

# Kỹ Thuật Phần Mềm (Software Engineering)

## Software Architecture

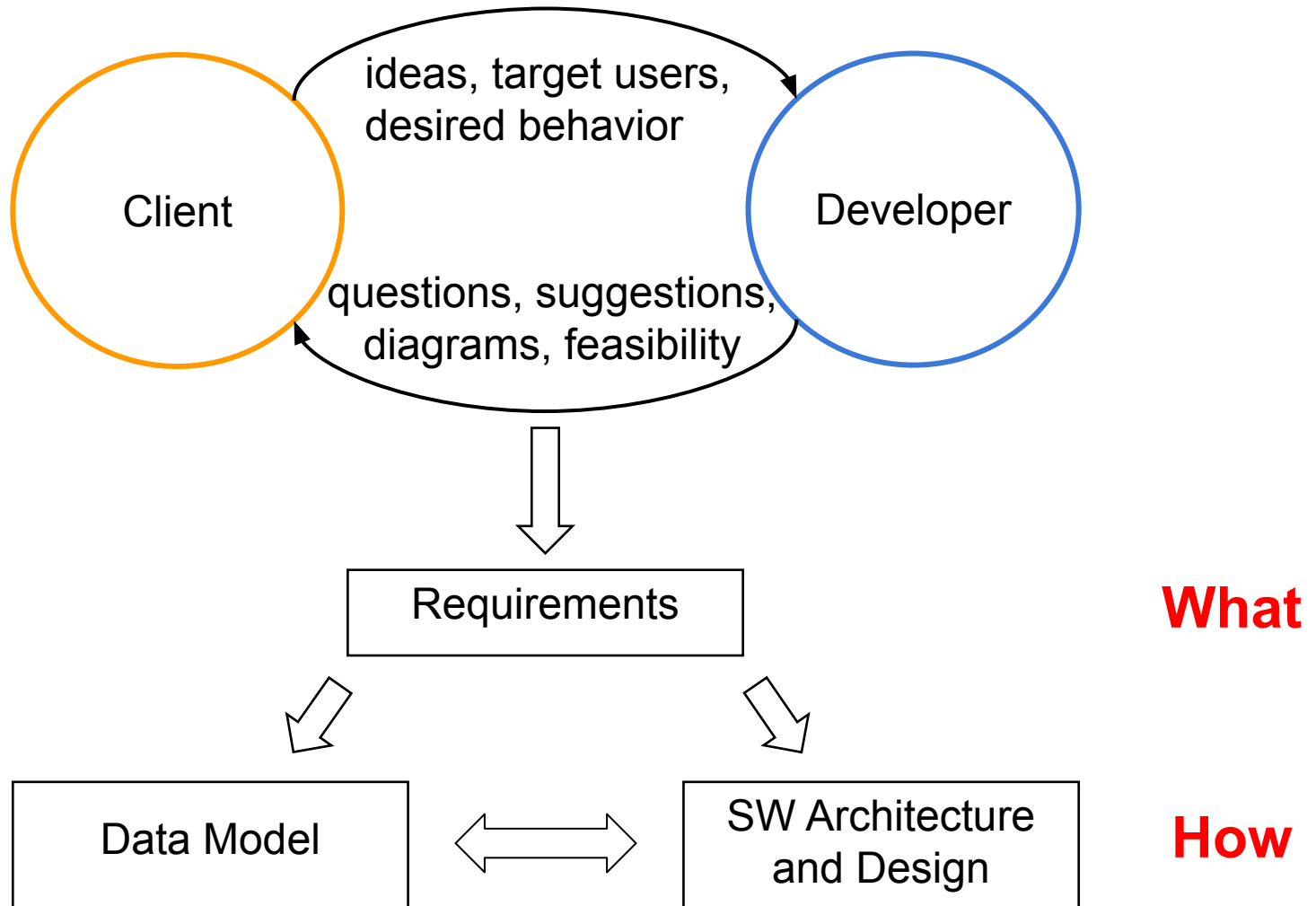
**Mai Xuân Tráng, PhD**

*Khoa Công Nghệ Thông Tin Trường Đại học Phenikaa*

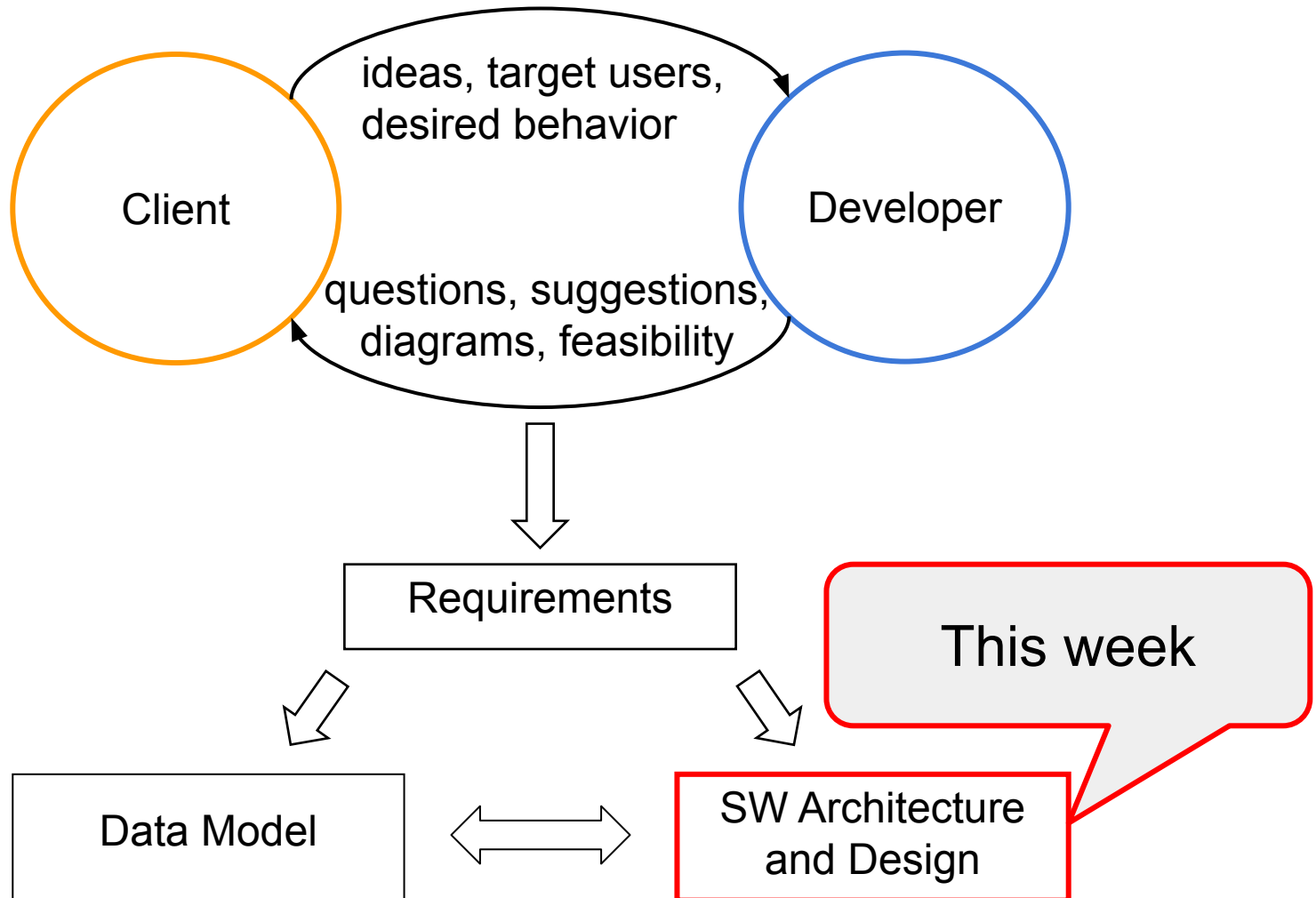
*Email: [trang.maixuan@phenikaa-uni.edu.vn](mailto:trang.maixuan@phenikaa-uni.edu.vn)*

*SĐT: 0965590406*

# Recap: from Requirements to System Design



# Recap: from Requirements to System Design



# Today

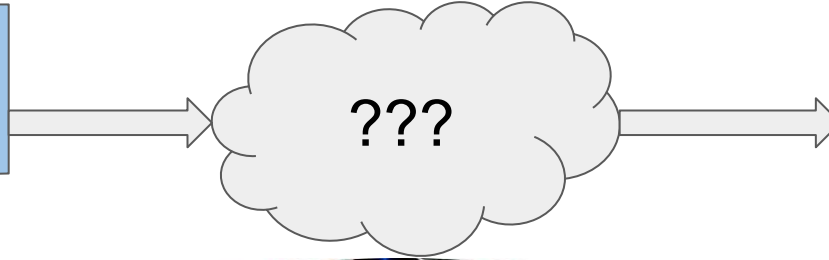
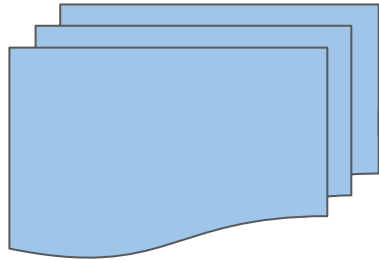
- Software architecture vs. software design
- Common software architecture patterns
- Q&A for requirements and use cases

# **Software architecture vs. software design**

# Recall the high-level problem

**One solution:** *“Here happens a miracle”*

Requirements



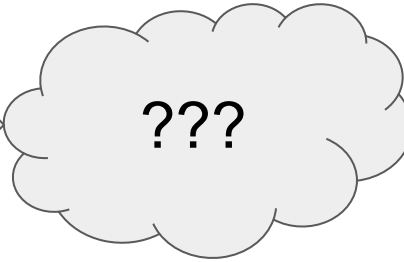
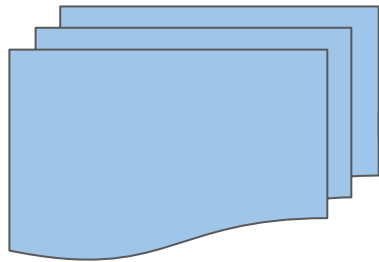
Source code



# Recall the high-level problem

## Another solution: Modeling the architecture and design

Requirements



Source code



# Why software architecture and design?

“There are two ways of constructing a software design:

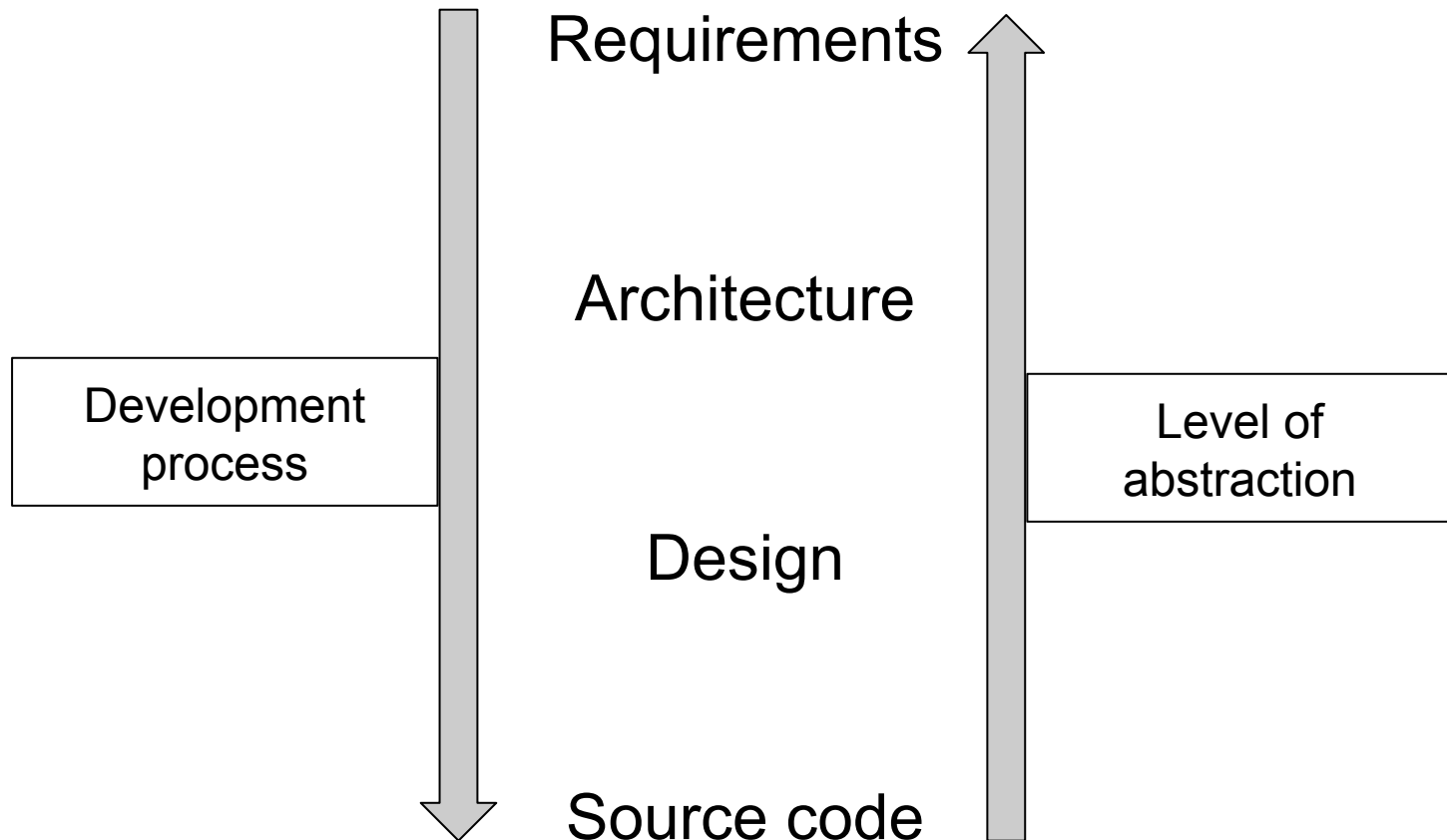
one way is to make it so simple that there are obviously no deficiencies;

the other is to make it so complicated that there are no obvious deficiencies.” [Tony Hoare]

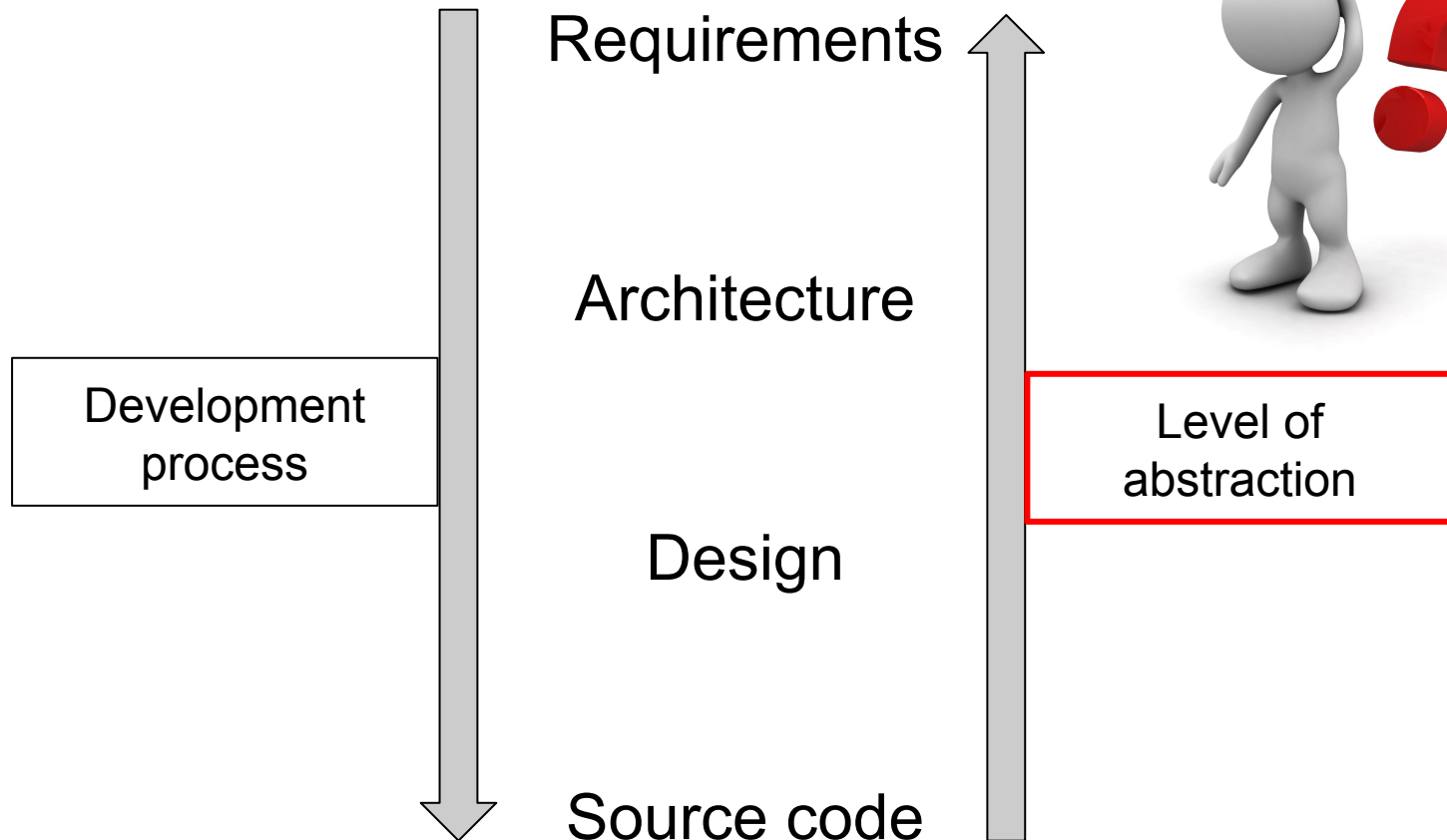
**Goals: separation of concerns and modularity.**



# Architecture vs. design



# Architecture vs. design



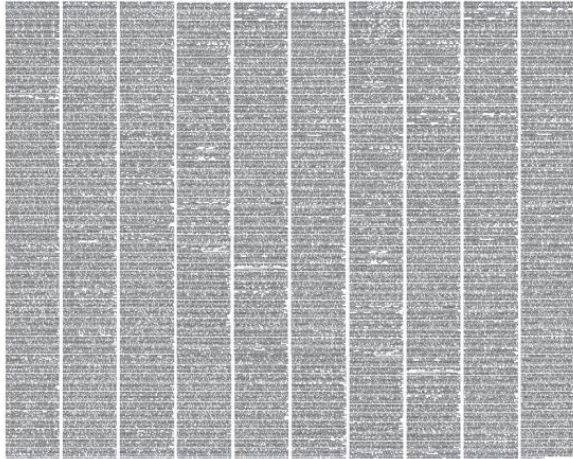
# Abstraction

## **Building an abstract representation of reality**

- Ignoring (insignificant) details.
- Focusing on the most important properties.
- Level of abstraction depends on viewpoint and purpose:
  - Communication
  - Component interfaces
  - Verification and validation

# Different levels of abstraction

Source code

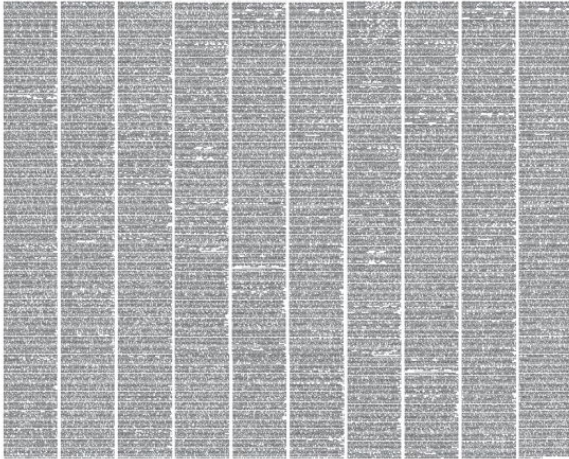


## **Example: Linux Kernel**

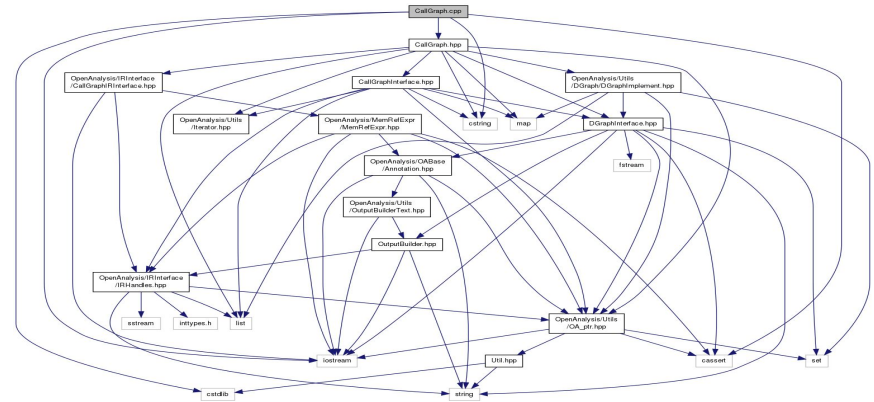
- 16 million Lines of Code!
- What does the code do?
- Are there dependencies?
- Are there different components?

