### Introduction to Neural Machine Translation

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# Outline

Goal: Understand how Google Translate worked circa 2015.

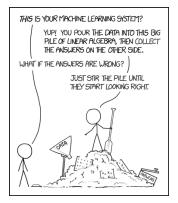
- 1 Introduction
- 2 Preliminaries
- 3 Encoder-Decoder Recurrent Neural Networks
- 4 f(Final Remarks) = Remarques finales

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- CS fourth year, Math third year
- Interests in language processing and machine learning.
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  - Dr. Bridget McInnes VCU NLP Lab
  - Dr. Bartosz Krawczyk VCU ML and Datastream Mining Lab



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#### What this talk is not:

- Exhaustive.
- A demonstration of state-of-the-art techniques (2014).
- Consistent with transposition of matrices (readability).

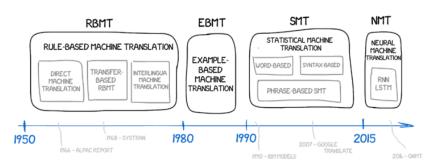
# Talk Pro Tips

- Stop me for questions. If something is unclear to you, then it is surely unclear to someone else.
- It's alright to get more food in the middle of the talk.

### **Problem**

Given a text in language  $L_1$  output a text in language  $L_2$  that humans concede captures the same semantic meaning, obeys language grammar rules and is useful.

#### A BRIEF HISTORY OF MACHINE TRANSLATION

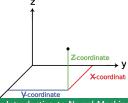


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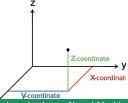
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  - Ex. the  $\in$  { $x : x \in$  English lexicon}, d = number of words in English lexicon.

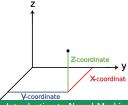
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  - **Ex. One hot**:  $\vec{the} = (1,0,0,0,0) \in \mathbb{R}^5$  (d=5)



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    - Vocabularies are large  $d \gg 0$ . One hot has large memory footprint.
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    - One hot assumes no relationship between tokens (ie. they form a basis in  $\mathbb{R}^d$ ).
  - A hot research area in NLP:
    - How can we incorporate context when representing a token?



- Token sequence:
  - [ the, girl, walks, the, dog, . ] =  $[x_1, x_2, x_3, x_4, x_5, x_6]$
  - Observation: Text is just a sequence of tokens!

# the girl walks the dog.

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$$[\vec{\mathsf{the}},\vec{\mathsf{girl}},\vec{\mathsf{walks}},\vec{\mathsf{the}},\vec{\mathsf{dog}},\vec{\;\cdot\;}] = [\vec{\mathsf{x}}_1,\,\vec{\mathsf{x}}_2,\,\vec{\mathsf{x}}_3,\,\vec{\mathsf{x}}_4,\,\vec{\mathsf{x}}_5,\,\vec{\mathsf{x}}_6]$$

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[ the, girl, walks, the, dog, 
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- Ex. Our sequence of 6 tokens with a one-hot encoding (assume d = 5) yields a matrix  $\mathbb{R}^{6 \times 5}$

$$[\vec{\mathsf{the}},\vec{\mathsf{girl}},\vec{\mathsf{walks}},\vec{\mathsf{the}},\vec{\mathsf{dog}},\vec{\cdot}] = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

### Definitions and Notation: Translation

the girl walks the dog.  $\rightarrow$  la fille promène le chien.

#### We now have the tools to be precise:

■ Translation: Given languages  $L_1, L_2$  with lexicons  $V_1, V_2$  a translation is a function  $f: \mathbb{R}^{T \times d} \to \mathbb{R}^{T' \times d}$  mapping a length T sequence of tokens over  $V_1$  to a length T' sequence of tokens over  $V_2$ .

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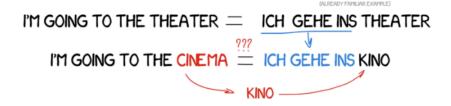
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$$\{(x)^i, (y)^i : i \in \{1, ..., n\}\}$$

#### Almost there!

How do we estimate such a model? What properties should it possess?

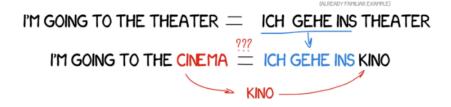
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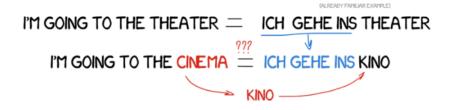
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### Almost there!

How do we estimate such a model? What properties should it possess?

- Independent of  $L_1, L_2$ .
- Capable of handling translations that require the generation of both short and long sequences.
- Be able to translate input sequences un-seen during creation (training). This means generalize!



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Idea: Consider translation as estimating a conditional distributional!

Assume the output of a translation  $Y = (y)_{T'}$  is a random variable conditioned on the input  $X = (x)_{T}$ :

$$p(Y|X) = p(y_1, y_2, ..., y_{T'}|x_1, x_2, ..., x_T)$$

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Why conditional estimation? Why can we factorize?

#### Framework cont.

■ For a given language pair how do we estimate the distribution:

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- By making a mountain of assumptions.
  - 1 p can be parameterized by a recurrent neural network.
  - 2 Our sequences are appropriately pre-processed.
    - Special tokens are added to vocabulary indicating end of sentences.
    - Vocabulary is shrunk down (lower casing inputs, etc).

