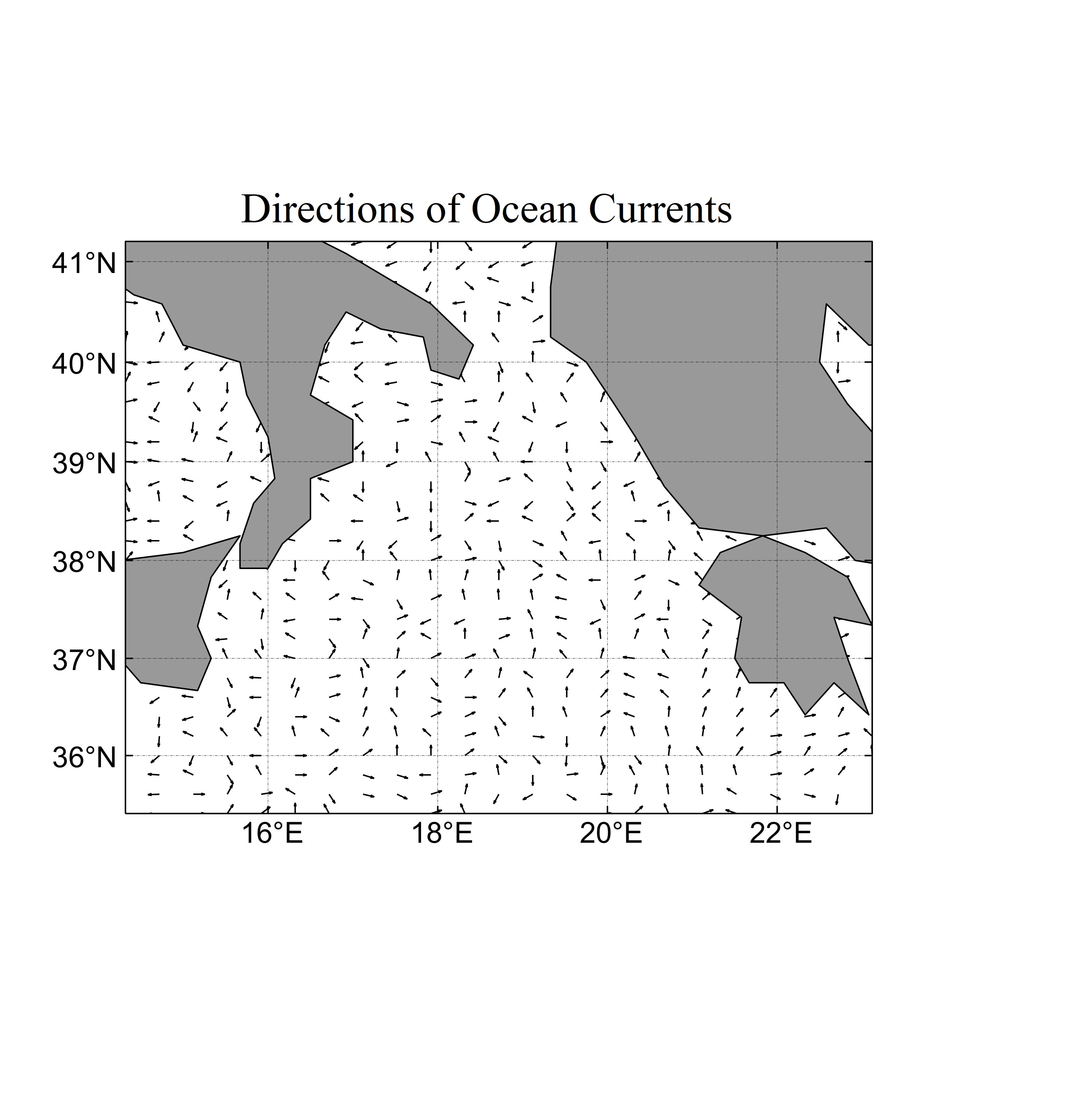
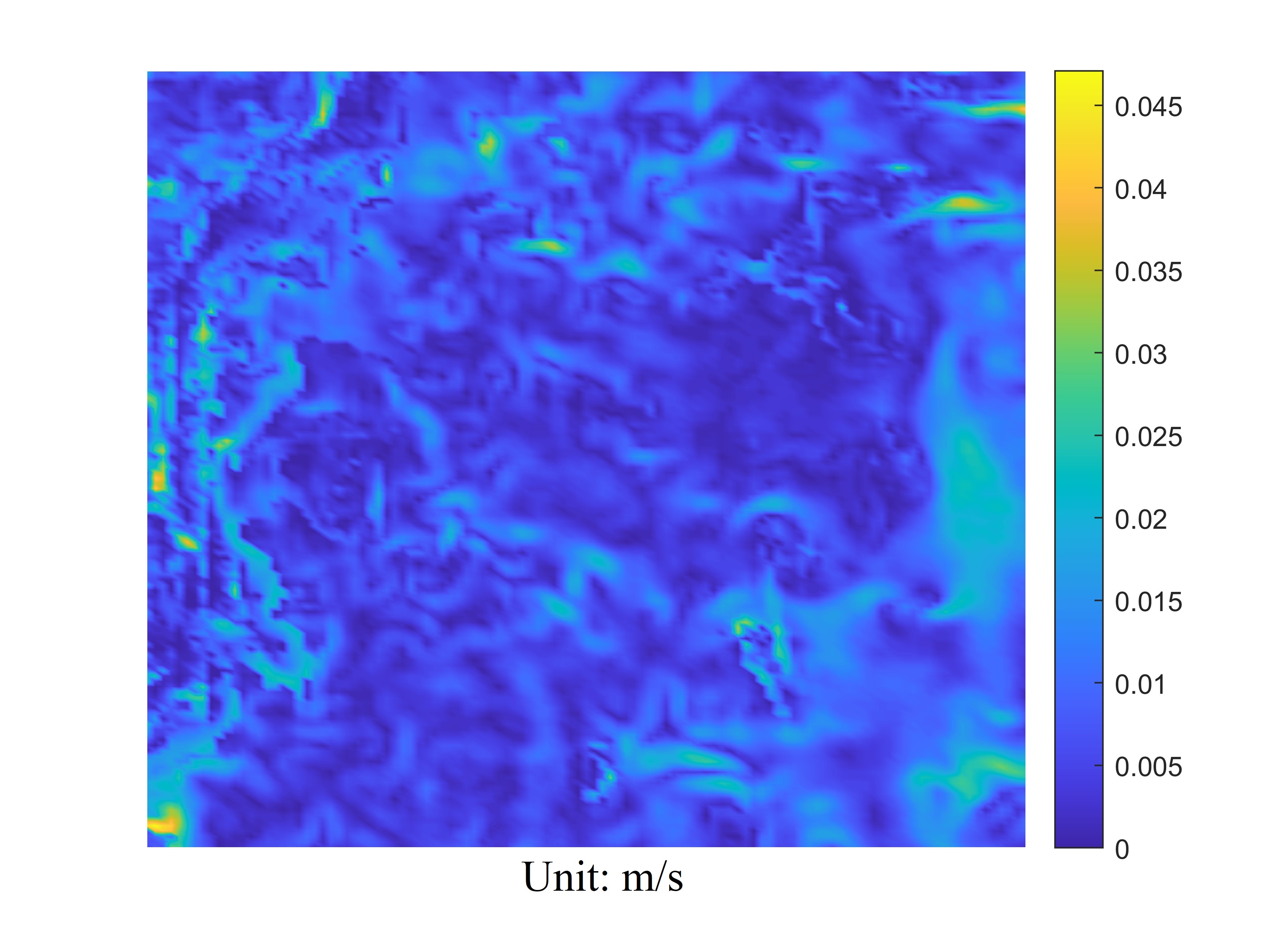
Ocean current is a force that cannot be ignored in the ocean, which refers to the regular horizontal flow of sea water in a certain direction at a relatively stable speed, and is the main form of sea water movement. There are three main influencing factors, namely wind, density and compensation. Given the operating area of the submersible, wind and compensation effects have less effect on ocean currents, and density differences in layers of similar or the same depth are not enough to have large effects. Therefore, we believe that the current data at a certain point tend to be stable as a whole and do not affect the change of seasons over time. On the basis of the above cognition, we obtained the ocean current data at the depth of 4000 meters in the Ionian Sea, and plotted the flow field and velocity characteristic pattern of the ocean current at this depth.

Figure 3 Direction and Value of Ocean Currents in Ionian Sea at 

From Figure 3, ocean current field in the Ionian Sea is basically disordered in direction, and the remote region still maintains a certain degree of overall direction, while the direction of the ocean current around the land plate is relatively chaotic and does not have overall directivity.

Considering that the search location is in the deep sea, since the ocean current velocity varies with depth and the degree of change is large, the surface current velocity may reach several meters per second, and the deep-sea current velocity is only a few centimeters per second, we use an exponential function to describe the change of ocean current velocity with depth:



where , is the current depth, and the constant  can be determined by the sea level current velocity (that is, when ).

Since ocean currents are not completely invariable, for the sake of accurate modeling, we determine a velocity magnitude deviation in the range of ，so the equation can be further refined as



Assuming that the Angle between the ocean current direction and the Y-axis is α, and considering the directional deviation  of magnitude , the value range of the ocean current direction can be expressed as .