# Different levels of abstraction

## Source code



# Call graph

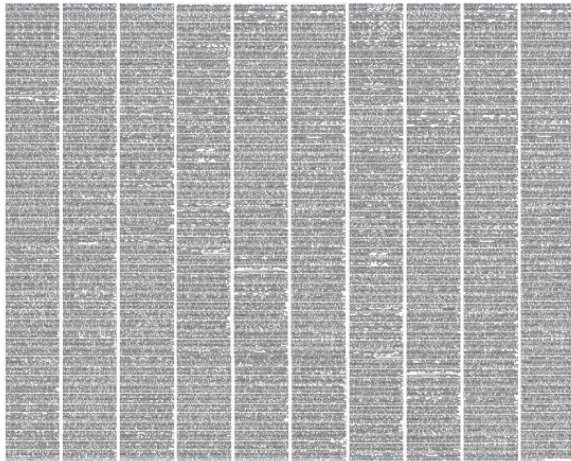


## Example: Linux Kernel

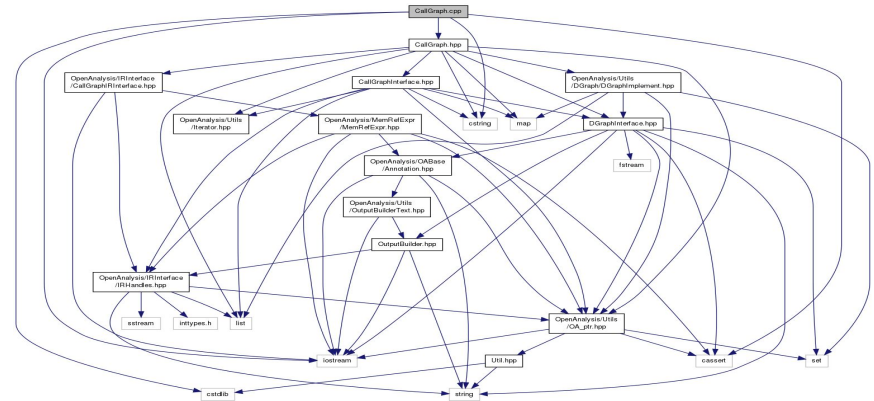
- 16 million Lines of Code!
- What does the code do?
- **Are there dependencies?**
- Are there different components?

# Different levels of abstraction

## Source code



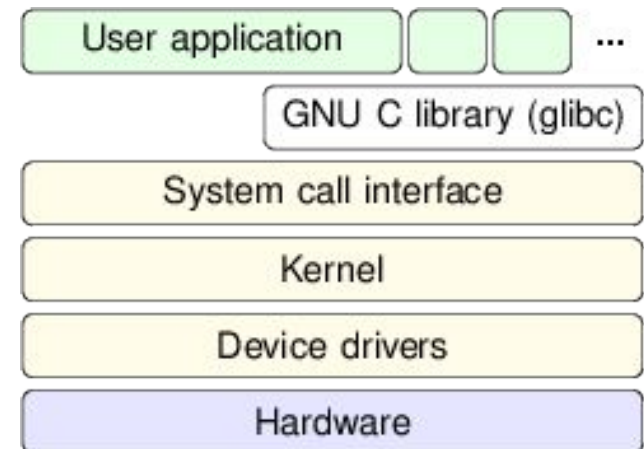
## Call graph



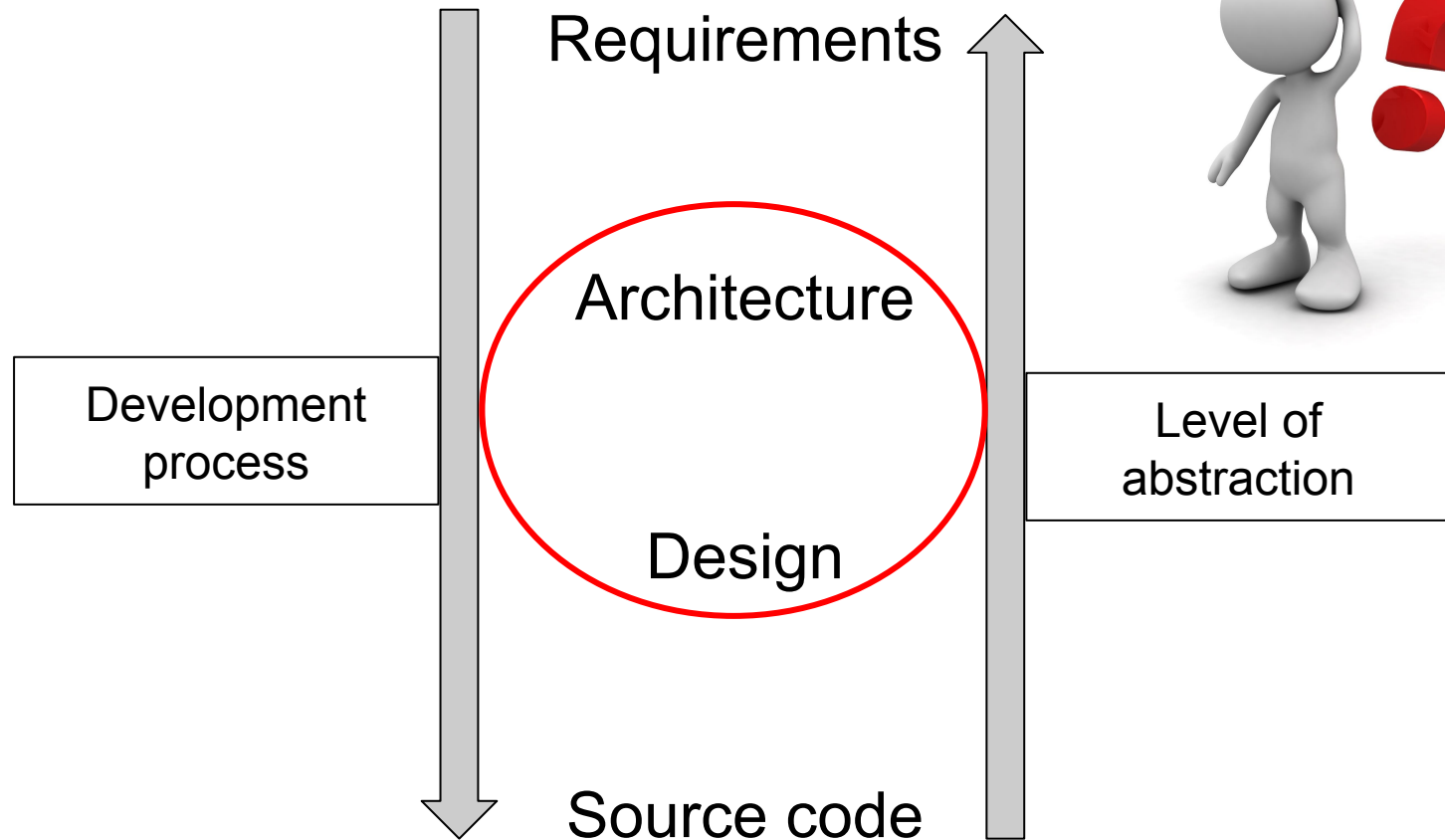
## Layer diagram

### Example: Linux Kernel

- 16 million Lines of Code!
- What does the code do?
- Are there dependencies?
- **Are there different components?**



# Architecture vs. design



What's the difference?



# Architecture vs. design

## Architecture



## Design





# Software architecture vs. design

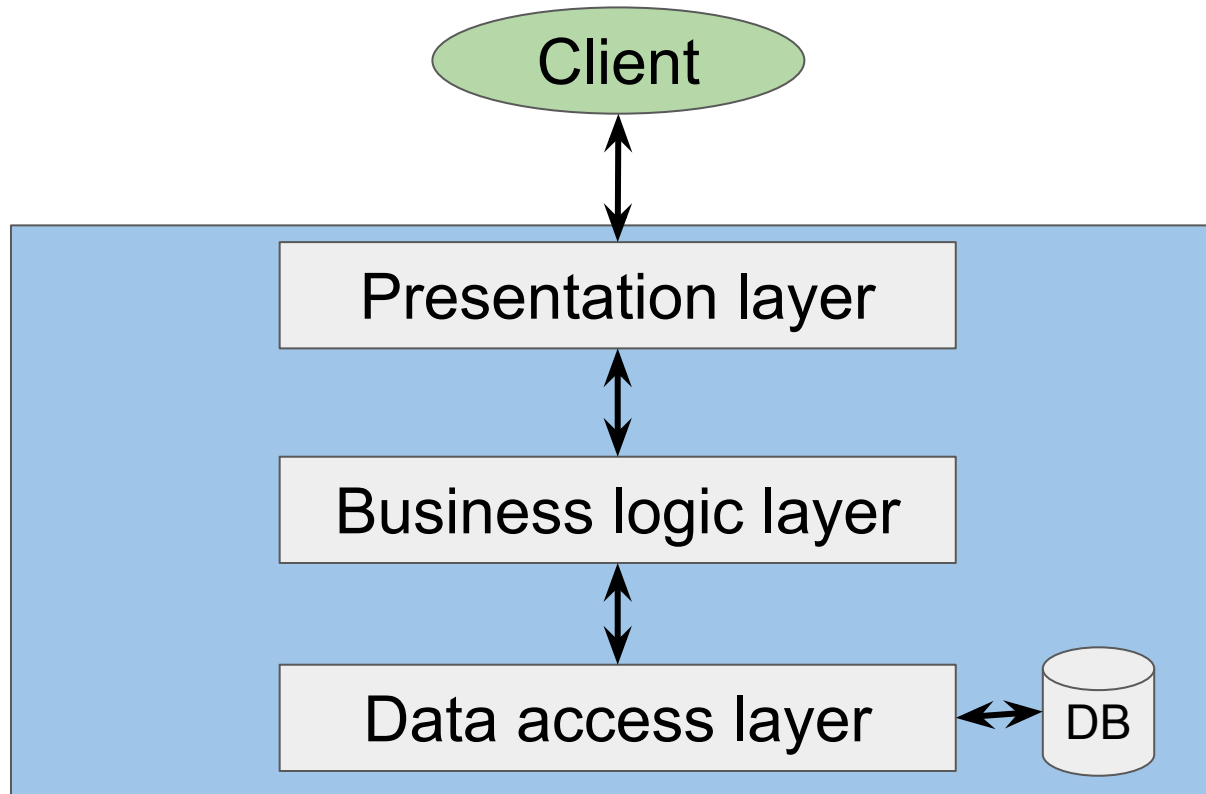
## **Architecture (what is developed?)**

- High-level view of the overall system:
  - What components do exist?
  - What are the protocols between components?
  - What type of storage etc.?

## **Design (how are the components developed?)**

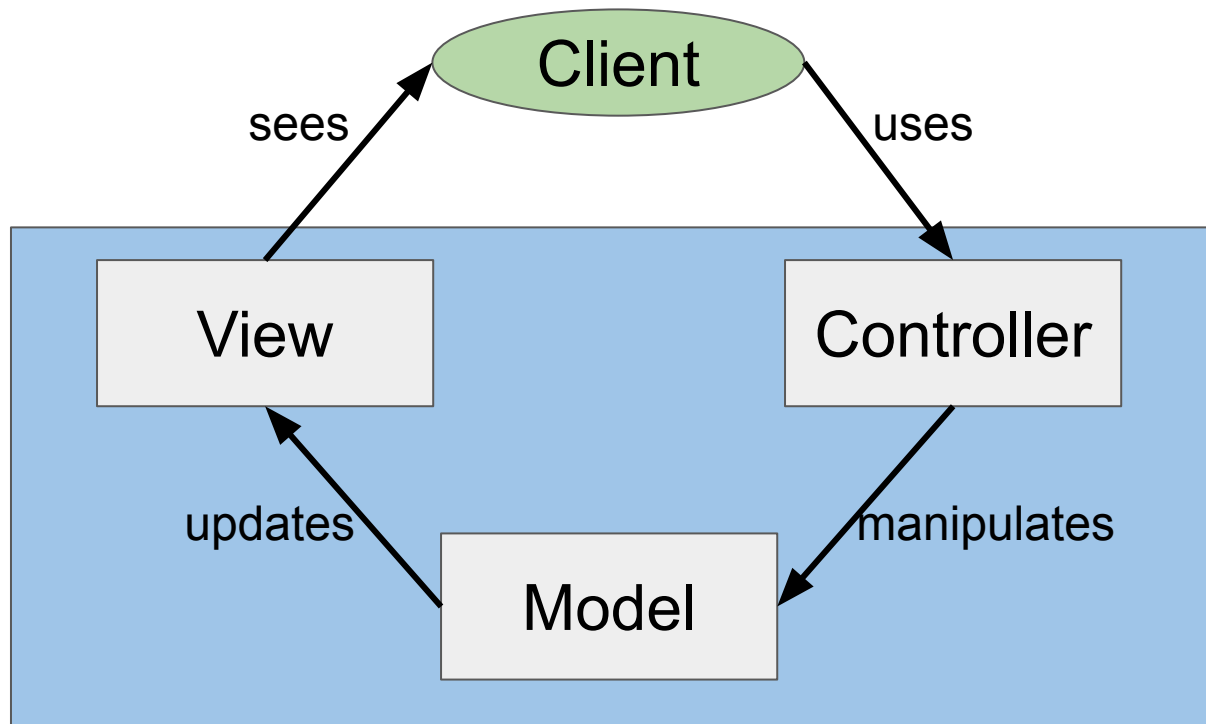
- Considers individual components:
  - Data representation
  - Interfaces, Class hierarchy
  - ...

# Software architecture: Client-server / n-tier



Simplifies reusability, exchangeability, and distribution.

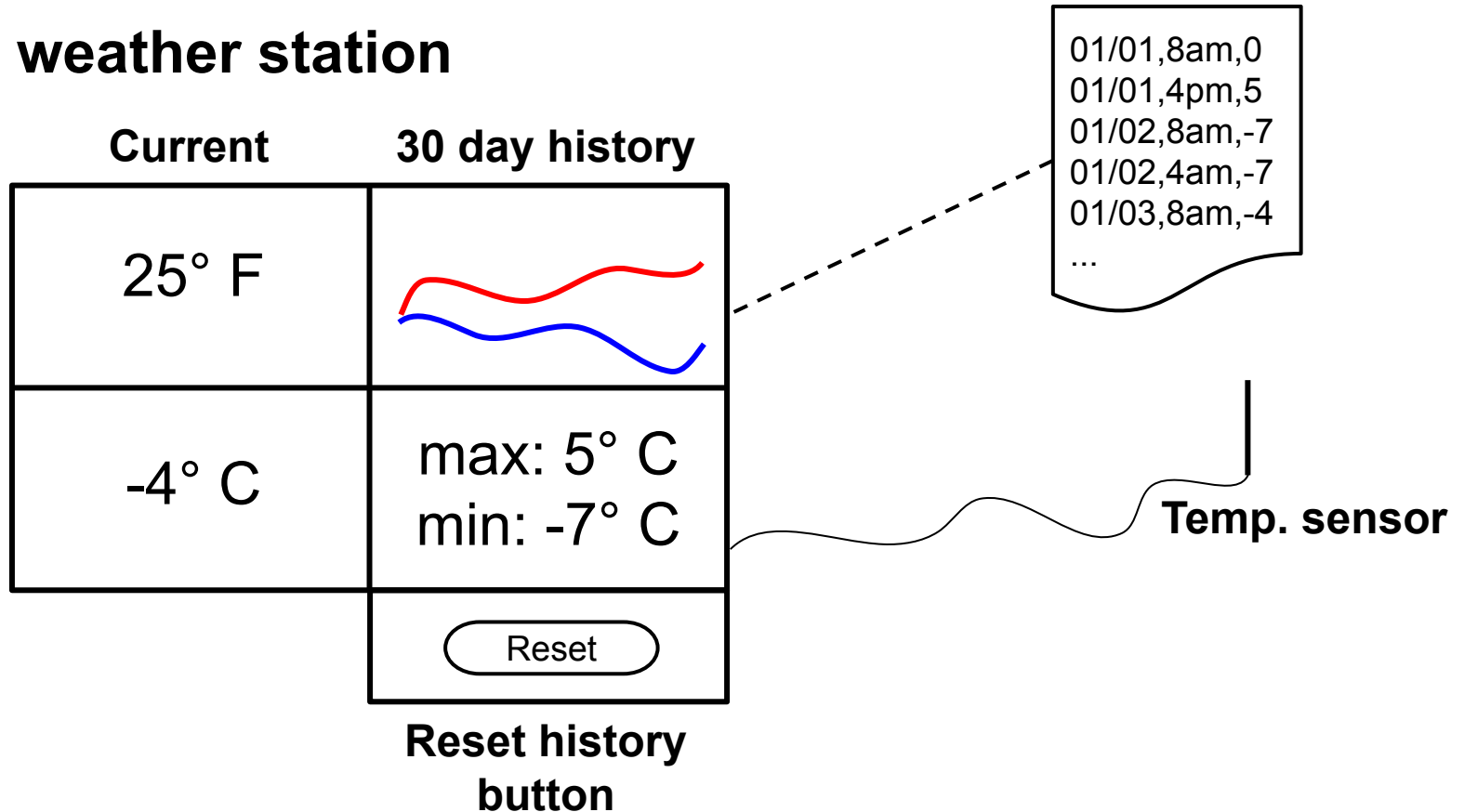
# Software architecture: Model View Controller (MVC)



Separates data representation (Model),  
visualization (View), and client interaction (Controller)

