

## Investor Questionnaire

This questionnaire is designed to help RedSwan know our clients' level of investment experience, interests, risk tolerance and objectives. Please answer the questions on this form to the best of your abilities. Thank you and we look forward to working with you and helping you make the most of your blockchain powered CRE digital assets investments.

*(All references to dollars in this questionnaire refer to the United States Dollar.)*

Name of individual (or entity representative): \_\_\_\_\_

Name of entity (for corporate, trust, institutional, partnership, etc., investors): \_\_\_\_\_

1. What minimum investment size are you most interested in on a per deal basis? Select one.  
☐ Under \$100K  
☐ \$100K - \$500K  
☐ \$500K - \$1M  
☐ \$1M - \$5M  
☐ \$5M and above
2. What asset types have you invested in the past? Select as many answers as you would like.  
☐ Equities  
☐ Debt/Fixed Income  
☐ Derivatives (e.g.- options, futures)  
☐ Limited Partnership Interests  
☐ Real Estate  
☐ Digital Assets (e.g.- Bitcoin, Cryptocurrencies)  
☐ Other \_\_\_\_\_  
☐ None of the above
3. **Risk Tolerance.** Please indicate which of the following most closely matches your investment risk tolerance level. Select one.  
☐ **Conservative:** I want to preserve my initial investment, with minimum risk, even if that means the investments do not generate significant income or returns and may not keep pace with inflation.  
☐ **Moderately Conservative:** I am willing to accept a low risk to my initial investment, including low volatility, to seek a modest level of return on my investment.  
☐ **Moderate:** I am willing to accept some risk to my initial investment and tolerate some volatility to seek higher returns and understand I could lose a portion of the money invested.  
☐ **Moderately Aggressive:** I am willing to accept high risk to my initial investment, including high volatility, to seek high returns over time, and understand I could lose a substantial amount of the money invested.  
☐ **Significant Risk:** I am willing to accept maximum risk to my initial investment to aggressively seek maximum returns, and understand I could lose most, or all, of the money invested.

4. **Investment Objectives.** Please indicate which of the following most closely matches your investment objectives. Select as many as appropriate.

<input type="checkbox"/> Generate income	<input type="checkbox"/> Partially fund retirement
<input type="checkbox"/> Wholly fund retirement	<input type="checkbox"/> Capital appreciation
<input type="checkbox"/> Preservation of capital	<input type="checkbox"/> Pay for education
<input type="checkbox"/> Pay for a house	<input type="checkbox"/> Market speculation

5. **Marital Status (for Individual investors):**

☐ Single  
☐ Married  
☐ Domestic Partner  
☐ Divorced  
☐ Widowed

6. **Employment Status (for Individual investors):**

☐ Employed  
☐ Self-Employed  
☐ Not Employed  
☐ Retired  
☐ Student

7. **Annual Income (for Individual investors):**

☐ Under \$200,000  
☐ \$200,001 - \$500,000  
☐ Over \$500K

8. **Total Net Worth, including residence (for Individual investors):**

☐ Under \$250,000  
☐ \$250,001 - \$500,000  
☐ \$500,001 - \$1,000,000  
☐ \$1,000,001 - \$3,000,000  
☐ Over \$3,000,000

9. **Liquid Net Worth (for Individual investors):**

☐ Under \$250,000  
☐ \$250,001 - \$500,000  
☐ \$500,001 - \$1,000,000  
☐ \$1,000,001 - \$3,000,000  
☐ Over \$3,000,000

10. **Annual Expenses (for Individual investors):**

☐ \$50,000 and under  
☐ \$50,001 - \$100,000  
☐ \$100,001 - \$250,000  
☐ \$250,001 - \$500,000  
☐ Over \$500,000

11. How will you fund your investments? Select as many as appropriate. (for Individual investors):

- |  |   |
|--|---|
| <input type="checkbox"/> Income                        | <input type="checkbox"/> Insurance payout           |
| <input type="checkbox"/> Pension or retirement savings | <input type="checkbox"/> Inheritance                |
| <input type="checkbox"/> Funds from another source     | <input type="checkbox"/> Social Security benefits   |
| <input type="checkbox"/> Gift                          | <input type="checkbox"/> Home equity line of credit |
| <input type="checkbox"/> Sale of business or property  | <input type="checkbox"/> Other: _____               |

12. **Decision-Making.** Select all that apply.

- ☐ I consult with my broker, investment advisor, accountant, or other financial professional.
- ☐ I generally make my own investment decisions and/or consult with colleagues.
- ☐ I discuss investment decisions with my family and/or friends.

13. Have you previously invested in illiquid, alternative investments?

- ☐ Yes      ☐ No

14. Are you a director, 10% shareholder, or a senior officer of a publicly traded company?

- ☐ Yes      ☐ No

If "Yes", provide stock symbol of company: \_\_\_\_\_

15. Are you employed by or associated with a member of either a stock exchange or FINRA?

- ☐ Yes      ☐ No

If "Yes", provide name of firm: \_\_\_\_\_