Molecular Dynamics

THE GLOBAL MD ALGORITHM

1. Input initial conditions

Potential interaction V as a function of atom positions Positions ${\bf r}$ of all atoms in the system ${\bf v}$ of all atoms in the system ${\bf v}$

repeat 2,3,4 for the required number of steps:

2. Compute forces

The force on any atom

$$\mathbf{F}_i = -rac{\partial V}{\partial \mathbf{r}_i}$$

is computed by calculating the force between non-bonded atom pairs:

$$\mathbf{F}_i = \sum_j \mathbf{F}_{ij}$$

plus the forces due to bonded interactions (which may depend on 1, 2, 3, or 4 atoms), plus restraining and/or external forces.

The potential and kinetic energies and the pressure tensor may be computed. \parallel

3. Update configuration

The movement of the atoms is simulated by numerically solving Newton's equations of motion

$$egin{aligned} rac{\mathrm{d}^2\mathbf{r}_i}{\mathrm{d}t^2} &= rac{\mathbf{F}_i}{m_i} \ \mathrm{or} \ rac{\mathrm{d}\mathbf{r}_i}{\mathrm{d}t} &= \mathbf{v}_i; \end{aligned} rac{\mathrm{d}\mathbf{v}_i}{\mathrm{d}t} &= rac{\mathbf{F}_i}{m_i} \end{aligned}$$

4. if required: Output step

write positions, velocities, energies, temperature, pressure, etc.

A global flow scheme for MD is given above. Each MD or EM run requires as input a set of initial coordinates and – optionally – initial velocities of all particles involved. This chapter does not describe how these are obtained; for the setup of an actual MD run check the User guide in Sections System preparation and

Getting started.

Initial conditions

Topology and force field

The system topology, including a description of the force field, must be read in. Force fields and topologies are described in chapter Interaction function and force fields and top, respectively. All this information is static; it is never modified during the run.

Coordinates and velocities

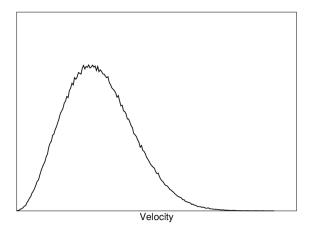


Fig. 4 A Maxwell-Boltzmann velocity distribution, generated from random numbers.

Then, before a run starts, the box size and the coordinates and velocities of all particles are required. The box size and shape is determined by three vectors (nine numbers) $\mathbf{b}_1, \mathbf{b}_2, \mathbf{b}_3$, which represent the three basis vectors of the periodic box.

If the run starts at $t=t_0$, the coordinates at $t=t_0$ must be known. The *leap-frog algorithm*, the default algorithm used to update the time step with Δt (see The leap-frog integrator), also requires that the velocities at $t=t_0-\frac{1}{2}\Delta t$ are known. If velocities are not available, the program can generate initial atomic velocities $v_i, i=1\dots 3N$ with a Maxwell-Boltzmann distribution (Fig. 4) at a given absolute temperature T:

(1)

$$p(v_i) = \sqrt{rac{m_i}{2\pi kT}} \expigg(-rac{m_i v_i^2}{2kT}igg)$$

where k is Boltzmann's constant (see chapter Definitions and Units). To accomplish this, normally distributed random numbers are generated by adding twelve random numbers R_k in the range $0 \le R_k < 1$ and subtracting 6.0 from their sum. The result is then multiplied by the standard deviation of the velocity distribution $\sqrt{kT/m_i}$. Since the resulting total energy will not correspond exactly to the required temperature T, a correction is made: first the center-of-mass motion is removed and then all velocities are scaled so that the total energy corresponds exactly to T (see (8)).

Center-of-mass motion

The center-of-mass velocity is normally set to zero at every step; there is (usually) no net external force acting on the system and the center-of-mass velocity should remain constant. In practice, however, the update algorithm introduces a very slow change in the center-of-mass velocity, and therefore in the total kinetic energy of the system – especially when temperature coupling is used. If such changes are not quenched, an appreciable center-of-mass motion can develop in long runs, and the temperature will be significantly misinterpreted. Something similar may happen due to overall rotational motion, but only when an isolated cluster is simulated. In periodic systems with filled boxes, the overall rotational motion is coupled to other degrees of freedom and does not cause such problems.

Neighbor searching

As mentioned in chapter Interaction function and force fields, internal forces are either generated from fixed (static) lists, or from dynamic lists. The latter consist of non-bonded interactions between any pair of particles. When calculating the non-bonded forces, it is convenient to have all particles in a rectangular box. As shown in Fig. 1, it is possible to transform a triclinic box into a rectangular box. The output coordinates are always in a rectangular box, even when a dodecahedron or triclinic box was used for the simulation. (1) ensures that we can reset particles in a rectangular box by first shifting them with box vector \mathbf{c} , then with \mathbf{b} and finally with \mathbf{a} . Equations (3), (4) and (5) ensure that we can find the 14 nearest triclinic images within a linear combination that does not involve multiples of box vectors.

Pair lists generation

The non-bonded pair forces need to be calculated only for those pairs i,j for which the distance r_{ij} between i and the nearest image of j is less than a given cut-off radius R_c . Some of the particle pairs that fulfill this criterion are excluded, when their interaction is already fully accounted for by bonded interactions. But for most electrostatic treatments, correction forces also need to be computed for such excluded atom pairs. GROMACS employs a pair list that contains those particle pairs for which non-bonded forces must be calculated. The pair list contains particles i, a displacement vector for particle i, and all particles j that are within rlist of this particular image of particle i. The list is updated every nstlist steps.

To make the pair list, all atom pairs that are within the pair list cut-off distance need to be found and stored in a list. Note that such a list generally does not store all neighbors for each atom, since each atom pair should appear only once in the list. This searching, usually called neighbor search (NS) or pair search, involves periodic boundary conditions and determining the image (see sec. Periodic boundary conditions). The search algorithm employed in GROMACS is O(N).

As pair searching is an expensive operation, a generated pair list is retained for a certain number of integration steps. A buffer is needed to account for relative displacements of atoms over the steps where a fixed pair list is retained. GROMACS uses a buffered pair list by default. It also uses clusters of particles, but these are not static as in the old charge group scheme. Rather, the clusters are defined spatially and consist of 4 or 8 particles, which is convenient for stream computing, using e.g. SSE, AVX or CUDA on GPUs. At neighbor search steps, a pair list is created with a Verlet buffer, i.e. the pair-list cut-off is larger than the interaction cut-off. In the non-bonded kernels, interactions are only computed when a particle pair is within the cut-off distance at that particular time step. This ensures that as particles move between pair search steps, forces between nearly all particles within the cut-off distance are calculated. We say nearly all particles, because GROMACS uses a fixed pair list update frequency for efficiency. A particle-pair, whose distance was outside the cut-off, could possibly move enough during this fixed number of steps that its distance is now within the cut-off. This small chance results in a small energy drift, and the size of the chance depends on the temperature. When temperature coupling is used, the buffer size can be determined automatically, given a certain tolerance on the energy drift. The default tolerance is 0.005 kJ/mol/ns per particle, but in practice the energy drift is usually an order of magnitude smaller. Note that in single precision for normal atomistic simulations constraints cause a drift somewhere around 0.0001 kJ/mol/ns per particle, so it doesn't make sense to go much lower than that.

The pair list is implemented in a very efficient fashion based on clusters of

particles. The simplest example is a cluster size of 4 particles. The pair list is then constructed based on cluster pairs. The cluster-pair search is much faster searching based on particle pairs, because $4\times 4=16$ particle pairs are put in the list at once. The non-bonded force calculation kernel can then calculate many particle-pair interactions at once, which maps nicely to SIMD or SIMT units on modern hardware, which can perform multiple floating operations at once. These non-bonded kernels are much faster than the kernels used in the group scheme for most types of systems, particularly on newer hardware. For further information on algorithmic and implementation details of the Verlet cut-off scheme and the NxM kernels, as well as detailed performance analysis, please consult the following article: 182.

Additionally, when the list buffer is determined automatically as described below, we also apply dynamic pair list pruning. The pair list can be constructed infrequently, but that can lead to a lot of pairs in the list that are outside the cut-off range for all or most of the life time of this pair list. Such pairs can be pruned out by applying a cluster-pair kernel that only determines which clusters are in range. Because of the way the non-bonded data is regularized in GROMACS, this kernel is an order of magnitude faster than the search and the interaction kernel. On the GPU this pruning is overlapped with the integration on the CPU, so it is free in most cases. Therefore we can prune every 4-10 integration steps with little overhead and significantly reduce the number of cluster pairs in the interaction kernel. This procedure is applied automatically, unless the user set the pair-list buffer size manually.

