

Abstract

Over the last decade, Mexico has experienced a sharp increase in the economic costs associated with climatic events, such as floods, tropical cyclones and heavy rain. Different risk transfer instruments for disaster mitigation have been used in Mexico. At Federal level the main instruments are the National Disaster Fund (FONDEN) and the Catastrophic Bond (CATBOND). While CATBOND has clear operational rules and triggers, the resource allocation of the National Disaster Fund (FONDEN) is not clear. This paper address the main determinants for FONDEN using a set of political, socioeconomic and meteorological data(SVM & PCA) for all 32 Mexican federal entities for the period 2002-2007.

Our results show that the main determinants for FONDEN resource allocation are :, these findings lend us an important insight into the mechanisms

Based on our results, the recommendation for local governments is to develop an institutional structure that focuses on enhancing

Keywords: Natural Disaster, Disaster National Funds

Introduction

Over the last decade, Mexico has experienced an increase in the economic costs associated with hydro meteorological disasters such as floods, hurricanes and droughts. This is attributed to the combination of an increasing population and expanding economic activities along Mexico's coastal areas and arid zones with the mismanagement of its urban growth.¹ The most expensive year regarding disaster relief in Mexican history occurred in 2010 driven by geological and hydro meteorological phenomenon's, with an economic loss equivalent to 0.8% of the country's GDP.² Due to the effects of climate change and the unplanned expansion of urban areas, damages and losses from hydro meteorological events will likely continue to grow. In preparation, Mexico must strengthen its existing mechanisms for generating economic development, including Disaster Risk Management and resilience to climate change as key components of its development process³.

Disaster resilience refers to the *ability of a system and its component parts to anticipate, absorb, accommodate, or recover from the effects of a hazardous event in a timely and efficient manner, including through ensuring the preservation, restoration, or improvement of its essential basic structures and functions.*⁴ Three of the main factors for achieving resilience are: preparedness, reaction time and adaptability. For each of these factors, tools that enable monitoring and event identification are extremely important⁵ specially for public policy management.

++Include disaster risk transfer instruments++

Mexican Disaster Relief Scheme

++Federal & local existing risk transfer instruments++

Regarding Mexican Disaster Risk Management the Ministry of Interior (SEGOB) is responsible for manage the National Disaster Fund (FONDEN), the National Center for Preventing Disasters (CENAPRED) and the National System for Civil Protection (SINAPROC).⁶ SINAPROC is in charge of the first response during a disaster, mainly attending affected population. FONDEN is a national fund for attending public spending regarding disaster recovery. Meanwhile CENAPRED does the post disaster damage evaluation and the research for preparedness and early warning alarm system. The figure 1 explains the organization chart and the hierarchy of Mexican Disaster Risk Management.

¹Garcia A(2014) Desastres Naturales: ¿Destrucción Creativa?, ITAM

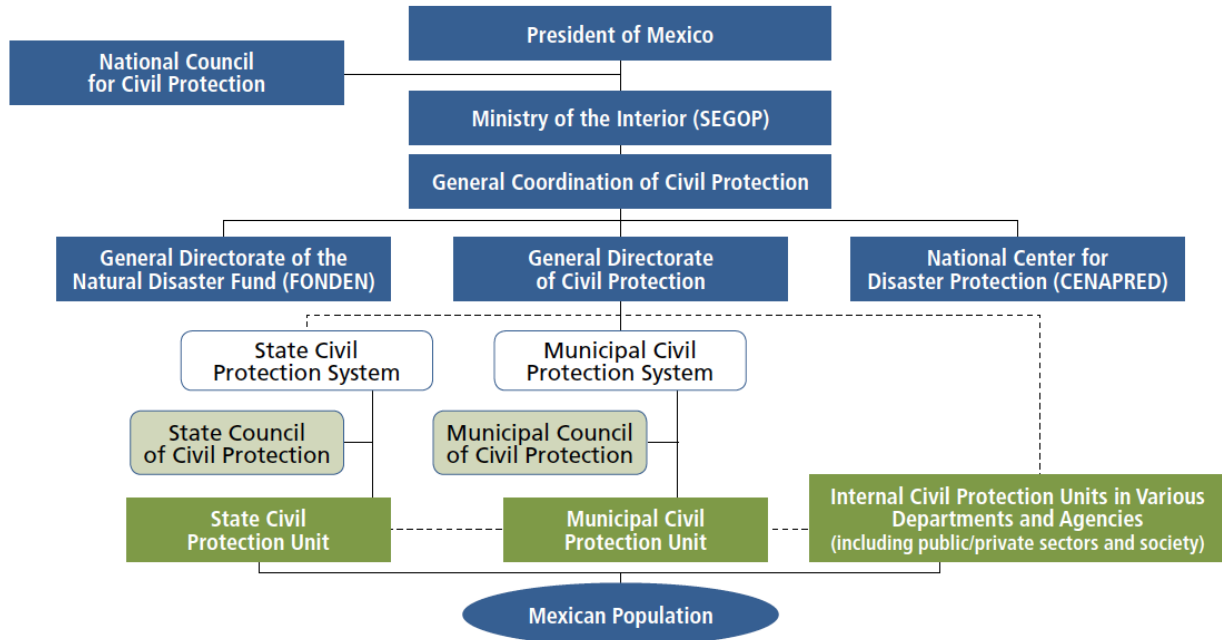
²CENAPRED(2010)

