

# Leidl 扩充的数据结构、动态有序统计和区间树

augmenting data structures

normally, rather than designing data structures from scratch,

you tend to take existing data structures and build your functionality into them.

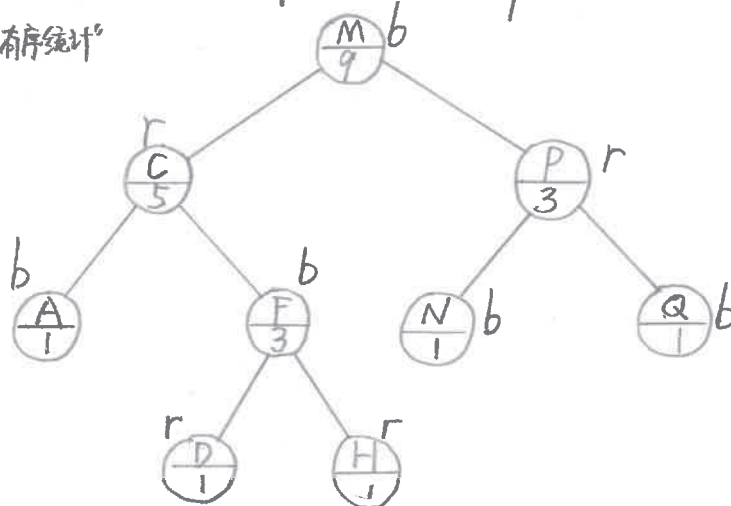
dynamic order statistics

OS-Select( $i$ ): return the  $i$ -th smallest item in dynamic set

OS-Rank( $x$ ): return the rank of  $x$  in the sorted order of dynamic set

the basic idea is to keep the sizes of subtrees in the nodes of a red-black tree

“order statistics 有序统计”



(record the subtree sizes in the red-black tree)

$x.size = x.left.size + x.right.size + 1$  (= the rank of  $x$ )

Trick: sentinel (标记法), 即 dummy record (伪记录) for nil (nil.size = 0)  
根据这个, 开始写 OS-Select( $i$ ) 的代码。

OS-Select( $x, i$ ) // the  $i$ -th smallest in the subtree rooted at  $x$

$k \leftarrow x.left.size + 1$  //  $k = \text{rank}(x)$

if  $i = k$  then return  $x$

if  $i < k$  then return OS-Select( $x.left, i$ )

else return OS-Select( $x.right, i - k$ )

Question: why not just let nodes keep its ranks themselves?

Answer: 难以维护。比如插入一个最小的元素, 所有的记录都要被修改。

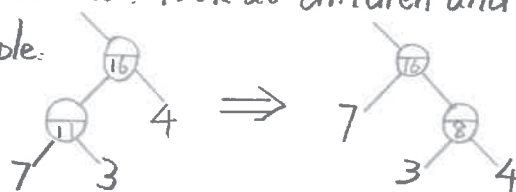
modifying ops: insert, delete

strategy: update subtree sizes when inserting and deleting, ( $O(\lg n)$  time)

but must handle rebalancing

- r-b color changes: no effect on the size of subtrees
- rotations: look at children and fix up in  $O(1)$  time

Example:



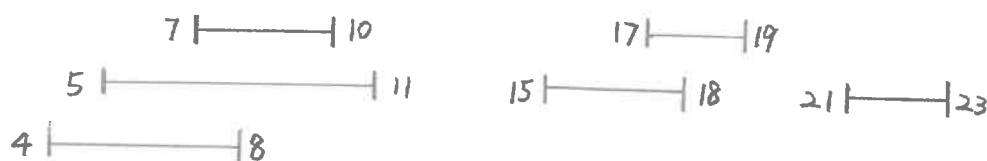
## data-structure augmentation

methodology: (Ex. OS-trees)

1. choose an underlying data structure (RB-tree)
  2. determine what additional information we wish to maintain in the data-structure (subtree sizes)
  3. verify that the information can be maintained for the modifying operations
  4. develop new operations that use the information you stored (OS-Select, OS-Rank)
- usually, must play with interactions between steps

