# COVID-19 INDIVIDUAL-BASED MODEL WITH INSTANTANEOUS CONTRACT TRACING

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## 1. Overview

The individual-based model (IBM) is developed to simulate the spread of COVID-19 in a city and to analyse the effect of both passive and active intervention strategies. It's primary purpose at this stage is to assist the design and evaluation of approaches to instantaneous contact tracing using an app that measures proximity events between phones with an app installed by phone users in the population. The simulation will explore the effectiveness of the

intervention using the app, based on the uptake of the app, on different ways of identifying index cases, different definitions of contact, different instructions to contacts. One of the main interventions for controlling an infection is testing of cases, and contact tracing to identify clusters of transmission. Contact tracing is difficult to model accurately in a simple mathematical model, and so an individual based simulation may be the most parsimonious way to accurately capture the effects of this intervention, especially when combined with other non-pharmaceutical interventions. The simulation was developed using parsimonious representations of the human contact network that is most relevant for a directly transmitted short generation time pathogen. The simulation represents the three domains of interaction: the home; the workplace (or school for children, or regular social environment for older individuals); and the random interactions of daily life and travel. The model is not spatially stratified at this stage. The model is age stratified, as are the contact processes. contact processes are currently parameterised based on interviews of participants; it will be updated based on contact data collected by phone. The model of how the infection is spread via interactions between individuals is parameterised based on epidemiological studies of COVID-19 spread. The disease process is currently modelled in only a basic manner that ensures that the time-scales of disease progression are correct. Since the impact of COVID-19 on hospitals is large, and the clinical outcome of infection depends on access to good hospital care, a more detailed models of patient flows and transmission within hospitals is planned.

#### 2. Demographics

The demographics of the model are based upon UK national data for 2018 from the Office of National Statistics (ONS). For analyses of the infection spread within the population, individuals are categorised into nine groups by decades from age group (0-9 years) to (80+years). The simulation is run on a static population and the proportion of individuals in each age group is the same as that specified by the population level statistics in Table 1. Every individual is part of a household which forms an important part of their daily interactions. Household size data is shown in Table 1. Since the duration of the simulated epidemic is less than year, we do not consider changes in the population due to births, deaths due to other causes, and migration.

## 3. Interaction Network

Every individual in the population is represented by a node in the simulation. Interactions between individuals are modelled via connections between nodes. The connections form networks, which are generated to represent different types of daily interactions. In this work we consider three types of networks that represent household, workplace, and miscellaneous interactions for the studied population. Some of these networks are static and recur daily (e.g. household), whilst others are transient and are regenerated daily (e.g. miscellaneous). The interaction networks play two roles in the model, the first is that they can transmit the infection between individuals on each day that a connection is made. Secondly, when we model contact-tracing via the phone app, the phone sees a subset of the network which is then used for contact-tracing.

The membership of different networks leads to age-group assortativity in the interactions. A previous study of social contacts for infectious disease modelling has estimated the mean

TABLE 1. Age-stratified population of the UK and number of households containing n people, with  $n = 1, 2, \dots 6$ , provided by the ONS

Demographic Parameters			
Name	Description	Value	
n_total	Total population simulated	100,000	
population_0_9	population 0-9 years old	8,052,552	
population_10_19	population 10-19 years old	7,528,144	
population_20_29	population 20-29 years old	8,711,750	
population_30_39	population 30-39 years old	8,835,591	
population_40_49	population 40-49 years old	8,715,439	
population_50_59	population 50-59 years old	8,968,055	
population_60_69	population 60-69 years old	7,069,544	
population_70_79	population 70-79 years old	5,487,167	
population_80	population 80+ years old	3,281,955	
household_size_1	households with 1 person (thousands)	8,197	
household_size_2	households with 2 people (thousands)	9,609	
household_size_3	households with 3 people (thousands)	4,287	
household_size_4	households with 4 people (thousands)	3,881	
household_size_5	households with 5 people (thousands)	1,254	
household_size_6	households with 6 people (thousands)	597	

Table 2. Average number of interactions for an individual in each age group acquired from empirical estimates (Mossong et al., 2008)

Mean daily interactions		
Age group	Value	
children (0-19 years)	15	
adults (20-69 years)	13	
elderly (70+ years)	7	

number of interactions that individuals have by age group (Mossong et al., 2008). This study is based on participants being asked to recall their interactions over the past day. We estimate mean interactions by age group by aggregating data (see Table 2). It is possible for an individual not to be connected to anybody (e.g. a person living alone in a household).

