# Introduction to Genetic Epidemiology

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# Part I Introduction

This is a Quarto book.

To learn more about Quarto books visit https://quarto.org/docs/books.

# **Schedule**

Welcome to this BMI Mini-Course on an Methods in Genetic Epidemiology. The course structure is divided into three key methodologies: genome-wide association studies, polygenic risk scores, and Mendelian randomization. Each trainee will apply these methods to a trait of their choosing and, at the course's conclusion, present their findings to the class.

## Week 1 04/22 - 04/26

#### Monday 04/22

#### Alzheimer's disease

In this mini-course, we will frequently reference Alzheimer's disease to illustrate various genetic epidemiology methods. This session aims to introduce Alzheimer's disease and explore significant findings related to its genetic architecture.

#### Readings

- Knopman, D. S. et al. Alzheimer disease. Nat Rev Dis Primers 7, 33 (2021).
- Alzheimer's Association. 2023 Alzheimer's disease facts and figures. Alzheimer's Dementia (2023).
- Kornblith, E. et al. Association of Race and Ethnicity With Incidence of Dementia Among Older Adults. Jama 327, 1488–1495 (2022).
- Andrews, S. J. et al. The complex genetic architecture of Alzheimer's disease: novel insights and future directions. eBioMedicine 90, 104511 (2023).
- Andrews, S. J., Fulton-Howard, B. & Goate, A. Interpretation of risk loci from genome-wide association studies of Alzheimer's disease. Lancet Neurology 19, 326–335 (2020).

#### Wensday 04/24

#### Genome-Wide Association Studies

Genome-Wide Association Studies (GWAS) are foundational to various genetic analysis methodologies. In this session, we will delve into what a GWAS entails, the process of conducting one, and then engage in a hands-on exercise to carry out our own GWAS.

#### Readings

- Uffelmann, E. et al. Genome-wide association studies. Nat Rev Methods Primers 1, 59 (2021).
- Abdellaoui, A., Yengo, L., Verweij, K. J. H. & Visscher, P. M. 15 years of GWAS discovery: Realizing the promise. Am J Hum Genetics (2023)
- Marees, A. T. et al. A tutorial on conducting genome-wide association studies: Quality control and statistical analysis. Int J Method Psych 27, e1608 (2018).
- MacArthur, J. A. L. et al. Workshop proceedings: GWAS summary statistics standards and sharing. Cell Genom 1, 100004 (2021).

#### Tools

- PLINK<sup>1</sup>
- BCFTools<sup>2</sup>
- MungeSumStats<sup>3</sup>

#### Friday 04/26

#### Genetic Ancestry

Genetic ancestry explores the lineage and heritage inferred from our DNA, providing insights into population history and individual heritage. This session will introduce the concepts and methodologies used in determining genetic ancestry, emphasizing their importance in genetic epidemiology research.

#### Readings

- Lewis, A. C. F. et al. Getting genetic ancestry right for science and society. Science 376, 250–252 (2022).
- National Academies of Sciences, Engineering, and Medicine. 2023. Using Population Descriptors in Genetics and Genomics Research: A New Framework for an Evolving Field. Washington, DC: The National Academies Press.

#### **Tools**

- ADMIXTURE<sup>4</sup>
- RFmix<sup>5</sup>

## Week 2 04/29 - 05/03

#### Monday 04/29

#### Heritability & Genetic Correlations

Heritability quantifies the proportion of phenotype variance attributable to genetic factors, whereas genetic correlations assess the extent of shared genetic architecture between traits. In this session, we will concentrate on the tools utilized to estimate these metrics from GWAS summary statistics.

#### Readings

- Rheenen, W. van, Peyrot, W. J., Schork, A. J., Lee, S. H. & Wray, N. R. Genetic correlations of polygenic disease traits: from theory to practice. Nat Rev Genetics 20, 567–581 (2019).
- Barry, C.-J. S. et al. How to estimate heritability: a guide for genetic epidemiologists. Int J Epidemiol (2022)

#### Tools

- $LDSC^6$
- $\mathrm{HDL}^7$
- GenomicSEM<sup>8</sup>

### Wensday 05/01

#### Polygenic Risk Scores I

Polygenic risk scores (PRS) measure an invididueals total genetic liability for a trait. This session will cover the process of constructing a PRS and assessing its performance in predicting the trait.

#### Readings

- Choi, S. W., Mak, T. S.-H. & O'Reilly, P. F. Tutorial: a guide to performing polygenic risk score analyses. Nat Protoc 15, 2759–2772 (2020).
- Wand, H. et al. Improving reporting standards for polygenic scores in risk prediction studies. Nature 591, 211–219 (2021).
- Lennon, N. J. et al. Selection, optimization and validation of ten chronic disease polygenic risk scores for clinical implementation in diverse US populations. Nat. Med. 1–8 (2024)

#### **Tools**

- PRSice2<sup>9</sup>
- PRSet<sup>10</sup>

#### Friday 05/03

#### Polygenic Risk Scores II

The accuracy of polygenic risk scores (PRS) diminishes as the genetic distance from the training population increases. This session will explore cross-ancestry PRS methods designed to enhance PRS accuracy across diverse populations.

#### Readings

- Kachuri, L. et al. Principles and methods for transferring polygenic risk scores across global populations. Nat. Rev. Genet. 1–18 (2023) doi:10.1038/s41576-023-00637-2.
- Ding, Y. et al. Polygenic scoring accuracy varies across the genetic ancestry continuum. Nature 618, 774–781 (2023).

#### Tools

• PRS-CSx<sup>11</sup>

## Week 3 05/06 - 05/10

#### Monday 05/06

#### Mendelian Randomization I

Mendelian Randomization (MR) is a method employed to identify causal risk factors for diseases. This session will cover the fundamentals of MR and demonstrate how to execute a two-sample MR analysis.

#### Readings

- Sanderson, E. et al. Mendelian randomization. Nat Rev Methods Primers 2, 6 (2022).
- Davies, N. M., Holmes, M. V. & Smith, G. D. Reading Mendelian randomisation studies: a guide, glossary, and checklist for clinicians. BMJ 362, k601 (2017).
- Hemani, G. et al. The MR-Base platform supports systematic causal inference across the human phenome. Elife 7, e34408 (2018).

