



# ProtoDUNE Test Beam Hierarchy Performance

**Steven Green on behalf of the Pandora Team**

**24th September 2019**

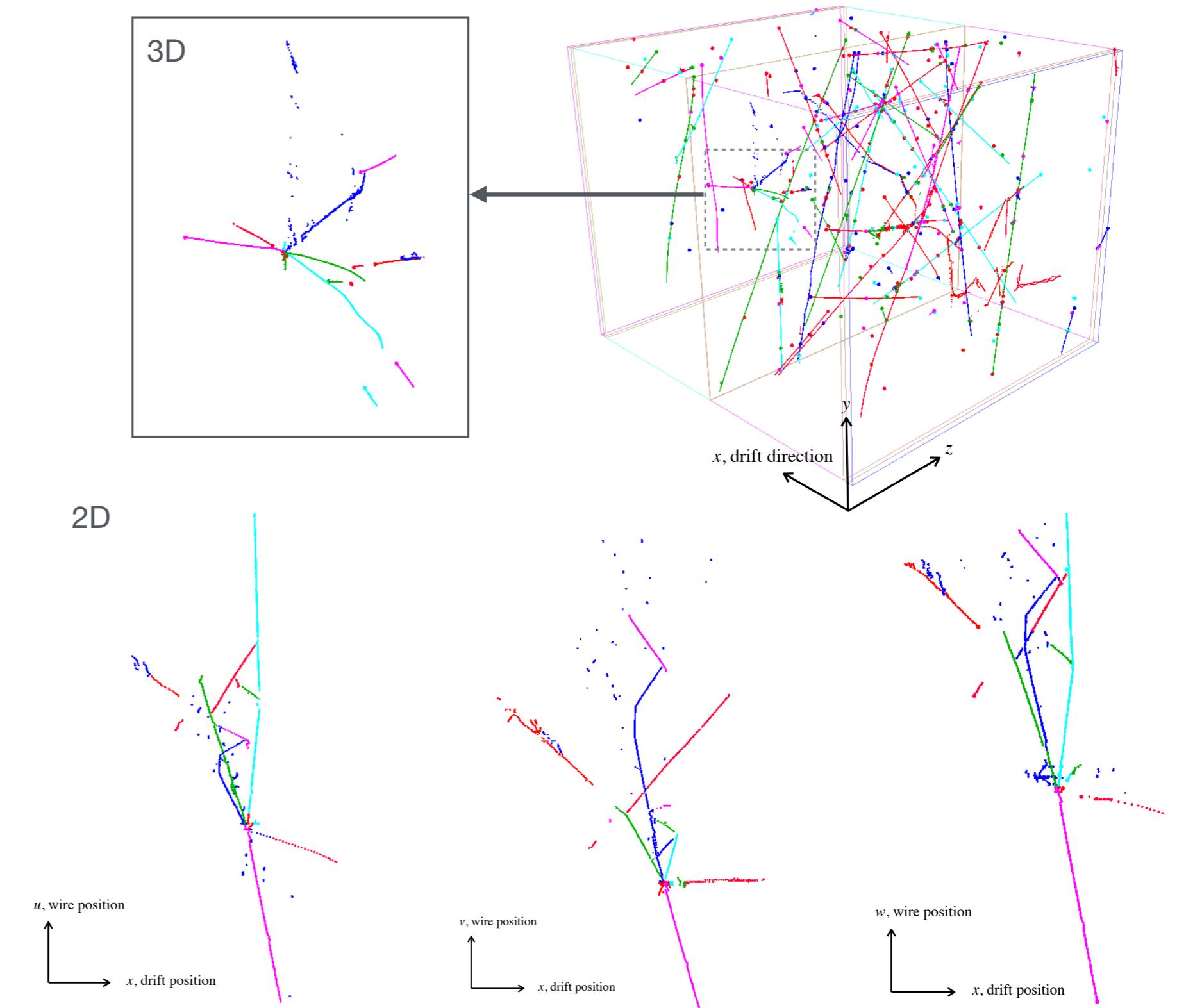


# Test Beam (Hierarchy) Particles

DUNE

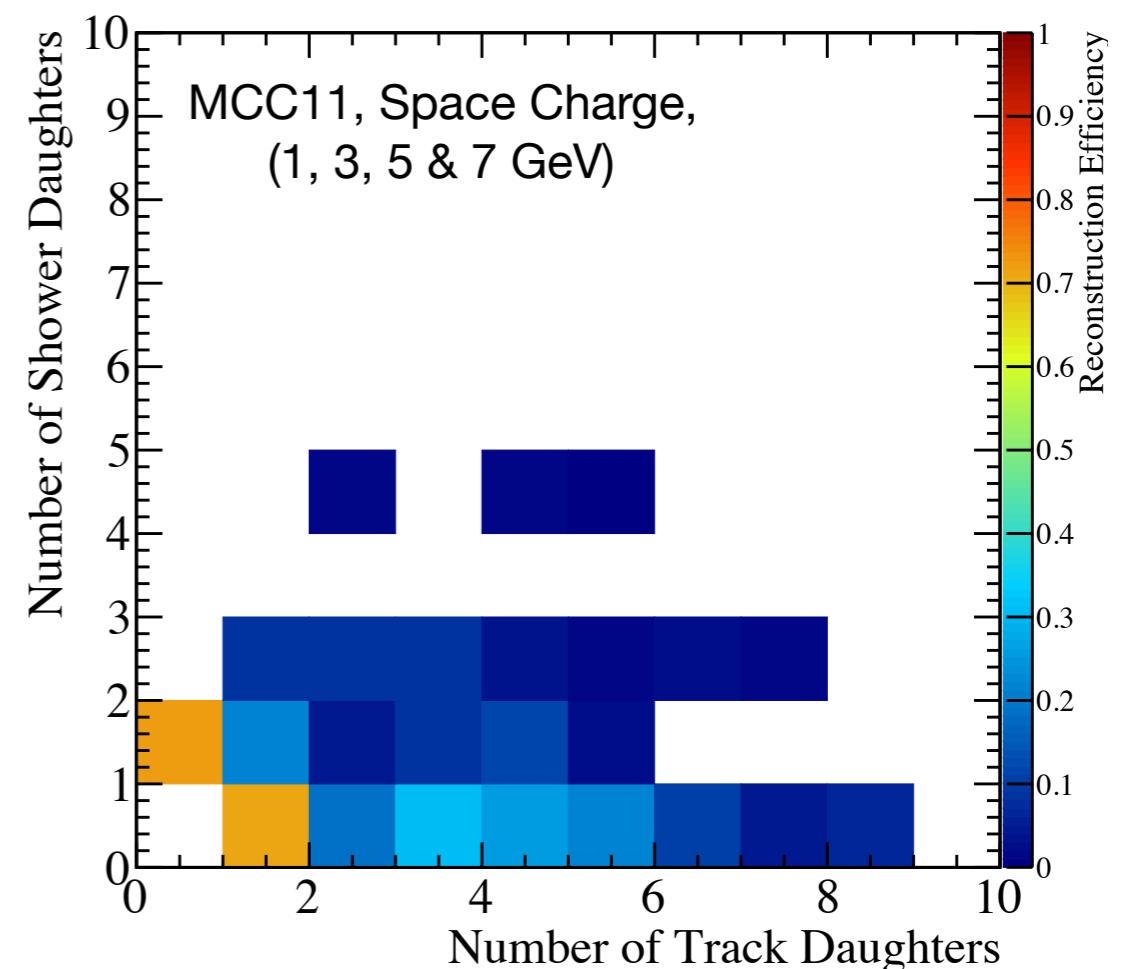
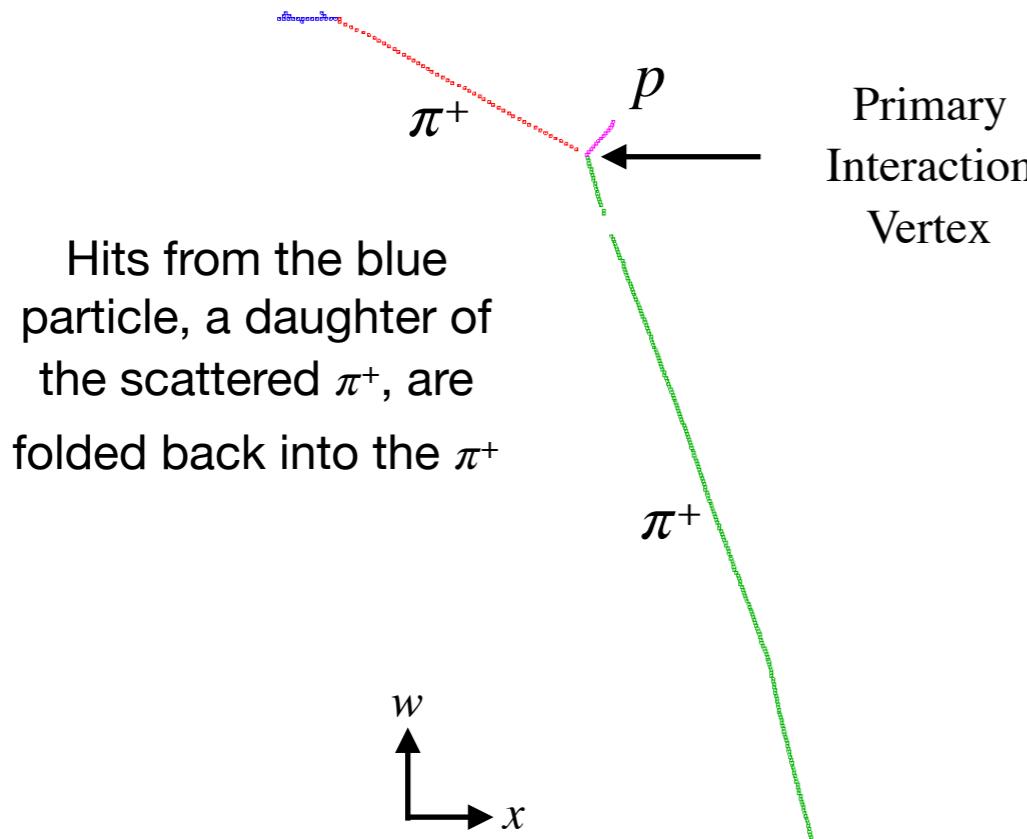
Aim:

- Go into more detail on the Pandora ProtoDUNE hierarchy reconstruction efficiencies shown previously.
- Demonstrate a first attempt at incorporating deep learning into the Pandora reconstruction.



MCC11 Reconstructed Event with correctly tagged test beam particle

- The reconstructed particle hierarchy metric is very strict:
  - Every target MC particle in the event (*including the parent*) must to be reconstructed and matched to a single reconstructed particle.
  - Each particle has to be identified as a test beam particle and not a cosmic-ray muon.
  - The reconstructed particles must belong to the same hierarchy i.e. not split.



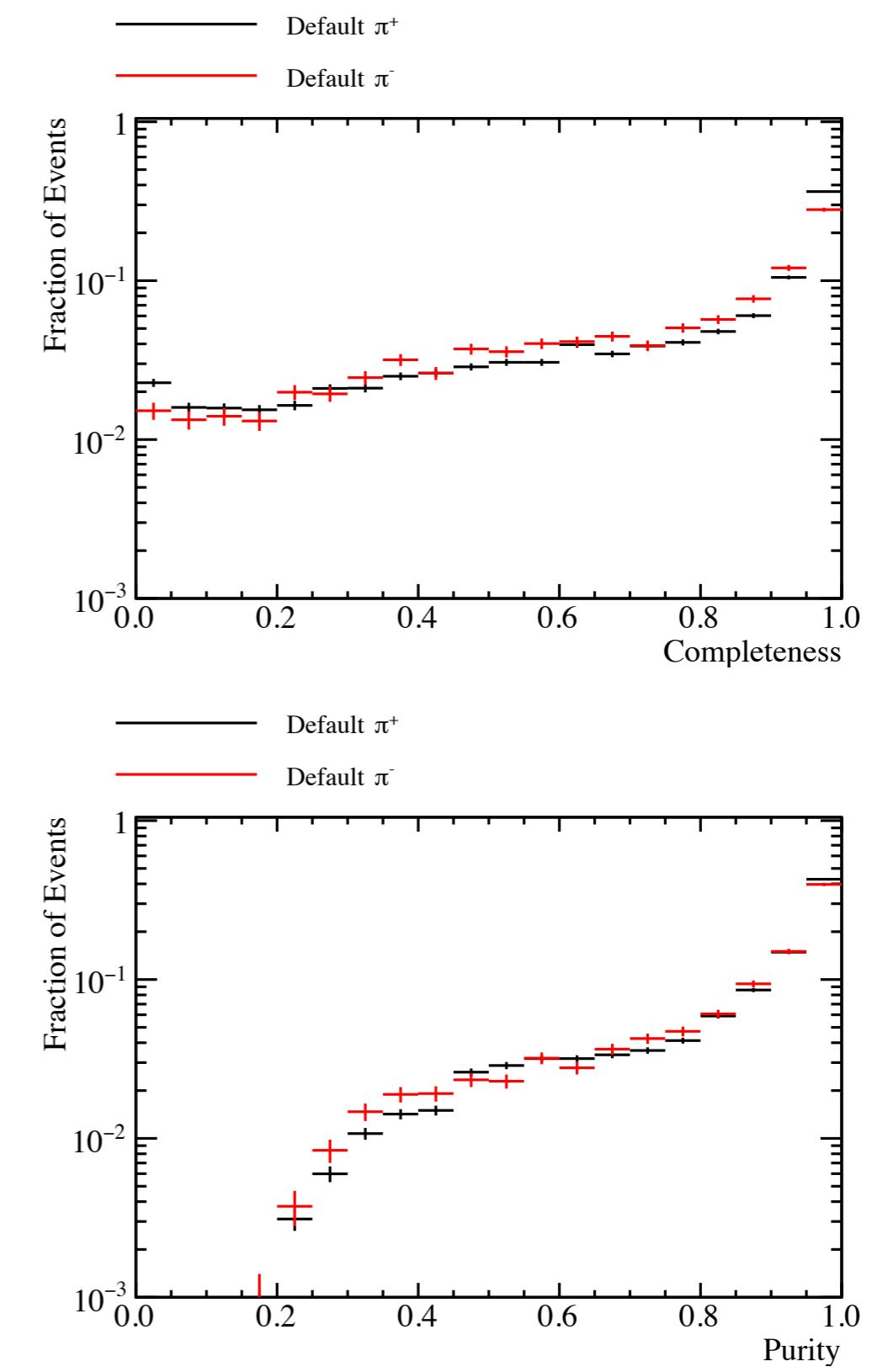
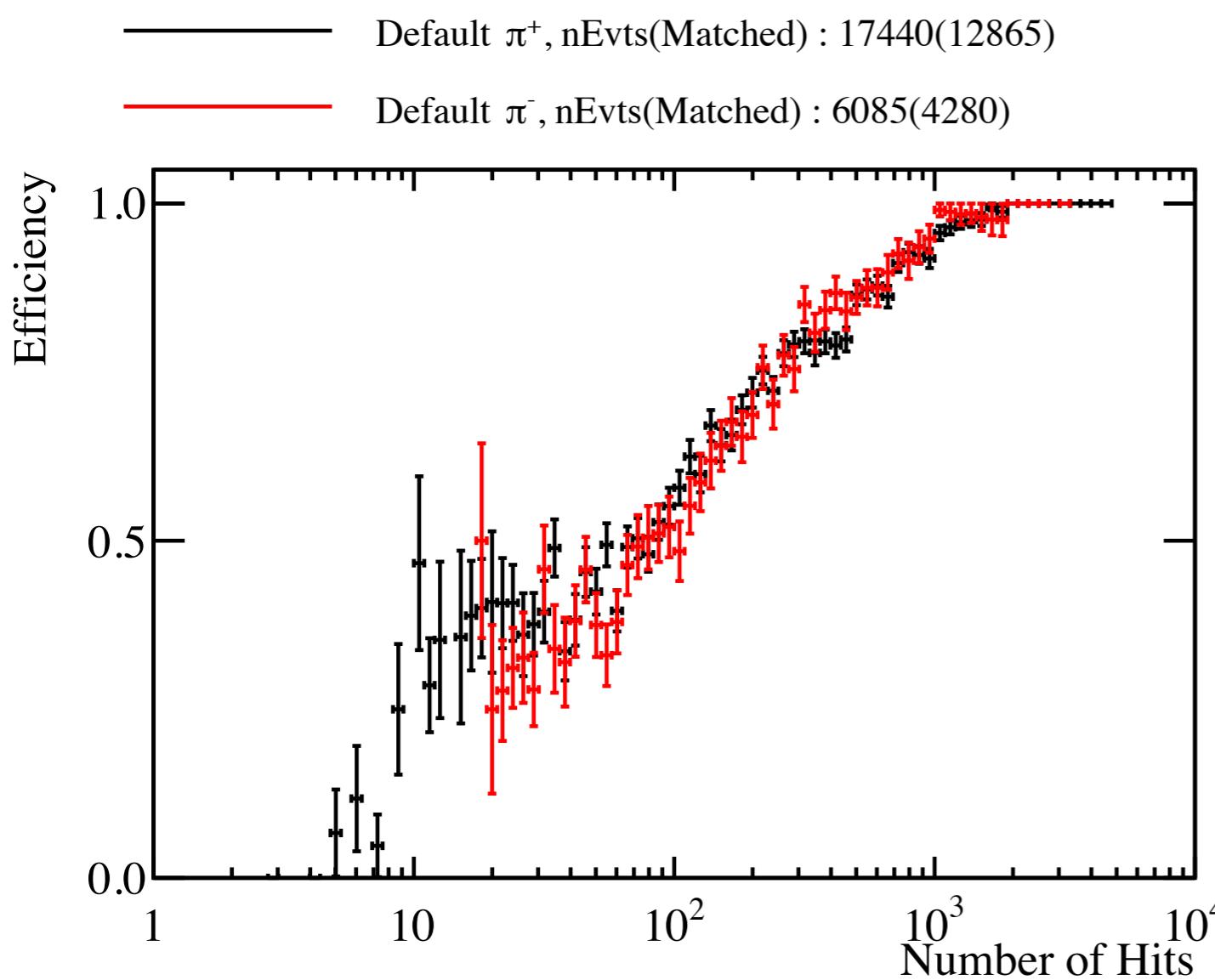
Particle	MC Hits	Reco Hits	Shared Hits
<i>Parent : <math>\pi^+</math></i>	674 (259, 159, 246)	670 (265, 160, 245)	668 (265, 158, 245)
<i>Scattered: <math>\pi^+</math></i>	168 (58, 43, 67)	168 (58, 43, 67)	168 (58, 43, 67)
<i>p</i>	34 (8, 13, 13)	35 (11, 11, 13)	32 (8, 11, 13)



# Test Beam Hierarchy : Pions



- The previous metric considers all particles in the interaction, but we can also look at individual particle types.
- Typically good reconstruction efficiency for tracks, especially for tracks with large numbers of hits.

