## ECS 7001 - NN & NLP

## Lab 3: Skip-gram Model for Word2Vec

#### February 4th

There are two Word2Vec architectures for creating word embeddings: the Continuous Bag of Words (CBOW) architecture and the Skip Gram architecture. In this lab, we will obtain our own word embeddings by training a skip-gram neural network model. Some of the code for this will be supplied here but in some sections, you will be required to implement the code yourself. Hints and tips will be provided.

The skip gram model is essentially a feedforward neural network with one hidden layer, trained to predict the context word given a target word. There are two ways to train this model: using hierarchical softmax function and/or by negative sampling. In this lab, we will be training using negative sampling. To train with negative sampling, the model is cast as a binary classification problem. The dataset would consist of positive and negative examples of the form:

Inputs	labels
(target_word, word_in_its_context)	1
(target_word, word_not_in_its_context)	0

created from the sentences in a corpus. As an example, consider the sentence: "*The quick brown fox jumped over the lazy dog*". For the target word 'fox' and a window size of 2, training examples drawn from this sentence would be:

Inputs	Labels
(fox, quick)	1
(fox, brown)	1
(fox, the)	0
(fox, jumped)	1
(fox, lazy)	0
(fox, dog)	0
(fox, over)	1

Consequently, the model is trained to predict 1 when a word is in the context of the target word (i.e. in the window of the target word) and 0 otherwise. The model learns the statistics of the given corpus: the frequency with two words appear together would determine how similar they are

(similarity is usually measured using cosine distance). After training, the word embeddings are gotten from the hidden layer weights.

### 0. Prepare the environment

Open Google Colab or activate the virtual environment you've created

### 1. Downloading the Corpus

Our training data will be comprised of 3 documents from the Gutenberg corpus. These documents can be loaded using nltk using the following instructions:

```
>>> import nltk
>>> nltk.download('punkt')
>>> nltk.download('gutenberg')
>>> from nltk.corpus import gutenberg
>>> austen = gutenberg.sents('austen-sense.txt') + gutenberg.sents('austen-emma.txt') +
gutenberg.sents('austen-persuasion.txt')
```

#### Sanity check:

This training corpus contains 16498 sentences. Use the line that follows to ensure that your code has the same number of lines.

```
>> print(len(austen))
16498
```

### 2. Preprocessing the Training Corpus

In this section, you will write code to remove special characters, empty strings, digits and stopwords from the sentences and put all the words into lower cases.

#### **Hints:**

• The corpus is a list of lists. Each inner list contains all the words in the sentence at that position. Eg:

```
>> austen[0] = ['[', 'Sense', 'and', 'Sensibility', 'by', 'Jane', 'Austen', '1811', ']']
```

- the python <string> library contains a variable "punctuation" that is a string containing all the special characters.
- You might need to write a function that takes the corpus as an argument and returns the preprocessed corpus of the same data type.

**Tip:** You can also return a list of strings (each sentence a string) and make use of keras text preprocessing library to create the vocabulary and training data in the next section.

You can also consider using keras text processing library to preprocess the text <a href="https://keras.io/preprocessing/text/">https://keras.io/preprocessing/text/</a>

#### Sanity check:

You can test the function with the following lines to see if printed outputs are similar to the ones below:

```
>>> print('Length of processed corpus:', len(normalized_corpus))
Length of processed corpus: 13927
```

The exact figure depends on how you preprocessed your corpus.

```
>>> print('Processed line:', normalized_corpus[10])
```

Processed line: therefore succession norland estate really important sisters fortune independent might arise father inheriting property could small

The above command returns a sentence, either as a string (as above) or as a list of strings ['therefore', 'succession', 'norland', 'estate', 'really', 'important', 'sisters', 'fortune', 'independent', 'might' ....] depending on how you preprocessed your corpus

### 3. Creating the Corpus Vocabulary and Preparing the Dataset.

Firstly, you will write code to create 3 variables:

- a. <word2idx>: a lookup table (dictionary) of all the unique words and indices assigned to them (count from 1. It is good practice in Deep Learning and NLP to save the 0 index for **padding** as you will see in the later labs).
- b. <idx2word>: a lookup table of words indexed by their unique indices.
- c. <sents\_as\_ids>: The input to the model cannot be text, rather, each sentence needs now be a list of indices. As such, <word\_ids> is a list of lists, each inner list a list of indices of the words in that sentence in order.

#### Hint:

Keras has a text processing library that can be used to create the <word2idx> variable. >> from keras.preprocessing import text

Use help(text) to find out more about this model or go to: <a href="https://keras.io/preprocessing/text/">https://keras.io/preprocessing/text/</a>. It can also be used to preprocess the text.