³Garcia A, Muñoz C (2014) The Effect of Natural Disasters on Mexico's Regional Economic Growth: Growing Disparity or Creative Destruction? LACEEP working paper

⁴IPCC, 2012, Glossary of terms. In: Managing the Risks of Extreme Events and Disasters to Advance Climate Change

⁵Ramirez-Marquez JE, Rocco CM. (2009) Stochastic network interdiction optimization via capacitated network reliability modeling and probabilistic solution discovery. Reliability Engineering and System Safety; 94(5):913–921

⁶This section heavily relies in the 2nd chapter "National context" Garcia, A (2014) Desastres Naturales: ¿Destrucción Creativa?, ITAM Bachelor thesis

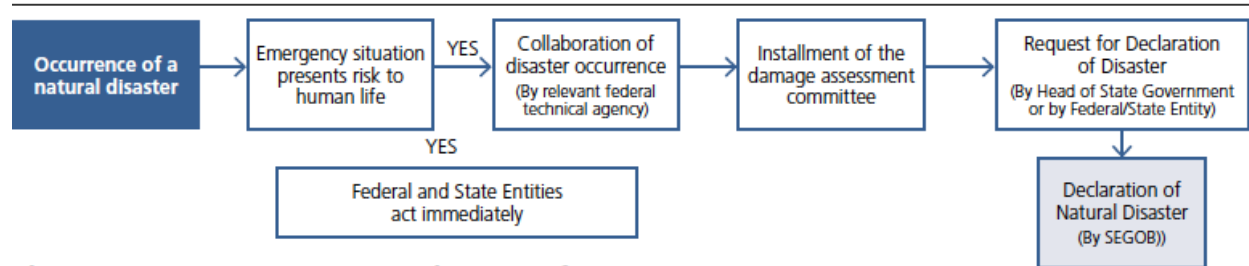


The Mexican Civil Protection Law defines a disaster as “... a situation in which the population of one or more State entities suffers several damages from the impact of a natural or man-made disaster calamity, resulting in loss of life, infrastructure or environment, in a way that disrupts the social structure and disturbs the essential activities of society, affecting livelihoods.” When a disaster occurs, the local government (municipal and state level) attends the disaster through the local civil protection system and a state fund for disasters. If the disaster overwhelms local capacity, the state government request help from SEGOB. One of the main problems is there are no indicators nor measures for “local capacity”.

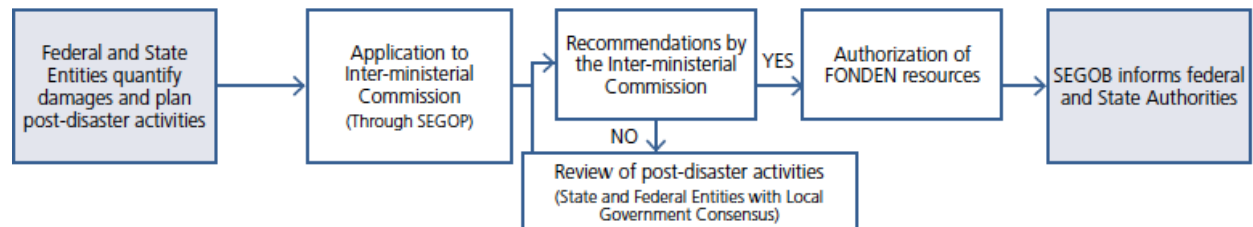
When a disaster occurs the process of declaration is the following: First, SEGOB must issue a declaration of a natural disaster in order for FONDEN resources to be accessible by affected federal agencies or state governments. Once this declaration has been made, the federal agencies and/or state government(s) can apply for funding and the damage assessment process. In order to ensure efficiency and accuracy of the damage assessment process, a committee composed by CENAPRED, the National Water Commission (CONAGUA) and the National Forestry Commission (CONAFOR), make a report of the disaster. Based on the findings of the damage assessment, SEGOB reviews the related funding applications, determines the appropriate allocations, and requests the Ministry of Finance and Public Credit (SHCP) to convene the FONDEN Technical Committee to authorize the transfer of funds to a subaccount for the reconstruction program in the FONDEN Trust. From this subaccount, resources are transferred to the service providers implementing reconstruction works. FONDEN resources finance 100 percent of the reconstruction costs for federal assets and 50 percent of those for local assets the first time that the assets are affected by a disaster, afterwards the percentage of recovery declines and local government should buy insurance for the reconstructed assets⁷. Figure 2 explains the process to access and execute FONDEN resources for post-disaster reconstruction.