3.1. Household Network. Each individual is assigned to live in a single household. Each day every person has an interaction with everybody within their household. The proportion of people living in households of different sizes is taken from ONS data (see Demographics). So, for the population there are two important aggregate statistics that we match, the household-size structure and the population age-structure. When individuals are assigned to households, we need to match both these aggregate statistics and also require that our households reflect typical age mixes. To achieve this we used data for 125,455 households from the *Understanding Society* survey (for Social and Economic Research, 2019) containing household composition by age, which formed a reference household panel. The household

Table 3. Mean numbers of daily connections for members of each age group, fraction of adults involved in occupational networks for children and for elderly people, and rewiring parameters for randomisation of daily interactions.

Workplace Network Parameters			
Name	Description	Value	
mean_work_interaction_child	mean daily connections on 0y-9y and 10y-19y networks	10	
mean_work_interaction_adult	mean daily connections on the 20y-69y network	7	
mean_work_interaction_elderly	mean daily connections on the 70y-79y and 80y+ networks	3	
child_network_adults	fraction of adults in child network	0.2	
elderly_network_adults	fraction of adults in elderly network	0.2	
daily_fraction_work	fraction of daily work connections made	0.5	
prob_network_rewire*	rewiring probability of the Watts-Stogatz small-world network	0.1	

structure is then generated by sampling from the reference household panel with replacement. To match the aggregate statistics for the population, we used a rejection-sampling method. Households were sampled sequentially from the reference panel and accepted if combined with the existing samples the discrepancy with the target aggregate statistics was reduced. If the discrepancy with the target aggregate statistics increased by less than a threshold, the new sample was still kept, otherwise it was rejected. The threshold changes dynamically with each sample depending on whether it was accepted or rejected to keep a constant rejection rate throughout the sampling. The discrepancy between the sample aggregate statistics and the target aggregate statistics was calculated by taking the sum of the square of the differences. Finally, we check the discrepancy of the total sampled set of households against the aggregate statistics and reject the whole sample if it is above a threshold.

3.2. Work-place Networks. Each individual is a member of one work-place network. The work-place networks are modelled as Watts-Strogatz small-world networks (Watts and Strogatz, 1998). When constructing the work-place networks, we assure the absence of overlaps between the household interactions and the local interactions on the small-world network. Every day each person interacts with a random subset (50%) of their connections on their work-place network.

For children, there are separate work-place networks for the both the 0y-9y age group (i.e. primary schools) and 10y-19y age groups (i.e secondary schools). On each of these networks we introduce a small number of adults (1 adult per 5 children) to represent teaching and other school staff. Similarly for the 70y-79y age group and the 80y+ age group we have separate networks representing day-time social activities among elderly people (again with 1 adult per 5 elderly people). All remaining adults (the vast majority) are part of the 20y-69y network. Due to the difference in total number of daily interactions, each age group has a different number of interactions in their workplace network. Parameters and values corresponding to the workplace network is shown in Table 3. Note the parameters are for the mean daily connections, so the number of interactions on the network are higher due to the daily sampling of connections.

3.3. Random Network. In addition to the recurring structured networks of households and workplaces, we include a number of random interactions as well. These interactions occur once and are independent of the previous days connections. The number of random

Table 4. Parameters for numbers of random connections that members of each age group have per day.

Random Network Parameters			
Name	Description	Value	
mean_random_interaction_child	mean number of connections for children (0y-19y)	2	
mean_random_interaction_adult	mean number of connections for adults (20y-69y)	4	
mean_random_interaction_elderly	mean number of connections for elderly (70y+)	3	
sd_random_interaction_child*	s.d. number of connections for children (0y-19y)	2	
sd_random_interaction_adult*	s.d. number of connections for adults (20y-69y)	4	
sd_random_interaction_elderly*	s.d. number of connections for elderly (70y+)	3	

connections an individual makes is the same each day (without interventions) and is drawn at the start of the simulation from a negative-binomial distribution, an over-dispersed skew distribution. This variation in the number of interactions introduces "super-spreaders" into the network who have many more interactions than average.

The mean numbers of connections were chosen so that the total number of daily interactions matched that from a previous study of social interaction (Mossong et al., 2008). The number of random interactions was chosen to be lower in children in comparison to other age groups. In the simulation, each day a list is created containing all individuals who make random interactions and each person is repeated by the number of interactions they make. This list is shuffled and interactions are made between adjacent pairs on the shuffled list.

## 4. Infection Dynamics

The infection is spread by interactions between infected and susceptible individuals. The rate of transmission is determined by three factors: the status of the infector, the age of the susceptible individual and the type of interaction (i.e. on which network did it occur). We currently do not have data on the distribution of the length of interactions, so the effect of this on transmission is not modelled.