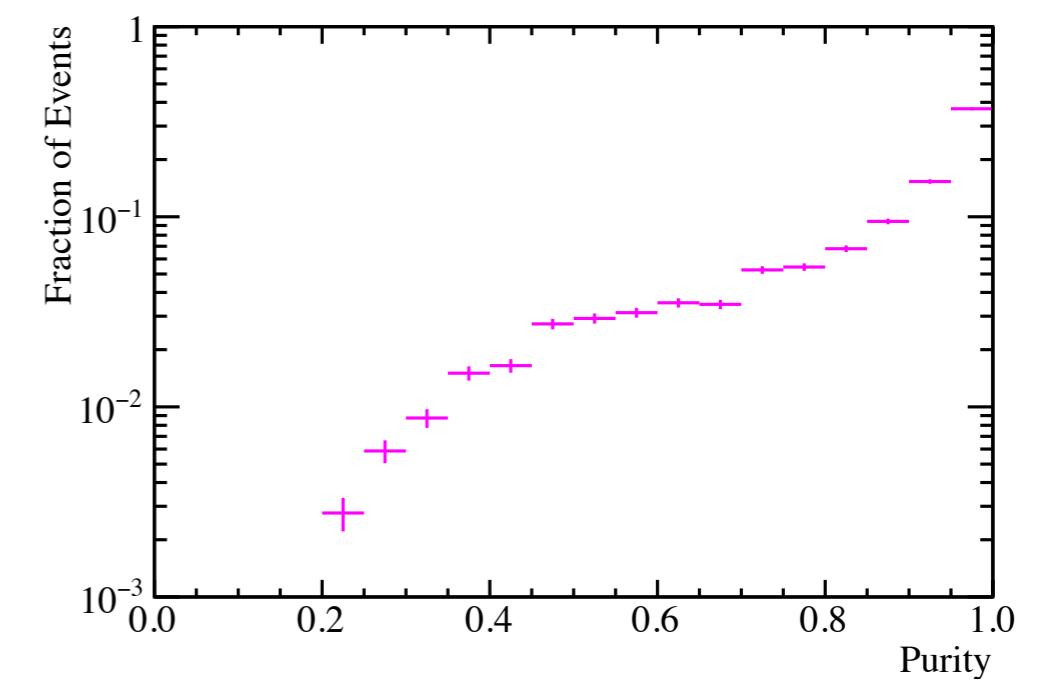
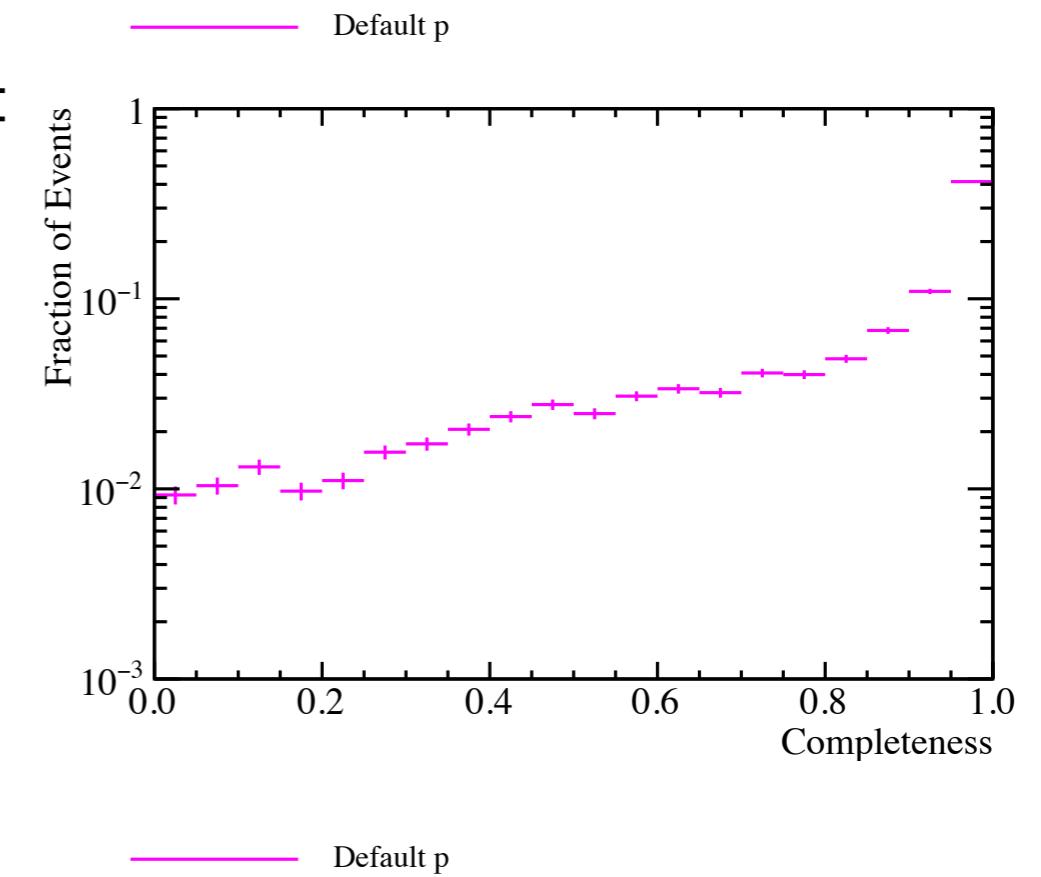
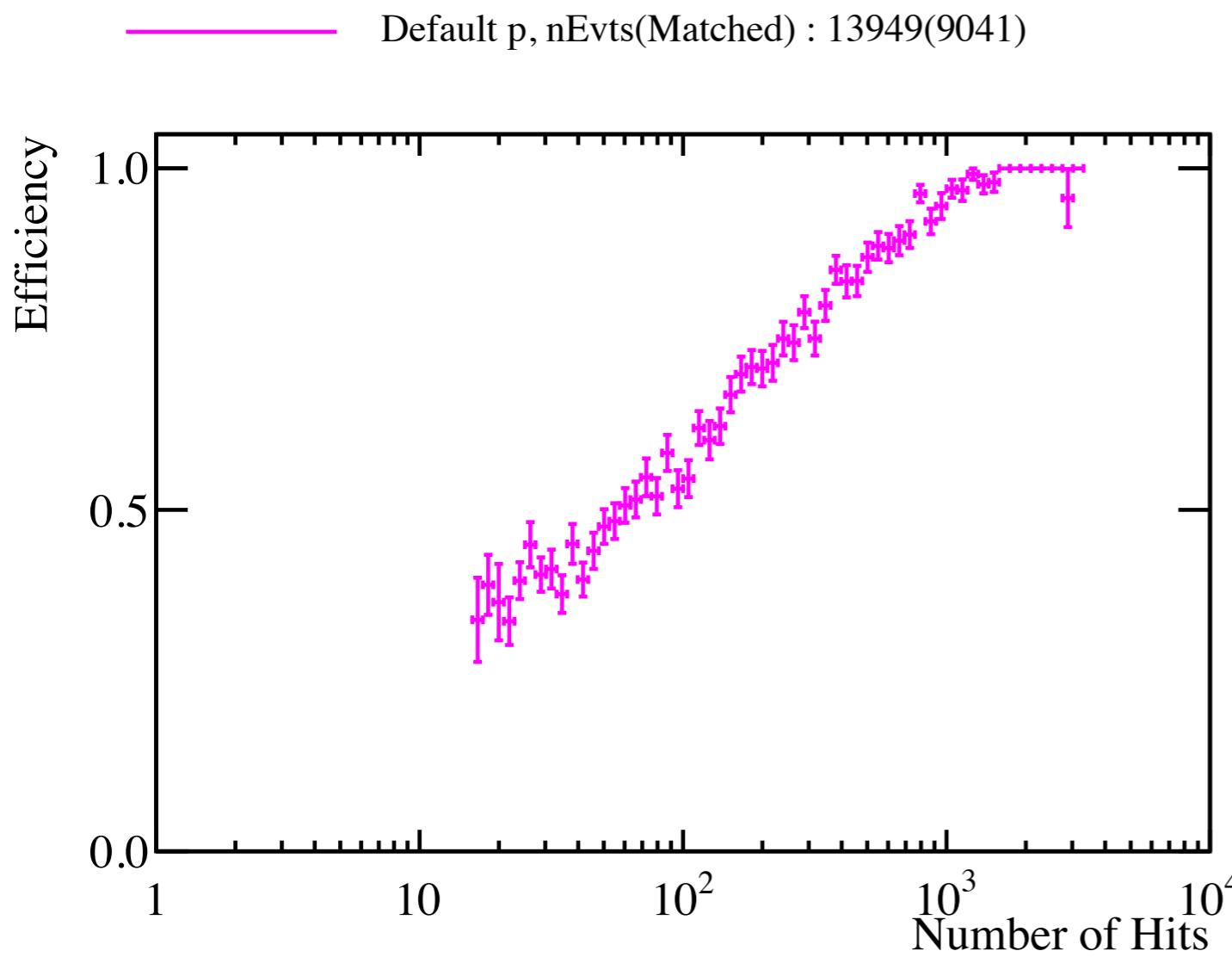




# Test Beam Hierarchy : Protons



- Proton reconstruction hierarchy metrics mirror that seen for charged pions as both species are track like.

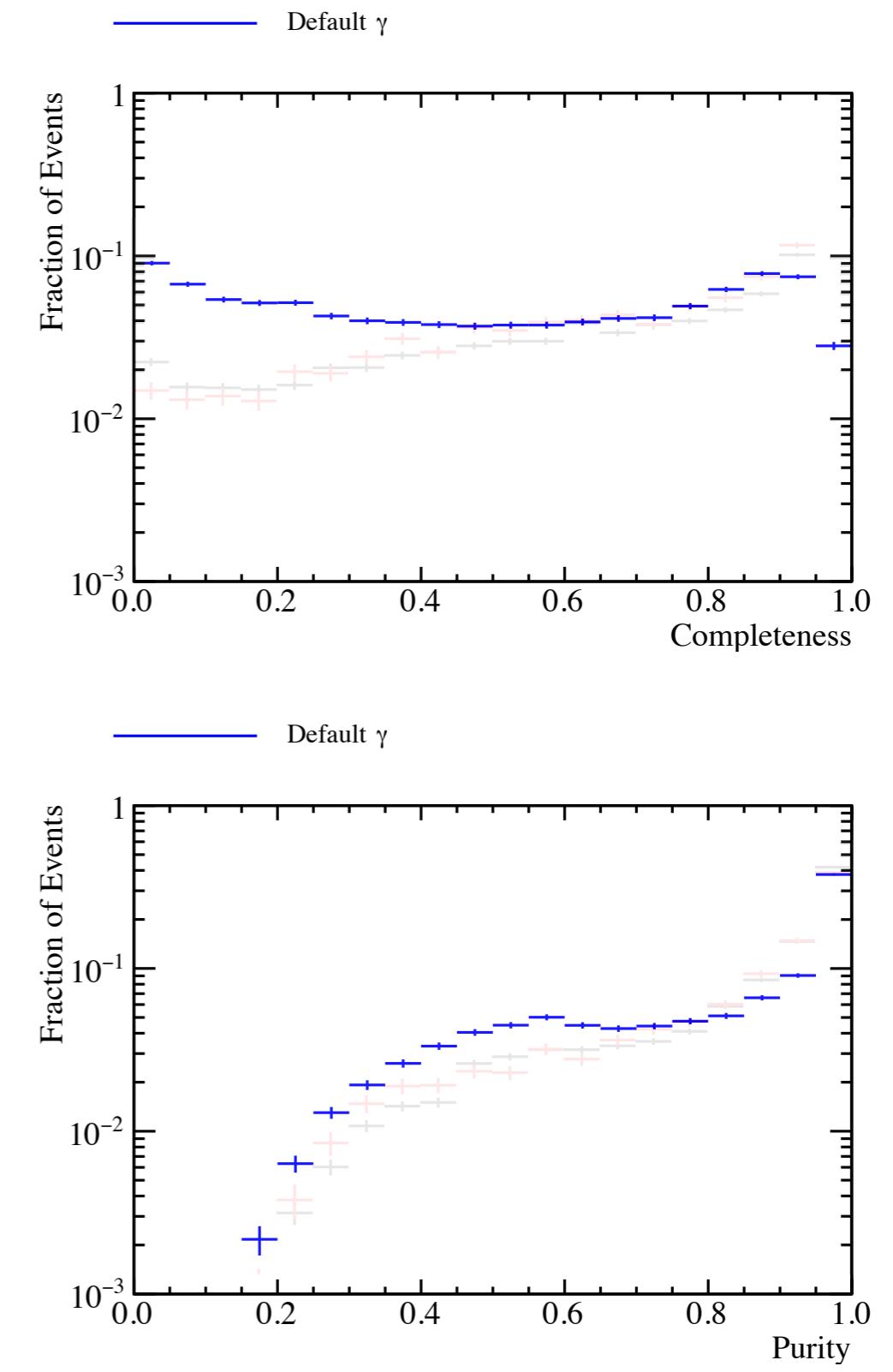
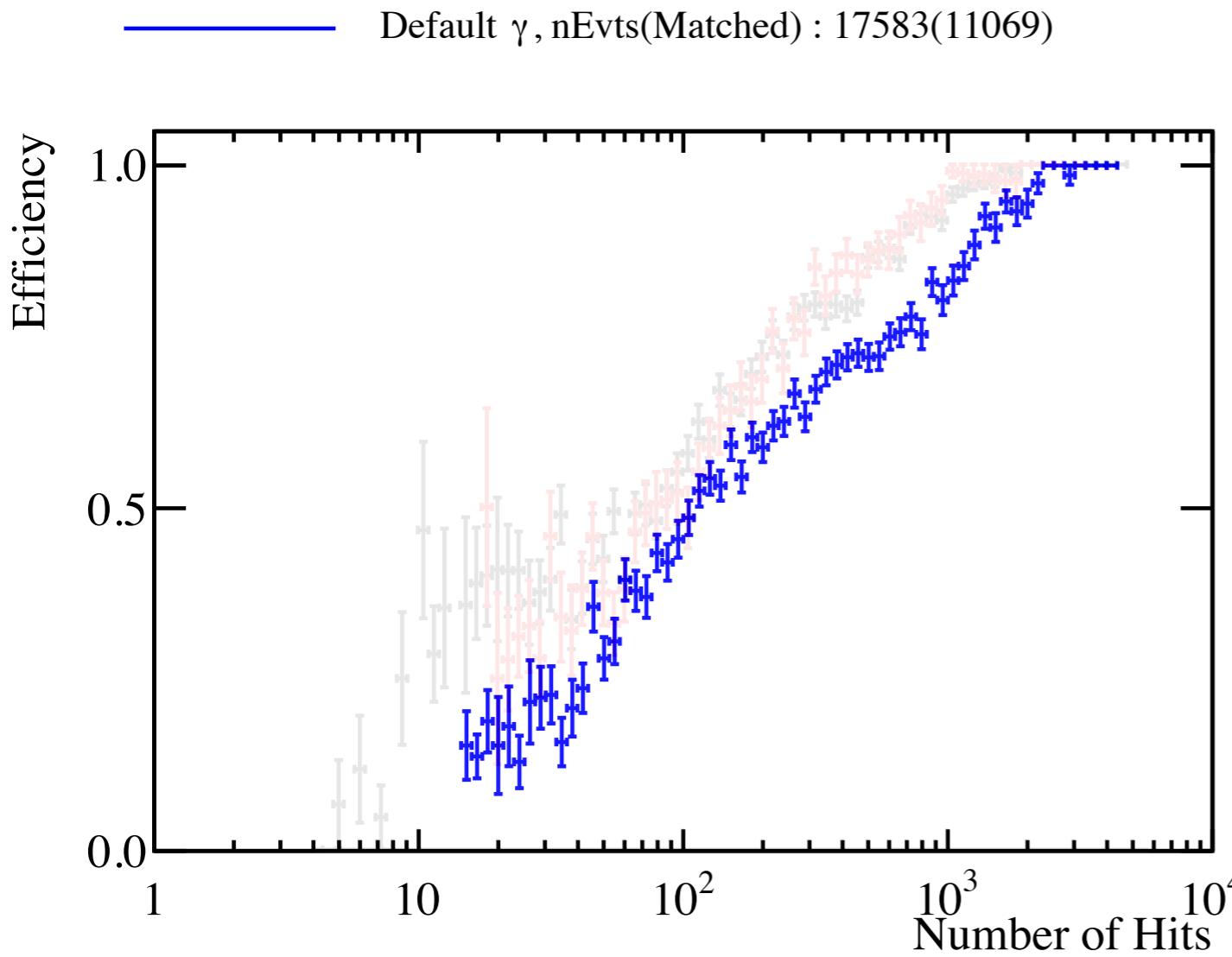




# Test Beam Hierarchy : Photons

DUNE

- There is a drop in reconstruction efficiency for  $\gamma$ s.
- Mainly due to overlapping  $\gamma$ s from boosted  $\pi^0 \rightarrow \gamma\gamma$  events.
- There is also a peak at low completeness due to  $\gamma$ s being reconstructed as tracks.

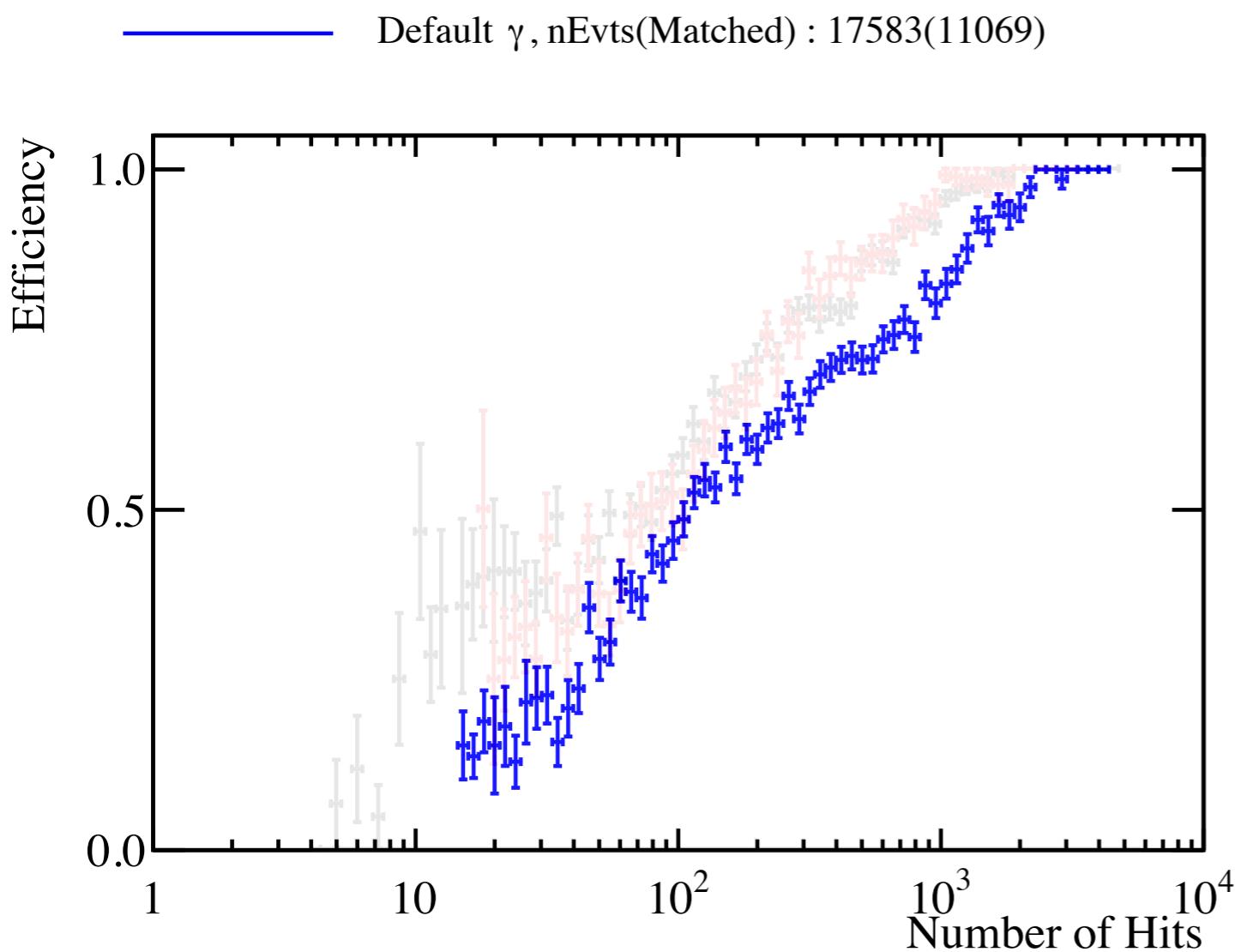




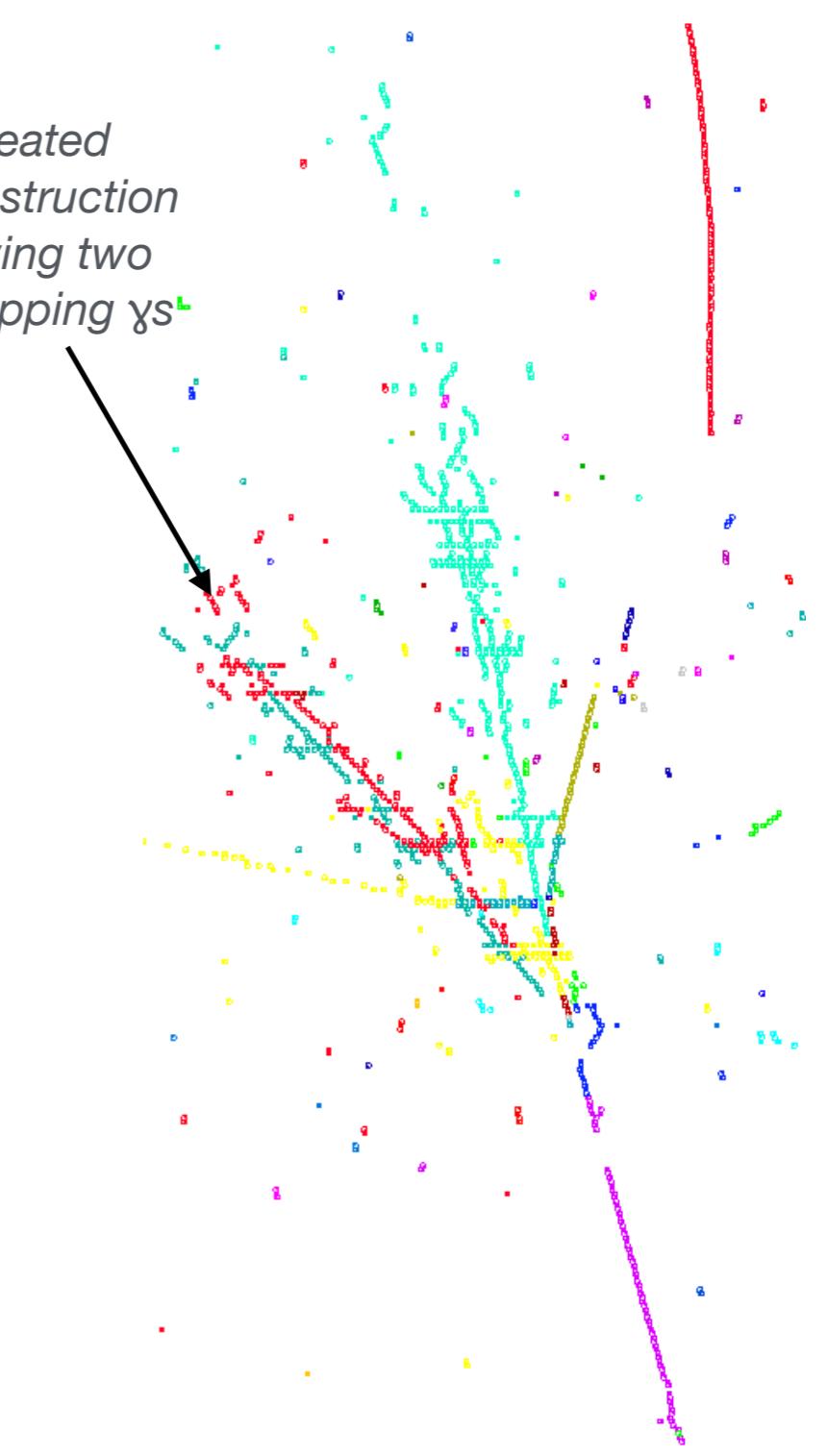
# Test Beam Hierarchy : Photons

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- Mainly due to overlapping  $\gamma$ s from boosted  $\pi^0 \rightarrow \gamma\gamma$  events.
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*Cheated  
Reconstruction  
showing two  
overlapping  $\gamma$ s*