⁷GFDRR (2012)

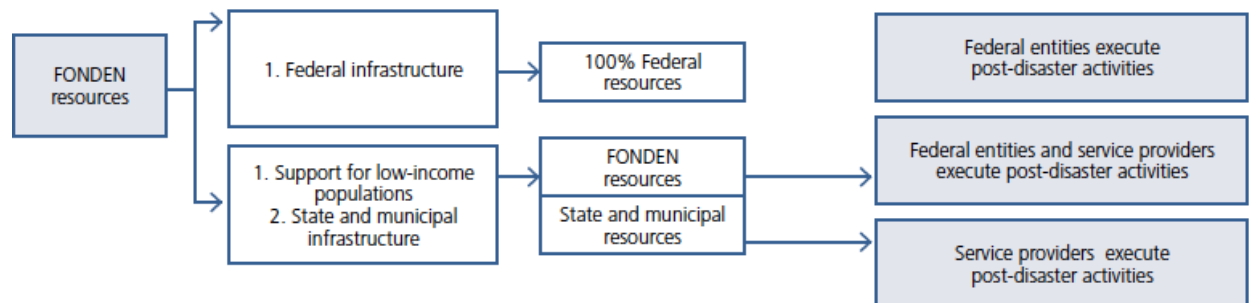
Phase 1: Occurrence and Declaration of a Natural Disaster



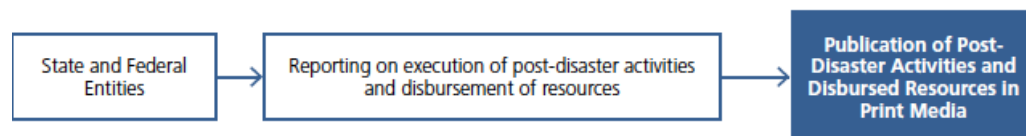
Phase 2: Damage Assessment and Request for FONDEN Resources



Phase 3: Disbursement of Resources and Implementation of Post-Disaster Activities

