

# COMP S264F Unit 4: Functions

---

Dr. Keith Lee

*School of Science and Technology*

*The Open University of Hong Kong*

# Overview

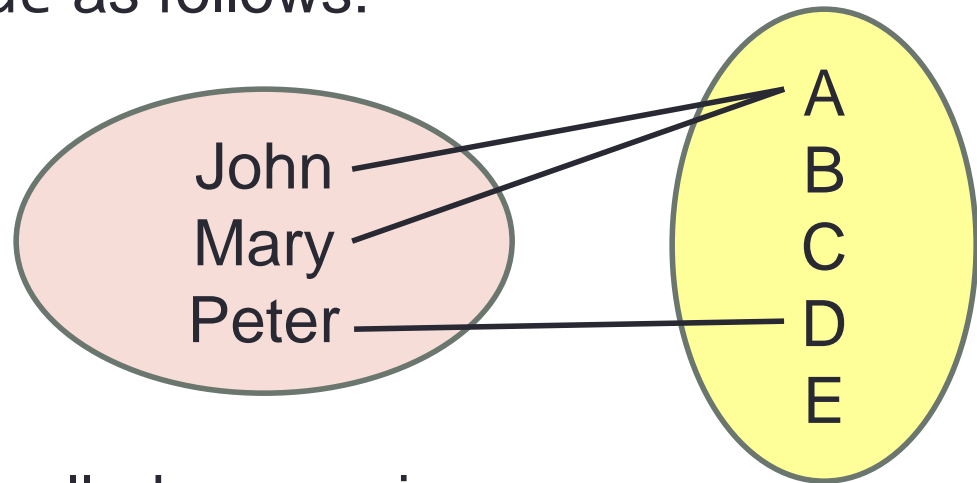
- What is a function?
- Domain, image, range
- One-to-one, Onto, Bijective
- Inverse function
- Composite function
- Plotting function in Python
- Non-functions
- Some useful functions:
  - floor, ceiling, exponential, log, mod
- Cardinality of infinite sets: Countable / Uncountable
- Functions with more than 1 argument

# Functions

- Let  $A$  and  $B$  be sets. A function  $f$  from  $A$  to  $B$  is an assignment of **exactly one** element of  $B$  to each element of  $A$ .
- We write  $f(a) = b$  if  $b$  is the element of  $B$  assigned to the element  $a$  of  $A$ .

**Example:** Let  $A = \{\text{John, Mary, Peter}\}$ . Let  $B = \{A, B, C, D, E\}$ . Define a function Grade as follows:

Grade(John) = A  
Grade(Mary) = A  
Grade(Peter) = D

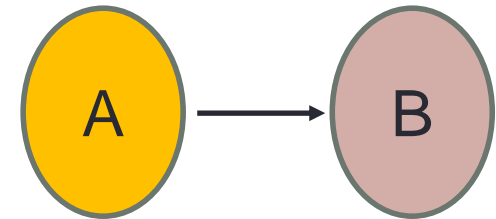


NB. A function is also called a mapping.

# Basic terminology

Consider a function  $f$  from  $A$  to  $B$ .

- $A$  is the domain of  $f$ .
- $B$  is the codomain of  $f$ .
- We write  $f: A \rightarrow B$
- If  $f(a) = b$ ,  $b$  is the image of  $a$ .
- The range of  $f$  is the set comprising the images of elements of  $A$ .  
I.e.,  $\{ b \mid b \in B \text{ and } ( \exists a \ f(a) = b ) \}$ .

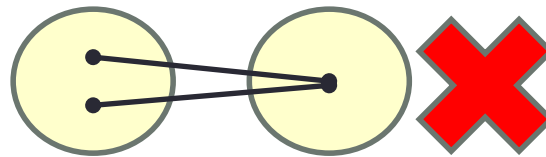


**Example:** Let  $f_1$  be the function from  $\mathbb{Z}$  to  $\mathbb{Z}$  such that, for every  $x \in \mathbb{Z}$ ,  $f_1(x) = x^2$ .

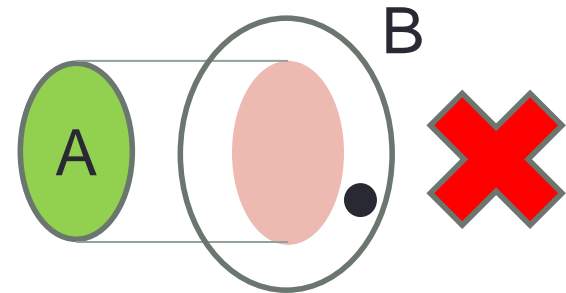
Domain =  $\mathbb{Z}$ . Range =  $\mathbb{Z}$  ?