Ex. the, girl, walked, the, dog, .  $\rightarrow$  the, girl, walked, the, dog, ., <EOS>

•

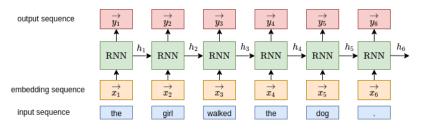
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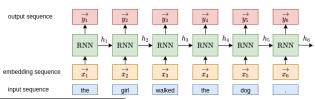


$$\mathrm{RNN}(x_t,h_{t-1})=y_t$$

 At each time-step (token embedding!), the affine-linear<sup>1</sup> transformations

$$ec{h}_t = anh\left(W_1^{h imes d} ec{x}_t + W_2^{h imes h} ec{h}_{t-1}
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  $ec{y}_t = W_3^{y imes h} ec{h}_t$ 

are applied followed by a differentiable non-linearity.



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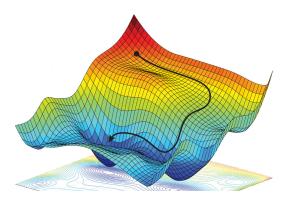
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- $W_1, W_2, W_3$  are each just a single layer of perceptrons!
- W<sub>i</sub> can be adjusted (trained!) to satisfy some objective via modified form of gradient descent (back-propagation through time).

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#### Gradient what?

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  - What is our objective? Find set of  $W_i$  for each RNN (parameters  $\theta$ ) such that

$$\max_{\theta} \quad p(Y|X) = \max_{\theta} \quad \prod_{t=1}^{T'} p(y_t|x_1, x_2, ..., x_T; y_1, ..., y_{t-1})$$

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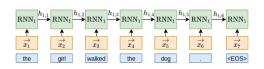
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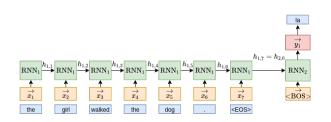
• Why? Given new X we can auto-regressively decode Y by sampling p with tokens from  $V_2$ !

#### Idea:

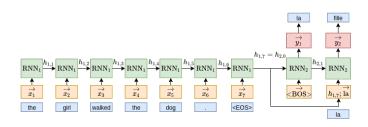
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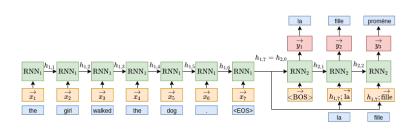
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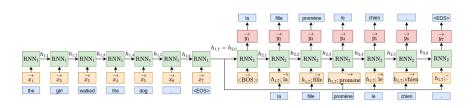
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### **Encoder-Decoder RNN Training**

Encoder-Decoder RNN's parameterize our factorized distribution<sup>1</sup>!

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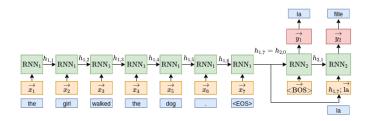


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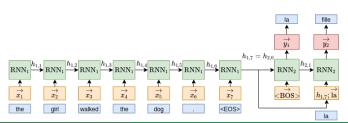
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### Encoder-Decoder RNN Training/Inference

■ During each decoding time step, p (our coupled RNN's) estimates the probability of each token in  $V_2$  conditioned on our previous translated tokens and input sequence.

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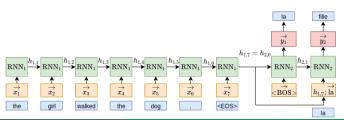


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  - BLEU score:  $\sim$  34.8 (current SOTA sits at  $\sim$  45)
    - BLEU (Bilingual Evaluation Understudy) is a metric assessing MT performance with high correlation to human judgement.

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    - LSTM Long Short Term Memory RNN
- Reversing translated sentences during training/inference yields large performance gains.

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  - In practice, a RNN cell with more parameters and differing connections, the LSTM, is utilized.
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- And finally ... as of 2017 top performing MT models **do not** use recurrent neural networks!
  - Same encoder-decoder framework holds, but non-recurrent seq2seq based neural network architectures now prevail. Why?
    - Transformer

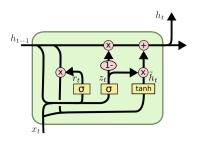
#### Conclusion

# Thank you for your attention! Questions?

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### Supplement: LSTM



$$z_{t} = \sigma (W_{z} \cdot [h_{t-1}, x_{t}])$$

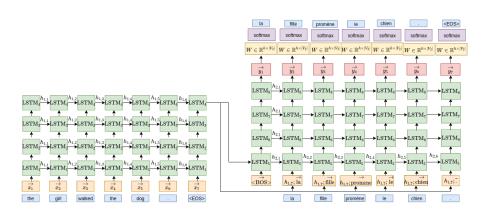
$$r_{t} = \sigma (W_{r} \cdot [h_{t-1}, x_{t}])$$

$$\tilde{h}_{t} = \tanh (W \cdot [r_{t} * h_{t-1}, x_{t}])$$

$$h_{t} = (1 - z_{t}) * h_{t-1} + z_{t} * \tilde{h}_{t}$$

# Supplement: Actual Architecture (Sutskever, 2014)

- I did say 340M weights right?
- Note that this is really during inference during training we need need to incorporate our objective!



### Supplement: Decoding at Inference

- But decoding is difficult too (recalled p is but an estimate)!
- Usually several most likely next translations are explored and pruned in a tree like fashion:
  - Beam Search