#### Tools

• TwoSampleMR<sup>12</sup>

#### Wensday 05/08

#### Mendelian Randomization II

A crucial aspect of Mendelian Randomization (MR) studies is assessing whether the causal associations derived from MR analyses remain valid despite potential violations of MR's underlying assumptions. This session will focus on diagnostic and sensitivity analyses in MR, along with guidance on effectively reporting MR findings.

#### Readings

- Skrivankova, V. W. et al. Strengthening the Reporting of Observational Studies in Epidemiology Using Mendelian Randomization. JAMA 326, 1614–1621 (2021).
- Skrivankova, V. W. et al. Strengthening the reporting of observational studies in epidemiology using mendelian randomisation (STROBE-MR): explanation and elaboration. BMJ 375, n2233 (2021).

#### Friday 05/10

#### Trainee Presentations

Upon concluding this mini-course, trainees will showcase the results of their analyses.

# 1 Conda Env

```
#| eval: false
name: genetic_epi
channels:
    - conda-forge
    - bioconda
dependencies:
    - plink=1.90b6.21

#| eval: false
conda env create -f env/genetic_epi.yml
```

# HABS-HD

### **Phenotypes**

```
library(tidyverse)
setwd('~/gitcode/IntroGeneticEpi/')
SAVE_VISUALIZATIONS_PATH <- "~/Downloads"
aa_v1_path = 'resources/HABSHD/v5/HD 1 African American 50+ Request 355.csv'
ma_v1_path = 'resources/HABSHD/v5/HD 1 Mexican American 50+ Request 355.csv'
nhw_v1_path = 'resources/HABSHD/v5/HD 1 Non-Hispanic White 50+ Request 355.csv'
hd_cols = spec(read_csv(nhw_v1_path, guess_max = 10000))
aa_v1.raw = read_csv(aa_v1_path, col_types = hd_cols, na = c("", "NA", "9999", "-9999", "
  janitor::clean_names()
ma_v1.raw = read_csv(ma_v1_path, col_types = hd_cols, na = c("", "NA", "9999", "-9999", "
  janitor::clean_names()
nhw_v1.raw = read_csv(nhw_v1_path, col_types = hd_cols, na = c("", "NA", "9999", "-9999",
  janitor::clean_names()
habshd.raw <- bind_rows(</pre>
    aa_v1.raw, ma_v1.raw, nhw_v1.raw
  ) %>%
  mutate(
    id_race_white = as.factor(id_race_white),
    id_race_black = as.factor(id_race_black),
    id_race_indian_alaska = as.factor(id_race_indian_alaska),
    id_race_asian = as.factor(id_race_asian),
    id_race_japanese = as.factor(id_race_japanese),
    id_race_korean = as.factor(id_race_korean),
    id_race_vietnamese = as.factor(id_race_vietnamese),
    id_race_native_hawaiian = as.factor(id_race_native_hawaiian),
    id_race_guam_chamorro = as.factor(id_race_guam_chamorro),
    id_race_samoan = as.factor(id_race_samoan),
```

```
id_race_other_pacific = as.factor(id_race_other_pacific),
    id_race_other = as.factor(id_race_other),
    id_hispanic = as.factor(id_hispanic),
    id_hispanic_other = as.factor(id_hispanic_other),
    race = case_when(
      id_hispanic != 1 ~ "Hispanic",
      id_race_white == 1 & id_hispanic != 2 ~ "NHW",
      id_race_black == 1 ~ "Black",
      TRUE ~ "Other")
  )
habshd <- habshd.raw %>%
  mutate(
    abeta40 = ifelse(is.na(r3_qtx_plasma_abeta42), r5_qtx_plasma_abeta40, r3_qtx_plasma_ab
    abeta42 = ifelse(is.na(r3_qtx_plasma_abeta42), r5_qtx_plasma_abeta42, r3_qtx_plasma_ab
    ptau181 = ifelse(is.na(r3_qtx_plasma_p_tau181), r5_qtx_plasma_p_tau181, r3_qtx_plasma_
    total_tau = ifelse(is.na(r3_qtx_plasma_total_tau), r5_qtx_plasma_total_tau, r3_qtx_plasma_total_tau,
    nfl = ifelse(is.na(r3_qtx_plasma_nf_1), r5_qtx_plasma_nf_1, r3_qtx_plasma_nf_1)
  ) %>%
  select (med id, age, id_gender, interview_language, adi_state_rank, race,
         id_education, smoke_ever, cdx_cog, cdx_depression,cdx_hypertension,
         cdx_diabetes, cdx_dyslipidemia, cdr_sum,
         om_bp1_dia, om_bp1_sys,
         om_height, om_weight, om_bmi, om_ab_circumference,
         bw_chol_total, bw_ld_lchol, bw_hdl_chol, bw_hba1c, gds_total,
         abeta40, abeta42, ptau181, total_tau, nfl,
         apoe4_snp
write_csv(habshd, "work/habshd_pheno.csv")
#descriptive table
description <- c("Medical ID", "", "1 = Female <br > 0 = Male",
                 "Language in which <br > interview was administered",
                 "Area Deprivation Index", 'Black, Hispanic, NHW',
                 "Years of Education", "Ever Smoked <br > (1:Yes, 0:No)",
                "Cognitive Disorder: <br > 0: Cognitively Unimpaired <br > 1: Mild Cognitive
                 Impairment<br>>2: Dementia", "Depression<br>> (1:Yes, 0:No)",
                 "Hypertension <br> (1:Yes,0:No)", "Diabetes <br> (1:Yes,0:No)",
                  "High Cholesterol (1:Yes, 0:No)",
                 "Clinical Dementia Rating (CDR): <br > Sum of Boxes",
```

```
"Diastolic BP", "Systolic BP", "Height (in)", "Weight (lbs)",
                 "BMI", "Abdominal circumference (in)", "Total Cholesterol",
                "LDL Cholesterol <br > (bad) ", "HDL Cholesterol <br > (good) ",
                "Hemoglobin", "Geriatric Depression Scale (GDS)",
                "abeta40", "abeta42", "ptau181", "total_tau", "nfl",
                "APOE Genotype")
table_desc <- data.frame(cbind(names(habshd), description))</pre>
table_desc %>% kbl(caption = '', col.names = c("Variable", "Description"),
                   escape = FALSE) %>%
  kable_classic(full_width = FALSE, html_font = "Ariel") %>%
  kable_styling(font_size = 16, position = "center") %>%
  column_spec(1:2, border_left = F, border_right = F) %>%
  pack_rows("Demographics",1,7) %>%
  pack_rows("Clinical",8,25) %>%
  pack_rows("Imaging",26,30) %>%
  pack_rows("Genomics",31,31)
```