# One-to-one, Onto, Bijective

- A function  $f$  is said to be one-to-one (**injective**) if, for every distinct elements  $x, y$  in the domain,  $f(x) \neq f(y)$ .



- A function  $f$  from  $A$  to  $B$  is said to be onto (**surjective**) if, for every element  $b$  of codomain  $B$ , there exists an element  $a$  of  $A$  such that  $f(a) = b$ .  
 ➤ I.e., the **range** of  $f$  is exactly  $B$ .



- A one-to-one and onto function is also called a one-to-one correspondence, or a **bijective** function, or a bijection.

# Example 1

Consider a function  $f_2: \mathbb{Z} \rightarrow \mathbb{N}$  such that,  
for any  $a \in \mathbb{Z}$ ,  $f_2(a) = a^2$ .

- Is  $f_2$  one-to-one?
  - Is  $f_2$  onto?
- If  $f_2$  is **one-to-one (injective)**, then for any  $x, y \in \mathbb{Z}$ ,
    - $x \neq y \Rightarrow f_2(x) \neq f_2(y)$
    - In other words,  $f_2(x) = f_2(y) \Rightarrow x = y$
  - If  $f_2$  is **onto (surjective)**, then for any  $b \in \mathbb{N}$ ,  
there is  $a \in \mathbb{Z}$  such that  $f_2(a) = b$ .

## Example 1 (cont')

Consider a function  $f_2: \mathbb{Z} \rightarrow \mathbb{N}$  such that,  
for any  $a \in \mathbb{Z}$ ,  $f_2(a) = a^2$ .

- Is  $f_2$  one-to-one?

No. Let  $x = -2$  and  $y = 2$ .

Then,  $f(x) = (-2)^2 = 4$  and  $f(y) = 2^2 = 4$ .

Therefore,  $x \neq y \Rightarrow f_2(x) \neq f_2(y)$  is false.

- Is  $f_2$  onto?

No. Let  $b = 2$ .

$$b = f_2(a) \Rightarrow 2 = a^2$$

$$\Rightarrow a = \sqrt{2} \text{ or } -\sqrt{2}$$

Therefore, there does not exist  $a \in \mathbb{Z}$  such that  $f_2(a) = b$ .

## Example 2

Consider a function  $f_3: \mathbb{Z} \rightarrow \mathbb{Z}$  such that,  
for any  $a \in \mathbb{Z}$ ,  $f_3(a) = a-1$ .

- Is  $f_3$  one-to-one?

Yes. Let  $x$  and  $y$  such that  $f_3(x) = f_3(y)$ .

$$\Rightarrow x-1 = y-1$$

$$\Rightarrow x = y$$

- Is  $f_3$  onto?

Yes. For any  $b \in \mathbb{Z}$ ,

$$b = f_3(a) \Rightarrow a-1 = b$$

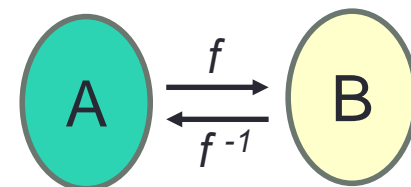
$$\Rightarrow a = b+1$$

$$\Rightarrow a \in \mathbb{Z}$$

- Therefore,  $f_3$  is bijective.



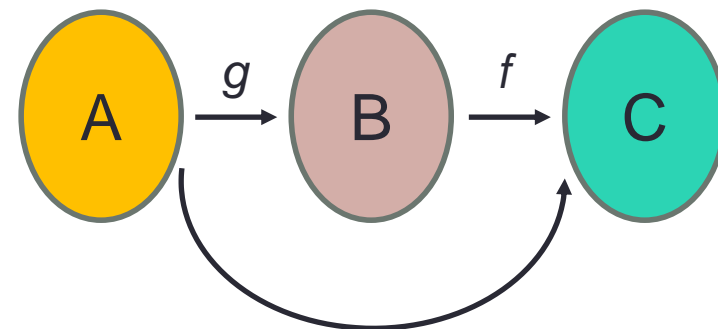
# Inverse functions



- If  $f$  is a **bijection** (*one-to-one* and *onto* function) from the set  $A$  to the set  $B$ , then we can define the **inverse** of  $f$  (denoted by  $f^{-1}$ ) as follows:
  - $f^{-1}$  is a function **from  $B$  to  $A$** .
  - For every element  $b$  of  $B$ ,  $f^{-1}(b) = a$  if and only if  $f(a) = b$ .