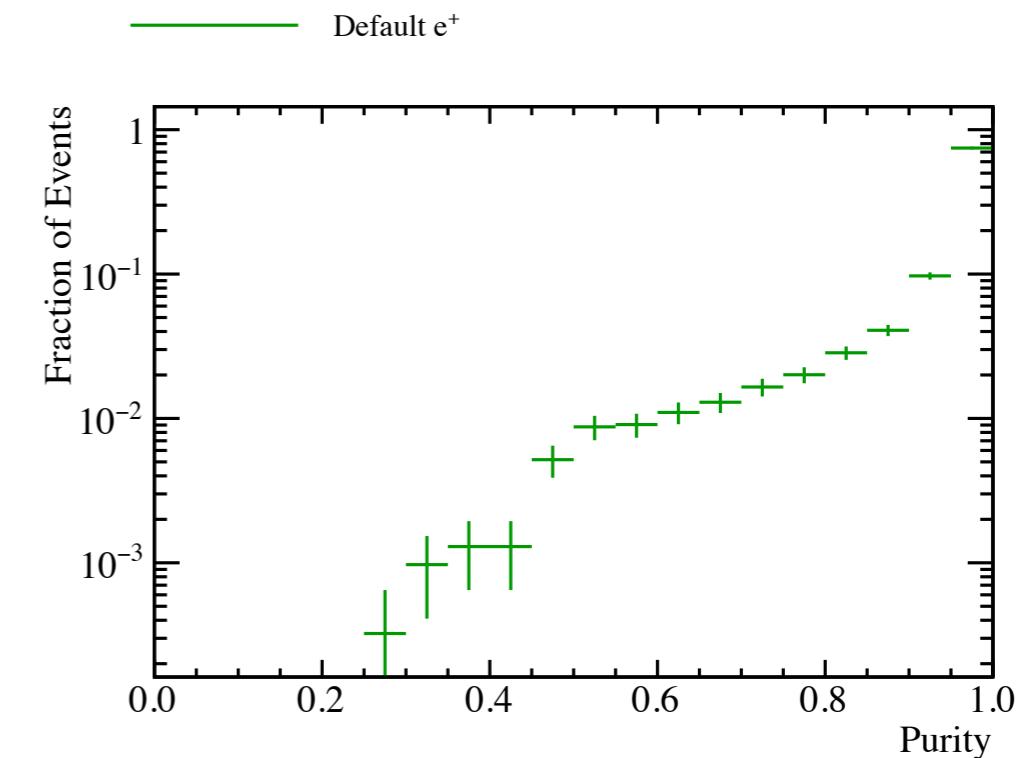
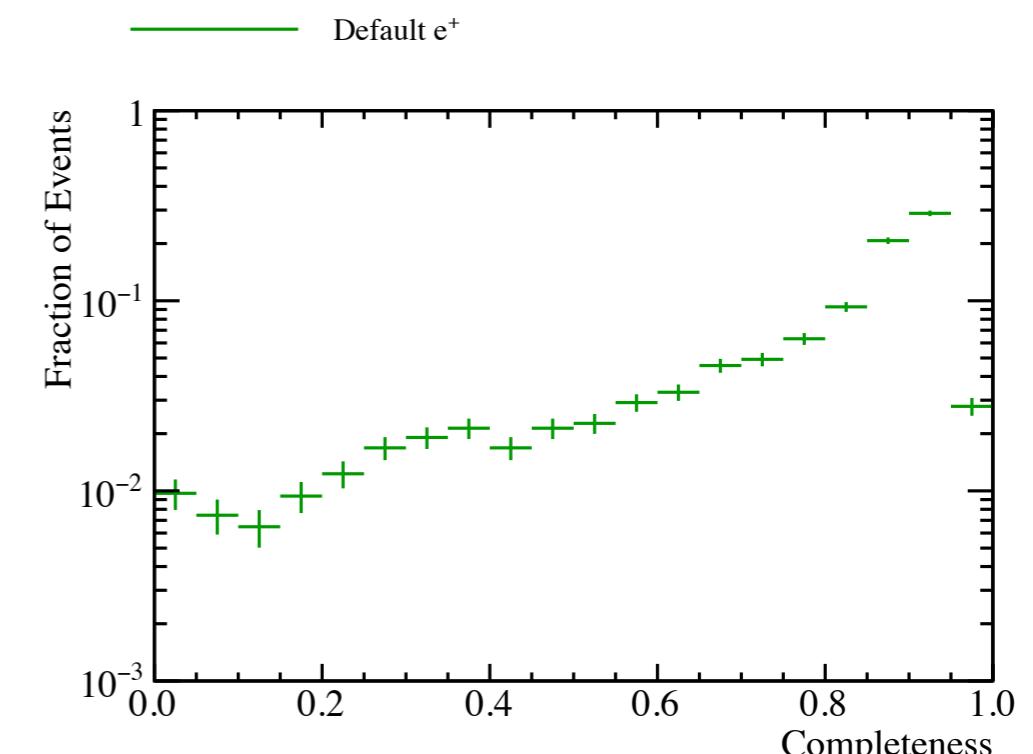
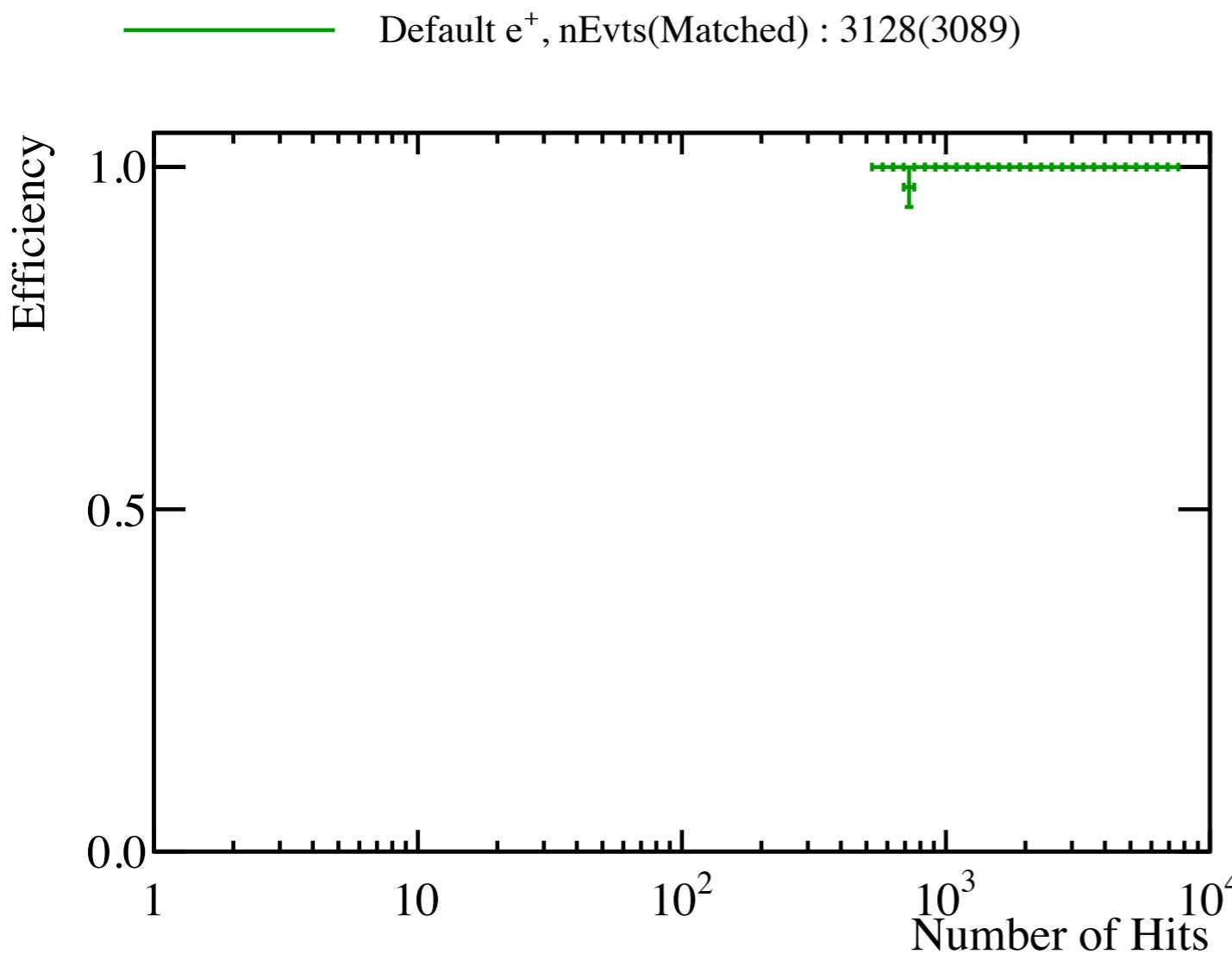




# Test Beam Hierarchy : Positrons



- Electron/Positron showers are significantly easier to reconstruct well as they're independent (i.e. primary particles) rather than belonging to a complex particle hierarchy.

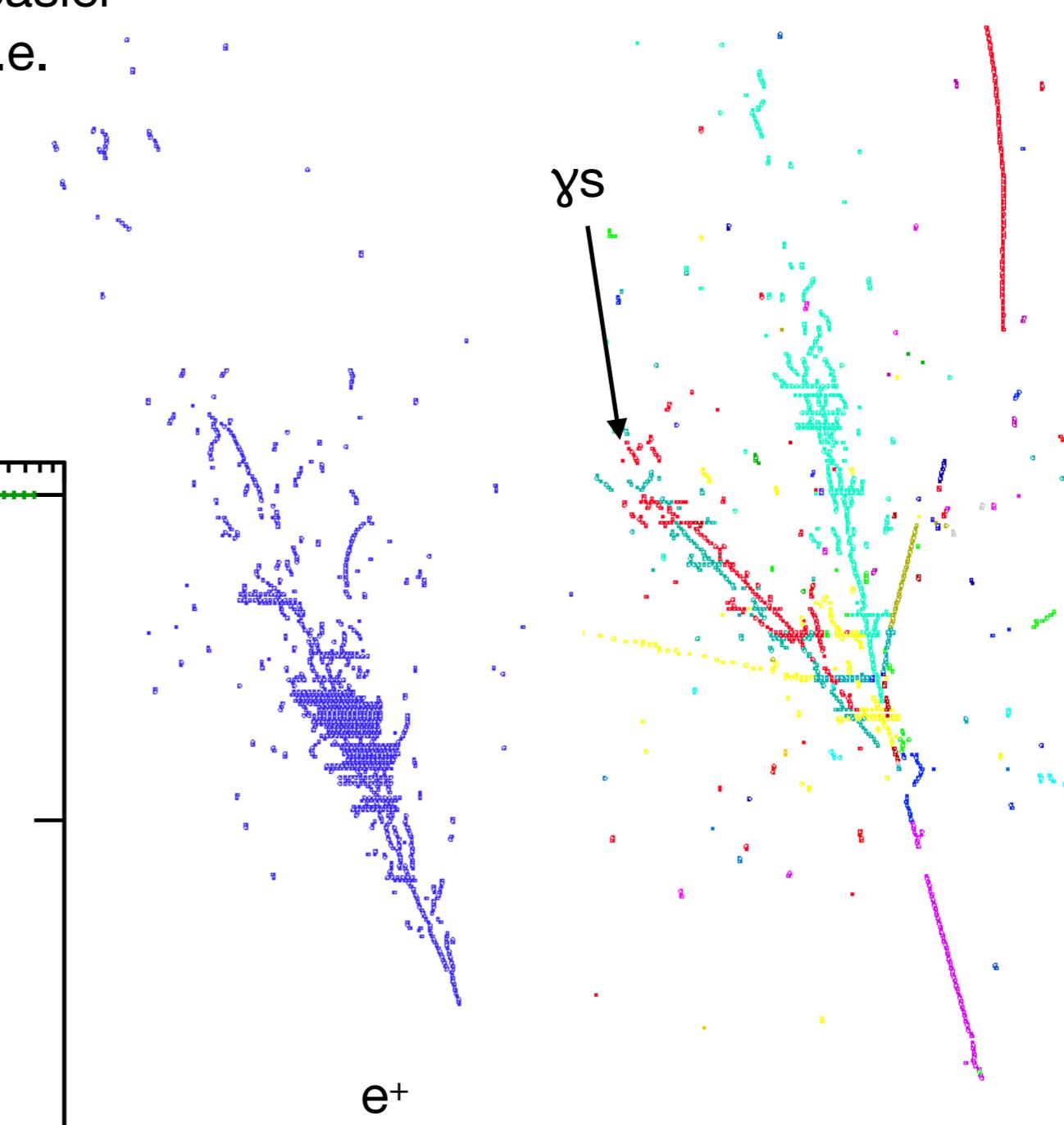
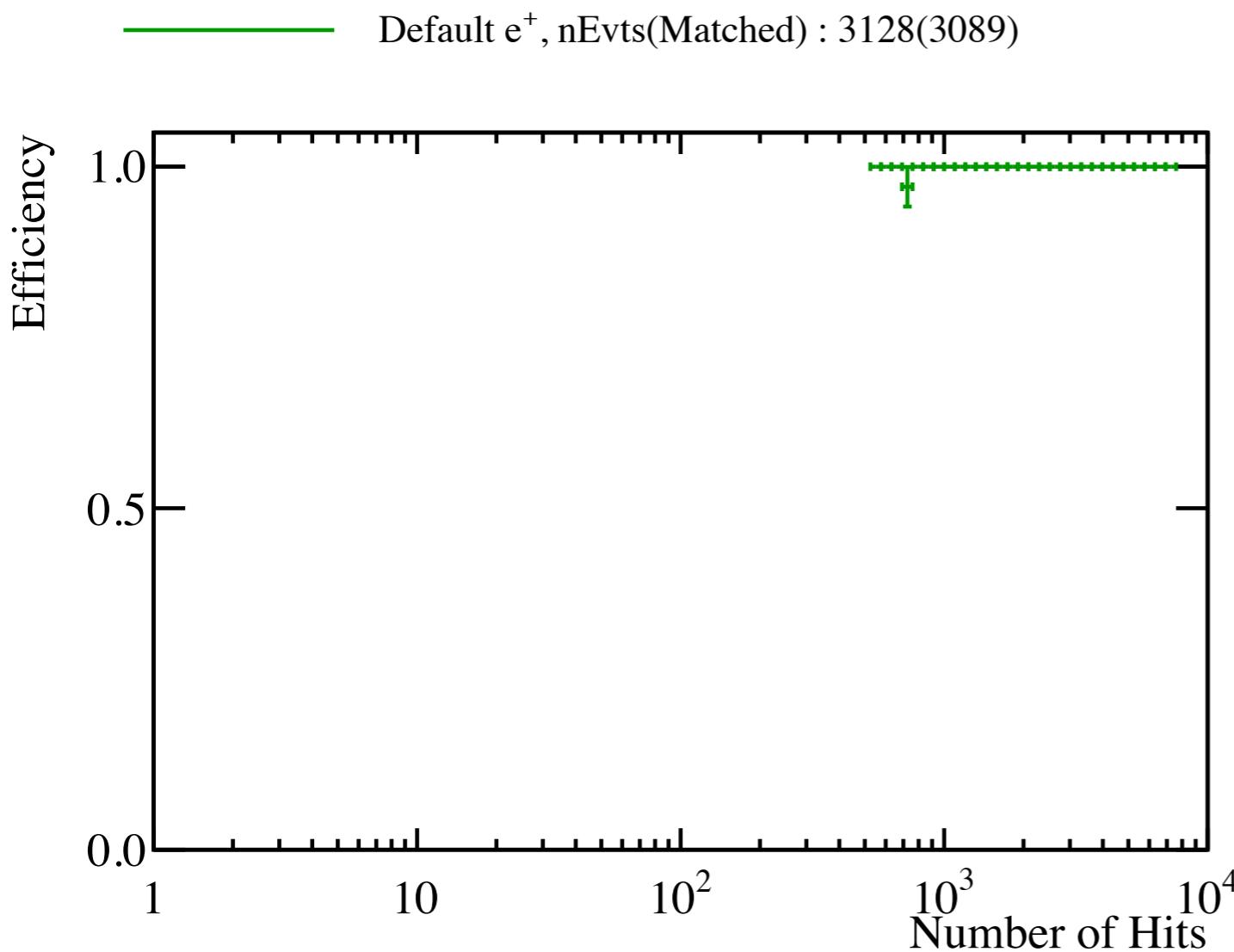




# Test Beam Hierarchy : Positrons

DUNE

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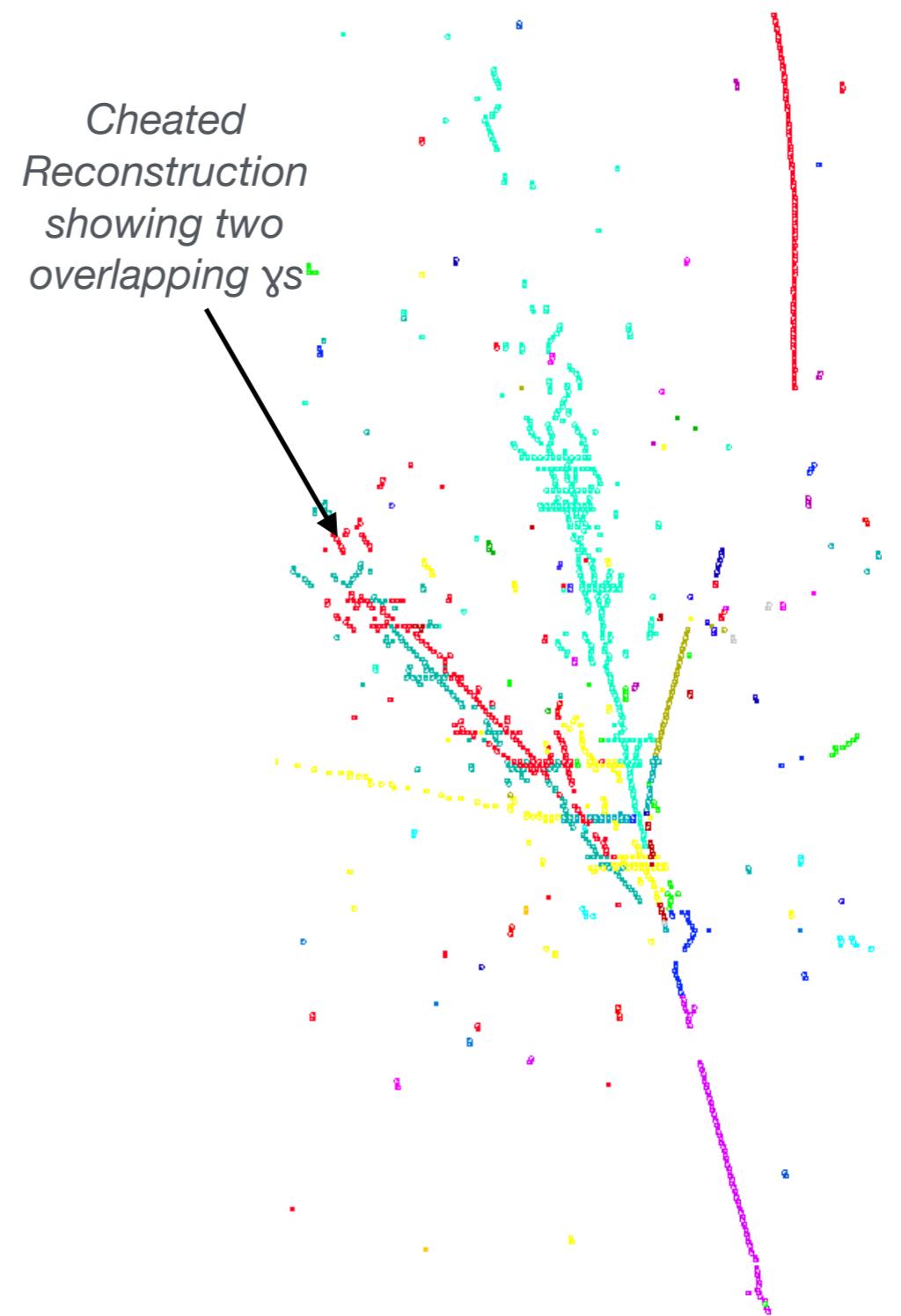