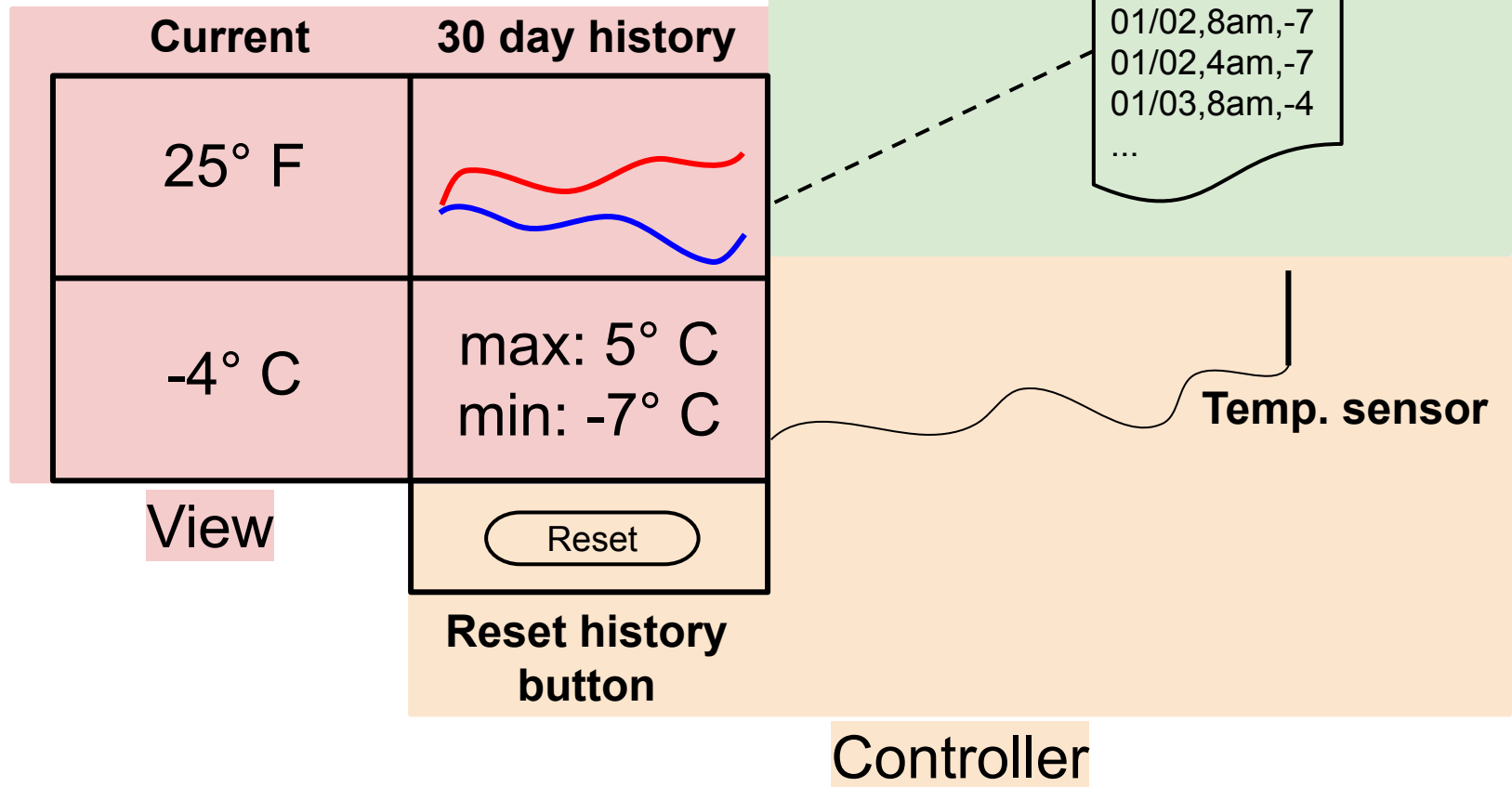
# Model View Controller: example

## Simple weather station

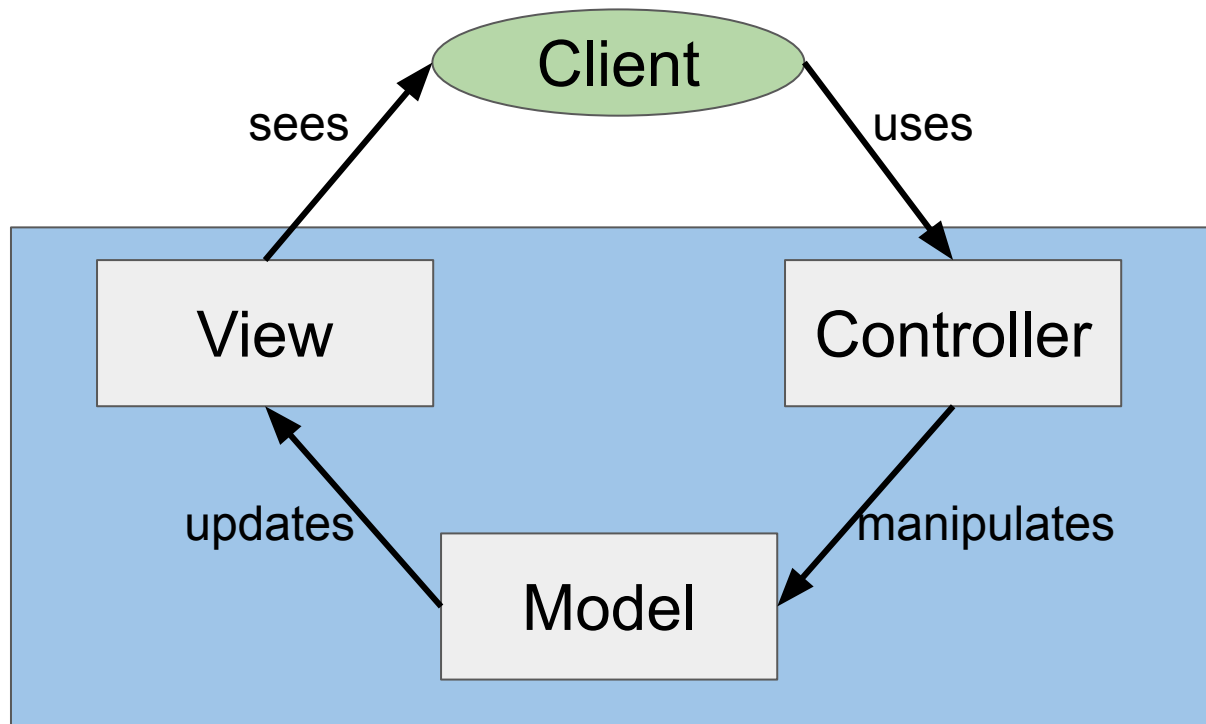


# Model View Controller: example

## Simple weather station

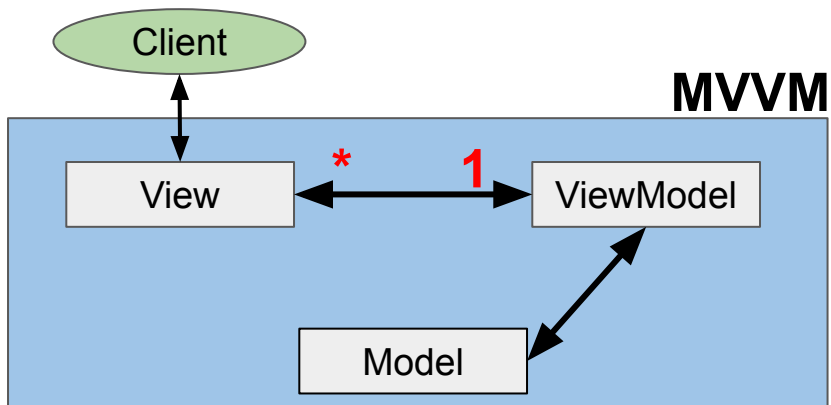
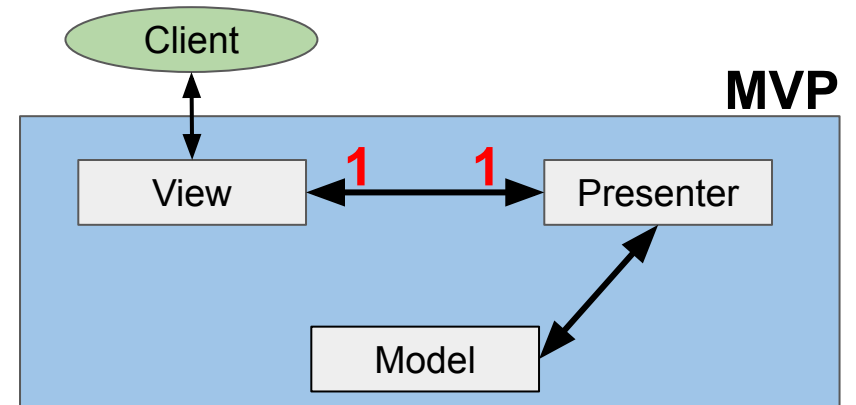
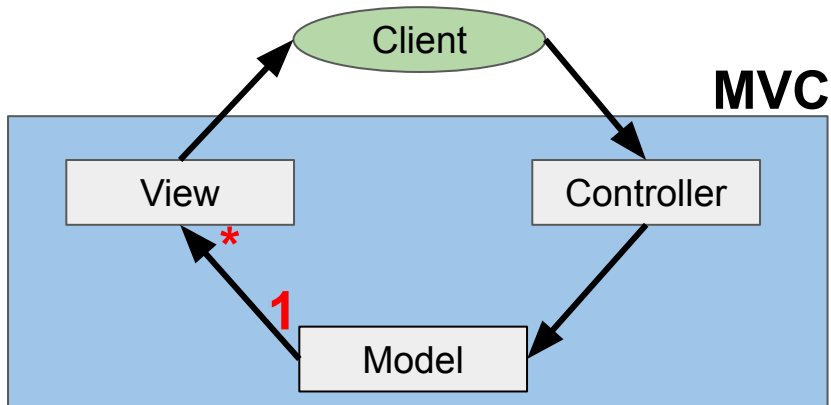


# Software architecture: Model View Controller (MVC)

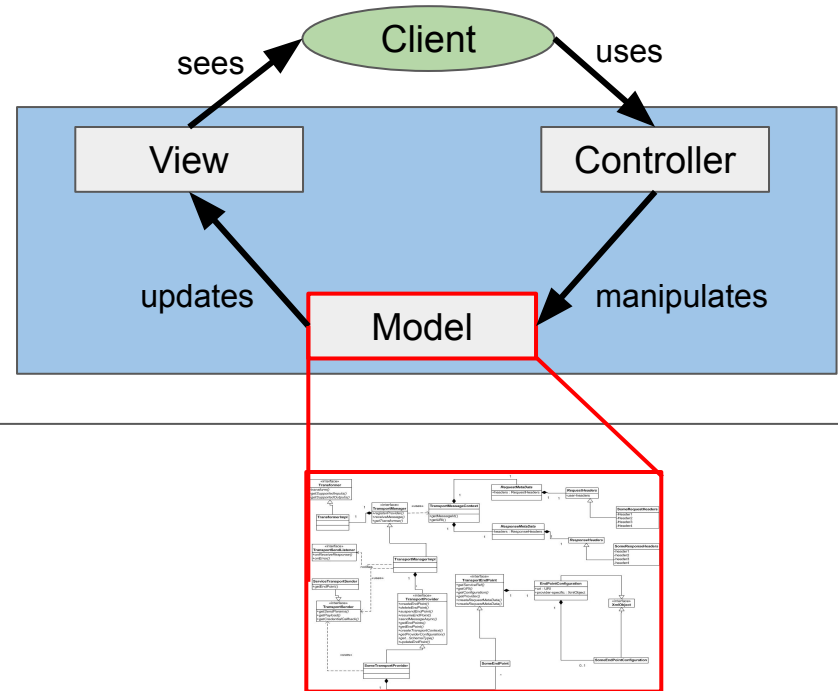
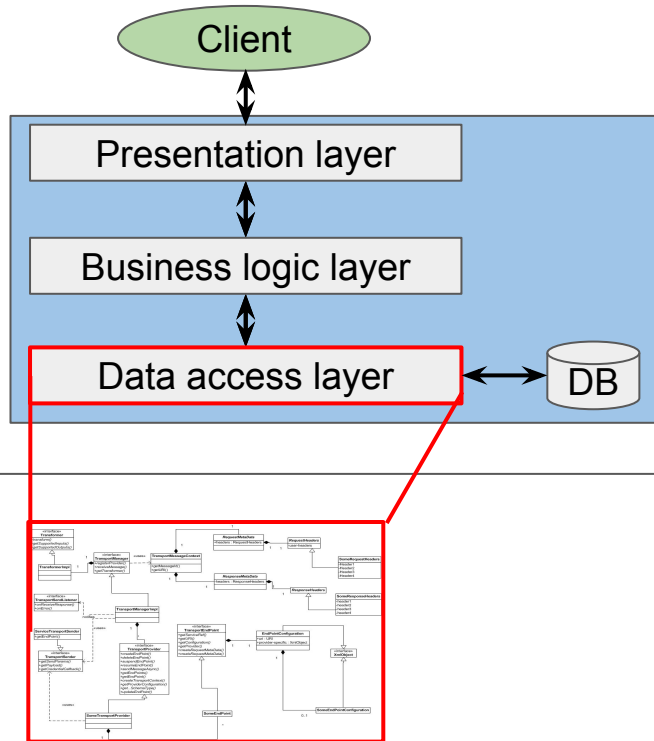


Separates data representation (Model),  
visualization (View), and client interaction (Controller)

# MVC vs. MVP vs. MVVM



# Software architecture vs. design: summary



## Architecture and design

- Components and interfaces: understand, communicate, reuse
- Manage complexity: modularity and separation of concerns
- Process: allow effort estimation and progress monitoring



**Q & A**

# **UML crash course**

# UML crash course

## **The main questions**

- What is UML?
- Is it useful, why bother?
- When to (not) use UML?

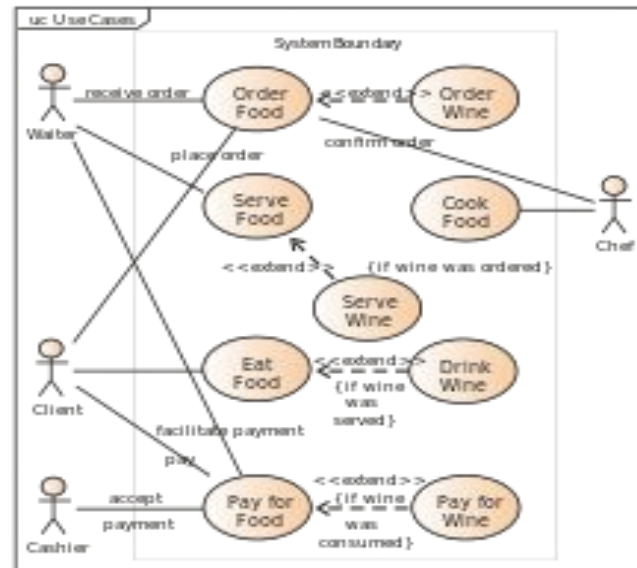
# What is UML?

- Unified Modeling Language.
- Developed in the mid 90's, improved since.
- Standardized notation for modeling OO systems.
- A collection of diagrams for different viewpoints:
  - Use case diagrams
  - Component diagrams
  - Class and Object diagrams
  - Sequence diagrams
  - Statechart diagrams
  - ...

# What is UML?

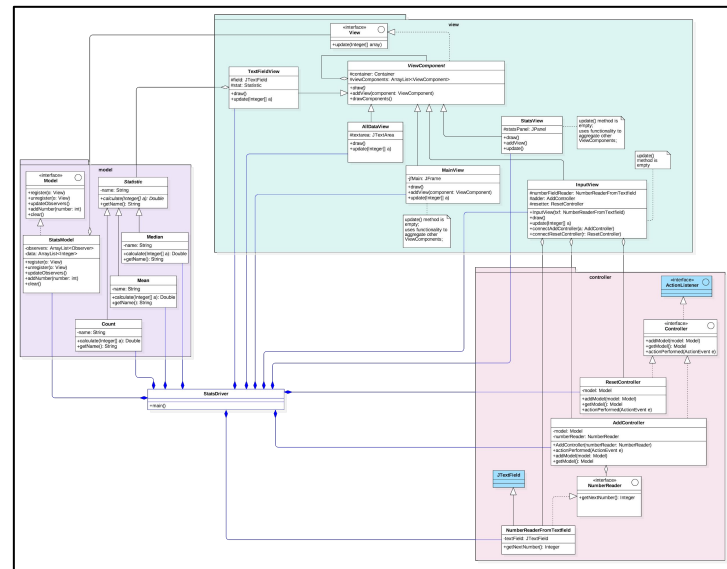
- Unified Modeling Language.
- Developed in the mid 90's, improved since.
- Standardized notation for modeling OO systems.
- A collection of diagrams for different viewpoints:

- **Use case diagrams**
- Component diagrams
- Class and Object diagrams
- Sequence diagrams
- Statechart diagrams
- ...

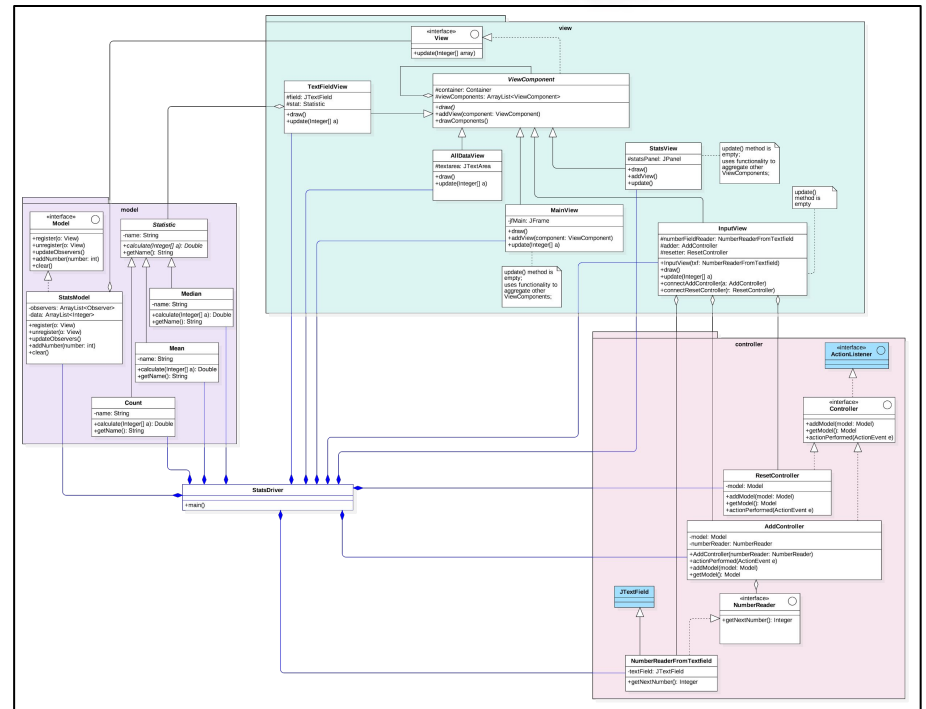
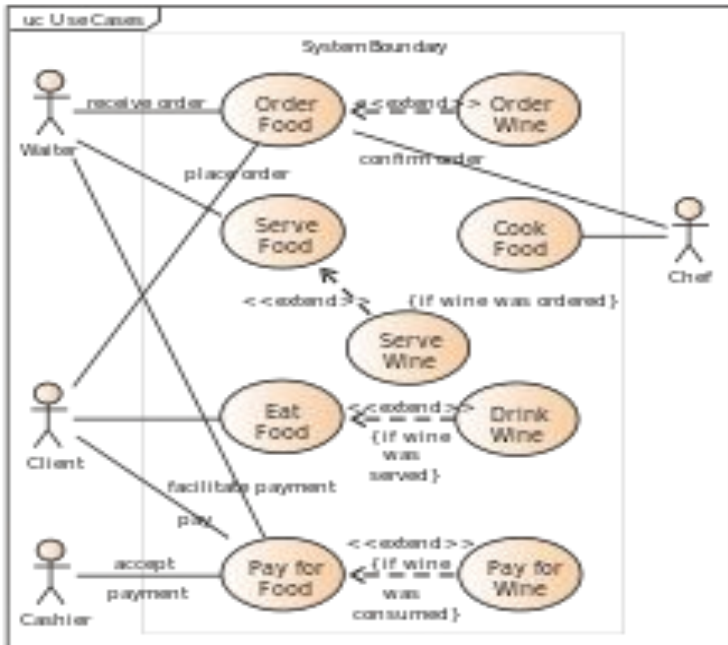


# What is UML?

- Unified Modeling Language.
- Developed in the mid 90's, improved since.
- Standardized notation for modeling OO systems.
- A collection of diagrams for different viewpoints:
  - Use case diagrams
  - Component diagrams
  - **Class and Object diagrams**
  - Sequence diagrams
  - Statechart diagrams
  - ...



# Are UML diagrams useful?



# Are UML diagrams useful?

## Communication

- Forward design (before coding)
  - Brainstorm ideas (on whiteboard or paper).
  - Draft and iterate over software design.

## Documentation

- Backward design (after coding)
  - Obtain diagram from source code.

In this class, we will use UML class diagrams mainly for visualization and discussion purposes.



# Classes vs. objects

## Class

- Grouping of similar objects.
  - Student
  - Car
- Abstraction of common properties and behavior.
  - Student: Name and Student ID
  - Car: Make and Model

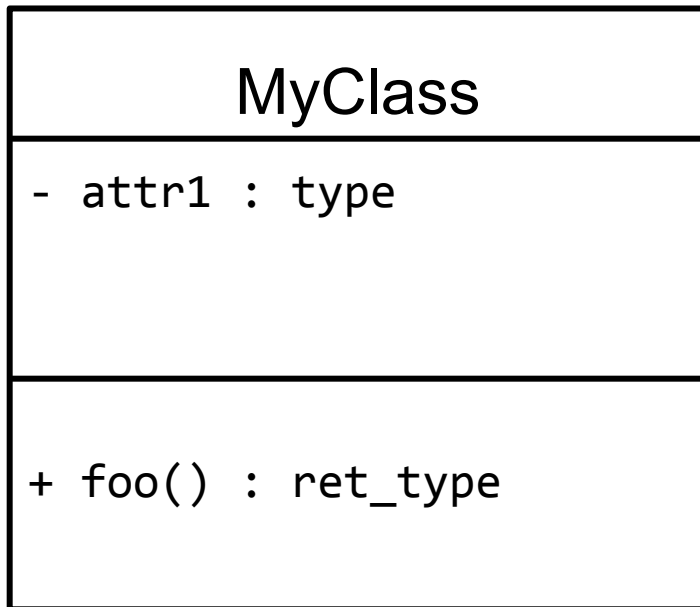
## Object

- Entity from the real world.
- Instance of a class
  - Student: Joe (4711), Jane (4712), ...
  - Car: Audi A6, Honda Civic, ...

# UML class diagram: basic notation



# UML class diagram: basic notation



## Name

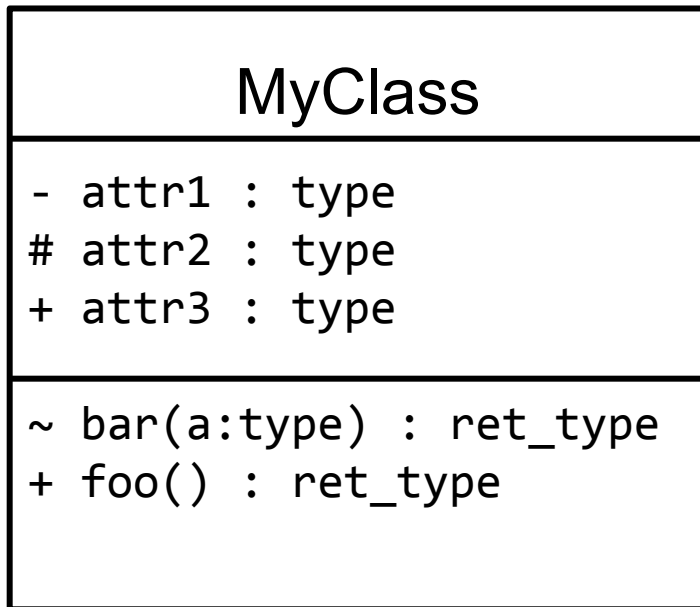
## Attributes

*<visibility> <name> : <type>*

## Methods

*<visibility> <name>(<param>\*) : <return type>*  
*<param> := <name> : <type>*

# UML class diagram: basic notation



## Name

## Attributes

*<visibility> <name> : <type>*

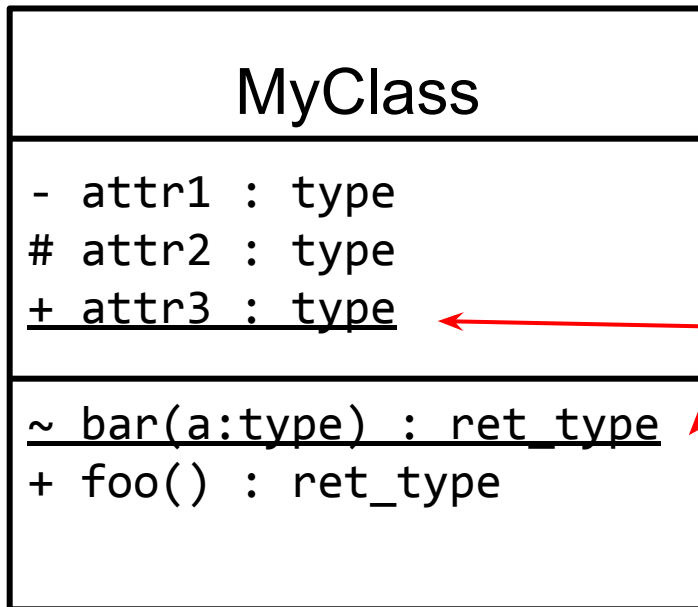
## Methods

*<visibility> <name>(<param>\*) : <return type>  
<param> := <name> : <type>*

## Visibility

- *private*  
~ *package-private*  
# *protected*  
+ *public*

# UML class diagram: basic notation



## Name

## Attributes

`<visibility> <name> : <type>`

*Static attributes or methods are underlined*

## Methods

`<visibility> <name>(<param>*) : <return type>`  
`<param> := <name> : <type>`

## Visibility

- *private*  
~ *package-private*  
# *protected*  
+ *public*

# UML class diagram: concrete example

```
public class Person {  
    ...  
}
```

**Person**

```
public class Student  
    extends Person {  
-----  
    private int id;  
-----  
    public Student(String name,  
                    int id) {  
        ...  
    }  
  
    public int getId() {  
        return this.id;  
    }  
}
```

**Student**

- id : int

+ **Student**(name:String, id:int)  
+ **getId**() : int



# Classes, abstract classes, and interfaces

MyClass

MyAbstractClass  
{abstract}

<<interface>>  
MyInterface

# Classes, abstract classes, and interfaces

MyClass

MyAbstractClass

{abstract}

<<interface>>

MyInterface

```
public class MyClass {  
  
    public void op() {  
        ...  
    }  
  
    public int op2() {  
        ...  
    }  
}
```

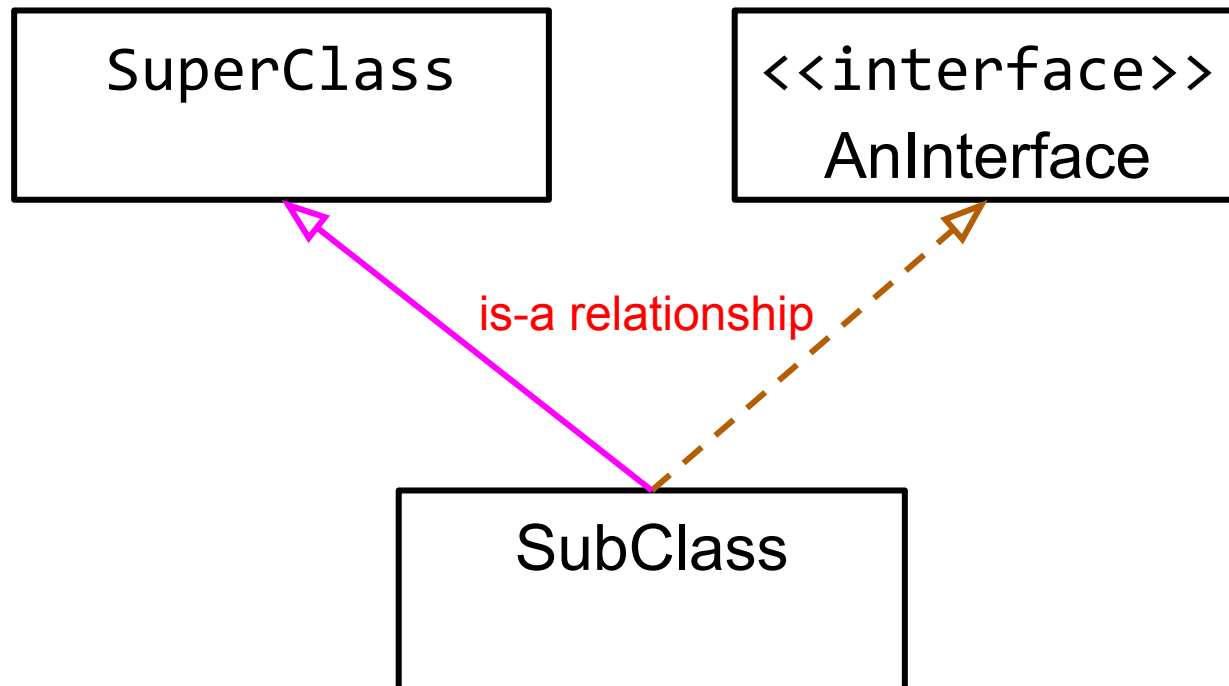
```
public abstract class  
    MyAbstractClass {  
  
    public abstract void op();  
  
    public int op2() {  
        ...  
    }  
}
```

```
public interface  
    MyInterface {  
  
    public void op();  
  
    public int op2();  
}
```

Level of detail in a given class or interface may vary and depends on context and purpose.