* + 1. **Density of Sea Water**

Through the International one-atmosphere equation of state of seawater (Frank J. Millero, Alain Poisson, 1981) [1], we can determine the density of seawater at the target location, and the equation form is



where  is salinity of water,  is density of water, and  are functions of temperature.

* Temperature

Temperature is an important consideration in the state of the deep-sea environment, and by finding and fitting the data, it is possible to plot the temperature with the depth of the water, which is also known as thermocline. It can be clearly seen from Figure 4 that the initial temperature decreases significantly with the increase of depth, and then turns to a steady and slow decrease and continues after it drops to 5℃.

* Salinity

Similar to temperature, we perform a similar analysis on seawater salinity to find data for a dataset where a graph of changes can be plotted, which is also known as Halocline. It can be analyzed from the figure that the salinity initially decreases significantly with increasing depth, but after reaching a critical value of about 34.2%, it continues to rise at a lower rate of change than before.

Since salinity and temperature are both known, the corresponding density values can be obtained by calculation, and the data can be fitted to plot the density of seawater with depth.

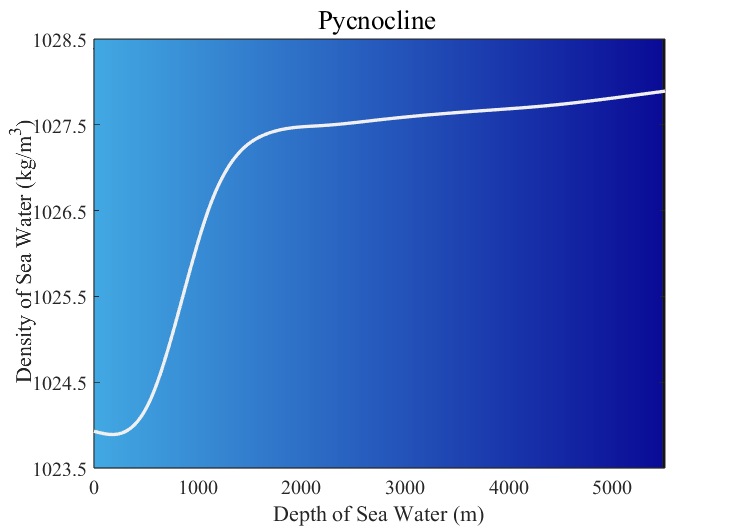
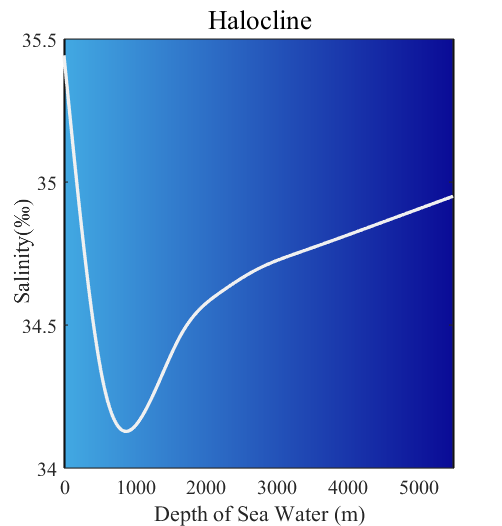
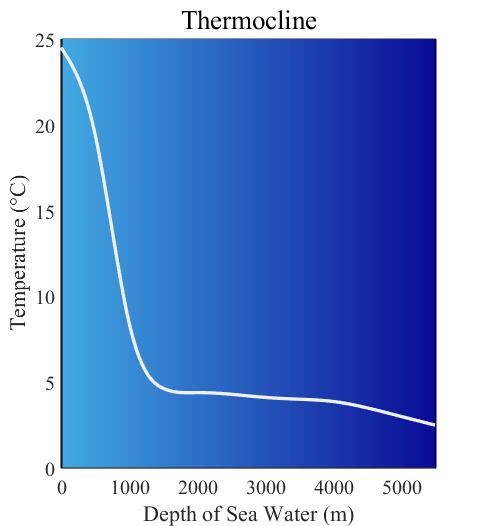
According to the analysis of the curve trend in the above figure, except for a small decrease near the sea surface, the density increases as a whole with the increase of depth, and the increase rate is large at the shallow layer, and the rate slows down at about 2000 meters.

Figure 4 Changes in Seawater Temperature, Salinity, and Density with Depth

* + 1. **Geography of the Sea Floor**

The shape of the sea floor is also an important consideration, with fluctuations in the shape of the sea floor determining that the deepest depth can vary from region to region, which in turn can produce different Marine environments. Based on the search data fitting, we have mapped the sea floor characteristic pattern of the Ionian Sea in Figure 5.

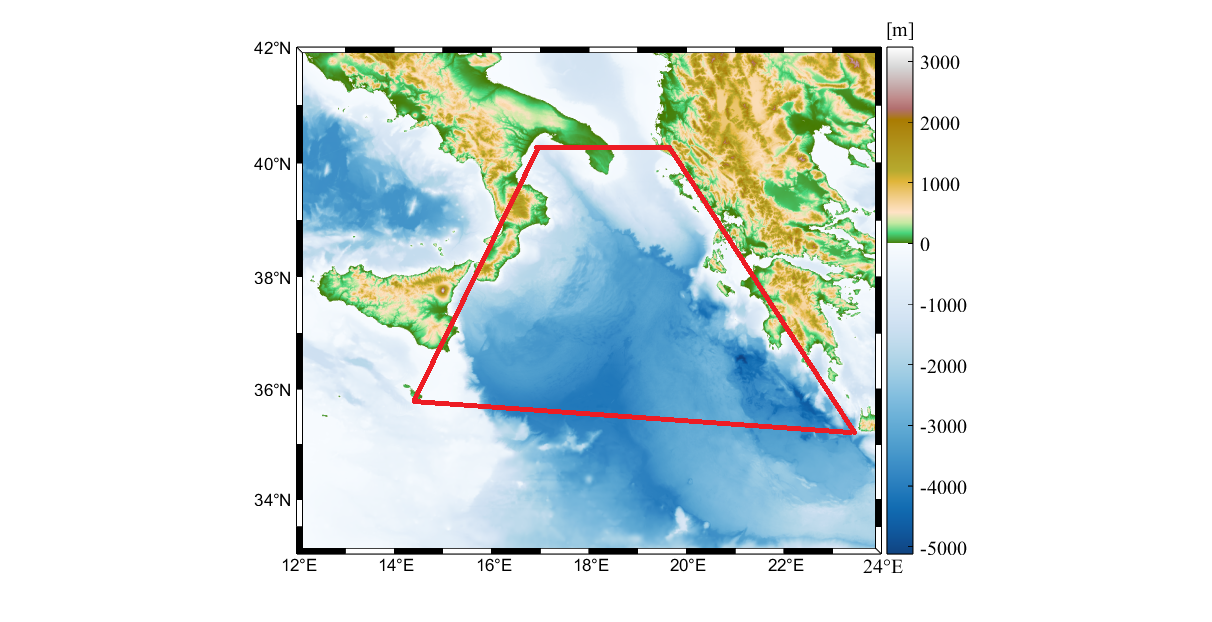
Through the analysis of the above figure, it can be seen that the overall depth of the Ionian Sea is basically more than 3000m, and the deepest depth can reach about 5000m. On the whole, compared with other regions, the eastern south region of the Ionian Sea has a deeper seabed.

Figure 5 Topographic Map of Ionian Sea

* 1. **Dynamic analysis of submersibles**

In order to predict the trajectory of the submersible after losing contact and determine the position of the submersible, the dynamics analysis of the submersible is necessary. In order to simplify the model, we assume that the position coordinates of the submersible at the time of crash are and the bow is facing due north.

