

Module - 4

Dynamic programming: It was designed for optimizing multistage decision problems. Dynamic programming is an algorithm design method that can be used when the solution to a problem can be viewed as the result of a sequence of decisions.

- Dynamic programming is mainly an optimization over plain recursion.
- Dynamic programming relies on a principle of optimality. This principle states that in an optimal sequence of decisions or choice, each subsequence must also be optimal.
- Dynamic programming is used where we have problems, which can be divided into similar subproblems, so that their results can be re-used.

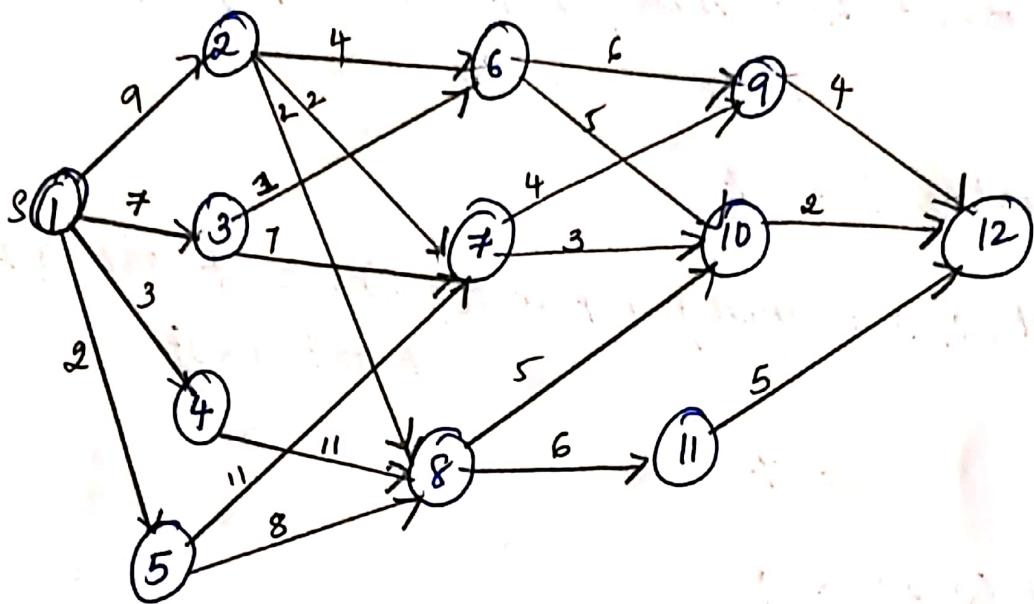
Ex: - optimal merge pattern

An optimal merge pattern tells which pair of files should be merged at each step. As a decision sequence, problem is to decide which pair of files should be merged first, which pair second and so on.

An optimal sequence of decisions is a least-cost sequence.

Multistage Graph

- It is a directed unweighted graph, $G = (V, E)$, vertices are divided into stages such that, the edges connects vertex from one stage to another. First stage and last stage has single vertex only.
- It is mainly applied in resource allocation.
- The vertex s is the source, and t is the sink.
- $c(i, j)$ - cost of edge (i, j) .
- The cost of the path from s to t is the sum of the costs of the edges on the path.
- Multistage graph problem is to find a minimum-cost path from s to t .
- Dynamic programming solution can be applied to find a optimal solution i.e principle of optimality



V	1	2	3	4	5	6	7	8	9	10	11	12
cost	16	7	9	18	15	7	5	7	4	2	5	0
d.	2/3	7	6	8	8	10	10	10	12	12	12	12

consider last - 1 stage - IV stage

stage vertex

$$\text{cost}(4, 9) = 4$$

$$\text{cost}(4, 10) = 2$$

$$\text{cost}(4, 11) = 5$$

III stage :

$$\text{cost}(3, 6) = \min \{ \text{cost}(6, 9) + \text{cost}(4, 9), \\ \text{cost}(6, 10) + \text{cost}(4, 10) \}$$

$$= \min \{ 6 + 4, 5 + 2 \}$$

$$= 7$$

$$\text{cost}(3, 7) = \min \{ 4 + \text{cost}(4, 9), 3 + \text{cost}(4, 10) \}$$
$$= 5$$

$$\text{cost}(3, 8) = 7$$

$$\text{cost}(2, 2) = \min \{ 4 + \text{cost}(3, 6), 2 + \text{cost}(3, 7), 1 + \text{cost}(3, 8) \}$$

$$= 7$$

$$\text{cost}(9, 3) = 9$$

$$\text{cost}(2, 4) = 18$$

$$\text{cost}(2, 5) = 15$$

$$\text{cost}(1, 1) = \min \{ 9 + \text{cost}(2, 2), 7 + \text{cost}(2, 3), 3 + \text{cost}(2, 4), 2 + \text{cost}(2, 5) \}$$

$$= 16$$

stage vertex

$$\text{Cost}(i', j') = \min \{ c(j', l) + \text{cost}(i+1, l) \}$$

$l \in V_{i+1}$

$(j', l) \in E$

Algorithm FGraph (G, k, n, p)

// the input is a k -stage graph $G = (V, E)$ with n vertices.
// indexed in order of stages. E is the set of edges and $c[i, j]$ is the cost. $P[1:k]$ is the minimum-cost path.

{

$\text{cost}[n] = 0;$

for $j = n-1$ to 1 step -1 do

{

let r_j be a vertex such that (j, r_j) is an edge of G_j and $c[j, r_j] + \text{cost}[r_j]$ is minimum;

$\text{cost}[j] = \text{cost}[j, r_j] + \text{cost}[r_j];$

$d[j] = r_j;$

{

$p[1] = 1;$

$p[k] = n;$

for $j = 2$ to $k-1$ do

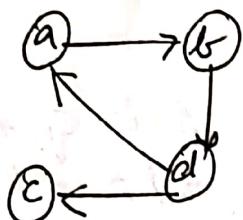
$p[j] = d[p[j-1]]$

{

Transitive closure:

- The transitive closure of a directed graph with 'n' vertices can be defined as n by n boolean matrix $T = \{t_{ij}\}$, in which the element in the i^{th} row ($1 \leq i \leq n$) & j^{th} column ($1 \leq j \leq n$) is 1 if there exists a non-trivial directed path

Example:



$$A = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 \end{bmatrix} \quad T = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 \\ 1 & 1 & 1 & 1 \end{bmatrix}$$

Adjacency Matrix

Transitive closure

- Transitive closure can be generated by DFS or BFS.

Warshall's Algorithm:

Warshall's algorithm constructs transitive closure of a given digraph with n vertices through a series of n by n boolean matrices:

$$R^{(0)}, \dots, R^{(k-1)}, R^k, \dots, R^n$$

- where the element $r_{ij}^{(k)}$ in the i^{th} row and j^{th} column of matrix $R^{(k)}$

is equal to 1, iff there exists a directed path from i^{th} vertex to the j^{th} vertex with each intermediate vertex if any numbered not higher than k .

