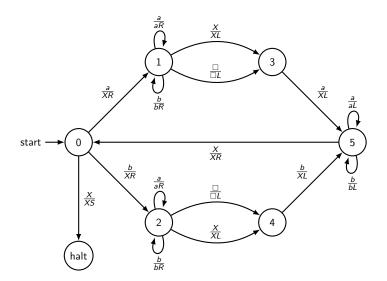
Introduction to Theory of Computation

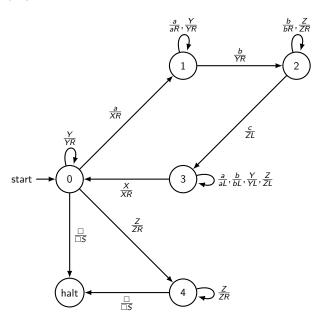
Chapter 4, Turing Machines

March 2, 2017

Even Palindromes



$a^nb^nc^n$



Equivalent models:

- 1. One-tape Turing machines.
- 2. k-tape Turing machines.
- 3. Non-deterministic Turing machines.
- 4. Java programs.
- 5. Scheme programs.
- 6. C++ programs.
- 7. ...

The Church-Turing Thesis

Every computational process that is intuitively considered to be an algorithm can be converted to a Turing machine.

Decidability

A language A over Σ is *decidable* if there exists a Turing machine M such that for every string $w \in \Sigma^*$:

- 1. If $w \in A$ then M, started on w, halts in the accept state.
- 2. If $w \notin A$ then M, started on w, halts in the reject state.

Enumerability

A language A over Σ is *enumerable* if there exists a Turing machine M such that for every string $w \in \Sigma^*$:

- 1. If $w \in A$ then M, started on w, halts in the accept state.
- 2. If $w \notin A$ then M, started on w, either halts in the reject state or loops forever.

Why do we call it enumerable?

- ▶ If we can enumerate the elements with an algorithm, then we can create an algorithm to correctly identify elements of the set.
 - ► How?

Why do we call it enumerable?

- ▶ If we can enumerate the elements with an algorithm, then we can create an algorithm to correctly identify elements of the set.
 - ► How?
- ▶ If we can correctly identify elements of the set, then we can build an algorithm to enumerate them.
 - ► How?

Decidable vs. enumerable

- Decidable is also called
 - computable
 - recursive
- Enumerable is also called
 - semi-decidable
 - recognizable
 - recursively enumerable

Describing machines and problems as strings

- ▶ We assume any machine (DFA, PDA, TM) can be described by a string *M* using some alphabet.
- ► The input to any machine is a string w using some alphabet.
- We can thus describe both a machine M and its input w, with a pair of strings: (M, w).
- ▶ This pair can be converted to a single string $\langle M, w \rangle$.
- ▶ For convenience, we assume $\langle M, w \rangle$ is encoded in binary.
- ▶ In general, $\langle M \rangle$ means: encode M as a binary string.
- ▶ We can now define a language A as the set of all strings $\langle M, w \rangle$ such that w is in the computation model of M.

The language A_{DFA} is decidable

$$A_{DFA} = \{\langle M, w \rangle : M \text{ is a DFA that accepts } w\}$$

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- Given input $\langle M, w \rangle$:
 - \triangleright Run M on w.
 - ▶ It must terminate.
 - If it accepts, accept, else reject.

The language A_{NFA} is decidable

$$A_{NFA} = \{\langle M, w \rangle : M \text{ is a NFA that accepts } w\}$$

The language A_{NFA} is decidable

$$A_{\mathit{NFA}} = \{\langle \mathit{M}, \mathit{w} \rangle : \mathit{M} \text{ is a NFA that accepts } \mathit{w}\}$$

- Given input $\langle M, w \rangle$:
 - ► Convert NFA *M* to DFA *N*.
 - This algorithm terminates.
 - ▶ Run N on w.
 - It must terminate.
 - If it accepts, accept, else reject.

The language A_{CGF} is decidable

$$A_{\mathit{NFA}} = \{\langle \mathit{M}, \mathit{w} \rangle : \mathit{M} \text{ is a CFG that accepts } \mathit{w}\}$$

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$$A_{\mathit{NFA}} = \{\langle \mathit{M}, \mathit{w} \rangle : \mathit{M} \text{ is a CFG that accepts } \mathit{w}\}$$

- Given input $\langle M, w \rangle$:
 - ► Convert CFG M to Chomsky normal form CFG N.
 - This algorithm terminates.
 - ▶ Run N on w for all derivations up to length 2|w|.
 - ▶ There are a finite number of these, so it must terminate.
 - If any derivation accepts, accept, else reject.

$$A_{TM} = \{\langle M, w \rangle : M \text{ is a TM that accepts } w\}$$

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Proof? By contradiction.