After you have created these variables, set the <vocab\_size> and <embed\_size> variables with the following commands:

```
>>> vocab_size = len(word_ids) + 1 # 1 was added for zero padding >>> embed_size = 100 # We are creating 100D embeddings.
```

#### Sanity Check:

Run the following lines of code:

```
>>> print('Number of unique words:', len(word_ids))
```

```
Number of unique words: 10098
```

This number might be different depending on how you how you # preprocessed your corpus

```
>>> print('\nSample word2idx: ', list(word2idx.items())[:10])
```

```
Sample word2idx: [('talent', 2431), ('appealed', 4247), ('resist', 1602), ('gravity', 2828), ('correspond', 4457), ('indoors', 7669), ('kissed', 3430), ('going', 113), ('illegitimacy', 5880), ('sickness', 2947)]
```

The items are randomly ordered but the command should give you (word, index pairs)

```
>>> print('\nSample idx2word:', list(idx2word.items())[:10])
```

```
Sample idx2word: [(1, 'could'), (2, 'would'), (3, 'mr'), (4, 'mrs'), (5,
'must'), (6, 'said'), (7, 'one'), (8, 'much'), (9, 'miss'), (10, 'every')]
```

#### >>> print('\nSample normalized corpus:', normalized\_corpus[:3])

Sample normalized corpus: ['sense sensibility jane austen', 'family dashwood long settled sussex', 'estate large residence norland park centre property many generations lived respectable manner engage general good opinion surrounding acquaintance']

This would return a list of 3 sentences. depending on how you preprocessed your output, each sentence would either be a string (as above) or a tokenized sentence' like so:

```
[['sense', 'sensibility', 'jane', 'austen'], ['family', 'dashwood',
'long', settled', 'sussex'], ['estate', 'large', 'residence', 'norland',
'park', 'centre', 'property', 'many', 'generations', 'lived',
'respectable', 'manner', 'engage', 'general', 'good', 'opinion',
'surrounding', acquaintance']]
```

#### >>> print('\nAbove sentence as a list of ids:', sents\_as\_ids[:3])

```
Above sentence as a list of ids: [[305, 1379, 75, 4299], [108, 101, 57, 333, 2588], [1022, 405, 1627, 597, 554, 2784, 1023, 66, 4300, 512, 768, 160, 1164, 199, 15, 190, 3044, 147]]
```

This output might differ depending on how you preprocessed your corpus but should return 3 lists, each one a list of the indices corresponding to the words in each sentence in <normalized\_corpus[:3]>

### 4. Generating training instances

 $(sense (305), jane, (75)) \rightarrow 1$ 

(jane (75), sensibility, (1379)) -> 1

In this section we would generate the training examples of the format shown in the opening statement using the keras skip-gram generator <a href="https://keras.io/preprocessing/sequence/">https://keras.io/preprocessing/sequence/</a>

```
>>> from keras.preprocessing.sequence import skipgrams
>>> skip grams = [skipgrams(sent, vocabulary size=vocab size, window size=5) for sent in
sents as ids]
Sanity Check:
To view the skip_grams for the first sentence in the training data, run the lines of code below.
# view sample skip-grams
>>> pairs, labels = skip_grams[0][0], skip_grams[0][1]
>>> for i in range(len(pairs)):
      print('({:s} ({:d}), {:s} ({:d})) -> {:d}'.format(
      # the first word and its index
      idx2word[pairs[i][0]], pairs[i][0],
      # the second word and its index
      idx2word[pairs[i][1]], pairs[i][1],
      # the label
      labels[i]))
(sense (305), par, (8748)) \rightarrow 0
(sensibility (1379), name, (229)) -> 0
(austen (4299), perpetuated, (9117)) -> 0
(jane (75), classing, (8582)) -> 0
(sense (305), baldwin, (4283)) -> 0
(sensibility (1379), sense, (305)) -> 1
(austen (4299), jane, (75)) \rightarrow 1
(austen (4299), sense, (305)) -> 1
(sense (305), austen, (4299)) \rightarrow 1
(sensibility (1379), uniting, (3978)) -> 0
(austen (4299), work, (679)) -> 0
(austen (4299), porker, (8131)) -> 0
(jane (75), sense, (305)) \rightarrow 1
(sense (305), call, (353)) \rightarrow 0
(sensibility (1379), austen, (4299)) -> 1
(sensibility (1379), jane, (75)) -> 1
(austen (4299), sensibility, (1379)) -> 1
(sense (305), sensibility, (1379)) -> 1
(jane (75), sanguinely, (8560)) -> 0
(sensibility (1379), skilful, (9156)) -> 0
```

```
(jane (75), austen, (4299)) -> 1 (jane (75), infants, (7169)) -> 0
```