1. Is  $f$  a bijection?
2. Recall that  $f_3(a) = a+1$  for any  $a \in \mathbb{Z}$ . What is the inverse of  $f_3$ ?
3. If  $f$  is not one-to-one,  $f^{-1}$  may not be well-defined. Why?
4. What happens if  $f$  is not an onto function?

# Composite functions



Consider two functions

$$g : A \rightarrow B \text{ and } f : B \rightarrow C.$$

The composition of  $f$  and  $g$ , denoted by  $f \circ g$ , is a function from  $A$  to  $C$ , defined as follows.

$$\text{For any } a \in A, \quad f \circ g(a) = f(g(a)).$$

Note that  $g \circ f$  may not be well-defined.

**Example:** For  $x \in \mathbb{R}$ ,  $f(x) = 3x + 2$  and  $g(x) = x^2 + x$ .

- $f \circ g(x) = ?$
- $g \circ f(x) = ?$
- What is  $f_3^{-1} \circ f_3$  ?       $f_3 \circ f_3^{-1}$  ?

# Visualizing a function in Python

- We can use the **matplotlib** and **numpy** packages in Python.

```
import numpy as np
import matplotlib.pyplot as plt
```

- NumPy is the fundamental package for scientific computing with Python, which provides powerful array objects **ndarray** and functions.

- E.g.,

```
x1 = np.arange(0, 6, 0.1)
x2 = np.linspace(0, 10, 100)
```

- **x1** is a ndarray from 0 to 6 (exclusive) with a step 0.1, i.e., [0, 0.1, 0.2, ...]
- **x2** is a ndarray of size 100, evenly spaced over the interval [0, 10].

- Matplotlib is a data visualization library built on NumPy arrays.

- E.g.,

```
plt.plot(x, np.sin(x))
plt.show()
```

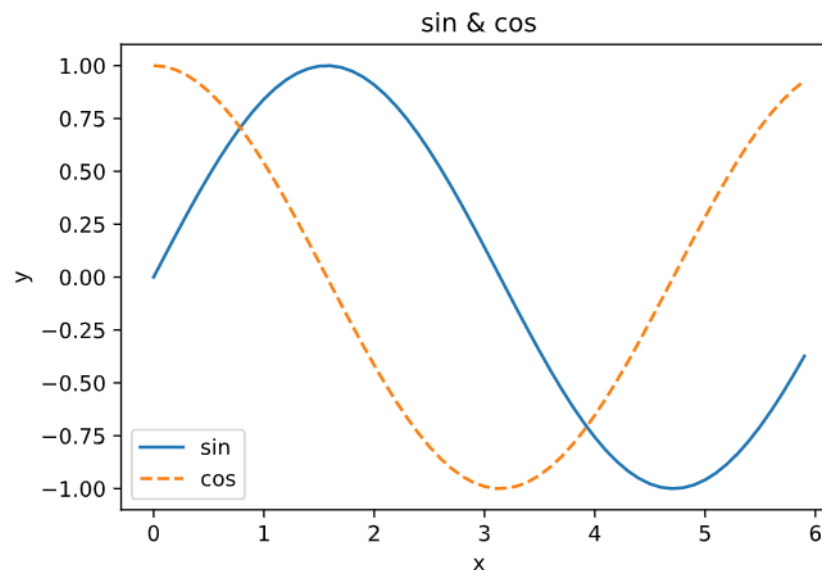
- **y = sin(x)** is plotted using points ( **x[0]**, **sin(x[0])** ), ( **x[1]**, **sin(x[1])** ), ...

- We can plot both  $y = \sin(x)$  and  $y = \cos(x)$ , as follows:

```
x = np.arange(0, 6, 0.1) # array [0, 0.1, ..., 5.9]

plt.plot(x, np.sin(x), label="sin") # solid line for sin
plt.plot(x, np.cos(x), linestyle="--",
          label="cos") # dashed line for cos

plt.xlabel("x")
plt.ylabel("y")
plt.title("sin & cos")
plt.legend()
plt.show() # display the figure
```



# Non-functions

- If  $f(a) = b$  has more than one value or no value of  $b$  for a particular  $a$ , then  $f$  is a non-function (i.e., not a function).
  - $f$  is just a relation that relates  $a$  to  $b$ .

Function or non-function?

1.  $f: \mathbb{R} \rightarrow \mathbb{R}$  such that  $x = (f(x))^2$ .  
Non-function. When  $x = 4$ ,  $f(x) = 2$  or  $-2$ .
2.  $f: \mathbb{R} \rightarrow \mathbb{R}$  such that  $f(x) = \sqrt{x}$ .  
Non-function.  $f(x)$  is undefined if  $x < 0$ .
3.  $f: \mathbb{R}^+ \rightarrow \mathbb{R}$  such that  $f(x) = \sqrt{x}$ .  
Function.  $f(x)$  has exactly one value for all  $x \in \mathbb{R}^+$ .