Energy drift and pair-list buffering

For a canonical (NVT) ensemble, the average energy error caused by diffusion of j particles from outside the pair-list cut-off r_{ℓ} to inside the interaction cut-off r_c over the lifetime of the list can be determined from the atomic displacements and the shape of the potential at the cut-off. The displacement distribution along one dimension for a freely moving particle with mass \boldsymbol{m} over time t at temperature T is a Gaussian G(x) of zero mean and variance $\sigma^2=t^2k_BT/m$. For the distance between two particles, the variance changes $\sigma^2 = \sigma_{12}^2 = t^2 k_B T (1/m_1 + 1/m_2)$. Note that in practice particles usually interact with (bump into) other particles over time t and therefore the real displacement distribution is much narrower. Given a non-bonded interaction cutoff distance of r_c and a pair-list cut-off $r_\ell = r_c + r_b$ for r_b the Verlet buffer size, we can then write the average energy error after time t for all missing pair interactions between a single i particle of type 1 surrounded by all j particles that are of type 2 with number density ρ_2 , when the inter-particle distance changes from r_0 to r_t , as:

(2)

$$\langle \Delta V
angle = \int_0^{r_c} \int_{r_\ell}^{\infty} 4\pi r_0^2
ho_2 V(r_t) Gigg(rac{r_t-r_0}{\sigma}igg) \, dr_0 \, dr_t$$

To evaluate this analytically, we need to make some approximations. First we replace $V(r_t)$ by a Taylor expansion around r_c , then we can move the lower bound of the integral over r_0 to $-\infty$ which will simplify the result:

$$egin{align} \langle \Delta V
angle pprox & \int_{-\infty}^{r_c} \int_{r_\ell}^{\infty} 4\pi r_0^2
ho_2 \Big[V'(r_c)(r_t-r_c) + & \ & V''(r_c) rac{1}{2} (r_t-r_c)^2 + \ & V'''(r_c) rac{1}{6} (r_t-r_c)^3 + \ & Oig((r_t-r_c)^4ig) \Big] Gigg(rac{r_t-r_0}{\sigma}igg) \, dr_0 \, dr_t \ \end{pmatrix}$$

Replacing the factor r_0^2 by $(r_\ell+\sigma)^2$, which results in a slight overestimate, allows us to calculate the integrals analytically:

$$egin{aligned} \langle \Delta V
angle pprox & 4\pi (r_{\ell} + \sigma)^2
ho_2 \int_{-\infty}^{r_c} \int_{r_{\ell}}^{\infty} \left[V'(r_c) (r_c) r_c
ight] & V'''(r_c) rac{1}{2} (r_t - r_c)^3
ight] G \left(rac{r_t - r_0}{\sigma}
ight) & + 2 \left(rac{1}{2} V'(r_c) \left[r_b \sigma G \left(rac{r_b}{\sigma}
ight) - (r_b^2 + \sigma^2) E
ight)
ight] & + 2 \left(rac{1}{6} V''(r_c) \left[\sigma (r_b^2 + 2 \sigma^2) G \left(rac{r_b}{\sigma}
ight) - r_b (r_b^2 + 3 \sigma^2) E
ight] & + 2 \left(rac{1}{24} V'''(r_c) \left[r_b \sigma (r_b^2 + 5 \sigma^2) F
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where G(x) is a Gaussian distribution with 0 mean and unit variance and

 $E(x)=rac{1}{2}\mathrm{erfc}(x/\sqrt{2})$. We always want to achieve small energy error, so σ will be small compared to both r_c and r_ℓ , thus the approximations in the equations above are good, since the Gaussian distribution decays rapidly. The energy error needs to be averaged over all particle pair types and weighted with the particle counts. In GROMACS we don't allow cancellation of error between pair types, so we average the absolute values. To obtain the average energy error per unit needs to be divided neighbor-list time. it by the life $t = (\mathtt{nstlist} - 1) imes \mathtt{dt}$. The function can not be inverted analytically, so we use bisection to obtain the buffer size r_b for a target drift. Again we note that in practice the error we usually be much smaller than this estimate, as in the condensed phase particle displacements will be much smaller than for freely moving particles, which is the assumption used here.

When (bond) constraints are present, some particles will have fewer degrees of freedom. This will reduce the energy errors. For simplicity, we only consider one constraint per particle, the heaviest particle in case a particle is involved in multiple constraints. This simplification overestimates the displacement. The motion of a constrained particle is a superposition of the 3D motion of the center of mass of both particles and a 2D rotation around the center of mass. The displacement in an arbitrary direction of a particle with 2 degrees of freedom is not Gaussian, but rather follows the complementary error function:

$$\frac{\sqrt{\pi}}{2\sqrt{2}\sigma}\operatorname{erfc}\left(\frac{|r|}{\sqrt{2}\sigma}\right) \tag{5}$$

where σ^2 is again t^2k_BT/m . This distribution can no longer be integrated analytically to obtain the energy error. But we can generate a tight upper bound using a scaled and shifted Gaussian distribution (not shown). This Gaussian distribution can then be used to calculate the energy error as described above. The rotation displacement around the center of mass can not be more than the length of the arm. To take this into account, we scale σ in (5) (details not presented here) to obtain an overestimate of the real displacement. This latter effect significantly reduces the buffer size for longer neighborlist lifetimes in e.g. water, as constrained hydrogens are by far the fastest particles, but they can not move further than 0.1 nm from the heavy atom they are connected to.

There is one important implementation detail that reduces the energy errors caused by the finite Verlet buffer list size. The derivation above assumes a particle pair-list. However, the GROMACS implementation uses a cluster pair-list for efficiency. The pair list consists of pairs of clusters of 4 particles in most cases, also called a 4×4 list, but the list can also be 4×8 (GPU CUDA kernels and AVX 256-bit single precision kernels) or 4 imes 2 (SSE double-precision kernels). This means that the pair-list is effectively much larger than the corresponding 1×1 list. Thus slightly beyond the pair-list cut-off there will still

be a large fraction of particle pairs present in the list. This fraction can be determined in a simulation and accurately estimated under some reasonable assumptions. The fraction decreases with increasing pair-list range, meaning that a smaller buffer can be used. For typical all-atom simulations with a cut-off of 0.9 nm this fraction is around 0.9, which gives a reduction in the energy errors of a factor of 10. This reduction is taken into account during the automatic Verlet buffer calculation and results in a smaller buffer size.

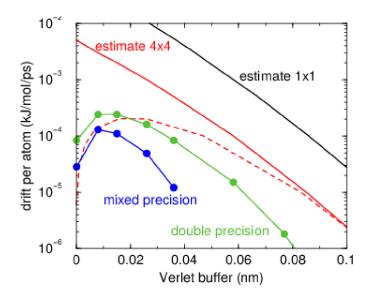


Fig. 5 Energy drift per atom for an SPC/E water system at 300K with a time step of 2 fs and a pair-list update period of 10 steps (pair-list life time: 18 fs). PME was used with $_{\rm ewald-rtol}$ set to 10^{-5} ; this parameter affects the shape of the potential at the cut-off. Error estimates due to finite Verlet buffer size are shown for a 1×1 atom pair list and 4×4 atom pair list without and with (dashed line) cancellation of positive and negative errors. Real energy drift is shown for simulations using double- and mixed-precision settings. Rounding errors in the SETTLE constraint algorithm from the use of single precision causes the drift to become negative at large buffer size. Note that at zero buffer size, the real drift is small because positive (H-H) and negative (O-H) energy errors cancel.

In Fig. 5 one can see that for small buffer sizes the drift of the total energy is much smaller than the pair energy error tolerance, due to cancellation of errors. For larger buffer size, the error estimate is a factor of 6 higher than drift of the total energy, or alternatively the buffer estimate is 0.024 nm too large. This is because the protons don't move freely over 18 fs, but rather vibrate.

Cut-off artifacts and switched interactions

By default, the pair potentials are shifted to be zero at the cut-off, which makes the potential the integral of the force. However, there can still be energy drift when the forces are non-zero at the cut-off. This effect is extremely small and often not noticeable, as other integration errors (e.g. from constraints) may dominate. To completely avoid cut-off artifacts, the non-bonded forces can be switched exactly to zero at some distance smaller than the neighbor list cut-off (there are several ways to do this in GROMACS, see sec. Modified non-bonded interactions). One then has a buffer with the size equal to the neighbor list cut-off less the longest interaction cut-off.

Simple search

Due to (1) and (6), the vector \mathbf{r}_{ij} connecting images within the cut-off R_c can be found by constructing:

$$\mathbf{r}''' = \mathbf{r}_{j} - \mathbf{r}_{i}$$

$$\mathbf{r}'' = \mathbf{r}''' - \mathbf{c} * \operatorname{round}(r'''_{z}/c_{z})$$

$$\mathbf{r}' = \mathbf{r}'' - \mathbf{b} * \operatorname{round}(r''_{y}/b_{y})$$

$$\mathbf{r}_{ij} = \mathbf{r}' - \mathbf{a} * \operatorname{round}(r'_{x}/a_{x})$$
(6)

When distances between two particles in a triclinic box are needed that do not obey (1), many shifts of combinations of box vectors need to be considered to find the nearest image.

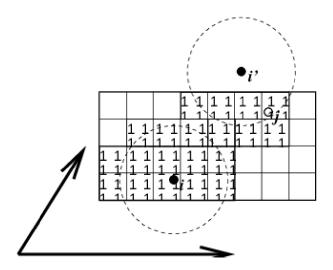


Fig. 6 Grid search in two dimensions. The arrows are the box vectors.

Grid search

The grid search is schematically depicted in Fig. 6. All particles are put on the NS grid, with the smallest spacing $>R_c/2$ in each of the directions. In the direction of each box vector, a particle i has three images. For each direction the image may be -1,0 or 1, corresponding to a translation over -1, 0 or +1 box vector. We do not search the surrounding NS grid cells for neighbors of i and then calculate the image, but rather construct the images first and then search neighbors corresponding to that image of i. As Fig. 6 shows, some grid cells may be searched more than once for different images of i. This is not a problem, since, due to the minimum image convention, at most one image will "see" the j-particle. For every particle, fewer than 125 (5 3) neighboring cells are searched. Therefore, the algorithm scales linearly with the number of particles. Although the prefactor is large, the scaling behavior makes the algorithm far superior over the standard $O(N^2)$ algorithm when there are more than a few hundred particles. The grid search is equally fast for rectangular and triclinic boxes. Thus for most protein and peptide simulations the rhombic dodecahedron will be the preferred box shape.