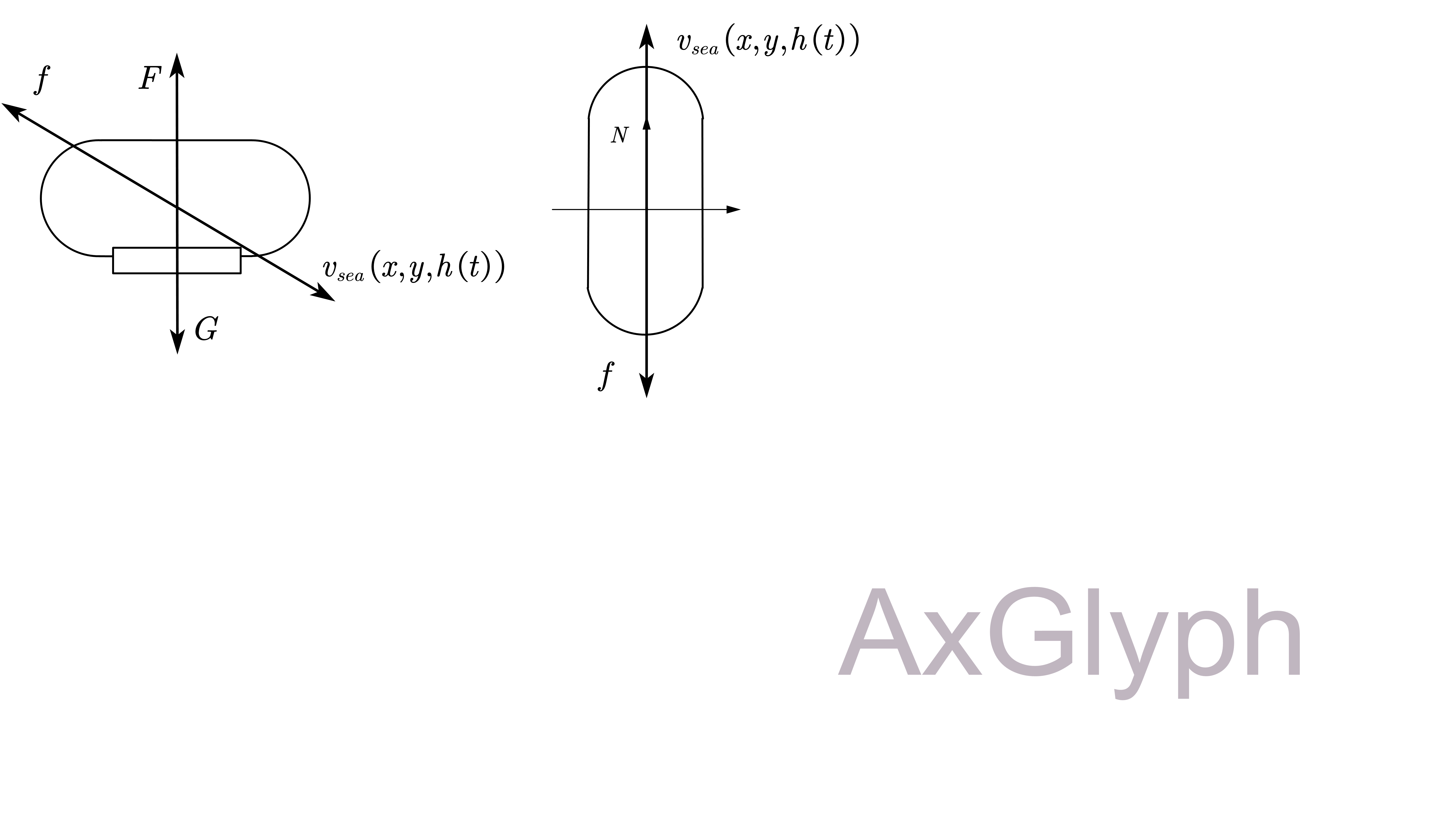
The force analysis of the submersible is carried out: in the vertical direction, the submersible is mainly subjected to the vertical upward buoyancy, the vertical downward gravity , and the resistance  which is opposite to the direction of the submersible's movement relative to the sea water. In the horizontal direction, the submersible is affected only by the resistance. The diagram is as follows

Figure 6 Force Analysis of Submersible

* **Weight:**



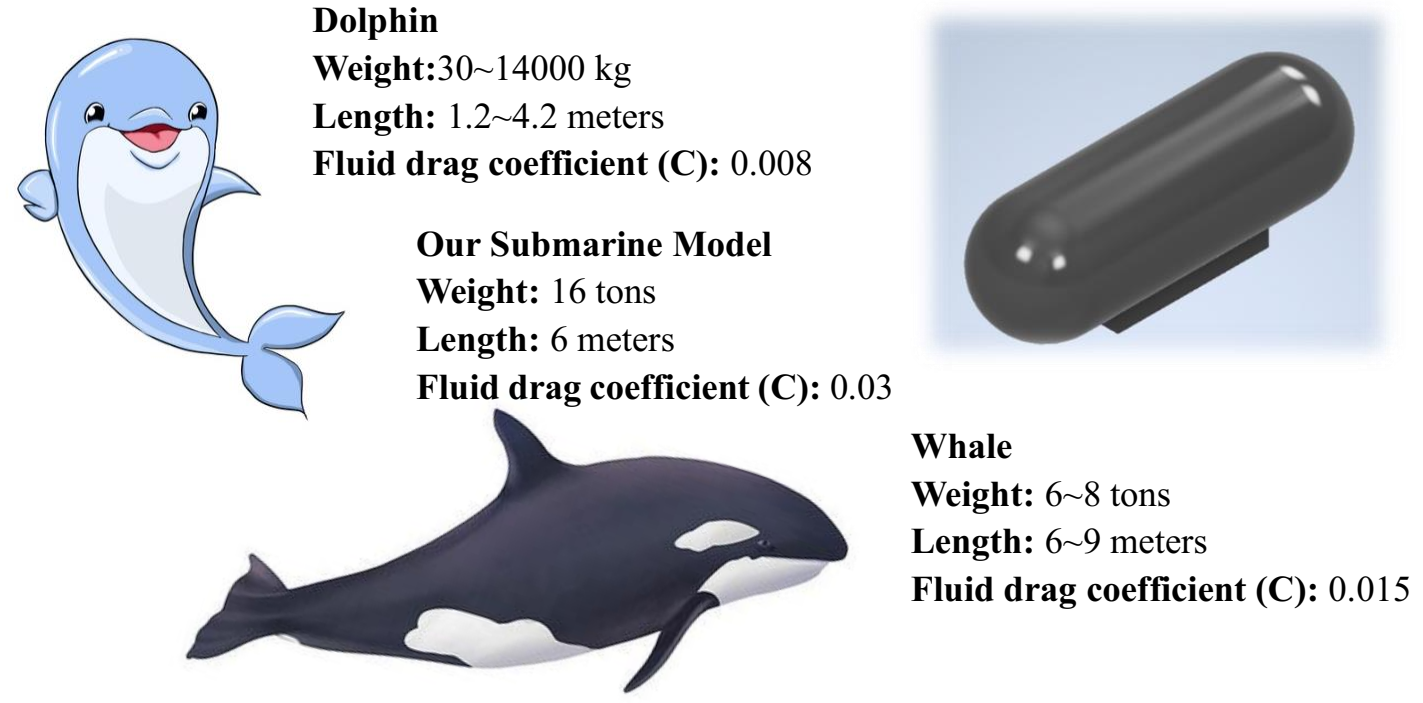
where  is the mass of the submersible and 

* **Floatage:**



where  is the density of sea water at this depth, and  is the volume of submersible.

* **Friction:**

is the resistance coefficient of seawater, is the area of the characteristic surface along the axis, is the density of seawater, v = . 通过类比，得到潜水器的阻力系数For the convenience of analysis, the resistance is orthogonal decomposed into the x - and y-axis directions.

Figure 7

By combining the above three equations, the state equation of the submersible under stress balance can be listed. The considerations and limitations of error in 4.2 are brought into it and can be obtained by comprehensive sorting



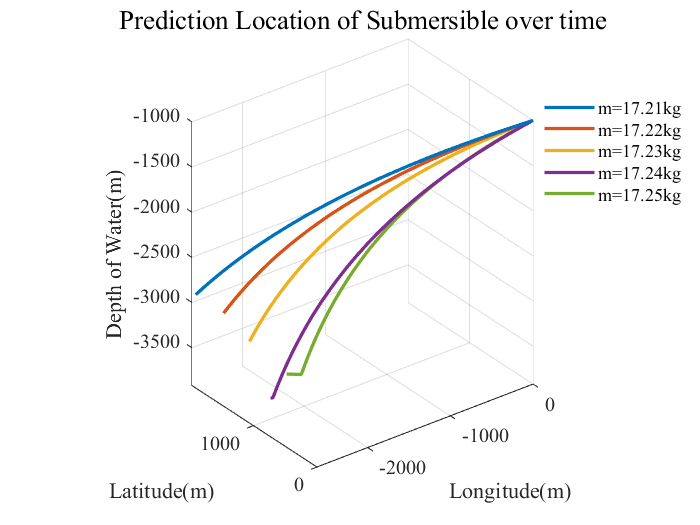
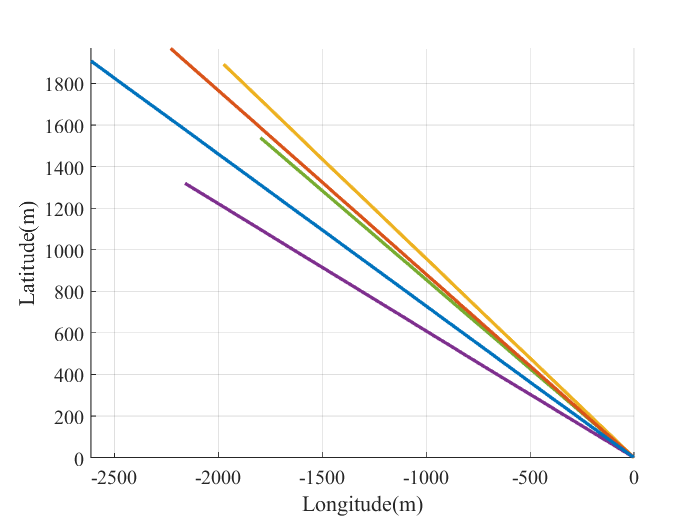
It can be obtained by analyzing the relationship between the elements expressed in the equation that the randomness of submersible weight, direction and size of ocean currents all affect the position of submersibles. For practical and simplified models, we believe that the submersible will have a completely elastic collision with the seabed when falling to the seabed. In order to verify the rationality of this conclusion, five groups of submersibles with different masses were taken as steps of 0.01kg to simulate the trajectory after the crash. The results are shown in the figure below.