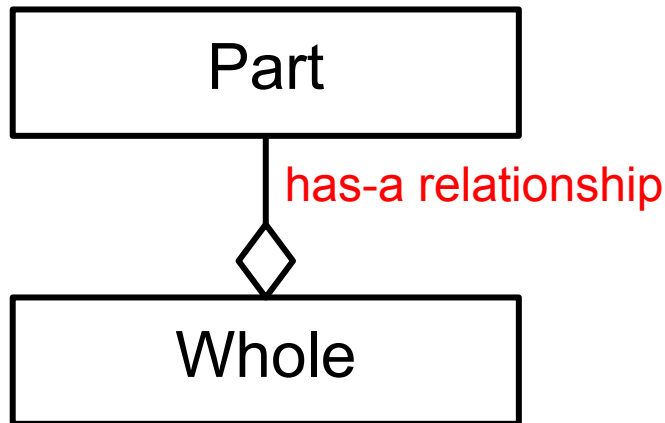
# UML class diagram: Inheritance



```
public class SubClass extends SuperClass implements AnInterface
```

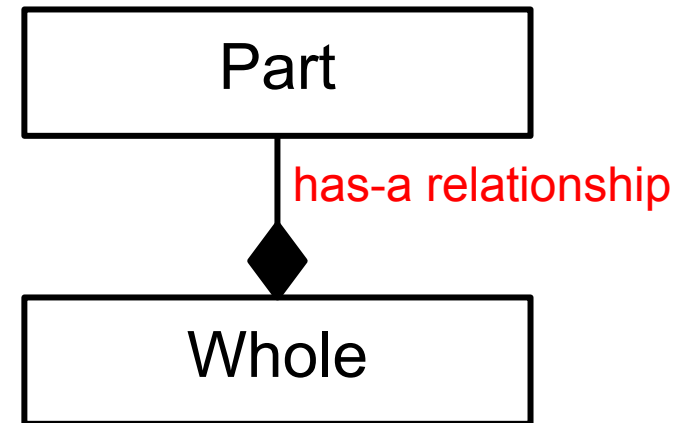
# UML class diagram: Aggregation and Composition

## Aggregation



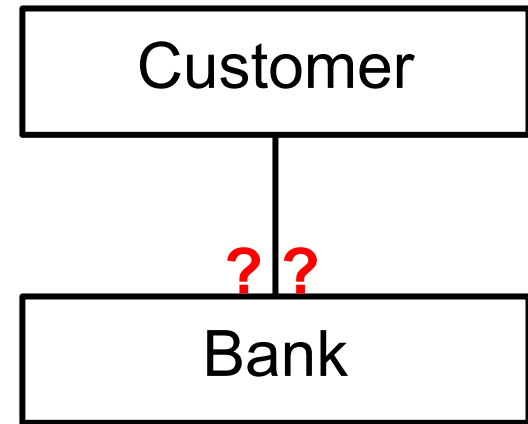
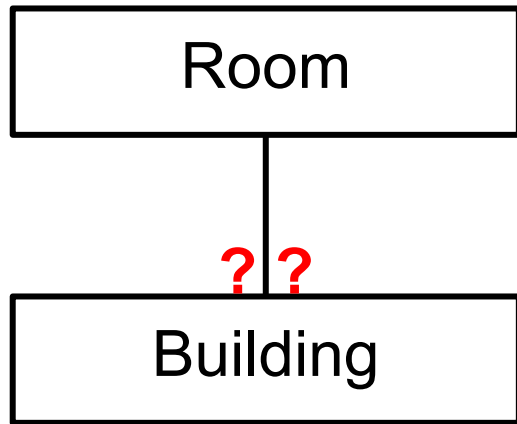
- Existence of Part does not depend on the existence of Whole.
- Lifetime of Part does not depend on Whole.
- No single instance of whole is the unique owner of Part (might be shared with other instances of Whole).

## Composition

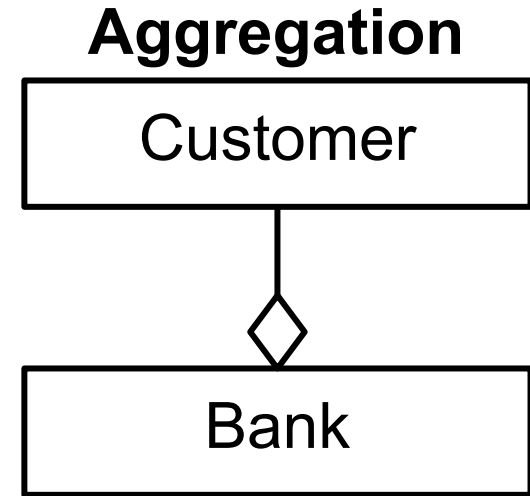
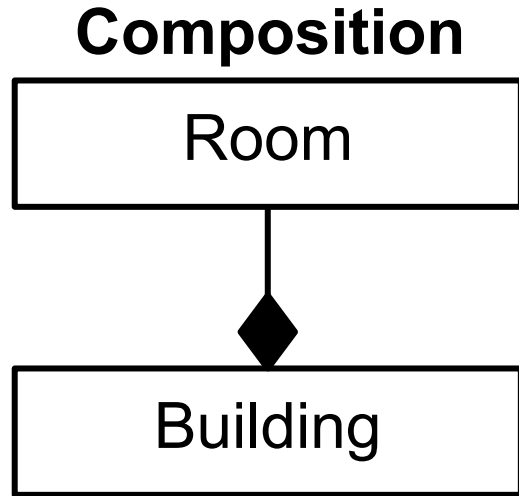


- Part cannot exist without Whole.
- Lifetime of Part depends on Whole.
- One instance of Whole is the single owner of Part.

# Aggregation or Composition?

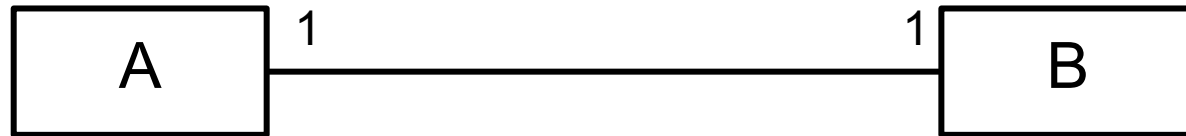


# Aggregation or Composition?

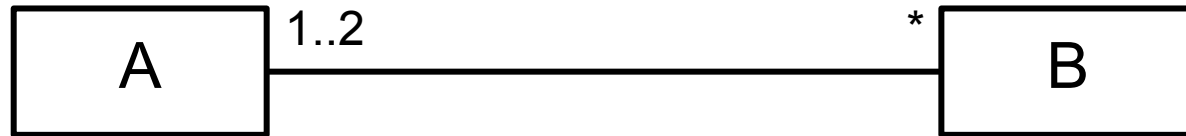


What about class and students or body and body parts?

# UML class diagram: multiplicity

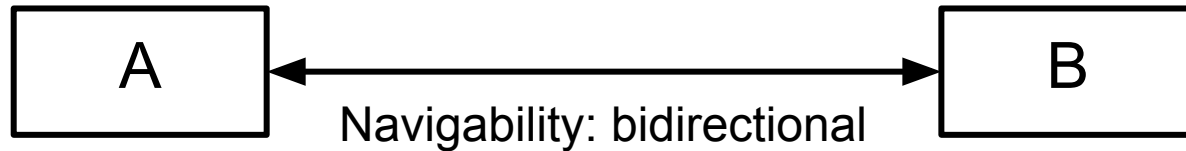
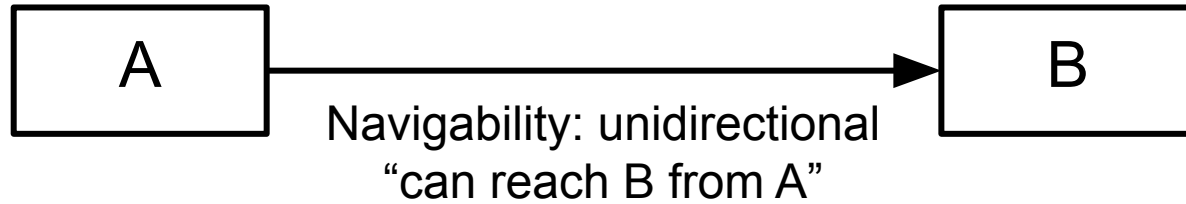
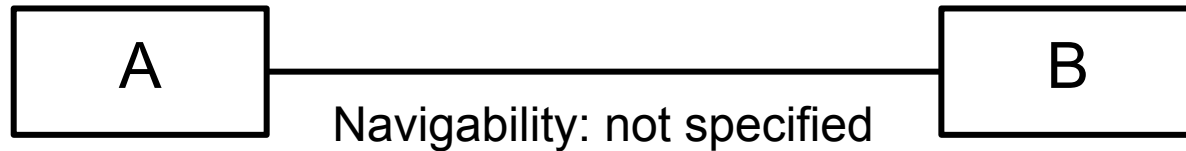


Each A is associated with exactly one B  
Each B is associated with exactly one A

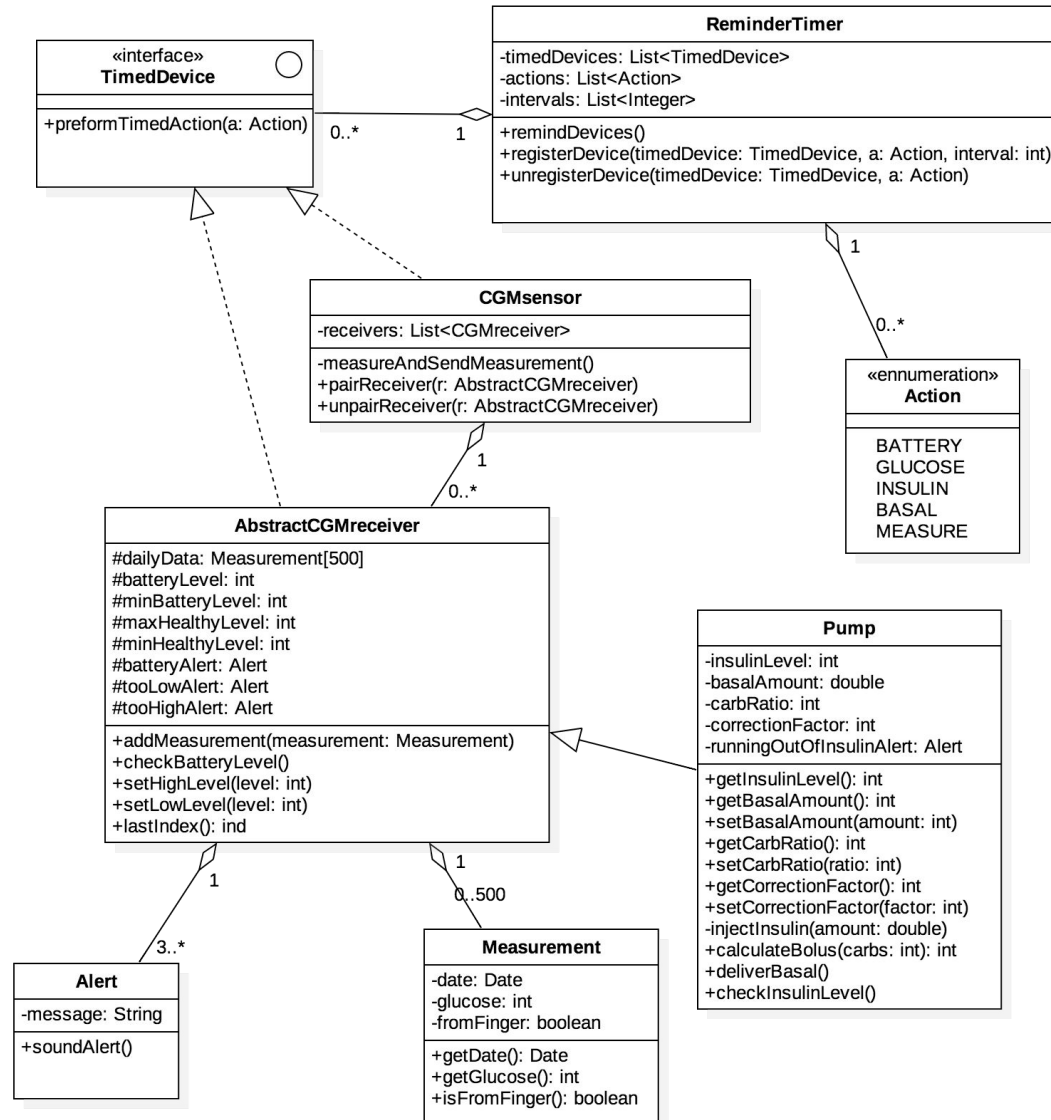


Each A is associated with any number of Bs  
Each B is associated with exactly one or two As

# UML class diagram: navigability



# UML class diagram: example



# Summary: UML

- Unified notation for modeling OO systems.
- Allows different levels of abstraction.
- Suitable for design discussions and documentation.



# **OO design principles**

# OO design principles

- **Information hiding (and encapsulation)**
- Polymorphism
- Open/closed principle
- Inheritance in Java
- The diamond of death
- Liskov substitution principle
- Composition/aggregation over inheritance

# Information hiding

MyClass
+ nElem : int + capacity : int + top : int + elems : int[] + canResize : bool
+ resize(s:int):void + push(e:int):void + capacityLeft():int + getNumElem():int + pop():int + getElems():int[]

```
public class MyClass {  
    public int nElem;  
    public int capacity;  
    public int top;  
    public int[] elems;  
    public boolean canResize;  
    ...  
    public void resize(int s){...}  
    public void push(int e){...}  
    public int capacityLeft(){...}  
    public int getNumElem(){...}  
    public int pop(){...}  
    public int[] getElems(){...}  
}
```

# Information hiding

MyClass
+ nElem : int + capacity : int + top : int + elems : int[] + canResize : bool
+ resize(s:int):void + push(e:int):void + capacityLeft():int + getNumElem():int + pop():int + getElems():int[]

```
public class MyClass {  
    public int nElem;  
    public int capacity;  
    public int top;  
    public int[] elems;  
    public boolean canResize;  
    ...  
    public void resize(int s){...}  
    public void push(int e){...}  
    public int capacityLeft(){...}  
    public int getNumElem(){...}  
    public int pop(){...}  
    public int[] getElems(){...}  
}
```

What does MyClass do?

# Information hiding

<b>Stack</b>
+ nElem : int + capacity : int + top : int + elems : int[] + canResize : bool
+ resize(s:int):void + push(e:int):void + capacityLeft():int + getNumElem():int + pop():int + getElems():int[]

```
public class Stack {  
    public int nElem;  
    public int capacity;  
    public int top;  
    public int[] elems;  
    public boolean canResize;  
    ...  
    public void resize(int s){...}  
    public void push(int e){...}  
    public int capacityLeft(){...}  
    public int getNumElem(){...}  
    public int pop(){...}  
    public int[] getElems(){...}  
}
```

Anything that could be improved in this implementation?

# Information hiding

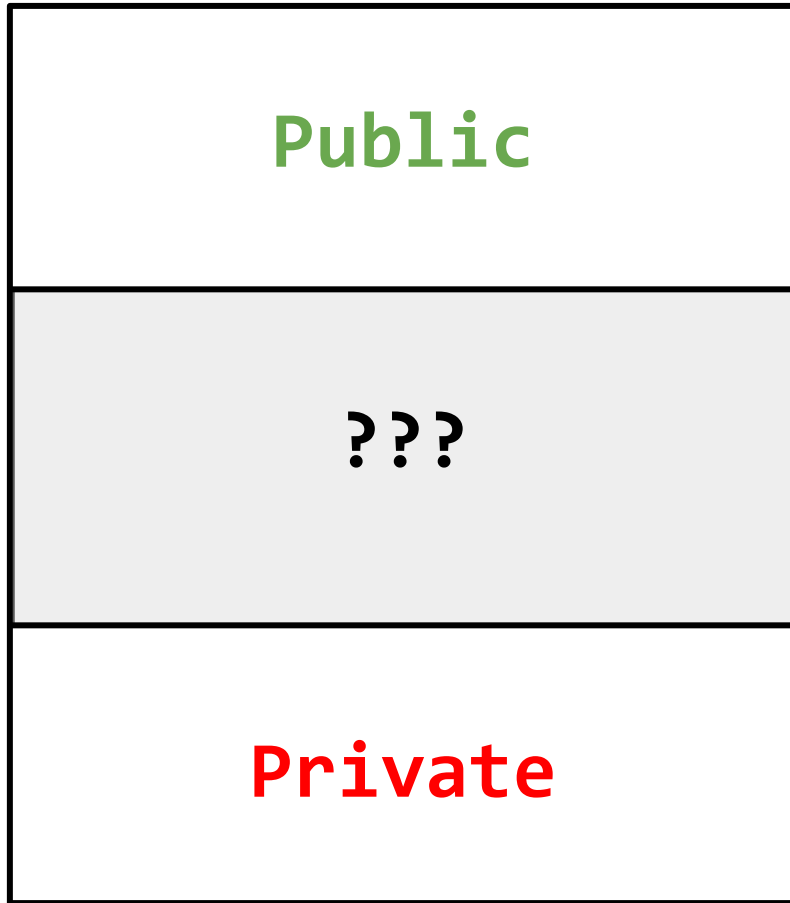
Stack
+ nElem : int + capacity : int + top : int + elems : int[] + canResize : bool
+ resize(s:int):void + push(e:int):void + capacityLeft():int + getNumElem():int + pop():int + getElems():int[]

Stack
- elems : int[] ...
+ push(e:int):void + pop():int ...

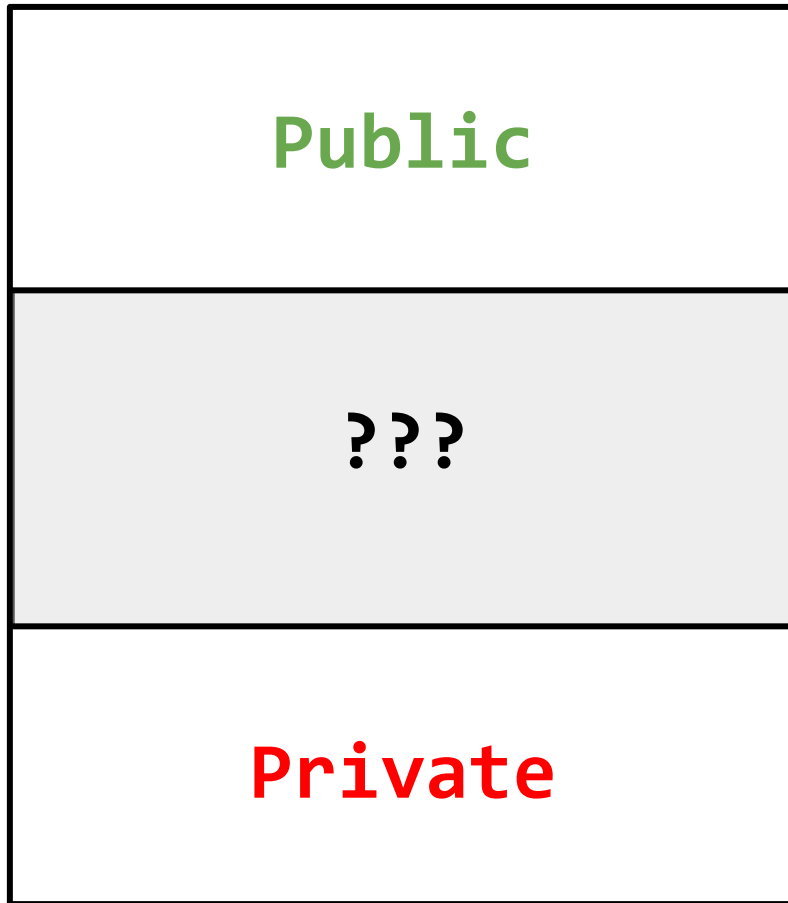
## Information hiding:

- Reveal as little information about internals as possible.
- Segregate public interface and implementation details.
- Reduces complexity.

# Information hiding vs. visibility



# Information hiding vs. visibility



- Protected, package-private, or friend-accessible (C++).
- Not part of the public API.
- Implementation detail that a subclass/friend may rely on.



# OO design principles

- Information hiding (and encapsulation)
- **Polymorphism**
- Open/closed principle
- Inheritance in Java
- The diamond of death
- Liskov substitution principle
- Composition/aggregation over inheritance

A little refresher: what is Polymorphism?



