

Introduction to Neural Machine Translation

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Goal: Understand how Google Translate worked circa 2015.

- 1 Introduction
- 2 Preliminaries
- 3 Encoder-Decoder Recurrent Neural Networks
- 4 $f(\text{Final Remarks}) = \text{Remarques finales}$

My Background

- CS fourth year, Math third year
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 - Dr. Bridget McInnes - VCU NLP Lab
 - Dr. Bartosz Krawczyk - VCU ML and Datastream Mining Lab



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What this talk is not:

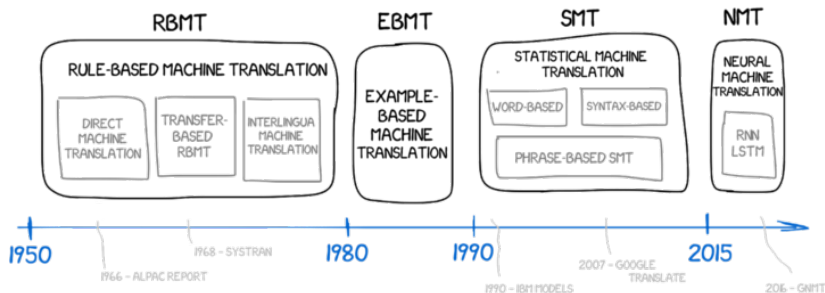
- Exhaustive.
- A demonstration of state-of-the-art techniques (2014).
- Consistent with transposition of matrices (readability).

- Stop me for questions. If something is unclear to you, then it is surely unclear to someone else.
- It's alright to get more food in the middle of the talk.

Problem

Given a text in language L_1 output a text in language L_2 that humans concede captures the same semantic meaning, obeys language grammar rules and is useful.

A BRIEF HISTORY OF MACHINE TRANSLATION



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Definitions and Notation: Representing Words

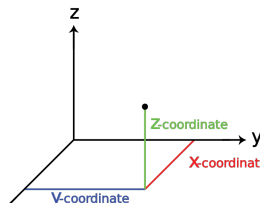
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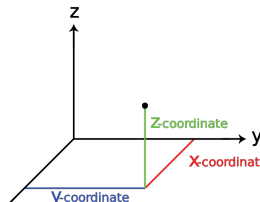
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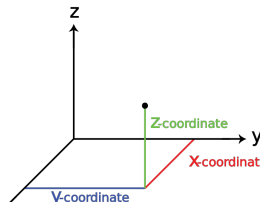
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 - Observations:
 - Vocabularies are large $d \gg 0$. One hot has large memory footprint.
 - One hot assumes no relationship between tokens (ie. they form a basis in \mathbb{R}^d).
 - A hot research area in NLP:
 - How can we incorporate context when representing a token?



Definitions and Notation: Text

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- Observation: A text document comprising n tokens can be represented as a "matrix" $\mathbb{R}^{n \times d}$ where d is vocab size.
- Ex. Our sequence of 6 tokens with a one-hot encoding (assume $d = 5$) yields a matrix $\mathbb{R}^{6 \times 5}$

$$[\vec{\text{the}}, \vec{\text{girl}}, \vec{\text{walks}}, \vec{\text{the}}, \vec{\text{dog}}, \vec{.}] = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

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the girl walks the dog. \rightarrow la fille promène le chien.

We now have the tools to be precise:

- **Translation:** Given languages L_1, L_2 with lexicons V_1, V_2 a translation is a function $f : \mathbb{R}^{T \times d} \rightarrow \mathbb{R}^{T' \times d}$ mapping a length T sequence of tokens over V_1 to a length T' sequence of tokens over V_2 .

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$$\{(x)^i, (y)^i : i \in \{1, \dots, n\}\}$$

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How do we estimate such a model? What properties should it possess?

- Independent of L_1, L_2 .
- Capable of handling translations that require the generation of both short and long sequences.
- Be able to translate input sequences **un-seen** during creation (training). This means **generalize**!



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Idea: Consider translation as estimating a conditional distributional!

- Assume the output of a translation $Y = (y)_{T'}$ is a random variable conditioned on the input $X = (x)_T$:

$$p(Y|X) = p(y_1, y_2, \dots, y_{T'} | x_1, x_2, \dots, x_T)$$

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- Why conditional estimation? Why can we factorize?

- For a given language pair how do we estimate the distribution:

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- 1 p can be parameterized by a recurrent neural network.
- 2 Our sequences are appropriately pre-processed.
 - Special tokens are added to vocabulary indicating end of sentences.
 - Vocabulary is shrunk down (lower casing inputs, etc).