$R^{(0)}$ → Adjacency matrix itself

Recurrence Relation for Warshall's Algorithm:

$$r_{ij}^{(k)} = r_{ij}^{(k-1)} \text{ or } (r_{ik}^{(k-1)} \text{ and } r_{kj}^{(k-1)})$$

i.e. (1) If an element r_{ij} is 1 in $R^{(k-1)}$, it remains 1 in R^k .

- (2) If an element r_{ij} is 0 in $R^{(k-1)}$, it has to be changed to 1 in R^k iff the element in its row 'i' and column 'k' & the element in its column 'j' & row 'k' are both 1's in $R^{(k-1)}$.

$$R^{(k-1)} = R \begin{bmatrix} & i & k \\ i & \begin{array}{|c|c|} \hline 1 & & \\ \hline & 1 & \\ \hline \end{array} & \begin{array}{|c|c|} \hline & 1 \\ \hline \end{array} \\ \begin{array}{|c|} \hline 0 \rightarrow \\ \hline \end{array} & \begin{array}{|c|c|} \hline & 1 \\ \hline \end{array} & \begin{array}{|c|c|} \hline & 1 \\ \hline \end{array} \end{bmatrix} \Rightarrow R^k = R \begin{bmatrix} & i & k \\ i & \begin{array}{|c|c|} \hline 1 & & \\ \hline & 1 & \\ \hline \end{array} & \begin{array}{|c|c|} \hline & 1 \\ \hline \end{array} \\ \begin{array}{|c|} \hline 1 \\ \hline \end{array} & \begin{array}{|c|c|} \hline 1 & \\ \hline & 1 \\ \hline \end{array} & \begin{array}{|c|c|} \hline 1 & \\ \hline & 1 \\ \hline \end{array} \end{bmatrix}$$

Algorithm:

Algorithm Warshall ($A[1 \dots n][1 \dots n]$)

// Computes - transitive closure

// Input - Adjacency matrix A of a digraph

// with n vertices

// Output: Transitive closure

$$\{ R^{(0)} = A$$

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for k=1 to n do
    for i=1 to n do
        for j=1 to n do

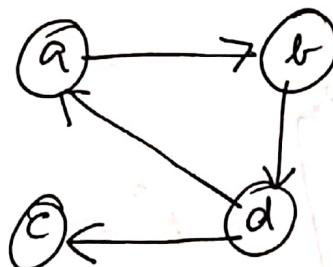
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$$R^k[i, j] = R^{k-1}[i, j] \text{ or } (R^{k-1}[i, k] \text{ and } R^{k-1}[k, j])$$

return R^k

}

problem : obtain the transitive closure for the following digraph using warshall's algorithm:



Solution:

Adjacency matrix

$$A = R^{(0)} = \begin{bmatrix} a & b & c & d \\ a & 0 & 1 & 0 & 0 \\ b & 0 & 0 & 0 & 1 \\ c & 0 & 0 & 0 & 0 \\ d & 1 & 0 & 1 & 0 \end{bmatrix}$$

$$R^{(0)} = \begin{array}{c|cccc} & a & b & c & d \\ \hline a & 0 & 1 & 0 & 0 \\ b & 0 & 0 & 0 & 1 \\ c & 0 & 0 & 0 & 0 \\ d & 1 & 0 & 1 & 0 \end{array}$$

highlight 1st row & 1st column
- observe 1st in column & row
 $(d, a) = 1$ & $(a, b) = 1$, then
 $(d, b) = 1$

$$R^{(2)} = a \begin{bmatrix} a & b & c & d \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 1 & 1 & 1 & 0 \end{bmatrix}$$

Highlight 2nd row & 2nd column:

$$(a, b) = 1, (b, d) = 1 \\ \therefore (a, d) = 1$$

$$(d, b) = 1, (b, d) = 1 \\ \therefore (d, d) = 1$$

$$R^{(2)} = a \begin{bmatrix} a & b & c & d \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 1 & 1 & 1 & 1 \end{bmatrix}$$

$d, c = 1$, so no new paths.

$$R^{(3)} = a \begin{bmatrix} a & b & c & d \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 1 & 1 & 1 & 1 \end{bmatrix}$$

$$(a, d) = 1 \quad \begin{cases} (d, a) = 1 & \therefore (a, a) = 1 \\ (d, b) = 1 & (a, b) = 1 \\ (d, c) = 1 & (a, c) = 1 \\ (d, d) = 1 & (a, d) = 1 \end{cases}$$

$$(b, d) = 1 \quad \begin{cases} (d, a) = 1 & \therefore (b, a) = 1 \\ (d, b) = 1 & (b, b) = 1 \\ (d, c) = 1 & (b, c) = 1 \\ (d, d) = 1 & (b, d) = 1 \end{cases}$$

$$R^{(4)} = a \begin{bmatrix} a & b & c & d \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 \\ 1 & 1 & 1 & 1 \end{bmatrix}$$

Transitive closure:

Floyd's Algorithm:

It computes the distance matrix D of a weighted graph with n vertices through a series of n by n matrices.

$$D^{(0)}, \dots, D^{(k-1)}, D^{(k)}, \dots, D^{(n)}$$

where the element $d_{ij}^{(k)}$ in the i^{th} row and j^{th} column of matrix $D^{(k)}$ ($k=0, 1, 2, \dots, n$) is equal to the length of the shortest path among all paths from the i^{th} vertex to j^{th} vertex, with each intermediate vertex, if any, numbered not higher than k .

→ $D^{(0)}$ is the cost adjacency matrix

Recurrence Relation for Floyd's Algorithm:

$$d_{ij}^{(k)} = \min \left\{ d_{ij}^{(k-1)}, d_{ik}^{(k-1)} + d_{kj}^{(k-1)} \right\} \quad \forall k \geq 1$$

$$\boxed{d_{ij}^{(0)} = a_{ij}}$$

- the element in the i^{th} row & j^{th} column of the current distance matrix $D^{(k-1)}$ is replaced by the sum of the elements in the same row i & the k^{th} column & in the same column j & the k^{th} column iff the latter sum is smaller than its current value.

Algorithm

ALGORITHM FLOYD ($A[1 \dots n][1 \dots n]$)

//Finds the shortest path b/w all pair of vertices.

// Input - The cost adjacency matrix A

// Output - the distance matrix D

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$D = A$

for $k=1$ to n do

 for $i=1$ to n do

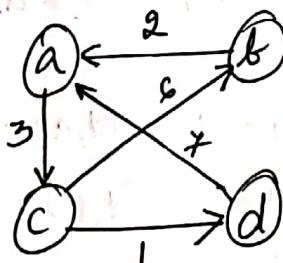
 for $j=1$ to n do

$$D[i, j] = \min \{D[i, j], D[i, k] + D[k, j]\}$$

return D

}

Problem: Apply Floyd's Algorithm to the following graph & find the shortest paths b/w all pairs of vertices.



