

# 18.404/6.840 Lecture 4

## Last time:

- Finite automata  $\rightarrow$  regular expressions
- Proving languages aren't regular
- Context free grammars

Finite automata  $\rightarrow$  capability are extremely limited

## Today: (Sipser §2.2)

- Context free grammars (CFGs) – definition
- Context free languages (CFLs)
- Pushdown automata (PDA)
- Converting CFGs to PDAs

# Context Free Grammars (CFGs)

$$\begin{array}{ll}
 G_1 & \\
 S \rightarrow 0S1 & \text{Shorthand:} \\
 S \rightarrow R & S \rightarrow 0S1 \mid R \\
 R \rightarrow \varepsilon & R \rightarrow \varepsilon
 \end{array}$$

Recall that a CFG has terminals, variables, and rules.

## Grammars generate strings

1. Write down start variable
2. Replace any variable according to a rule  
Repeat until **only terminals remain**
3. Result is the generated string You have generated a string that's in the language of the grammar
4.  $L(G)$  is the language of all generated strings
5. We call  $L(G)$  a Context Free Language.

The grammar's language is going to be a language over strings whose alphabet are the terminal symbols

Terminal symbols = input alphabet for the finite automata (in some sense)

2

Example of  $G_1$  generating a string

Tree of substitutions "parse tree"	S	S	Resulting string
--	---	---	---------------------

$$L(G_1) = \{0^k 1^k \mid k \geq 0\} \in L(G_1)$$

# CFG – Formal Definition

Defn: A Context Free Grammar (CFG)  $G$  is a 4-tuple  $(V, \Sigma, R, S)$

$V$  finite set of variables

$\Sigma$  finite set of terminal symbols

$R$  finite set of rules (rule form:  $V \rightarrow (V \cup \Sigma)^*$ )

$S$  start variable

Why called “context free”?

You can replace the variable independent of its context in the intermediate string

For  $u, v \in (V \cup \Sigma)^*$  write

1)  $u \xRightarrow{\text{yields}} v$  if can go from  $u$  to  $v$  with one substitution step in  $G$

2)  $u \xRightarrow{*} v$  if can go from  $u$  to  $v$  with some number of substitution steps in  $G$

$u \Rightarrow u_1 \Rightarrow u_2 \Rightarrow \dots \Rightarrow u_k = v$  is called a derivation of  $v$  from  $u$ .

If  $u = S$  then it is a derivation of  $v$ .

$$L(G) = \{w \mid w \in \Sigma^* \text{ and } S \xRightarrow{*} w\}$$

Defn:  $A$  is a Context Free Language (CFL) if  $A = L(G)$  for some CFG  $G$ .

## Check-in 4.1

Which of these are valid CFGs?

$C_1: B \rightarrow 0B1$

$\mid \epsilon$

$B1 \rightarrow 1B$

$0B \rightarrow 0B$

$C_2: S \rightarrow OS \mid$

$S1$

$R \rightarrow RR$

a)  $C_1$  only

b)  $C_2$  only **Correct**

c) Both  $C_1$  and  $C_2$

d) Neither

Although you're always going to be stuck with the variable

That doesn't violate the definition of a context-free grammar

This is a context-free grammar whose language happens to be the empty language

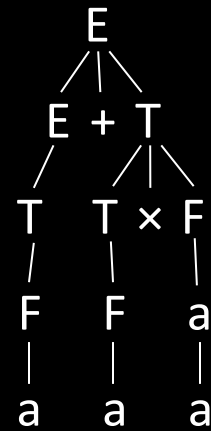
# CFG – Example

$G_2$

$$\begin{aligned} E &\rightarrow E+T \mid T \\ T &\rightarrow T\times F \mid F \\ F &\rightarrow (E) \mid a \end{aligned}$$

$V = \{E, T, F\}$   
 $\Sigma = \{+, \times, (, ), a\}$   
 $R =$  the 6 rules above  
 $S = E$

Parse  
tree



E

Resulting  
string

E+T

T+T×F

F+F×a

a+a×a  $\in L(G_2)$

Generates a+a×a, (a+a)×a, a, a+a+a, etc.

One application of context-free grammars is to describe the syntax of programming languages

The grammar can be used to automatically generate the part of the compiler of that programming language

So called parser -> figure out the meaning

The meaning is embedded within the structure of the parse tree

Observe that the parse tree contains additional information, such as the **precedence** of  $\times$  over  $+$ .

The times is going to be done before the plus

If a string has two different parse trees then it is derived ambiguously and we say that the grammar is ambiguous.