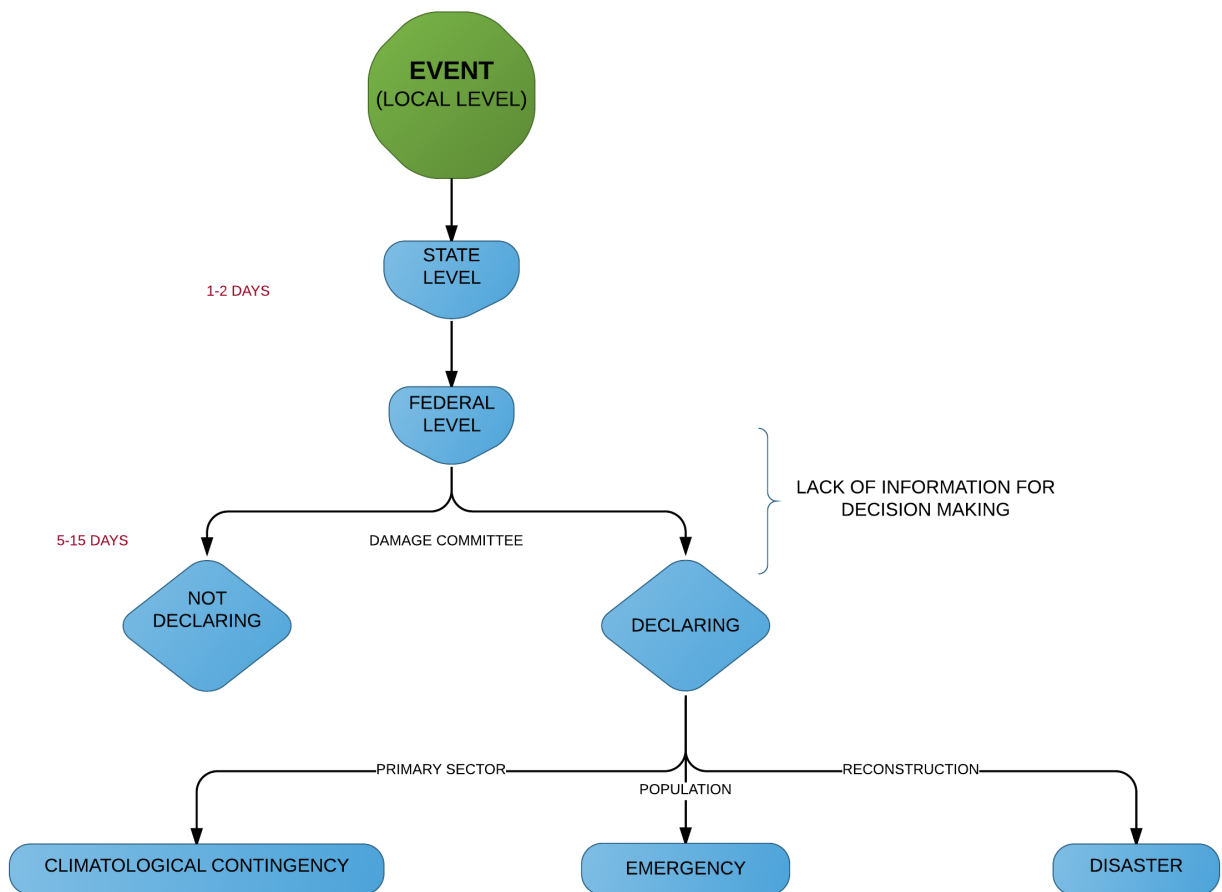


Phase 4: Dissemination of the Report on Post-Disaster Activities



Source: SHCP (2011).

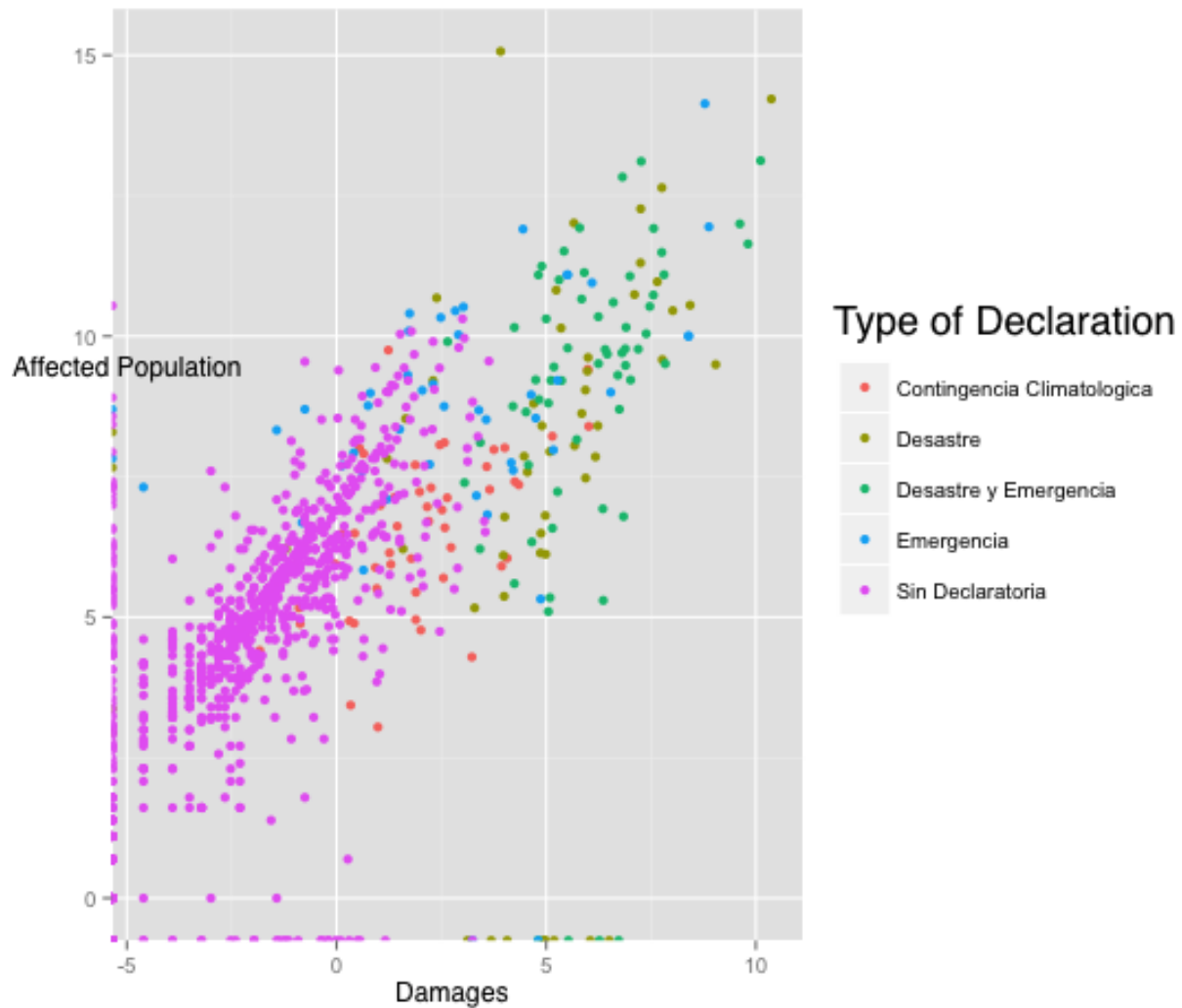
It is important to mention that federal disaster relief assistance is a grant and all the system depends in the declaration made by SEGOB. If the disaster is not declared then the state has no help from the federal level, meaning, it only has local assistance. If the disaster is declared, SEGOB has four types of declaration⁸