Adjacency matrix $A = D^{(0)} =$

$$\begin{array}{l} \text{a b c d} \\ \hline \text{a} & 0 & \infty & 3 & \infty \\ \text{b} & 2 & 0 & \infty & \infty \\ \text{c} & \infty & 5 & 0 & 1 \\ \text{d} & 6 & \infty & \infty & 0 \end{array}$$

highlight 1st row & 1st column & observe non zero, non infinity values.

$$D^{(0)} = \begin{array}{c|cccc} & a & b & c & d \\ \hline a & 0 & \infty & 3 & \infty \\ b & 2 & 0 & \infty & \infty \\ c & \infty & \neq & 0 & 1 \\ d & 6 & \infty & \infty & 0 \end{array}$$

$(b, a) = 2$ $(a, c) = 3$
 $\therefore (b, c) = \min(2, 3+2) = 5$
 $(d, a) = 6$ $(a, c) = 3$
 $\therefore (d, c) = \min(6, 6+3) = 9$

$$D^{(1)} = \begin{array}{c|cccc} & a & b & c & d \\ \hline a & 0 & \infty & 3 & \infty \\ b & 2 & 0 & 5 & \infty \\ c & \infty & \neq & 0 & 1 \\ d & 6 & \infty & 9 & 0 \end{array}$$

$(c, b) = 7$ $(b, a) = 2$
 $\therefore (c, a) = \min(7, 2+2) = 9$
 $(b, c) = 5$ $(c, b) = 7$ $\therefore (b, b) \rightarrow \text{no change}$

$$D^{(2)} = \begin{array}{c|cccc} & a & b & c & d \\ \hline a & 0 & \infty & 3 & \infty \\ b & 2 & 0 & 5 & \infty \\ c & 9 & 7 & 0 & 1 \\ d & 6 & \infty & 9 & 0 \end{array}$$

$(a, c) = 3$ $(c, a) = 9$ $\therefore (a, a) - \text{no change}$
 $(a, c) = 3$ $(c, b) = 7$ $\therefore (a, b) = \min(10, 7+3) = 10$
 $(a, c) = 3$ $(c, d) = 1$ $\therefore (a, d) = \min(10, 3+1) = 4$

$(b, c) = 5$ $\begin{cases} (c, a) = 9 & \therefore (b, a) - \text{no change} \\ (c, b) = 7 & (b, b) - \text{no change} \\ (c, d) = 1 & (b, d) = \min(10, 5+1) = 6 \end{cases}$

$(d, c) = 9$ $\begin{cases} (c, a) = 9 & (d, a) = \text{no change} \\ (c, b) = 7 & (d, b) = \min(10, 9+7) = 16 \\ (c, d) = 1 & (d, d) = \text{no change} \end{cases}$

$$D^{(3)} = \begin{array}{c|cccc} & a & b & c & d \\ \hline a & 0 & 10 & 3 & 4 \\ b & 2 & 0 & 5 & 6 \\ c & 9 & 7 & 0 & 1 \\ d & 6 & 16 & 9 & 0 \end{array}$$

$$(a, d) = 4 \left\{ \begin{array}{l} (d, a) = 6 \quad \therefore (a, a) - \text{No change} \\ (d, b) = 16 \quad \therefore (a, b) - \text{No change} \\ (d, c) = 9 \quad \therefore (a, c) - \text{No change} \\ (d, d) = 0 \quad \therefore (a, d) - \text{No change} \end{array} \right.$$

$$(b, d) = 6 \left\{ \begin{array}{l} (d, a) = 6 \quad \therefore (b, a) = \text{No change} \\ (d, b) = 16 \quad \therefore (b, b) = \text{No change} \\ (d, c) = 9 \quad \therefore (b, c) = \text{No change} \\ (d, d) = 0 \quad \therefore (b, d) = \text{No change} \end{array} \right.$$

$$(c, d) = 1 \left\{ \begin{array}{l} (d, a) = 6 \quad \therefore (c, a) = \min(9, 1+6) = 7 \\ (d, b) = 16 \quad \therefore (c, b) = \text{No change} \\ (d, c) = 9 \quad \therefore (c, c) = \text{No change} \\ (d, d) = 0 \quad \therefore (c, d) = \text{No change} \end{array} \right.$$

$$D^4 = \underbrace{\begin{matrix} & a & b & c & d \\ a & 0 & 10 & 3 & 4 \\ b & 2 & 0 & 5 & 6 \\ c & 7 & 7 & 0 & 1 \\ d & 6 & 16 & 9 & 0 \end{matrix}}_{\text{Distance Matrix}}$$

problem

① Solve the following to find transitive closure.

$$A = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

② Solve the all pairs shortest paths problem for the digraph given a weight matrix

$$\begin{bmatrix} 0 & 2 & \infty & 1 & 8 \\ 6 & 0 & 3 & 2 & \infty \\ \infty & \infty & 0 & 4 & \infty \\ \infty & \infty & 2 & 0 & 3 \\ 3 & \infty & \infty & \infty & 0 \end{bmatrix}$$

Optimal Binary Search Tree:

- It is a binary search tree which provides the smallest possible search time for a given sequence of access probabilities.

Example:

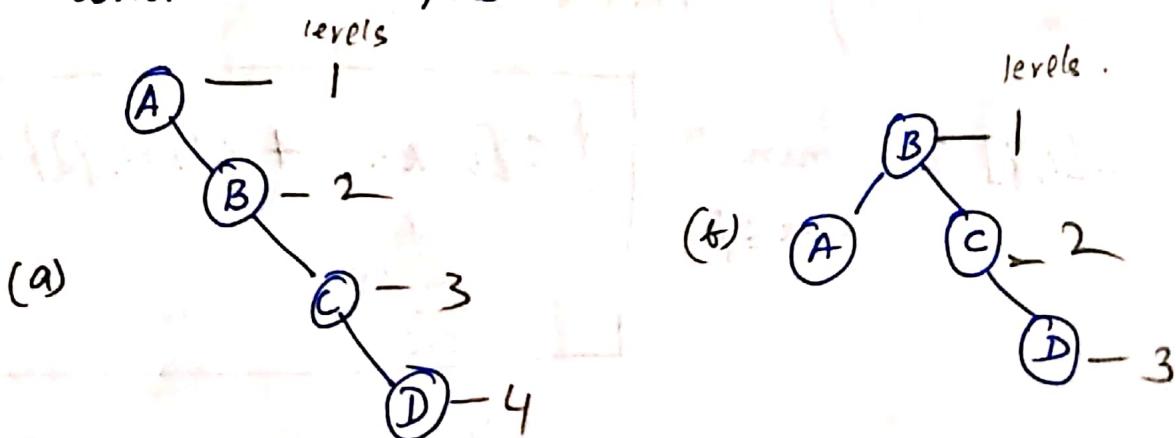
Let there be four keys A, B, C, D with probabilities 0.1, 0.2, 0.4 and 0.3 respectively. The number of binary search tree that can be formed

$$= \frac{2^n C_n}{n+1}$$

so 4 Keys

$$= \frac{2^4 C_4}{5} = \frac{8C_4}{5} = \frac{8! / 4!(8-4)!}{5} = 14$$

consider 2 possible BST with A, B, C, D



- Average number of comparisons in a successful search in tree (a)

is $0.1*1 + 0.2*2 + 0.4*3 + 0.3*4$
 $= 2.9$

tree (v) \rightarrow

$$0.1 \times 2 + 0.2 \times 1 + 0.4 \times 2 + 0.3 \times 3 = 2.1$$

using exhaustive search approach is unrealistic:
as finding solution for all different
binary tree will grow to $\frac{4^n}{n^{1.5}} \rightarrow \infty$

Dynamic programming Approach:

- set $a_1, \dots, a_n \rightarrow$ distinct keys ordered from the smallest to largest.
- $p_1, \dots, p_n \rightarrow$ probability of searching for them.
- let $c[i, j]$ - smallest average number of comparisons made in successful search in a binary search tree T_i^j made up of keys a_i, \dots, a_j , where i, j are some integer indices, $1 \leq i \leq j \leq n$.