#### **Descriptive Table**

Variable	Description			
Demographics	Bescription			
med_id	Medical ID number			
_	Not an MRN			
age	Age (yrs)			
id_gender	1:Female			
	0: Male			
interview_language	Language in which interview was			
	administered			
	1:English 2:Spanish			
adi_state_rank	Area Deprivation Index			
	Levels: 1,2,,10			
	1: least disadvantaged 10: most			
	disadvantaged			
race	Black, NHW, Hispanic			
id_education	Years of Education			
Clinical				
$smoke\_ever$	Ever smoked? 0:No 1:Yes			

cdx_cog	Cognitive Disorder
	0: Cognitively Unimpaired
	1:Mild Cognitive Impairment
	2: Dementia
$cdx\_depression$	Depression 0:No 1:Yes
$cdx$ _hypertension	Hypertension 0:No 1:Yes
$cdx\_diabetes$	Diabetes 0:No 1:Yes
cdx_dyslipidemia.	High Cholesterol 0:No 1:Yes
$\operatorname{cdr}$ _sum	Clinical Dementia Rating (CDR)
	Sum of Boxes
gds_total	Geriatric Depression Scale (GDS) sum of
	GDS 1 to GDS 30
om_bp1_dia	Diastolic BP
om_bp1_sys	Systolic BP
om_height	Height (in)
om_weight	Weight (lbs)
om_bmi	Body Mass Index (BMI)
om_ab_circumference	Abdominal Circumference (in)
bw_chol_total	Total Cholesterol (mg/dL)
bw_ld_lchol	LDL Cholesterol (mg/dL) (bad)
bw_hdl_chol	HDL Cholesterol (mg/dL) (good)
bw_hba1c	Hemoglobin A1C% of total Hgb
Imaging	
abeta40	$A\beta_{40}$
abeta42	$A\beta_{42}$
ptau181	Phospho-Tau (pg/mL)
•	Average CV: 0.07065
	Avgerage LLOD: 0.016
	Average HLOD:349
total tau	Total Tau
nfl —	Neurofilament Light (pg/mL)
	Average CV: 0.038
	Avgerage LLOD: 0.038
	Average HLOD:1800
Genetics	
apoe4_snp	APOE Genotype
·	E2E3, E2E4, E3E3, E3E4, E4E4

```
habshd[which(habshd$adi_state_rank=="GQ"),] <- NA
habshd[which(habshd$adi_state_rank=="PH"),] <- NA
```

```
habshd[which(habshd$adi_state_rank=="Invalid Address"),] <- NA
habshd[which(habshd$smoke_ever==2),] <- NA
habshd$adi_state_rank <- as.integer(habshd$adi_state_rank)
theme_gtsummary_compact()
demographics_table <- habshd %>% select(age,id_gender,interview_language,
                                          adi state rank, race, id education) %>%
                      tbl_summary(., by = race,
                            statistic = list(
                                    all_continuous() ~ "{mean}<br>> ({sd})",
                                    all_categorical()~ "{p}%"),
                             digits = all_continuous()~2,
                            label = c(age~"Age", id_gender ~ "Gender",
                                      interview_language ~ "Interview Language",
                                      adi_state_rank~ "ADI State Rank",
                                      id_education~"Education"),
                            missing_text = "(Missing)") %>%
                          modify_header(label = "**Demographic <br> Variables**",
                          all_stat_cols() ~ "**{level}**<br> N = {n}") %>%
                    as_gt() %>%
                  tab_options(column_labels.border.top.color = "black",
                              column labels.border.bottom.color = "black",
                              table_body.border.bottom.color = "black",
                              table_body.hlines.color = "white",
                              table.font.size = 12,
                              container.width = 500,
                              container.height =500) %>%
                  fmt_markdown(columns = everything())
gtsave(demographics_table,filename = file.path(SAVE_VISUALIZATIONS_PATH, "demographics_sum
#convert to factors
cdx_cols <- names(habshd %>% select(starts_with("cdx_")))
habshd[cdx_cols] <-lapply(habshd[cdx_cols], factor)</pre>
clinical_table1 <- habshd %>% select(smoke_ever,cdx_cog,cdx_depression,
                                     cdx_hypertension,cdx_diabetes,
                                     cdx_dyslipidemia,cdr_sum, om_bp1_dia,
                                     race) %>%
                  tbl_summary(., by = race,
                              statistic = list(
```

```
all_continuous() ~ "{mean} ({sd})",
                                    all_categorical()~ "{p}%"),
                              digits = all_continuous()~2,
                              label = c(smoke_ever ~ "Smoke ",
                                      cdx_cog ~ "Cognitive Disorder",
                                      cdx_depression ~ "Depression",
                                      cdx hypertension ~ "Hypertension",
                                      cdx_diabetes ~ "Diabetes",
                                      cdx_dyslipidemia ~ "Displedemia",
                                      cdr_sum ~ "CDR Total Score",
                                      om_bp1_dia ~ "Diastolic BP"),
                missing_text = "(Missing)") %>%
               modify_header(label = "**Clinical Variables**",
                              all_stat_cols() ~ "**{level}**<br> N = {n}") %>%
              as_gt() %>%
              tab_options(
                      column_labels.border.top.color = "black",
                      column_labels.border.bottom.color = "black",
                      table_body.border.bottom.color = "black",
                      table_body.hlines.color = "white",
                      table.font.size = 12,
                      container.height = 700,
                      container.width = 700) %>%
              fmt_markdown(columns = everything())
gtsave(clinical_table1,filename = file.path(SAVE_VISUALIZATIONS_PATH, "clinical_table1.png
clinical_table2 <- habshd %>% select(om_bp1_sys,om_height,om_weight,
                                     om_bmi,om_ab_circumference,bw_chol_total,
                                     bw_ld_lchol,bw_hdl_chol,race,bw_hba1c,
                                     gds_total,race) %>%
                   tbl_summary(., by = race,
                              statistic = list(
                                    all_continuous() ~ "{mean} ({sd})",
                                    all_categorical()~ "{p}%"),
                              digits = all_continuous()~2,
                              label = c(om_bp1_sys~"Systoliuc BP",
                                      om_height ~ "Height (in)",
                                      om_weight~ "Weight(lbs)",
                                      om_bmi ~"BMI",
                                      om_ab_circumference~ "Abdominal <br>
```

```
Circumference (in)",
                                      bw_chol_total ~ "Total Cholesterol",
                                      bw_ld_lchol~ "LDL <br> Cholesterol",
                                      bw_hdl_chol~ "HDL <br>> Cholesterol",
                                      bw_hba1c~ "Hemoglobin",
                                      gds_total ~ "GDS Total"),
                             missing text = "(Missing)") %>%
                 modify header(label = "**Clinical <br> Variables**",
                              all_stat_cols() ~ "**{level}**<br> N = {n}") %>%
                as_gt() %>%
                tab_options(
                        column_labels.border.top.color = "black",
                        column_labels.border.bottom.color = "black",
                        table_body.border.bottom.color = "black",
                        table_body.hlines.color = "white",
                        table.font.size = 12,
                        container.height = 700,
                        container.width = 700) %>%
                fmt_markdown(columns = everything())
gtsave(clinical_table2,filename = file.path(SAVE_VISUALIZATIONS_PATH, "clinical_table2.png
habshd\apoe4_snp = as.factor(habshd\apoe4_snp)
imaging_genetics_table <- habshd %>% select(abeta40, abeta42,ptau181, total_tau,
                                            nfl, apoe4_snp,race) %>%
                          tbl_summary(., by = race,
                                      statistic = list(
                                             all_continuous() ~ "{mean} ({sd})",
                                            all_categorical()~ "{p}%"),
                                      digits = all_continuous()~2,
                                      label = c(abeta40~"AB40",
                                                 abeta42~"AB42",
                                                 ptau181 ~ "pTau",
                                                 total_tau ~ "Total Tau",
                                                 nfl~ "Plasma NFL",
                                                 apoe4_snp ~ "APOE4 SNP"),
                                     missing_text = "(Missing)") %>%
                        modify_header(label = "**Imaging & Genetic <br>
                                      Variables**",
                                  all_stat_cols() ~ "**{level}**<br> N = \{n\}") %>%
                        as_gt() %>%
                        tab_options(
```