Charge groups

Charge groups were originally introduced to reduce cut-off artifacts of Coulomb interactions. This concept has been superseded by exact atomistic cut-off treatments. For historical reasons charge groups are still defined in the atoms section for each moleculetype in the topology, but they are no longer used.

Compute forces

Potential energy

When forces are computed, the potential energy of each interaction term is computed as well. The total potential energy is summed for various contributions, such as Lennard-Jones, Coulomb, and bonded terms. It is also possible to compute these contributions for *energy-monitor groups* of atoms that are separately defined (see sec. The group concept).

Kinetic energy and temperature

The temperature is given by the total kinetic energy of the N-particle system:

$$E_{kin} = rac{1}{2} \sum_{i=1}^{N} m_i v_i^2$$
 (7)

From this the absolute temperature T can be computed using:

$$\frac{1}{2}N_{\rm df}kT = E_{\rm kin} \tag{8}$$

where k is Boltzmann's constant and N_{df} is the number of degrees of freedom which can be computed from:

$$N_{\rm df} = 3N - N_c - N_{\rm com} \tag{9}$$

Here N_c is the number of constraints imposed on the system. When performing molecular dynamics $N_{\rm com}=3$ additional degrees of freedom must be removed, because the three center-of-mass velocities are constants of the motion, which are usually set to zero. When simulating in vacuo, the rotation around the center of mass can also be removed, in this case $N_{\rm com}=6$. When more than one temperature-coupling group is used, the number of degrees of freedom for group i is:

$$N_{
m df}^i = (3N^i - N_c^i) \frac{3N - N_c - N_{
m com}}{3N - N_c}$$
 (10)

The kinetic energy can also be written as a tensor, which is necessary for pressure calculation in a triclinic system, or systems where shear forces are imposed:

$$\mathbf{E}_{\mathrm{kin}} = rac{1}{2} \sum_{i}^{N} m_i \mathbf{v}_i \otimes \mathbf{v}_i$$

Pressure and virial

The pressure tensor ${f P}$ is calculated from the difference between kinetic energy $E_{\rm kin}$ and the virial ${f \Xi}$:

$$\mathbf{P} = \frac{2}{V} (\mathbf{E}_{\rm kin} - \mathbf{\Xi}) \tag{12}$$

where V is the volume of the computational box. The scalar pressure P, which can be used for pressure coupling in the case of isotropic systems, is computed as:

$$P = \operatorname{trace}(\mathbf{P})/3$$

The virial **\(\Sigma\)** tensor is defined as:

(13)

$$oldsymbol{\Xi} = -rac{1}{2} \sum_{i < j} \mathbf{r}_{ij} \otimes \mathbf{F}_{ij}$$

The GROMACS implementation of the virial computation is described in sec. Virial and pressure

The leap-frog integrator

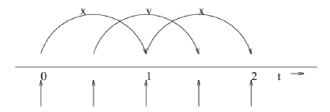


Fig. 7 The Leap-Frog integration method. The algorithm is called Leap-Frog because \mathbf{r} and \mathbf{v} are leaping like frogs over each other's backs.

The default MD integrator in GROMACS is the so-called *leap-frog* algorithm 22 for the integration of the equations of motion. When extremely accurate integration with temperature and/or pressure coupling is required, the velocity Verlet integrators are also present and may be preferable (see The velocity Verlet integrator). The leap-frog algorithm uses positions ${\bf r}$ at time t and velocities ${\bf v}$ at time $t-\frac{1}{2}\Delta t$; it updates positions and velocities using the forces ${\bf F}(t)$ determined by the positions at time t using these relations:

$$\mathbf{v}(t + \frac{1}{2}\Delta t) = \mathbf{v}(t - \frac{1}{2}\Delta t) + \frac{\Delta t}{m}\mathbf{F}(t)$$

$$\mathbf{r}(t + \Delta t) = \mathbf{r}(t) + \Delta t\mathbf{v}(t + \frac{1}{2}\Delta t)$$
(14)

The algorithm is visualized in Fig. 7. It produces trajectories that are identical to the Verlet 23 algorithm, whose position-update relation is

$$\mathbf{r}(t + \Delta t) = 2\mathbf{r}(t) - \mathbf{r}(t - \Delta t) + \frac{1}{m}\mathbf{F}(t)\Delta t^2 + O(\Delta t^4)$$
(15)

The algorithm is of third order in \mathbf{r} and is time-reversible. See ref. 24 for the merits of this algorithm and comparison with other time integration algorithms.

The equations of motion are modified for temperature coupling and pressure coupling, and extended to include the conservation of constraints, all of which are described below.

The velocity Verlet integrator

The velocity Verlet algorithm25 is also implemented in GROMACS, though it is not yet fully integrated with all sets of options. In velocity Verlet, positions \mathbf{r} and velocities \mathbf{v} at time t are used to integrate the equations of motion; velocities at the previous half step are not required.

$$\mathbf{v}(t + \frac{1}{2}\Delta t) = \mathbf{v}(t) + \frac{\Delta t}{2m}\mathbf{F}(t)$$

$$\mathbf{r}(t + \Delta t) = \mathbf{r}(t) + \Delta t \mathbf{v}(t + \frac{1}{2}\Delta t)$$

$$\mathbf{v}(t + \Delta t) = \mathbf{v}(t + \frac{1}{2}\Delta t) + \frac{\Delta t}{2m}\mathbf{F}(t + \Delta t)$$
(16)

or, equivalently,

$$\mathbf{r}(t + \Delta t) = \mathbf{r}(t) + \Delta t \mathbf{v} + \frac{\Delta t^2}{2m} \mathbf{F}(t)$$

$$\mathbf{v}(t + \Delta t) = \mathbf{v}(t) + \frac{\Delta t}{2m} [\mathbf{F}(t) + \mathbf{F}(t + \Delta t)]$$
(17)

With no temperature or pressure coupling, and with *corresponding* starting points, leap-frog and velocity Verlet will generate identical trajectories, as can easily be verified by hand from the equations above. Given a single starting file with the *same* starting point $\mathbf{x}(0)$ and $\mathbf{v}(0)$, leap-frog and velocity Verlet will not give identical trajectories, as leap-frog will interpret the velocities as corresponding to $t=-\frac{1}{2}\Delta t$, while velocity Verlet will interpret them as corresponding to the timepoint t=0.

Understanding reversible integrators: The Trotter decomposition

To further understand the relationship between velocity Verlet and leap-frog integration, we introduce the reversible Trotter formulation of dynamics, which is also useful to understanding implementations of thermostats and barostats in GROMACS.

A system of coupled, first-order differential equations can be evolved from time t=0 to time t by applying the evolution operator

(18)

$$\Gamma(t) = \exp(iLt)\Gamma(0) \ iL = \dot{\Gamma} \cdot
abla_{\Gamma},$$

where L is the Liouville operator, and Γ is the multidimensional vector of independent variables (positions and velocities). A short-time approximation to the true operator, accurate at time $\Delta t=t/P$, is applied P times in succession to evolve the system as

$$\Gamma(t) = \prod_{i=1}^{P} \exp(iL\Delta t)\Gamma(0)$$
 (19)

For NVE dynamics, the Liouville operator is

$$iL = \sum_{i=1}^{N} \mathbf{v}_i \cdot
abla_{\mathbf{r}_i} + \sum_{i=1}^{N} rac{1}{m_i} \mathbf{F}(r_i) \cdot
abla_{\mathbf{v}_i}.$$
 (20)

This can be split into two additive operators

$$iL_1 = \sum_{i=1}^N rac{1}{m_i} \mathbf{F}(r_i) \cdot
abla_{\mathbf{v}_i}$$
 (21)
 $iL_2 = \sum_{i=1}^N \mathbf{v}_i \cdot
abla_{\mathbf{r}_i}$

Then a short-time, symmetric, and thus reversible approximation of the true dynamics will be

$$\exp(iL\Delta t) = \exp(iL_2rac{1}{2}\Delta t)\exp(iL_1\Delta t)\exp(iL_2rac{1}{2}\Delta t) + \mathcal{O}(\Delta t^3).$$
 (22)

This corresponds to velocity Verlet integration. The first exponential term over $\frac{1}{2}\Delta t$ corresponds to a velocity half-step, the second exponential term over Δt corresponds to a full velocity step, and the last exponential term over $\frac{1}{2}\Delta t$ is the final velocity half step. For future times $t=n\Delta t$, this becomes

(23)

$$egin{aligned} \exp(iLn\Delta t) &pprox & \left(\exp(iL_2rac{1}{2}\Delta t)\exp(iL_1\Delta t)\exp(iL_2rac{1}{2}\Delta t)
ight)^n \ &pprox & \exp(iL_2rac{1}{2}\Delta t)igg(\exp(iL_1\Delta t)\exp(iL_2\Delta t)igg)^{n-1} \ &\exp(iL_1\Delta t)\exp(iL_2rac{1}{2}\Delta t) \end{aligned}$$

This formalism allows us to easily see the difference between the different flavors of Verlet integrators. The leap-frog integrator can be seen as starting with (22) with the $\exp(iL_1\Delta t)$ term, instead of the half-step velocity term, yielding

$$\exp(iLn\Delta t) = \exp(iL_1\Delta t)\exp(iL_2\Delta t) + \mathcal{O}(\Delta t^3). \tag{24}$$

Here, the full step in velocity is between $t-\frac{1}{2}\Delta t$ and $t+\frac{1}{2}\Delta t$, since it is a combination of the velocity half steps in velocity Verlet. For future times $t=n\Delta t$, this becomes

$$\exp(iLn\Delta t)pprox \qquad igg(\exp(iL_1\Delta t)\exp(iL_2\Delta t)igg)^n.$$

Although at first this does not appear symmetric, as long as the full velocity step is between $t-\frac{1}{2}\Delta t$ and $t+\frac{1}{2}\Delta t$, then this is simply a way of starting velocity Verlet at a different place in the cycle.