Figure 8 Prediction Location of Submersible over time

From the analysis of the above two figures, it can be seen that even though the mass of the submersible changes only in a subtle range and the deviation between the front and back is very small, the impact on the movement trajectory of the submersible after the crash is indeed significant, which is reflected in the trajectory deviation in the horizontal direction and the distance from the origin, as well as the difference in the falling rate in the vertical direction. In general, when the mass is lighter, the vertical fall rate is slower, which means that the final travel distance in the corresponding horizontal direction may be larger. When the mass is heavier, the vertical fall of the submersible is faster, and the submersible sinks to the sea bottom faster, which means that its displacement in the horizontal direction may be shorter.

* 1. **Model Evaluation of Uncertainty**

According to the inference in 4.3, the mass of submersible, the direction and size of ocean current are main factors affecting the trajectory of submersible after the wreck, and the uncertainties of the three factors bring more troubles to the position prediction. In order to evaluate the uncertainty, we use Monte Carlo method to simulate the possible position of the submersible.

Monte Carlo method is a method of numerical calculation through a large number of repeated random experiments. Because of its versatility and flexibility, Monte Carlo ideas are often used to solve the following problems: probability distribution statistics, optimization of geometric structures and numerical integration operations. The underwater motion of the wrecked submersible is a stochastic problem, so solving it based on Monte Carlo idea can greatly simplify the calculation model and improve the calculation efficiency and accuracy.

We simulate the possible positions of the submersible at six time points after the accident, and observed the probability distribution of the position of the submersible at that time. The simulation diagram is shown below.

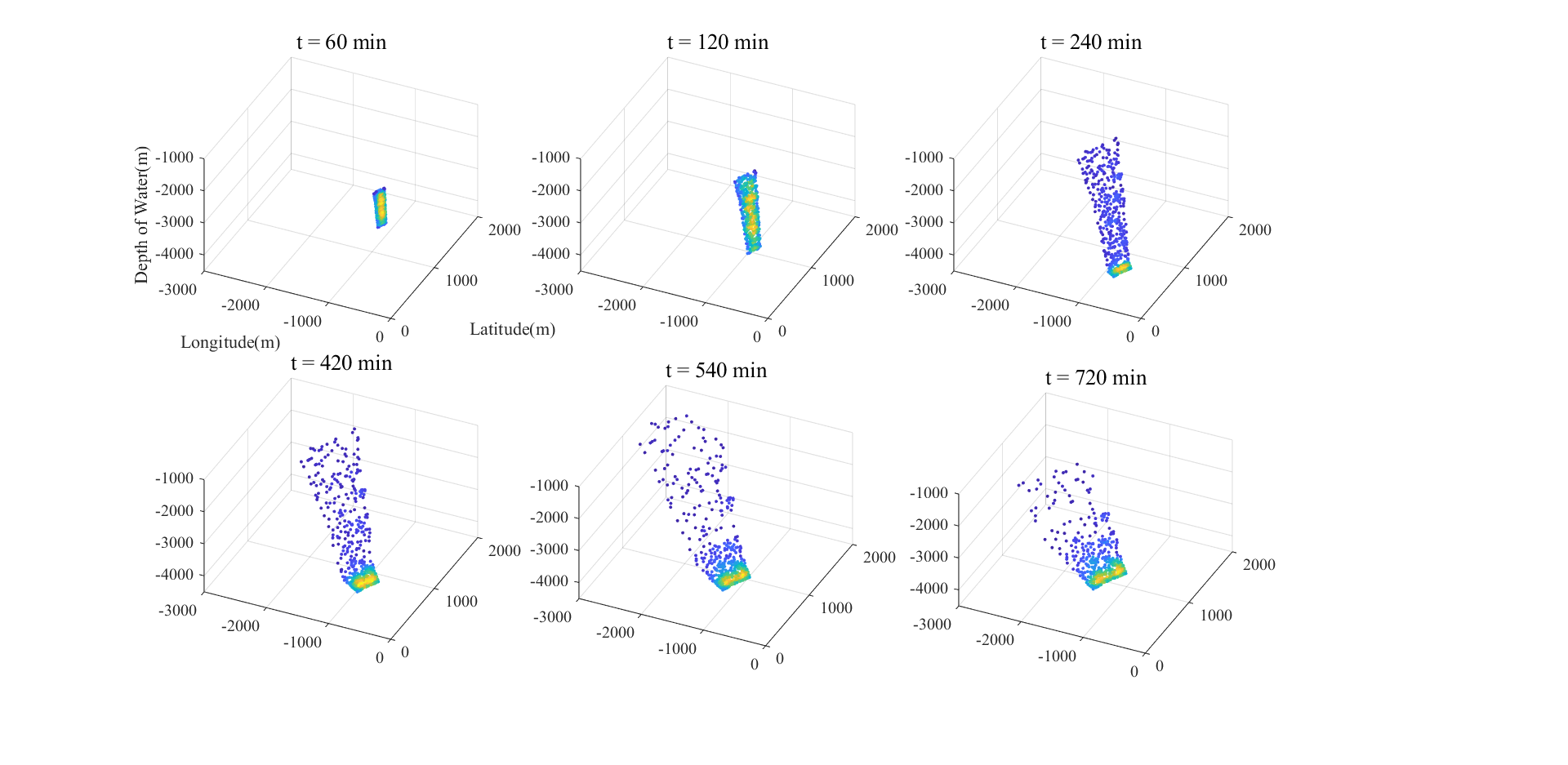


Figure 9 Possible Position of Submersible in Simulation

Through the analysis of the above figure, it can be concluded that with the passage of time, due to the uncertainty of the above three factors at the time of the crash, the probability distribution of the possible position of the submersible has been continuously dispersed over time. In particular, the displacement deviation range in the horizontal direction is too large, which undoubtedly greatly increases the success rate and search and rescue time.

* 1. **Ways to Reduce Uncertainty**

According to the requirements, the submersible is now considered to periodically transmit some data back to the main ship, in order to reduce uncertainty, help the main ship to conduct more accurate positioning, and facilitate the search and rescue work.

Referring to the inference in 4.3, the uncertainty is mainly concentrated in three aspects: the weight of the submersible, the speed and direction of the ocean current. The instability of the weight mainly comes from the weight of the personnel and equipment carried by the submersible and the weight of the ballast water in the ballast water tank. Therefore, if we can determine the relevant data before the submersible crash, the accuracy of the position judgment of the submersible will be greatly improved. And the following are the equipment and necessary means that we consider suitable for deploying on the submersible.

* Unified weighing before launching to evaluate the **instability of the weight**
* Deploying the instrument monitoring the water quantity in the tank in real time
* Using the water tracking mode of Doppler log DVL to measure the convection velocity, combined with the high-precision navigation parameters provided by SINS self-assisted navigation, using the least squares estimation algorithm RLS to **estimate the ocean current velocity and direction**.

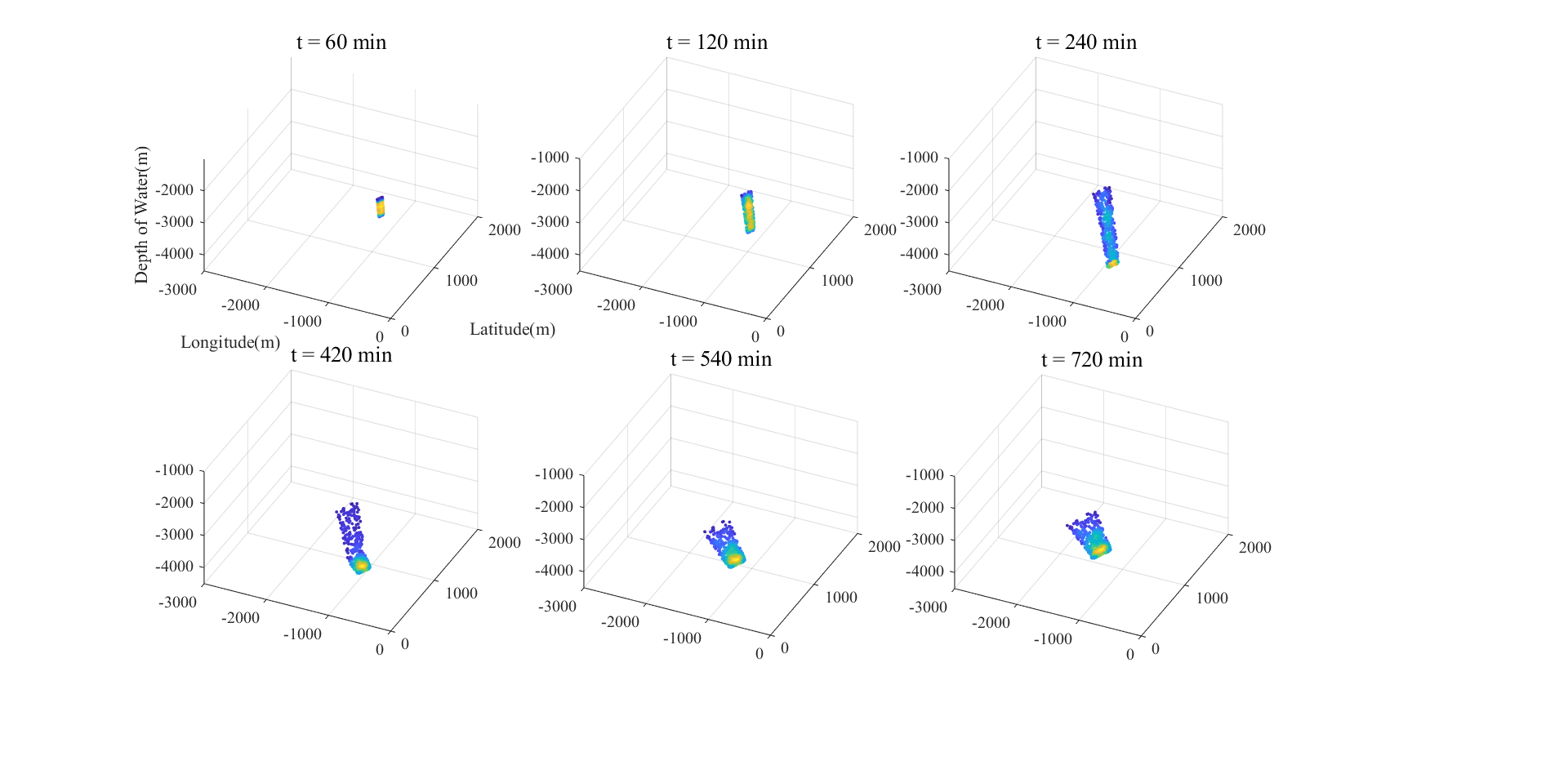
After taking the above measures, the uncertainty about the weight of the submersible and the magnitude and direction of ocean current speeds will decrease. Then, we use 4.4 to build a model to simulate the probability distribution of positions at several time points after the submersible crash.

