

# Lec 16 贪心算法、最小生成树

## Graphs (review)

Digraph  $G = (V, E)$

- set  $V$  of vertices (singular: vertex)
- set  $E \subseteq V \times V$  of edges

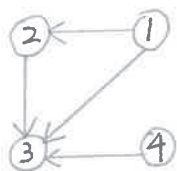
Undirected Graph:  $E$  contains unordered pairs

$$|E| = O(|V|^2)$$

if  $G$  is connected (连通的),  $|E| \geq |V| - 1$

## Graph Representations

- adjacency matrix of  $G = (V, E)$ , where  $V = \{1, 2, \dots, n\}$ , is the  $n \times n$  matrix  $A$  given by  $A[i, j] = \begin{cases} 1 & \text{if } (i, j) \in E \\ 0 & \text{if } (i, j) \notin E \end{cases}$



	1	2	3	4
1	0	1	1	0
2	0	0	1	0
3	0	0	0	0
4	0	0	1	0

$\Theta(|V|^2)$  storage  $\Rightarrow$  dense representation

- adjacency list of  $v \in V$  is the list  $\text{Adj}[v]$  of vertices adjacent to  $v$

$$\text{Adj}[1] = \{2, 3\}$$

$$\text{Adj}[2] = \{3\}$$

$$\text{Adj}[3] = \{\}$$

$$\text{Adj}[4] = \{3\}$$

sparse!

$$|\text{Adj}[v]| = \begin{cases} \text{degree}(v), & \text{undirected} \\ \text{out-degree}(v), & \text{directed} \end{cases}$$

## Handshaking Lemma (undirected graph)

$$\sum_{v \in V} \text{degree}(v) = 2|E|$$

结点也占据存储

for undirected graphs  $\Rightarrow$  adjacency list representation uses  $\Theta(|V| + |E|)$  storage, it is basically the same thing asymptotically for digraphs

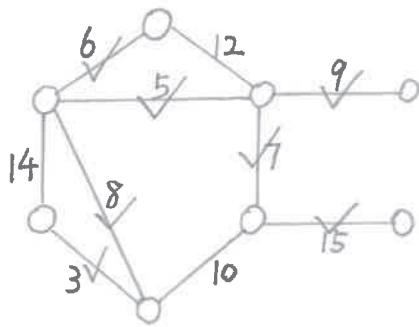
## Minimum Spanning Trees

input: connected undirected graph  $G=(V, E)$  with weight function  $w: E \rightarrow \mathbb{R}$   
for simplicity, assume all edge weights are distinct

output: a spanning tree  $T$  (connects all the vertices) at minimum weight

$$W(T) = \sum_{(u,v) \in T} w(u,v)$$

Example:



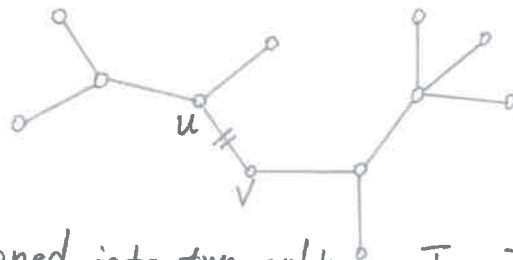
## Optional Substructure

MST  $T$ :

(other edges in the graph will not be shown)



remove an arbitrary edge  $(u,v)$  in the MST  $T$



then  $T$  is partitioned into two subtrees  $T_1, T_2$

Theorem:

$T_1$  is MST for  $G_1=(V_1, E_1)$ ,

$G_1$  is a subgraph of  $G$  induced by the vertices in  $T_1$ , i.e.

$V_1 = \text{vertices in } T_1$ ,  $E_1 = \{(x,y) \in E, x,y \in V_1\}$

similarly for  $T_2$

proof: Cut & Paste

$$w(T) = w(u, v) + w(T_1) + w(T_2)$$

if  $T_1'$  is better than  $T_1$  for  $G_1$ ,

then  $T' = \{(u, v)\} \cup T_1' \cup T_2$  would be better than  $T$  for  $G$  contradiction!

Overlapping Subproblems?

YES!

Can we use Dynamic Programming?

YES!

but it turns out that MST exhibits a powerful property that, we call, the hallmark for greedy algorithms

Hallmark for Greedy Algorithms (这是可应用某算法的证据)

greedy-choice property:

a locally optimal choice is globally optimal

Theorem:

let  $T$  be MST of  $G=(V, E)$ , and let  $A \subseteq V$

suppose  $(u, v) \in E$  is the least weight edge connecting  $A$  to  $V-A$

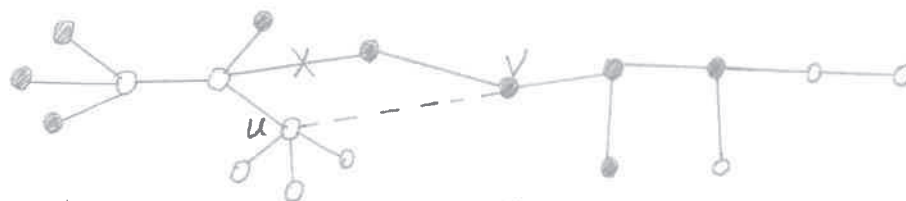
then,  $(u, v) \in T$

Proof:

suppose  $(u, v) \notin T$

Cut & Paste

$T$ :



consider unique simple path from  $u$  to  $v$  in  $T$ ,  
swap  $(u, v)$  with the first edge on this path that connects a vertex in  $A$  to a vertex in  $V-A$

