

# Lecture 6

## Handling Errors

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# Handling Errors

- Raising and **handling** errors **functionally!**
  - Throwing exceptions is a side effect.
- Represent failures and exceptions **with ordinary values.**
- Enables writing **higher-order functions**
  - abstract out **common patterns of error handling and recovery.**
- The functional solution:
  - Returning errors as values
    - is safer
    - retains referential transparency,
    - and through the use of higher-order functions.

# Why do exceptions break referential transparency?

## Listing 4.1. Throwing and catching an exception

```
def failingFn(i: Int): Int = {  
  val y: Int = throw new Exception("fail!")  
  try {  
    val x = 42 + 5  
    x + y  
  }  
  catch { case e: Exception => 43 }  
}
```

*val y: Int = ... declares y as having type Int and sets it equal to the right-hand side of =.*

*A catch block is just a pattern-matching block like the ones we've seen. case e: Exception is a pattern that matches any Exception, and it binds this value to the identifier e. The match returns the value 43.*

```
scala> failingFn(12)  
java.lang.Exception: fail!  
    at .failingFn(<console>:8)
```

- **y** is not referentially transparent.
- An RT expression may be substituted with the value it refers to
  - this **substitution should preserve program meaning**

# The good and Bad Aspects of Exceptions

```
def failingFn2(i: Int): Int = {  
  try {  
    val x = 42 + 5  
    x + ((throw new Exception("fail!")): Int)  
  }  
  catch { case e: Exception => 43 }  
}
```

A thrown Exception can be given any type; here we're annotating it with the type Int.

```
scala> failingFn2(12)  
res1: Int = 43
```

- RT expression does not depend on context and can be reasoned locally, whereas the meaning of non-RT expressions is context-dependent and requires more global reasoning.
- The expression **throw new Exception("fail")** is context-dependent
  - it takes on different meanings depending on which try block (if any) it's nested within.
- If we substitute **throw new Exception("fail!")** for **y** in **x + y**,
- it produced a different result,
- *because* the exception is now raised inside a try block that will catch the exception and return 43.

# Problems with Exceptions

```
def failingFn2(i: Int): Int = {  
  try {  
    val x = 42 + 5  
    x + ((throw new Exception("fail!")): Int)  
  }  
  catch { case e: Exception => 43 }  
}
```

- Exceptions break RT and introduce context dependence
  - moving us away from the simple reasoning of the substitution model
- Exceptions are not type-safe.
  - The type of **failingFn**, **Int => Int** tells us nothing about the fact that exceptions may occur
  - the compiler will certainly not force callers of **failingFn** to make a decision about how to handle those exceptions.
  - If we forget to check for an exception in **failingFn**,
    - this won't be detected until runtime.

# The Technique

Exceptions allow us to consolidate and centralize error-handling logic

- The **technique is based on an old idea**:
  - **instead** of throwing an exception
    - we **return a value** that indicates **an exceptional condition**
- There's a new generic type
  - for these “possibly defined values”,
  - and use of higher-order functions to encapsulate common patterns of handling errors.
- Completely **type safe**
- **full assistance from the type-checker** in forcing us to deal with errors



# Possible Alternatives

**Seq** is the common interface of various linear sequence-like collections. Check the API docs (<http://mng.bz/f4k9>) for more information.

```
def mean(xs: Seq[Double]): Double =  
  if (xs.isEmpty)  
    throw new ArithmeticException("mean of empty list!")  
  else xs.sum / xs.length
```

**sum** is defined as a method on **Seq** only if the elements of the sequence are numeric. The standard library accomplishes this trick with implicits, which we won't go into here.

First possibility:

1. Return a bogus value of type `Double`.
  - Either `0.0/0.0` → `Double.NaN` or other sentinel value
2. Return `Null` – instead of the value of the needed type.

We reject this solution.

# Reasons for Rejection: for simply returning a value

- the caller can **forget to check** this condition and **won't be alerted by the compiler**, thus affecting the subsequent code.
- It results in a fair amount of code at all sites
  - With If statements to validate whether the caller has received the correct result.
- It is not applicable to polymorphic code.

```
def max[A](xs: Seq[A])(greater: (A,A) => Boolean): A
```

  - If the input is empty, **we can't invent a value of type A**.
    - **Nor can null be used** here, since **null is only valid for non-primitive types** (Double, Int)
- Defining policies for the function/method callers to deal with the result.



# Second Possibility & it's rejection

- **Force the caller to supply an argument** that tells us what to do in case the method doesn't know how to handle the input.

```
def mean_1(xs: IndexedSeq[Double], onEmpty:
Double): Double =
  if (xs.isEmpty) onEmpty
  else xs.sum / xs.length
```

- A total function, but with drawbacks:
  - The immediate caller should have direct knowledge of how to handle undefined cases and limit (the result) to returning Double.
    - What if we want to handle larger computations, and abort in case of undefined mean?
    - Or, we want to take a different logical branch.