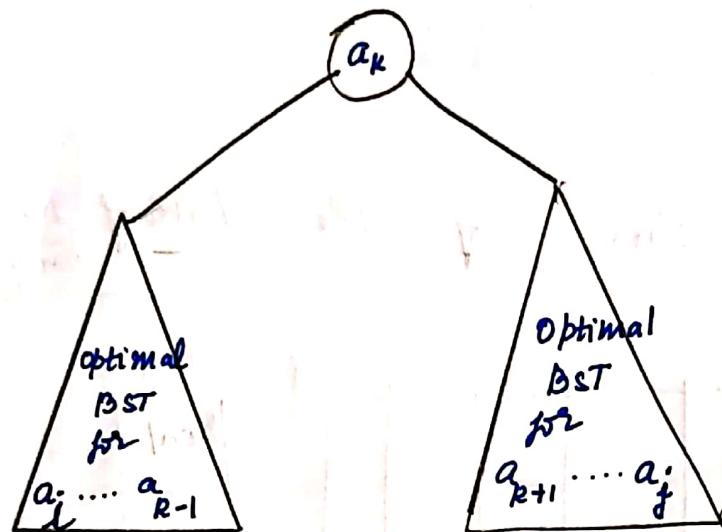
$$c[i, j] = \min_{i \leq k \leq j}$$

$$\boxed{\left\{ c[i, k-1] + c[k+1, j] \right\} + \sum_{s=i}^j p_s}$$

$$\nexists 1 \leq i \leq j \leq n$$

Note:

- $C[i, i-1] = 0 \quad \forall 1 \leq i \leq n+1$
- number of compositions in empty tree
- $C[i, i] = p_i \quad \forall 1 \leq i \leq n$
- one node binary search tree with a_i



Binary Search Tree (BST) with root a_k
and two optimal binary search subtrees

T_i^{k-1} and T_{k+1}^j

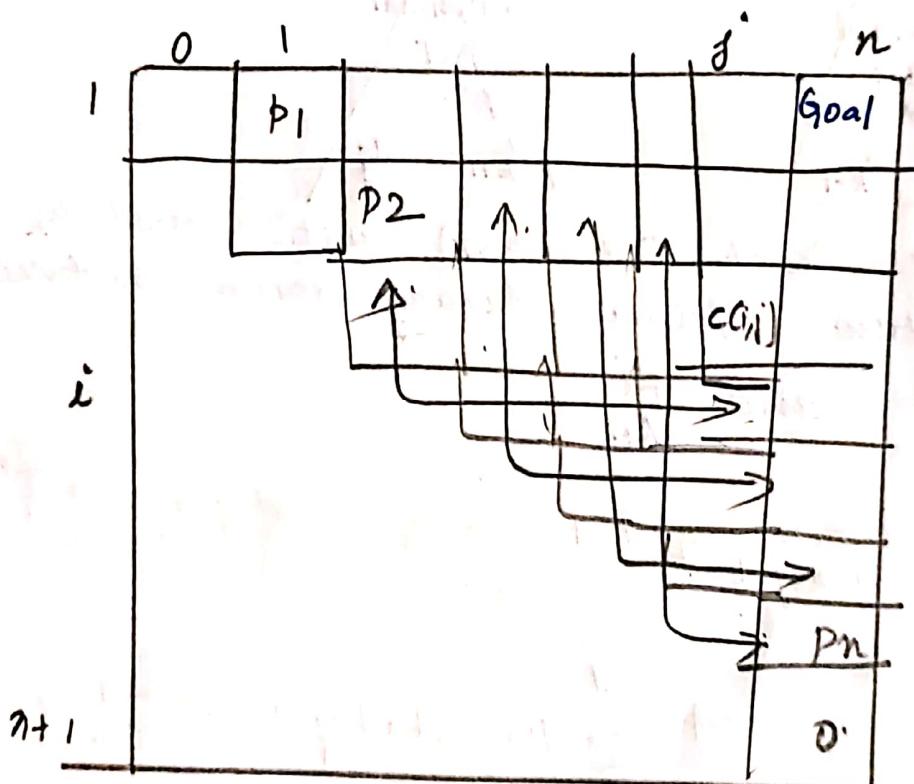
$$C[i, j] = \min_{i \leq k \leq j} \left\{ p_k \cdot 1 + \sum_{s=i}^{k-1} p_s \cdot (\text{level of } a_s \text{ in } T_i^{k-1} + 1) \right. \\ \left. + \sum_{s=i}^j p_s \cdot (\text{level of } a_s \text{ in } T_{k+1}^j + 1) \right\}$$

= :

$$= \boxed{\min_{i \leq k \leq j} \left\{ C[i, k-1] + C[k+1, j] + \sum_{s=i}^j p_s \right\}}$$

a	b	c	d
a	ab	abc	abcd
b	x	bc	bcd
c	x	x	cd
d	x	x	x

General structure of the table of Dynamic programming



Ex: Let us illustrate the algorithm by applying it to the four-key set we used at the beginning of this section:

key	A	B	C	D
probability	0.1	0.2	0.3	0.3

s.t.:

Initial table ~~book~~:

Main Table

	0	1	2	3	4
0	0.1				
1		0.2			
2			0.4		
3				0.3	
4					0
5					

Root Table.