### 5. Building the Skip-gram Neural Network Architecture

In this section we would be building the skip-gram neural network architecture using the Keras Functional API and the Sequential model introduced in the previous lab. <a href="https://keras.io/getting-started/functional-api-guide/">https://keras.io/getting-started/functional-api-guide/</a>

```
>>> from keras.layers import Dot, Input
>>> from keras.layers.core import Dense, Reshape
>>> from keras.layers.embeddings import Embedding
>>> from keras.models import Model
>>> from keras.utils import plot_model
```

The skip-gram model is two input one output feedforward neural network with one hidden layer and this will be built over a series of steps.

#### A. The first step is to initialize and transform the first input using the following lines of code:

```
# The input is an array of target indices e.g. [2, 45, 7, 23,...9]
>>> target_word = Input((1,), dtype='int32')

# feed the words into the model using the Keras <Embedding> layer. This is the hidden layer from whose weights we will get the word embeddings.
>>> target_embedding = Embedding(vocab_size, embed_size, name='target_embed_layer', embeddings_initializer='glorot_uniform', input_length=1)(target_word)
```

# at this point, the input would of the shape (num\_inputs x 1 x embed\_size) and has to be flattened or reshaped into a (num\_inputs x embed\_size) tensor.

```
>>> target_input = Reshape((embed_size, ))(target_embedding)
```

#### B. Write similar code for the 'context\_word' input.

#### C. Merge the inputs.

Recall, each training instance is a (target\_word, context\_word) combination. Since we are trying to learn the degree of closeness between the two words, the model will compute the cosine distance between the two inputs using the <Dot> layer. <a href="https://keras.io/layers/merge/">https://keras.io/layers/merge/</a>, hence fusing the two inputs into one.

>>> merged\_inputs = Dot(axes=-1, normalize=False)([target\_input, context\_input])

#### D. Pass the merged inputs into sigmoid activated layer

Pass the merged inputs (now a vector with a single number the cosine distance between the two input vectors for each word) into a sigmoid activated neuron. The output of this layer is the output of the model.

**Hint:** Use the <Dense> layer ( <a href="https://keras.io/layers/core/">https://keras.io/layers/core/</a>), with the 'glorot\_uniform' kernel initialization and a 'sigmoid' activation function.

#### E. Initialize the model:

>>> model = Model(inputs=[target\_word, context\_word], outputs=[label]) # label is the output of step D.

**F. Compile the model using the <model.compile> command**. Use Loss = 'mean\_squared\_error', optimizer = 'rmsprop'.

#### Sanity check:

You can visualize the model and the model summary by running the following lines of code. view the model summary

>>> model.summary()

1) 1, 100)	0 0 1009900
1, 100)	1009900
1, 100)	1009900
1, 100)	1009900
100)	0
100)	0
1)	0
1)	2
,	, 100) , 1)

-----

Total params: 2,019,802

Trainable params: 2,019,802 Non-trainable params: 0

You can also visualize the model architecture.

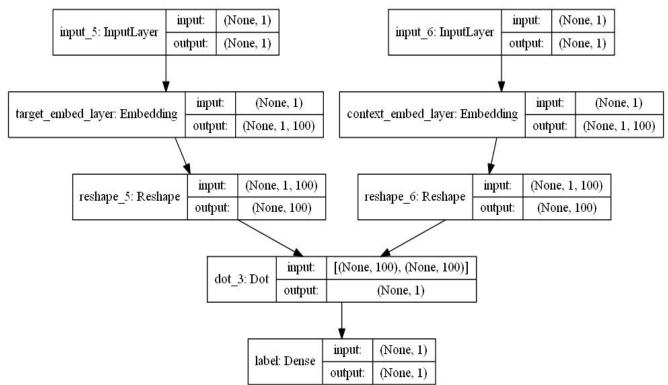
You can use <plot\_model>. First, you have to install graphviz <a href="https://graphviz.readthedocs.io/en/stable/manual.html">https://graphviz.readthedocs.io/en/stable/manual.html</a> and pydot <a href="https://pypi.org/project/pydot/">https://pypi.org/project/pydot/</a>. Then plot it to file using the following lines of code:

>>> from keras.utils import plot\_model

>>> plot\_model(model, to\_file='skipgram\_keras', show\_shapes=True, show\_layer\_names=True, rankdir='TB')

Alternatively, you can visualize it using vis\_utils using the following lines of code:

- >>> from IPython.display import SVG
- >>> from keras.utils import vis\_utils
- >>> SVG(vis\_utils.model\_to\_dot(model, show\_shapes=True, show\_layer\_names=True).create(prog='dot', format='svg'))



### **6.** Training the Model

```
Run the following lines of code to train the model for 5 epochs:
```

The training takes about 10 minutes to run and the print statements should appear in the following format:

The actual value of the loss doesn't have to be exactly as above.