# Test Beam Hierarchy : Summary

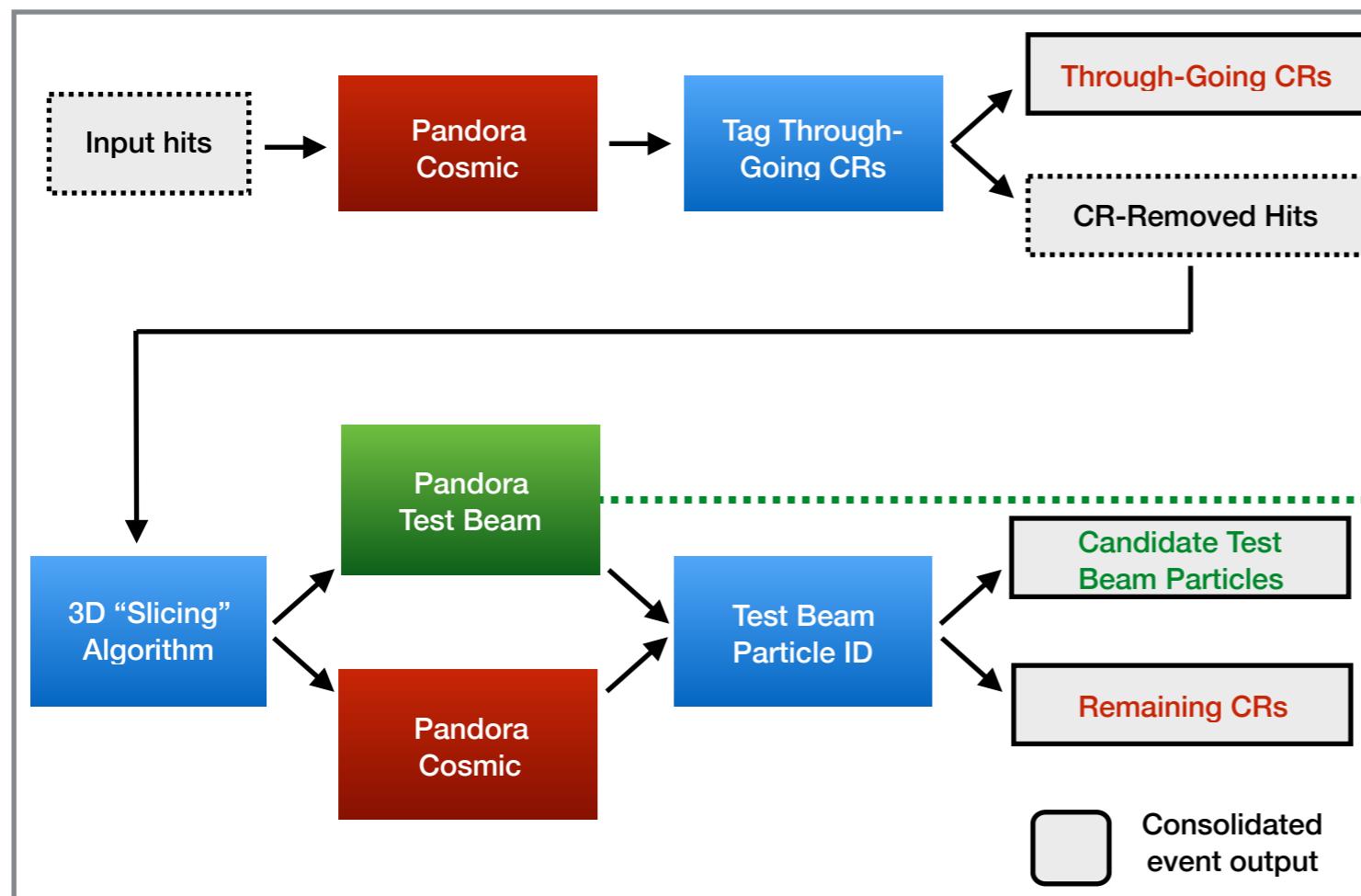
DUNE

- We now have a lot of information available about the quality of the reconstructed particle hierarchy.
- From these new metrics it is clear that  $\gamma$  reconstruction is one of the biggest challenges facing the reconstruction.
- In an attempt to improve this we are looking into incorporating deep learning techniques into the Pandora reconstruction.



- Inside Pandora there are several stages we look to distinguish tracks and showers.

## Pandora Consolidated Reconstruction



### PandoraCosmic:

Algorithm chain targeting reconstruction of cosmic-rays

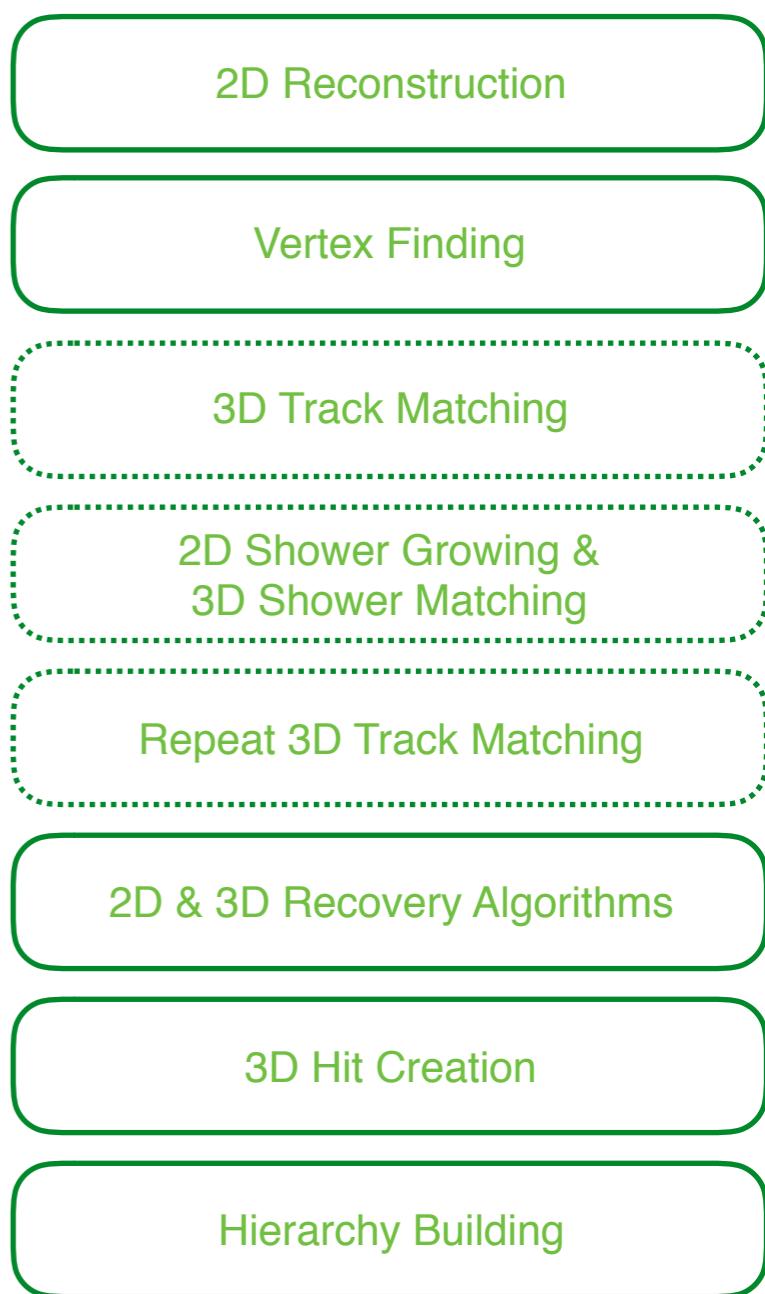
### PandoraTestBeam:

Algorithm chain targeting reconstruction of test beam interactions

### Slicing:

Dividing up of the whole event into regions (slices) containing hits originating from a single parent particle.

### Pandora Test Beam Reconstruction Chain



The 3D track matching is repeated as, at the second stage, the shower like clusters have been removed.

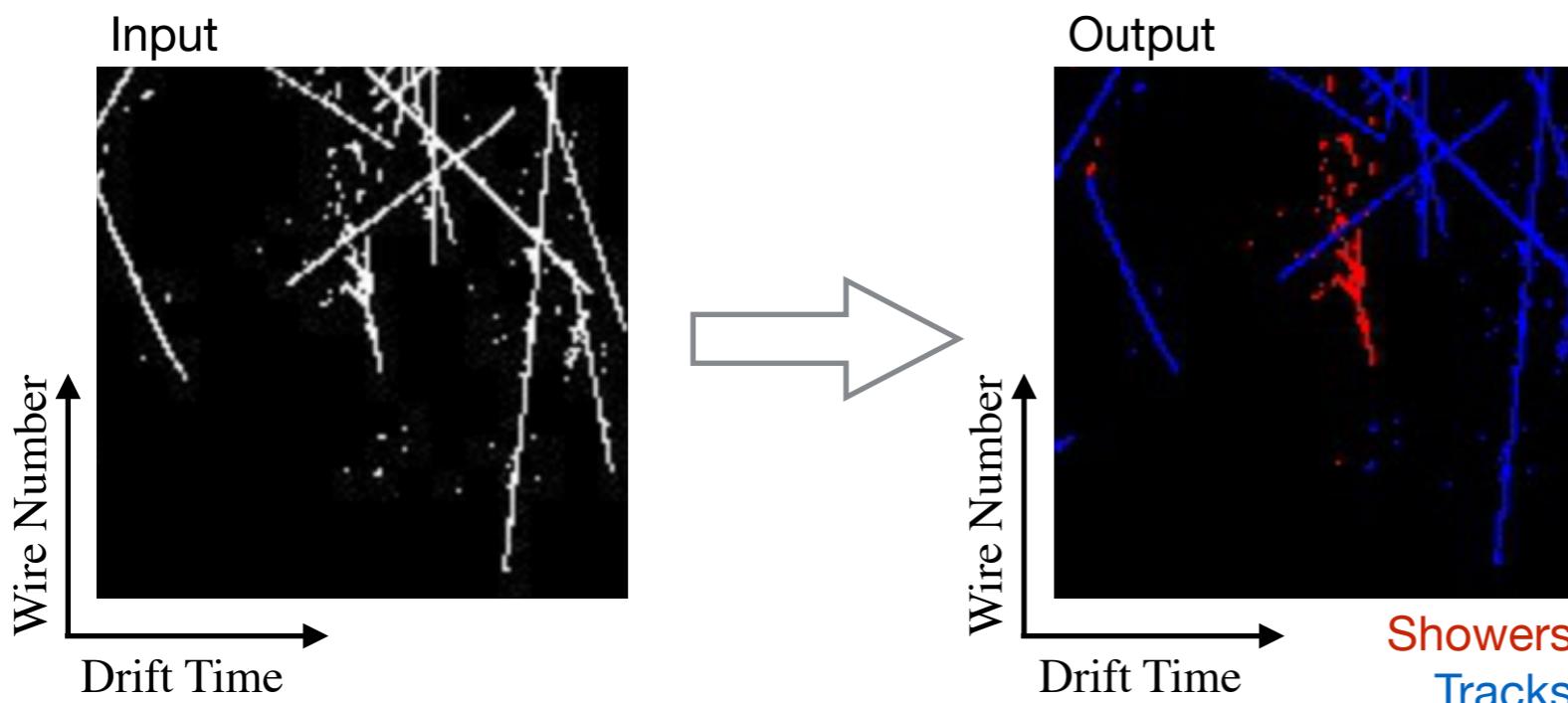


# Semantic Segmentation

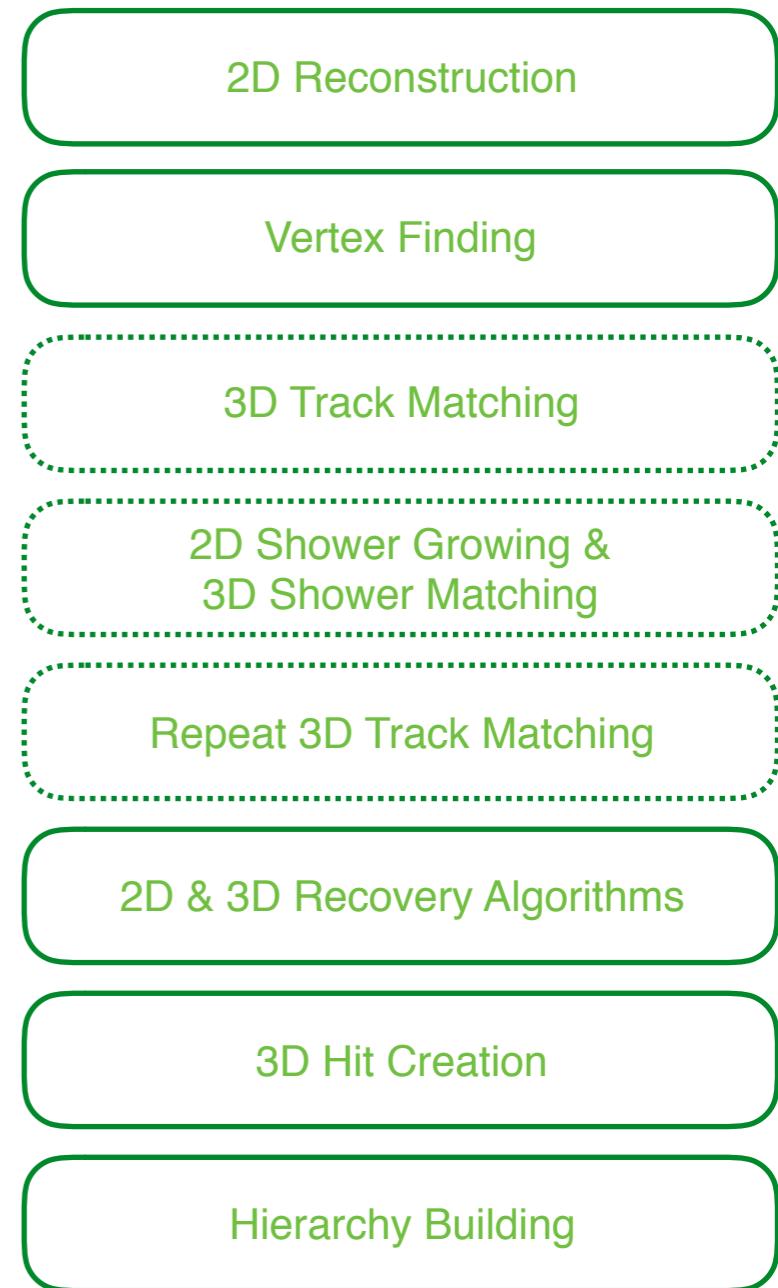


- In ProtoDUNE we currently distinguish track and shower like clusters by placing cuts on some basic properties of those clusters (e.g. length, curvature).
- Now we want to see if deep learning can be used in place of these cuts.

Aim:



*Pandora Test Beam  
Reconstruction Chain*



The 3D track matching is repeated as, at the second stage, the shower like clusters have been removed.



# Semantic Segmentation



- For a first attempt at semantic segmentation we will use a UNet architecture implemented in PyTorch.

Inputs To Model:

- 1024x1024 image showing the hit positions in the U, V and W views. - **Image too big**
- The same model is used to classify each view. - **Separate models required**
- The range of each image (in drift position and wire number) is 980 cm\* and is centred such that all hits in the event. - **Dynamic image creation needed**

U View



V View



W View

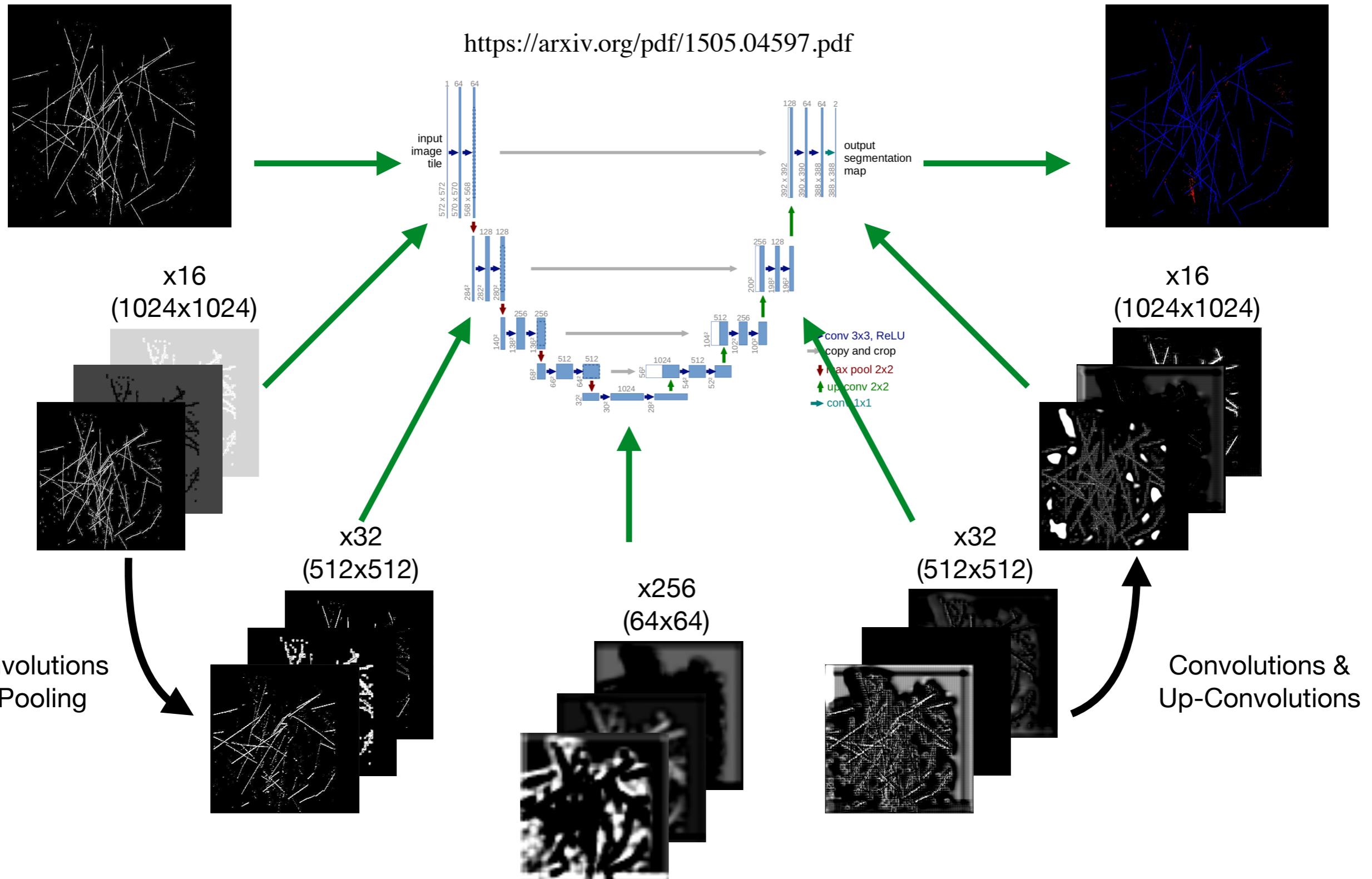




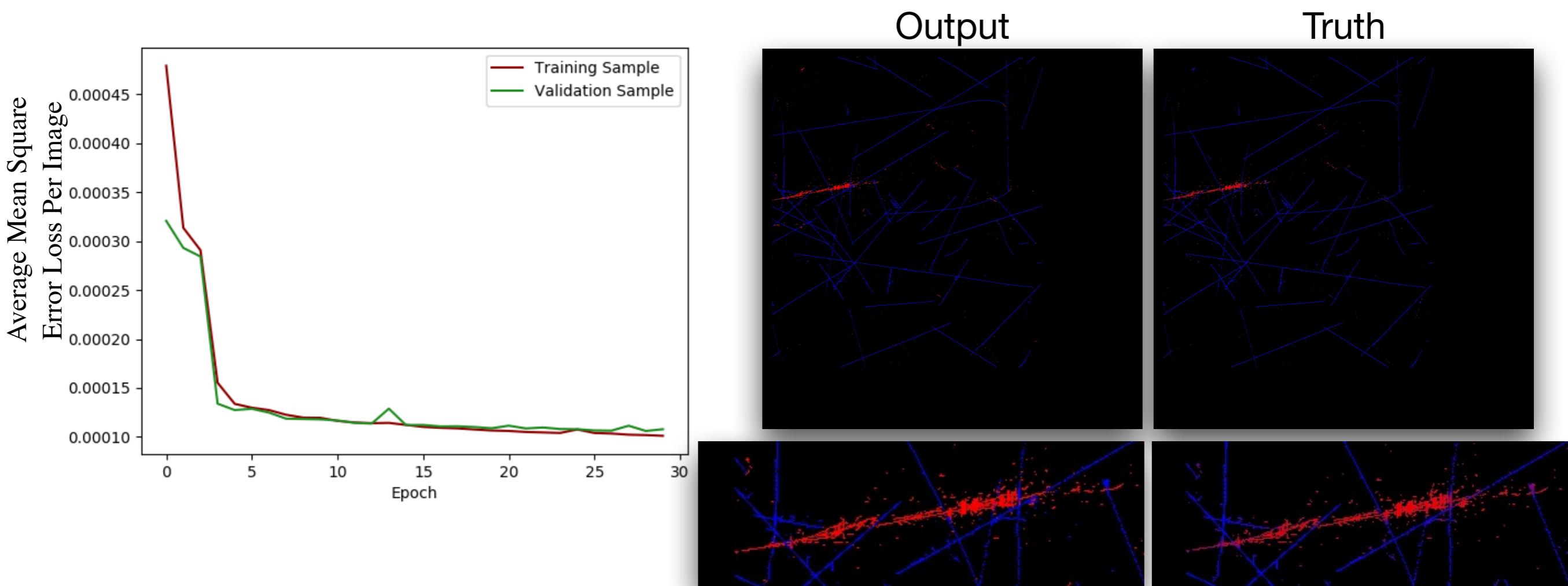
# Semantic Segmentation



- Brief architecture overview:



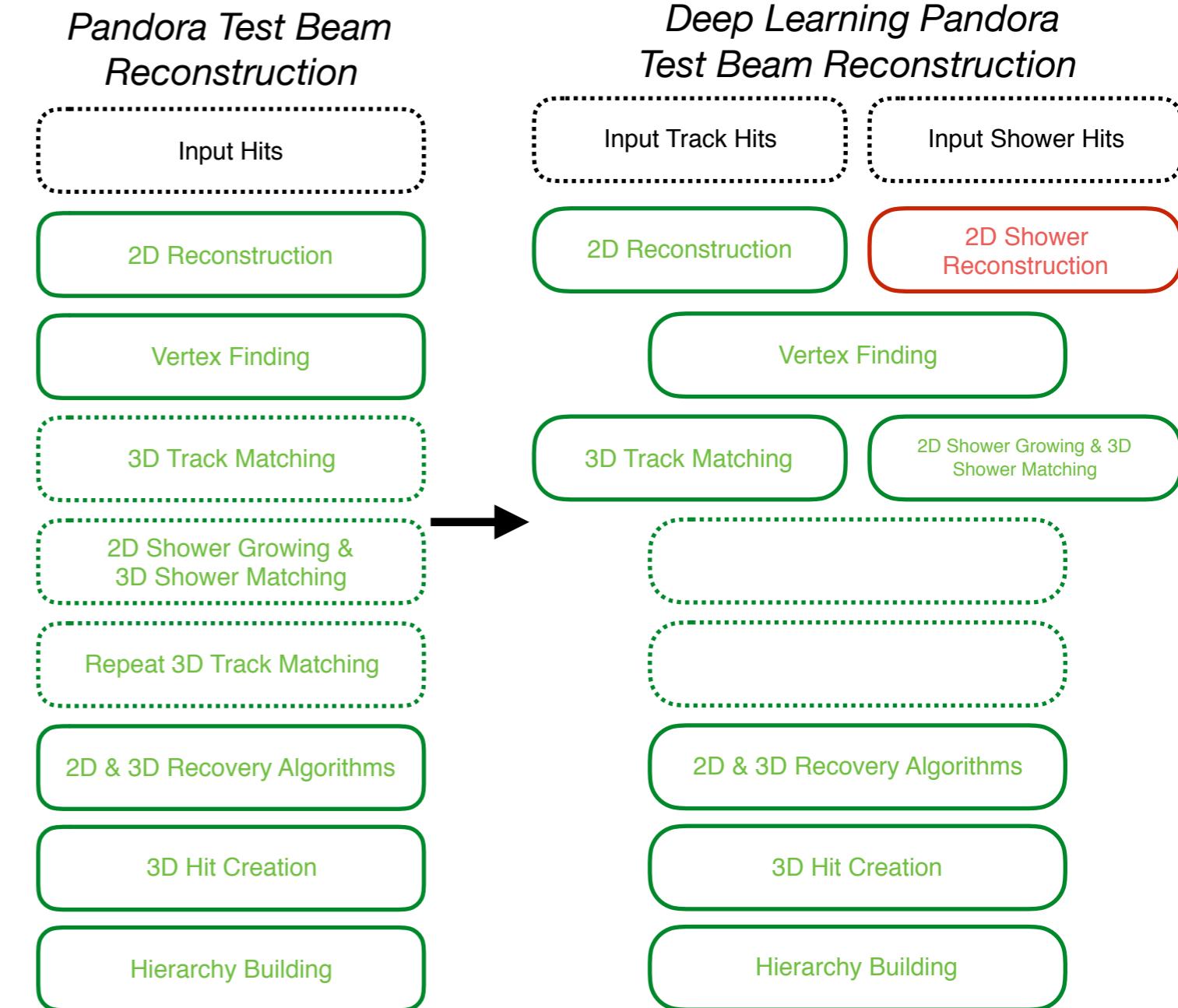
- Trained on MCC11, No Space Charge, (1, 3, 5 & 7 GeV).
- Each image rotated through 90, 180 and 270 degrees to help model learn rotational invariance: ~18,000 images in total.
- 90% of images used for training, 10% for validation.



- Model looks promising; it misses some fine details, but picks up prominent features.

- Now we have this model, we will restructure the Pandora test beam reconstruction\*:
  - We separately cluster 2D hits for showers and tracks.
  - The initial 2D reconstruction is strongly track orientated, while the new 2D shower reconstruction is proximity based (merge hits together if within 5cm).
  - We no longer repeat the 3D track matching as track and shower clusters are already separate.

**Experimental**

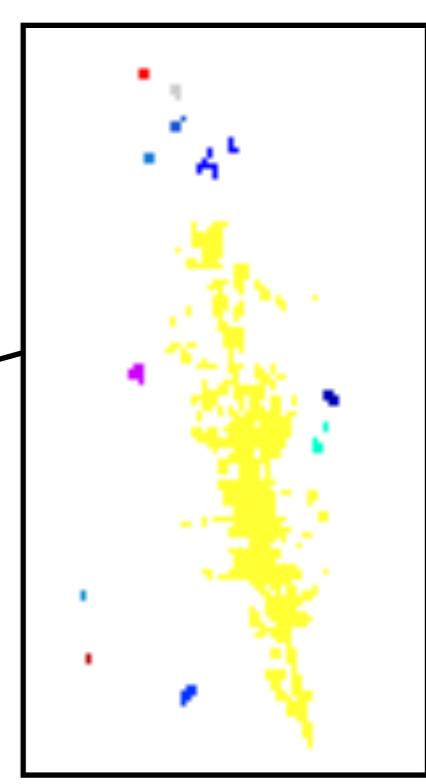
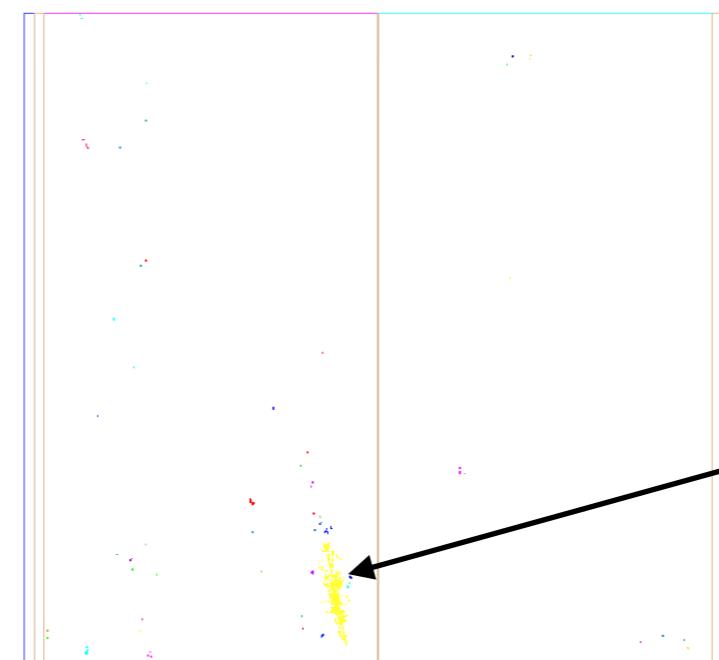
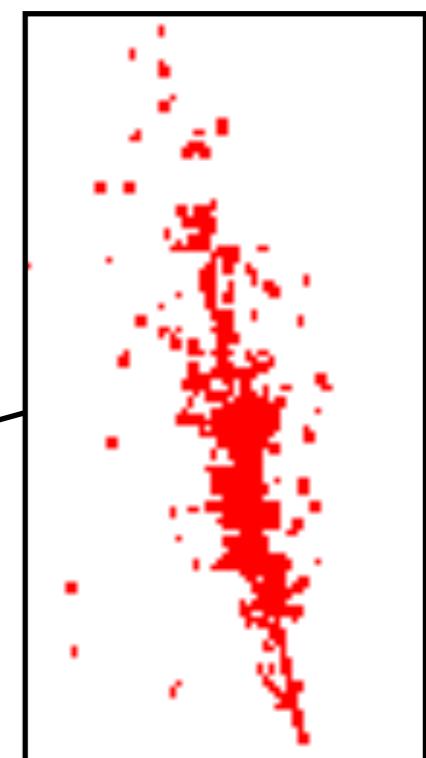
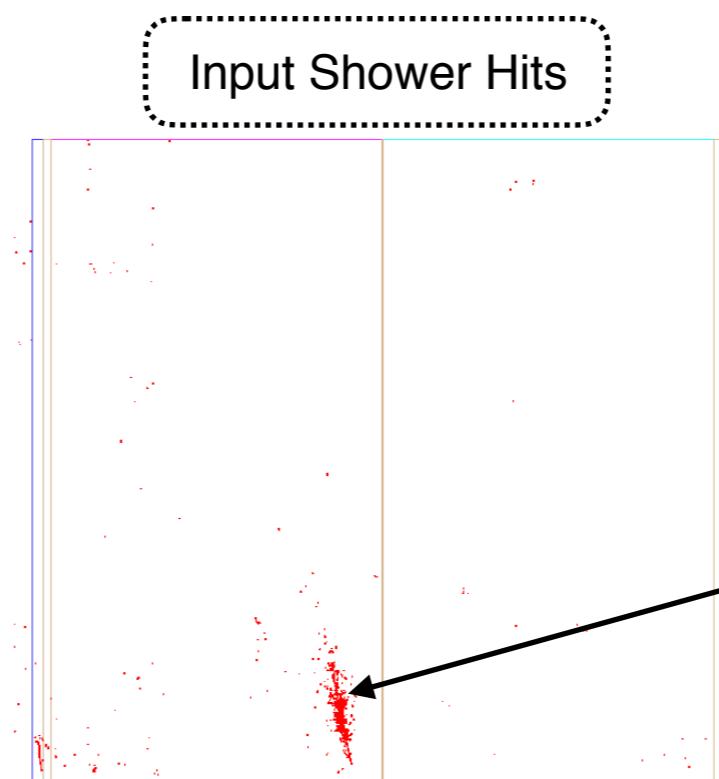
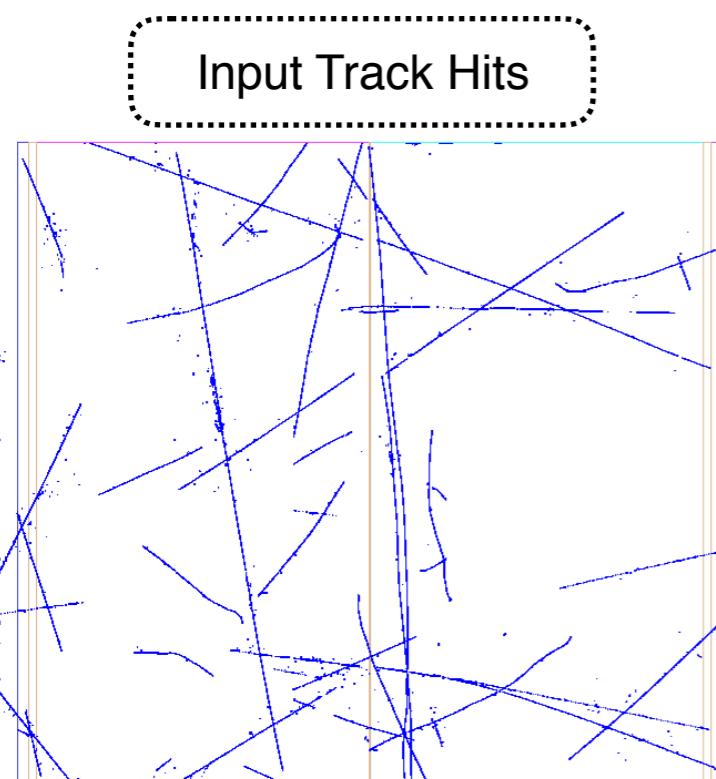


\*And the 3D slicing, which effectively mirrors the structure shown here.



# 2D Semantic Segmentation Reconstruction

DUNE



2D Reconstruction

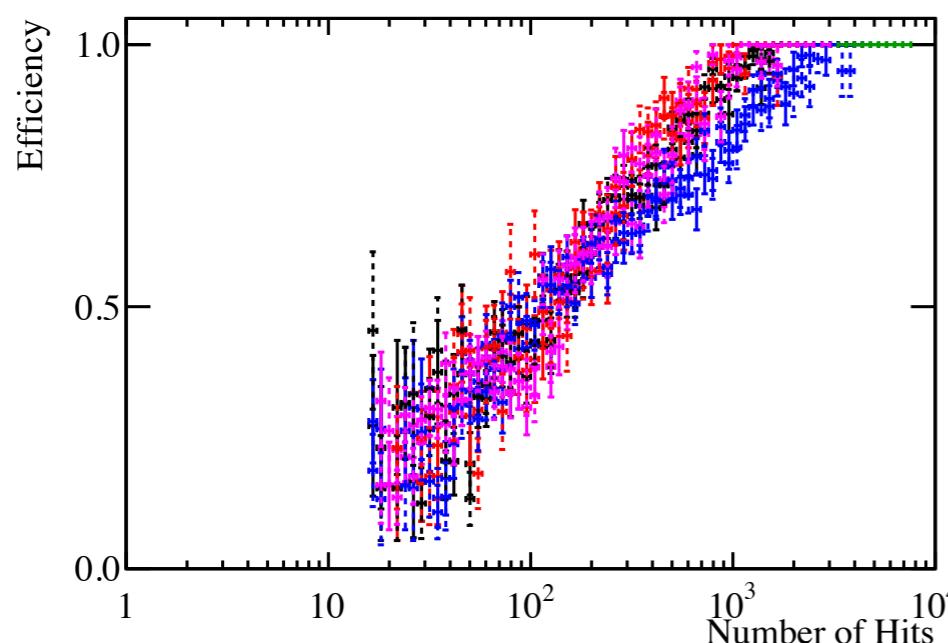
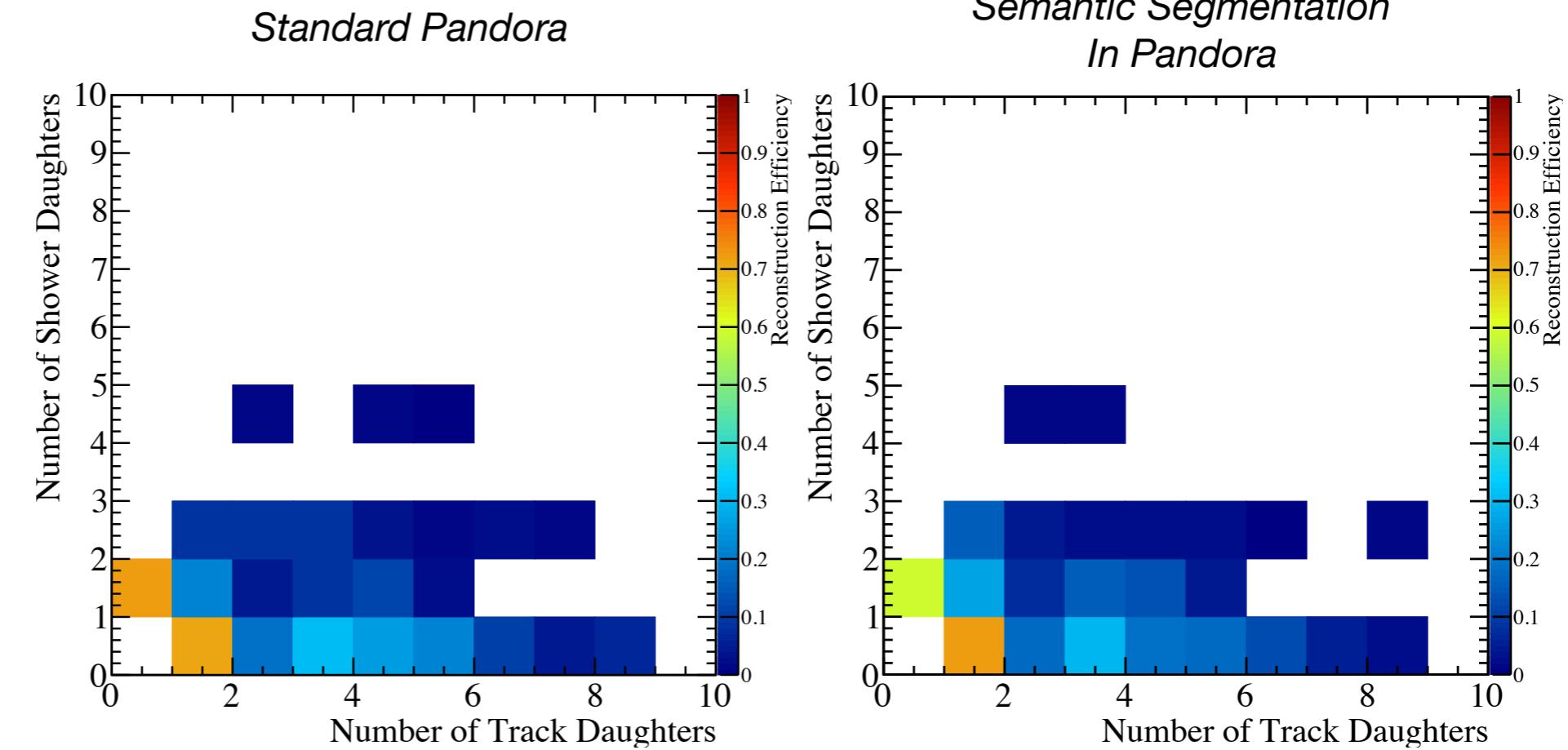
2D Shower  
Reconstruction



# Semantic Segmentation Performance

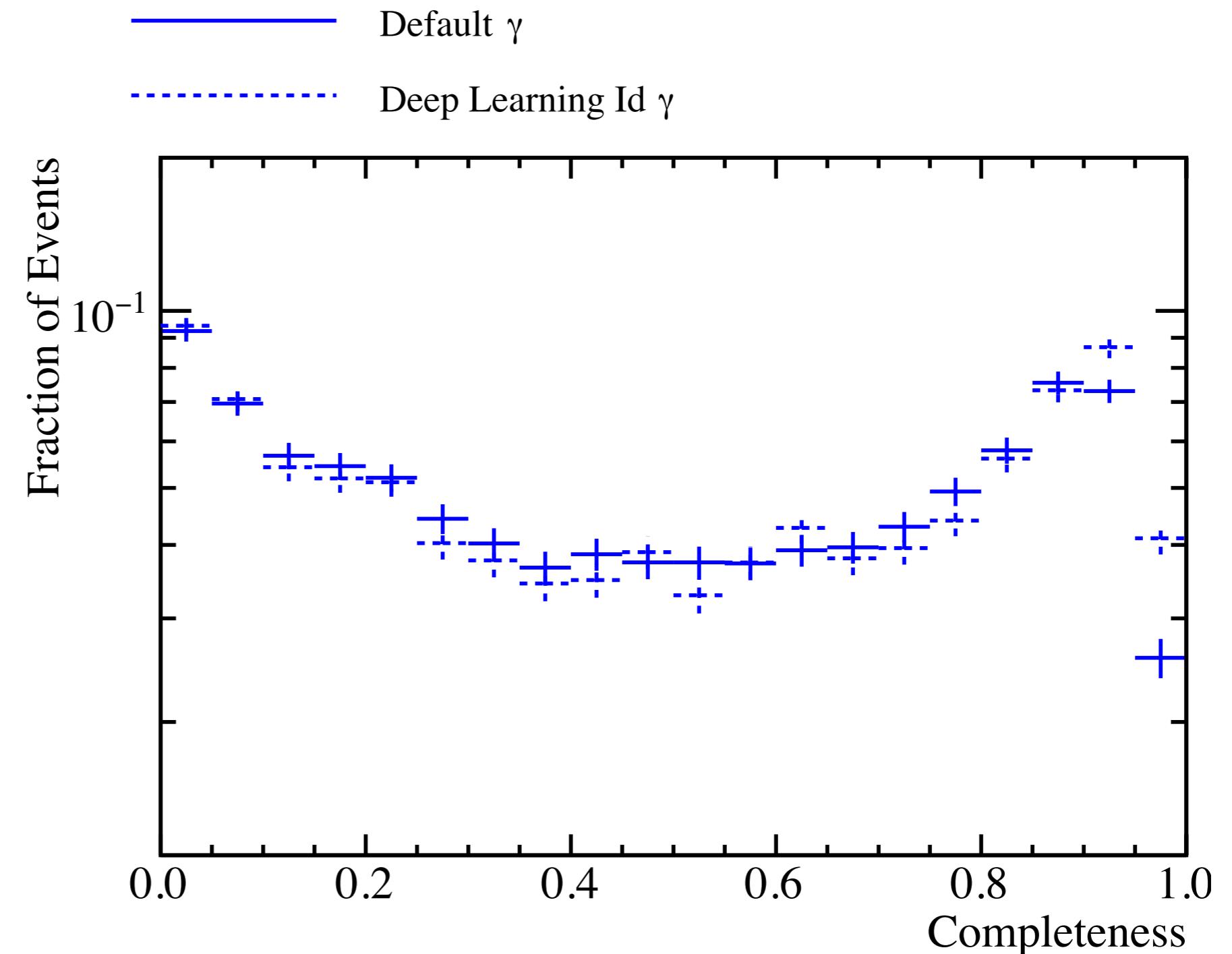


- Using this new model we can examine the hierarchy reconstruction metrics:
- The performance is similar, but there is a drop off in the reconstruction efficiency for single showers i.e.  $e^+$  events.