	0	1	2	3	4
1		1			
2			2		
3				3	
4					4
5					

Compute $c[1,2]$ for $k=1, 2$

$$c[i,j] = \min_{i \leq k \leq j} \{ c[i, k-1] + c[k+1, j] \} + \sum_{s=i}^j p_s$$

$$c[1,2] = \min_{k=1, 2} \{ c[1,0] + c[2,2] \} + 0.3$$

$$c[1,2] = \min_{k=1, 2} \{ c[1,1] + c[3,2] \} + 0.3$$

$$= \min \{ 0 + 0.2 + 0.3 = 0.5 \quad = 0.4 \}$$

$$k=2 : 0.1 + 0 + 0.3 = 0.3$$

$$C[1,2] = 0.4 \quad \text{with } k=2$$

- update the table with $C[1,2]$ with
 $0.4 \& R[1,2] = 2$

Now compute $C[2,3]$ for $k=2, 3$

$$C[2,3] = \min \quad k=2 \rightarrow C[2,1] + C[3,3] + 0.6$$

$$k=3 \rightarrow C[2,2] + C[4,3] + 0.6$$

$$= \min \quad k=2 \rightarrow 0 + 0.4 + 0.6 = 1.0$$

$$k=3 \rightarrow 0.2 + 0 + 0.6 = 0.8$$

$$C[2,3] = 0.8 \quad \text{with } k=3$$

- update the table with

$$C[2,3] \text{ with } 0.8 \& R[2,3] = 3$$

Now compute $3,4$ for $k=3 \& 4$

$$k=3 \rightarrow C[3,2] + C[4,4] + 0.7$$

$$C[3,4] = \min$$

$$k=4 \rightarrow C[3,3] + C[5,4] + 0.7.$$

$$= \min \quad k=3 \rightarrow 0 + 0.3 + 0.7 = 1.0$$

$$k=4 \rightarrow 0.4 + 0 + 0.7 = 1.1$$

- Now compute $c[2,4]$ for $k=2,3,4$

$$c[2,4] = \min \begin{cases} k=2 \rightarrow c[2,1] + c[3,4] + 0.9 \\ k=3 \rightarrow c[2,2] + c[4,4] + 0.9 \\ k=4 \rightarrow c[2,3] + c[5,4] + 0.9 \end{cases}$$

$$= \min \begin{cases} k=2 \rightarrow 0 + 1.0 + 0.9 = 1.9 \\ k=3 \rightarrow 0.2 + 0.3 + 0.9 = 1.4 \\ k=4 \rightarrow 0.8 + 0 + 0.9 = 1.7 \end{cases}$$

$$c[2,4] = 1.4 \quad \text{with } k=3$$

update the table with $c[2,4] = 1.4$ &
 $R[2,4] = 3$

- Now compute $c[1,4]$ for $k=1,2,3,4$

$$c[1,4] = \min \begin{cases} k=1 \rightarrow c[1,0] + c[2,4] + 1.0 \\ k=2 \rightarrow c[1,1] + c[3,4] + 1.0 \\ k=3 \rightarrow c[1,2] + c[4,4] + 1.0 \\ k=4 \rightarrow c[1,3] + c[5,4] + 1.0 \end{cases}$$

$$c[1,4] = \min \begin{cases} k=1 \rightarrow 0 + 1.4 + 1 = 2.4 \\ k=2 \rightarrow 0.1 + 1.0 + 1.0 = 2.1 \\ k=3 \rightarrow 0.4 + 0.3 + 1.0 = 1.7 \\ k=4 \rightarrow 1.1 + 0 + 1.0 = 2.1 \end{cases}$$

$$\boxed{\therefore c[3,4] = 1.0 \text{ with } k=3}$$

update the table with $c[3,4] = 1.0$ &
 $R[3,4] = 3$

Now compute $c[1,2]$ for $k=1, 2$ and 3.

$$c[1,3] = \min \begin{cases} k=1 \rightarrow c[1,0] + c[2,3] + 0.7 \\ k=2 \rightarrow c[1,1] + c[3,3] + 0.7 \\ k=3 \rightarrow c[1,2] + c[4,3] + 0.7 \end{cases}$$

$$= \min \begin{cases} k=1 \rightarrow 0 + 0.8 + 0.7 = 1.5 \\ k=2 \rightarrow 0.1 + 0.4 + 0.7 = 1.2 \\ k=3 \rightarrow 0.4 + 0 + 0.7 = 1.1 \end{cases}$$

$$\boxed{\therefore c[1,3] = 1.1 \text{ with } k=3}$$

update the table with $c[1,3] = 1.1$
 and $R[1,3] = 3$.

$$C[1,4] = 1.7 \quad \text{with} \quad k=3$$

- update the table with $C[1,4] = 1.7$ and
 $R[1,4] = 3$

Final Table

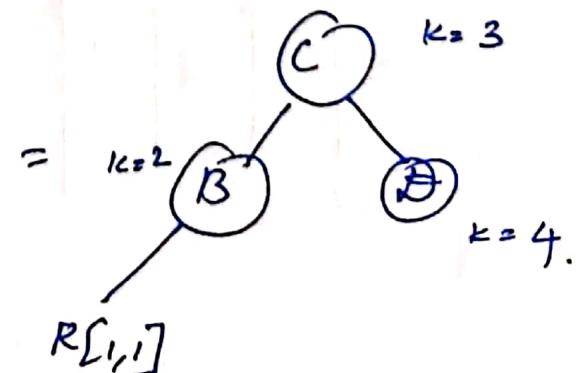
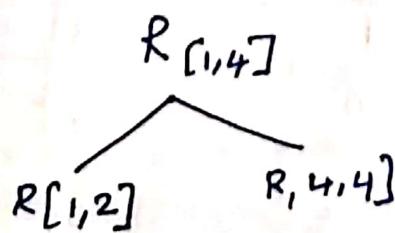
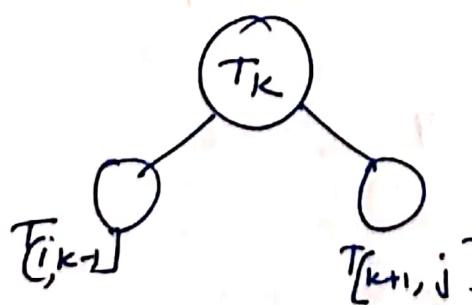
Main Table

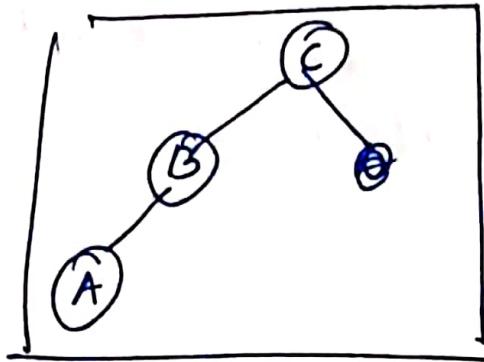
	0	1	2	3	4
1	0	0.1	0.4	1.1	1.7
2	0	0.2	0.8	1.4	
3	0	0.4	1.0		
4	0	0.3			
5			0		

Root Table

	1	2	3	4
1	1	2	3	3
2		2	3	3
3			3	3
4				4

- To build a tree $R[i][n]$, i.e $R[1][4]$ is
 $\text{Root} \rightarrow 3$ i.e C



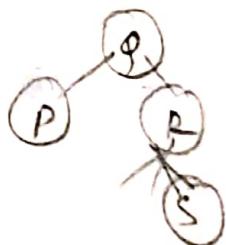


The optimal
Binary search Tree

Ex: construct optimal Binary Search tree for the following data.

key	P	Q	R	S
probability	$\frac{1}{20}$	$\frac{1}{5}$	$\frac{1}{10}$	$\frac{1}{20}$
	1	4	2	1

} Take LCM



sol

	0	1	2	3	4
1	0	1	6	10	13
2	0	4	8	12	
3		0	2	4	
4			0	1	
5					0

	1	2	3	4
1	1	2	2	2
2		2	2	2
3			3	3
4				4.