# A little refresher: what is Polymorphism?

An object's ability to provide different behaviors.

## Types of polymorphism

- Ad-hoc polymorphism (e.g., operator overloading)
  - `a + b` ⇒ String vs. int, double, etc.
- Subtype polymorphism (e.g., method overriding)
  - `Object obj = ...;` ⇒ `toString()` can be overridden in subclasses  
`obj.toString();` and therefore provide a different behavior.
- Parametric polymorphism (e.g., Java generics)
  - `class LinkedList<E> {` ⇒ A LinkedList can store elements  
`void add(E) {...` regardless of their type but still  
`E get(int index) {...}` provide full type safety.

# A little refresher: what is Polymorphism?

An object's ability to provide different behaviors.

## Types of polymorphism

- Subtype polymorphism (e.g., method overriding)
  - `Object obj = ...;`  $\Rightarrow$  `toString()` can be overridden in subclasses and therefore provide a different behavior.  
`obj.toString();`

Subtype polymorphism is essential to many OO design principles.

# OO design principles

- Information hiding (and encapsulation)
- Polymorphism
- **Open/closed principle**
- Inheritance in Java
- The diamond of death
- Liskov substitution principle
- Composition/aggregation over inheritance

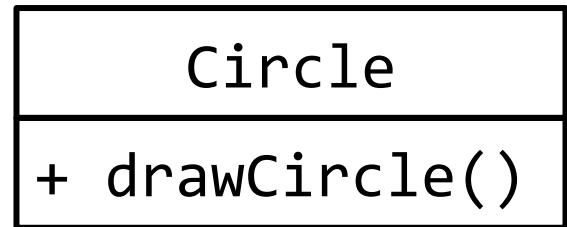
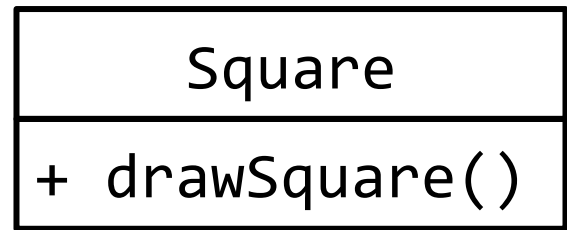
# Open/closed principle

**Software entities** (classes, components, etc.) should be:

- **open** for extensions
- **closed** for modifications

```
public static void draw(Object o) {  
    if (o instanceof Square) {  
        drawSquare((Square) o)  
    } else if (o instanceof Circle) {  
        drawCircle((Circle) o);  
    } else {  
        ...  
    }  
}
```

Good or bad design?



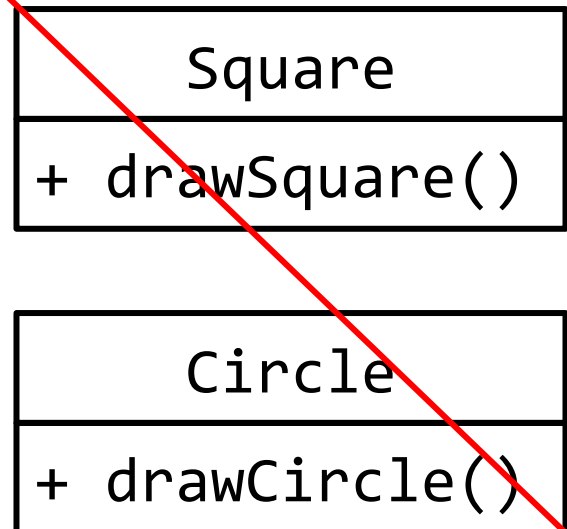
# Open/closed principle

**Software entities** (classes, components, etc.) should be:

- **open** for extensions
- **closed** for modifications

```
public static void draw(Object o) {  
    if (o instanceof Square) {  
        drawSquare((Square) o)  
    } else if (o instanceof Circle) {  
        drawCircle((Circle) o);  
    } else {  
        ...  
    }  
}
```

Violates the open/closed  
principle!



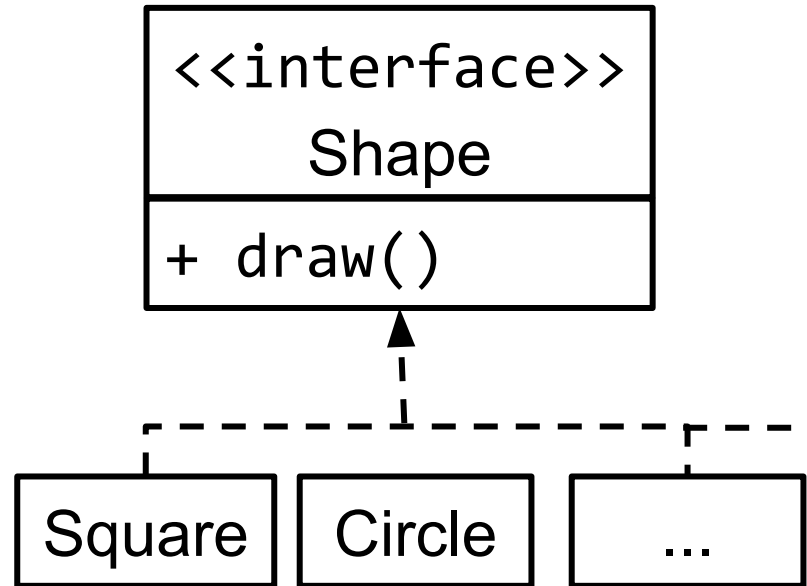
# Open/closed principle

**Software entities** (classes, components, etc.) should be:

- **open** for extensions
- **closed** for modifications

```
public static void draw(Object s) {  
    if (s instanceof Shape) {  
        s.draw();  
    } else {  
        ...  
    }  
}
```

```
public static void draw(Shape s) {  
    s.draw();  
}
```





# OO design principles

- Information hiding (and encapsulation)
- Polymorphism
- Open/closed principle
- **Inheritance in Java**
- The diamond of death
- Liskov substitution principle
- Composition/aggregation over inheritance

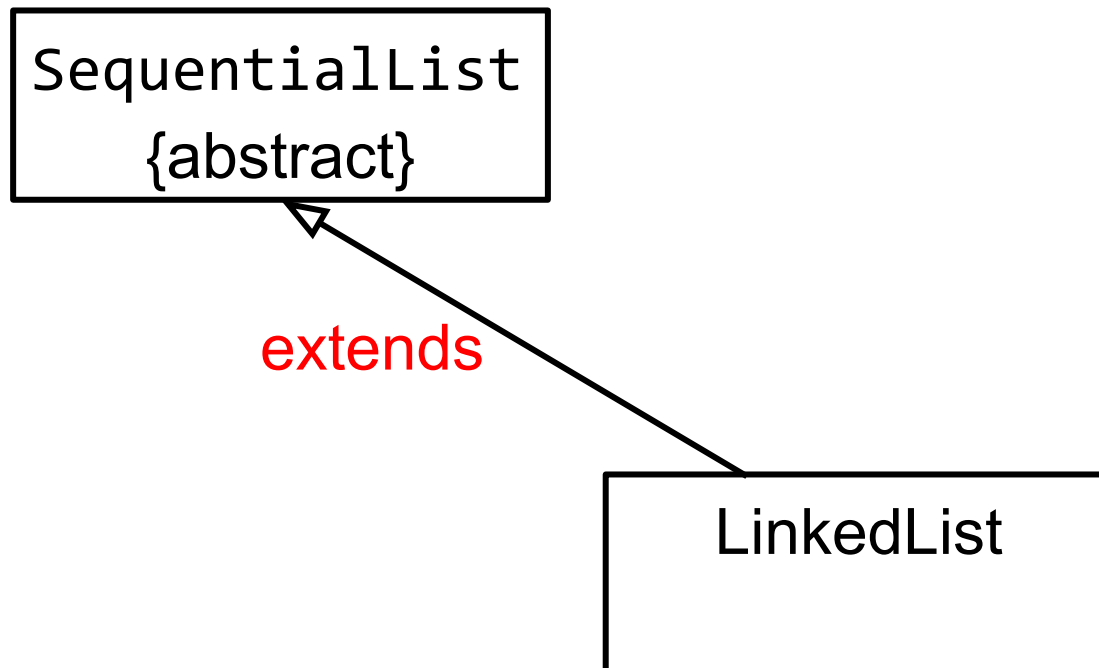
# Inheritance: (abstract) classes and interfaces

SequentialList  
{abstract}

LinkedList

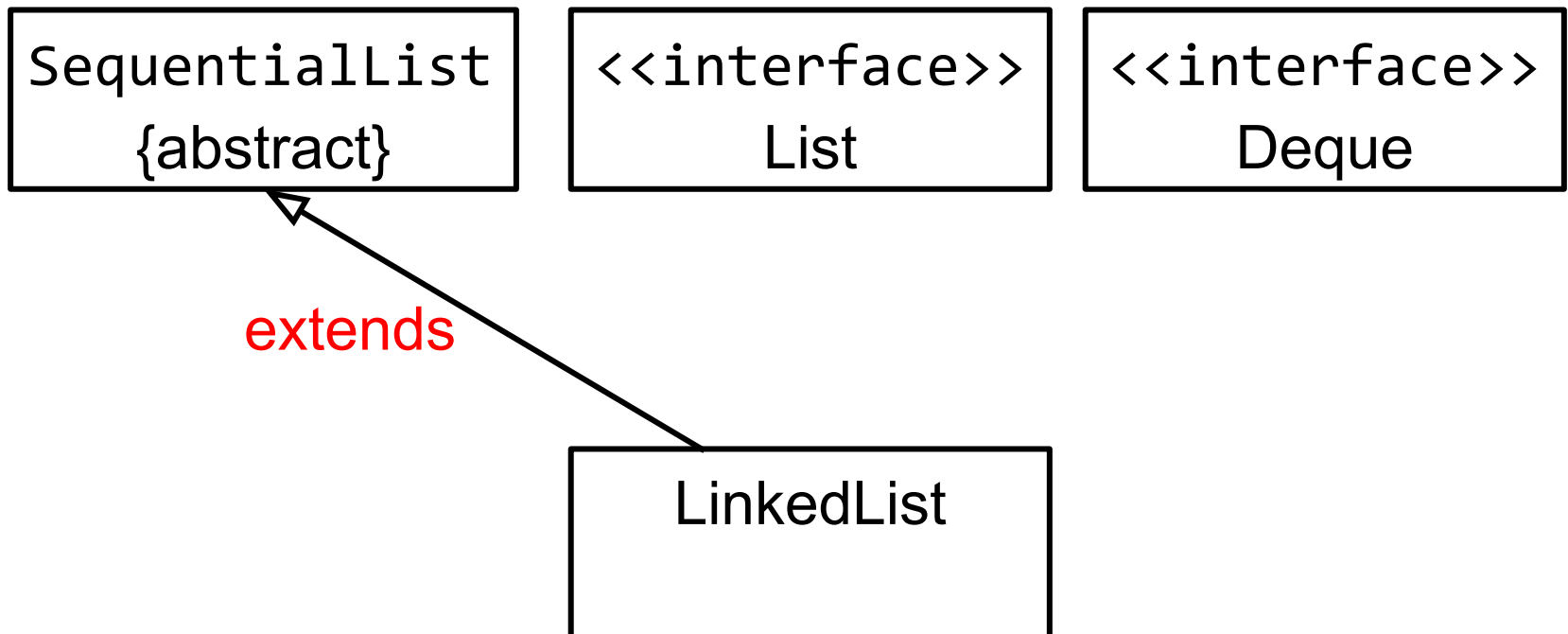
# Inheritance: (abstract) classes and interfaces

**LinkedList** **extends** **SequentialList**



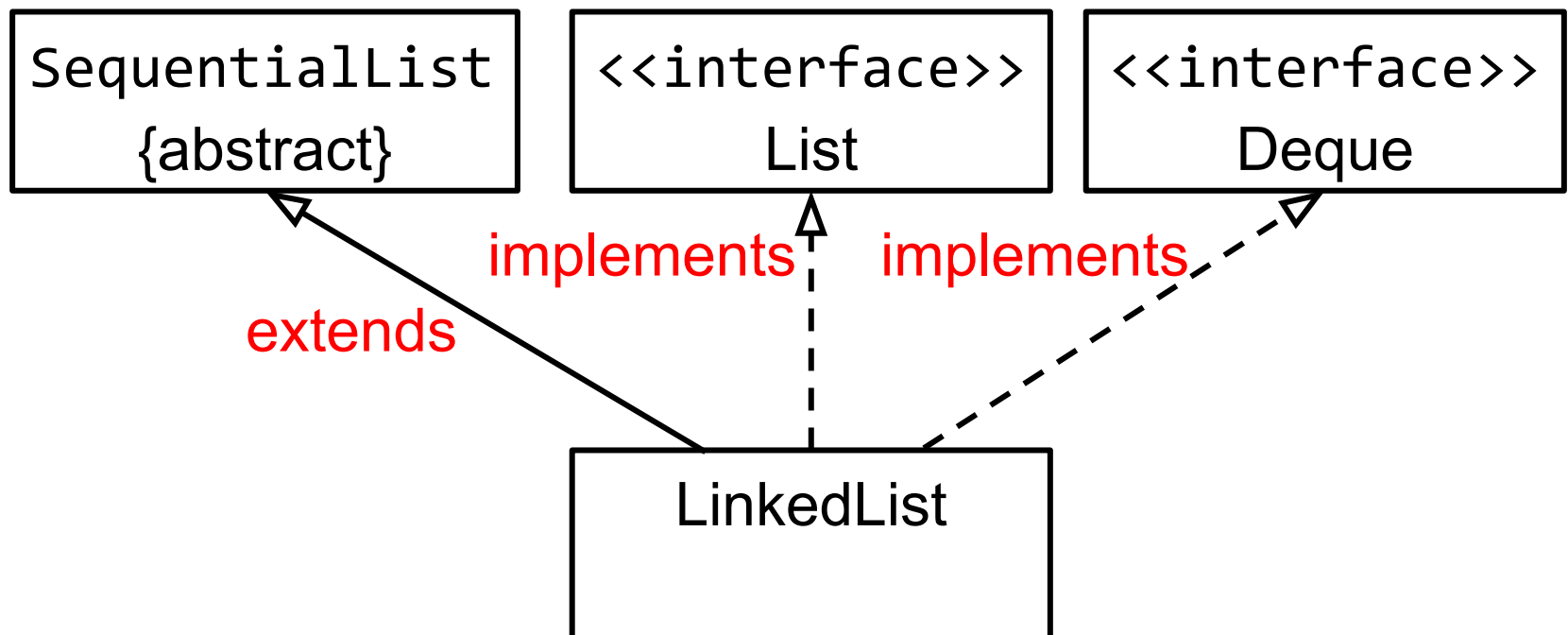
# Inheritance: (abstract) classes and interfaces

**LinkedList extends SequentialList**



# Inheritance: (abstract) classes and interfaces

**LinkedList** **extends** **SequentialList** **implements** **List**, **Deque**



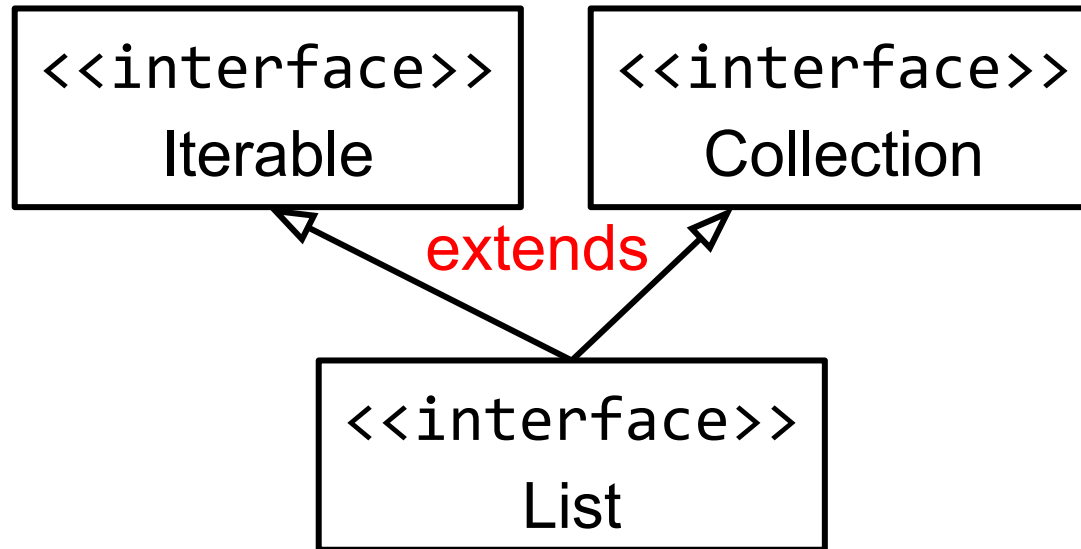
# Inheritance: (abstract) classes and interfaces

<<interface>>  
Iterable

<<interface>>  
Collection

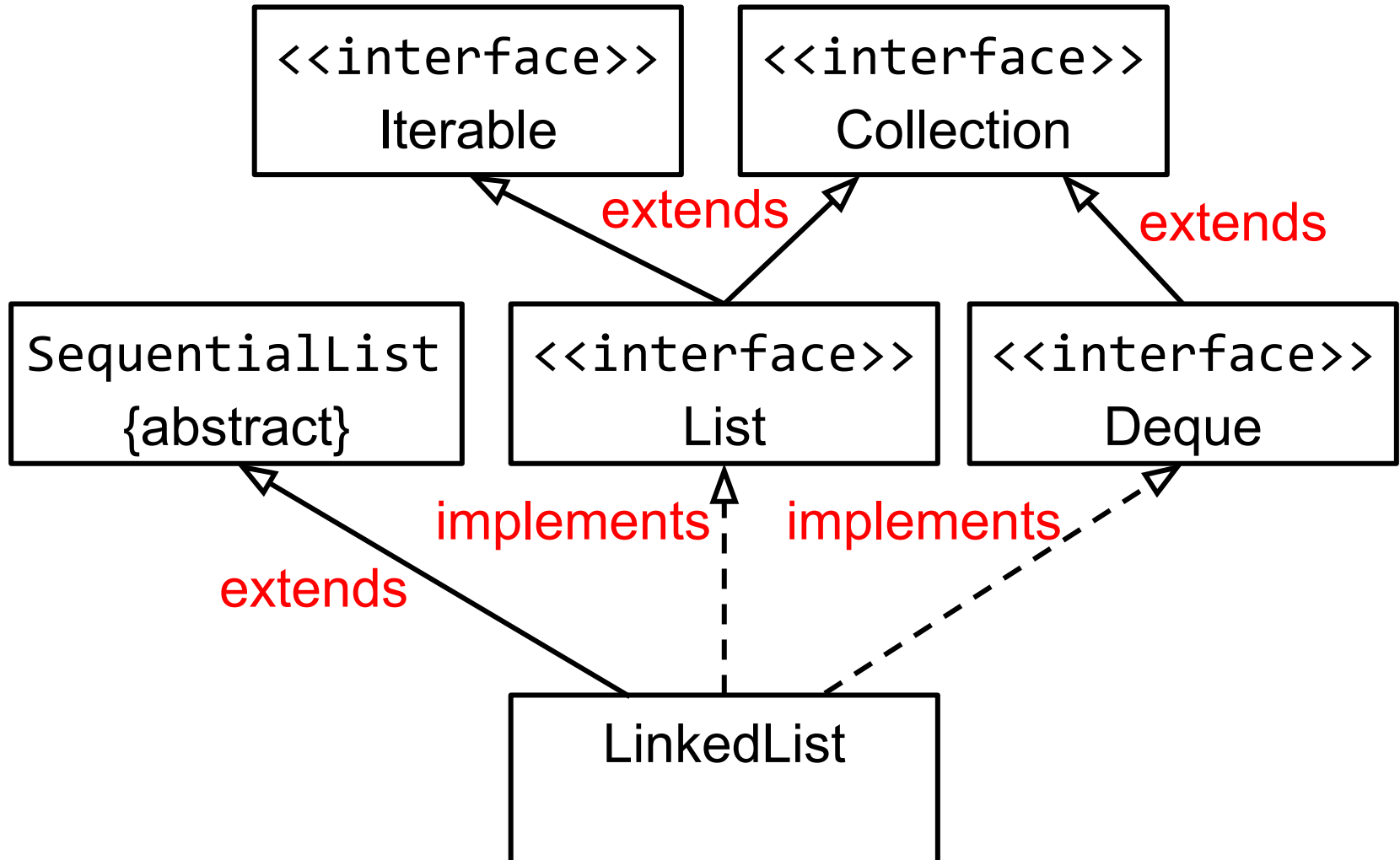
<<interface>>  
List

# Inheritance: (abstract) classes and interfaces



**List extends Iterable, Collection**

# Inheritance: (abstract) classes and interfaces



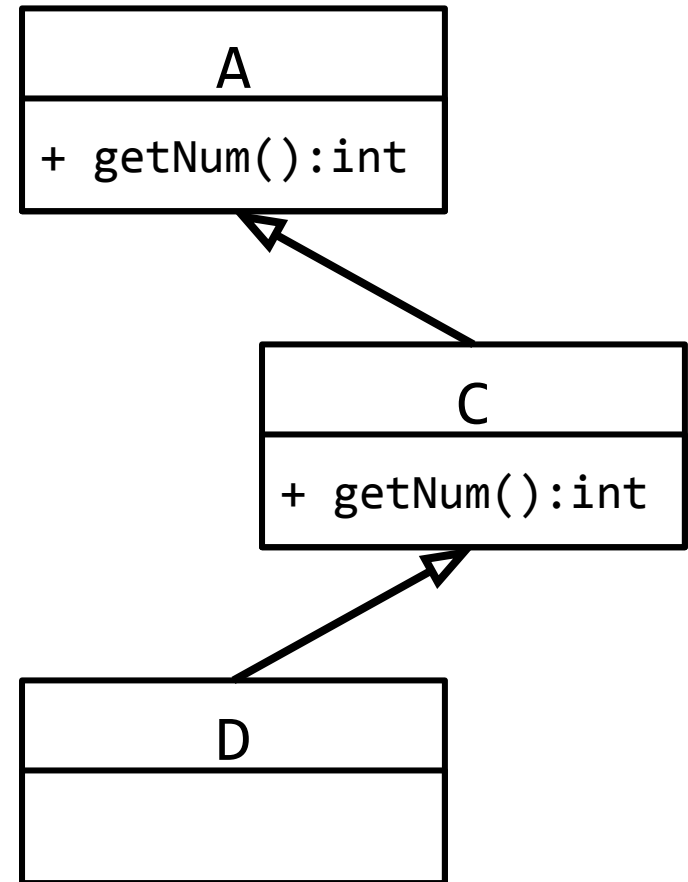


# OO design principles

- Information hiding (and encapsulation)
- Polymorphism
- Open/closed principle
- Inheritance in Java
- **The diamond of death**
- Liskov substitution principle
- Composition/aggregation over inheritance