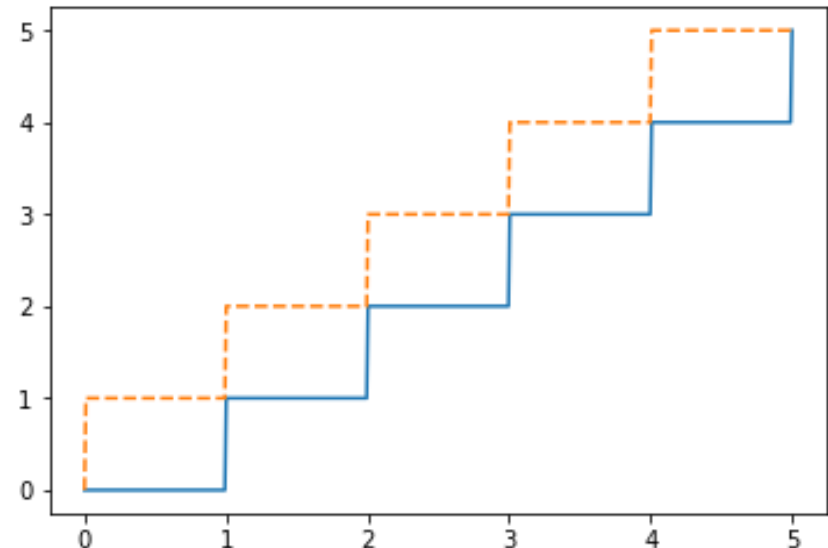
# The floor and ceiling functions

- **Floor function:**  $f(x) = \lfloor x \rfloor$ , where  $f(x) \in \mathbb{Z}$  and  $f(x) \leq x < f(x) + 1$
- E.g.,  $\lfloor 2.3 \rfloor = 2$   
 $\lfloor -2.3 \rfloor = -3$
- **Ceiling function:**  $f(x) = \lceil x \rceil$ , where  $f(x) \in \mathbb{Z}$  and  $f(x) - 1 < x \leq f(x)$
- E.g.,  $\lceil 2.3 \rceil = 3$   
 $\lceil -2.3 \rceil = -2$

```
x = np.linspace(0, 5, 500)

plt.plot(x, np.floor(x), '-')
plt.plot(x, np.ceil(x), '--')

plt.show()
```



# Floor and ceiling properties

## Floor

$$\lfloor x + 1 \rfloor = \lfloor x \rfloor + 1$$

$$\lfloor x - 1 \rfloor = \lfloor x \rfloor - 1$$

$$\lfloor x \rfloor = \lfloor x \rfloor \quad \text{if and only if} \quad x \in \mathbb{Z}$$

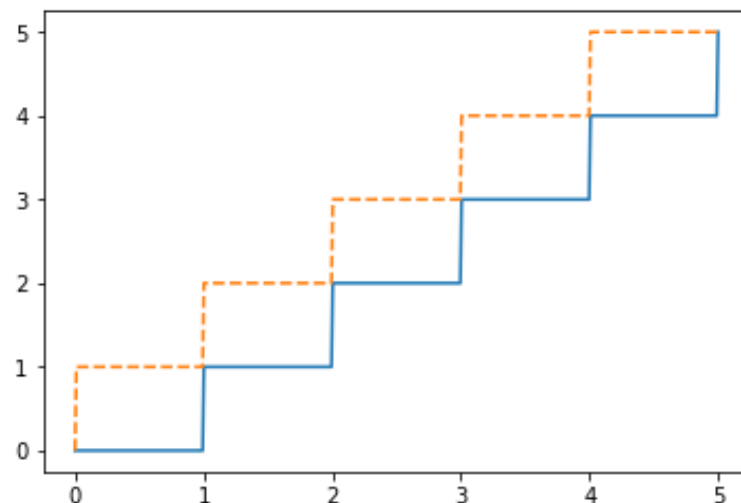
$$\lfloor x \rfloor = \lfloor x \rfloor + 1 \quad \text{if and only if} \quad x \notin \mathbb{Z}$$

## Ceiling

$$\lceil x + 1 \rceil = \lceil x \rceil + 1$$

$$\lceil x - 1 \rceil = \lceil x \rceil - 1$$

- Is the inverse of the floor function well-defined?
- Is the inverse of the ceiling function well-defined?