- If the keys are in nondecreasing order, then running time of the algorithm to $\Theta(n^2)$.

Algorithm : optimalBST ($P[1 \dots n]$)

// Finds an optimal binary tree by dynamic programming

// Input : An array $P[1 \dots n]$ of search probabilities for a sorted list of n keys.

// Output: Average number of comparisons in successful searches in the optimal BST and table R of subtrees root in the optimal BST.

for $i \leftarrow 1$ to n do

$c[i, i-1] \leftarrow 0;$

$c[i, i] \leftarrow P[i];$

$R[i, i] \leftarrow i;$

$c[n+1, n] \leftarrow 0;$

for $d \leftarrow 1$ to $n-1$ do

 for $i \leftarrow 1$ to $n-d$ do

$j = i+d;$

$minval \leftarrow \infty;$

 for $k \leftarrow i$ to j do

 if $c[i, k-1] + c[k+1, j] < minval$

$minval \leftarrow c[i, k-1] + c[k+1, j];$

$kmin \leftarrow k;$

$R[i, j] = Rmin;$

$sum \leftarrow P[i];$

$sum \leftarrow P[i];$

 for $s \leftarrow i+1$ to j do

$sum \leftarrow sum + P[s];$

$c[i, j] \leftarrow minval + sum$

 return $c[i, n], R$

$return c[i, n], R$

The knapsack problem & memory functions

Problem statement:

Given 'n' objects of known weights (w_1, w_2, \dots, w_n) and profits (v_1, v_2, \dots, v_n) & the knapsack capacity - W ,

the task is to find most valuable subset of items that fit into the knapsack.

- All the weights and knapsack capacity are tre integers, the item values do not have to integers.

Dynamic program Approach:

- Let's consider an instance of the problem with first 'i' items, $1 \leq i \leq n$ with the weights w_1, \dots, w_i , values (profits) v_1, \dots, v_i & knapsack capacity j , $1 \leq j \leq W$.
- Let $v[i, j]$ be the value of the optimal solution to the instance i.e value of the most valuable subset of the first i items that fit onto the knapsack of capacity j .
- This subset can be divided into 2 categories:
 - (i) those that do not include i^{th} item;
 - (ii) Those that include i^{th} item.

Recurrence Relation:

- (i) Among the subsets that do not include i^{th} item, the value of an optimal subset is $V[i-1, j]$.
- (ii) Among the subsets that include i^{th} item hence $(j-w_i \geq 0)$, an optimal subset is made up of this item & an optimal subset of the first $(i-1)$ items that fit into the knapsack of capacity $j-w_i$. The value of such an optimal subset is $v_i + V[i-1, j-w_i]$.

∴ The recurrence relation is given by:

$$V[i, j] = \begin{cases} V[i-1, j] \\ \max \{ V[i-1, j], v_i + V[i-1, j-w_i] \} \end{cases} \quad \text{if } j-w_i \geq 0$$

with initial conditions:

$$V[0, j] = 0 \quad \text{for } j \geq 0 \text{ &}$$

$$V[i, 0] = 0 \quad \text{for } i \geq 0$$

problem: find an optimal solution for the following instance of knapsack problem using dynamic programming:

item	weight	value	
1	2	12	
2	1	10	
3	3	20	
4	2	15	capacity, $w=5$

Sol since $n=4$ & $w=5$, the solution matrix will have $n+1$ rows & $w+1$ columns as shown below:

	Capacity j					
i	0	1	2	3	4	5
0						
1						
2						
3						
4						

- we know from the recurrence relation that $V[i, j] = 0$ for $i=j=0$.
[$i=0$ indicates no objects & $j=0$ indicates knapsack capacity is not filled].

- From the recurrence relation

$$\text{we know } v[i, j] = 0$$

so first row and first column = 0

- consider $i=1, w_i=2, v_i=12$ & compute the values for $j=1, 2, 3, 4, 5$.

$$\text{ie } v[i, j] = v[i-1, j] \quad \text{if } (j-w_i \leq 0 \text{ & } j \leq w_i)$$

$$v[i, j] = \max [v[i-1, j], v_i + v[i-1, j-w_i]]$$

$$\text{if } (j-w_i > 0 \text{ & } j \geq w_i)$$

$$v[1, 1] = v[0, 1] = 0$$

$$v[1, 2] = \max [v[0, 2], 12 + v[0, 0]] = \max (0, 12) = 12$$

$$v[1, 3] = \max [v[0, 3], 12 + v[0, 1]] = \max (0, 12) = 12$$

$$v[1, 4] = \max [v[0, 4], 12 + v[0, 2]] = \max (0, 12) = 12$$

$$v[1, 5] = \max [v[0, 5], 12 + v[0, 3]] = \max (0, 12) = 12$$

→ consider $i=2, w_i=1, v_i=10$ & compute the values for j :

$$v[2, 1] = \max [v[1, 1], 10 + v[1, 10]] = \max (0, 10) = 10$$

$$v[2, 2] = \max [v[1, 2], 10 + v[1, 1]] = \max (12, 10) = 12$$

$$v[2, 3] = \max [v[1, 3], 10 + v[1, 2]] = \max (12, 22) = 22$$

$$v[2, 4] = \max [v[1, 4], 10 + v[1, 3]] = \max (12, 22) = 22$$

$$v[2, 5] = \max [v[1, 5], 10 + v[1, 4]] = \max (12, 22) = 22$$

- consider $i=3$, $w_i = 3$, $v_i = 20$ & compute the values:

$$v[3,1] = v[2,1] = 10$$

$$v[3,2] = v[2,2] = 12$$

$$v[3,3] = \max[v[2,3], 20 + v[2,0]] = \max(22, 20) \\ = 22$$

$$v[3,4] = \max[v[2,4], 20 + v[2,1]] = \max(22, 30) = 30$$

$$v[3,5] = \max[v[2,5], 20 + v[2,2]] = \max(22, 32) = 32$$

- consider $i=4$, $w_i = 2$, $v_i = 15$

$$v[4,1] = v[3,1] = 10$$

$$v[4,2] = \max[v[3,2], 15 + v[3,0]] = \max(12, 15) = 15$$

$$v[4,3] = \max[v[3,3], 15 + v[3,1]] = \max(22, 15) = 22$$

$$v[4,4] = \max[v[3,4], 15 + v[3,2]] = \max(30, 27) = 30$$

$$v[4,5] = \max[v[3,5], 15 + v[3,3]] = \max(32, 37) = 37$$

i	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	12	12	12	12
2	0	10	12	22	22	22
3	0	10	12	22	30	32
4	0	10	15	25	30	37