Figure 10 Possible Positions of Submersible with Ways to Reduce Uncertainty

Through comparison and analysis between the above figure and the distribution figure in 4.4, it can be concluded that the probability distribution area for predicting the location of submersible will shrink significantly, and the distribution of random points will be more convergent, which means that the search and rescue area can shrink. **Search time and rescue success rate will be greatly improved.**

1. **Evaluation Model for Equipment Preparation**
   1. **Evaluation Based on TOPSIS**

We are considering installing additional search equipment on the host ship and the rescue vessel, so as to broaden the search means and bring more efficiency to the search and rescue work of the wrecked submersible.

After relevant literature reading and data searching, we select five conventional and powerful medium or large size search and rescue devices: ROV, AUV, Echo Sounder, Side Scan Sonar and Magnetometer, for further evaluation through functional comparison and analysis. The effects, advantages and disadvantages of the equipment are summarized in the following table.

Table 4: Possible Additional Search Equipment

|  |  |  |
| --- | --- | --- |
| **Equipment** | **AUV** | **ROV** |
| **Effects** | Ocean survey, seabed geological research and manned submersible salvage | Remote control operation, seabed survey and deep-sea exploration |
| **Advantages** | Autonomous operation, high precision and wide range of action | Multi-functional and continuous working for a long time |
| **Disadvantages** | High price, large weight and size | Reliance on cables, limited flexibility, high maintenance costs |

|  |  |  |
| --- | --- | --- |
| **Echo Sounder** | **Side Scan Sonar** | **Magnetometer** |
| Marine mapping and topographic exploration | Providing a side view of underwater terrain | Find metal objects and locate electronic devices |
| High precision and efficiency | High definition, wide coverage and strong functionality | No dependence on light, suitable for many environments |
| Energy Dependence and susceptible to terrain effect | Depth limitation, high investment cost | Low accuracy and may be affected by other metals |

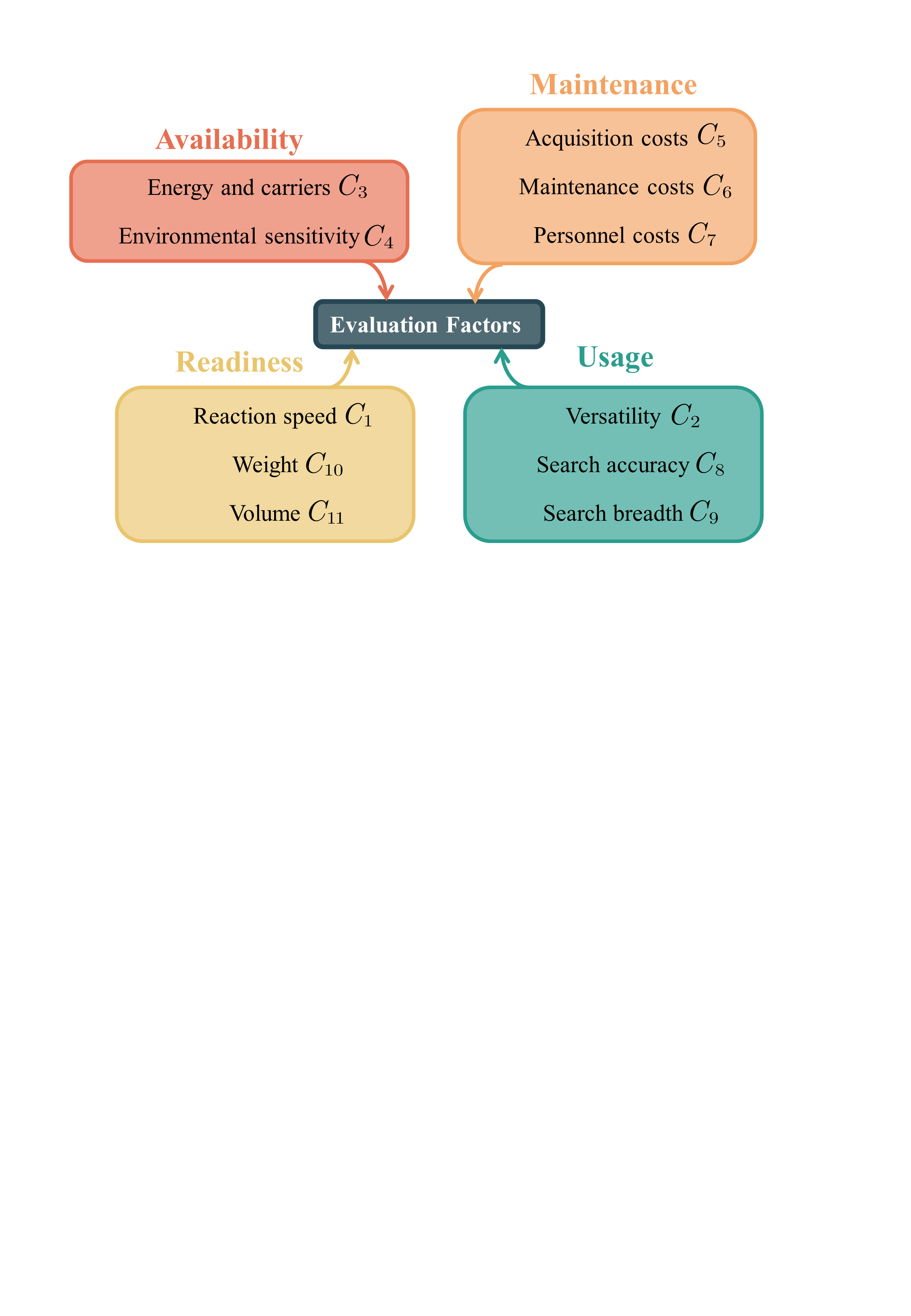
For these five devices, we evaluated them using the TOPSIS method. Based on relevant data and subjective thinking, we start from four perspectives and 11 indicators.

Figure 11 Evaluation Perspectives and Indicators

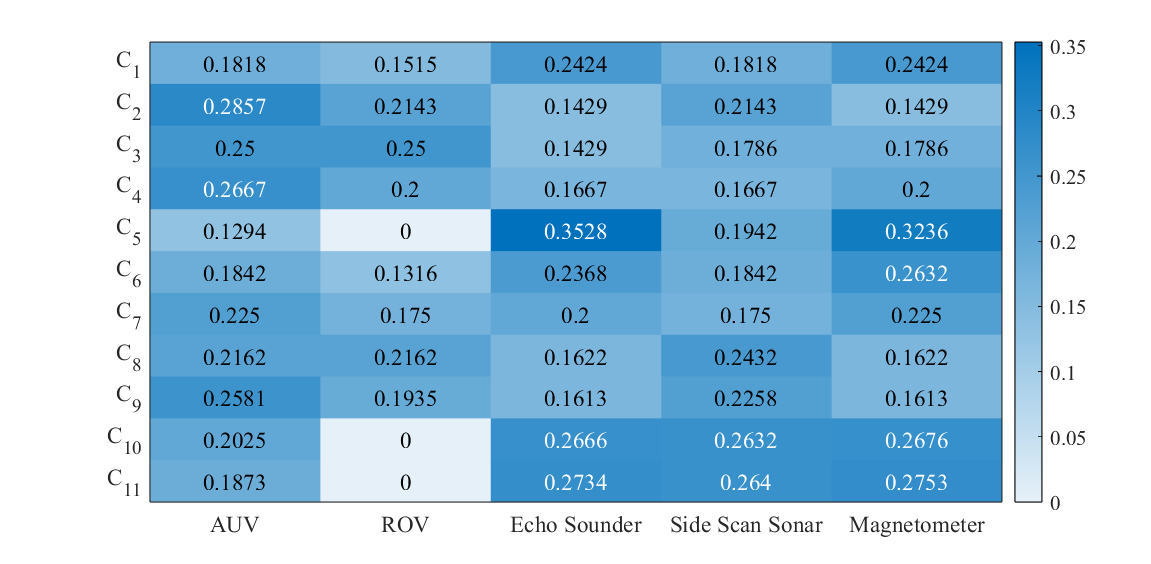
Refer to the equipment data on the above 11 indicators, and score the five devices respectively. Using the feature normalization method to unify the dimensions of the features can improve the convergence speed and the final accuracy of the model. Therefore, we normalize the data in the quotient table. Standardized evaluation heat maps are shown below.

