

## Lec 14 竞争性分析、自组织表

### self-organizing lists

list  $L$  of  $n$  elements

- operation  $\text{Access}(x)$  costs  $\text{rank}(x) = \text{distance of } x \text{ from the head of the list}$
- $L$  can be reordered by transposing adjacent elements, the cost is 1

Example:



$\text{Access}(14). \text{cost} = 4$

$\text{Transpose}(3, 50). \text{cost} = 1$

### Def

a sequence  $S$  of operations is provided one at a time,  
for each operation, an online algorithm must execute the operation immediately,  
offline algorithm may see all of  $S$  in advance

Goal:

to minimize the total cost  $C_A(S)$

### worst-case analysis

adversary always accesses tail element of  $L$  -  $C_A(S) = \Omega(|S| \cdot n)$  if online

### average-case analysis

suppose element  $x$  is accessed with probability  $p(x)$ ,

$$E[C_A(S)] = \sum_{x \in L} p(x) \cdot \text{rank}(x),$$

which is minimized when  $L$  is sorted in decreasing order with respect to  $p$

### Heuristic

keep count of the number of times each element is accessed,  
and maintain the list in order of decreasing count

### Practice

"move-to-front" heuristic

after accessing  $x$ , move  $x$  to head of list using transposes,  $\text{cost} = 2 \times \text{rank}(x)$   
respond well to locality in  $S$

## Competitive Analysis

Def: an on-line algorithm  $A$  is  $\alpha$ -competitive if there exists a constant  $k$ , such that for any sequence  $S$  of operations, the cost of  $S$  using algorithm  $A$

$$C_A(S) \leq \alpha \cdot C_{\text{opt}}(S) + k$$

"optimal off-line algorithm"

Theorem: Move-To-Front is 4-competitive for self-organizing lists  
proof:

let  $L_i$  be MTF's list after the  $i$ -th access

let  $L_i^*$  be OPT's list after the  $i$ -th access

let  $C_i$  be MTF's cost for the  $i$ -th operation, equal  $2 \times \text{rank}_{L_{i-1}}(x)$

let  $C_i^*$  be OPT's cost for the  $i$ -th operation, equal  $\text{rank}_{L_{i-1}^*}(x) + \underbrace{t_i}_{\text{次置换}}$

define the potential function  $\phi: \{L_i\} \rightarrow \mathbb{R}$  by:

$$\phi(L_i) = 2 \cdot |\{(x, y) : x <_{L_i} y \text{ and } y <_{L_i^*} x\}|$$

"MTF表与OPT表的不同点"

$$= 2 \cdot \# \text{ inversions}$$

note:  $\phi(L_i) \geq 0, \forall i$

$\phi(L_0) = 0$  if MTF and OPT start with same list

how much does  $\phi$  change from one transpose?

a transpose creates or destroys one inversion

$$\text{so } \Delta\phi = \pm 2$$

以下证明略(看不懂)