Solve the following instance of knapsack problem
using dynamic programming:

item	weight	value
1	3	25
2	2	20
3	1	15
4	4	40
5	5	50

$$W = 6$$

Travelling Salesman problem (TSP)

Problem statement:

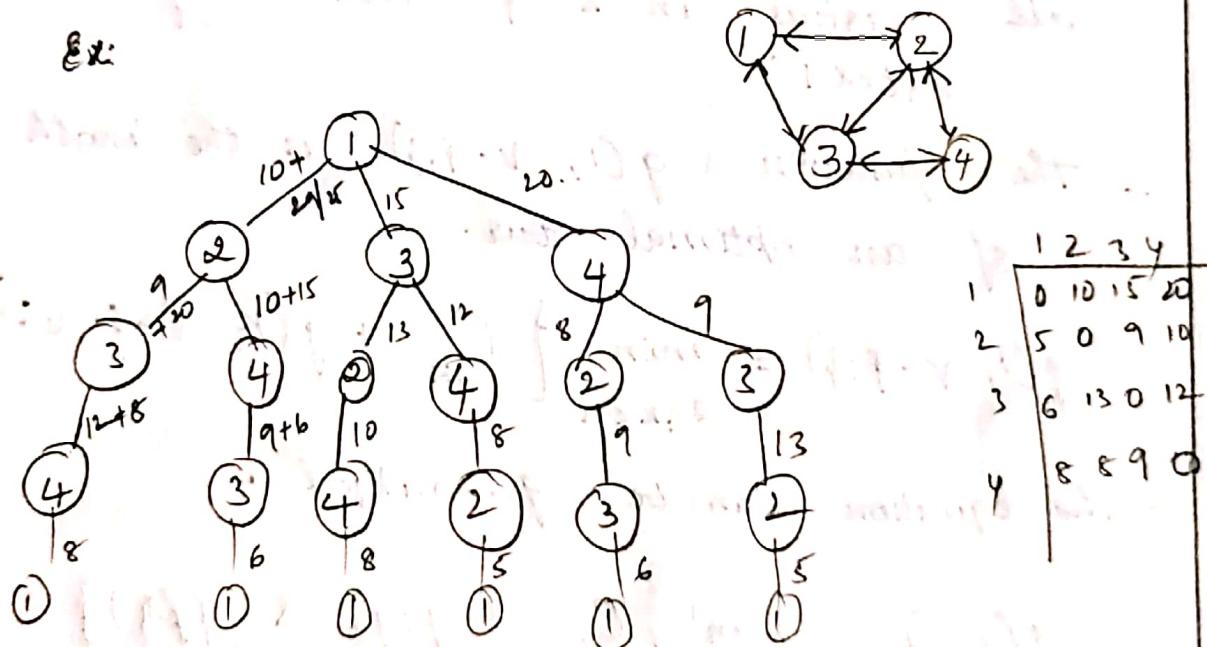
Given \rightarrow n cities & distances between them

- Source (starting point).

The task is: the salesperson starts his tour from source and visits all $(n-1)$ cities exactly once and returns back to the source. Objective is - to finish this tour at minimum cost.

Dynamic programming Approach:

Ex:



$$g(1, \{2, 3, 4\}) = \min \left(C_{1k} + g(k, \{2, 3, 4\} - \{k\}) \right)$$

from 1 to 2, 3, 4.

Remaining.

subtract
which is
considered

- TSP can be modelled as a graph $G = (V, E)$, where vertices represent cities, weights linked to edges represent distance/cost involved between adjacent vertices
 - Consider source vertex as 1
 - Tour is a simple path that starts and ends at vertex 1
 - Every tour consists of an edge $(1, k)$ for some $k \in V - \{1\}$ & a path from vertex k to vertex 1
 - Let $g(i, s)$ be length of shortest path starting at vertex i , going through all vertices in s & terminating at vertex 1
 - The function $g(1, V - \{1\})$ is the length of an optimal tour.
- $$g(1, V - \{1\}) = \min_{2 \leq k \leq n} \left\{ C_{1k} + g(k, V - \{1, k\}) \right\}$$
- The equation can be generalized to:

$$g(i, s) = \min_{j \in s} \left\{ C_{ij} + g(j, V - \{i, j\}) \right\}$$

for $i \notin s$

The base case is given by:

$$g(i, \emptyset) = C_{ii}, \quad 1 \leq i \leq n$$

In summary: equations used to solve TSP are:

$$(i) g(i, \emptyset) = C_{ii}, \quad 1 \leq i \leq n, \quad i \neq 1$$

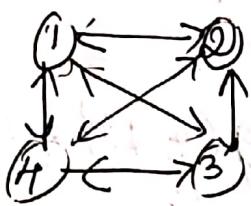
$$(ii) g(i, s) = \min_{j \in s} \{ C_{ij} + g(j, s - \{j\}) \}$$

for $i \neq 1, i \notin s, 1 \notin s$

& compute this for $|s| < n-1$

$$(iii) g(1, V - \{1\}) = \min_{2 \leq k \leq n} \{ C_{1k} + g(k, V - \{1, k\}) \}$$

Problem → solve the following graph for TSP



1	2	3	4
0	10	15	20
5	0	9	10
6	13	0	12
8	5	9	0

Sol → use base case:

$$g(i, \emptyset) = C_{ii} \quad \text{for } 1 \leq i \leq n, i \neq 1 \text{ as } s = \emptyset \Rightarrow |s| = 0$$

$$g(2, \emptyset) = C_{22} = 5$$

$$g(3, \emptyset) = C_{33} = 6$$

$$g(4, \emptyset) = C_{44} = 8$$

use

$$g(i, s) = \min_{j \in s} \{ C_{ij} + g(j, s - f_j) \}$$

for $i \neq 1$, $i \in s$, $|s| = 1$

for $i=2$

$$g(2, \{3\}) = \min_{j \in \{3\}} \{ C_{23} + g(3, \emptyset) \} = 9 + 6 = 15$$

$$g(2, \{4\}) = \min_{j \in \{4\}} \{ C_{24} + g(4, \emptyset) \} = 10 + 8 = 18$$

for $i=3$

$$g(3, \{2\}) = \min_{j \in \{2\}} \{ C_{32} + g(2, \emptyset) \} = 13 + 5 = 18$$

$$g(3, \{4\}) = \min_{j \in \{4\}} \{ C_{34} + g(4, \emptyset) \} = 12 + 8 = 20$$

for $i=4$

$$g(4, \{2\}) = \min_{j \in \{2\}} \{ C_{42} + g(2, \emptyset) \} = 8 + 5 = 13$$

$$g(4, \{3\}) = \min_{j \in \{3\}} \{ C_{43} + g(3, \emptyset) \} = 9 + 6 = 15$$

$|s|=2$

for $i=2$

$$g(2, \{3, 4\}) = \min_{j \in \{3, 4\}} \{ C_{23} + g(3, 4), C_{24} + g(4, 3) \}$$

$$= \min (9+20, 10+15) = \min (29, 25)$$

= 25

for $i=3$

$$g(3, \{2, 4\}) = \min_{j \in \{2, 4\}} \{C_{3j} + g(2, 4), C_{34} + g(4, 2)\}$$
$$= \min(13+18, 12+13) = \min(31, 25) = \underline{25}$$

for $i=4$

$$g(4, \{2, 3\}) = \min_{j \in \{2, 3\}} \{C_{4j} + g(2, 3), C_{43} + g(3, 2)\}$$
$$= \min(8+15, 9+18)$$
$$= \min(23, 27) = 23$$

since $|S| < n-1$, i.e. $|S| < 3$ is met, the iteration stops here:

$$g(1, V - \{1\}) = \min_{2 \leq k \leq n} \{C_{1k} + g(k, V - \{1, k\})\}$$

$$g(1, \{1, 2, 3, 4\} - \{1\}) = \min_{k=2, 3, 4} \{C_{1k} + g(k, \{1, 2, 3, 4\} - \{1, k\})\}$$