### Check-in 4.2

How many reasonable distinct meanings does the following English sentence have?

*The boy saw the girl with the mirror.*

- (a) 1
- (b) 2
- (c) 3 or more

# Ambiguity

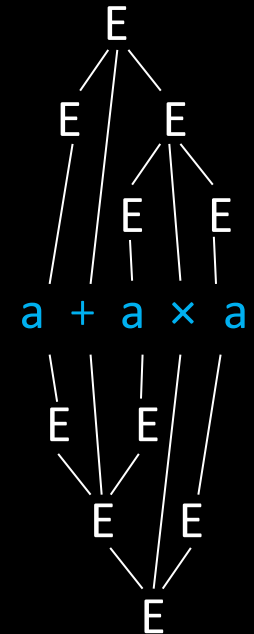
$G_2$

$$\begin{aligned} E &\rightarrow E+T \mid T \\ T &\rightarrow T \times F \mid F \\ F &\rightarrow (E) \mid a \end{aligned}$$

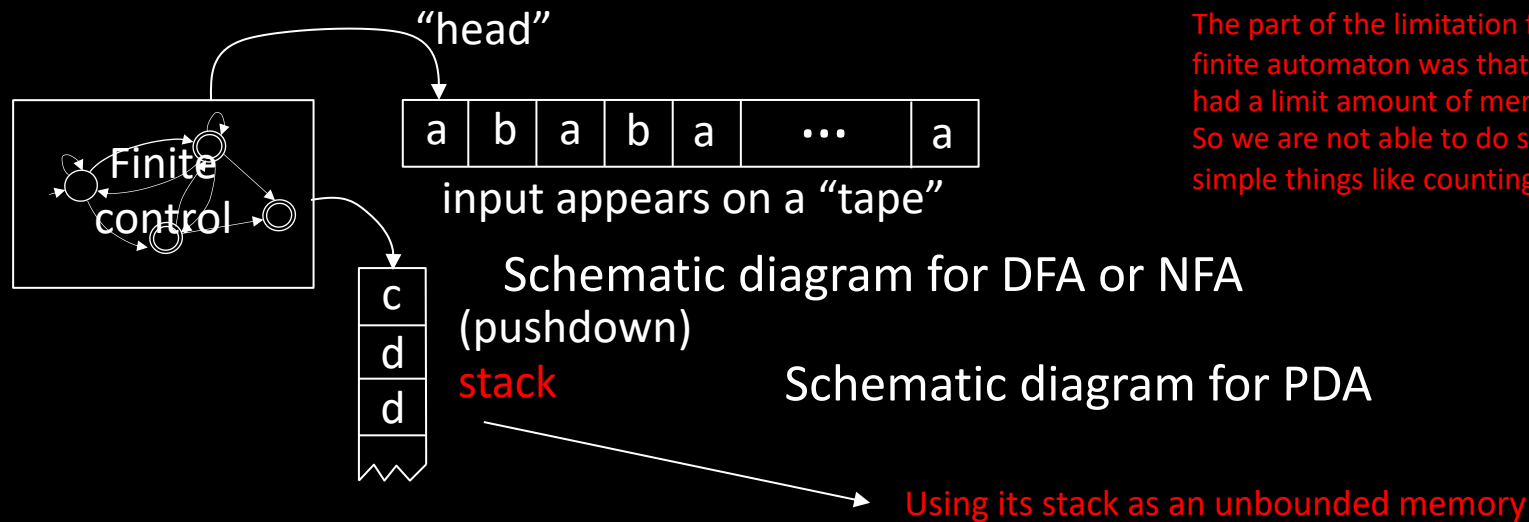
$G_3$

$$E \rightarrow E+E \mid E \times E \mid (E) \mid a$$

Both  $G_2$  and  $G_3$  recognize the same language, i.e.,  $L(G_2) = L(G_3)$ .  
However  $G_2$  is an unambiguous CFG and  $G_3$  is ambiguous.



# Pushdown Automata (PDA)



Operates like an NFA except can write-add or read-remove symbols from the top of stack.

push

pop

**Example:** PDA for  $D = \{0^k 1^k \mid k \geq 0\}$

- 1) Read 0s from input, push onto stack until read 1.
- 2) Read 1s from input, while popping 0s from stack.
- 3) Enter accept state if stack is empty. (note: acceptance only at end of input)

# PDA – Formal Definition

Defn: A Pushdown Automaton (PDA) is a 6-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, F)$

$\Sigma$  input alphabet

$\Gamma$  stack alphabet

$\delta: Q \times \Sigma_{\varepsilon} \times \Gamma_{\varepsilon} \rightarrow \mathcal{P}(Q \times \Gamma_{\varepsilon})$

$\delta(q, a, c) = \{(r_1, d), (r_2, e)\}$

Accept if some thread is in the accept state  
at the end of the input string.