## Genotyping

TBD

#### HapMap III

Download the hapmap\_3.3.hg38.vcf.gz file from the Broad's google bucket

```
bcftools view -i 'AF > 0 && TYPE="snp" && N_ALT=1' resources/genetic_epi/resources_broad_h
bcftools view -H > work/hapmap3_snps.txt

hm3.raw <- read_table("work/hapmap3_snps.txt", col_names = F)

hm3 <- hm3.raw %>%
    mutate(
        cpra = glue::glue("{X1}:{X2}:{X4}:{X5}"),
        X1 = as.numeric(str_replace(X1, 'chr', ''))
        ) %>%
    filter(!is.na(X1)) %>%
    rename(chr = X1, pos = X2, rsid = X3, ref = X4, alt = X5) %>%
    select(-X6)
```

```
out <- hm3 %>%
 distinct(cpra, .keep_all = T) %>%
 distinct(rsid, .keep_all = T)
out %>%
 select(cpra) %>%
 write_tsv(., 'work/hm3_extract.txt', col_names = F)
out %>%
 select(cpra, rsid) %>%
 write_tsv(., 'work/hm3_crpa_rsid.txt', col_names = F)
plink \
 --bfile resources/HABSHD/genotypes/all \
 --keep-allele-order \
 --extract work/hm3_extract.txt \
 --make-bed \
 --out work/habshd_hm3
plink \
 --bfile work/habshd_hm3 \
 --keep-allele-order \
 --update-name work/hm3_crpa_rsid.txt \
 --make-bed \
  --out work/habshd_rsid
```

# Part II Genome-wide Association Studies

# **GWAS QC**

TBD

#### **SNP QC**

SNP level QC consists of removing markers with excessive missingness or low allele frequency. This QC increases the power to identify true associations with disease risk by removing suboptimal markers that can increase false positives.

#### Call Rate & Allele frequency

95% was used as the SNP call rate threshold (usually 95% or higher), and 1% was used as the MAF threshold (usually 1% or higher).

Filtering SNPs on MAF and call rate can be done in PLINK 1.9 by typing the following (or similar) at the shell prompt. This uses 95% and 1% for the call-rate and MAF, respectively:

```
# Generate frequency reports
plink \
    --bfile work/habshd_rsid \
    --keep-allele-order \
    --freq \
    --out work/habshd_snpqc

plink \
    --bfile work/habshd_rsid \
    --keep-allele-order \
    --freqx \
    --out work/habshd_snpqc

# Filter on call rate and maf
plink \
    --bfile work/habshd_rsid \
    --bfile work/habshd_rsid \
    --keep-allele-order \
```

```
--geno 0.05 --maf 0.01 \
    --make-bed --out work/habshd_snpqc
## ==== SNP Level Filtering ====
# ---- readin plink .frq ---- ##
message("reading plink frq file")
freq.raw <- read_table('work/habshd_snpqc.frq', col_names = T,</pre>
  col_types = cols(
 CHR = col_double(),
  SNP =col_character(),
 A1 = col_character(),
 A2 = col_character(),
 MAF = col_double(),
 NCHROBS = col_double()
))
# ---- readin plink .frqx ---- ##
message("reading plink frqx file")
freqx.raw <- read_tsv('work/habshd_snpqc.frqx', col_names = T,</pre>
  col_types = cols(
  CHR = col_double(),
  SNP = col_character(),
 A1 = col_character(),
 A2 = col_character(),
  `C(HOM A1)` = col_double(),
  `C(HET)` = col_double(),
  `C(HOM A2)` = col_double(),
  `C(HAP A1)` = col_double(),
  `C(HAP A2)` = col_double(),
  `C(MISSING)` = col_double()
))
# ---- SNP level statisitcs ----
snps <- freq.raw %>%
  full_join(freqx.raw, by = c("CHR", "SNP", "A1", "A2")) %>%
  rename(AA = `C(HOM A1)`, AB = `C(HET)`, BB = `C(HOM A2)`, missing = `C(MISSING)`) %>%
  mutate(Call.rate = 1 - (missing / c(AA + AB + BB + missing))) %>%
  mutate(Call = Call.rate >= 1 - 0.05) %>%
  mutate(Call.maf = MAF < 0.01)</pre>
```