- ▶ Assume there is a TM *H* that decides this language.
- Construct the following TM, D:

D: On input $\langle M \rangle$:

- ▶ Run H on $\langle M, \langle M \rangle \rangle$.
- ▶ If *H* accepts, reject, else accept.
- ▶ If H accepts $\langle D, \langle D \rangle \rangle$, then D rejects $\langle D \rangle$.
 - ▶ Therefore, by definition $\langle D, \langle D \rangle \rangle \notin A_{TM}$.
- ▶ If H rejects $\langle D, \langle D \rangle \rangle$, then D accepts $\langle D \rangle$.
 - ▶ Therefore, by definition $\langle D, \langle D \rangle \rangle \in A_{TM}$.
- ▶ In either case, H does not decide A_{TM} .

Diagonal argument

Machine H that decides A_{TM} can fill in this table:

```
\langle M_5 \rangle
        \langle M_0 \rangle
                   \langle M_1 \rangle
                              \langle M_2 \rangle
                                          \langle M_3 \rangle
                                                     \langle M_4 \rangle
M_0
      accept
                  accept
                             accept
                                         reject
                                                    accept
                                                                reject
M_1
                  reject
                                                                reject
      accept
                             accept
                                         accept
                                                    accept
МΣ
                  reject
                                                                reject
      accept
                             accept
                                         accept
                                                    accept
Мз
                             reject
                                         reject
      accept
                  accept
                                                    accept
                                                                accept
M_{\Delta}
       reject
                             accept reject
                  accept
                                                    accept
                                                                accept
M_5
       reiect
                  reiect
                             accept
                                         accept
                                                    accept
                                                                reject
                                                                           . . .
```

- ▶ *D* uses *H* to give the opposite answer on the diagonal.
- ▶ *H* must give the wrong answer somewhere on machine *D*.

The language A_{TM} is enumerable but not decidable.

$$A_{TM} = \{\langle M, w \rangle : M \text{ is a TM that accepts } w\}$$

Hilbert's 10th problem is enumerable but not decidable

 $\mathit{Hilbert} = \{\langle p \rangle : p \text{ is a polynomial with integer coefficients}$ that has an integral root}

$$15x^3y^2 + 12xy^2z^3 - 17x^9y^2z = 0$$



$$Halt = \{\langle M, w \rangle : M \text{ is a TM that terminates on } w\}$$

Proof?

$$Halt = \{\langle M, w \rangle : M \text{ is a TM that terminates on } w\}$$

Proof?

By contradiction. Assume there is a TM H that decides this language. Construct the following TM, Q:

Q: On input $\langle M \rangle$:

- ▶ while $H(\langle M, \langle M \rangle)$ do end;
- ▶ What happens if we run *Q* on itself?
- ▶ $Q(\langle Q \rangle)$ terminates iff $Q(\langle Q \rangle)$ does not terminate.

$$M_a = \{ \langle M \rangle \mid \mathcal{L}(M) = \{a\} \}$$

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Proof?

By contradiction.

- Suppose TM A decides M_a.
- Construct the following TM, H:

H: On input $\langle M, w \rangle$:

Construct TM D:

D: On input $\langle s \rangle$:

- ightharpoonup Run M on w.
- If s = a accept, else reject.
- ▶ Run A on D. If it accepts, accept, else reject.

$$M_a = \{ \langle M \rangle \mid \mathcal{L}(M) = \{a\} \}$$

Proof?

By contradiction.

- Suppose TM A decides M_a.
- ► Construct the following TM, *H*:

H: On input $\langle M, w \rangle$:

► Construct TM *D*:

D: On input $\langle s \rangle$:

- ightharpoonup Run M on w.
- If s = a accept, else reject.
- ▶ Run A on D. If it accepts, accept, else reject.
- $\mathcal{L}(D) = \{a\}$ iff M halts on w.

$$M_a = \{\langle M \rangle \mid \mathcal{L}(M) = \{a\}\}$$

Proof?