# Option type

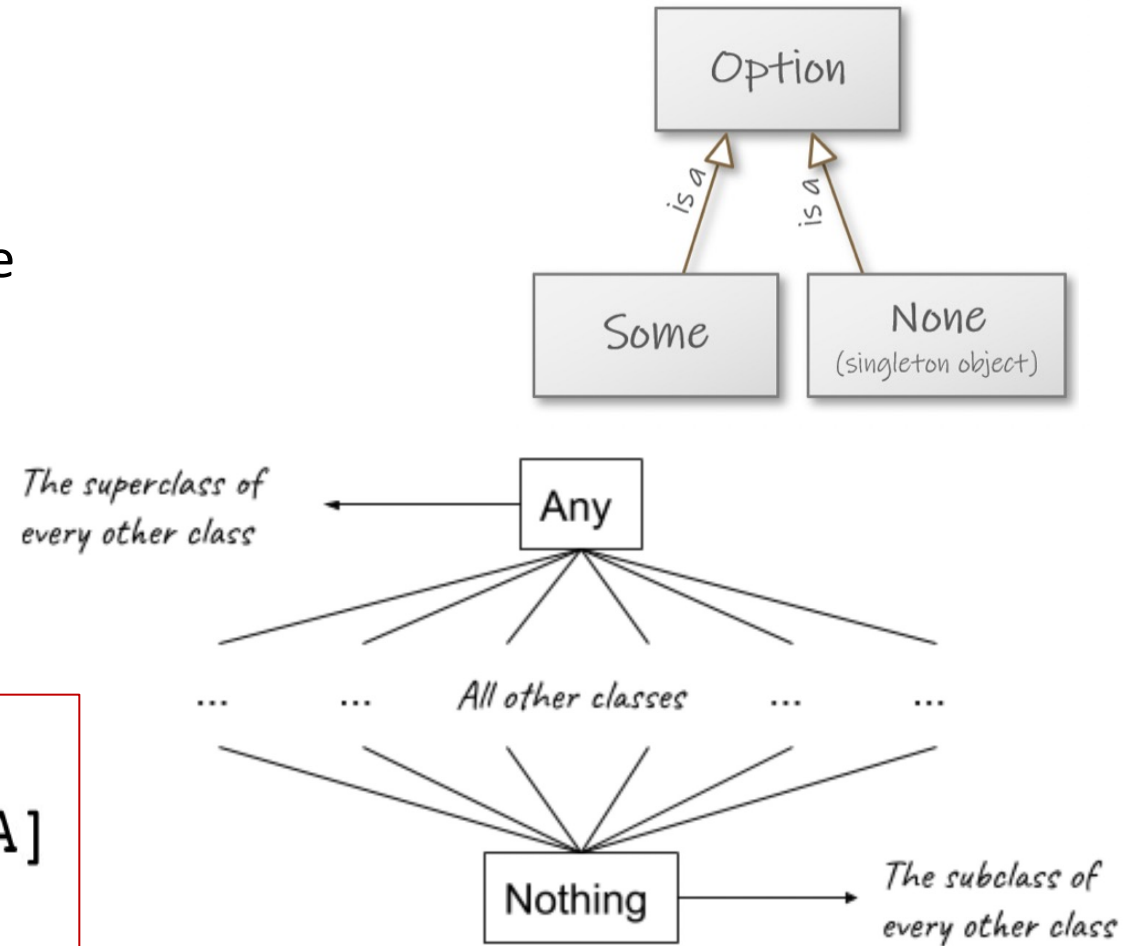
- The solution is to represent explicitly in the return type that a function may not always have an answer.
- Scala library provides a type, Option.
- Can be thought of like a List,
  - But contains **only one element**.

```
sealed trait Option[+A]
case class Some[+A](get: A) extends Option[A]
case object None extends Option[Nothing]
```

```
package scala
```

```
sealed abstract class Option[A]
```

```
case class Some[A](a: A) extends Option[A]
case object None extends Option[Nothing]
```



**Option** has two cases:

- Some – defined (or have something)
- None – undefined (has nothing)

# Option type

```
scala> val optInt: Option[Int] = Some(1)
optInt: Option[Int] = Some(1)

scala> val optString: Option[String] = Some(1)
<console>:11: error: type mismatch;
 found   : Int(1)
 required: String
    val optString: Option[String] = Some(1)
```

- **Some[A]** is an implementation of **Option[A]**
- **Some[Int]** is a valid implementation for **Option[Int]**
- **Not for Option[String]**

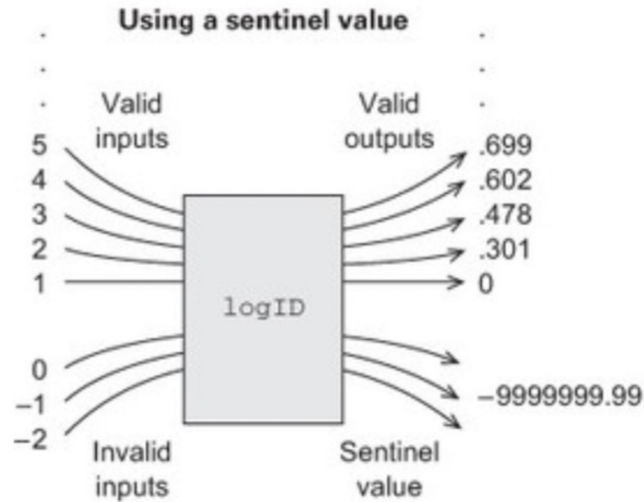
```
scala> val optInt: Option[Int] = None
optInt: Option[Int] = None

scala> val optString: Option[String] = None
optString: Option[String] = None
```

- **None** doesn't have a type parameter
- **None** **extends** **Option[Nothing]**
- **It is valid**
  - because **Nothing** is a subclass of every other class.