Example: delta in state  $q$ , reading an input symbol  $a$  and popping a  $c$  from the top of the stack

In this case you might have two possibilities you end up going to:  $r_1$  or  $r_2$  with ( $r_1$ : pushing a  $d$  onto this top of the stack) ( $r_2$ : pushing a  $e$  onto this top of the stack)

**Example:** PDA for  $B = \{ww^{\mathcal{R}} \mid w \in \{0,1\}^*\}$  Sample input: 

0	1	1	1	1	0
---	---	---	---	---	---

- 1) Read and push input symbols.  
Nondeterministically either repeat or go to (2).
- 2) Read input symbols and pop stack symbols, compare.  
If ever  $\neq$  then thread rejects.
- 3) Enter accept state if stack is empty. (do in “software”)

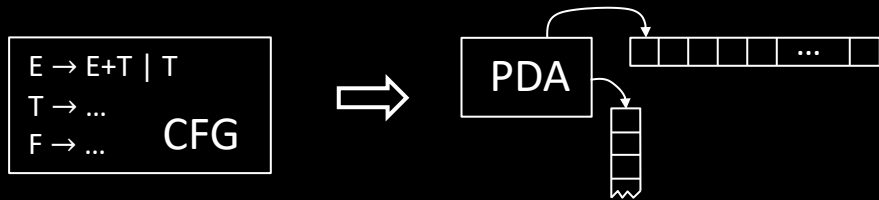
The nondeterministic forks replicate the stack.  
Each sides of the fork go independently in their merry way  
This language requires nondeterminism.  
Our PDA model is nondeterministic.



# Converting CFGs to PDAs

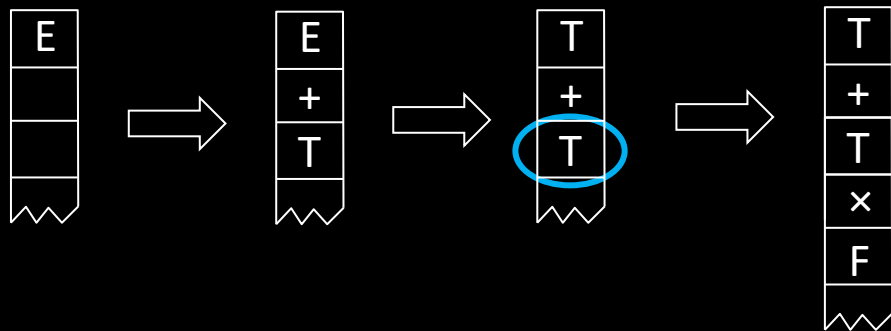
**Theorem:** If  $A$  is a CFL then some PDA recognizes  $A$

Proof: Convert  $A$ 's CFG to a PDA



**IDEA:** PDA begins with starting variable and guesses substitutions.

It keeps intermediate generated strings on stack. When **done**, compare with input.

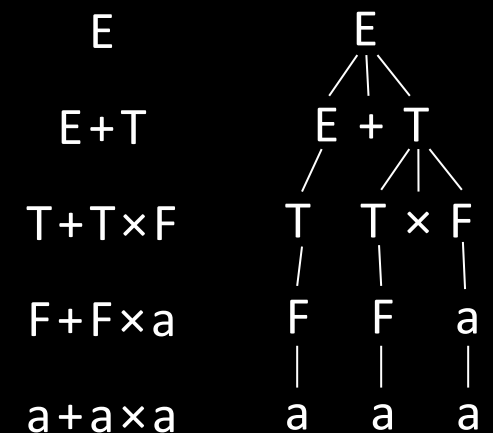


It has only terminal strings on the stack

Input: 

a	+	a	x	a
---	---	---	---	---

$G_2$       $E \rightarrow E+T \mid T$   
 $T \rightarrow T \times F \mid F$   
 $F \rightarrow (E) \mid a$



**Problem!** Access below the top of stack is cheating!

Instead, only substitute variables when on the top of stack.

If a terminal is on the top of stack, pop it and compare with input. Reject if  $\neq$ .

They're all going to rise up to the top(nonterminal)

# Converting CFGs to PDAs (contd)

$$G_2 \quad \begin{array}{l} E \rightarrow E+T \mid T \\ T \rightarrow T \times F \mid F \\ F \rightarrow (E) \mid a \end{array}$$

**Theorem:** If  $A$  is a CFL then some PDA recognizes  $A$

**Proof construction:** Convert the CFG for  $A$  to the following PDA.

1) Push the start symbol on the stack.