Even though the trajectory and thus potential energies are identical between leap-frog and velocity Verlet, the kinetic energy and temperature will not necessarily be the same. Standard velocity Verlet uses the velocities at the t to calculate the kinetic energy and thus the temperature only at time t; the kinetic energy is then a sum over all particles

$$egin{align} KE_{
m full}(t) = & \sum_i \left(rac{1}{2m_i}\mathbf{v}_i(t)
ight)^2 & (26) \ & = \sum_i rac{1}{2m_i} igg(rac{1}{2}\mathbf{v}_i(t-rac{1}{2}\Delta t) + rac{1}{2}\mathbf{v}_i(t+rac{1}{2}\Delta t)igg)^2, \end{split}$$

with the square on the outside of the average. Standard leap-frog calculates the kinetic energy at time t based on the average kinetic energies at the timesteps $t+\frac{1}{2}\Delta t$ and $t-\frac{1}{2}\Delta t$, or the sum over all particles

$$KE_{ ext{average}}(t) = \sum_i rac{1}{2m_i}igg(rac{1}{2}\mathbf{v}_i(t-rac{1}{2}\Delta t)^2 + rac{1}{2}\mathbf{v}_i(t+rac{1}{2}\Delta t)^2igg),$$

where the square is *inside* the average.

A non-standard variant of velocity Verlet which averages the kinetic energies $KE(t+\frac{1}{2}\Delta t)$ and $KE(t-\frac{1}{2}\Delta t)$, exactly like leap-frog, is also now implemented in GROMACS (as mdp file option <code>integrator=md-vv-avek</code>). Without temperature and pressure coupling, velocity Verlet with half-step-averaged kinetic energies and leap-frog will be identical up to numerical precision. For temperature- and pressure-control schemes, however, velocity Verlet with half-step-averaged kinetic energies and leap-frog will be different, as will be discussed in the section in thermostats and barostats.

The half-step-averaged kinetic energy and temperature are slightly more accurate for a given step size; the difference in average kinetic energies using the half-step-averaged kinetic energies (integrator=md and integrator=md-vv-avek) will be closer to the kinetic energy obtained in the limit of small step size than will the full-step kinetic energy (using integrator=md-vv). For NVE simulations, this difference is usually not significant, since the positions and velocities of the particles are still identical; it makes a difference in the way the temperature of the simulations are interpreted, but not in the trajectories that are produced. Although the kinetic energy is more accurate with the half-step-averaged method, meaning that it changes less as the timestep gets large, it is also more noisy. The RMS deviation of the total energy of the system (sum of kinetic plus potential) in the half-step-averaged kinetic energy case will be higher (about twice as high in most cases) than the full-step kinetic energy. The drift will still be the same, however, as again, the trajectories are identical.

For NVT simulations, however, there **will** be a difference, as discussed in the section on temperature control, since the velocities of the particles are adjusted such that kinetic energies of the simulations, which can be calculated either way, reach the distribution corresponding to the set temperature. In this case, the three methods will not give identical results.

Because the velocity and position are both defined at the same time t the velocity Verlet integrator can be used for some methods, especially rigorously correct pressure control methods, that are not actually possible with leap-frog. The integration itself takes negligibly more time than leap-frog, but twice as many communication calls are currently required. In most cases, and especially for large systems where communication speed is important for parallelization and differences between thermodynamic ensembles vanish in the 1/N limit, and when only NVT ensembles are required, leap-frog will likely be the preferred

integrator. For pressure control simulations where the fine details of the thermodynamics are important, only velocity Verlet allows the true ensemble to be calculated. In either case, simulation with double precision may be required to get fine details of thermodynamics correct.

Multiple time stepping

Several other simulation packages uses multiple time stepping for bonds and/or the PME mesh forces. In GROMACS we have not implemented this (yet), since we use a different philosophy. Bonds can be constrained (which is also a more sound approximation of a physical quantum oscillator), which allows the smallest time step to be increased to the larger one. This not only halves the number of force calculations, but also the update calculations. For even larger time steps, angle vibrations involving hydrogen atoms can be removed using virtual interaction sites (see sec. Removing fastest degrees of freedom), which brings the shortest time step up to PME mesh update frequency of a multiple time stepping scheme.

Temperature coupling

While direct use of molecular dynamics gives rise to the NVE (constant number, constant volume, constant energy ensemble), most quantities that we wish to calculate are actually from a constant temperature (NVT) ensemble, also called the canonical ensemble. GROMACS can use the *weak-coupling* scheme of Berendsen 26, stochastic randomization through the Andersen thermostat 27, the extended ensemble Nosé-Hoover scheme 28, 29, or a velocity-rescaling scheme 30 to simulate constant temperature, with advantages of each of the schemes laid out below.

There are several other reasons why it might be necessary to control the temperature of the system (drift during equilibration, drift as a result of force truncation and integration errors, heating due to external or frictional forces), but this is not entirely correct to do from a thermodynamic standpoint, and in some cases only masks the symptoms (increase in temperature of the system) rather than the underlying problem (deviations from correct physics in the dynamics). For larger systems, errors in ensemble averages and structural properties incurred by using temperature control to remove slow drifts in temperature appear to be negligible, but no completely comprehensive comparisons have been carried out, and some caution must be taking in interpreting the results.

When using temperature and/or pressure coupling the total energy is no longer conserved. Instead there is a conserved energy quantity the formula of which

will depend on the combination or temperature and pressure coupling algorithm used. For all coupling algorithms, except for Andersen temperature coupling and Parrinello-Rahman pressure coupling combined with shear stress, the conserved energy quantity is computed and stored in the energy and log file. Note that this quantity will not be conserved when external forces are applied to the system, such as pulling on group with a changing distance or an electric field. Furthermore, how well the energy is conserved depends on the accuracy of all algorithms involved in the simulation. Usually the algorithms that cause most drift are constraints and the pair-list buffer, depending on the parameters used.

Berendsen temperature coupling

The Berendsen algorithm mimics weak coupling with first-order kinetics to an external heat bath with given temperature T_0 . See ref. 31 for a comparison with the Nosé-Hoover scheme. The effect of this algorithm is that a deviation of the system temperature from T_0 is slowly corrected according to:

$$\frac{\mathrm{d}T}{\mathrm{d}t} = \frac{T_0 - T}{\tau} \tag{28}$$

which means that a temperature deviation decays exponentially with a time constant τ . This method of coupling has the advantage that the strength of the coupling can be varied and adapted to the user requirement: for equilibration purposes the coupling time can be taken quite short (e.g. 0.01 ps), but for reliable equilibrium runs it can be taken much longer (e.g. 0.5 ps) in which case it hardly influences the conservative dynamics.

The Berendsen thermostat suppresses the fluctuations of the kinetic energy. This means that one does not generate a proper canonical ensemble, so rigorously, the sampling will be incorrect. This error scales with 1/N, so for very large systems most ensemble averages will not be affected significantly, except for the distribution of the kinetic energy itself. However, fluctuation properties, such as the heat capacity, will be affected. A similar thermostat which does produce a correct ensemble is the velocity rescaling thermostat 30 described below.

The heat flow into or out of the system is affected by scaling the velocities of each particle every step, or every $n_{\rm TC}$ steps, with a time-dependent factor λ , given by:

$$\lambda = \left[1 + rac{n_{
m TC}\Delta t}{ au_T} \left\{rac{T_0}{T(t-rac{1}{2}\Delta t)} - 1
ight\}
ight]^{1/2}$$
 (29)

The parameter τ_T is close, but not exactly equal, to the time constant τ of the temperature coupling ((28)):

$$\tau = 2C_V \tau_T / N_{df} k \tag{30}$$

where C_V is the total heat capacity of the system, k is Boltzmann's constant, and N_{df} is the total number of degrees of freedom. The reason that $\tau \neq \tau_T$ is that the kinetic energy change caused by scaling the velocities is partly redistributed between kinetic and potential energy and hence the change in temperature is less than the scaling energy. In practice, the ratio τ/τ_T ranges from 1 (gas) to 2 (harmonic solid) to 3 (water). When we use the term temperature coupling time constant, we mean the parameter τ_T . Note that in practice the scaling factor λ is limited to the range of 0.8 $<=\lambda <=1.25$, to avoid scaling by very large numbers which may crash the simulation. In normal use, λ will always be much closer to 1.0.

The thermostat modifies the kinetic energy at each scaling step by:

$$\Delta E_k = (\lambda - 1)^2 E_k \tag{31}$$

The sum of these changes over the run needs to subtracted from the total energy to obtain the conserved energy quantity.

Velocity-rescaling temperature coupling

The velocity-rescaling thermostat 30 is essentially a Berendsen thermostat (see above) with an additional stochastic term that ensures a correct kinetic energy distribution by modifying it according to

$$dK = (K_0 - K)\frac{dt}{\tau_T} + 2\sqrt{\frac{KK_0}{N_f}}\frac{dW}{\sqrt{\tau_T}},$$
(32)

where K is the kinetic energy, N_f the number of degrees of freedom and $\mathrm{d}W$ a Wiener process. There are no additional parameters, except for a random seed. This thermostat produces a correct canonical ensemble and still has the advantage of the Berendsen thermostat: first order decay of temperature deviations and no oscillations.