⁸The type of aid depends on the type of declaration

Research Problem

The lack of information during and after a disaster is one of the main problems for public policy makers for disaster mitigation and even conflict prevention.⁹¹⁰ As explained above when Mexican government decide between declaring and not declaring a disaster they only rely on the information given by the local governments and the meteorological data (precipitation, wind and temperature)



⁹Meier, Patrick. (2014). Crisis Mapping in Areas of Limited Statehood. In Information and Communication Technologies in Areas of Limited Statehood, ed. Steven Livingston and Gregor Walter-Drop. Oxford University Press

¹⁰Meier, Patrick. (2014). Human Computation for Disaster Response. In Handbook of Human Computation, ed. Pietro Michelucci et. al, Springer

Literature Review

Knowledge Gaps

Research Objectives

This project analyzes the main variables determining the Mexican process of disaster declaration looking forward a predictor for helping Mexican government to make a transparent process regarding disasters driven by hydro meteorological phenomena's. The objective of this project is to develop and apply methods to assess the suitability of using news flows and precipitation data to characterize disaster damages in Mexico looking forward to resource allocation improvement.

The extension of the project depends of the data needed and gathered. As mentioned above we will work towards an open, real time visualization platform for coordinated disaster mitigation for decision-making.

Data

The period of study is 2002-2013. The following section describes the data groups needed for this project:

Information Sources for Event Analysis

Meteorological Information	Tropical Storms route	NOOA	<ul style="list-style-type: none">• Day• Location
	Precipitation	SMN	<ul style="list-style-type: none">• Daily precipitation• station
Damage Assessment	Damage Assessment	CENAPRED	<ul style="list-style-type: none">• State• Date• Affected population• Damages
	Public Expenditure	FONDEN	<ul style="list-style-type: none">• State• Public expenditure• Affected sector
	Disaster Relief Assistance	SEGOB	<ul style="list-style-type: none">• Municipality• latitude and long• type of assistance
News	National News	EI	<ul style="list-style-type: none">• Radio• TV• Newspaper• Web

- **News data:** The Company “Eficiencia Informativa” [EI](#) gathers information from electronic, newspaper, radio and TV news. The idea is to scrap all the news related to climatic events during the period of study. The company gave an acces for using their information till the endo of the year.