Figure @ref(fig:MAFxcallrate) shows the SNP call rate versus minor allele frequncy across all typed SNPs in the study. The dashed lines denote the MAF and call rate QC thresholds. xxx

SNPs were removed due to low call rate and xxx SNPs were removed due to low minor allele frequency.

```
MAFxcallrate.p <- ggplot(data = snps, aes(x = MAF, y = Call.rate)) +
    geom_point(alpha = 0.3, size = 0.5) +
    geom_hline(yintercept = 1 - 0.05, linetype = 2, colour = 'red') +
    geom_vline(xintercept = 0.01, linetype = 2, colour = 'red') +
    scale_x_log10(breaks = scales::trans_breaks("log10", function(x) round(10^x, 3))) +
    labs(y = 'Proportion of called genotypes', x = 'Minor Allele Frequency (log)') +
    theme_bw() + annotation_logticks()

ggsave('results/plots/MAFxcallrate.png', plot = MAFxcallrate.p, height = 4, width = 6, unit</pre>
```

#### Hardy Weinberg Equilibrium

Violations of Hardy Weinberg Equilibrium can indicate either the presence of population substructure, or the occurrence of genotyping error. It is common practice to assume that violoations are indicative of genotyping error and remove SNPs in which the HWE test statistic has a corresponding p-value of less then 1x10-6. A threshold of xxx is used here.

For case-control data, HWE is generally not tested in cases to not exclude real selection against a phenotype, so it is best to include case-control status in the PLINK files.

Filtering SNPs on Hardy Weinberg Equilibrium for autosomes only can be done in PLINK by typing the following at the shell prompt:

```
plink \
     --bfile work/habshd_snpqc \
     --keep-allele-order \
     --autosome \
     --hardy \
     --hwe 0.000001 \
     --make-bed --out work/habshd_hwe

# --- readin plink .hwe --- ##
message("reading plink hwe file")
hwe.raw <- read_table2('work/habshd_hwe.hwe', col_types = cols(
     CHR = col_integer(),
     SNP = col_character(),
     TEST = col_character(),
     A1 = col_character(),</pre>
```

```
A2 = col_character(),
  GENO = col_character(),
  `O(HET)` = col_double(),
  `E(HET)` = col_double(),
  P = col_double()
))
snps <- snps %>%
 full_join(hwe.raw, by = c("CHR", "SNP", "A1", "A2")) %>%
 mutate(hwe = P > 0.000001) \%>\%
  as_tibble()
suppressPackageStartupMessages(library(ggtern))
hweplot <- snps %>%
  filter(!is.na(P)) %>%
  mutate(alph = ifelse(hwe, 0.2, 0.8),
         hwe = ifelse(hwe, "Pass", "Fail")) %>%
  ggtern::ggtern(aes(x = AA, y = AB, z = BB, colour = hwe, alpha = alph)) +
   geom_point(size = 0.5) +
   scale_colour_manual(name= 'Hardy Weinberg \n Equilibrium',
                       values = c(Pass = "#377EB8", Fail = "#E41A1C")) +
   scale_alpha_continuous(guide = "none", range = c(0.8, 0.2)) +
   theme_bw() + theme(legend.position = 'bottom')
hweplot
detach("package:ggtern", unload=TRUE)
ggsave('results/plots/hweplot.png', plot = hweplot, height = 4, width = 6, units = 'in')
```

# Sample QC

#### **Call Rate**

A low genotyping call rate in a sample can be indicative of poor DNA sample quality, so samples with a call rate < xxx% are excluded from further analysis.

Filtering samples on a call rate of 95% can be done in PLINK by typing the following at the shell prompt:

```
plink \
    --bfile work/habshd_hwe \
    --keep-allele-order \
    --mind 0.05 \
    --make-bed --out work/habshd_sampleQC
```

#### Sex Discordance

Samples with discordance between self-reported and genetically predicted sex likely have errors in sample handling, such as sample swaps. Predicted sex can be determined by calculating X chromosome heterozygosity using an F test, because biological men have one X chromosome and women have two. An F value of  $\sim 0.99$  indicates males, and an F value of  $\sim 0.03$  indicates females. Furthermore, checking X chromosome heterozygosity may reveal sex chromosome anomalies ( $\sim 0.28$  in reported females;  $\sim 0.35$  in males).

Since sex discordance may be due to sample swaps or to incorrect phenotyping, sex discordant samples should generally be removed unless a swap can be reliably resolved.

Identification of individuals with discordent sex can be done in PLINK 1.9 by typing the following at the shell prompt, which will produce a list of individuals with discordent sex data.

```
plink \
    --bfile resources/HABSHD/genotypes/HABLE_GSA_20230418a_FINAL \
    --check-sex --out work/HABLE_GSA_20230418a

plink \
    --bfile resources/HABSHD/genotypes/HABLE_GSA_20220602_FINAL \
    --check-sex --out work/HABLE_GSA_20220602

awk 'FNR==1 && NR==1 {print; next} FNR>1 {print}' work/HABLE_GSA_20220602.sexcheck work/HABLE_GSA_20220602.sexchec
```

mutate(PEDSEX = recode(PEDSEX, '2' = 'Female', '1' = 'Male'))

## Exclude samples with no sex inconsistencies

sex\_exclude.samples <- sexcheck %>%

```
filter(STATUS == 'PROBLEM') %>%
mutate(PEDSEX = recode(PEDSEX, '2' = 'Female', '1' = 'Male'))
```