Andersen thermostat

One simple way to maintain a thermostatted ensemble is to take an NVE integrator and periodically re-select the velocities of the particles from a Maxwell-Boltzmann distribution 27. This can either be done by randomizing all

the velocities simultaneously (massive collision) every $\tau_T/\Delta t$ steps (andersenmassive), or by randomizing every particle with some small probability every timestep (andersen), equal to $\Delta t/\tau$, where in both cases Δt is the timestep and τ_T is a characteristic coupling time scale. Because of the way constraints operate, all particles in the same constraint group must be randomized simultaneously. Because of parallelization issues, the andersen version cannot currently (5.0) be used in systems with constraints. andersen-massive can be used regardless of constraints. This thermostat is also currently only possible with velocity Verlet algorithms, because it operates directly on the velocities at each timestep.

This algorithm completely avoids some of the ergodicity issues of other thermostatting algorithms, as energy cannot flow back and forth between energetically decoupled components of the system as in velocity scaling motions. However, it can slow down the kinetics of system by randomizing correlated motions of the system, including slowing sampling when τ_T is at moderate levels (less than 10 ps). This algorithm should therefore generally not be used when examining kinetics or transport properties of the system 32.

Nosé-Hoover temperature coupling

The Berendsen weak-coupling algorithm is extremely efficient for relaxing a system to the target temperature, but once the system has reached equilibrium it might be more important to probe a correct canonical ensemble. This is unfortunately not the case for the weak-coupling scheme.

To enable canonical ensemble simulations, GROMACS also supports the extended-ensemble approach first proposed by Nosé 28 and later modified by Hoover 29. The system Hamiltonian is extended by introducing a thermal reservoir and a friction term in the equations of motion. The friction force is proportional to the product of each particle's velocity and a friction parameter, ξ . This friction parameter (or *heat bath* variable) is a fully dynamic quantity with its own momentum (p_{ξ}) and equation of motion; the time derivative is calculated from the difference between the current kinetic energy and the reference temperature.

In this formulation, the particles' equations of motion in the global MD scheme are replaced by:

$$\frac{\mathrm{d}^2 \mathbf{r}_i}{\mathrm{d}t^2} = \frac{\mathbf{F}_i}{m_i} - \frac{p_{\xi}}{Q} \frac{\mathrm{d}\mathbf{r}_i}{\mathrm{d}t},\tag{33}$$

where the equation of motion for the heat bath parameter ξ is:

$$\frac{\mathrm{d}p_{\xi}}{\mathrm{d}t} = (T - T_0) \,. \tag{34}$$

The reference temperature is denoted T_0 , while T is the current instantaneous temperature of the system. The strength of the coupling is determined by the constant Q (usually called the *mass parameter* of the reservoir) in combination with the reference temperature. [1]

The conserved quantity for the Nosé-Hoover equations of motion is not the total energy, but rather

$$H = \sum_{i=1}^{N} rac{\mathbf{p}_i}{2m_i} + U\left(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N
ight) + rac{p_{\xi}^2}{2Q} + N_f k T \xi,$$
 (35)

where N_f is the total number of degrees of freedom.

In our opinion, the mass parameter is a somewhat awkward way of describing coupling strength, especially due to its dependence on reference temperature (and some implementations even include the number of degrees of freedom in your system when defining Q). To maintain the coupling strength, one would have to change Q in proportion to the change in reference temperature. For this reason, we prefer to let the GROMACS user work instead with the period τ_T of the oscillations of kinetic energy between the system and the reservoir instead. It is directly related to Q and T_0 via:

$$Q = \frac{\tau_T^2 T_0}{4\pi^2}. (36)$$

This provides a much more intuitive way of selecting the Nosé-Hoover coupling strength (similar to the weak-coupling relaxation), and in addition τ_T is independent of system size and reference temperature.

It is however important to keep the difference between the weak-coupling scheme and the Nosé-Hoover algorithm in mind: Using weak coupling you get a strongly damped *exponential relaxation*, while the Nosé-Hoover approach produces an *oscillatory relaxation*. The actual time it takes to relax with Nosé-Hoover coupling is several times larger than the period of the oscillations that you select. These oscillations (in contrast to exponential relaxation) also means that the time constant normally should be 4–5 times larger than the relaxation time used with weak coupling, but your mileage may vary.

Nosé-Hoover dynamics in simple systems such as collections of harmonic oscillators, can be *nonergodic*, meaning that only a subsection of phase space is ever sampled, even if the simulations were to run for infinitely long. For this

reason, the Nosé-Hoover chain approach was developed, where each of the Nosé-Hoover thermostats has its own Nosé-Hoover thermostat controlling its temperature. In the limit of an infinite chain of thermostats, the dynamics are guaranteed to be ergodic. Using just a few chains can greatly improve the ergodicity, but recent research has shown that the system will still be nonergodic, and it is still not entirely clear what the practical effect of this 33. Currently, the default number of chains is 10, but this can be controlled by the user. In the case of chains, the equations are modified in the following way to include a chain of thermostatting particles 34:

$$\frac{\mathrm{d}^{2}\mathbf{r}_{i}}{\mathrm{d}t^{2}} = \frac{\mathbf{F}_{i}}{m_{i}} - \frac{p_{\xi_{1}}}{Q_{1}} \frac{\mathrm{d}\mathbf{r}_{i}}{\mathrm{d}t}
\frac{\mathrm{d}p_{\xi_{1}}}{\mathrm{d}t} = (T - T_{0}) - p_{\xi_{1}} \frac{p_{\xi_{2}}}{Q_{2}}
\frac{\mathrm{d}p_{\xi_{i=2...N}}}{\mathrm{d}t} = \left(\frac{p_{\xi_{i-1}}^{2}}{Q_{i-1}} - kT\right) - p_{\xi_{i}} \frac{p_{\xi_{i+1}}}{Q_{i+1}}
\frac{\mathrm{d}p_{\xi_{N}}}{\mathrm{d}t} = \left(\frac{p_{\xi_{N-1}}^{2}}{Q_{N-1}} - kT\right)$$
(37)

The conserved quantity for Nosé-Hoover chains is

$$H = \sum_{i=1}^{N} rac{\mathbf{p}_i}{2m_i} + U\left(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N
ight) + \sum_{k=1}^{M} rac{p_{\xi_k}^2}{2Q_k'} + N_f k T \xi_1 + k T \sum_{k=2}^{M} \xi_k$$

The values and velocities of the Nosé-Hoover thermostat variables are generally not included in the output, as they take up a fair amount of space and are generally not important for analysis of simulations, but by setting an mdp option the values of all the positions and velocities of all Nosé-Hoover particles in the chain are written to the edr file. Leap-frog simulations currently can only have Nosé-Hoover chain lengths of 1, but this will likely be updated in later version.

As described in the integrator section, for temperature coupling, the temperature that the algorithm attempts to match to the reference temperature is calculated differently in velocity Verlet and leap-frog dynamics. Velocity Verlet (md-vv) uses the full-step kinetic energy, while leap-frog and md-vv-avek use the half-step-averaged kinetic energy.

We can examine the Trotter decomposition again to better understand the

differences between these constant-temperature integrators. In the case of Nosé-Hoover dynamics (for simplicity, using a chain with N=1, with more details in Ref. 35), we split the Liouville operator as

$$iL = iL_1 + iL_2 + iL_{NHC},$$
 (39)

where

$$iL_1 = \sum_{i=1}^{N} \left[rac{\mathbf{p}_i}{m_i}
ight] \cdot rac{\partial}{\partial \mathbf{r}_i} \qquad (40)$$
 $iL_2 = \sum_{i=1}^{N} \mathbf{F}_i \cdot rac{\partial}{\partial \mathbf{p}_i}$
 $iL_{ ext{NHC}} = \sum_{i=1}^{N} -rac{p_{\xi}}{Q} \mathbf{v}_i \cdot
abla_{\mathbf{v}_i} + rac{p_{\xi}}{Q} rac{\partial}{\partial \xi} + (T - T_0) rac{\partial}{\partial p_{\xi}}$

For standard velocity Verlet with Nosé-Hoover temperature control, this becomes

$$\exp(iL\Delta t) = \exp(iL_{
m NHC}\Delta t/2) \exp(iL_2\Delta t/2) \ \exp(iL_1\Delta t) \exp(iL_2\Delta t/2) \exp(iL_{
m NHC}\Delta t/2) + \mathcal{O}(\Delta t^3).$$

For half-step-averaged temperature control using *md-vv-avek*, this decomposition will not work, since we do not have the full step temperature until after the second velocity step. However, we can construct an alternate decomposition that is still reversible, by switching the place of the NHC and velocity portions of the decomposition:

$$\exp(iL\Delta t) = \exp(iL_2\Delta t/2) \exp(iL_{\rm NHC}\Delta t/2) \exp(iL_1\Delta t) \qquad (42)$$
$$\exp(iL_{\rm NHC}\Delta t/2) \exp(iL_2\Delta t/2) + \mathcal{O}(\Delta t^3)$$

This formalism allows us to easily see the difference between the different flavors of velocity Verlet integrator. The leap-frog integrator can be seen as starting with (42) just before the $\exp(iL_1\Delta t)$ term, yielding:

$$\exp(iL\Delta t) = \exp(iL_1\Delta t) \exp(iL_{
m NHC}\Delta t/2)$$
 (43)
 $\exp(iL_2\Delta t) \exp(iL_{
m NHC}\Delta t/2) + \mathcal{O}(\Delta t^3)$

and then using some algebra tricks to solve for some quantities are required before they are actually calculated 36.