They have different formats for downloading the information. The best is CSV

ID	Fecha	Hora	Medio	Tipo de Medio	Autor	Genero	Título	Resumen	Transcripción	Tema	Programa	Duración	Sección	Página	Precio	URL del Testigo
41009670	2013-09-16	18:58:04	sin embargo.com	Periodismo Digital	Redacción	Nota Informativa	Aerolíneas buscan puente aéreo para sacar a turistas de Acapulco; autoridades instalan 10 refugios	http://www.sinemargo.mx/16-09-2013/756281 Las aerolíneas Interjet y Aeroméxico informaron que apoyarán a los turistas que quedaron varados en el Puerto de Acapulco, en el estado de Guerrero, a causa de los daños en la Autopista del Sol que ocasionó la tormenta tropical Manuel. Por medio de su cuenta de Twitter Interjet indicó a sus usuarios que sino no pudieron viajar este lunes podrán hacerlo el día de mañana sin cargos adicionales. Indicó que también se podrán hacer cambios de ruta y fecha sin que se cobren cargos por las operaciones. Sin embargo, precisó que si existe una diferencia entre las tarifas deberá ser cubierta la diferencia. Aeroméxico comunicó que realizará vuelos extraordinarios de Acapulco a México en cuanto sea reabierto el Aeropuerto Internacional de Acapulco. Aeroméxico también ha dispuesto una tarifa especial en estos vuelos, de mil 599 pesos viaje sencillo, para apoyar a todos los pasajeros que por algún motivo busquen viajar durante esta contingencia, informó la aerolínea en un boletín de prensa. Indicó que en las próximas horas se darán a conocer los horarios de los vuelos a través de nuestra página web (www.aeromexico.com) y recordamos que, la página oficial de facebook, cuentas de twitter @Aeromexico.com y @iam_escucha, así como el call center 51-13-40-00 desde la Ciudad de México, se encuentran a disponibles para atender a los pasajeros, agregó. Las fuertes lluvias que han originado la tormenta y depresión tropical Manuel en el Pacífico e Ingrid en el Golfo, respectivamente, han golpeado principalmente a los estados de Guerrero en una costa y Veracruz en la otra. Desde el fin de semana ambos fenómenos meteorológicos han generado lluvias y afectaciones en las dos costas del país, con un saldo de al menos 34 muertos y 1 millón 200 mil afectados. Tan sólo en Guerrero, Luis	http://www.sinemargo.mx/16-09-2013/756281 Las aerolíneas Interjet y Aeroméxico informaron que apoyarán a los turistas que quedaron varados en el Puerto de Acapulco, en el estado de Guerrero, a causa de los daños en la Autopista del Sol que ocasionó la tormenta tropical Manuel. Por medio de su cuenta de Twitter Interjet indicó a sus usuarios que sino no pudieron viajar este lunes podrán hacerlo el día de mañana sin cargos adicionales. Indicó que también se podrán hacer cambios de ruta y fecha sin que se cobren cargos por las operaciones. Sin embargo, precisó que si existe una diferencia entre las tarifas deberá ser cubierta la diferencia. Aeroméxico comunicó que realizará vuelos extraordinarios de Acapulco a México en cuanto sea reabierto el Aeropuerto Internacional de Acapulco. Aeroméxico también ha dispuesto una tarifa especial en estos vuelos, de mil 599 pesos viaje sencillo, para apoyar a todos los pasajeros que por algún motivo busquen viajar durante esta contingencia, informó la aerolínea en un boletín de prensa. Indicó que en las próximas horas se darán a conocer los horarios de los vuelos a través de nuestra página web (www.aeromexico.com) y recordamos que, la página oficial de facebook, cuentas de twitter @Aeromexico.com y @iam_escucha, así como el call center 51-13-40-00 desde la Ciudad de México, se encuentran a disponibles para atender a los pasajeros, agregó. Las fuertes lluvias que han originado la tormenta y depresión tropical Manuel en el Pacífico e Ingrid en el Golfo, respectivamente, han golpeado principalmente a los estados de Guerrero en una costa y Veracruz en la otra. Desde el fin de semana ambos fenómenos meteorológicos han generado lluvias y afectaciones en las dos costas del país, con un saldo de al menos 34 muertos y 1 millón 200 mil afectados. Tan sólo en Guerrero, Luis	-	"Default 0:00 -> 0:00"	00:00:00		\$	1.00	http://data4.efinf.com/realder/display?id=338&u=19164877&db51b55114235c7a8c-11nk