Figure 12 Scoring Matrix After Standardization

Considering that different indicators have different priorities in search and rescue tasks, each indicator should have different weights in the evaluation system. Therefore, based on the existing model, we bring in the analytic hierarchy Process (AHP) for further optimization.

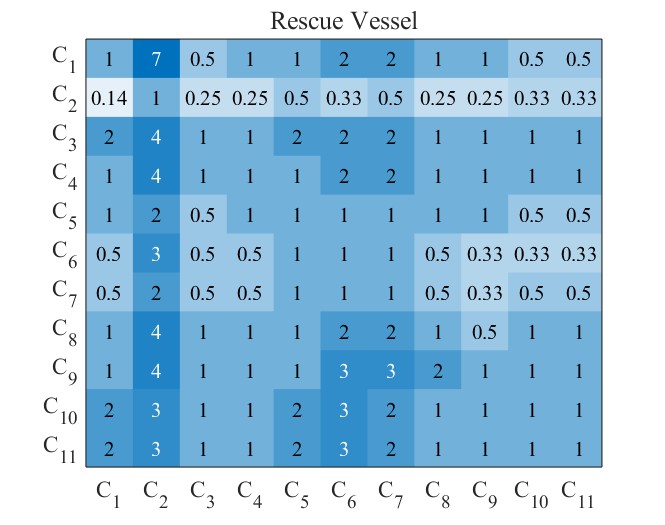
* 1. **Weight Selection Based on AHP**

**Analytic Hierarchy Process (AHP)** is a decision analysis method that combines qualitative and quantitative analysis to solve complex multi-objective problems. It is necessary to use the experience of decision makers to judge the relative importance of each criterion to measure whether the goal can be achieved. It is more effectively applied to the decision problem with the goal system with hierarchical and staggered evaluation indicators, and the target value is difficult to describe quantitatively

In our model, the host ship and rescue vessel have **a big difference in size and function**: the host ship is larger in the overall space, can carry heavier extra weight, but the moving speed is slower, relatively. The rescue vessel is relatively more flexible, but the space is small and light, the ability of carry is insufficient.

What’s more,the rescue vessel should be capable of searching and rescuing people in distress on the surface of the water, towing ships in distress on the far-reaching sea. They can **carry out rescue under harsh sea conditions and complex meteorological conditions**. In view of the differences in the above characteristics, the weights of the evaluation indicators should also be different on the two different ships. AHP is used for the two ships respectively, and the comparison results were represented by heatmaps in Figure 13.

The method of constructing pair comparison judgment matrix can reduce the interference of other factors and objectively reflect the difference of influence of a pair of factors. However, when synthesizing all the results of comparison, it inevitably contains a certain degree of non-consistency. Therefore, it is necessary to check the consistency of the results.

Figure 13 Judgement Matrix of Host Ship and Rescue Vessel

The test requires the following equation to solve the value of the consistency index :



where  is the maximum eigenvalue of the judgment matrix,  is the average value of the maximum eigenvalue, and  is the order of the judgment matrix.

When , it is considered that the consistency of the judgment matrix is acceptable. Otherwise, the judgment matrix should be appropriately modified. Through computational analysis, the  value of our model is



which pass the consistency test and is completely feasible in operation.

Then, we can take the eigenvalue method to solve the weight vector



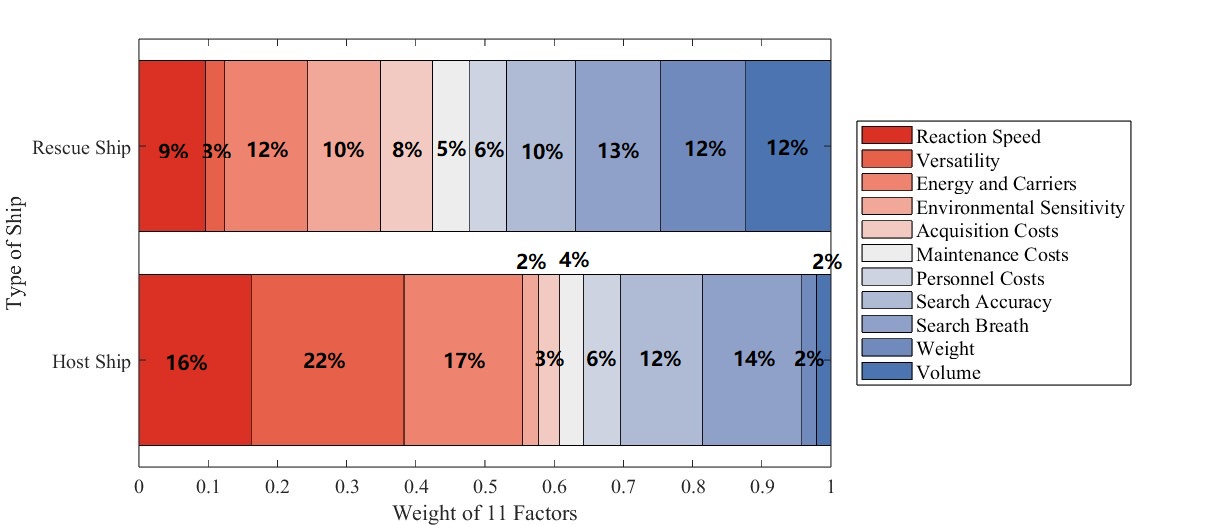
where  represents the weight of the  factor.

Figure 14 Weight of Each Factors in Different Type of Ship

It can be seen that reaction speed, versatility, energy and carriers occupy a higher priority in the evaluation system of the main ship. Relatively speaking, the evaluation system of rescue vessel is more balanced. It is worth mentioning that due to the small size of the rescue vessel, the weight of the facility volume should be increased.

* 1. **Results**

For the  evaluation object, the distance between theevaluation objects and the maximum value can be defined as



Where  the weight of the  evaluation index.

The distance between the evaluation objects and the minimum value can be defined as



Then, we can calculate unnormalized scores of each kind of equipment:



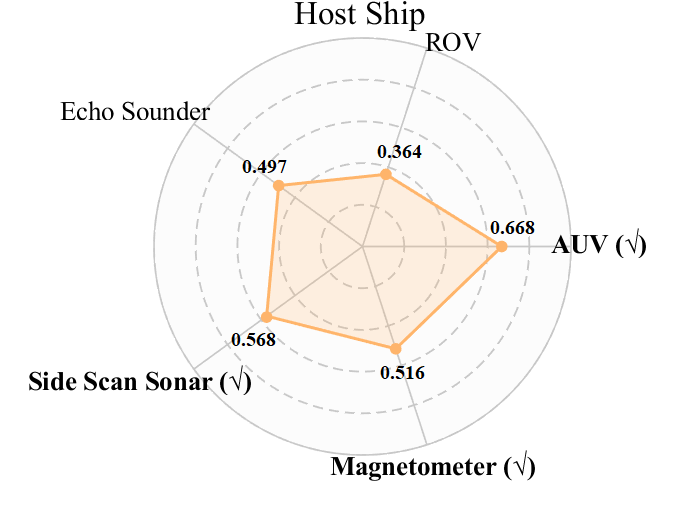
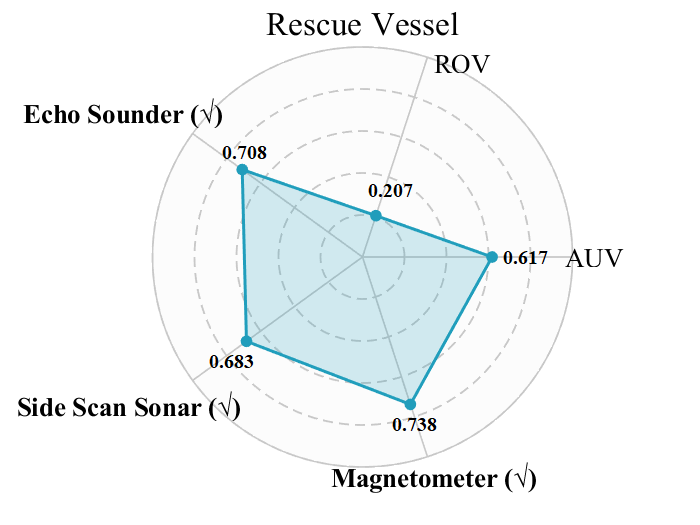
Based on the weight distribution in 5.2, calculate the final score of each outfit on two different ships. According to the scoring results of the two evaluation systems, the evaluation radar map is drawn as follows.