From early studies of COVID-19 (Ferretti et al., 2020; Ganyani et al., 2020) and from similar infections (Chan-Yeung and Xu, 2003; Anderson et al., 2004; Meltzer, 2004), we know that there is a delay between an individual becoming infected and when they become infectious. The level of infectiousness increases with time and peaks roughly 4 days after the initial infection before decreasing. Following (Ferretti et al., 2020), we model the infectiousness as a function of the length of time that the person has been infected for, which we model with a gamma function.

It has been observed that some people who are infected with SARS-CoV-2 remain completely asymptomatic (Bai et al., 2020), and we assume that these people are less infectious then those who go on to develop symptoms, a finding supported by the observation of lower viral load [Yang Liu et al Lancet https://doi.org/10.1016/S1473-3099(20)30232-2]. Note, there is a difference between somebody who is asymptomatic (i.e. who will never go on and develop symptoms), as opposed to somebody who is pre-symptomatic (i.e. who will go on and develop symptoms at some point). The term "pre-symptomatic" is used to describe people who are infectious while not having symptoms.

The second factor that effects transmission is the susceptibility of the uninfected person. Data from China shows large differences in the number of infected individuals by age (China-CDC, 2020). For example, the proportion of children infected is much lower than the proportion adults infected (Lu et al., 2020). One explanation for fewer children being infected is that they are less susceptible than adults. Similarly, elderly people are more susceptible than adults due to accumulated predispositions. This dissimilarity between age groups is modelled by a multiplicative factor based upon the age group of the susceptible.

The third factor that we model is where the interaction took place (i.e. on which network). Whilst we do not have data on the length of interactions, interactions which take place within a person's home will be closer than other types of interactions leading to higher rates of transmission. This is modelled using a multiplicative factor.

Combining all effects, we model the rate at which the virus is transmitted in a single interaction by

(1) 
$$\lambda(t, s_i, a_s, n) = \frac{RS_{a_s} A_{s_i} B_n}{\overline{I}_{a_s}} \int_{t-1}^t f_{\Gamma}(u; \mu_i, \sigma_i^2) du,$$

where t is the time since infection;  $s_i$  indicates whether the infector is asymptomatic;  $a_s$  is the age to the susceptible; n is the type of network where the interaction occurred;  $\bar{I}_{a_s}$  is the mean number of daily interactions for somebody of the age of the susceptible; and  $f_{\Gamma}(u; \mu, \sigma^2)$  is the p.d.f. of a gamma distribution. With parameters: R is the overall infectious rate;  $S_{a_s}$  is the scale-factor for the age of the susceptible;  $A_{s_i}$  is the scale-factor for the infector being asymptomatic;  $B_n$  is the scale-factor for the network on which the interaction occurred; and,  $\mu_i$  and  $\sigma_i$  are the mean and width of the infectiousness curve. Table 5 contains the values of the parameters used in simulations. Note, that under some simplifying assumptions, R becomes the basic reproduction number of the epidemic. The rate of virus transmission is converted to a probability of transmission

(2) 
$$P(t, s_i, a_s, n) = 1 - e^{-\lambda(t, s_i, a_s, n)}.$$

The epidemic is seeded by infecting a number of individuals at the start of the infection. The infection was assumed to take place on immediately before the simulation starts.

## 5. Natural history of infection

Upon infection, an individual enters a disease progression cascade where the outcome and rates of progression depend on age of the infected person (see Figure 1). The first split is that only a fraction of individuals develop symptoms  $(1 - \phi_{asym})$ , where a fraction  $(\phi_{asym})$ — remain asymptomatic. Those who are asymptomatic are infections (at a lower level, see Infection Dynamics section) and will move to a recovered state after a time  $(\tau_{a,rec})$  drawn from a gamma distribution. Once an individual is recovered we assume that they have immunity and cannot be reinfected.

Individuals, who are not asymptomatic, start by being in the pre-symptomatic state, where whilst they are be able to transmit the pathogen to others, they do not show any symptoms. This is important for modelling interventions because individuals in this state would not be able to self-isolate unless they had been contact-traced or there was a complete social

Table 5. Description of infection parameters and their values. Infectious rate and corresponding infectiousness parameters were obtained in Ref. (Ferretti et al., 2020). Relative values are derived from the study of 44,672 confirmed patients in China (China-CDC, 2020) and are normalised with respect to the population of Hubei province from 2010. Number of infections in children include 171 cases from the report focused on patients of paediatric facility (Lu et al., 2020)