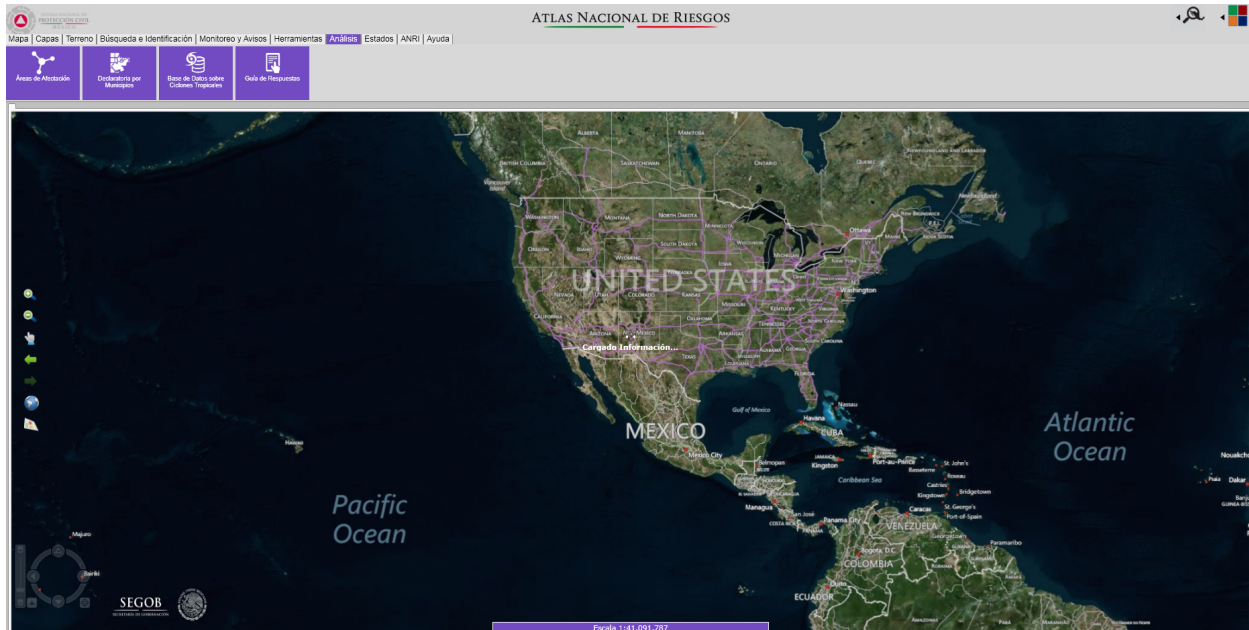
• Disaster Database:

- *Disaster Evaluation:* The National Center for Natural Disaster Prevention (CENAPRED) is in charge of the disaster damage and loss evaluation for the affected states. They gave us an access to the National Risk Atlas [ANR](#) and the evaluation dataset. Since Ingrid and Manuel Storms affected 19 of the 31 mexican states the government could not make a comprehensive damage evaluation. They were only able to do 5 damage evaluations (Durango, Guerrero, Nuevo Leon, Sianloa and Veracruz). The access to the ANR is not working so good since an older versión of explorer is needed. We are trying to access the platform in other system. ¹¹
- *Public Spending:* The Ministry of Interior(SEGOB) manages the Disaster Relief Fond (FONDEN) through the National Civil Protection Service (SINAPROC) ¹². The public spending records are

¹¹if the acces is not working next week we will procede to ask for each data base

¹²For more information about FONDEN system see García, A. (2014) "Desastres Naturales: Destrucción Creativa?",Chapter2, BA thesis ITAM

available online [Recursos Autorizados](#). Ingrid y Manuel disasters have a lag of 3 years of public spending. Each year has different format and information. We are working on the data cleaning. For following up the disasters, SEGOB opened a platform to inform the reconstruction actions and the money expended [Presidencia](#). The data in this platform does not match with the data obtained in FONDEN web site in spite of being the same government entity. We already send a mail asking for this inconsistencies.



- **Meteorological Information:** The precipitation data could help us as a proxy for damage metrics. The data could be obtained by NASA precipitation grid or by the National Meteorological System.

