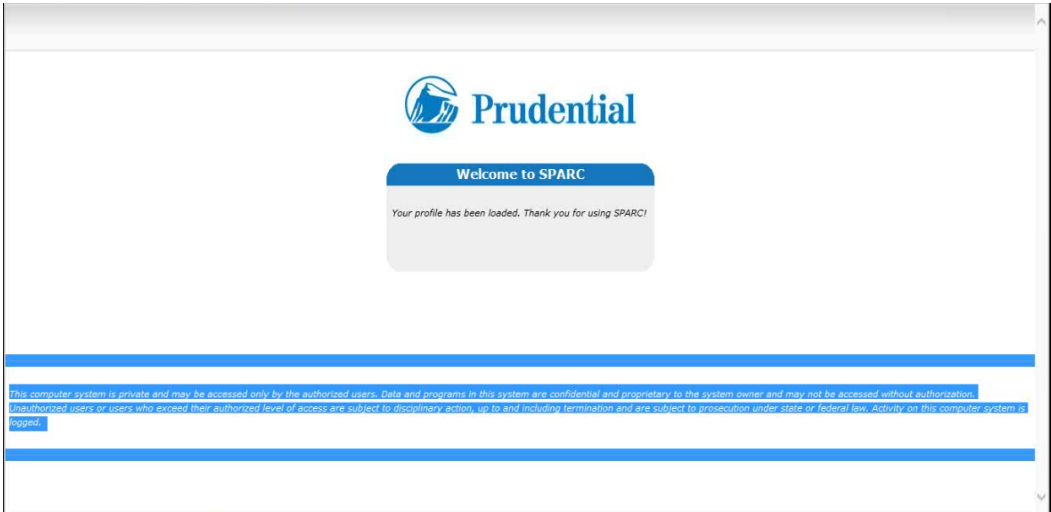
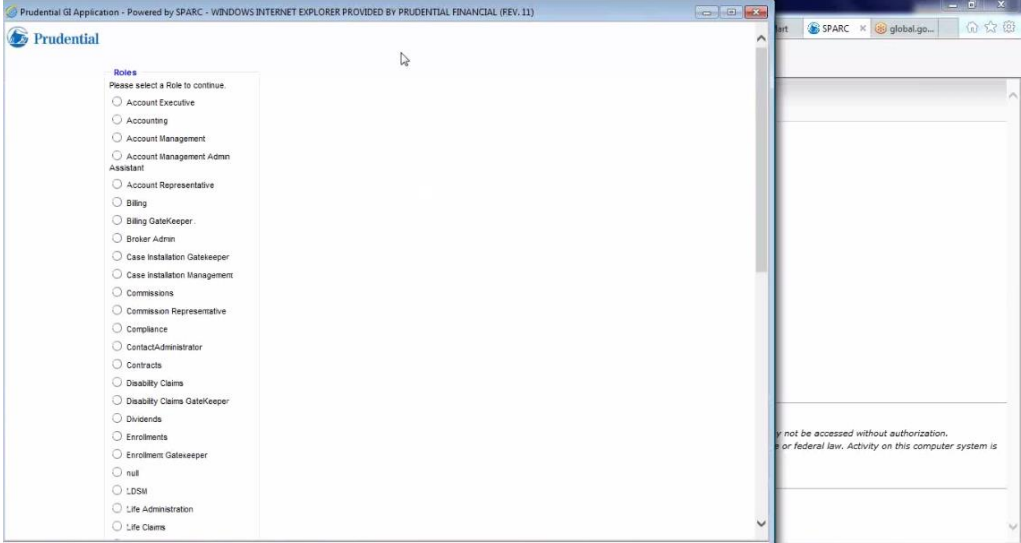
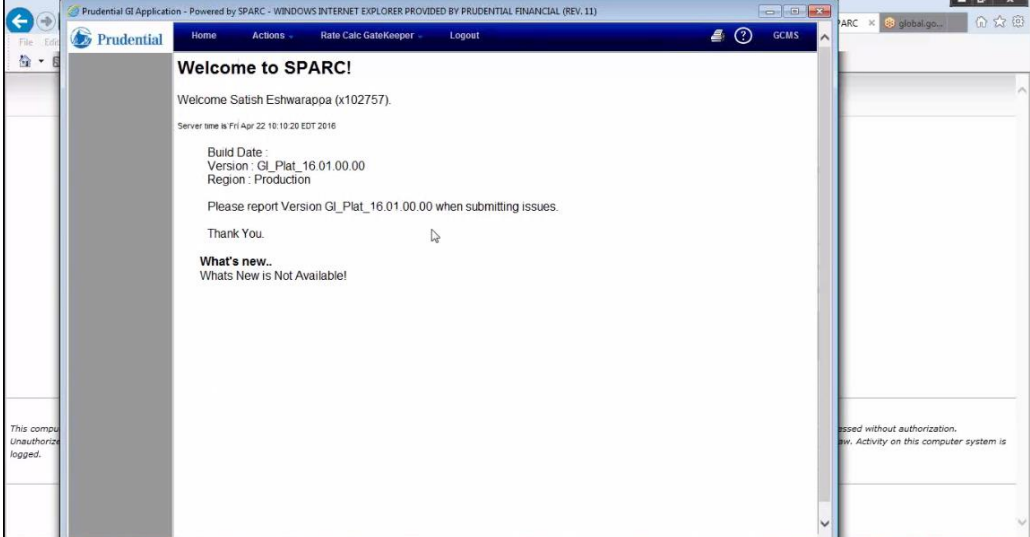


#	Scope	Screen Capture
1	Out-of-Scope	<p>SPARC Launch Screen</p>  <p>The screenshot shows the SPARC Launch Screen. At the top is the Prudential logo. Below it is a blue box with the text "Welcome to SPARC" and "Your profile has been loaded. Thank you for using SPARC!". At the bottom, there is a blue bar with white text that reads: "This computer system is private and may be accessed only by the authorized users. Data and programs in this system are confidential and proprietary to the system owner and may not be accessed without authorization. Unauthorized users or users who exceed their authorized level of access are subject to disciplinary action, up to and including termination and are subject to prosecution under state or federal law. Activity on this computer system is logged."</p>
2	Out-of-scope	<p>Defining Role</p>  <p>The screenshot shows the "Defining Role" screen in the SPARC application. It is titled "Prudential GI Application - Powered by SPARC - WINDOWS INTERNET EXPLORER PROVIDED BY PRUDENTIAL FINANCIAL (REV. 11)". The main content area has a heading "Roles" and a sub-heading "Please select a Role to continue." Below this is a list of roles with radio buttons next to them: Account Executive, Accounting, Account Management, Account Management Admin Assistant, Account Representative, Billing, Billing Gatekeeper, Broker Admin, Case Installation Gatekeeper, Case Installation Management, Commissions, Commission Representative, Compliance, Contact Administrator, Contracts, Disability Claims, Disability Claims Gatekeeper, Dividends, Enrollments, Enrollment