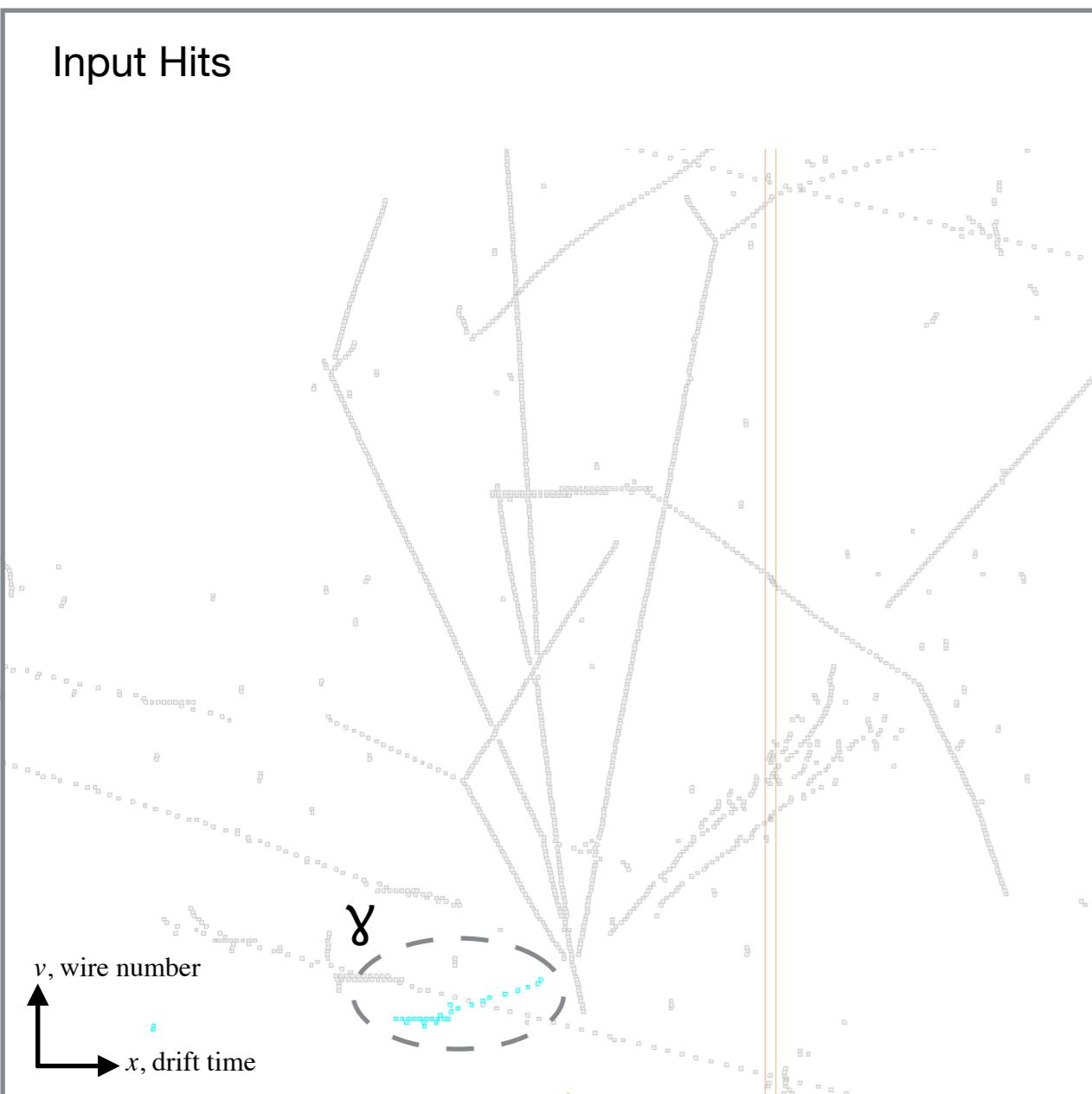
Standard Pandora - Solid Line		Semantic Segmentation - Dashed Line	
$\pi^+$ , nEvts(Matched)	: 4747(3208)	$\pi^+$ , nEvts(Matched)	: 4747(3260)
$\pi^-$ , nEvts(Matched)	: 2024(1362)	$\pi^-$ , nEvts(Matched)	: 2024(1373)
$\gamma$ , nEvts(Matched)	: 5475(3519)	$\gamma$ , nEvts(Matched)	: 5475(3521)
$p$ , nEvts(Matched)	: 3401(1872)	$p$ , nEvts(Matched)	: 3401(1937)
$e^+$ , nEvts(Matched)	: 717(704)	$e^+$ , nEvts(Matched)	: 719(709)

- But there is an improvement in the photon completeness.
- So there is promise in this approach, but additional work is needed to produce significant benefits to analysers.
- The use of deep learning techniques out of the box will not dramatically improve the current Pandora reconstruction.

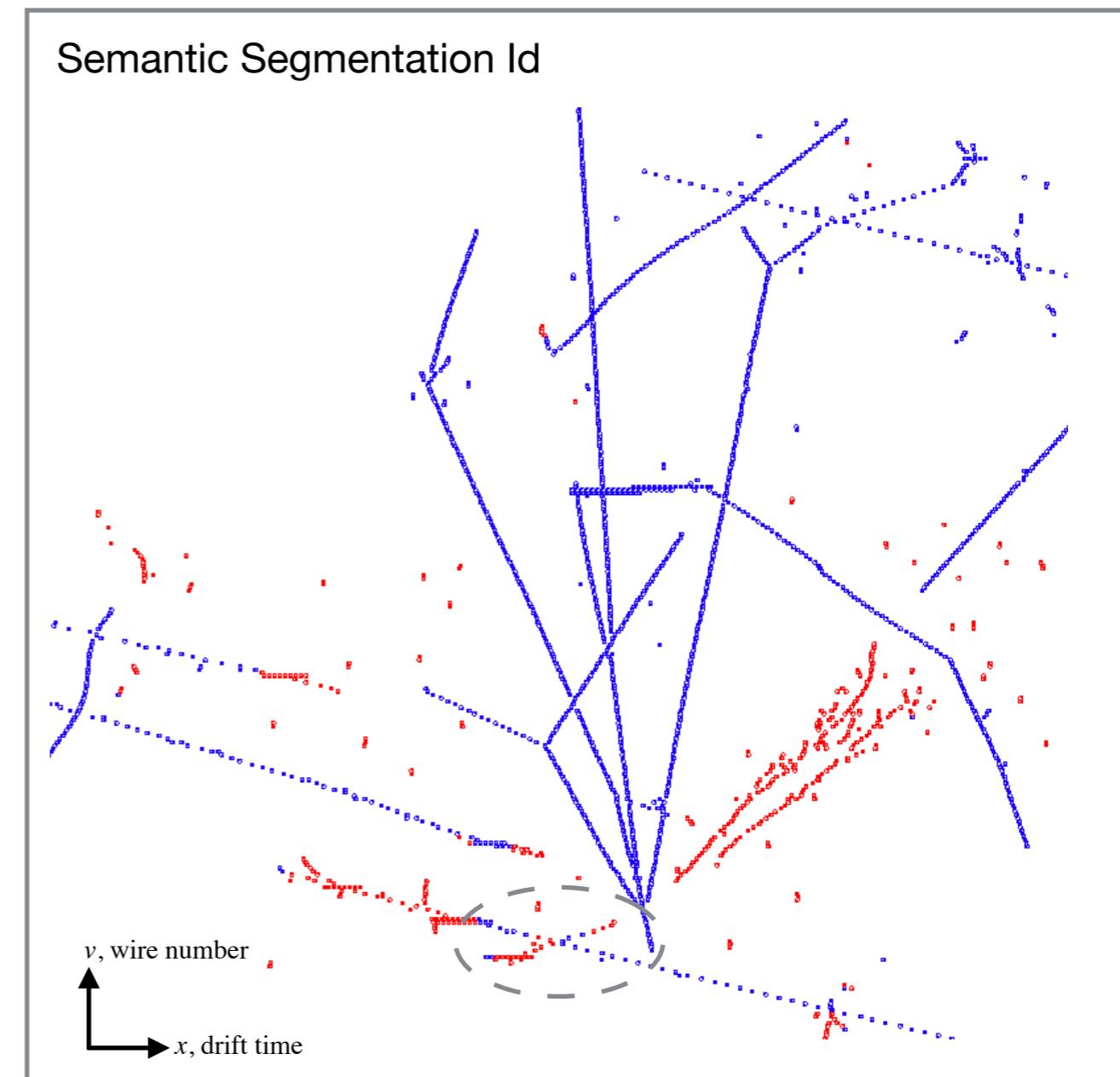


- Example: Complex 7 GeV  $\pi^+$  interaction where we have highlights a specific primary  $\gamma$  daughter.
- The semantic segmentation identifies the majority of the  $\gamma$  hits as being shower like.

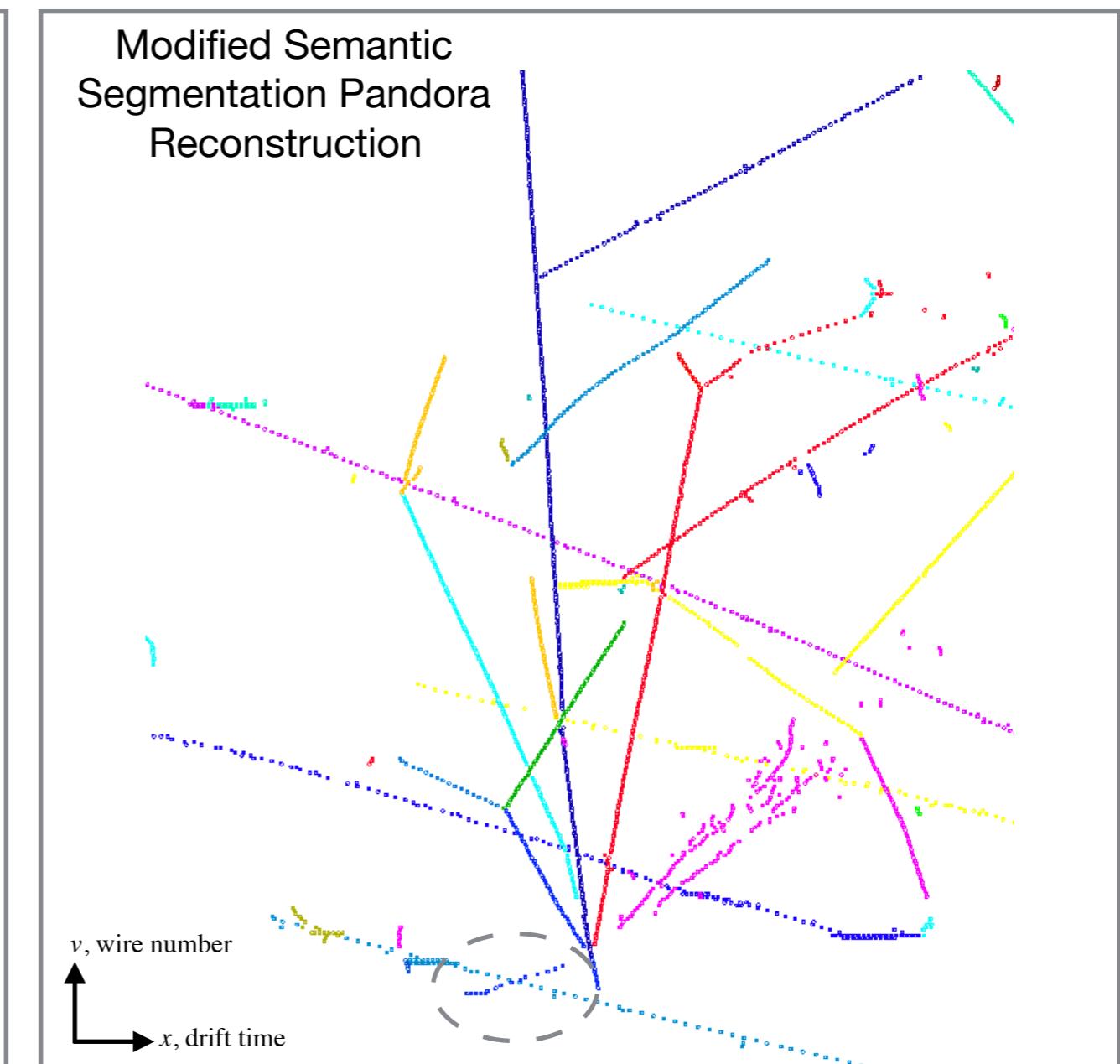
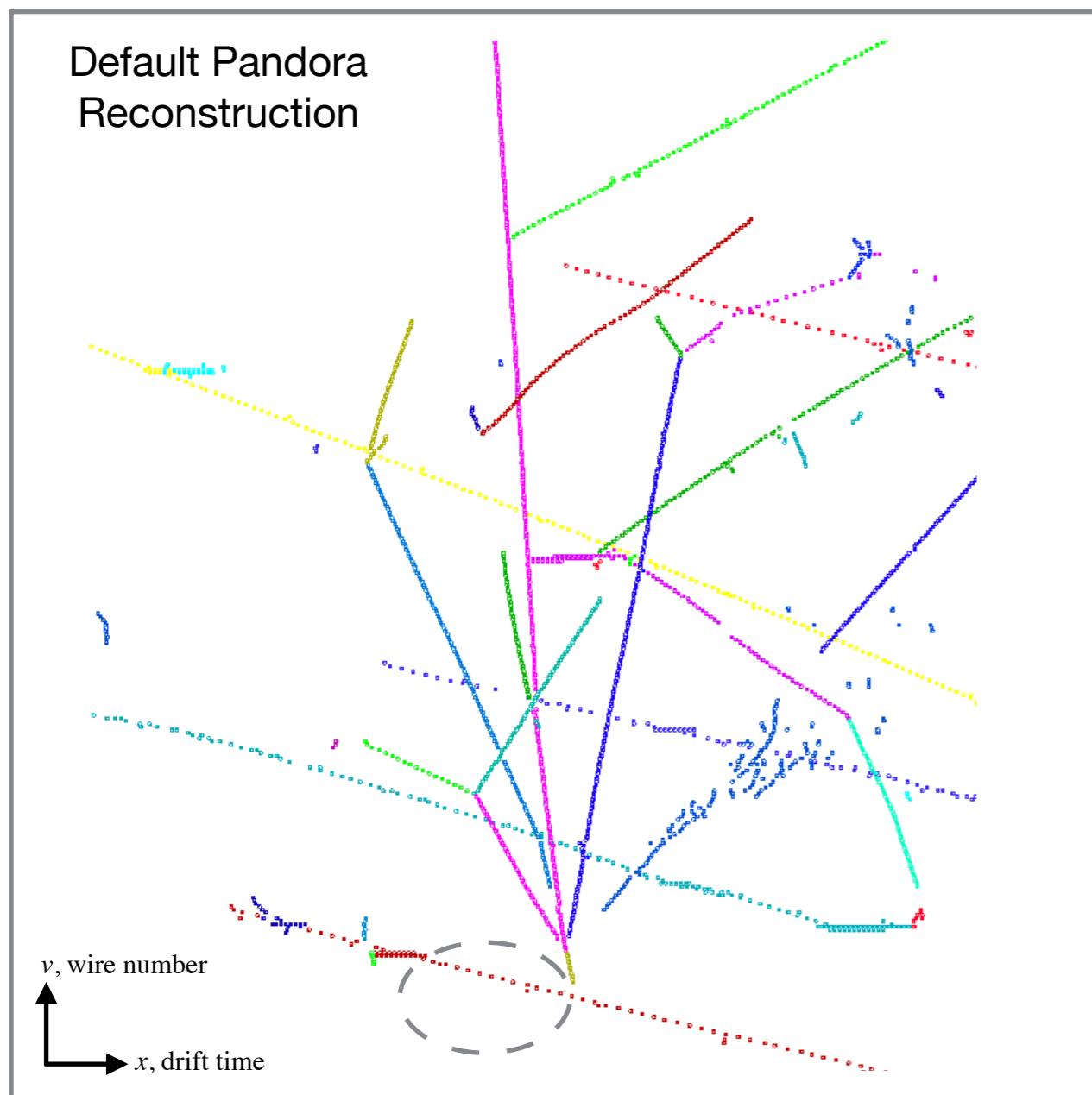
Input Hits



Semantic Segmentation Id



- By reconstructing the shower and track hits separately, this  $\gamma$  is reconstructed while in the original reconstruction it is lost.