Group temperature coupling

In GROMACS temperature coupling can be performed on groups of atoms, typically a protein and solvent. The reason such algorithms were introduced is that energy exchange between different components is not perfect, due to different effects including cut-offs etc. If now the whole system is coupled to one heat bath, water (which experiences the largest cut-off noise) will tend to heat up and the protein will cool down. Typically 100 K differences can be obtained. With the use of proper electrostatic methods (PME) these difference are much smaller but still not negligible. The parameters for temperature coupling in groups are given in the mdp file. Recent investigation has shown that small temperature differences between protein and water may actually be an artifact of the way temperature is calculated when there are finite timesteps, and very large differences in temperature are likely a sign of something else seriously going wrong with the system, and should be investigated carefully 37.

One special case should be mentioned: it is possible to temperature-couple only part of the system, leaving other parts without temperature coupling. This is done by specifying -1 for the time constant τ_T for the group that should not be thermostatted. If only part of the system is thermostatted, the system will still eventually converge to an NVT system. In fact, one suggestion for minimizing errors in the temperature caused by discretized timesteps is that if constraints on the water are used, then only the water degrees of freedom should be thermostatted, not protein degrees of freedom, as the higher frequency modes in the protein can cause larger deviations from the true temperature, the temperature obtained with small timesteps 37.

Pressure coupling

In the same spirit as the temperature coupling, the system can also be coupled to a *pressure bath*. GROMACS supports both the Berendsen algorithm 26 that scales coordinates and box vectors every step, the extended-ensemble Parrinello-Rahman approach 38, 39, and for the velocity Verlet variants, the Martyna-Tuckerman-Tobias-Klein (MTTK) implementation of pressure control 35. Parrinello-Rahman and Berendsen can be combined with any of the temperature coupling methods above. MTTK can only be used with Nosé-Hoover temperature control. From 5.1 afterwards, it can only used when the system does not have constraints.

Berendsen pressure coupling

The Berendsen algorithm rescales the coordinates and box vectors every step, or every $n_{\rm PC}$ steps, with a matrix μ , which has the effect of a first-order kinetic

relaxation of the pressure towards a given reference pressure \mathbf{P}_0 according to

$$\frac{\mathrm{d}\mathbf{P}}{\mathrm{d}t} = \frac{\mathbf{P}_0 - \mathbf{P}}{\tau_p}.\tag{44}$$

The scaling matrix μ is given by

$$\mu_{ij} = \delta_{ij} - \frac{n_{\text{PC}}\Delta t}{3\,\tau_p} \beta_{ij} \{ P_{0ij} - P_{ij}(t) \}.$$
 (45)

Here, β is the isothermal compressibility of the system. In most cases this will be a diagonal matrix, with equal elements on the diagonal, the value of which is generally not known. It suffices to take a rough estimate because the value of β only influences the non-critical time constant of the pressure relaxation without affecting the average pressure itself. For water at 1 atm and 300 K $\beta=4.6\times10^{-10}~{\rm Pa}^{-1}=4.6\times10^{-5}~{\rm bar}^{-1}$, which is $7.6\times10^{-4}~{\rm MD}$ units (see chapter Definitions and Units). Most other liquids have similar values. When scaling completely anisotropically, the system has to be rotated in order to obey (1). This rotation is approximated in first order in the scaling, which is usually less than 10^{-4} . The actual scaling matrix μ' is

$$\mu' = \begin{pmatrix} \mu_{xx} & \mu_{xy} + \mu_{yx} & \mu_{xz} + \mu_{zx} \\ 0 & \mu_{yy} & \mu_{yz} + \mu_{zy} \\ 0 & 0 & \mu_{zz} \end{pmatrix}. \tag{46}$$

The velocities are neither scaled nor rotated. Since the equations of motion are modified by pressure coupling, the conserved energy quantity also needs to be modified. For first order pressure coupling, the work the barostat applies to the system every step needs to be subtracted from the total energy to obtain the conserved energy quantity:

$$-\sum_{i,j} (\mu_{ij} - \delta_{ij}) P_{ij} V = \sum_{i,j} 2(\mu_{ij} - \delta_{ij}) \Xi_{ij}$$
 (47)

where δ_{ij} is the Kronecker delta and Ξ is the virial. Note that the factor 2 originates from the factor $\frac{1}{2}$ in the virial definition ((13)).

In GROMACS, the Berendsen scaling can also be done isotropically, which means that instead of ${\bf P}$ a diagonal matrix with elements of size ${\rm trace}({\bf P})/3$ is used. For systems with interfaces, semi-isotropic scaling can be useful. In this case, the x/y-directions are scaled isotropically and the z direction is scaled independently. The compressibility in the x/y or z-direction can be set to zero, to scale only in the other direction(s).

If you allow full anisotropic deformations and use constraints you might have to scale more slowly or decrease your timestep to avoid errors from the constraint algorithms. It is important to note that although the Berendsen pressure control algorithm yields a simulation with the correct average pressure, it does not yield the exact NPT ensemble, and it is not yet clear exactly what errors this approximation may yield.

Parrinello-Rahman pressure coupling

In cases where the fluctuations in pressure or volume are important *per se* (*e.g.* to calculate thermodynamic properties), especially for small systems, it may be a problem that the exact ensemble is not well defined for the weak-coupling scheme, and that it does not simulate the true NPT ensemble.

GROMACS also supports constant-pressure simulations using the Parrinello-Rahman approach 38, 39, which is similar to the Nosé-Hoover temperature coupling, and in theory gives the true NPT ensemble. With the Parrinello-Rahman barostat, the box vectors as represented by the matrix obey the matrix equation of motion [2]

$$\frac{\mathrm{d}\mathbf{b}^{2}}{\mathrm{d}t^{2}} = V\mathbf{W}^{-1}\mathbf{b}^{\prime-1}\left(\mathbf{P} - \mathbf{P}_{ref}\right). \tag{48}$$

The volume of the box is denoted V, and \mathbf{W} is a matrix parameter that determines the strength of the coupling. The matrices and ref are the current and reference pressures, respectively.

The equations of motion for the particles are also changed, just as for the Nosé-Hoover coupling. In most cases you would combine the Parrinello-Rahman barostat with the Nosé-Hoover thermostat, but to keep it simple we only show the Parrinello-Rahman modification here. The modified Hamiltonian, which will be conserved, is:

$$E_{\text{pot}} + E_{\text{kin}} + \sum_{i} P_{ii}V + \sum_{i,j} \frac{1}{2}W_{ij} \left(\frac{\mathrm{d}b_{ij}}{\mathrm{d}t}\right)^{2} \tag{49}$$

The equations of motion for the atoms, obtained from the Hamiltonian are:

$$\frac{\mathrm{d}^{2}\mathbf{r}_{i}}{\mathrm{d}t^{2}} = \frac{\mathbf{F}_{i}}{m_{i}} - \mathbf{M} \frac{\mathrm{d}\mathbf{r}_{i}}{\mathrm{d}t},$$

$$\mathbf{M} = \mathbf{b}^{-1} \left[\mathbf{b} \frac{\mathrm{d}\mathbf{b}'}{\mathrm{d}t} + \frac{\mathrm{d}\mathbf{b}}{\mathrm{d}t} \mathbf{b}' \right] \mathbf{b}'^{-1}.$$
(50)

This extra term has the appearance of a friction, but it should be noted that it is ficticious, and rather an effect of the Parrinello-Rahman equations of motion being defined with all particle coordinates represented relative to the box vectors, while GROMACS uses normal Cartesian coordinates for positions, velocities and forces. It is worth noting that the kinetic energy too should formally be calculated based on velocities relative to the box vectors. This can have an effect e.g. for external constant stress, but for now we only support coupling to constant external pressures, and for any normal simulation the velocities of box vectors should be extremely small compared to particle velocities. Gang Liu has done some work on deriving this for Cartesian coordinates40 that we will try to implement at some point in the future together with support for external stress.

The (inverse) mass parameter matrix \mathbf{W}^{-1} determines the strength of the coupling, and how the box can be deformed. The box restriction ((1)) will be fulfilled automatically if the corresponding elements of \mathbf{W}^{-1} are zero. Since the coupling strength also depends on the size of your box, we prefer to calculate it automatically in GROMACS. You only have to provide the approximate isothermal compressibilities β and the pressure time constant τ_p in the input file (L is the largest box matrix element):

$$(\mathbf{W}^{-1})_{ij} = \frac{4\pi^2 \beta_{ij}}{3\tau_p^2 L}.$$
 (51)

Just as for the Nosé-Hoover thermostat, you should realize that the Parrinello-Rahman time constant is *not* equivalent to the relaxation time used in the Berendsen pressure coupling algorithm. In most cases you will need to use a 4–5 times larger time constant with Parrinello-Rahman coupling. If your pressure is very far from equilibrium, the Parrinello-Rahman coupling may result in very large box oscillations that could even crash your run. In that case you would have to increase the time constant, or (better) use the weak-coupling scheme to reach the target pressure, and then switch to Parrinello-Rahman coupling once the system is in equilibrium. Additionally, using the leap-frog algorithm, the pressure at time t is not available until after the time step has completed, and so the pressure from the previous step must be used, which makes the algorithm not directly reversible, and may not be appropriate for high precision thermodynamic calculations.