The following plot (Fig. @ref(fig:sexplot)) displays the X Chromosome heterozygosity for self reported sex, with samples with problems highlighted in red. Table @ref(tab:sextab) displays the individule records that should be excluded from further downstream analysis.

```
sexcheck.p <- ggplot(data = sexcheck, aes(x = as.factor(PEDSEX), y = F, colour = STATUS, s
geom_jitter() +
scale_color_manual(values = c( "#377EB8", "#E41A1C")) +
theme_bw() + labs(x = 'Self reported sex', y = 'X CHR Heterozygocity (F)') + theme(legen
ggsave('results/plots/sexcheck.png', plot = sexcheck.p, height = 4, width = 6, units = 'in</pre>
```

#### **Pruning**

Pruning is typically done to remove linkage disequilibrium (LD) between SNPs, which is often a necessary step in various genetic analyses to ensure the independence of markers and is necessary for estimating heterozygosity, realtedness, and population stratification.

```
plink \
   --bfile work/habshd_sampleQC \
   --indep-pairwise 50 5 0.2 \
   --out work/indepSNP
```

#### Heterozygosity check

Insufficient heterozygosity can indicate inbreeding or other family substructures, while excessive heterozygosity may indicate poor sample quality.

Individuals with outlying heterozygosity rates can be identified in PLINK 1.9 by typing the following command at the shell prompt:

```
plink \
    --bfile work/habshd_sampleQC \
    --extract work/indepSNP.prune.in \
    --het --out work/habshd
```

This produces a file containing Method-of-moments F coefficient estimates, which can be used to calculate the observed heterozygosity rate in each individual. Analysis is performed using an LD pruned snplist.

We calculate a heterozygocity similarly using observed and expected counts from the PLINK output [(Observed - Expected)/N) and exclude samples that are  $\pm$  3 sd from the cohort mean.

```
## ---- Read in Data ----##
het.raw <- read_table('work/habshd.het')

## caluclate heterozygosity
het <- het.raw %>%
   rename(0 = `O(HOM)`, E = `E(HOM)`, N = `N(NM)`) %>%
   mutate(Het = (N - 0) / N)

## Calculate exclusion thresholds
upper.het <- mean(het$Het) + sd(het$Het)*3
lower.het <- mean(het$Het) - sd(het$Het)*3

## Exclusion of samples
het <- het %>%
   mutate(exclude = ifelse(Het >= upper.het | Het <= lower.het, TRUE, FALSE))
het_exclude.samples <- het %>% filter(exclude == TRUE)
```

Figure @ref(fig:plothet) displays the distribution of heterozygosity in xxx. Samples with excessive (Het > xxx) or deficient (Het < xxx) heterozygosity are colored red. Table @ref(tab:het) displays samples that are to be excluded.

```
heterozygosity.p <- ggplot(het, aes(x = Het, fill = exclude)) + geom_histogram(binwidth =
    geom_vline(xintercept = upper.het, colour = 'red', linetype = 2) +
    geom_vline(xintercept = lower.het, colour = 'red', linetype = 2) +
    theme_bw() + scale_fill_manual(values = c("#377EB8", "#E41A1C")) +
    theme(legend.position = 'bottom') +
    labs(x = 'Heterozygosity')

ggsave('results/plots/heterozygosity.png', plot = heterozygosity.p, height = 4, width = 6,</pre>
```

#### Cryptic Relatedness

Population based cohorts are often limited to unrelated individuals as associations statistics often assume independence across individuals. Closely related samples will share more of their genome and are likely to be more phenotypically similar than than two individuals chosen randomly from the population. A common measure of relatedness is identity by descent (IBD),

where a kinship correlation coefficient (pi-hat) greater 0.1 suggests that samples maybe related or duplicates samples.

```
# IBD relationship table
# https://github.com/WheelerLab/GWAS_QC/blob/master/example_pipelines/QC%20Analysis%20-%20
rel_tab <- tibble(relationship = c("unrelated", "identical-twins",</pre>
                                   "parent-child", "full-siblings",
                                   "half-siblings", "grandparent-grandchild",
                                    "avuncular", "half-avuncular",
                                   "first-cousin", "half-first-cousin",
                                   "half-sibling-first-cousin"),
  pi_hat = c(0, 1, 0.5, 0.5, 0.25, 0.25, 0.25, 0.125, 0.125, 0.0625, 0.375),
  z0 = c(1, 0, 0, 0.25, 0.5, 0.5, 0.5, 0.75, 0.75, 0.875, 0.375),
  z1 = c(0, 0, 1, 0.5, 0.5, 0.5, 0.5, 0.25, 0.25, 0.125, 0.5),
  z2 = c(0, 1, 0, 0.25, 0, 0, 0, 0, 0, 0.125)
)
dup_relationships <- c("grandparent-grandchild", "avuncular", "half-avuncular")
rel_tab_filt <- rel_tab %>%
  filter(relationship %nin% dup_relationships) %>%
  mutate(relationship = ifelse(relationship == "half-siblings", "2nd degree",
                               ifelse(relationship == "first-cousin",
                                      "3rd degree", relationship)))
```