Infection Parameters				
Symbol	Name	Description	Value	
R	infectious_rate	mean number of people infected by each infected person	2.5×	
$A_{\text{sym}}$	-	relative infectious rate of symptomatic	1	
$A_{\text{asym}}$	asymptomatic_infectious_factor	relative infectious rate of asymptomatic	0.25	
$B_{\text{home}}$	relative_transmission_household	relative infectious rate of household interaction	2	
$B_{\text{work}}$	relative_transmission_workplace	relative infectious rate of work interaction	1	
$B_{\rm random}$	relative_transmission_random	relative infectious rate of home interaction	1	
$S_{0-9}$	relative_susceptibility_0_9	relative susceptibility of age group 0 - 9 to an average person	0.1*	
$S_{10-19}$	relative_susceptibility_10_19	relative susceptibility of age group 10 - 19 to an average person	0.1*	
$S_{20-29}$	relative_susceptibility_20_29	relative susceptibility of age group 20 - 29 to an average person	0.48*	
$S_{30-39}$	relative_susceptibility_30_39	relative susceptibility of age group 30 - 39 to an average person	1.11*	
$S_{40-49}$	relative_susceptibility_40_49	relative susceptibility of age group 40 - 49 to an average person	1.02*	
$S_{50-59}$	relative_susceptibility_50_59	relative susceptibility of age group 50 - 59 to an average person	1.74*	
$S_{60-69}$	relative_susceptibility_60_69	relative susceptibility of age group 60 - 69 to an average person	2.34*	
$S_{70-79}$	relative_susceptibility_70_79	relative susceptibility of age group 70 - 79 to an average person	2.03*	
$S_{80}$	relative_susceptibility_80	relative susceptibility of age group 80+ to an average person	2.24*	
$\mu_i$	mean_infectious_period	time of peak infectiousness (i.e. the mean of the gamma p.d.f.)	5 <sup>×</sup> days	
$\sigma_i$	sd_infectious_period	width of infectiousness curve (i.e. the s.d. of the gamma p.d.f.)	2× days	
-	n_seed_infection	number of individual randomly infected at start of simulation	10	

distancing. After a time  $(\tau_{\text{sym}})$  drawn from a gamma distribution (the incubation period distribution), all pre-symptomatic individuals develop symptoms, at which point interventions can be triggered such as self-isolation, testing, and contact tracing. Whilst most individuals will only develop mild symptoms, a fraction  $(\phi_{hosp}(age))$  will go on to require hospitalisation, where the probability of requiring hospital treatment is age-dependent. Those who do not require hospitalisation will recover after a time ( $\tau_{\rm rec}$ ) drawn from a gamma distribution, whilst those who do — enter hospital after a time  $(\tau_{hosp})$ , which is drawn from a Bernoulli distribution of either 1 or 2 days. Once entering hospital, a number of interventions can take place. We assume that a clinical diagnosis can immediately decide whether the patient is a case so that contract-tracing can be commenced straight away. Of those who enter a hospital, a fraction ( $\phi_{\text{crit}}(\text{age})$ ) will become critical and require treatment in an ICU. The time from hospitalisation to requiring critical care  $(\tau_{crit})$ , is drawn from a Bernoulli distribution of either 1 or 2 days. Finally, a fraction ( $\phi_{\text{death}}(\text{age})$ ) of individuals who require critical care will die, with the time to death  $(\tau_{\text{death}})$  drawn from a gamma distribution. Those who do not require critical care and those who do not die in critical care are assumed to recover after a time  $(\tau_{\rm rec})$  drawn from a gamma distribution.

The disease state transitions are shown in Figure 1 and the model parameters are in the table Disease Dynamics Parameters.

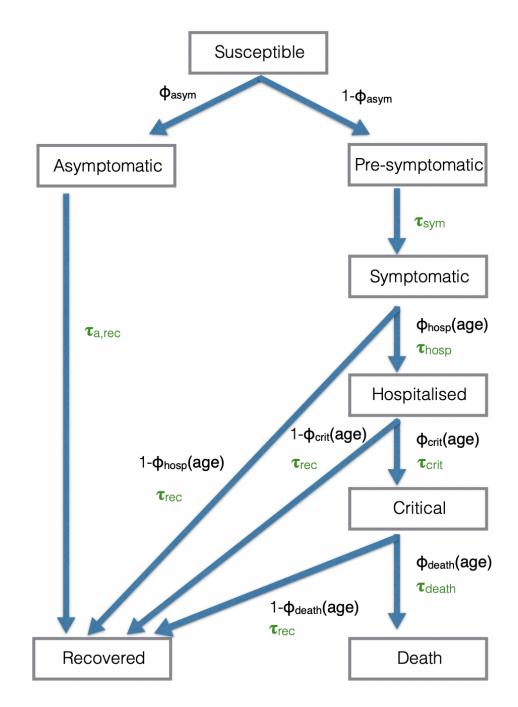


FIGURE 1. The disease status of an individual and the probability and time distribution of transitions. The  $\phi_{xxx}$  (age) variables are the probability of transition to a particular state when there is a choice, where the probability depends upon the age of the individual. The  $\tau_{xxx}$  are the gamma distributed variables of the time taken to make the transition.