Figure 15 Score of Equipment on Host Ship and Rescue Vessel

The above two figures directly reflect the scores of each device on host ship and rescue vessel. Based on the consideration of many factors such as the space occupied by the devices, energy consumption and maintenance cost, we believe that it is more reasonable for the two ships to carry three devices on each ship.

Therefore, the rescue vessel is recommended to carry **echo sounder, side scan sonar and magnetometer**, and the host ship is suitable to carry **side scan sonar, magnetometer and AUV**.

1. **Submersible Searching Model**
   1. **AUV’s Performance**

The AUV, or Autonomous Underwater Vehicle, is a new generation of underwater robots. It can carry a variety of sensors and mission modules, with a wide range of activities, good mobility, safety, intelligence and other advantages, and plays an important role in seabed salvage, Marine research and other aspects. After comparing the data, we decided to use the AUV as the main device for the search and rescue mission. Its structure and performance data are shown below.

Table 5 Performance of AUV

|  |  |  |  |
| --- | --- | --- | --- |
| **Performance** | **Battery Time** | **Maximum endurance speed** | **Search Radius** |
| **Value** | 8 hours | 1.2m/s | 20 meters |

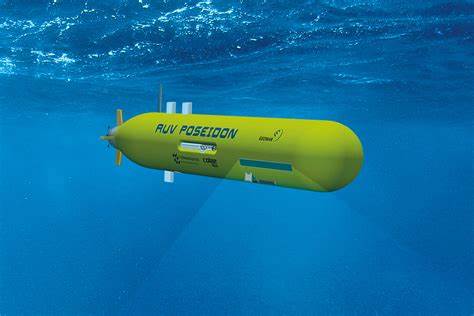


Figure 16 Autonomous Underwater Vehicle (AUV)

* 1. **Description of Probability Density**

For the moment , the possible position of submersible can be expressed as



where  represents the simulation times by Monte Carlo method.

Therefore, we can define the density of point  as



where  and  is the search radius.

Furthermore, the point with maximum of  can be defined as maximum density point.

* 1. **Search Strategy Based on Maximum Density**

Set the initial deployment point of the AUV at sea level directly above the impact point and mark that point as the origin point of the coordinate axis. Taking into account the time required for the incident determination, equipment deployment and route planning process, we assumed that the equipment would be put into service two hours after the incident, with the operating time set at 6h to allow time for the device to return.

For any device operating time , while the device position  is determined at this time, and we perform the following operations:

**Step1:** Take a certain time interval , calculate all the unexperienced possible positions of the submersible at  and the density of each point, then compare them to get the maximum density point .

**Step2:** calculate the function：



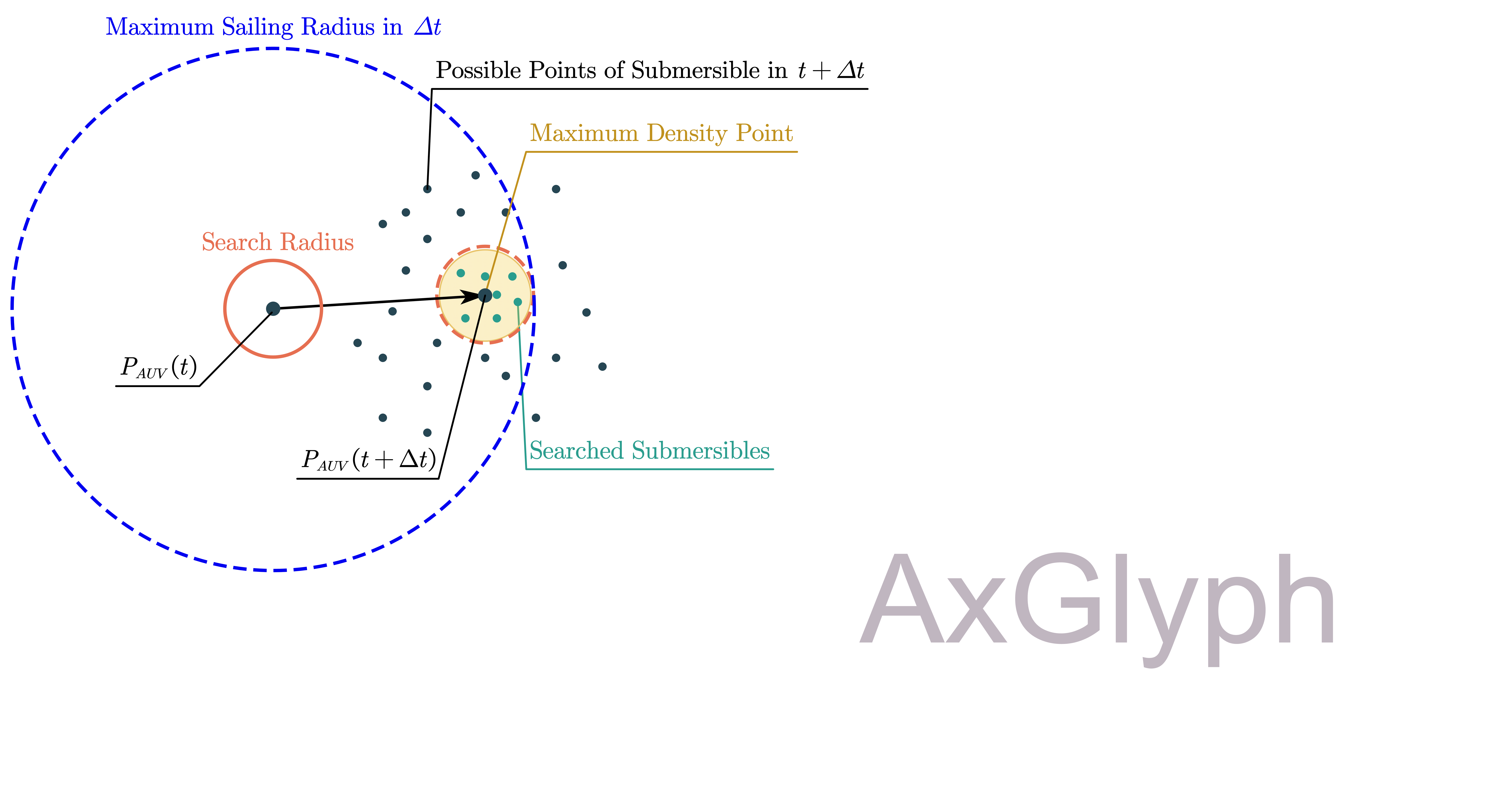
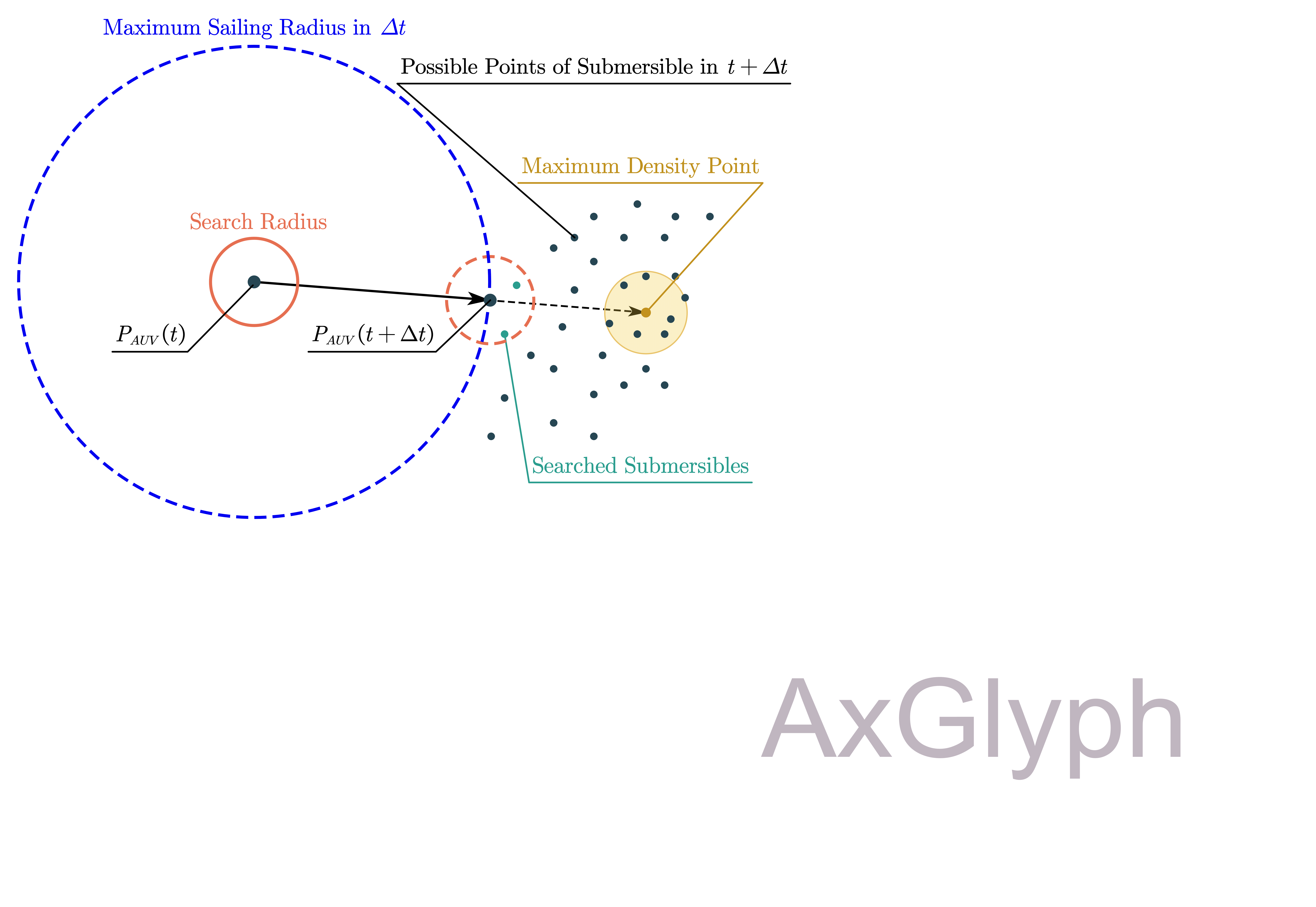
* If , drive to the point within this time.
* If , travel the maximum distance with the moving speed of AUV along the connecting line of  and it.