Identifying duplicated or related samples can be done in PLINK 1.9 by typing the following command at the shell prompt.

```
plink \
    --bfile work/habshd_sampleQC \
    --extract work/indepSNP.prune.in \
    --genome --min 0.05 --out work/habshd.ibd

# select samples with kinship cofficents > 0.1875
# https://link.springer.com/protocol/10.1007/978-1-60327-367-1_19
pi_hat_thres = 0.1875

# Find closest match
closest <- function(vals, ref) {
    fc <- Vectorize(function(x) {
        ref[which.min(abs(ref - x))]</pre>
```

```
}) #finds closest
  fc(vals)
# Iteratively Remove related samples
remove_samples <- function(ibdcoeff, fam, msg = "closely related to") {</pre>
  fam fi <- fam %>%
    mutate(FI = paste0(FID, "_-_-tempsep-_-_", IID)) %>%
    mutate(status = ifelse(status > 2, 0.5, status))
  ibdcoeff %<>%
    mutate(FI1 = paste0(FID1, "_-_-tempsep-_-_", IID1),
           FI2 = paste0(FID2, "_-_-tempsep-_-_", IID2))
  related_samples <- NULL</pre>
  excluded <- c()
  fam_table <- tibble(FID = c("deleteme"),</pre>
                       IID = c("deleteme"),
                       Related = c("deleteme"))
  while (nrow(ibdcoeff) > 0) {
    test_tab <- plyr:::count(c(ibdcoeff$FI1, ibdcoeff$FI2))</pre>
    if (!("x" %in% names(test_tab))) {
      print(ibdcoeff)
    sample.counts <- plyr:::count(c(ibdcoeff$FI1, ibdcoeff$FI2)) %>%
      as tibble %>%
      rename(FI = x) %>%
      mutate(FI = as.character(FI)) %>%
      inner_join(fam_fi, by = "FI") %>%
      arrange(desc(qc_failed), status, desc(freq))
    rm.sample <- sample.counts[[1, "FI"]]</pre>
    id_ <- str_split(rm.sample, "_-_-tempsep-_-_")[[1]]</pre>
    fid <- id_[1]
    iid <- id_[2]
    remtxt <- sprintf("%s %i other samples.",
                       sample.counts[[1, "freq"]])
    message(paste("Removing sample", iid, remtxt))
    ft <- tibble(FID = fid, IID = iid, Related = remtxt)</pre>
    fam_table <- fam_table %>%
      bind_rows(ft)
    ibdcoeff <- ibdcoeff[ibdcoeff$FI1 != rm.sample &
```

```
ibdcoeff$FI2 != rm.sample, ]
    related_samples <- c(as.character(rm.sample), related_samples)</pre>
  }
  return(
    list(related_samples = related_samples,
         fam_table = filter(fam_table, Related != "deleteme"),
         exclude_samples = tibble(FI = as.character(related_samples)) %>%
           separate(FI, c("FID", "IID"), sep = "_-_tempsep-_-")))
}
# Import data
fam <- "work/habshd_sampleQC.fam" %>%
  read_table(col_types = "cc---i", col_names = c("FID", "IID", "status")) %>%
  mutate(qc_failed = FALSE)
relatedness.raw = read_table("work/habshd_ibd.genome")
ibdcoeff <- relatedness.raw %>%
  filter(PI_HAT > pi_hat_thres) %>%
  mutate(
    pi_hat = closest(PI_HAT, rel_tab_filt$pi_hat),
    z0 = closest(Z0, rel_tab_filt$z0),
    z1 = closest(Z1, rel_tab_filt$z1),
    z2 = closest(Z2, rel_tab_filt$z2),
  ) %>%
  left_join(rel_tab_filt)
ibdcoeff_unrelated <- remove_samples(ibdcoeff, fam)</pre>
```

The following histogram (Fig. @ref(fig:kinplot)) shows the distribution of proportion of IBD sharing (pi-hat in PLINK; PropIBD in KING) between all pairs.

```
ggplot(relatedness, aes(x = PI_HAT)) +
  geom_histogram(binwidth = 0.01, fill = "#377EB8") +
  scale_y_continuous(trans = 'log10', breaks = scales::trans_breaks("log10", function(x) r
  coord_cartesian(xlim = c(min(relatedness$PI_HAT) - 0.05, 1)) +
  annotation_logticks() +
  theme_bw() +
  labs(x = "IBD Sharing (pi-hat in PLINK)") +
  geom_vline(xintercept = pi_hat_thres,
```

```
colour = "red", linetype = 2)
```

The following plot (Fig. @ref(fig:relplot)) shows the xxx by the proportion of loci where individuals share zero alleles (Z0), where the proportion of IBD sharing is greater than 0.05. In family based studies, pairs are colored by IBD relationship. Table @ref(tab:ibdfail) displays the individuals where the kinship coefficient was greater than xxx in population based studies OR how were duplicates in family based studies.

```
ggplot(ibdcoeff, aes(x = Z0, y = Z1, color = relationship)) +
  geom_point() +
  labs(x = 'P(IBD=0)', y = "P(IBD=0)") +
  theme_bw()
```

#### **Population Substructure**

After excluding population outliers from the dataset, population substructure will remain due to the presence of genetic diversity within apparently homogenous populations. Within a single ethnic population, even subtle degrees of population stratification can bias results due to differences in allele frequencies between subpopulations. Principal components based on the observed genotypes in the dataset of interest can be used to capture information on substructure and be included as covariates in downstream analysis.

To obtain the principal components for the sample dataset after population outliers have been removed, type the following PLINK 1.9 commands at the shell prompt to generate the principal component eigenvalue and eigenvector files.

#### Scree Plot

The below scree plot (Fig. @ref(fig:ScreePlotStrat)) shows the amount of variation retained by each principal component (Left) and the cumualtive proportion of variance explained by each principal component (Right).

```
#Include the number of PC for where the cumualtive PVE is 95%
PC.inc <- findInterval(0.95, cumsum(eigenval$PVE)) + 1

## ---- Plot scree plot of proportion of varaince explained by Principal components ---- #
p1 <- ggplot(data = eigenval, aes(x = PC, y = PVE, group = factor(1))) +
    geom_point(colour = '#377EB8') + geom_path(colour = '#377EB8') +
    labs(x = 'Principal Components') +
    theme_bw() + coord_cartesian(ylim = c(0,1), default = T)

p2 <- ggplot(data = eigenval, aes(x=PC, y=cumsum(PVE), group = factor(1))) +
    geom_point(colour = '#377EB8') + geom_path(colour = '#377EB8') +
    labs(x = 'Principal Components', y = 'cumulative PVE') +
    theme_bw() + coord_cartesian(ylim = c(0,1), default = T) +
    geom_hline(yintercept = 0.95, colour = '#E41A1C', linetype = 2)

gridExtra::grid.arrange(p1, p2, ncol = 2)</pre>
```

#### Population substructure

The following plots show the population structure of xxx based on the first two (Fig. @ref(fig:2PCstrat)) and three (Fig. @ref(fig:3PCstrat))) principal components compared with the reference populations from 1000 Genomes.

```
## Plot Superpopulations, PC1 + PC2
ggplot(pca, aes(x = PC2, y = PC1, color = race)) +
  geom_point() +
  theme_bw() + theme(legend.position = 'right')
```