Table 6. Proportion of people in each stage of illness whose disease progresses further; mean and standard deviation for density functions of the times that each transition – disease progression or recovery – takes

Disease Dynamics Parameters				
Symbol	Name	Description	Value	Source
$\phi_{\mathrm{asym}}$	fraction_asymptomatic	fraction of infected who are asymptomatic	0.2 (0.179)	(Mizumoto et al., 2020)
$\phi_{\text{hosp}}(0-9)$	hospitalised_fraction_0_9	fraction of hospitalisations among symptomatic age group 0 - 9	0.001	(Ferguson et al., 2020)
$\phi_{\text{hosp}}(10 - 19)$	hospitalised_fraction_10_19	fraction of hospitalisations among symptomatic age group 10 - 19	0.003	(Ferguson et al., 2020)
$\phi_{\text{hosp}}(20 - 29)$	hospitalised_fraction_20_29	fraction of hospitalisations among symptomatic age group 20 - 29	0.012	(Ferguson et al., 2020)
$\phi_{\text{hosp}}(30 - 39)$	hospitalised_fraction_30_39	fraction of hospitalisations among symptomatic age group 30 - 39	0.032	(Ferguson et al., 2020)
$\phi_{\text{hosp}}(40 - 49)$	hospitalised_fraction_40_49	fraction of hospitalisations among symptomatic age group 40 - 49	0.049	(Ferguson et al., 2020)
$\phi_{hosp}(50 - 59)$	hospitalised_fraction_50_59	fraction of hospitalisations among symptomatic age group 50 - 59	0.102	(Ferguson et al., 2020)
$\phi_{\text{hosp}}(60 - 69)$	hospitalised_fraction_60_69	fraction of hospitalisations among symptomatic age group 60 - 69	0.166	(Ferguson et al., 2020)
$\phi_{\text{hosp}}(70 - 79)$	hospitalised_fraction_70_79	fraction of hospitalisations among symptomatic age group 70 - 79	0.243	(Ferguson et al., 2020)
$\phi_{\text{hosp}}(80)$	hospitalised_fraction_80	fraction of hospitalisations among symptomatic age group 80+	0.273	(Ferguson et al., 2020)
$\phi_{\text{crit}}(0-9)$	critical_fraction_0_9	fraction of hospitalised people in age group 0 - 9 in critical condition	0.05	(Ferguson et al., 2020)
$\phi_{crit}(10 - 19)$	critical_fraction_10_19	fraction of hospitalised people in age group 10 - 19 in critical condition	0.05	(Ferguson et al., 2020)
$\phi_{crit}(20 - 29)$	critical_fraction_20_29	fraction of hospitalised people in age group 20 - 29 in critical condition	0.05	(Ferguson et al., 2020)
$\phi_{crit}(30 - 39)$	critical_fraction_30_39	fraction of hospitalised people in age group 30 - 39 in critical condition	0.05	(Ferguson et al., 2020)
$\phi_{crit}(40 - 49)$	critical_fraction_40_49	fraction of hospitalised people in age group 40 - 49 in critical condition	0.63	(Ferguson et al., 2020)
$\phi_{crit}(50 - 59)$	critical_fraction_50_59	fraction of hospitalised people in age group 50 - 59 in critical condition	0.122	(Ferguson et al., 2020)
$\phi_{crit}(60 - 69)$	critical_fraction_60_69	fraction of hospitalised people in age group 60 - 69 in critical condition	0.274	(Ferguson et al., 2020)