# Exponential Functions

- $f(x) = b^x$  is the exponential function for the base  $b$ , where  $b \neq 1$  and  $b > 0$ .
- $f: \mathbb{R} \rightarrow \mathbb{R}^+$
- E.g., when the base  $b = 2$ ,  $f(x) = 2^x$ .

**Properties:** Let  $a, b \in \mathbb{R}^+$  s.t.  $a \neq 1$ ,  $b \neq 1$ , and let  $x, y \in \mathbb{R}$ .

- $a^x \times a^y = a^{x+y}$
- $\frac{a^x}{a^y} = a^{x-y}$
- $(a^x)^y = a^{xy}$
- $a^x = a^y$  if and only if  $x = y$
- For  $x \neq 0$ ,  $a^x = b^x$  if and only if  $a = b$
- $a^0 = 1$
- $(ab)^x = a^x b^x$
- $\left(\frac{a}{b}\right)^x = \frac{a^x}{b^x}$



# Logarithmic functions (log functions)

- The **inverse of an exponential function** is called a logarithmic function.
- For  $b > 0$  and  $b \neq 1$ ,  

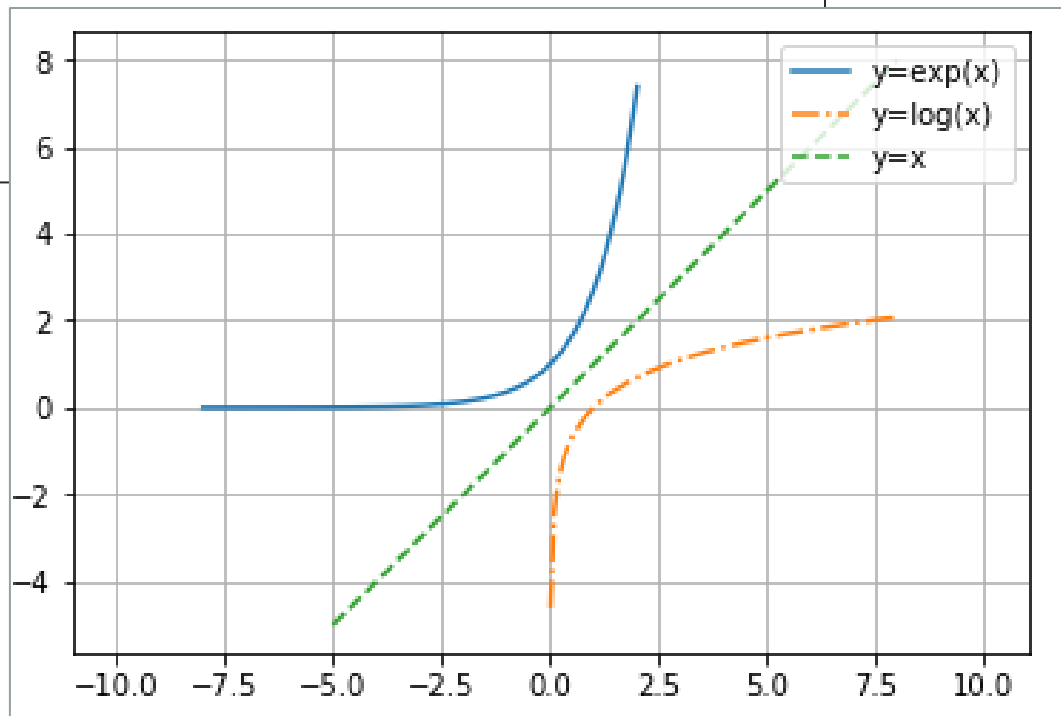
$$f(x) = \log_b x \text{ is equivalent to } x = b^{f(x)}.$$
- $\log_b x$  is read as log to the **base b** of x.
- $f : \mathbb{R}^+ \rightarrow \mathbb{R}$
- $\ln x = \log_e x$  (natural log;  $e = 2.7182\dots$  is the Euler's number)
- $\lg x = \log_2 x$  (binary log)
- $\log x$  may equal  $\log_{10} x$  or  $\log_2 x$  depending on context.