Surface-tension coupling

When a periodic system consists of more than one phase, separated by surfaces which are parallel to the xy-plane, the surface tension and the z-component of the pressure can be coupled to a pressure bath. Presently, this

only works with the Berendsen pressure coupling algorithm in GROMACS. The average surface tension $\gamma(t)$ can be calculated from the difference between the normal and the lateral pressure

$$\gamma(t) = \frac{1}{n} \int_{0}^{L_{z}} \left\{ P_{zz}(z,t) - \frac{P_{xx}(z,t) + P_{yy}(z,t)}{2} \right\} dz
= \frac{L_{z}}{n} \left\{ P_{zz}(t) - \frac{P_{xx}(t) + P_{yy}(t)}{2} \right\},$$
(52)

where L_z is the height of the box and n is the number of surfaces. The pressure in the z-direction is corrected by scaling the height of the box with μ_{zz}

$$\Delta P_{zz} = \frac{\Delta t}{\tau_p} \{ P_{0zz} - P_{zz}(t) \} \tag{53}$$

$$\mu_{zz} = 1 + \beta_{zz} \Delta P_{zz} \tag{54}$$

This is similar to normal pressure coupling, except that the factor of 1/3 is missing. The pressure correction in the z-direction is then used to get the correct convergence for the surface tension to the reference value γ_0 . The correction factor for the box length in the x/y-direction is

$$\mu_{x/y}=1+rac{\Delta t}{2\, au_p}eta_{x/y}\left(rac{n\gamma_0}{\mu_{zz}L_z}-\left\{P_{zz}(t)+\Delta P_{zz}-rac{P_{xx}(t)+P_{yy}(t)}{2}
ight\}
ight)$$

The value of β_{zz} is more critical than with normal pressure coupling. Normally an incorrect compressibility will just scale τ_p , but with surface tension coupling it affects the convergence of the surface tension. When β_{zz} is set to zero (constant box height), ΔP_{zz} is also set to zero, which is necessary for obtaining the correct surface tension.

MTTK pressure control algorithms

As mentioned in the previous section, one weakness of leap-frog integration is in constant pressure simulations, since the pressure requires a calculation of both the virial and the kinetic energy at the full time step; for leap-frog, this information is not available until *after* the full timestep. Velocity Verlet does allow the calculation, at the cost of an extra round of global communication, and can compute, mod any integration errors, the true NPT ensemble.

The full equations, combining both pressure coupling and temperature coupling, are taken from Martyna et al. 35 and Tuckerman 41 and are referred to here

as MTTK equations (Martyna-Tuckerman-Tobias-Klein). We introduce for convenience $\epsilon=(1/3)\ln(V/V_0)$, where V_0 is a reference volume. The momentum of ϵ is $v_\epsilon=p_\epsilon/W=\dot\epsilon=\dot V/3V$, and define $\alpha=1+3/N_{dof}$ (see Ref 41)

The isobaric equations are

$$\dot{\mathbf{r}}_{i} = \frac{\mathbf{p}_{i}}{m_{i}} + \frac{p_{\epsilon}}{W}\mathbf{r}_{i} \qquad (56)$$

$$\frac{\dot{\mathbf{p}}_{i}}{m_{i}} = \frac{1}{m_{i}}\mathbf{F}_{i} - \alpha \frac{p_{\epsilon}}{W} \frac{\mathbf{p}_{i}}{m_{i}}$$

$$\dot{\epsilon} = \frac{p_{\epsilon}}{W}$$

$$\frac{\dot{p}_{\epsilon}}{W} = \frac{3V}{W}(P_{\text{int}} - P) + (\alpha - 1)\left(\sum_{n=1}^{N} \frac{\mathbf{p}_{i}^{2}}{m_{i}}\right),$$

where

$$P_{\mathrm{int}} = P_{\mathrm{kin}} - P_{\mathrm{vir}} = \frac{1}{3V} \left[\sum_{i=1}^{N} \left(\frac{\mathbf{p}_{i}^{2}}{2m_{i}} - \mathbf{r}_{i} \cdot \mathbf{F}_{i} \right) \right].$$
 (57)

The terms including α are required to make phase space incompressible 41. The ϵ acceleration term can be rewritten as

$$\frac{\dot{p_{\epsilon}}}{W} = \frac{3V}{W} (\alpha P_{\rm kin} - P_{\rm vir} - P) \tag{58}$$

In terms of velocities, these equations become

$$\dot{\mathbf{r}}_{i} = \mathbf{v}_{i} + v_{\epsilon} \mathbf{r}_{i} \qquad (59)$$

$$\dot{\mathbf{v}}_{i} = \frac{1}{m_{i}} \mathbf{F}_{i} - \alpha v_{\epsilon} \mathbf{v}_{i}$$

$$\dot{\epsilon} = v_{\epsilon}$$

$$\dot{v}_{\epsilon} = \frac{3V}{W} (P_{\text{int}} - P) + (\alpha - 1) \left(\sum_{n=1}^{N} \frac{1}{2} m_{i} \mathbf{v}_{i}^{2} \right)$$

$$P_{\text{int}} = P_{\text{kin}} - P_{\text{vir}} = \frac{1}{3V} \left[\sum_{i=1}^{N} \left(\frac{1}{2} m_{i} \mathbf{v}_{i}^{2} - \mathbf{r}_{i} \cdot \mathbf{F}_{i} \right) \right]$$

For these equations, the conserved quantity is

$$H = \sum_{i=1}^{N} rac{\mathbf{p}_i^2}{2m_i} + U\left(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N
ight) + rac{p_\epsilon}{2W} + PV$$
 (60)

The next step is to add temperature control. Adding Nosé-Hoover chains, including to the barostat degree of freedom, where we use η for the barostat Nosé-Hoover variables, and Q' for the coupling constants of the thermostats of the barostats, we get

$$\dot{\mathbf{r}}_{i} = \frac{\mathbf{p}_{i}}{m_{i}} + \frac{p_{\epsilon}}{W} \mathbf{r}_{i} \qquad (61)$$

$$\dot{\frac{\dot{\mathbf{p}}_{i}}{m_{i}}} = \frac{1}{m_{i}} \mathbf{F}_{i} - \alpha \frac{p_{\epsilon}}{W} \frac{\mathbf{p}_{i}}{m_{i}} - \frac{p_{\xi_{1}}}{Q_{1}} \frac{\mathbf{p}_{i}}{m_{i}}$$

$$\dot{\epsilon} = \frac{p_{\epsilon}}{W}$$

$$\dot{\frac{\dot{p}_{\epsilon}}{W}} = \frac{3V}{W} (\alpha P_{\text{kin}} - P_{\text{vir}} - P) - \frac{p_{\eta_{1}}}{Q'_{1}} p_{\epsilon}$$

$$\dot{\xi}_{k} = \frac{p_{\xi_{k}}}{Q_{k}}$$

$$\dot{p}_{\chi_{k}} = \frac{p_{\eta_{k}}}{Q'_{k}}$$

$$\dot{p}_{\chi_{k}} = G_{k} - \frac{p_{\xi_{k+1}}}{Q_{k+1}} \quad k = 1, \dots, M - 1$$

$$\dot{p}_{\chi_{k}} = G'_{k} - \frac{p_{\eta_{k+1}}}{Q'_{k+1}} \quad k = 1, \dots, M - 1$$

$$\dot{p}_{\xi_{M}} = G_{M}$$

$$\dot{p}_{\eta_{M}} = G'_{M}$$

where

(62)

$$egin{aligned} P_{ ext{int}} &=& P_{ ext{kin}} - P_{ ext{vir}} = rac{1}{3V} iggl[\sum_{i=1}^{N} iggl(rac{\mathbf{p}_{i}^{2}}{2m_{i}} - \mathbf{r}_{i} \cdot \mathbf{F}_{i} iggr) iggr] \ G_{1} &=& \sum_{i=1}^{N} rac{\mathbf{p}_{i}^{2}}{m_{i}} - N_{f}kT \ G_{k} &=& rac{p_{\xi_{k-1}}^{2}}{2Q_{k-1}} - kT \;\; k = 2, \ldots, M \ G_{1}' &=& rac{p_{\eta_{k-1}}^{2}}{2W} - kT \ G_{k}' &=& rac{p_{\eta_{k-1}}^{2}}{2Q_{k-1}'} - kT \;\; k = 2, \ldots, M \end{aligned}$$

The conserved quantity is now

$$H = \sum_{i=1}^{N} \frac{\mathbf{p}_{i}}{2m_{i}} + U\left(\mathbf{r}_{1}, \mathbf{r}_{2}, \dots, \mathbf{r}_{N}\right) + \frac{p_{\epsilon}^{2}}{2W} + PV +$$

$$\sum_{k=1}^{M} \frac{p_{\xi_{k}}^{2}}{2Q_{k}} + \sum_{k=1}^{M} \frac{p_{\eta_{k}}^{2}}{2Q_{k}'} + N_{f}kT\xi_{1} + kT\sum_{i=2}^{M} \xi_{k} + kT\sum_{k=1}^{M} \eta_{k}$$

$$(63)$$

Returning to the Trotter decomposition formalism, for pressure control and temperature control 35 we get:

$$iL = iL_1 + iL_2 + iL_{\epsilon,1} + iL_{\epsilon,2} + iL_{\text{NHC-baro}} + iL_{\text{NHC}}$$
 (64)

where "NHC-baro" corresponds to the Nosè-Hoover chain of the barostat, and NHC corresponds to the NHC of the particles,

$$iL_{1} = \sum_{i=1}^{N} \left[\frac{\mathbf{p}_{i}}{m_{i}} + \frac{p_{\epsilon}}{W} \mathbf{r}_{i} \right] \cdot \frac{\partial}{\partial \mathbf{r}_{i}}$$

$$iL_{2} = \sum_{i=1}^{N} \mathbf{F}_{i} - \alpha \frac{p_{\epsilon}}{W} \mathbf{p}_{i} \cdot \frac{\partial}{\partial \mathbf{p}_{i}}$$

$$iL_{\epsilon,1} = \frac{p_{\epsilon}}{W} \frac{\partial}{\partial \epsilon}$$

$$iL_{\epsilon,2} = G_{\epsilon} \frac{\partial}{\partial p_{\epsilon}}$$
(65)

and where

$$G_{\epsilon} = 3V \left(\alpha P_{\rm kin} - P_{\rm vir} - P\right) \tag{66}$$

Using the Trotter decomposition, we get

$$\exp(iL\Delta t) = \exp(iL_{
m NHC-baro}\Delta t/2) \exp(iL_{
m NHC}\Delta t/2) \ \exp(iL_{
m C}\Delta t/2) \exp(iL_{
m C}\Delta t/2) \ \exp(iL_{
m C}\Delta t/2) \exp(iL_{
m C}\Delta t/2) \ \exp(iL_{
m C}\Delta t/2) \exp(iL_{
m C}\Delta t/2) \ \exp(iL_{
m C}\Delta t/2) \exp(iL_{
m NHC}\Delta t/2) \exp(iL_{
m NHC-baro}\Delta t/2) + \mathcal{O}(\Delta t^3)$$