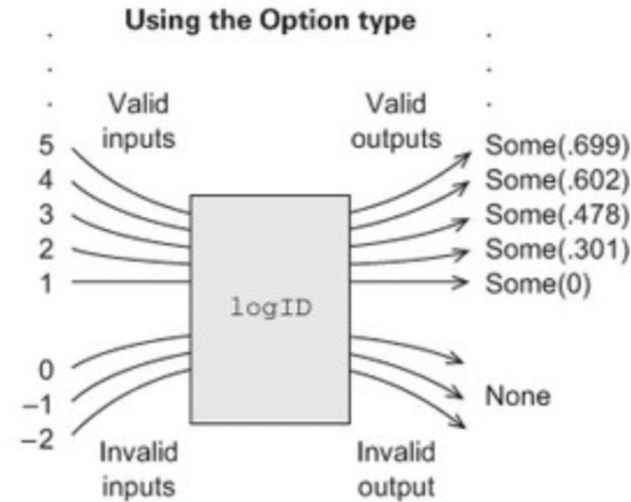
# Option type

## Responding to invalid inputs



logID: Double => Double

Mapping all invalid inputs to a special value of the same type as the valid outputs. Ambiguous, and compiler can't check that caller handles it correctly.



logID: Double => Option[Double]

Every valid output is wrapped in Some. Invalid inputs are mapped to None. The compiler forces the caller to deal explicitly with the possibility of failure.

```
def mean(xs: Seq[Double]): Option[Double] =  
  if (xs.isEmpty) None  
  else Some(xs.sum / xs.length)
```

- Using Option for the **mean** method
- The **return type** now reflects the possibility that the result **may not always be defined**.
- We **still** always return a result of type **Option[Double]**

# Basic Functions on Option

Option is used throughout Scala standard library [Lecture 4]

**Don't evaluate ob unless needed.** (points to the `orElse` method)

```
trait Option[+A] {  
  def map[B](f: A => B): Option[B]  
  def flatMap[B](f: A => Option[B]): Option[B]  
  def getOrElse[B >: A](default: => B): B  
  def orElse[B >: A](ob: => Option[B]): Option[B]  
  def filter(f: A => Boolean): Option[A]  
}
```

**Apply f if the Option is not None.** (points to the `map` method)

**Apply f, which may fail, to the Option if not None.** (points to the `flatMap` method)

**The B >: A says that the B type parameter must be a supertype of A.** (points to the `B >: A` constraint in `getOrElse` and `orElse`)

**only if f.** (points to the `filter` method)

```
case class Employee(name: String, department: String)  
  
def lookupByName(name: String): Option[Employee] =  
  ...  
  
val joeDepartment: Option[String]
```

indicates that the argument is of type B, but won't be evaluated until it's needed by the function.  
[it relates to the concept of non-strictness]



# User Scenarios on Option



```
case class Employee(name: String, department: String)
```

```
def lookupByName(name: String): Option[Employee] =  
  ...
```

```
val joeDepartment: Option[String] = lookupByName("Joe").map(_.department)
```

- **lookupByName("Joe")** returns an **Option[Employee]**
  - Transforming using **map** to pull out the **Option[String]** representing the department.
- No need to explicitly check the result of **lookupByName("Joe")**
  - simply **continue the computation as if no error occurred, inside the argument to map.**
- If it returns **None**, this will abort the rest of the computation
  - map **will not call** the `_.department` function.



# User Scenarios on Option

```
val dept: String = lookupByName( "Joe" ).map( _.dept ).filter( _ !=  
    "Accounting" ).getOrElse( "Default Dept" )
```

- Using **filter** to convert successes into failures (only a learning-case)
  - if the successful values don't match the given predicate.
- A common pattern is to
  - transform an Option via calls to **map**, **flatMap**, and/or **filter**
  - *Then*, use **getOrElse** to do error handling at the end.
- **getOrElse** is used here to convert from an Option[String] to a String

# Option type: error handling

- Returning errors as ordinary values can be convenient
- The use of higher-order functions enables achieving the same sort of consolidation of error-handling logic we would get from using exceptions.
- No need to check for None at each stage of the computation
  - we can apply several transformations and
    - Then, **check for** and **handle None** when we're ready.

# References

- Hunt, J. (2018). A Beginner's Guide to Scala, Object Orientation and Functional Programming. In *A Beginner's Guide to Scala, Object Orientation and Functional Programming*. Springer International Publishing. <https://doi.org/10.1007/978-3-319-75771-1>
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