Ex. the, girl, walked, the, dog, . \rightarrow the, girl, walked, the, dog, .,
 <EOS>

Recurrent Neural Networks (high level)

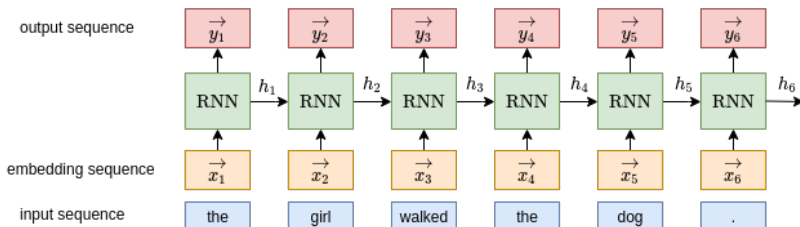
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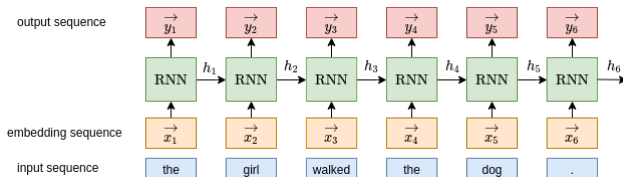
$$\text{RNN}(x_t, h_{t-1}) = y_t$$

- At each time-step (token embedding!), the affine-linear¹ transformations

$$\vec{h}_t = \tanh \left(W_1^{h \times d} \vec{x}_t + W_2^{h \times h} \vec{h}_{t-1} \right)$$

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are applied followed by a differentiable non-linearity.



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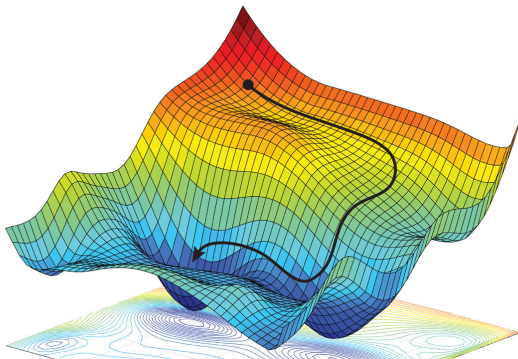
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- W_1, W_2, W_3 are each just a single layer of perceptrons!
- W_i can be adjusted (trained!) to satisfy some objective via modified form of gradient descent (back-propagation through time).

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Gradient what?

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 - What is our objective? Find set of W_i for each RNN (parameters θ) such that

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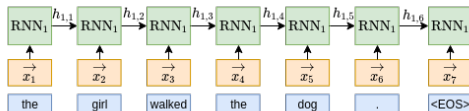
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- Why?
Given new X we can auto-regressively decode Y by sampling p with tokens from V_2 !

Encoder-Decoder RNN's

Idea:

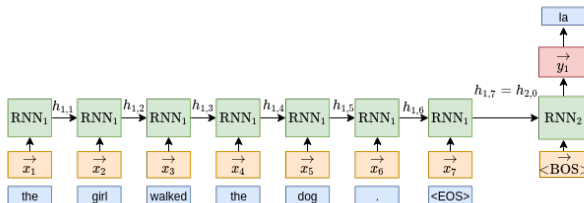
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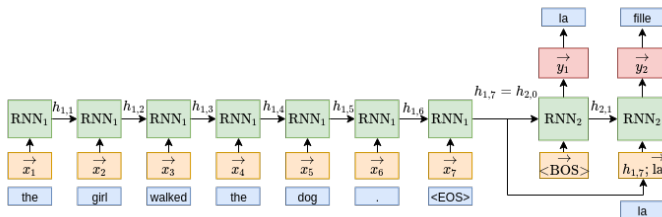
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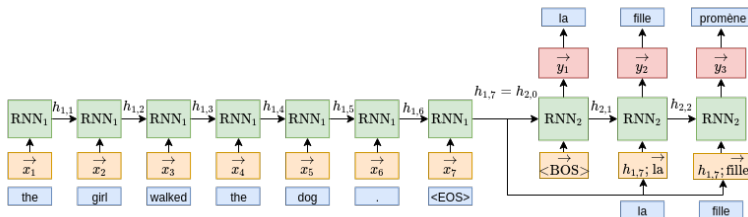
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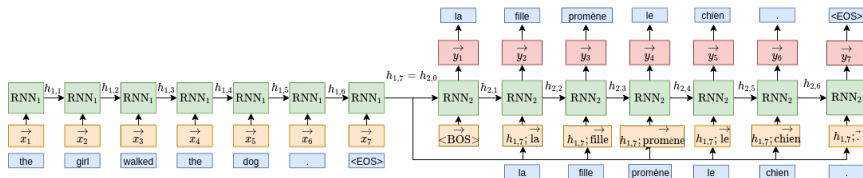
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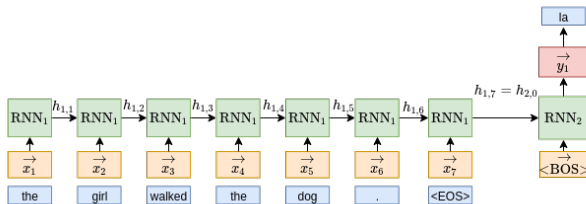
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Encoder-Decoder RNN Training

- Encoder-Decoder RNN's parameterize our factorized distribution¹!