# The “diamond of death”: the problem

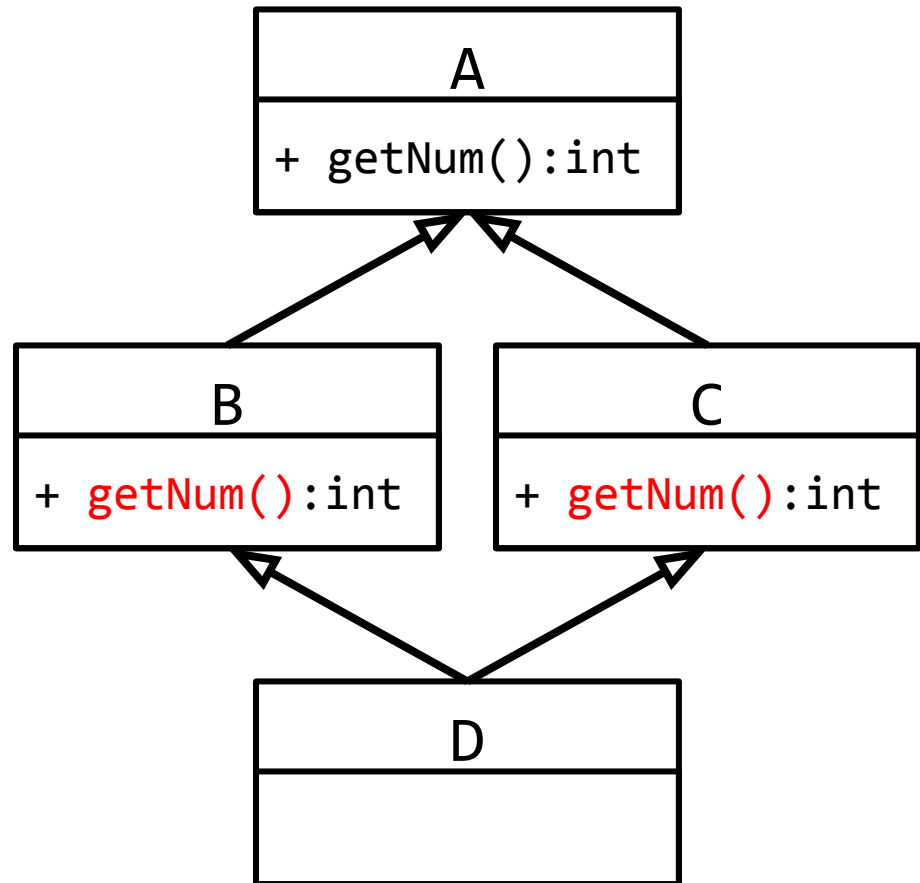
```
...  
A a = new D();  
int num = a.getNum();  
...
```



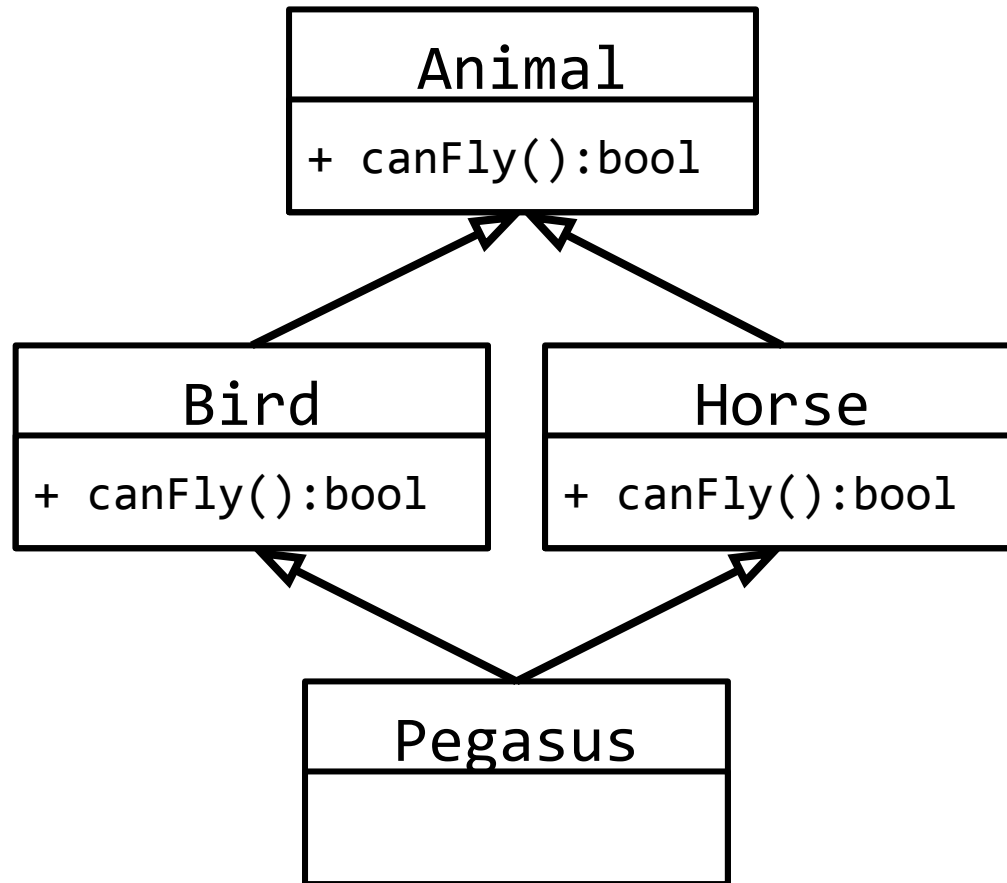
# The “diamond of death”: the problem

```
...  
A a = new D();  
int num = a.getNum();  
...
```

Which `getNum()` method  
should be called?



# The “diamond of death”: concrete example



Can this happen in Java? Yes, with default methods in Java 8.

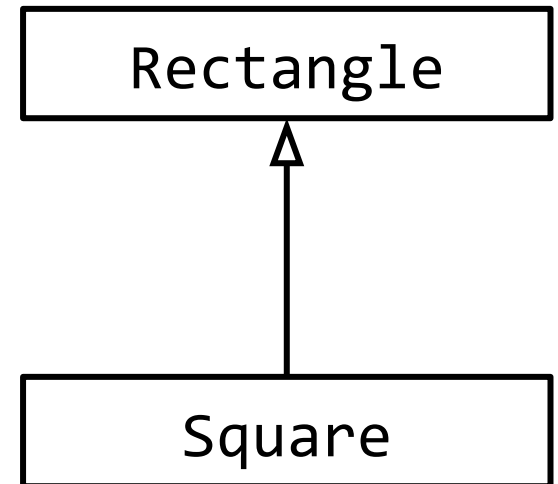
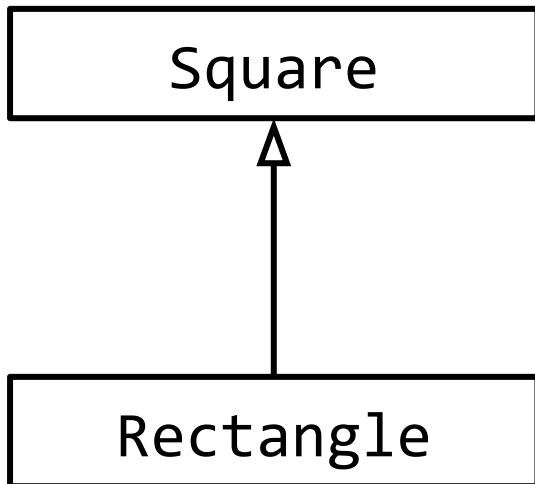
# OO design principles

- Information hiding (and encapsulation)
- Polymorphism
- Open/closed principle
- Inheritance in Java
- The diamond of death
- **Liskov substitution principle**
- Composition/aggregation over inheritance

# Design principles: Liskov substitution principle

## Motivating example

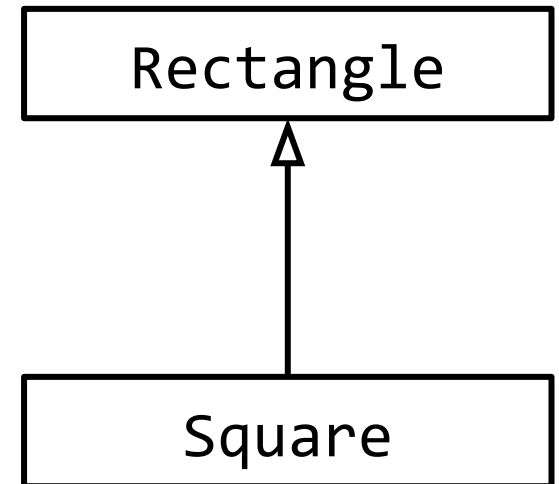
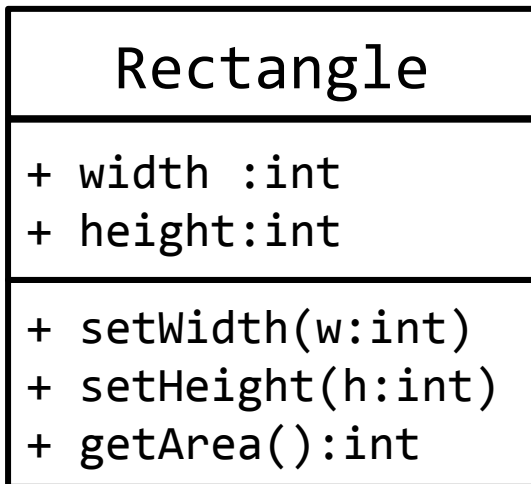
*We know that a square is a special kind of a rectangle. So, which of the following OO designs makes sense?*



# Design principles: Liskov substitution principle

## Subtype requirement

*Let object  $x$  be of type  $T1$  and object  $y$  be of type  $T2$ . Further, let  $T2$  be a subtype of  $T1$  ( $T2 \leq T1$ ). Any provable property about objects of type  $T1$  should be true for objects of type  $T2$ .*

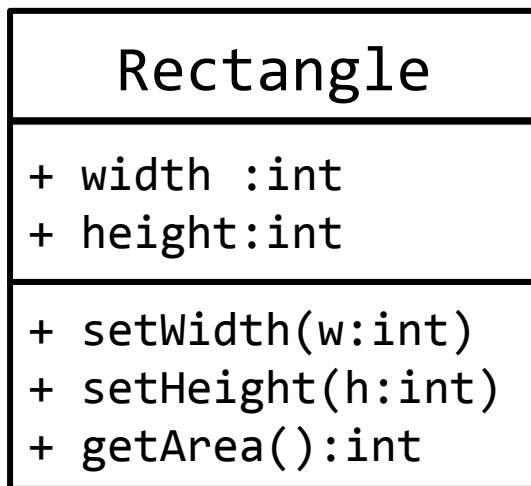


Is the subtype requirement fulfilled?

# Design principles: Liskov substitution principle

## Subtype requirement

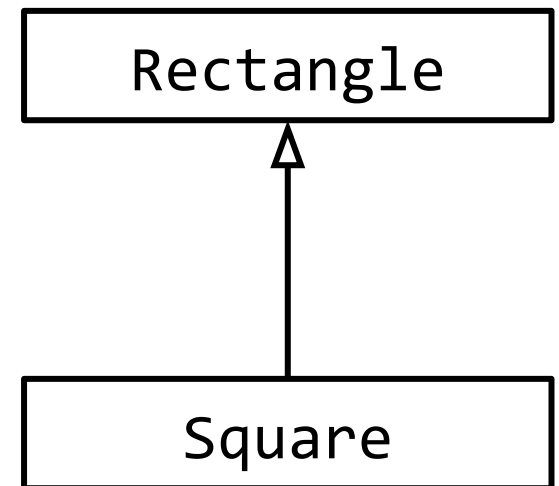
*Let object  $x$  be of type  $T1$  and object  $y$  be of type  $T2$ . Further, let  $T2$  be a subtype of  $T1$  ( $T2 \leq T1$ ). Any provable property about objects of type  $T1$  should be true for objects of type  $T2$ .*



```
Rectangle r =  
    new Rectangle(2,2);
```

```
int A = r.getArea();  
int w = r.getWidth();  
r.setWidth(w * 2);
```

```
assertEquals(A * 2,  
    r.getArea());
```

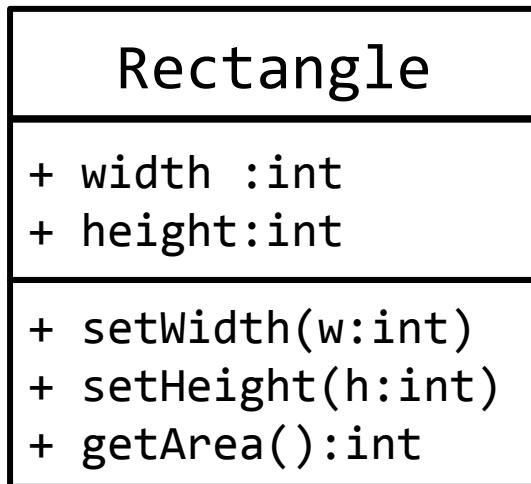




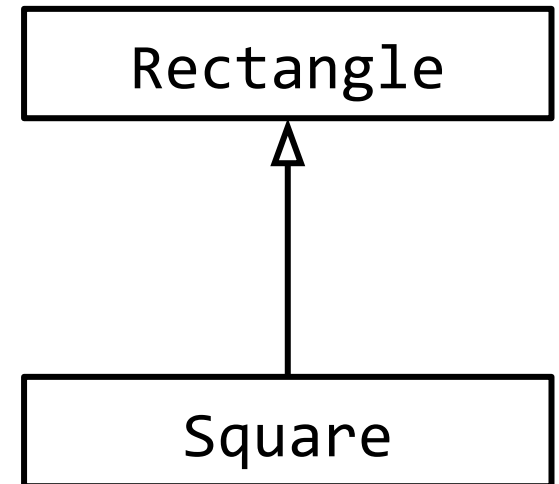
# Design principles: Liskov substitution principle

## Subtype requirement

*Let object  $x$  be of type  $T1$  and object  $y$  be of type  $T2$ . Further, let  $T2$  be a subtype of  $T1$  ( $T2 \leq T1$ ). Any provable property about objects of type  $T1$  should be true for objects of type  $T2$ .*



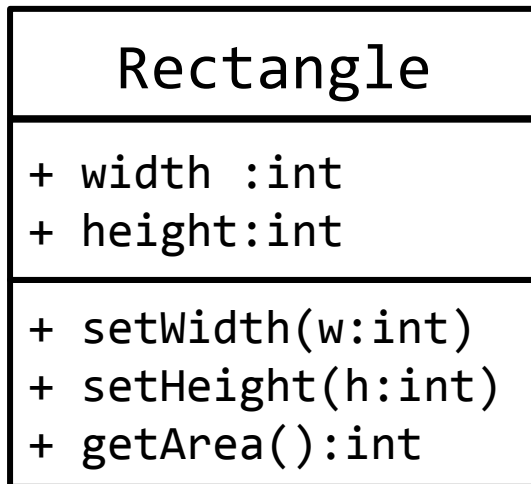
```
Rectangle r =  
new Rectangle(2,2);  
new Square(2);  
  
int A = r.getArea();  
int w = r.getWidth();  
r.setWidth(w * 2);  
  
assertEquals(A * 2,  
             r.getArea());
```



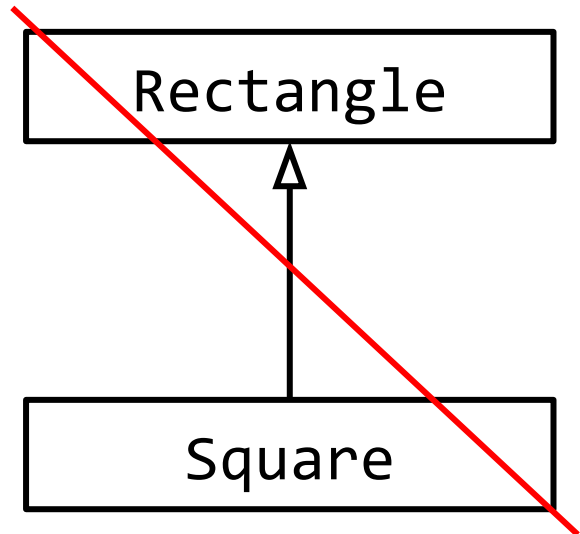
# Design principles: Liskov substitution principle

## Subtype requirement

*Let object  $x$  be of type  $T1$  and object  $y$  be of type  $T2$ . Further, let  $T2$  be a subtype of  $T1$  ( $T2 \leq T1$ ). Any provable property about objects of type  $T1$  should be true for objects of type  $T2$ .*



```
Rectangle r =  
new Rectangle(2,2);  
new Square(2);  
  
int A = r.getArea();  
int w = r.getWidth();  
r.setWidth(w * 2);  
  
assertEquals(A * 2,  
             r.getArea());
```

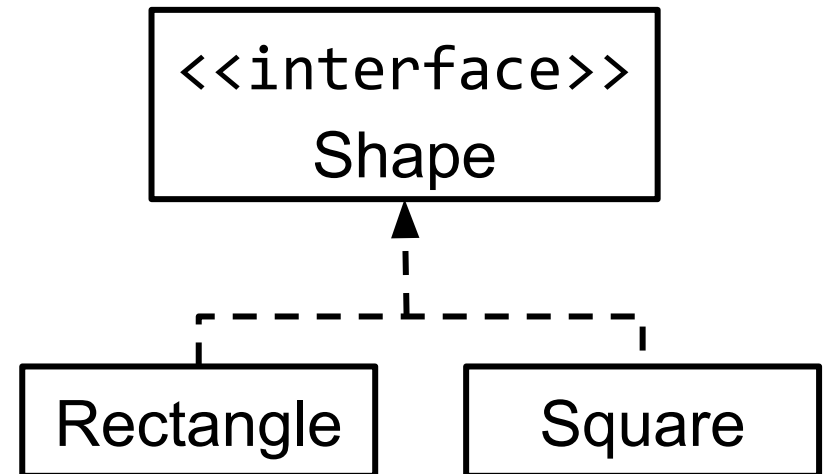
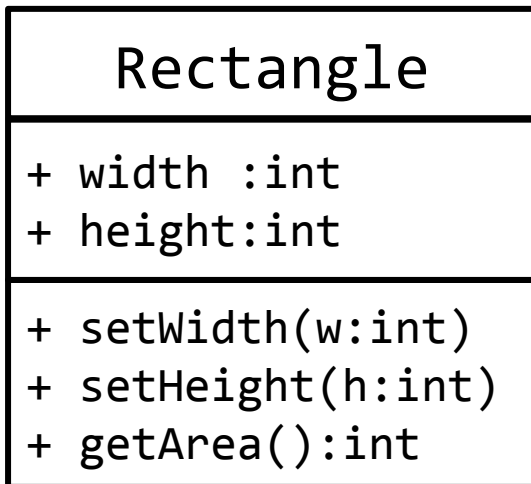


**Violates the Liskov substitution principle!**

# Design principles: Liskov substitution principle

## Subtype requirement

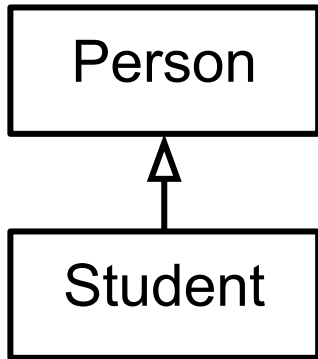
*Let object  $x$  be of type  $T1$  and object  $y$  be of type  $T2$ . Further, let  $T2$  be a subtype of  $T1$  ( $T2 \leq T1$ ). Any provable property about objects of type  $T1$  should be true for objects of type  $T2$ .*



# OO design principles

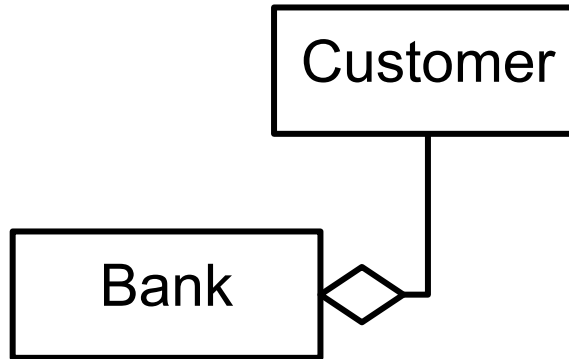
- Information hiding (and encapsulation)
- Polymorphism
- Open/closed principle
- Inheritance in Java
- The diamond of death
- Liskov substitution principle
- **Composition/aggregation over inheritance**