- We can plot  $e^x$  and  $\ln x$ , as follows:

```
x1 = np.linspace(-8, 2, 100)
x2 = np.linspace(0.01, 8, 100)
x3 = np.linspace(-5, 8, 100)

plt.plot(x1, np.exp(x1), '-', label='y=exp(x)')
plt.plot(x2, np.log(x2), '-.', label='y=log(x)')
plt.plot(x3, x3, '--', label='y=x')

plt.legend(loc='upper right')
plt.axis('equal')
plt.grid(True)
plt.show()
```



# Log function properties

- $\log_b(b^x) = x$

**Proof.** Let  $y = \log_b(b^x)$ . Then,

$$b^y = b^x \quad (\text{by definition of log})$$

$$\Rightarrow y = x \quad (\text{by properties of exponential function})$$

$$\Rightarrow \log_b(b^x) = x$$

- $\log_b(x^y) = y \log_b x$

**Proof.** Let  $p = \log_b x$ . Then,

$$x = b^p \quad (\text{by definition of log})$$

$$\Rightarrow x^y = (b^p)^y = b^{py}$$

$$\Rightarrow \log_b(x^y) = py \quad (\text{by definition of log})$$

$$\Rightarrow \log_b(x^y) = y \log_b x$$

# Change of base in log function

- $\log_a x = \frac{\log_b x}{\log_b a}$

**Proof.** Let  $p = \log_a x$ ,  $q = \log_b x$ ,  $r = \log_b a$ .

By definition of log,

$$\begin{aligned} x = a^p, x = b^q, a = b^r &\implies a^p = b^q \\ &\implies (b^r)^p = b^q \\ &\implies b^{rp} = b^q \\ &\implies rp = q \\ &\implies \log_b a \cdot \log_a x = \log_b x \\ &\implies \log_a x = \frac{\log_b x}{\log_b a} \end{aligned}$$

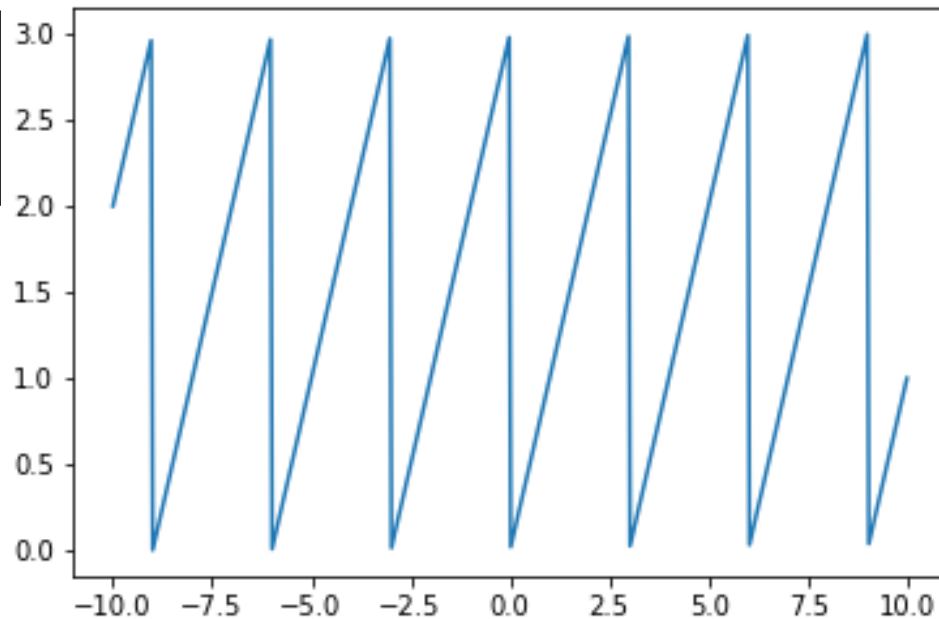
Let  $a, b \in \mathbb{R}^+$  s.t.  $a \neq 1, b \neq 1$ , and let  $x, y, p, q \in \mathbb{R}$ .

- $a^x \times a^y = a^{x+y}$
- $\frac{a^x}{a^y} = a^{x-y}$
- $(a^x)^y = a^{xy}$
- $a^x = a^y$  if and only if  $x = y$
- For  $x \neq 0$ ,  $a^x = b^x$  if and only if  $a = b$
- $a^0 = 1$
- $(ab)^x = a^x b^x$
- $\left(\frac{a}{b}\right)^x = \frac{a^x}{b^x}$
- $\log_a(pq) = \log_a p + \log_a q$
- $\log_a \left(\frac{p}{q}\right) = \log_a p - \log_a q$
- $\log_a p^y = y \log_a p$
- $\log_a 1 = 0$
- $\log_a a^x = x$
- $\log_a x = \log_a y$  if and only if  $x = y$

# Modulo functions (mod functions)

- $f(x) = x \bmod m$ , where  $m > 0$ , is the modulo function which is the remainder of the division of  $x$  by  $m$ .
- Note that
$$f(x) = x - m \lfloor x/m \rfloor$$
- We can plot  $x \bmod 3$ , as follows:

```
x = np.linspace(-10, 10, 500)
plt.plot(x, np.mod(x, 3))
plt.show()
```