$\phi_{crit}(70 - 79)$	critical_fraction_70_79	fraction of hospitalised people in age group 70 - 79 in critical condition	0.432	(Ferguson et al., 2020)
$\phi_{crit}(80)$	fatality_fraction_80	fraction of hospitalised people in age group 80+ in critical condition	0.709	(Ferguson et al., 2020)
$\phi_{\text{death}}(0-9)$	fatality_fraction_0_9	fraction of fatalities among people in critical condition in age group 0 - 9	0.33	(Lu et al., 2020; Dong et al., 2020)
$\phi_{\text{death}}(10 - 19)$	fatality_fraction_10_19	fraction of fatalities among people in critical condition in age group 10 - 19	0.25	(Lu et al., 2020; Dong et al., 2020)
$\phi_{\text{death}}(20 - 29)$	fatality_fraction_20_29	fraction of fatalities among people in critical condition in age group 20 - 29	0.5	(Ferguson et al., 2020)
$\phi_{\text{death}}(30 - 39)$	fatality_fraction_30_39	fraction of fatalities among people in critical condition in age group 30 - 39	0.5	(Ferguson et al., 2020)
$\phi_{\text{death}}(40 - 49)$	fatality_fraction_40_49	fraction of fatalities among people in critical condition in age group 40 - 49	0.5	(Yang et al., 2020)
$\phi_{\text{death}}(50 - 59)$	fatality_fraction_50_59	fraction of fatalities among people in critical condition in age group 50 - 59	0.69	(Yang et al., 2020)
$\phi_{\text{death}}(60 - 69)$	fatality_fraction_60_69	fraction of fatalities among people in critical condition in age group 60 - 69	0.65	(Yang et al., 2020)
$\phi_{\text{death}}(70 - 79)$	fatality_fraction_70_79	fraction of fatalities among people in critical condition in age group 70 - 79	0.88	(Yang et al., 2020)
$\phi_{\rm death}(80)$	fatality_fraction_80	fraction of fatalities among people in critical condition in age group 80+	1	(Yang et al., 2020)
$\mu_{\text{sym}}$	mean_time_to_symptoms	mean time to symptoms	5.5 days	(Yang et al., 2020)
$\sigma_{\text{sym}}$	sd_time_to_symptoms	s.d. time to symptoms	2.5 days	(Yang et al., 2020)
$\mu_{\text{hosp}}$	mean_time_to_hospital	mean time to hospital after showing symptoms	1.6 days	-
$\mu_{\mathrm{crit}}$	mean_time_to_critical	mean time to critical after hospitalisation	1.5 days	-
$\mu_{\mathrm{death}}$	mean_time_to_death	mean time to death once hospitalised	12 days	(Yang et al., 2020)
$\sigma_{\mathrm{death}}$	sd_time_to_death	s.d. time to death once hospitalised	5 days	(Yang et al., 2020)
$\mu_{\rm rec}$	mean_time_to_recover	mean time to recover after symptoms/hospital	12 days	(Yang et al., 2020)
$\sigma_{\rm rec}$	sd_time_to_recover	s.d. time to recover after symptoms/hospital	5 days	(Yang et al., 2020)
$\mu_{\mathrm{a,rec}}$	mean_asymptomatic_to_recover	mean time to recover after asymptomatic	15 days	(Yang et al., 2020)
$\sigma_{\rm a,rec}$	sd_asymptomatic_to_recover	s.d. time to recover after asymptomatic	5 days	(Yang et al., 2020)