# Inheritance vs. (Aggregation vs. Composition)

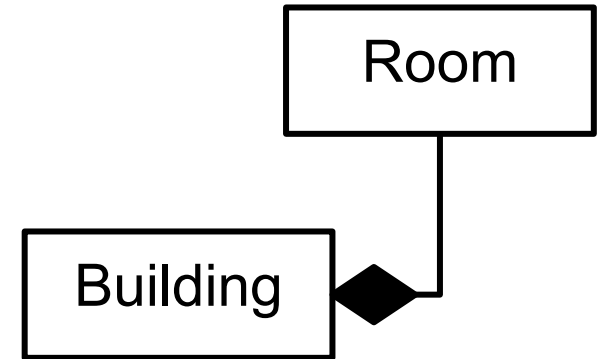


```
public class Student
    extends Person{
    public Student(){
    }
    ...
}
```

is-a relationship



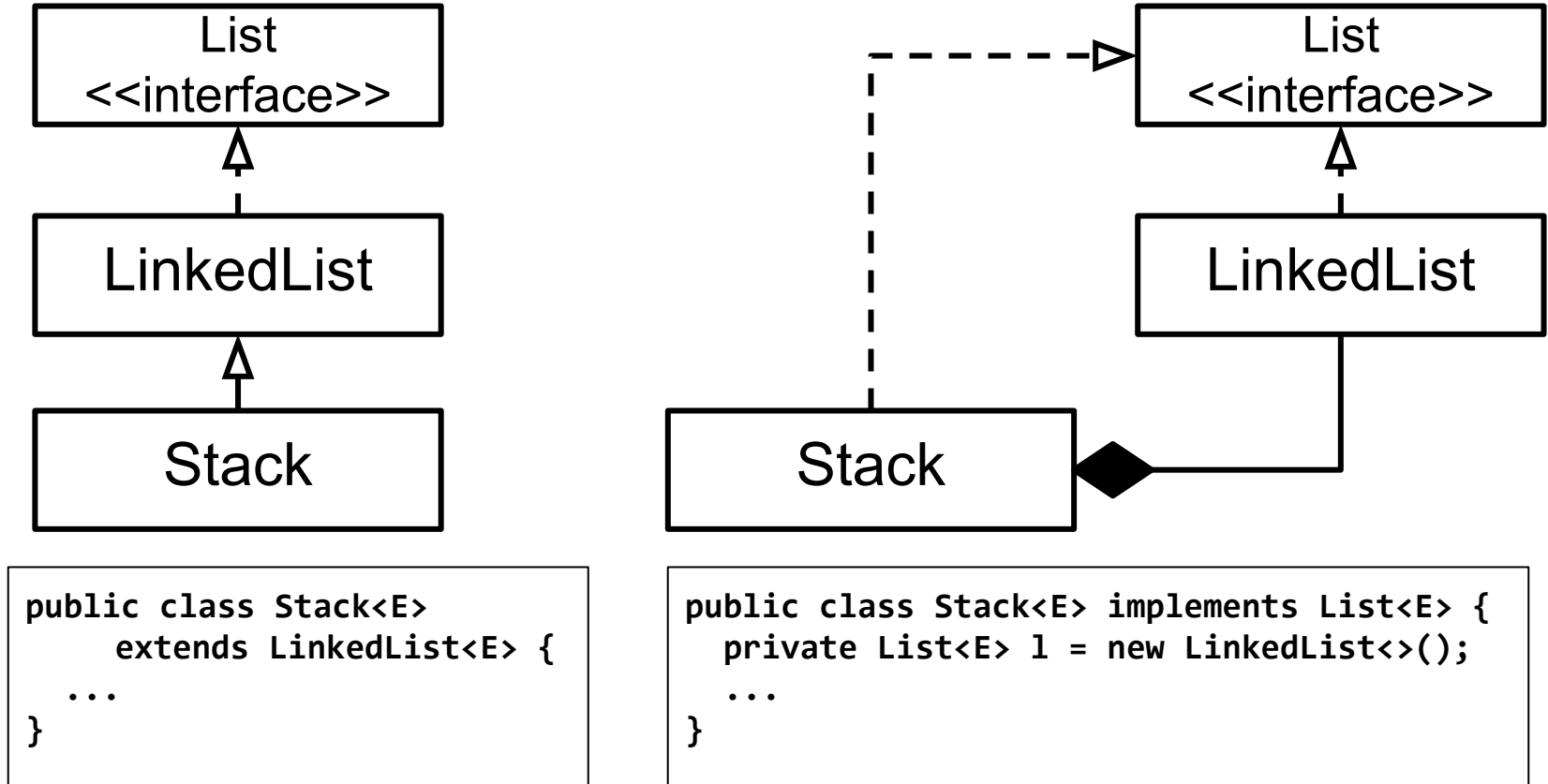
```
public class Bank {
    Customer c;
    public Bank(Customer c){
        this.c = c;
    }
    ...
}
```



```
public class Building {
    Room r;
    public Building(){
        this.r = new Room();
    }
    ...
}
```

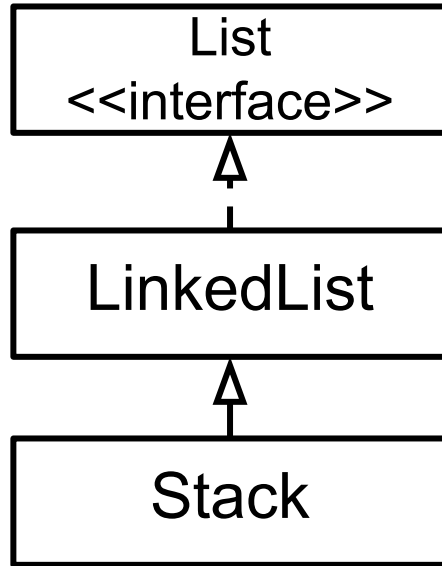
has-a relationship

# Design choice: inheritance or composition?



Hmm, both designs seem valid -- what are pros and cons?

# Design choice: inheritance or composition?

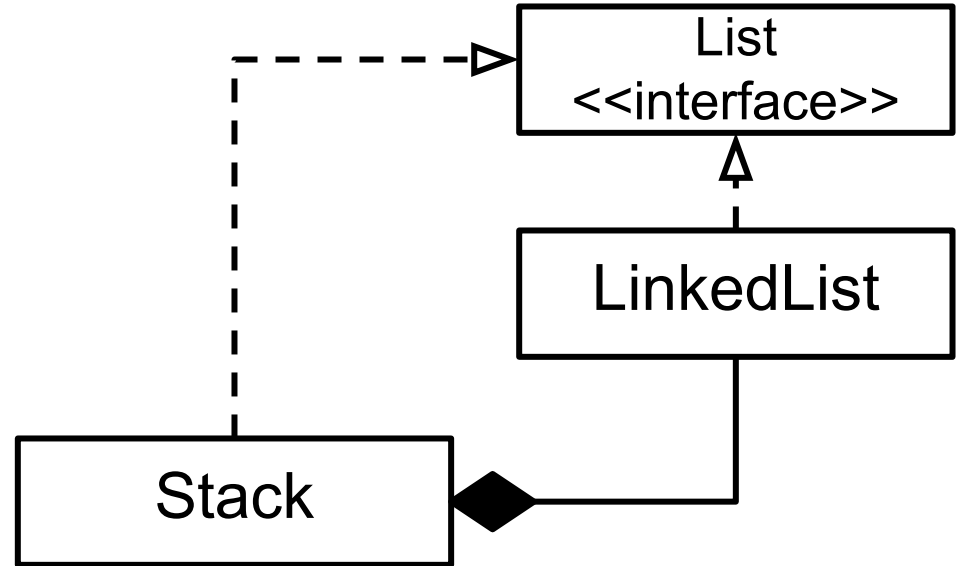


## Pros

- No delegation methods required.
- Reuse of common state and behavior.

## Cons

- Exposure of all inherited methods (a client might rely on this particular superclass -> can't change it later).
- Changes in superclass are likely to break subclasses.



## Pros

- Highly flexible and configurable: no additional subclasses required for different compositions.

## Cons

- All interface methods need to be implemented -> delegation methods required, even for code reuse.

**Composition/aggregation over inheritance allows more flexibility.**

# OO design principles: summary

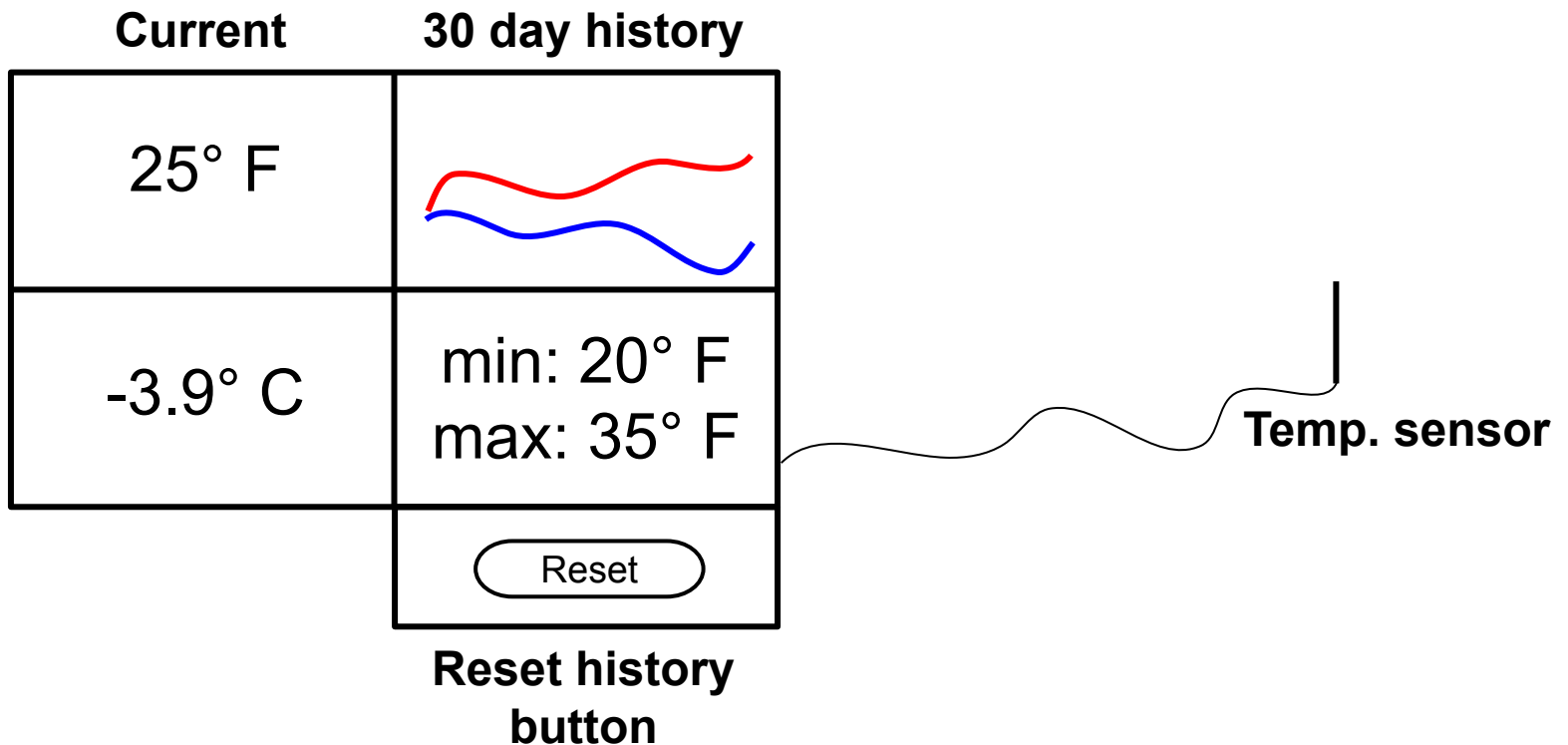
- Information hiding (and encapsulation)
- Open/closed principle
- Liskov substitution principle
- Composition/aggregation over inheritance