2) If the top of stack is *Always do substitution at the top*

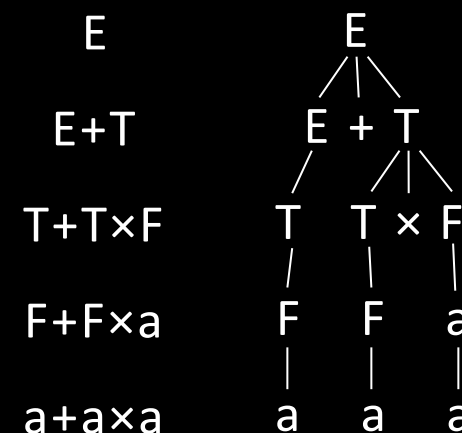
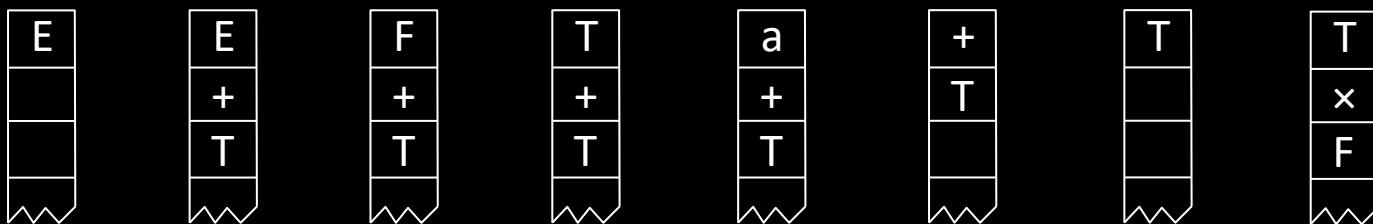
**Variable:** replace with right hand side of rule (nondet choice).

**Terminal:** pop it and match with next input symbol.

3) If the stack is empty, *accept*.

Example:

a	+	a	×	a
---	---	---	---	---



# Equivalence of CFGs and PDAs

If and only if

**Theorem:**  $A$  is a CFL **iff\*** some PDA recognizes  $A$

↔ Done.

In book. You are responsible for knowing it is true, but not for knowing the proof.

\* “iff” = “if and only if” means the implication goes both ways.

So we need to prove both directions: forward ( $\rightarrow$ ) and reverse ( $\leftarrow$ ).

Every regular language can be done by a dfa or nfa,  
which is really just a push-down automata that never uses its stack

## Check-in 4.3

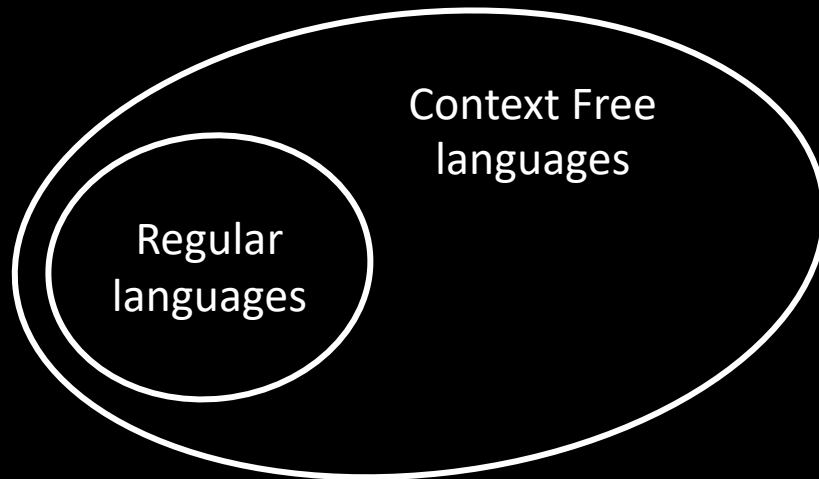
Is every Regular Language also a Context Free Language?

- (a) **Yes**
- (b) No
- (c) Not sure

Check-in 4.3

# Recap

	Recognizer	Generator
Regular language	DFA or NFA	Regular expression
Context Free language	PDA	Context Free Grammar



# Quick review of today

1. Defined Context Free Grammars (CFGs) and Context Free Languages (CFLs)
2. Defined Pushdown Automata (PDAs)
3. Gave conversion of CFGs to PDAs.

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