Methodology

++Intro clasificadores++

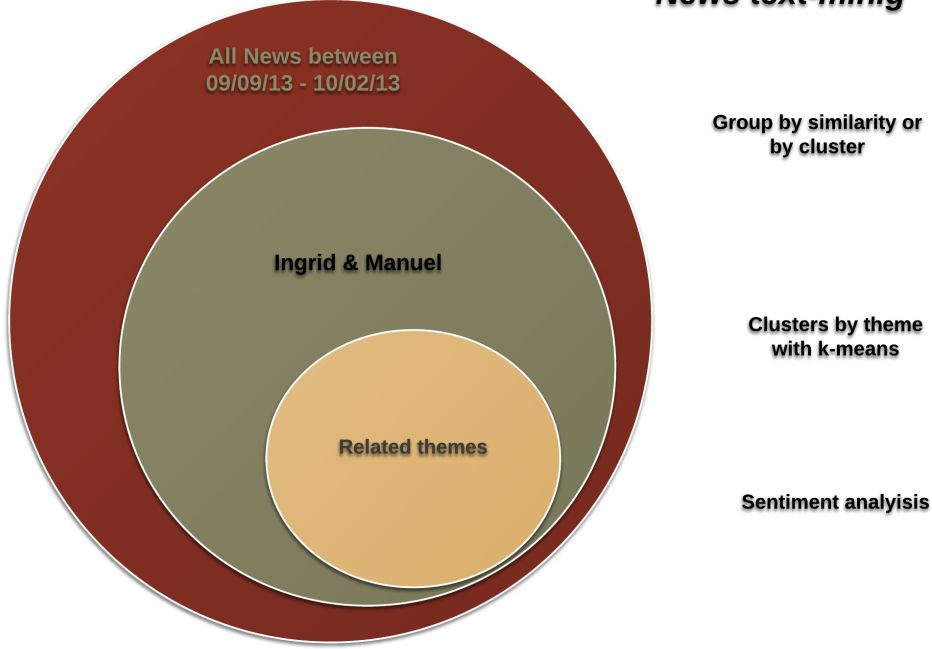
To classify the types of declarations a Support Vector Machine and a Random Forest will be used...

News mining

In the news dataset we have the transcripts of the news on TV, radio and newspapers. Radio transcripts are smaller than the TV or newspaper transcripts so for the text mining processing we need a methodology that allow us to search for the relevant (more frequent words) weighting the extension of the transcript and using stop words.

The idea is to do text mining for processing this database and follow the coverage of the disasters in the news.

News text-mining



+++++ Como se mencionó anteriormente, no basta con filtrar las noticias según la aparición de las palabras clave, ni tampoco un conteo simple. se podría tomar en cuenta los conteos, pero usando técnicas para disminuir la importancia de la longitud de los documentos y de la frecuencia de aparición de las palabras comunes.

Denotamos la frecuencia del término w (puede ser una palabra o la raíz de una palabra obtenida con *stemming*) en el documento d como $tf_{w,d}$. De manera natural, denotamos por tf_d al vector de todas las frecuencias de los términos conocidos del documento d . Supongamos que queremos comparar los documentos q y d . Un primer enfoque que podríamos utilizar sería usar la distancia coseno, que toma en cuenta únicamente la frecuencia relativa de las palabras dentro de los documentos:

$$d_{\text{coseno}}(q, d) = \frac{tf_q \cdot tf_d}{\|tf_q\| \|tf_d\|}$$

El problema con lo anterior es que las palabras comunes podrían tomar un papel protagónico y en nuestro caso compartir por ejemplo artículos o preposiciones no es muy interesante. Para mitigar esto utilizaremos la frecuencia inversa en documentos idf_w , definida para una palabra w . Si N es el número total de documentos en la colección y df_w es el número de documentos de la colección que contienen a w , entonces definimos la frecuencia inversa de documentos como sigue:

$$idf_w = \log\left(\frac{N}{df_w}\right)$$

Y entonces, en lugar de describir a d por medio de tf_d , lo describimos por medio de c_d , donde

$$c_{d,w} = idf_w \times tf_{d,w}$$

Y así, calculamos la distancia entre dos documentos como la distancia coseno entre sus vectores característicos:

$$d(q, d) = \frac{c_q \cdot c_d}{\|c_q\| \|c_d\|}$$

La idf_w es el logaritmo del inverso de la probabilidad de que el término w aparezca en un documento elegido al azar. El efecto que esto tiene es que las palabras comunes casi no tendrán ningún efecto en la distancia, puesto que su probabilidad es cercana a 1 y por lo tanto su idf es cercana a cero. Por el contrario, las palabras raras tendrán una probabilidad cercana a 0, por lo que su idf será grande y contribuirán mucho. La razón detrás de hacer esto es que queremos que discriminen las palabras especiales o específicas a un contexto y no las genéricas. El esquema expuesto está escrito con mayor detalle en el libro [Mining of Massive Datasets](#), con la pequeña diferencia de que ahí además normalizan tf_w por la máxima frecuencia obtenida por el término en la colección de documentos.

Indicador de Noticias

Plan A

Procesar todas las noticias en el periodo de estudio y sacar los temas relevantes en las fechas de desastres y ver si tuvo impacto en las noticias

Plan B Porcesar solo las noticias relacionadas a palabras clave relacionadas a eventos climáticos

++Pedir asesoría al profesor Luis Felipe Gonzalez++

+++++

Results

Conclusion

Further Investigation

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