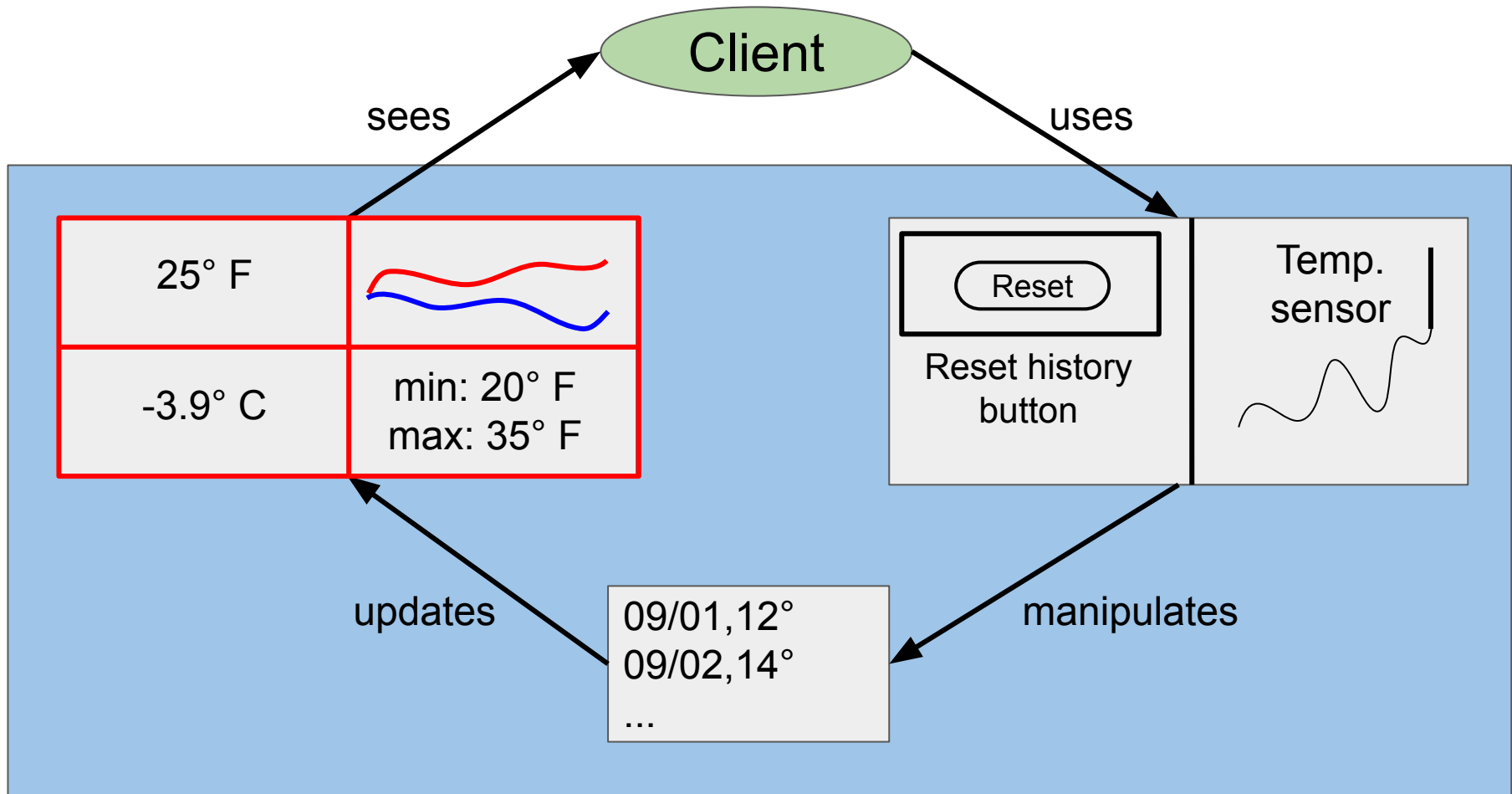
# **OO design patterns**

# A first design problem

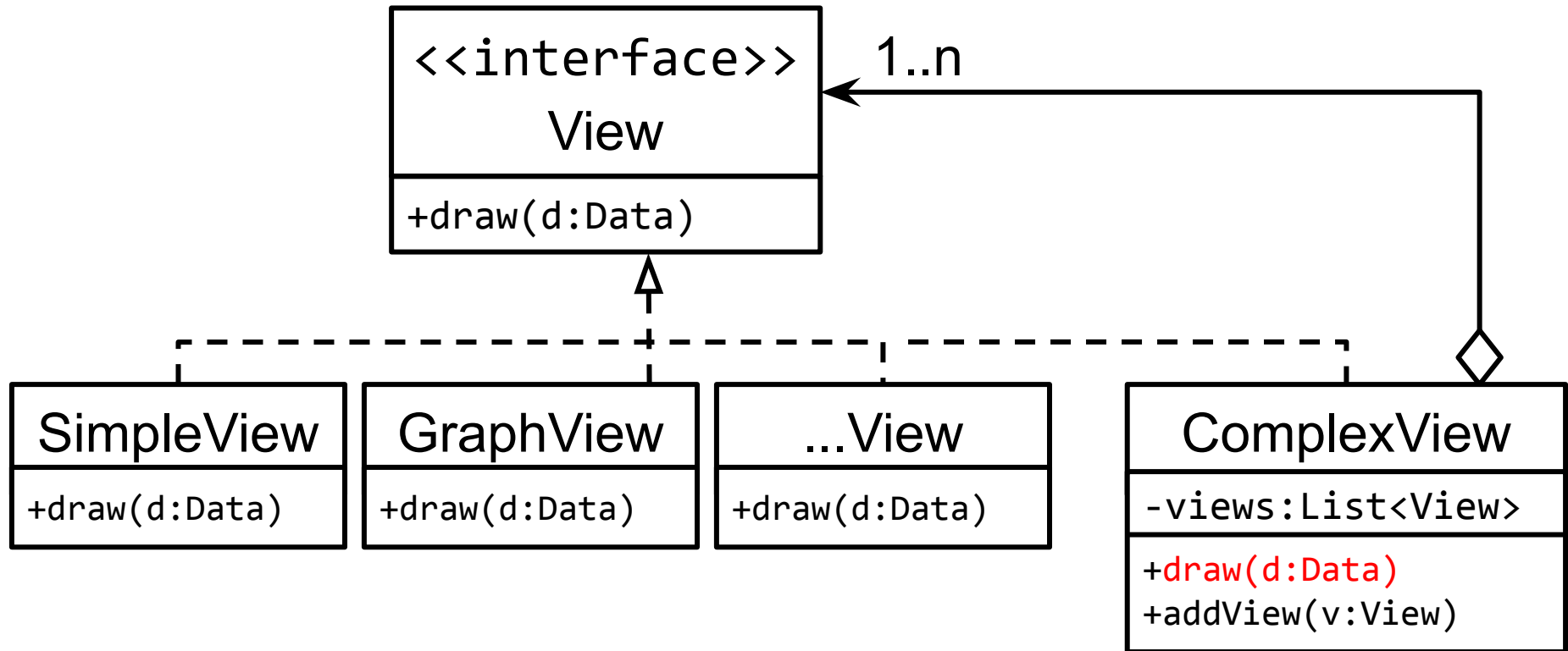
## Weather station revisited

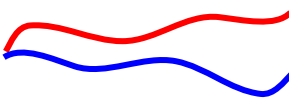


# What's a good design for the view component?



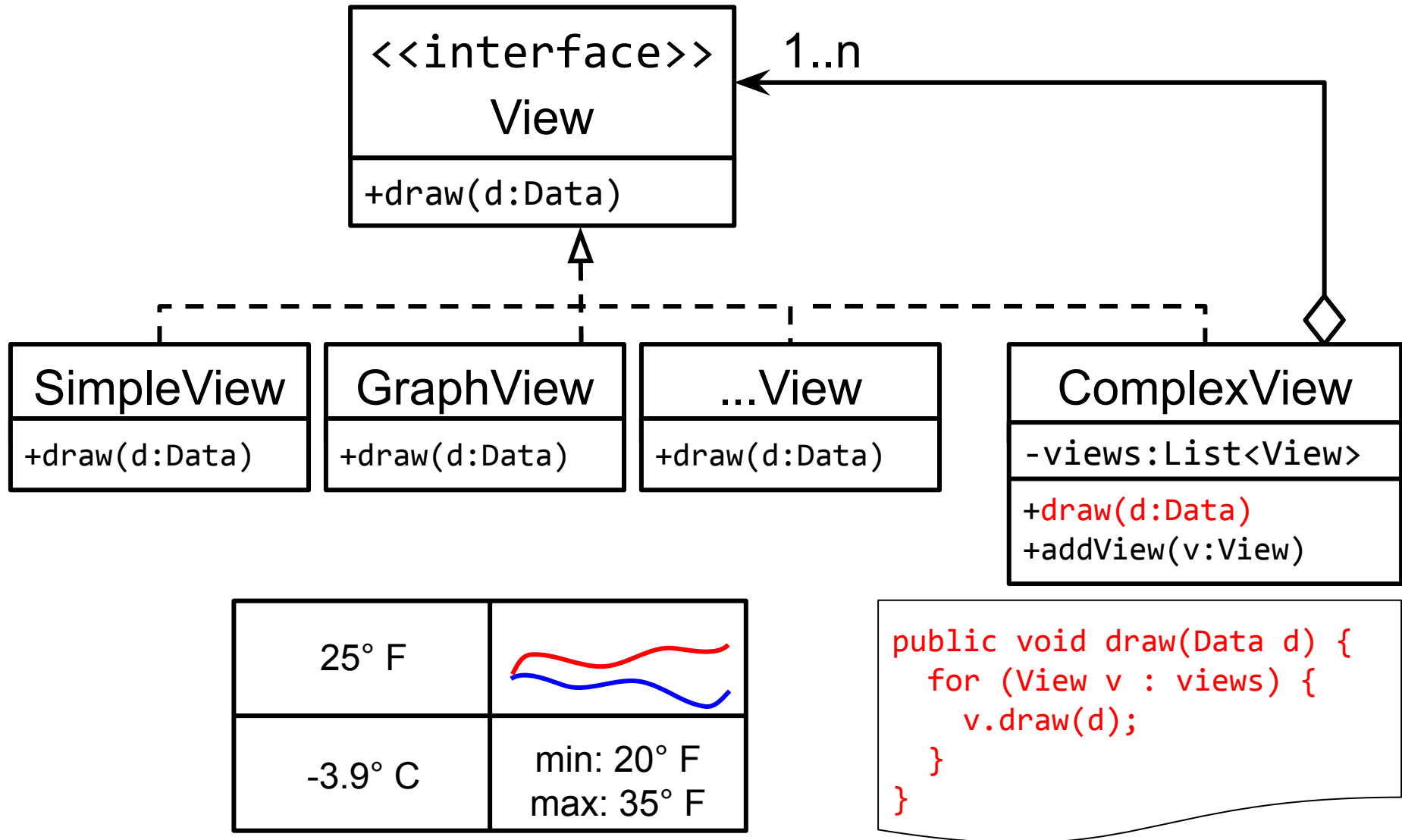
# Weather station: view



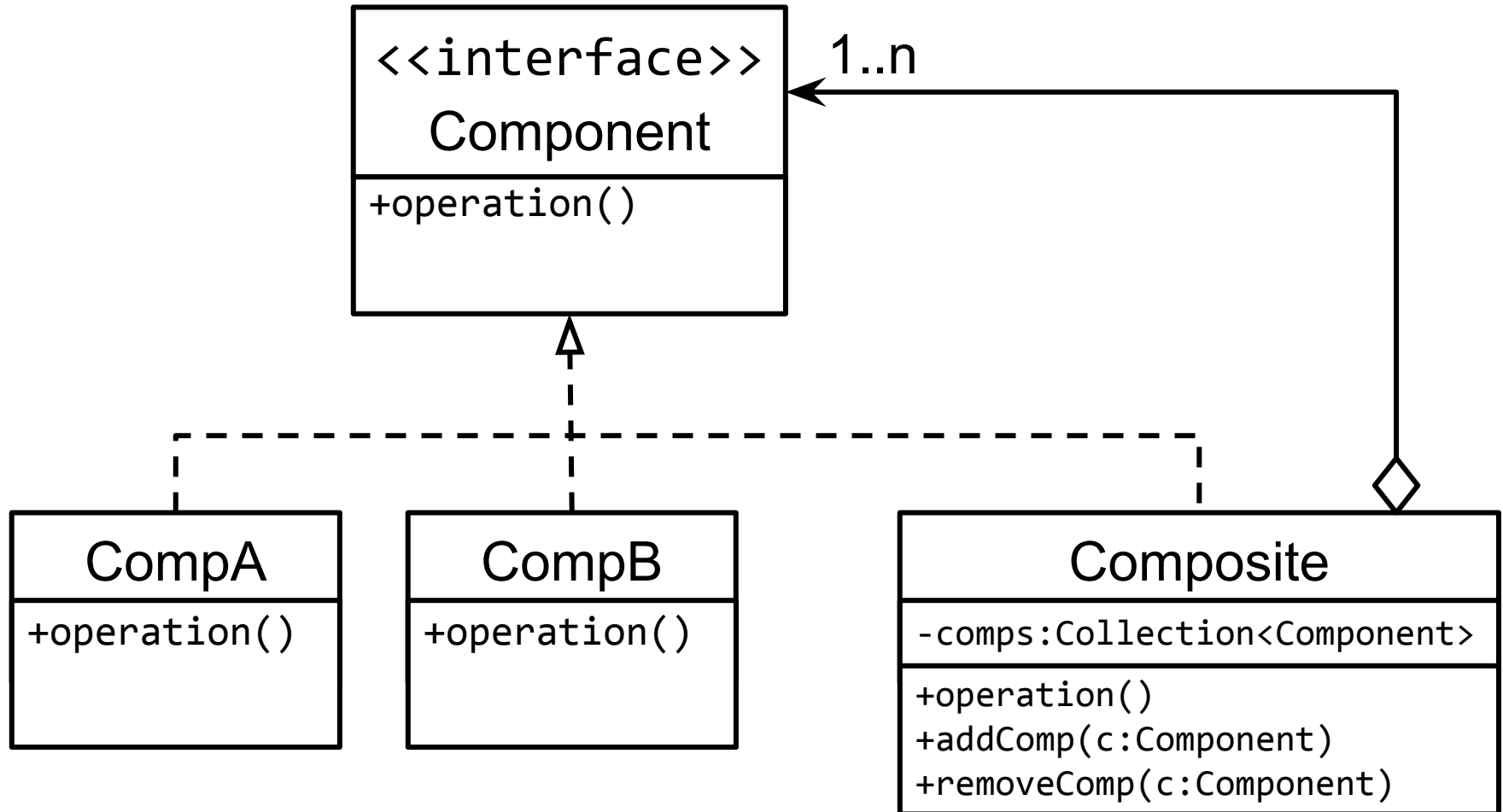
25° F	
-3.9° C	min: 20° F max: 35° F

How do we need to  
implement  
`draw(d:Data)`?

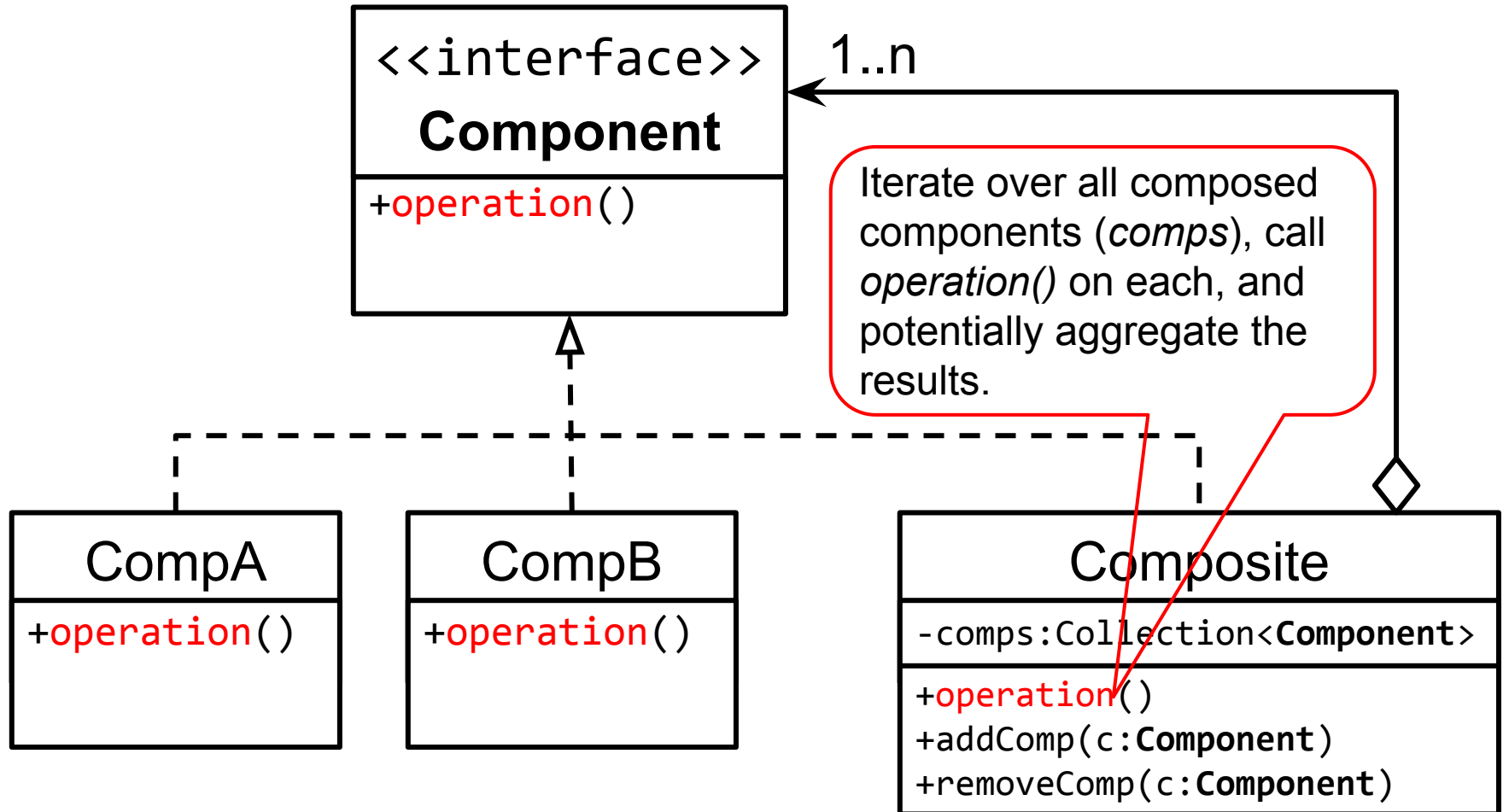
# Weather station: view



# The general solution: Composite pattern



# The general solution: Composite pattern



# What is a design pattern?

- Addresses a recurring, common design problem.
- Provides a generalizable solution.
- Provides a common terminology.



# What is a design pattern?

- Addresses a recurring, common design problem.
- Provides a generalizable solution.
- Provides a common terminology.

## Pros

- Improves communication and documentation.
- “Toolbox” for novice developers.

## Cons

- Risk of over-engineering.
- Potential impact on system performance.

More than just a name for common sense and best practices.

# Design patterns: categories

## 1. Structural

- Composite
- Decorator
- ...

## 2. Behavioral

- Template method
- Visitor
- ...

## 3. Creational

- Singleton
- Factory (method)
- ...

# Design patterns: categories

## 1. Structural

- Composite
- Decorator
- ...

## 2. Behavioral

- Template method
- Visitor
- ...

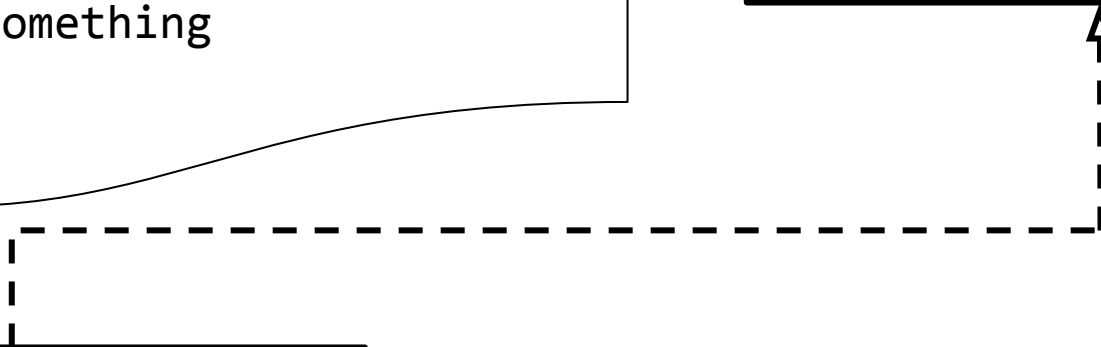
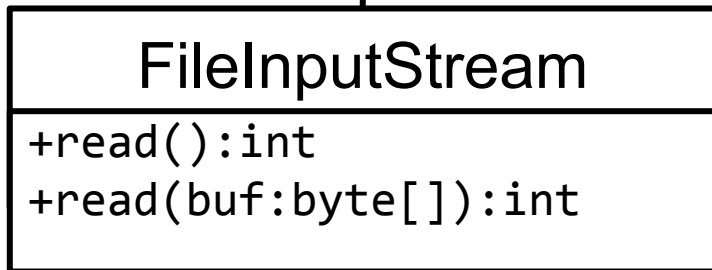
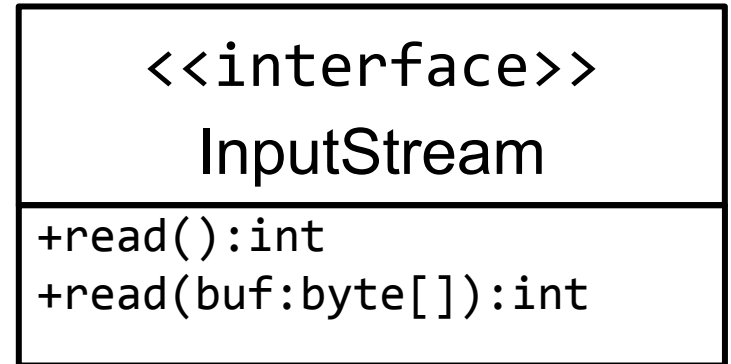
## 3. Creational

- Singleton
- Factory (method)
- ...

# Another design problem: I/O streams

```
...
InputStream is =
    new FileInputStream(...);

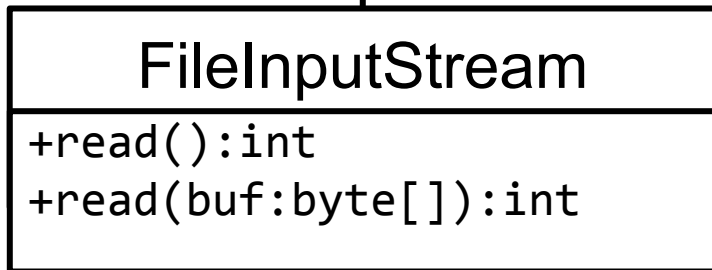
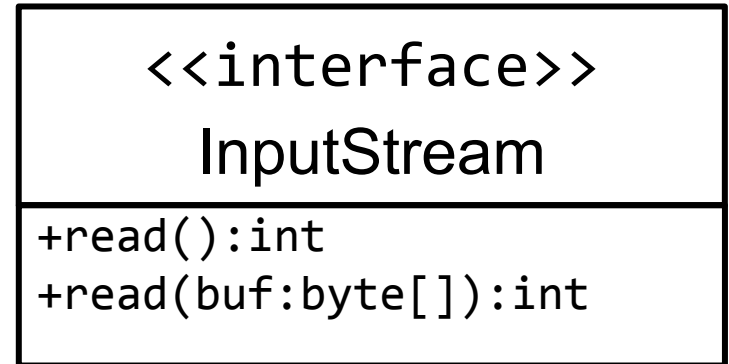
int b;
while((b=is.read()) != -1) {
    // do something
}
...
```



# Another design problem: I/O streams

```
...
InputStream is =
    new FileInputStream(...);

int b;
while((b=is.read()) != -1) {
    // do something
}
...
```

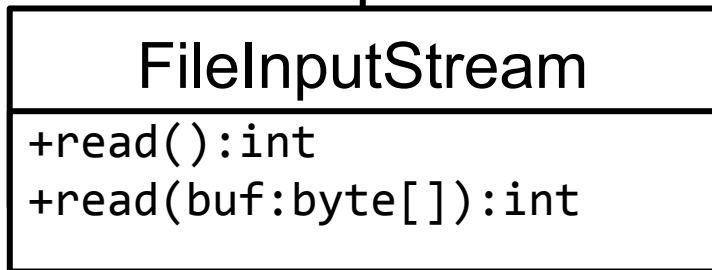
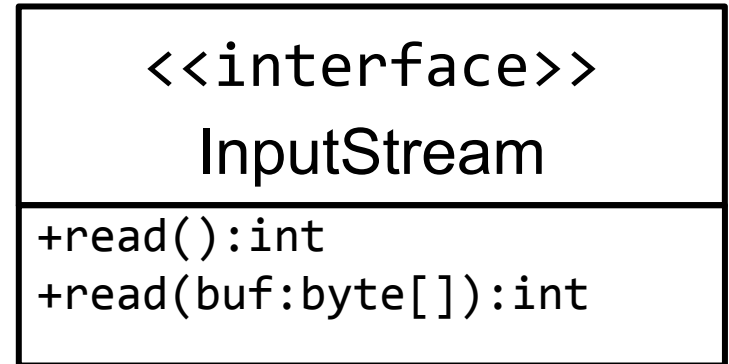


Problem: filesystem I/O is expensive

# Another design problem: I/O streams

```
...
InputStream is =
    new FileInputStream(...);

int b;
while((b=is.read()) != -1) {
    // do something
}
...
```

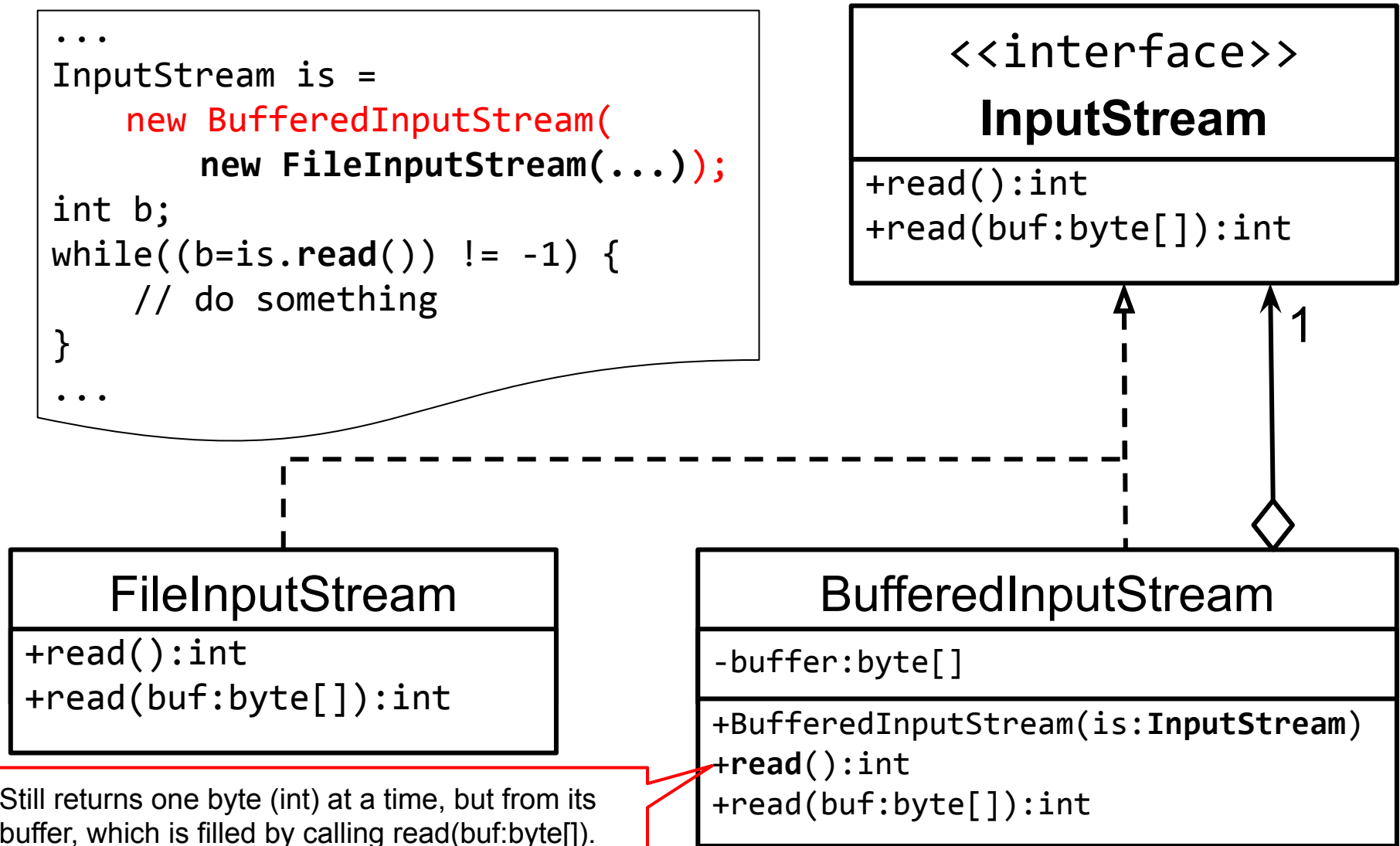


Problem: filesystem I/O is expensive  
Solution: use a buffer!

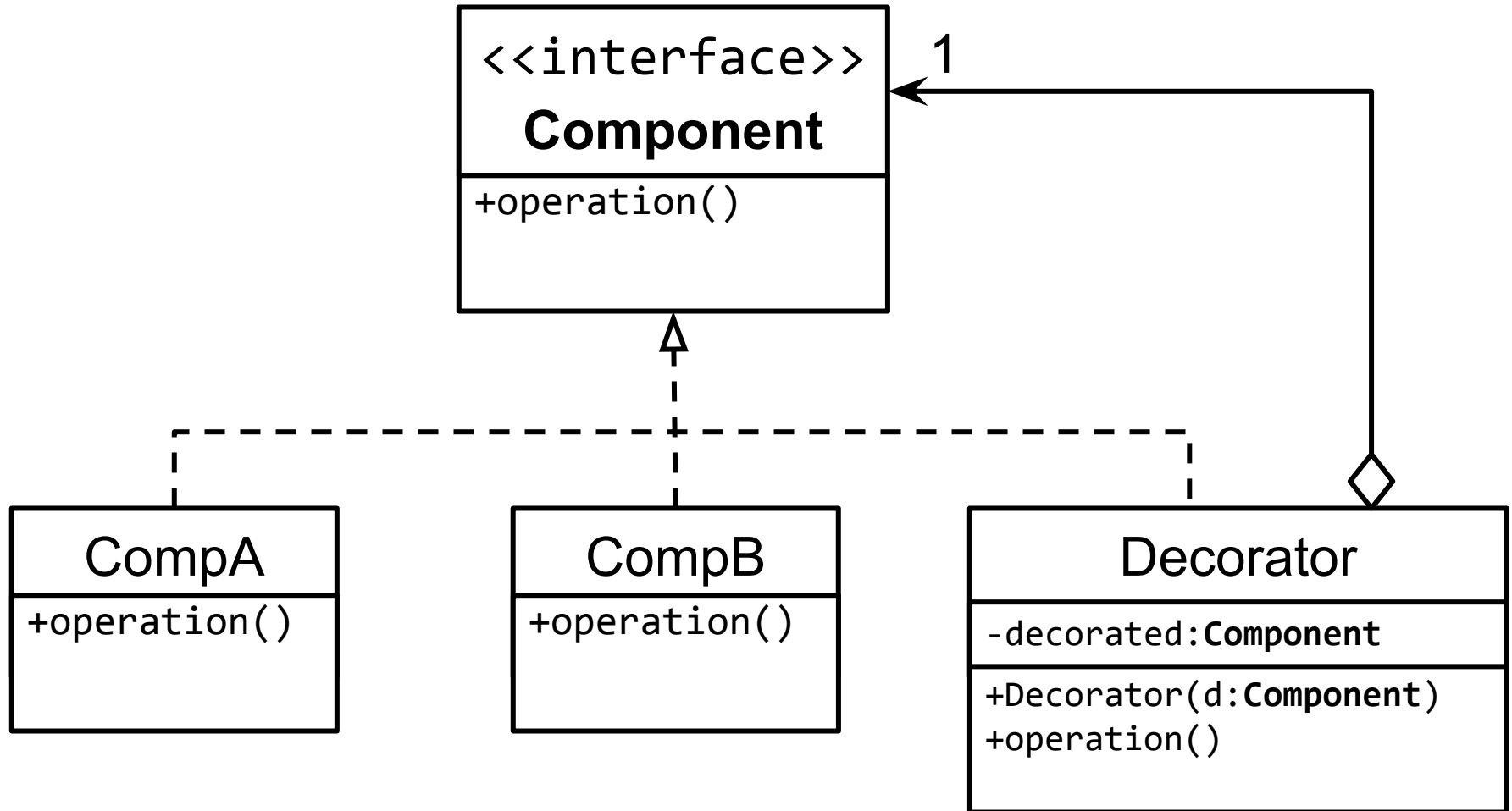
Why not simply implement the buffering in the client or subclass?

# Another design problem: I/O streams

```
...
InputStream is =
    new BufferedInputStream(
        new FileInputStream(...));
int b;
while((b=is.read()) != -1) {
    // do something
}
...
```



# The general solution: Decorator pattern





# Composite vs. Decorator