While waiting for the training to complete, you can read this article on the softmax skip-gram implementation. <a href="http://mccormickml.com/2016/04/19/word2vec-tutorial-the-skip-gram-model/">http://mccormickml.com/2016/04/19/word2vec-tutorial-the-skip-gram-model/</a>

- What would the inputs and outputs to the model be?
- How would you use the Keras framework to create this architecture?
- Can you think of reasons why this model is considered to be inefficient?

### 7. Getting the Word Embeddings

The word embeddings are the weights of the target word embedding layer.

>>> word\_embeddings = model.get\_layer('target\_embed\_layer').get\_weights()[0][1:] # Recall that 0 was left for padding

#### Sanity Check:

```
>>> print(word_embeddings.shape)
(10098, 100)
>>> from pandas import DataFrame
```

```
>>> print(DataFrame(weights, index=idx2word.values()).head(10))
                                           3
                                                     4
                                                               5
 could -0.053845 0.078008 0.192627 -0.109420 0.081059 -0.032775 -0.093648
 would 0.067021 -0.293036 -0.043924 -0.022885 0.160918 -0.058537
       -0.029986 -0.027346 0.112609 -0.037861 -0.199847 -0.015855
 mr
                                                                   0.082381
 mrs
        0.001823 0.160674 0.165437 0.182159 0.055967 -0.085066 0.053510
        0.137356 -0.102320 0.085263 -0.066205 -0.047705 -0.040095 0.226901
 must
       -0.027512 -0.069858 0.127058 0.082656 0.053633 -0.121620 -0.164046
        0.033047 -0.113147 0.105907 -0.068891 0.131159 -0.084675 -0.150654
 one
      -0.054012 0.106995 -0.044088
                                     0.029724 0.068506 -0.089523 0.048099
 much
 miss -0.066710 -0.227043 0.246139
                                     0.050581 0.077042 -0.063427 -0.027480
 every -0.038398 0.096737 -0.056688 -0.027508 -0.119196 0.002885 0.217931
              7
                        8
                                 9
                                       ...
                                                     90
                                                               91
                                                                         92
 could 0.120536 -0.075619
                           0.033622
                                               0.020059 -0.115686 0.156078
                                        . . .
 would 0.043619 -0.137241
                           0.062000
                                              -0.053763 -0.250681
                                                                  0.294423
                                        . . . .
       -0.068823 -0.159722 0.144301
                                              0.230267 -0.113654 0.344071
 mr
                                       ...
                                              0.264131 0.063224 0.440879
 mrs
       -0.069978 -0.204509 0.150778
                                       ...
 must
       -0.119852 0.107529 -0.111287
                                              -0.011106 0.031511 0.305808
                                       ...
       -0.101632 0.113226 -0.035657
                                              -0.056466 -0.042309 0.243528
 said
                                       . . .
        0.023622 0.075587 0.123809
                                               0.001648 0.062925 0.120780
 one
                                       . . .
 much
      -0.012249 0.114400 0.091373
                                               0.012350 0.068399 0.094535
                                       ...
 miss -0.100172 0.113781 0.072466
                                              -0.023654 -0.164943
                                                                   0.155953
                                       ...
 every 0.160736 -0.158576 -0.194456
                                              -0.096196 0.101962 -0.042990
                                       . . .
              93
                        94
                                 95
                                           96
                                                     97
                                                               98
                                                                         99
 could 0.041663 -0.177343 -0.125847 -0.307792 -0.006311
                                                         0.283965 0.055550
 would -0.008801 0.099036 0.005128 -0.409054 -0.042482 -0.049890 -0.054074
 mr
       -0.152716 0.079066 0.059824 -0.437984 -0.079991 0.086770 0.100775
       -0.097926 -0.099051 0.072806 -0.345828 -0.108707 -0.024807 0.282743
 mrs
        0.087862 0.014571 0.027280 -0.225805 0.065963 -0.065508 -0.105692
 must
        0.012433 0.065090 0.099017 -0.307569 -0.098328 0.066315 -0.070196
 said
       -0.114458 -0.045783 -0.023736 -0.123718 -0.022160 -0.057780 0.256288
 one
 much -0.133036 0.003154 -0.225230 -0.310352 -0.083591 -0.008327 -0.055348
 miss -0.032347 -0.191296 0.119380 -0.296855 -0.016562 0.155227 -0.123548
 every -0.101362 -0.084066  0.012614 -0.047140 -0.001202 -0.097049  0.354726
```

[10 rows x 100 columns]

Your output may not be exactly as above but the command should print 10 words and their respective word vectors.