Figure 17 Two Situations in the Searching Process

**Step3:** Count all the points within the AUV's search radius at  and mark them as submersibles that have been searched.

**Step4:** Repeat the above steps repeatedly within the time frame until the operating time is reached or the all the submersibles are found.

* 1. **Results**

Taking into account the timeliness of search and rescue operations, we assume that the AUV deployment time is 2 hours after the submarine loses contact. According to the operation process in 6.3, we can mark the position of the wrecked submersible in each search cycle in the space coordinate system, and draw the approximate search trajectory diagram.

Through the analysis of the above two figures, it can be concluded that the AUV search and rescue route and the possible fall trajectory of the submersible are basically consistent in shape. In addition to the inevitable inconsistencies caused by the distance difference between the initial deployment point and the initial search and rescue position, in the near-seabed space below 3000m, that is, the latter half of the search and rescue process of the underwater vehicle, the search and rescue route and the movement path of the submersible have a very high overlap, which means that the underwater vehicle search for the crashed submersible is also very likely. It shows a high success rate and efficiency.

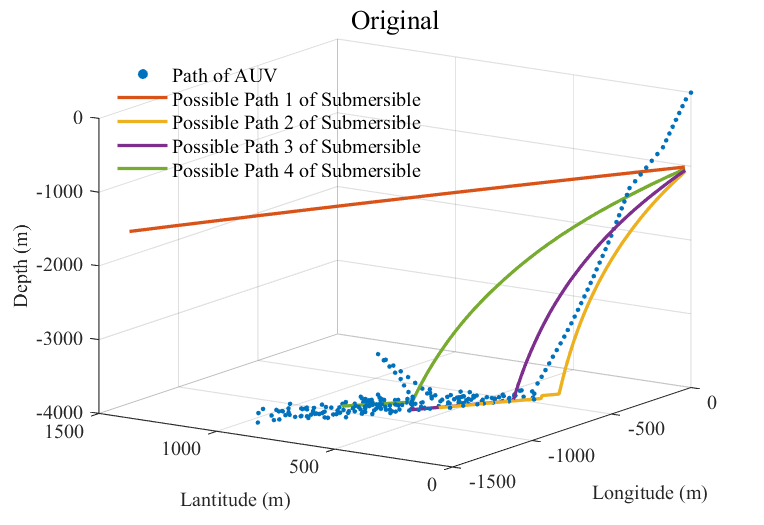
Note that the possible path 1 of the submersible in the left figure represents a relatively rare situation, that is, the submersible stays at a **neutral buoyancy point** in the middle of the ocean, so it can be seen that the trajectory curve deviates greatly from the AUV search and rescue route, making the search difficult. In response to this situation, we used echo sounders and side-scan sonar on the main and rescue vessels to assist the search and supplement the model.

Figure 18 Path of AUV in different situations

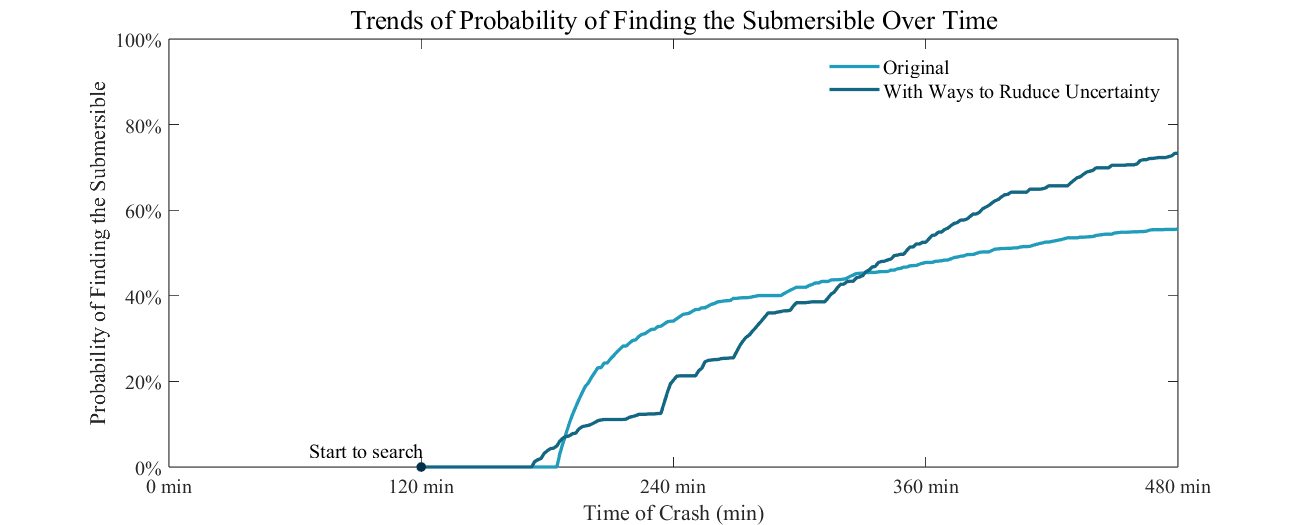
In order to verify the effectiveness and success rate of this search strategy, the probability of finding the submersible at different time points is obtained, and the results were fitted in time order to obtain the curve change diagram as follows.

Figure 19 Trends of Probability of Finding the Submersible Over Time

After the search device begin to operate for a period of time, the search success rate begins to gradually climb. In the period of 4-5 hours from the crash time, the probability of success will have several relatively large jumps, and then maintain stable growth. **The final search success rate will reach about 75%.** Considering the fault tolerance ability of the model, if the uncertainty of the location of the crashed submersible is not constrained, the final success rate of search and rescue can still be close to 57%.

1. **Model Extrapolation**
   1. **Re-discussion in Caribbean Sea**

海洋深度 作比较



Figure 20 Topographic Map of Caribbean Sea

加勒比海**环境更加恶劣**，洋流速度相对于爱奥尼亚海**较快**

所以，我们需要**对问题一中的v\_sea进行合适的修正**

分两种情况：有约束、无约束各500条蒙特卡洛

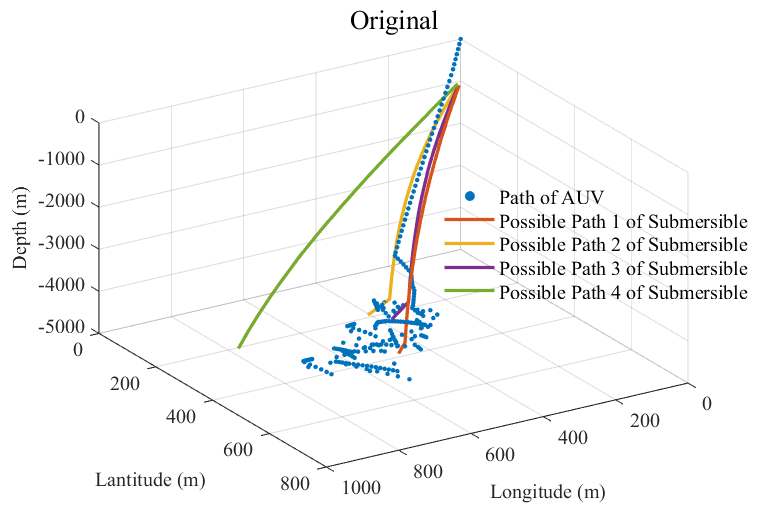
两张图显示搜寻路径

Figure 21 Path of AUV in different Situations

一张图说明百分比

50% 98.5%

* 1. **Multi-submersible Joint Searching**

1. **Sensitivity Analysis**

Reference

密度[1] Frank J. Millero, Alain Poisson, International one-atmosphere equation of state of seawater, Deep Sea Research Part A. Oceanographic Research Papers, Volume 28, Issue 6,

1981, Pages 625-629

[2]