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$$= p(y_1 | X) \cdot \dots$$

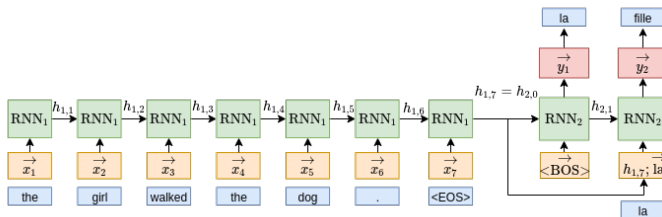


¹With appropriate output constraints.

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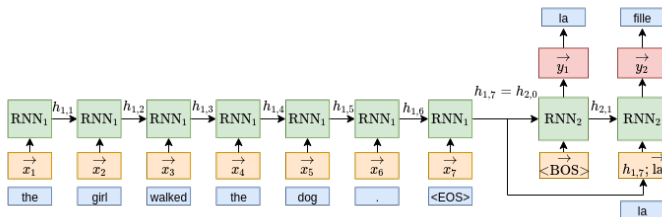
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Encoder-Decoder RNN Training/Inference

- During each decoding time step, p (our coupled RNN's) estimates the probability of each token in V_2 conditioned on our previous translated tokens and input sequence.

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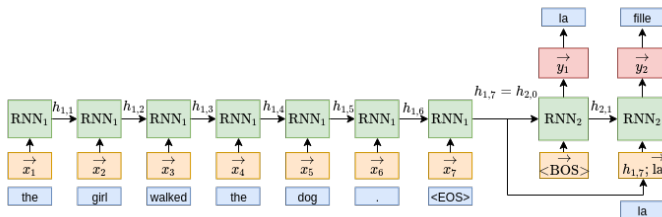


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 - BLEU score: ~ 34.8 (current SOTA sits at ~ 45)
 - BLEU (Bilingual Evaluation Understudy) is a metric assessing MT performance with high correlation to human judgement.

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- Reversing translated sentences during training/inference yields large performance gains.

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 - In practice, a RNN cell with more parameters and differing connections, the **LSTM**, is utilized.
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 - Boosts training and inference time by turning 120k token vocabularies into 30k tokens.

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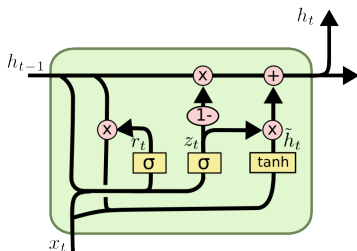
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- And finally ... as of 2017 top performing MT models **do not** use recurrent neural networks!
 - Same encoder-decoder framework holds, but non-recurrent seq2seq based neural network architectures now prevail. Why?
 - Transformer

Thank you for your attention!
Questions?

Link to slides
<https://bit.ly/33nykuh>

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Supplement: LSTM



$$z_t = \sigma(W_z \cdot [h_{t-1}, x_t])$$

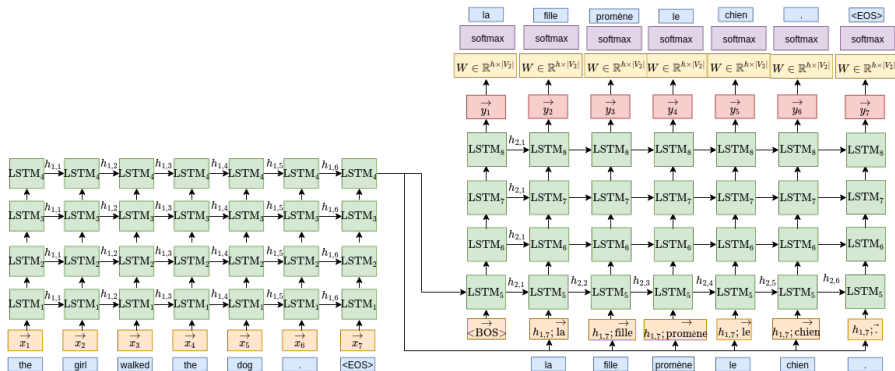
$$r_t = \sigma(W_r \cdot [h_{t-1}, x_t])$$

$$\tilde{h}_t = \tanh(W \cdot [r_t * h_{t-1}, x_t])$$

$$h_t = (1 - z_t) * h_{t-1} + z_t * \tilde{h}_t$$

Supplement: Actual Architecture (Sutskever, 2014)

- I did say 340M weights right?
- Note that this is really during inference - during training we need need to incorporate our objective!



- But decoding is difficult too (recalled p is but an estimate)!
- Usually several most likely next translations are explored and pruned in a tree like fashion:
 - **Beam Search**