### 8. Measuring Similarity Between Word Pairs

```
>>> from sklearn.metrics.pairwise import cosine_similarity
>>> similarity_matrix = cosine_similarity(word_embeddings)
```

#### Check:

```
>>> print(similarity_matrix.shape)
(10098, 10098)
```

The similarity matrix gives the similarity between each pairs of words in the vocabulary. Given a pair of words eg. ('wisdom', 'folly') how can you obtain their cosine similarity?

### 9. Exploring and Visualizing your Word Embeddings using t-SNE

#### A. Get the most similar words to the search items in the list below

#### Sanity check:

```
>>> print(similar_words)
{'love': ['follow', 'cod', 'possesses', 'inferiority', 'enter'],
'man': ['matters', 'parishes', 'liking', 'know', 'motionless'],
'god': ['row', 'studiously', 'housemaid', 'preceded', 'lowered'],
'kindness': ['urgency', 'law', 'moves', 'gloom', 'report'],
'wisdom': ['misconduct', 'wisely', 'provide', 'eaten', 'attribute'],
'hatred': ['ecstatic', 'uppermost', 'posts', 'stealth', 'afflicting'],
'fool': ['interposed', 'aghast', 'crisis', 'owning', 'coast'],
'woman': ['children', 'terrors', 'deserving', 'besides', 'imaginist'],
'folly': ['strangers', 'elizabeths', 'mute', 'nervously', 'risen']}
```

The similar words obtained would depend on your training but the above command should print a dictionary. Each key is a search term and each value is a list of the 5 words the model predicts to be most similar to the key word.

#### B. Plot the words in the dictionary above using t-SNE <a href="https://lvdmaaten.github.io/tsne/">https://lvdmaaten.github.io/tsne/</a>

Plot 500 of the word embeddings using the code snippets below:

- >>> from sklearn.manifold import TSNE
- >>> import matplotlib.pyplot as plt

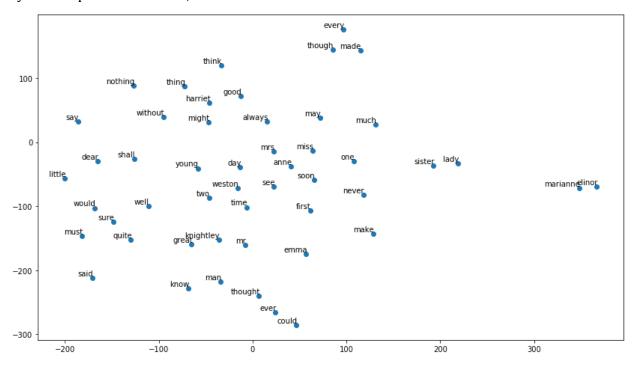
```
>>> tsne = TSNE(perplexity=3, n_components=2, init='pca', n_iter=5000, method='exact')
>>> np.set_printoptions(suppress=True)
>>> plot_only = 50
>>> T = tsne.fit_transform(word_embeddings[:plot_only, :])
>>> labels = [idx2word[i+1] for i in range(plot_only)]
>>> plt.figure(figsize=(14, 8))
>>> plt.scatter(T[:, 0], T[:, 1])
>>> for label, x, y in zip(labels, T[:, 0], T[:, 1]):
```

plt.annotate(label, xy=(x+1, y+1), xytext=(0, 0), textcoords='offset points', ha='right',

# Sanity Check:

If you've implemented it well, it should look somewhat like this:

va='bottom')



### 10. Resources used

https://adventuresinmachinelearning.com/word2vec-tutorial-tensorflow/

https://towardsdatascience.com/understanding-feature-engineering-part-4-deep-learning-method s-for-text-data-96c44370bbfa

https://adventuresinmachinelearning.com/word2vec-keras-tutorial/

https://www.tensorflow.org/tutorials/representation/word2vec#the\_skip-gram\_model

 $\frac{https://github.com/tensorflow/tensorflow/blob/master/tensorflow/examples/tutorials/word2vec_c/word2vec_basic.py$