## 6. Passive Interventions

The model has the ability to model both passive and active interventions. Here we define an active intervention to be one involving testing or contact tracing with all other interventions being classed as passive. Interventions are designed to reduce the rate of transmission, however, have the side-effect of potentially quarantining significant numbers of people.

- 6.1. **Hospitalisation.** Upon hospitalisation, a patient immediately stops interacting with both their household and workplace networks. We also reduce the number of random interactions that they have. An aspect of the disease transmission that we are missing in the model are the interactions within hospitals.
- 6.2. **Self-Quarantine upon Symptoms.** The next type of intervention we model is self-quarantine upon flu-like symptoms. In addition to those infected by coronavirus, we infect a random a sample of the population each day with seasonal-flu which has similar symptoms. Upon experiencing symptoms, we put a fraction of patients in to self-quarantine immediately. Quarantine is modelled by not interacting with your workplace network and having a much reduced number of connections on your random network. The length of quarantine is set to be up to 14 days, however, we model a fraction of the people dropping out of quarantine each day. The simulation also contains the option that everybody in the household of a person with symptoms will also be asked to self-quarantine.

Passive Intervention Parameters			
Name	Description	Value	
self_quarantine_fraction	proportion of people who self quarantine upon symptoms	0.8	
quarantine_household_on_symptoms	quarantine household members of a person with a symptoms	1	
quarantine_length_self	maximum time of self-quarantined	14 days	
quarantine_dropout_self	probability somebody drop out each day from self-quarantine	0.01	
quarantined_daily_interactions	daily random interactions of a quarantined person	0	
hospitalised_daily_interactions	daily random interactions of a hospitalised person	0	
seasonal_flu_rate	daily probability of seasonal flu	0.0003	

#### 7. ACTIVE INTERVENTIONS

We define active interventions as those which involve testing or actively tracing individuals in contact with an infected person (i.e. contact tracing). There are 3 events in the model which can be the initial trigger for an active intervention:

- (1) developing symptoms in the community
- (2) testing positive for the infection
- (3) hospitalisation with serious symptoms

Note, for contract-tracing to occur, one of these events must be the initial trigger. Along with being contact-traced, these are the key-times at which interventions can take place. There are 3 types of active intervention which are

- (1) testing for the infection
- (2) request quarantining individuals (i.e. at home)
- (3) notifying contacts via the app
- 7.1. **Testing.** Currently the test for coronavirus is not sensitive immediately upon infection, which we model by only returning a positive test if the patient has been infected for 3 days when the test takes place. For individuals who are already displaying symptoms this is not an issue since the symptoms normally only present after the test has become sensitive. However, when ordering a test for somebody who has been contact-traced, we assume that the positive individual is the source of the infection and delay testing until the test would be sensitive. Currently there are also delays in the testing procedure, both in taking a test and in getting the result for it. These test delays are modelled which will then delay further interventions thus reducing their efficacy.
- 7.2. Request Quarantining. Individuals can be requested to quarantine themselves at home upon receiving a positive test or being contact-traced. The request for quarantine is set to be 14 days, with a daily random dropout of people leaving quarantine early. This rate of dropout depends upon the reason the person was asked to self-quarantine, which models the fact that people who have received a positive test results are more likely to honour the full quarantine period then those who are only traced.
- 7.3. **App-based Contact Tracing.** The third intervention we model is the app-based contract-tracing, which can initiate the quarantining of infected individuals prior to them ever showing symptoms. For infections with high levels of pre-symptomatic transmission this is vital to control epidemics. Contact tracing can only originate from somebody with the app and can only trace others who have the app as well. Given that the whole population will not have the app, we randomly pick a fraction of the population to not have the app.

Secondly, the app is likely to miss some interactions between individuals, even if both individuals have the app, so when contact-tracing we randomly drop a number of interactions. To contact-trace we go through all the interactions the individual has had for the past 5 days where both parties have the app and the interaction has not been missed, and send a message to the contact. This message can ask the individual to quarantine themselves, be tested and trigger further contact-tracing.

We now go through the 3 initial trigger events and the event of being contract-traced and describe the intervention options we (optionally) model.

- 7.4. On flu-like symptoms. We have already described how some people will self-quarantine when they display symptoms. However, there is also an intervention option to order a test for person who has flu-like symptoms. This allows us to find confirmed cases of the virus in the community, which will act as a trigger for contact-tracing.
- 7.5. On positive-test. When an individual has received a positive test, we can request that they self-quarantine (normally they are already self-quarantining, but a positive test should make them be more likely to stick out the full 14 days). The next policy option is to ask for the household members of the person with the positive test to self-quarantine as well. We can then reach beyond the household by using the app to send a message to all the reachable contacts of the person who tested positive. Additionally there is the option to send a message to all the reachable contacts of everybody in the household of the person who tested positive.
- 7.6. On hospitalisation. When an individual enters hospital with suspected COVID-19 a test is ordered to confirm the diagnosis. However, at this point the model contains the option for a clinical diagnosis of COVID-19 by a hospital doctor to be made, which can immediate set off the policy options that a positve-test would. This allows contact-tracing to be initiated much more rapidly than waiting for a test, which improves the efficacy of the intervention.
- 7.7. On contact-traced. When an individual has been contact-traced, we can ask them to self-quarantine. There is also the option for asking all the household members of the person who has been traced to self-quarantine. Once somebody has been traced as being suspected of being infected, we can order a test for this person. There are a couple of reasons for wanting to do this. First, a positive test will make the person more likely to selfquarantine for the full requested period and also allow other treatment and care pathways to be initiated (especially important for vulnerable people). Secondly, a confirmed positive test can trigger further rounds of contact-tracing via the app. This type of recursion requires a positive-test at each level and prevents large number of people being quarantined following a single positive result. A different type of recursion via the app is to immediately trace the contacts of those traced following the original positive-test (i.e. second degree contacts on the network). This dramatically increases the number of people who are quarantined from a single positive-test, however, this will quarantine more people who are infected earlier giving the opportunity to prevent the epidemic spreading further. The key difference between these types of recursion is the delay caused by testing. If testing could be carried out quickly, then you could test at each round of recursion and still catch the front of the epidemic.