By contradiction.

- Suppose TM A decides M_a.
- ► Construct the following TM, *H*:

H: On input $\langle M, w \rangle$:

► Construct TM *D*:

D: On input $\langle s \rangle$:

- ightharpoonup Run M on w.
- If s = a accept, else reject.
- ▶ Run A on D. If it accepts, accept, else reject.
- \blacktriangleright $\mathcal{L}(D) = \{a\}$ iff M halts on w.
- ▶ *H* decides the language *Halt*. But that's impossible!

Rice's Theorem

Let ${\mathcal T}$ be the set of all binary encoded TMs.

Let \mathcal{P} be a subset of \mathcal{T} such that

- 1. $\mathcal{P} \neq \emptyset$
- 2. $\mathcal{P} \neq \mathcal{T}$
- 3. If $L(M_1) = L(M_2)$, then either both or neither is in \mathcal{P} .

Then \mathcal{P} is undecidable.

Rice's Theorem Examples

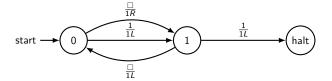
- 1. $\{\langle M \rangle \mid M \text{ accepts only inputs in the language } a^*b^*\}$
- 2. $\{\langle M \rangle \mid M \text{ accepts only input of length } n^2\}$
- 3. $\{\langle M \rangle \mid M \text{ accepts only input of length } k\}$
- 4. $\{\langle M \rangle \mid M \text{ accepts all inputs}\}$
- 5. $\{\langle M \rangle \mid M \text{ does not accept all inputs}\}$
- 6. $\{\langle M \rangle \mid M \text{ accepts some input}\}$
- 7. $\{\langle M \rangle \mid M \text{ does not accept any input}\}$

None of these is decideable.

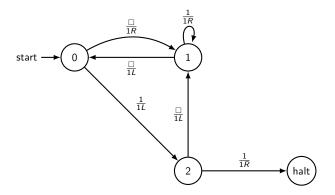
Busy beavers are not enumerable

The nth busy beaver number is the largest (finite) number of 1s that can be output by a Turing machine with n states.

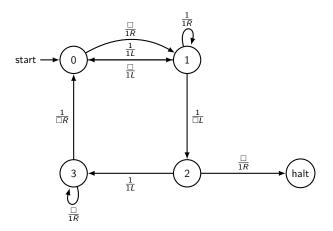
2 State Busy Beaver: four 1s



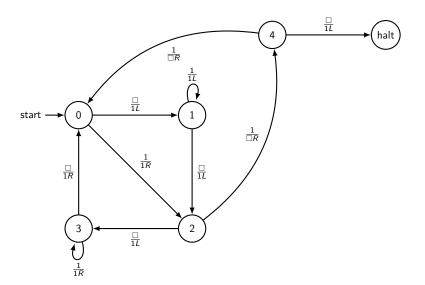
3 State Busy Beaver: six 1s



4 State Busy Beaver: thirteen 1s



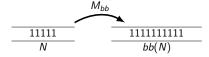
5 State Busy Beaver (?): 4098 1s



Proof Busy Beaver function is uncomputable

Proof by contradiction.

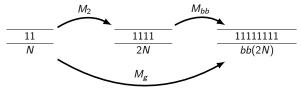
- ► Let *bb*(*n*) be the largest (finite) number of 1's output by a Turing Machine with *n* states.
- ▶ Suppose there is a Turing Machine M_{bb} that computes bb(n), that is, starting with n on the tape, the machine halts with bb(n) on the tape.



▶ Note: this is a new use of TMs, computing a function from input to output, not recognizing a language.

Busy Beaver proof

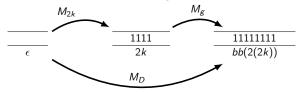
▶ Let g(n) = bb(2n). We can build a TM for g by starting with a machine that doubles the input, and then runs the machine M_{bb} .



▶ Suppose the machine for g, M_g has k states.

Busy Beaver proof

- ▶ Build a machine M_{2k} with 2k states that does nothing but put 2k 1s on a blank tape.
- Now build a machine M_D that starts by putting 2k 1's on the tape, and then runs the M_g machine.



- ▶ *M_D* can be built with 3*k* states.
- ► The output of M_D is g(2k) = bb(2(2k)) = bb(4k) 1s.
- ▶ Do you see the problem?