The action of $\exp(iL_1\Delta t)$ comes from the solution of the differential equation $\dot{\mathbf{r}}_i=\mathbf{v}_i+v_\epsilon\mathbf{r}_i$ with $\mathbf{v}_i=\mathbf{p}_i/m_i$ and v_ϵ constant with initial condition $\mathbf{r}_i(0)$, evaluate at $t=\Delta t$. This yields the evolution

$$\mathbf{r}_i(\Delta t) = \mathbf{r}_i(0)e^{v_\epsilon \Delta t} + \Delta t \mathbf{v}_i(0)e^{v_\epsilon \Delta t/2} \frac{\sinh\left(v_\epsilon \Delta t/2\right)}{v_\epsilon \Delta t/2}.$$
 (68)

The action of $\exp(iL_2\Delta t/2)$ comes from the solution of the differential equation $\dot{\mathbf{v}}_i=\frac{\mathbf{F}_i}{m_i}-\alpha v_\epsilon\mathbf{v}_i$, yielding

$$\mathbf{v}_{i}(\Delta t/2) = \mathbf{v}_{i}(0)e^{-\alpha v_{\epsilon}\Delta t/2} + \frac{\Delta t}{2m_{i}}\mathbf{F}_{i}(0)e^{-\alpha v_{\epsilon}\Delta t/4}\frac{\sinh\left(\alpha v_{\epsilon}\Delta t/4\right)}{\alpha v_{\epsilon}\Delta t/4}.$$
 (69)

md-vv-avek uses the full step kinetic energies for determining the pressure with the pressure control, but the half-step-averaged kinetic energy for the temperatures, which can be written as a Trotter decomposition as

$$\exp(iL\Delta t) = rac{\exp(iL_{
m NHC-baro}\Delta t/2)\exp(iL_{\epsilon,2}\Delta t/2)\exp(iL_2\Delta t/2)}{\exp(iL_{
m NHC}\Delta t/2)\exp(iL_{\epsilon,1}\Delta t)\exp(iL_1\Delta t)\exp(iL_{
m NHC}\Delta t/2)} \ \exp(iL_2\Delta t/2)\exp(iL_{\epsilon,2}\Delta t/2)\exp(iL_{
m NHC-baro}\Delta t/2) + \mathcal{O}($$

With constraints, the equations become significantly more complicated, in that each of these equations need to be solved iteratively for the constraint forces. Before GROMACS 5.1, these iterative constraints were solved as described in 42. From GROMACS 5.1 onward, MTTK with constraints has been removed because of numerical stability issues with the iterations.

Infrequent evaluation of temperature and pressure coupling

Temperature and pressure control require global communication to compute the kinetic energy and virial, which can become costly if performed every step for large systems. We can rearrange the Trotter decomposition to give alternate symplectic, reversible integrator with the coupling steps every n steps instead of every steps. These new integrators will diverge if the coupling time step is too large, as the auxiliary variable integrations will not converge. However, in most cases, long coupling times are more appropriate, as they disturb the dynamics less 35.

Standard velocity Verlet with Nosé-Hoover temperature control has a Trotter expansion

$$\exp(iL\Delta t)pprox \exp(iL_{
m NHC}\Delta t/2)\exp(iL_2\Delta t/2) \quad ag{71} \ \exp(iL_1\Delta t)\exp(iL_2\Delta t/2)\exp(iL_{
m NHC}\Delta t/2).$$

If the Nosé-Hoover chain is sufficiently slow with respect to the motions of the system, we can write an alternate integrator over n steps for velocity Verlet as

$$\exp(iL\Delta t)pprox \qquad (\exp(iL_{
m NHC}(n\Delta t/2))\left[\exp(iL_2\Delta t/2)
ight. \ \exp(iL_1\Delta t)\exp(iL_2\Delta t/2)
ight]^n\exp(iL_{
m NHC}(n\Delta t/2)).$$

For pressure control, this becomes

$$\exp(iL\Delta t)pprox \qquad \exp(iL_{
m NHC-baro}(n\Delta t/2))\exp(iL_{
m NHC}(n\Delta t/2)) \qquad (73) \ \exp(iL_{\epsilon,2}(n\Delta t/2))\left[\exp(iL_2\Delta t/2) \exp(iL_1\Delta t) \exp(iL_{\epsilon,1}\Delta t)\exp(iL_1\Delta t) \exp(iL_2\Delta t/2)
ight]^n \exp(iL_{\epsilon,2}(n\Delta t/2)) \ \exp(iL_{
m NHC}(n\Delta t/2))\exp(iL_{
m NHC-baro}(n\Delta t/2)),$$

where the box volume integration occurs every step, but the auxiliary variable integrations happen every n steps.

The complete update algorithm

THE UPDATE ALGORITHM

Given: Positions ${f r}$ of all atoms at time t Velocities ${f v}$ of all atoms at

time $t-\frac{1}{2}\Delta t$ Accelerations \mathbf{F}/m on all atoms at time t. (Forces are computed disregarding any constraints) Total kinetic energy and virial at $t-\Delta t \downarrow \! \downarrow$

- 1. Compute the scaling factors λ and μ according to (29) and (45) \Downarrow
- 2. Update and scale velocities: $\mathbf{v}' = \lambda (\mathbf{v} + \mathbf{a} \Delta t) \Downarrow$
- 3. Compute new unconstrained coordinates: $\mathbf{r}' = \mathbf{r} + \mathbf{v}' \Delta t \ \Downarrow$
- 4. Apply constraint algorithm to coordinates: constrain(${f r}^{'}
 ightarrow {f r}''; \ {f r}) \ \Downarrow$
- 5. Correct velocities for constraints: ${f v}=({f r}''-{f r})/\Delta t \Downarrow$
- 6. Scale coordinates and box: ${f r}=\mu{f r}'';{f b}=\mu{f b}$

The complete algorithm for the update of velocities and coordinates is given using leap-frog in the outline above The SHAKE algorithm of step 4 is explained below.

GROMACS has a provision to *freeze* (prevent motion of) selected particles, which must be defined as a *freeze group*. This is implemented using a *freeze factor* \mathbf{f}_g , which is a vector, and differs for each freeze group (see sec. The group concept). This vector contains only zero (freeze) or one (don't freeze). When we take this freeze factor and the external acceleration \mathbf{a}_h into account the update algorithm for the velocities becomes

$$\mathbf{v}(t + \frac{\Delta t}{2}) = \mathbf{f}_g * \lambda * \left[\mathbf{v}(t - \frac{\Delta t}{2}) + \frac{\mathbf{F}(t)}{m} \Delta t + \mathbf{a}_h \Delta t \right], \tag{74}$$

where g and h are group indices which differ per atom.

Output step

The most important output of the MD run is the *trajectory file*, which contains particle coordinates and (optionally) velocities at regular intervals. The trajectory file contains frames that could include positions, velocities and/or forces, as well as information about the dimensions of the simulation volume, integration step, integration time, etc. The interpretation of the time varies with the integrator chosen, as described above. For Velocity Verlet integrators, velocities labeled at time t are for that time. For other integrators (e.g. leapfrog, stochastic dynamics), the velocities labeled at time t are for time $t - \frac{1}{2}\Delta t$.

Since the trajectory files are lengthy, one should not save every step! To retain all information it suffices to write a frame every 15 steps, since at least 30 steps are made per period of the highest frequency in the system, and Shannon's sampling theorem states that two samples per period of the highest frequency in a band-limited signal contain all available information. But that still gives very long files! So, if the highest frequencies are not of interest, 10 or 20 samples per ps may suffice. Be aware of the distortion of high-frequency motions by the *stroboscopic effect*, called *aliasing*: higher frequencies are mirrored with respect to the sampling frequency and appear as lower frequencies.

GROMACS can also write reduced-precision coordinates for a subset of the simulation system to a special compressed trajectory file format. All the other tools can read and write this format. See the User Guide for details on how to set up your mdp file to have mdrun use this feature.

- [1] Note that some derivations, an alternative notation $\xi_{
 m alt}=v_{\xi}=p_{\xi}/Q$ is used.
- [2] The box matrix representation in corresponds to the transpose of the box matrix representation in the paper by Nosé and Klein. Because of this, some of our equations will look slightly different.