	Active Intervention Parameters	
Name	Description	Value
app_users_fraction	fraction of population with the app	0.85
quarantine_days	the number of days prior contacts to contact	5 days
traceable_interaction_raction	fraction of interactions that captured if both users have app	0.8
tracing_network_depth	depth of interaction network to contact (i.e. second-order contacts)	1
quarantine_on_traced	quarantine individuals who are traced	1
test_on_traced	test individuals who have been contact-traced	1
test_on_symptoms	test individuals who show symptoms and are quarantined	1
allow_clinical_diagnosis	commence contact tracing on a hospital clinical diagnosis	1
quarantine_household_on_positive	quarantine household members of a person with a positive test	1
quarantine_household_on_traced	quarantine household members of a person who has been traced	1
quarantine_household_contacts_on_positive	quarantine the contacts of each household member of a person who tests positive	1
quarantine_length_traced	maximum time of quarantined if traced	14 days
quarantine_dropout_traced	probability somebody drop out each day if traced	0.01
quarantine_length_positive	maximum time of quarantine if test positive	14 days
quarantine_dropout_positive	probability somebody drop out each day if tested positve	0.01
test_insensitive_period	number of days following infection the test is insensitive	3 days
test_order_wait	minimum number of days to wait to take a test	1 days
test_result_wait	number of days to wait for a test result	1 days

#### 8. Implementation Details

This is a high-level description of how the model is implemented. The model is coded in C but using an object-orientated pattern. All required memory is pre-allocated at the start of the simulation for efficiency.

- 8.1. **Events.** We use an event-based system to drive disease progression in individuals and interventions, where at each decision point we calculate when the next event will occur and add it to an event-list for that day. For each type of event there is an eventlist structure, which contains an array of linked-lists for each day of the simulation. We use doubly-linked lists to allow for efficient deletion as well as insertion of events. Event-lists also keep track of the current and total number of events by type (defined as all entries today and in the past).
- 8.2. **Individuals.** Each person in the population is represented by an individual structure and the population is static. The individual structure contains the following information:
  - (1) Demographic age, house number, network membership
  - (2) Interaction diary list of all interactions over a period of days (note this is not only the ones tracked by the app)
  - (3) Disease current status (i.e. symptomatic) and pointers to both current and future disease events
  - (4) Quarantine is the person currently quarantined and pointers to that event and the release event
- 8.3. **Network Construction.** Each interaction network has an associated network structure which contains an array of edges. Network structures can be static (i.e. household), static but down-sampled (i.e. workplace) or dynamically generated at each time step (i.e. random). Network generation is modular and any network can be added to the model as long as it can be represented by an array of edges. Once all the networks have been defined, we add each edge to the individuals interaction diary (which are single-linked lists as deletion is not required).

8.4. Infectious transmission. The next step is to transmit the pathogen across today's interaction network, which is done as push from all infected people (by disease status). For every infectious status, we pre-calculate the transmission rate for someone who has been infected for that length of time. At each time-step, we go through all that interactions the infected person had for that day and calculate whether transmission has occurred. Instead of randomly drawing whether transmission has occurred for each interaction, we allocate each individual a quantity of hazard (from an exponential distribution) at the start of the simulation. Each interaction with an infected person reduces the persons hazard and when a person's hazard drops below 0 they become infected. This is mathematically equivalent to randomly drawing individual interactions, which can be seen by calculating the probability of being infected by the  $N^{\text{th}}$  interaction  $P(\text{infected } N^{\text{th}})$ , after exposured to interactions with hazard-rates  $\{\lambda_1,...,\lambda_N\}$ 

$$P(\text{infected } N^{\text{th}}) = P_N \prod_{i=1}^{N-1} (1 - P_i)$$

$$= \exp\left(-\sum_{i=1}^{N-1} \lambda_i\right) - \exp\left(-\sum_{i=1}^{N} \lambda_i\right)$$

$$= P\left(\sum_{i=1}^{N-1} \lambda_i < T < \sum_{i=1}^{N} \lambda_i\right)$$

where T is distributed exponentially with mean 1. The fact that different age groups have a different susceptibilities  $(S_{a_s})$  is then modelled by allocating different amounts of initial hazard to each group. This improves computational efficiency so that is it not necessary to draw a random variable for each potential transmission event.

8.5. **Performance.** The model for 100k individuals takes approximately 100ms per day to run and requires 250Mb of memory on a 2015 MacBook Pro. Both speed and memory are linear in population size (tested from 100k to 1m). 96% of the CPU usage is spent on rebuilding the daily interaction networks and updating the individual's interaction diaries. About 60% of the memory usage is spent on storing the interaction diaries (for 5 days), another 20% is spent on storing the semi-static networks with the remaining 20% spent on storing individuals and their states in event-lists.

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