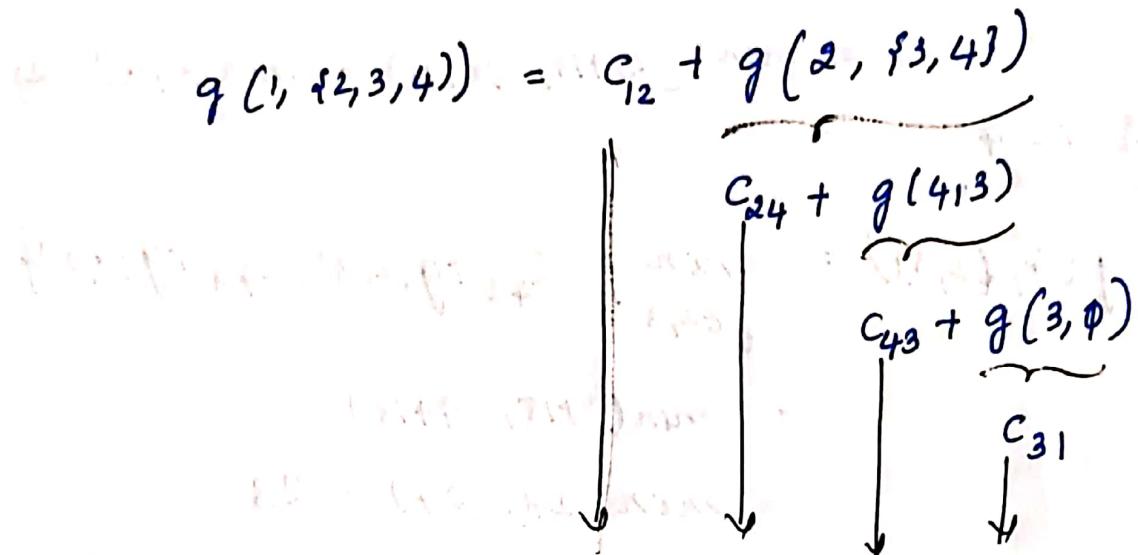
$$g(1, \{2, 3, 4\}) = \min \{C_2 + g(2, \{3, 4\}), C_3 + g(3, \{2, 4\}), C_4 + g(4, \{2, 3\})\}$$

$$= \min(10+25, 15+25, 20+23)$$

$$= \min(35, 40, 43)$$

$$= \underline{35}.$$

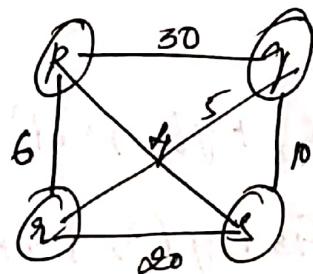
$$\therefore g(1, f_{2,3,4}) = 35 \quad - \text{optimal solution.}$$



Path: $1 \rightarrow 2 \rightarrow 4 \rightarrow 3 \rightarrow 1$

\therefore optimal tour is $1 \rightarrow 2 \rightarrow 4 \rightarrow 3 \rightarrow 1$ with optimal cost of 35.

Now using dynamic programming solve the following instance of TSP.

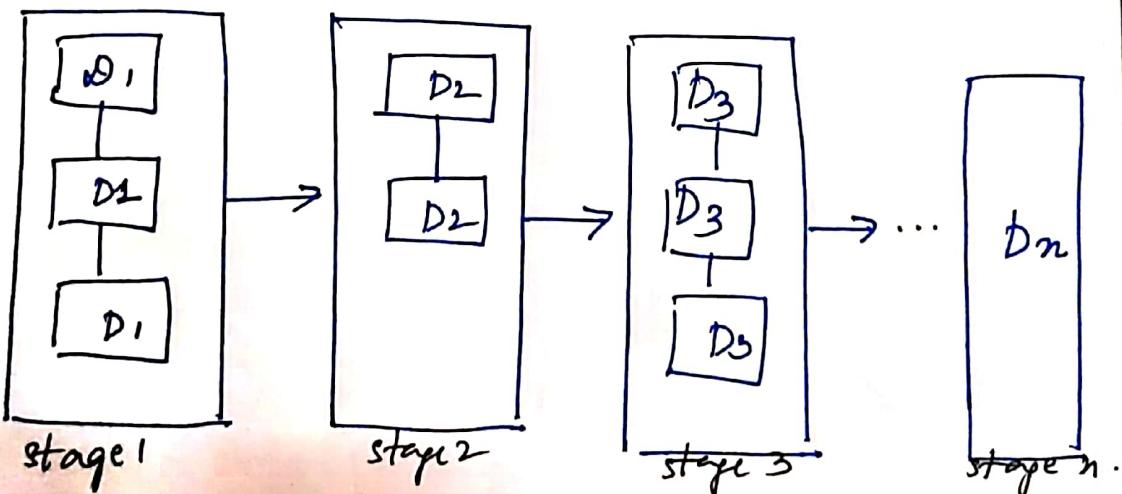


Bellman-Ford Algorithm:

- It is single source shortest path Algo.
- Dijkstra's algorithm works correct on graphs, which have edges weight as positive numbers. If the edge weight are negative, Dijkstra's Algo may not give the correct shortest path.
- Bellman-Ford Algo is used to obtain the shortest paths from a given vertex (source) to all other vertices in a graph with general (+ve or -ve) weights.

Reliability Design:

- set up a system which consists of set of devices. Each device is associated with cost and reliability.
- the probability that device 'i' will work properly is called Reliability of that device.
- Let r_i be the reliability of device D_i , then reliability of entire s/m is $\prod r_i$.
- Even if reliability of individual device is very good but reliability of entire system may not be good.
- To obtain good performance from entire system, individual device can be duplicated.
- different devices are connected in series & duplicated devices are connected in parallel



- let m_i be the copies of Device D_i , then $(1-r_i)^{m_i}$ be the probability that all m_i have a malfunction. Hence stage reliability is $1 - (1-r_i)^{m_i}$