Example: interval trees

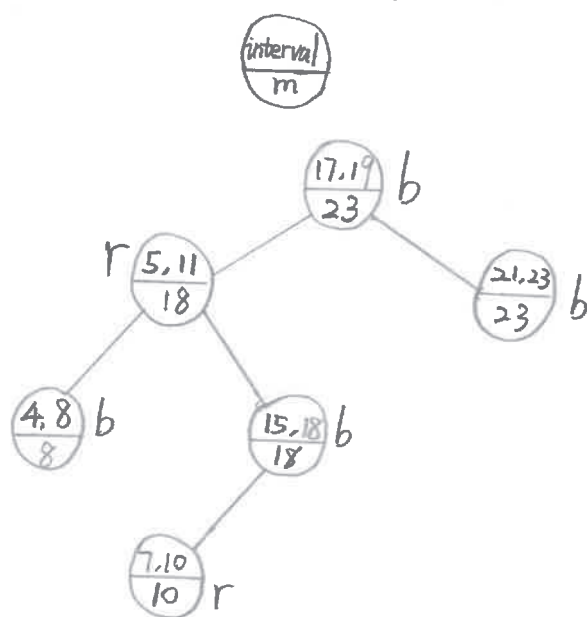
maintain a set of intervals, e.g. time intervals



say  $i = [7, 10]$ ,  $i.\text{low} = 7$ ,  $i.\text{high} = 10$

目标 (Query): 给定一个区间, 查询集合里所有与给定区间发生重合的区间有哪些。

1. 选择红黑树, 选择 interval 的 lower endpoint 作为关键字。
2. 在一个结点里存储这个结点的子树的最大值  $m$



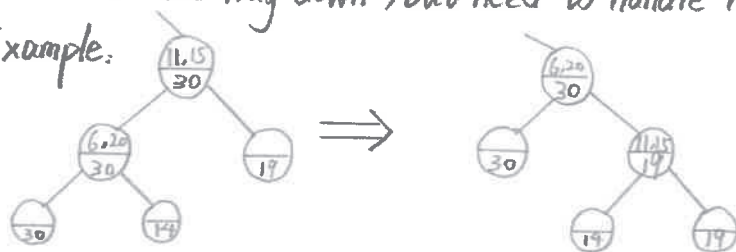
$$m = \max \{ x.\text{high}, x.\text{left}.m, x.\text{right}.m \}$$

3. modifying operations

- insert ( $O(\lg n)$  time)

fix  $m$ 's on the way down, but need to handle rotations

Example:



- delete

· 留作习题答案略

#### 4. new operations

Interval-Search ( $i$ ) // find an interval that overlaps  $i$

$x \leftarrow \text{root}$

while  $x \neq \text{nil}$  and ( $i.\text{low} > x.\text{interval}.\text{high}$  or  $x.\text{interval}.\text{low} > i.\text{high}$ )

do //

if  $x.\text{left} \neq \text{nil}$  and  $i.\text{low} \leq x.\text{left}.m$

then  $x \leftarrow x.\text{left}$

else

then  $x \leftarrow x.\text{right}$

return  $x$

Time =  $O(\lg n)$

to list all overlaps,

“拿到一个就删一个，直到拿完，最后再放回去”  $O(k \lg n)$

“输出敏感：时间与输出数量有关”

#### Correctness Analysis

Theorem: let  $L = \{i' \in x.\text{left}\}$ ,  $R = \{i' \in x.\text{right}\}$ ,

if search goes right, then  $\{i' \in L, i' \text{ overlaps } i\} = \emptyset$

if search goes left, then  $\{i' \in L, i' \text{ overlaps } i\} = \emptyset$

$\Rightarrow \{i' \in R, i' \text{ overlaps } i\} = \emptyset$

proof: suppose search goes right,

if  $x.\text{left} = \text{nil}$ , done since  $L = \emptyset$

otherwise,  $i.\text{low} > x.\text{left}.m$

no other intervals in  $L$  has a larger  <sup>$= j.\text{high}$  for some  $j \in L$</sup>  endpoint than  $j.\text{high}$

therefore,  $\{i' \in L, i' \text{ overlaps } i\} = \emptyset$

suppose search goes left, and  $\{i' \in L, i' \text{ overlaps } i\} = \emptyset$

then  $i.\text{low} \leq x.\text{left}.m = j.\text{high}$  for some  $j \in L$

since  $j \in L$  and  $j$  doesn't overlap  $i$

$\Rightarrow i.\text{high} < j.\text{low}$

$\therefore \forall i' \in R, j.\text{low} \leq i'.\text{low}$

$\therefore \{i' \in R, i' \text{ overlaps } i\} = \emptyset$

Q.E.D.