#### **Exclude Samples**

```
bind_rows(
    sex_exclude.samples,
    het_exclude.samples,
    ibdcoeff_unrelated$exclude_samples %>% mutate_at(c('FID', 'IID'), as.numeric)
) %>%
    select(FID, IID) %>%
    distinct(FID, IID) %>%
    write_tsv('work/habshd.ExcludeSamples.tsv', col_names = F)

plink \
    --bfile work/habshd_sampleQC \
    --keep-allele-order \
    --remove work/habshd.ExcludeSamples.tsv \
    --make-bed --out work/habshd_gwas
```

# **GWAS**

**TBD** 

### **Import**

## Phenotype File

```
pheno <- fam %>%
  left_join(select(habshd, med_id, cdr_sum), by = c('IID' = 'med_id'))
pheno %>%
  write_tsv('work/habshd_gwas.pheno', col_names = F)
```

## **Covariate File**

```
covar <- fam %>%
  left_join(select(habshd, med_id, age, id_gender), by = c('IID' = 'med_id')) %>%
  left_join(select(pc, IID, PC1, PC2, PC3, PC4), by = 'IID')

covar %>%
  write_tsv('work/habshd_gwas.covar', col_names = F)
```

#### **GWAS**

```
plink \
    --bfile work/habshd_gwas \
    --pheno work/habshd_gwas.pheno \
    --covar work/habshd_gwas.covar \
    --linear hide-covar \
    --out results/habshd_cdr_gwas
```

## **Manhattan Plot**

```
gwas.raw <- read_table('results/habshd_cdr_gwas.assoc.linear') %>%
   select(-X10)

ggman::ggman(gwas.raw, snp = "SNP", bp = "BP", chrom = "CHR", pvalue = "P", relative.posit
   theme_bw()
```

# **GWAS-SS**

Polygenic risk scores, Two-sample Mendelian Randomization, and Genetic Correlation methods require the use of summary statistics from genome-wide association studies, including single nucleotide polymorphisms (SNPs), beta coefficients, standard errors, p-values, and allele frequencies. However, the historical lack of standards for data content and file formats in GWAS summary statistics has resulted in heterogeneous data sets. To address this issue, standardizing and harmonizing the GWAS summary statistics is crucial before conducting MR analyses. The GWAS Catalog and OpenGWAS platforms have developed formats such as GWAS-SSF (Hayhurst et al. 2022) and GWAS-VCF (Lyon et al. 2021) to facilitate sharing of GWAS SumStats. Tools like MungeSumstats (Murphy et al 2021) and GWAS2VCF (Lyon et al. 2021) are available that provide rapid standardization and quality control of GWAS SumStats.

#### **AD GWAS**

We download the International Genomics of Alzheimer's Project (IGAP) Alzheimer's disease GWAS of Kunkle et al. Nat Genet, 2019. from the GWAS catalouge. These summary statistics correspond to the meta-analysis results obtained in stage 1 including genotyped and imputed data (11,480,632 variants, phase 1 integrated release 3, March 2012) of 21,982 Alzheimer's disease cases and 41,944 cognitively normal controls.

The Summary statistics consists of the following information for each SNP and its association to Alzheimer's disease based on meta-analysis in the publication mentioned below.

- Chromosome: Chromosome of the SNP (Build 37, Assembly Hg19)
- Position: Position of the SNP (Build 37, Assembly Hg19)
- MarkerName: SNP rsID or chromosome:position:I/D if rsID not available. I/D indicates indel or deletion respectively.
- Effect\_allele: Reference allele (coded allele)
- Non Effect allele: Non reference allele (non coded allele)
- Beta: Overall estimated effect size for the effect allele
- SE: Overall standard error for effect size estimate
- Pvalue: Meta-analysis Pvalue using regression coefficients (beta and standard error)

curl https://ftp.ebi.ac.uk/pub/databases/gwas/summary\_statistics/GCST007001-GCST008000/GCS

# MungeSumstats

The MungeSumstats package is designed to facilitate the standardisation of GWAS summary statistics.

```
library(tidyverse)
-- Attaching core tidyverse packages ----- tidyverse 2.0.0 --
v dplyr 1.1.3
                    v readr 2.1.4
v forcats 1.0.0 v stringr 1.5.0
v ggplot2 3.4.4 v tibble 3.2.1
v lubridate 1.9.3
                  v tidyr 1.3.0
v purrr
          1.0.2
-- Conflicts ----- tidyverse conflicts() --
x dplyr::filter() masks stats::filter()
x dplyr::lag() masks stats::lag()
i Use the conflicted package (<a href="http://conflicted.r-lib.org/">http://conflicted.r-lib.org/</a>) to force all conflicts to become
  load.raw <- read_table('resources/Kunkle_etal_Stage1_results.txt')</pre>
  load <- load.raw %>%
    filter(nchar(Effect_allele) == 1 & nchar(Non_Effect_allele) == 1) %>%
    mutate(
      Ncaas = 21982,
      Nctrl = 41944,
      N = 63926
  reformatted <-
    MungeSumstats::format_sumstats(path=load,
                                   ref_genome="GRCh37",
                                   dbSNP = 144,
                                   return_data = TRUE
                                   ) %>%
    as_tibble()
  write_tsv(reformatted, 'work/Kunkle2019load.tsv.gz')
```

#### Manhattan Plot

# **Genetic Ancestry**

# **Prinicpal Component Analysis**

```
#| eval: false
plink \
    --keep-allele-order \
    --bfile resources/genetic_epi/imputed_1kG_merged \
    --fam resources/genetic_epi/imputed_1kG_merged_fixed.fam \
    --pca 10 \
    --within resources/genetic_epi/1kG_pops.txt \
    --pca-clusters resources/genetic_epi/1kG_pops_unique.txt \
    --out work/imputed_1kG_merged
```

### **ADMIXTURE**

```
#| eval: false
admixture -P -s 42 habshd_merged_gnomad-hgdp-1kg.hg38.bed 12 -j1
```

# Part III Polygenic Risk Scores

# 3 Polygenic Risk Scores

This is a book created from markdown and executable code.

 $\mathrm{See^{13}}$  for additional discussion of literate programming.

# Part IV Mendelian Randomization

# 4 Mendelian Randomization

This is a book created from markdown and executable code.  ${\rm See^{13}} \ {\rm for \ additional \ discussion \ of \ literate \ programming}.$ 

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