# Mod function properties

- $x \bmod m = y \bmod m \Leftrightarrow (x - y) \bmod m = 0$
- $(x + y) \bmod m = ((x \bmod m) + (y \bmod m)) \bmod m$
- $(x \times y) \bmod m = ((x \bmod m) \times (y \bmod m)) \bmod m$
- Let  $a \in \mathbb{R}$  such that  $a$  and  $m$  are relatively prime. Then,  
 $ax \bmod m = ay \bmod m \Rightarrow x \bmod m = y \bmod m$

# Cardinality of infinite sets

- The **cardinality** of a finite set is the number of elements in the set.
  - What about **infinite** sets? No exact number.
  - Two sets A and B are said to have the same cardinality if and only if there is a bijection from A to B.
  - Infinite sets are classified as countable or uncountable.
- 
- Definition. A set is said to be countable if it
    - is finite; or
    - has the same cardinality as the set of natural numbers (i.e.,  $\mathbb{N} = \{0, 1, 2, 3, \dots\}$ ).
  - A set that is not countable is called uncountable.



# Countable or not?

- The set of all (OUHK) Computing students who were admitted in the year 2019.
- $\mathbb{N} = \{0, 1, 2, \dots\}$
- $\{1, 3, 5, \dots\}$  (I.e., the set of all **odd positive integers**)  
Consider the following bijection:  $f(0) = 1, f(1) = 3, \dots$   
In general,  $f(i) = 2i + 1$
- $\mathbb{Z}$  = the set of all integers (including –ve integers)

# Countable or not?

$\mathbb{Z}$  = the set of all integers (including –ve integers)

- Consider the following bijection:

$$f(0) = 0, f(1) = 1, f(2) = -1, f(3) = 2, f(4) = -2, \dots$$

- In general,
$$f(i) = \begin{cases} (i+1)/2 & \text{if } i \text{ is odd} \\ -i/2 & \text{if } i \text{ is even} \end{cases}$$

- Therefore,  $\mathbb{Z}$  is countable.

## Countable or not: the set of all prime integers

- In general, let  $A$  be a countable set and let  $B$  be a subset of  $A$ . Is  $B$  countable? Yes.
- Assume  $B$  is infinite.
- Since  $A$  is countable, there exists a bijection  $f$  from  $\mathbb{N}$  to  $A$ .
- The elements of  $A$  can be enumerated (written down) in the order of

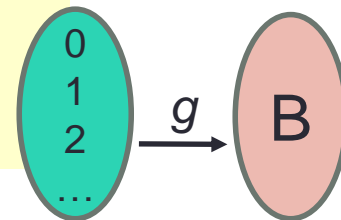
$f(0), f(1), f(2), f(3), f(4), f(5), f(6), f(7), f(8), \dots$

- Assume  $B$  is infinite.
- Since  $A$  is countable, there exists a bijection  $f$  from  $\mathbb{N}$  to  $A$ .
- The elements of  $A$  can be enumerated (written down) in the order of

$f(0), f(1), f(2), f(3), f(4), f(5), f(6), f(7), f(8), \dots$

- Define a function  $g$  from  $\mathbb{N}$  to  $B$  as follows:

$f(0), f(1), f(2), f(3), f(4), f(5), f(6), f(7), f(8), \dots$   
 $\notin B \quad \in B \quad \notin B \quad \notin B \quad \in B \quad \notin B \quad \in B \quad \in B \quad \notin B$   
 $g(0), \quad \quad \quad g(1), \quad \quad \quad g(2), g(3), \dots$



- Precisely, let  $a_{-1} = -1$ . For any integer  $i \geq 0$ ,
  - let  $a_i$  be the **smallest** integer  $> a_{i-1}$  such that  $f(a_i) \in B$ ; and
  - define  $g(i) = f(a_i)$ .
- E.g., when  $i = 2$ ,  $a_i = 6$ .

# Claim: $g$ is bijective.

**Lemma 1.**  $g$  is *one-to-one*.

*Proof.*

Consider any  $i, j \in \mathbb{N}$ .

$$g(i) = g(j)$$

$$\Rightarrow f(a_i) = f(a_j) \quad (\text{as } g(i) = f(a_i) \text{ and } g(j) = f(a_j))$$

$$\Rightarrow a_i = a_j \quad (\text{as } f \text{ is one-to-one})$$

$$\Rightarrow i = j \quad (\text{as } a_i\text{'s are all distinct})$$

Therefore,  $g$  is one-to-one.

# Claim: $g$ is bijective.

**Lemma 2.**  $g$  is onto.

*Proof.*

Consider **any element**  $x \in B$ .

As  $B \subseteq A$ ,  $x \in A$ .