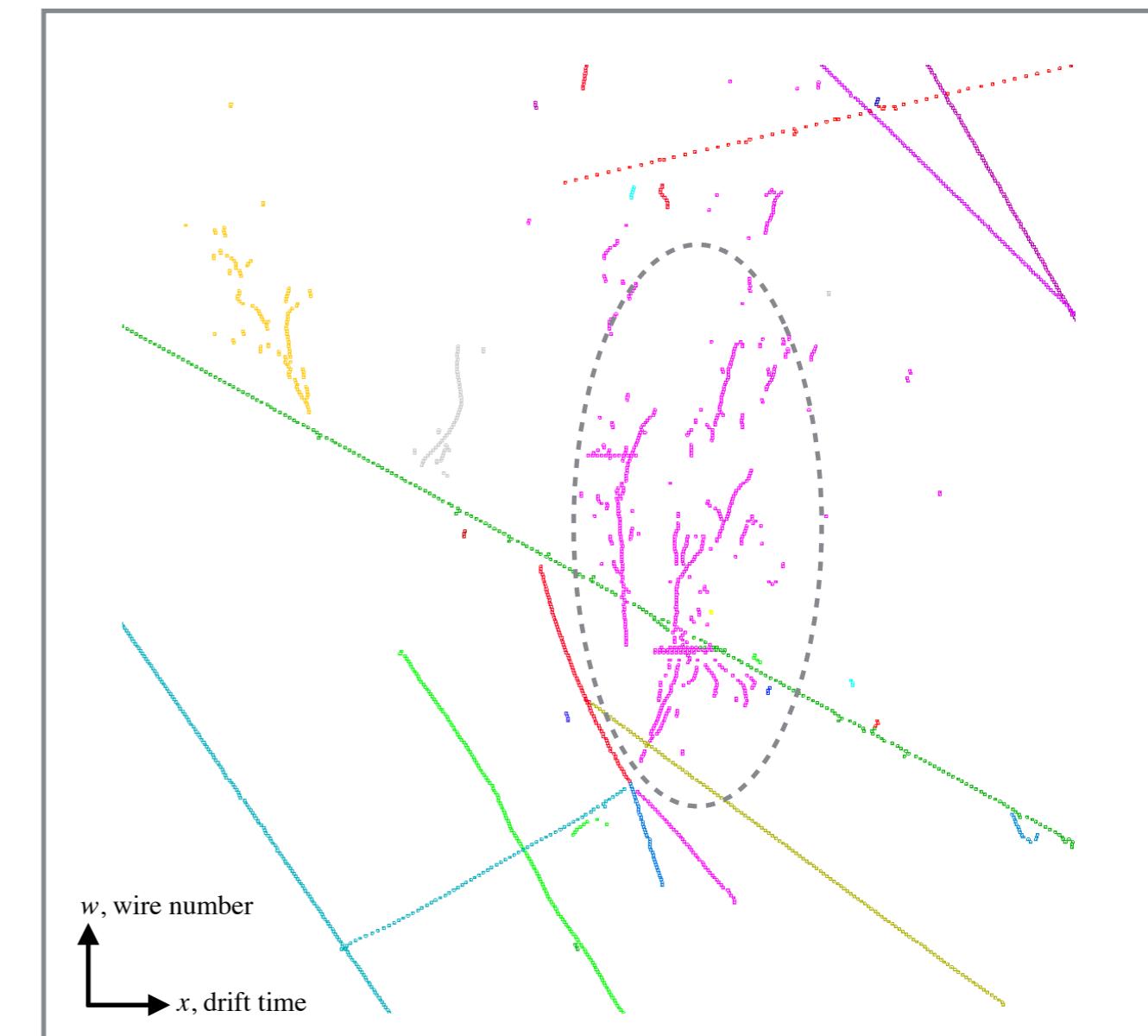
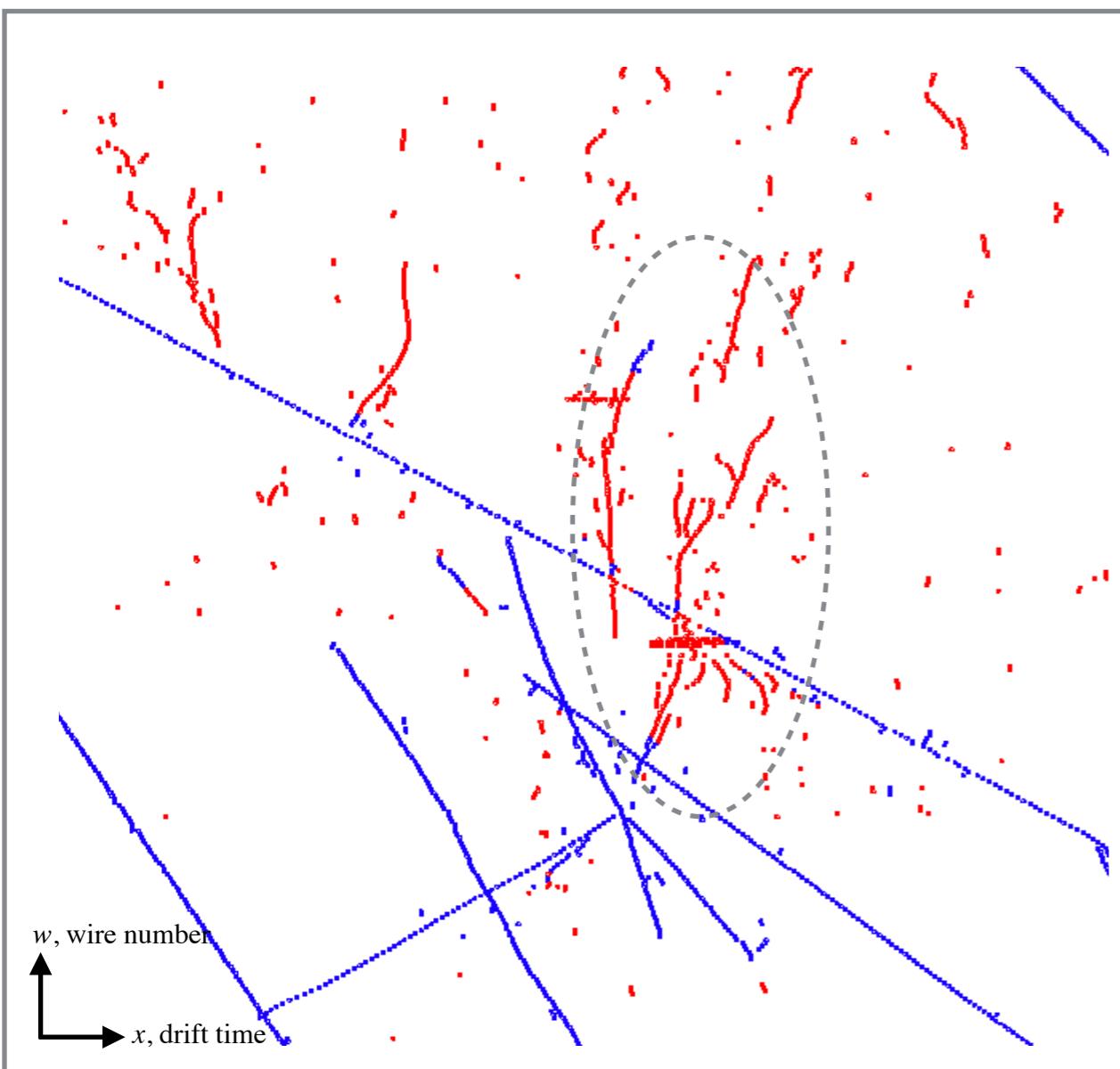




# Semantic Segmentation Examples

DUNE

- More work is needed to refine this approach, because merging based on proximity alone is not good enough.
- For example in this complex K<sup>+</sup> interaction two clear showers have been merged together:

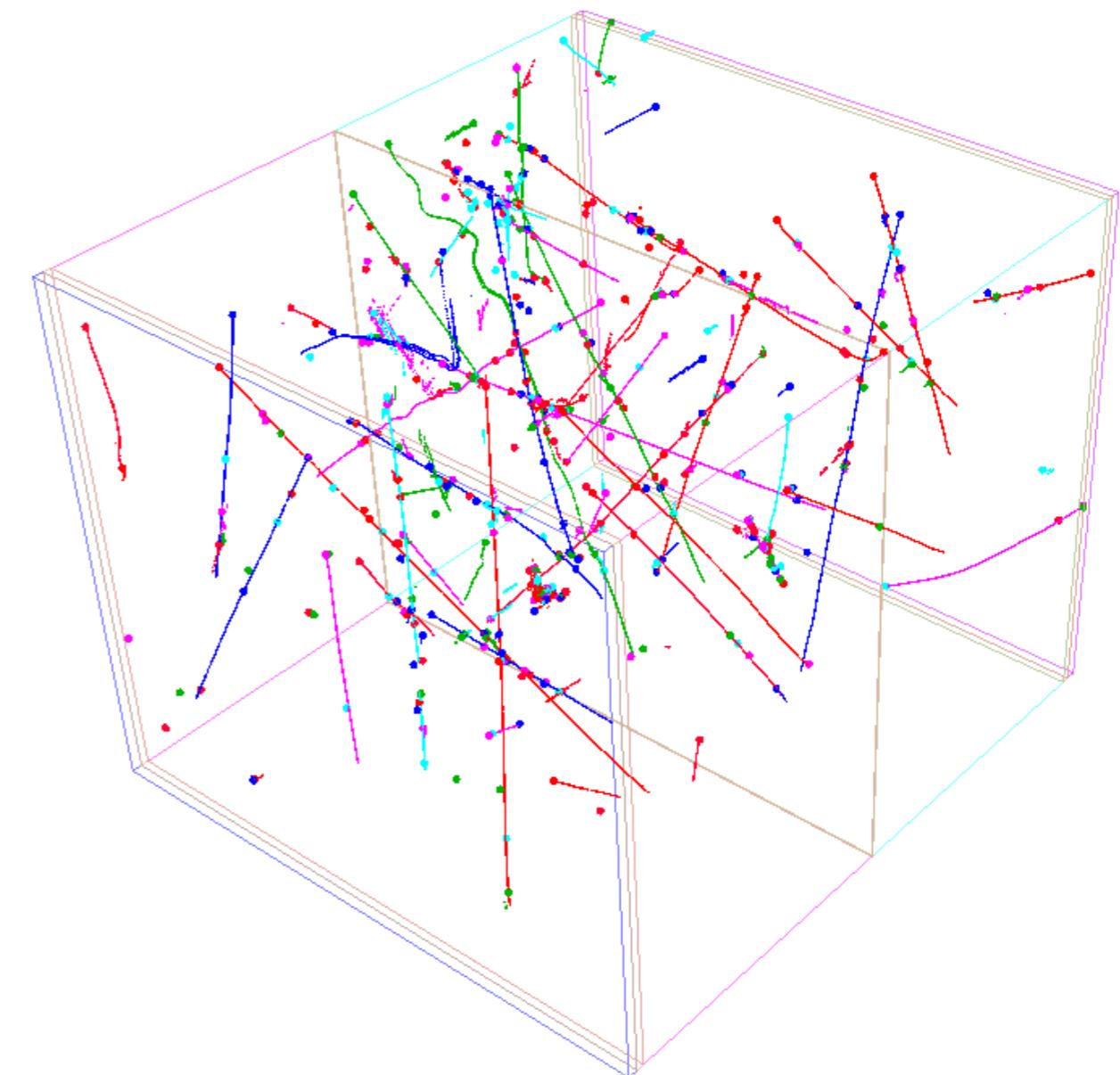




# Conclusions



- Detailed performance metrics describing the current reconstruction hierarchy have been presented.
- A hit based track shower ID based on semantic segmentation has been implemented and incorporated into the Pandora ProtoDUNE reconstruction.
- While additional work is required to fully exploit the benefits of this new approach the initial results show promise.



Thank you for your attention!

Questions?



# Pandora Pattern Recognition



Pandora is an open project and new contributors would be extremely welcome.  
We'd love to hear from you and we will always try to answer your questions.

## Pandora SDK Development

John Marshall ([John.Marshall@warwick.ac.uk](mailto:John.Marshall@warwick.ac.uk))  
Mark Thomson ([thomson@hep.phy.cam.ac.uk](mailto:thomson@hep.phy.cam.ac.uk))

## LAr TPC algorithm development

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Andy Blake ([a.blake@lancaster.ac.uk](mailto:a.blake@lancaster.ac.uk))

## DUNE FD Integration

Lorena Escudero ([escudero@hep.phy.cam.ac.uk](mailto:escudero@hep.phy.cam.ac.uk))

## ProtoDUNE Integration

Steven Green ([sg568@hep.phy.cam.ac.uk](mailto:sg568@hep.phy.cam.ac.uk))

## MicroBooNE Integration

Andy Smith ([asmith@hep.phy.cam.ac.uk](mailto:asmith@hep.phy.cam.ac.uk))

## Graduate Students

MicroBooNE : Jack Anthony  
ProtoDUNE : Stefano Vergani  
DUNE : Jhanzeb Ahmed, Mousam Rai, Ryan Cross