图中的'X'边

a lower weight spanning tree than  $T$ , resulting a contradiction

# Prim's Algorithm

idea: to maintain  $V-A$  as a priority queue  $Q$ ,  
to key each vertex in  $Q$  with the weight of the least-weight edge  
(vt. 赋值)  
connecting it to a vertex in  $A$

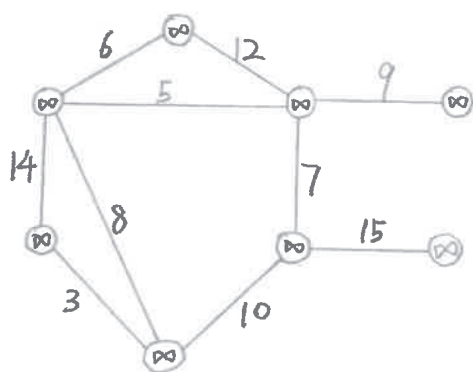
pseudo-code:

$Q \leftarrow V \quad (A \leftarrow \emptyset)$   
 $v.key \leftarrow \infty, \forall v \in V$   
 $s.key \leftarrow 0$  for arbitrary  $s \in V$

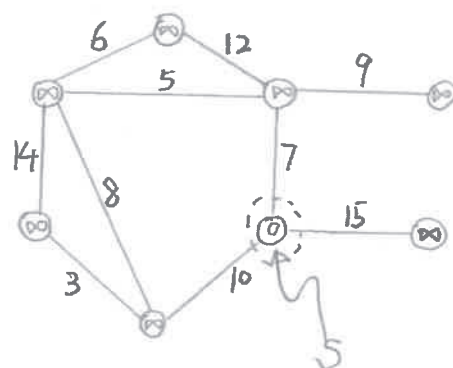
while  $Q \neq \emptyset$   
do  $u \leftarrow \text{Extract-Min}(Q)$   
for each  $v \in \text{Adj}[u]$   
do if  $v \in Q$  and  $w(u,v) < v.key$   
then  $v.key \leftarrow w(u,v)$   
 $T[v] \leftarrow u$  (隐含) 一个降低键值的操作)

at the end,  $\{(v, \pi[v])\}$  forms MST

Example:

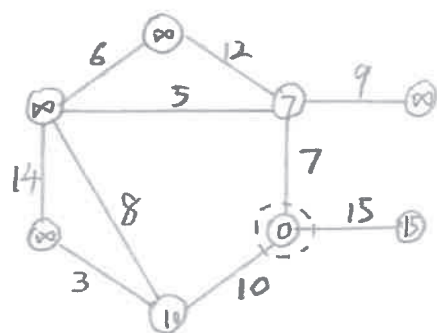


select  $S$  →

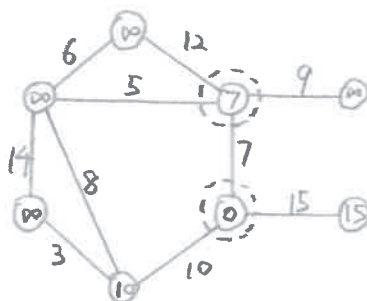


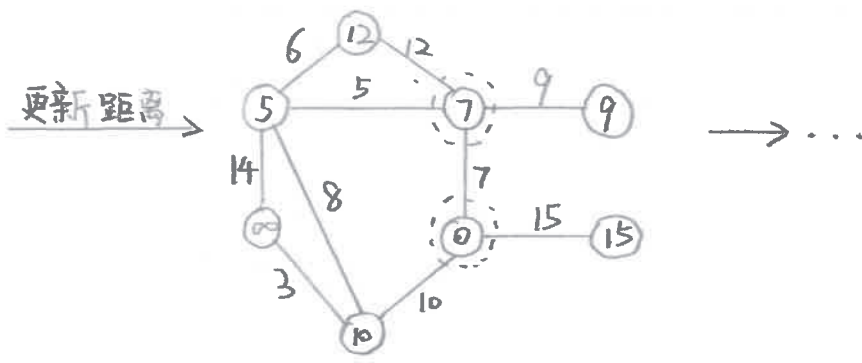
(∞) 表示已加入  $A$

更新距离 →



取出“最便宜”的一个 →





Analysis

handshaking  $\Rightarrow \Theta(E)$  implicit decrease keys

$$\text{time} = \Theta(|V| \cdot T_{\text{Exact-Min}} + |E| \cdot T_{\text{Decrease-Keys}})$$

$$= \begin{cases} O(|V|^2), & \text{array} \leftarrow \text{dense 用这个} \\ O(|E| \lg |V|), & \text{binaryheap} \leftarrow \text{sparse 用这个} \end{cases}$$