Because  $f$  is onto, there exists  $i \in \mathbb{N}$  such that  $f(i) = x$ .

Let  **$k$**  be the number of elements in  $\{f(0), f(1), \dots, f(i-1)\} \cap B$ .

$f(0), f(1), f(2), f(3), \dots, f(i-1)$	$f(i), f(i+1), \dots$
	$\in B$
$g(0), g(1), \dots, g(\mathbf{k-1})$	$g(\mathbf{k})$

Note that  $i \geq \mathbf{k} \geq 0$ , and  $\mathbf{k} \in \mathbb{N}$ .

As  $x \in B$ , by definition of  $g$ ,  $g(\mathbf{k}) = f(i) = x$ .

## Theorem 3. $g$ is bijective.

*Proof.*

By Lemma 1,  $g$  is one-to-one.

By Lemma 2,  $g$  is onto.

Therefore,  $g$  is bijective.

- Let  $A$  be a countable set and let  $B$  be a subset of  $A$ .
- Is  $B$  countable? Yes, we have formally proven it.
- Is the set of all prime integers countable?

# Countable or not: the set of real numbers

**Theorem 4.** Let  $R$  be the set of all real numbers  $a$  such that  $0 \leq a < 1$ . Then  $R$  is uncountable.

*Proof.* Suppose  $R$  is countable.

Then, there is a bijective function  $f$  from  $\mathbb{N}$  to  $R$ .

We construct a real number  $x < 1$  as follows: ...

We can show that

- $x = f(i)$  for some integer  $i$ ; and
- $x \neq f(i)$  for all integers  $i$ .

Then a contradiction occurs. (I.e., if  $R$  is countable, then “ $x = f(i)$  for some integer  $i$ ” and “ $x \neq f(i)$  for all integers  $i$ ”.)

Therefore,  $R$  is uncountable.



# What is $x$ ?

- Recall that  $f$  is a bijective function from  $\mathbb{N}$  to  $\mathbb{R}$ . We can enumerate elements of  $\mathbb{R}$  in order, e.g.,
- $f(0) = 0.111$
- $f(1) = 0.33333\dots$
- $f(2) = 0.5$
- $\dots$

$f(0) =$	0.	1	1	1	
$f(1) =$	0.	3	3	3	$\dots$
$f(2) =$	0.	5	0	0	
$\dots$					
$x =$	0.	2	4	1	$\dots$

- $x = 0.241\dots \Rightarrow$  Such  $x \neq f(i)$  for all integers  $i$ .

# What is $x$ ?

Notation: For any real number  $y \in \mathbb{R}$ , for any integer  $i \geq 0$ , let  $y_i$  be the  $(i+1)$ -th digit after the decimal point.

E.g., Suppose  $y = 0.101$ . Then  $y_0 = 1$ ;  $y_1 = 0$ ;  $y_2 = 1$ ;  $y_3 = y_4 = \dots = 0$

Recall that  $f$  is a bijective function from  $\mathbb{N}$  to  $\mathbb{R}$ .

We can enumerate elements of  $\mathbb{R}$  in the order of

$$f(0), f(1), f(2), f(3), \dots$$

Define a real number  $x$  in  $\mathbb{R}$  such that for all  $i \geq 0$ ,  $x_i \neq f(i)_i$ .

- Obviously,  $x \neq f(i)$  for all integers  $i$ .
- On the other hand,  $x$  is in  $\mathbb{R}$  and  $f$  is bijective; thus, there exists an integer  $i$  such that  $x = f(i)$ .

# Countable or not: the set of real numbers

**Corollary 5.** The set of real numbers is uncountable.

*Proof.*

By Theorem 3, if the set of real numbers is countable, then  $\mathbb{R}$  (which is a subset of real numbers) is also countable.

As Theorem 4 shows that  $\mathbb{R}$  is uncountable, the set of real numbers is also uncountable. (*Modus tollens*)

# Functions with more than 1 argument

- If  $\mathbf{f}(x) = y$ , then  $x$  is called an argument of  $\mathbf{f}$ , and  $y$  is called a value of  $\mathbf{f}$ .
- If the domain of  $\mathbf{f}$  is the Cartesian product  $A_1 \times A_2 \times \dots \times A_n$ , then  $\mathbf{f}$  has  $n$  arguments.
- $\mathbf{f}(x_1, x_2, \dots, x_n)$  denotes the value at  $(x_1, x_2, \dots, x_n)$ , where  $x_1 \in A_1, x_2 \in A_2, \dots, x_n \in A_n$ .
- **Example:** Let  $\mathbf{f}: \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$  such that  $\mathbf{f}(x, y) = x+y$ .