<https://github.com/PandoraPFA>



<https://pandorapfa.slack.com>



UNIVERSITY OF  
CAMBRIDGE

Lancaster  
University



WARWICK  
THE UNIVERSITY OF WARWICK

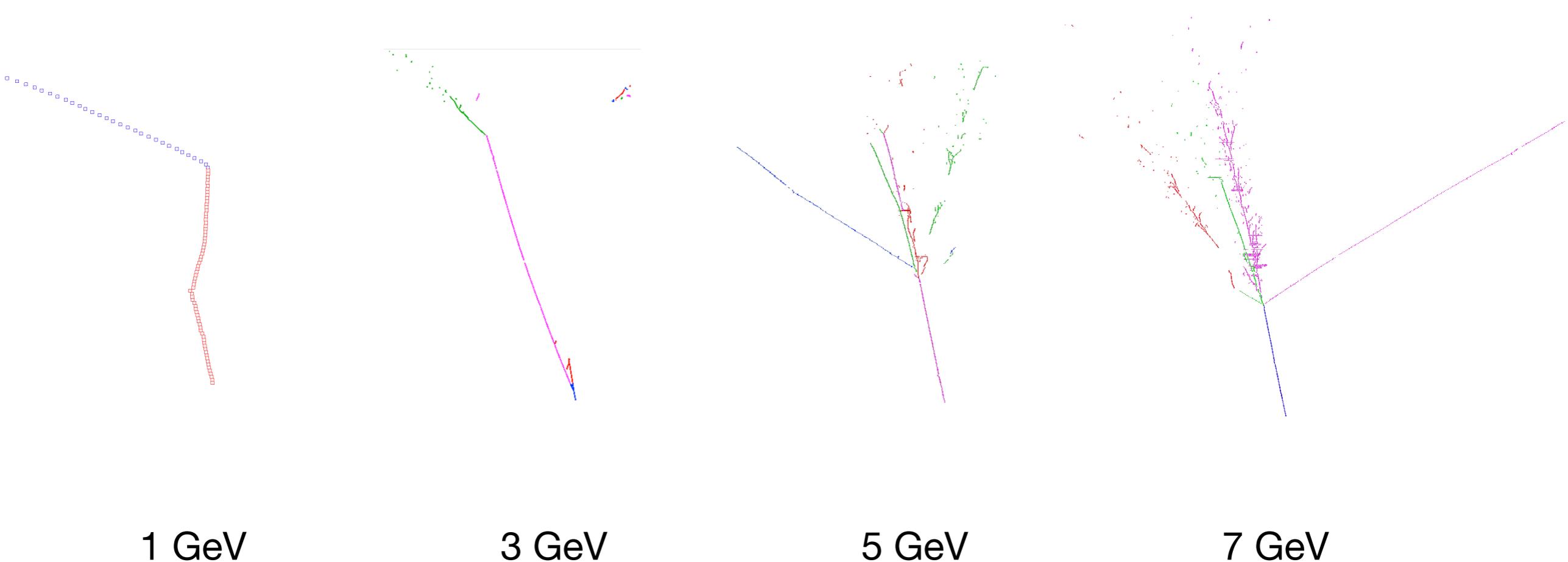


# Back Up



# Hierarchy Complexity

DUNE



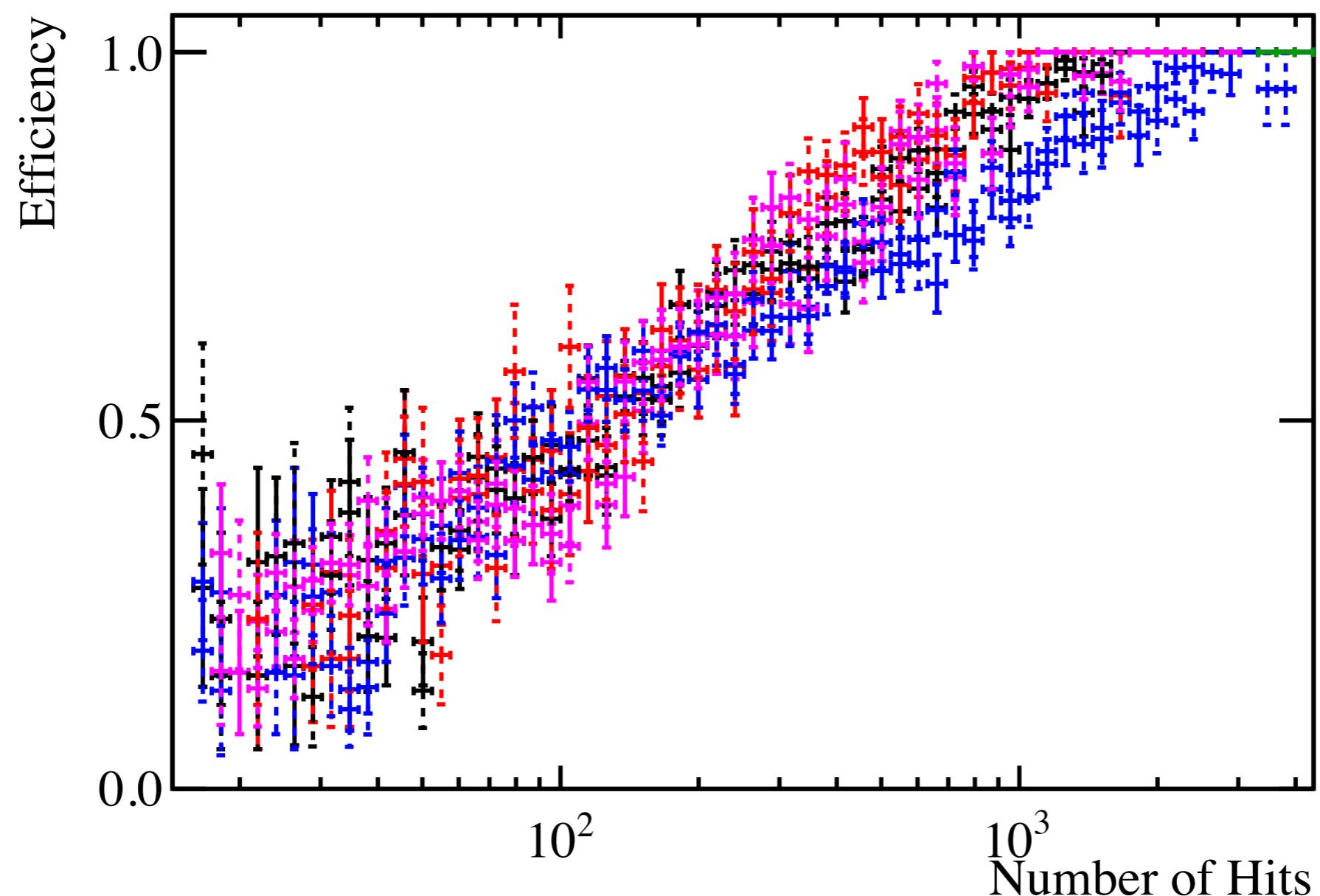


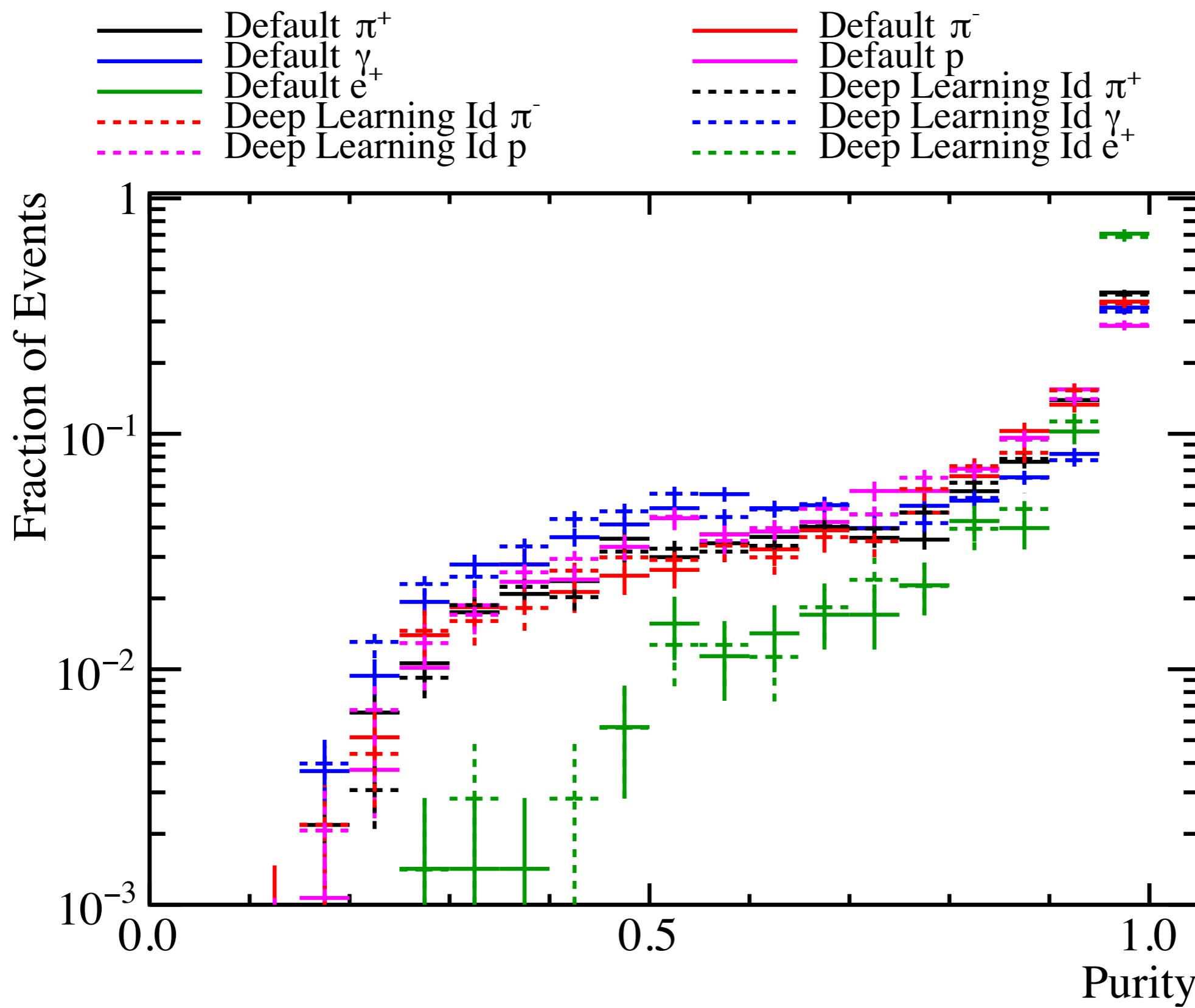
Standard Pandora -  
Solid Line

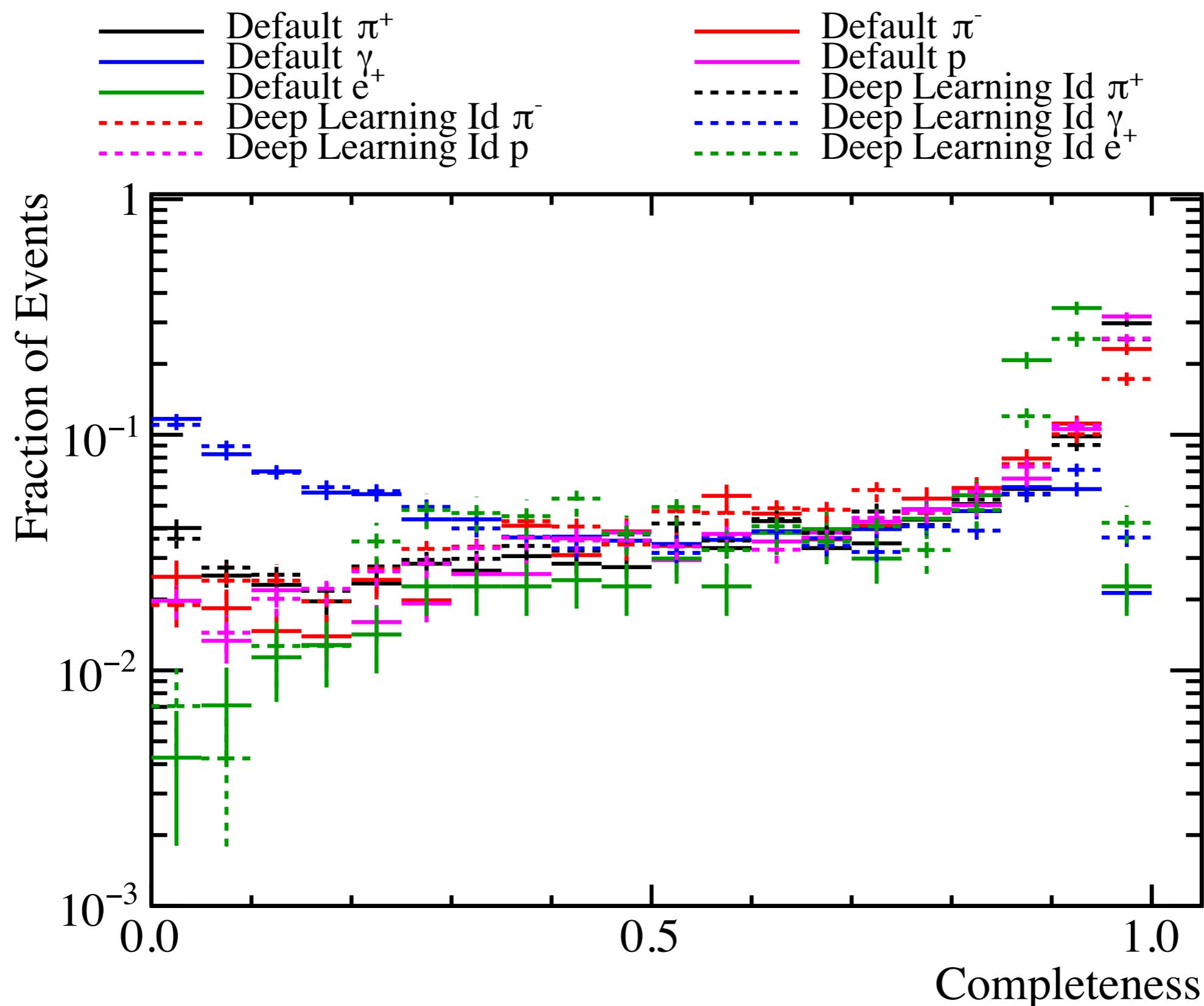
Semantic Segmentation -  
Dashed Line

DUNE

- |  |  |
|--|--|
| — $\pi^+$ , nEvts(Matched) : 4747(3208)  | — $\pi^+$ , nEvts(Matched) : 4747(3260)  |
| — $\pi^-$ , nEvts(Matched) : 2024(1362)  | — $\pi^-$ , nEvts(Matched) : 2024(1373)  |
| — $\gamma$ , nEvts(Matched) : 5475(3519) | — $\gamma$ , nEvts(Matched) : 5475(3521) |
| — p, nEvts(Matched) : 3401(1872)         | — p, nEvts(Matched) : 3401(1937)         |
| — $e^+$ , nEvts(Matched) : 717(704)      | — $e^+$ , nEvts(Matched) : 719(709)      |

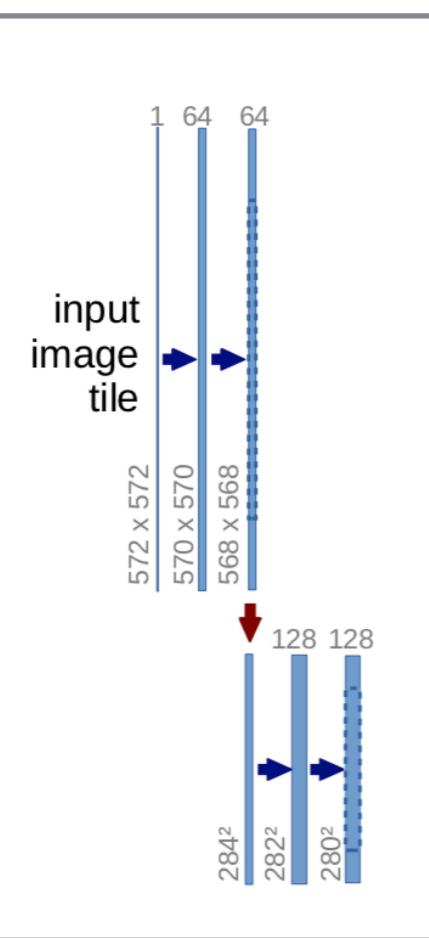
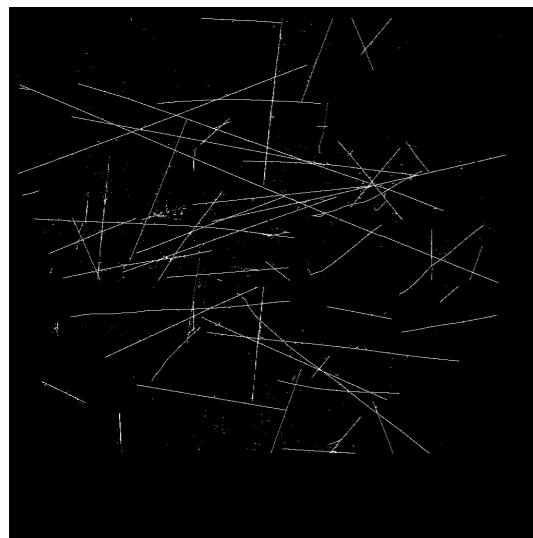




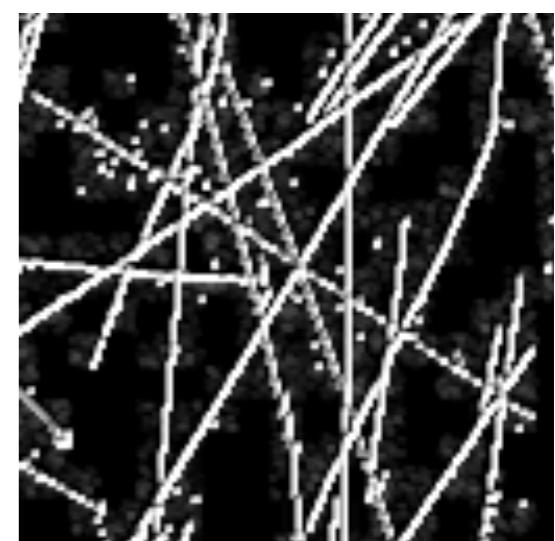
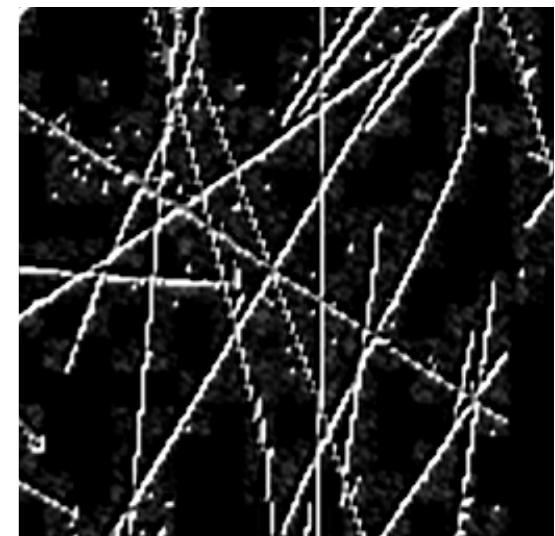




# UNet Architecture



Pool 2D





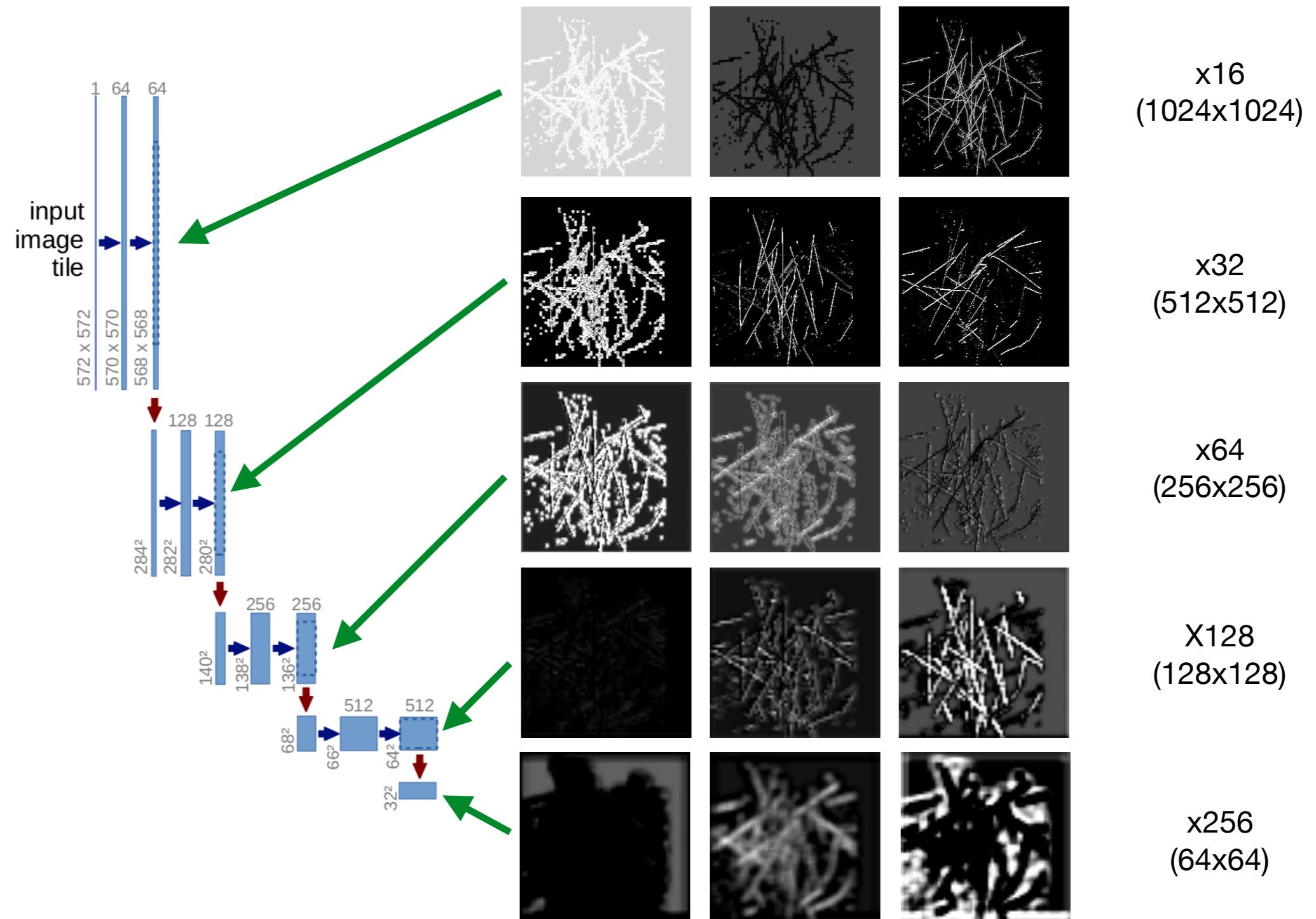
# UNet Architecture

DUNE

## Downsampling:



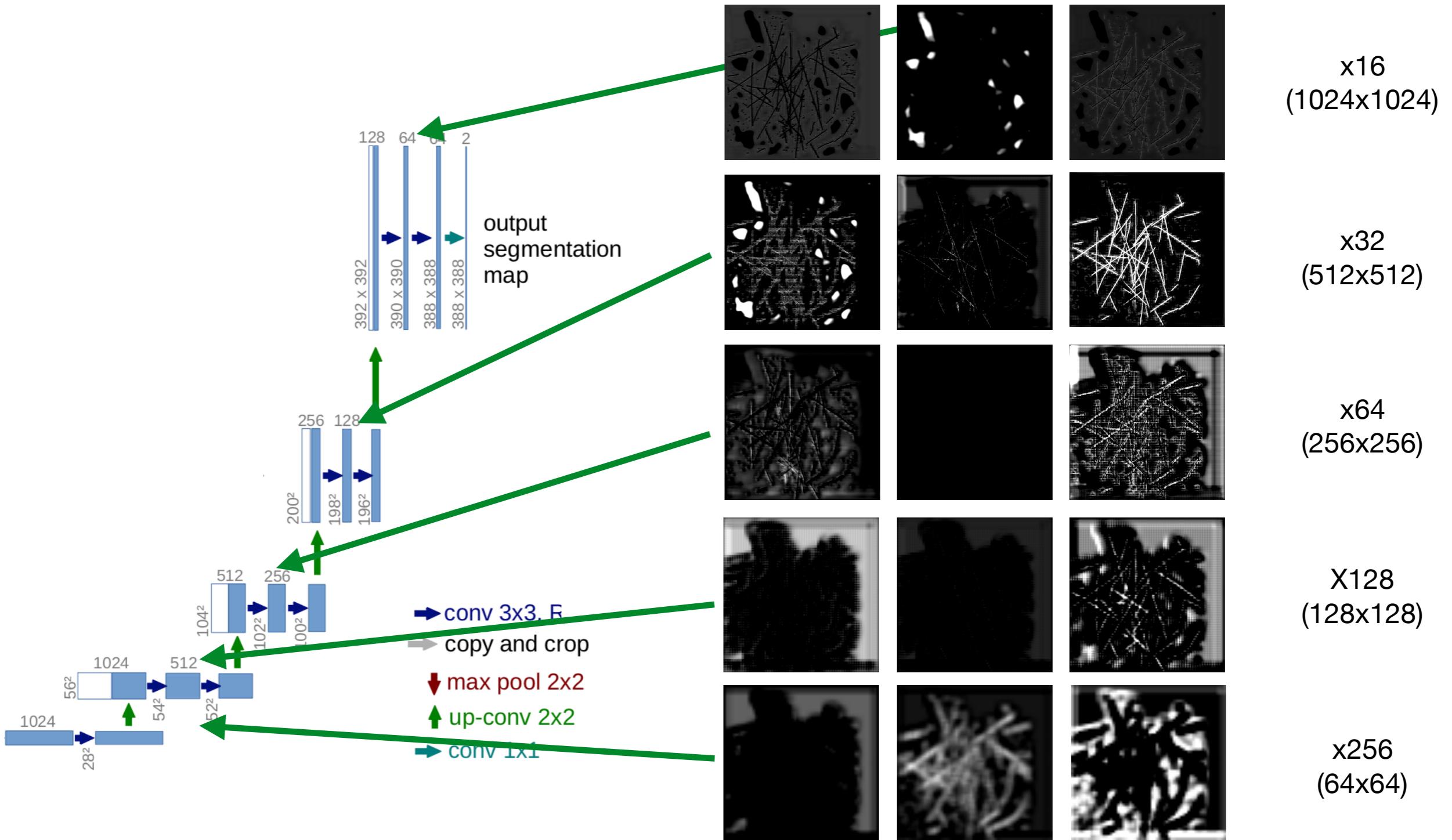
Input  
x3  
(1024x1024)





# UNet Architecture

Upsampling:



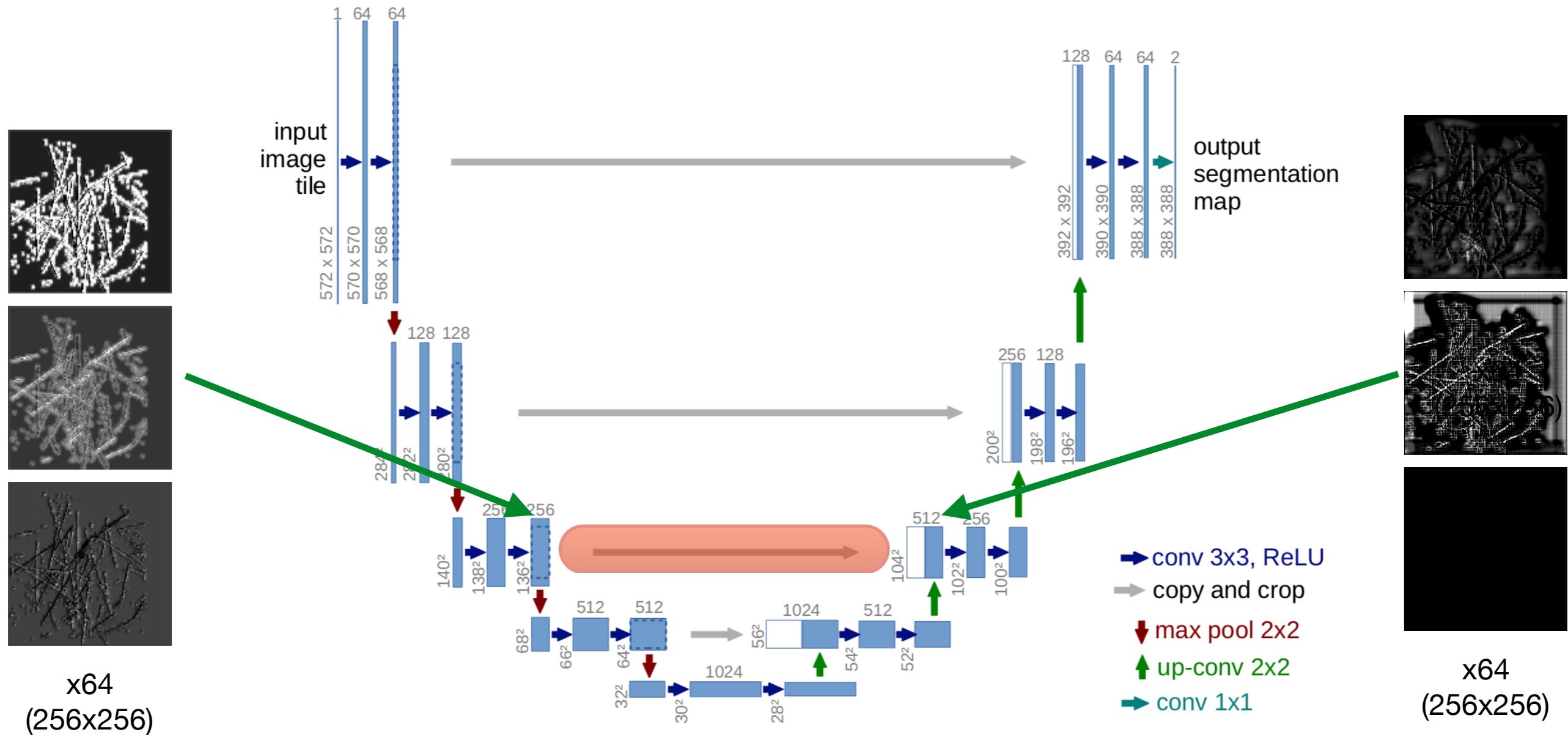
Note: The upsampling uses trained weights, they are not derived from the downsampling convolutions.



# UNet Architecture



**Connections:** The upsampling combines the relevant images directly from the downsampling part of the architecture.





## Conv2d ↴

**CLASS** `torch.nn.Conv2d(in_channels, out_channels, kernel_size, stride=1, padding=0, dilation=1, groups=1, bias=True, padding_mode='zeros')`

[\[SOURCE\]](#)

Applies a 2D convolution over an input signal composed of several input planes.

In the simplest case, the output value of the layer with input size  $(N, C_{\text{in}}, H, W)$  and output  $(N, C_{\text{out}}, H_{\text{out}}, W_{\text{out}})$  can be precisely described as:

$$\text{out}(N_i, C_{\text{out}_j}) = \text{bias}(C_{\text{out}_j}) + \sum_{k=0}^{C_{\text{in}}-1} \text{weight}(C_{\text{out}_j}, k) \star \text{input}(N_i, k)$$

where  $\star$  is the valid 2D **cross-correlation** operator,  $N$  is a batch size,  $C$  denotes a number of channels,  $H$  is a height of input planes in pixels, and  $W$  is width in pixels.



## MaxPool2d

**CLASS** `torch.nn.MaxPool2d(kernel_size, stride=None, padding=0, dilation=1, return_indices=False, ceil_mode=False)`

[SOURCE]

Applies a 2D max pooling over an input signal composed of several input planes.

In the simplest case, the output value of the layer with input size  $(N, C, H, W)$ , output  $(N, C, H_{out}, W_{out})$  and `kernel_size`  $(kH, kW)$  can be precisely described as:

$$\text{out}(N_i, C_j, h, w) = \max_{m=0, \dots, kH-1} \max_{n=0, \dots, kW-1} \text{input}(N_i, C_j, \text{stride}[0] \times h + m, \text{stride}[1] \times w + n)$$

If `padding` is non-zero, then the input is implicitly zero-padded on both sides for `padding` number of points. `dilation` controls the spacing between the kernel points. It is harder to describe, but this [link](#) has a nice visualization of what `dilation` does.