Gatekeeper, null, LPSM, Life Administration, and Life Claims. On the right side, there is a vertical scroll bar and a small text box at the bottom that reads: "This computer system is private and may be accessed only by the authorized users. Data and programs in this system are confidential and proprietary to the system owner and may not be accessed without authorization. Unauthorized users or users who exceed their authorized level of access are subject to disciplinary action, up to and including termination and are subject to prosecution under state or federal law. Activity on this computer system is logged."</p>
3	In-scope	<p>SPARC Welcome page</p>  <p>The screenshot shows the SPARC Welcome page. It is titled "Prudential GI Application - Powered by SPARC - WINDOWS INTERNET EXPLORER PROVIDED BY PRUDENTIAL FINANCIAL (REV. 11)". The main content area has a heading "Welcome to SPARC!" and a sub-heading "Welcome Satish Eshwarappa (x102757)". Below this is the text "Server time is Fri Apr 22 10:10:20 EDT 2016". Then, it shows "Build Date : Version : GI_Plat_16.01.00.00 Region : Production". Below that, it says "Please report Version GI_Plat_16.01.00.00 when submitting issues." and "Thank You." At the bottom, it says "What's new.. Whats New is Not Available!". On the left side, there is a vertical scroll bar and a small text box at the bottom that reads: "This computer system is private and may be accessed only by the authorized users. Data and programs in this system are confidential and proprietary to the system owner and may not be accessed without authorization. Unauthorized users or users who exceed their authorized level of access are subject to disciplinary action, up to and including termination and are subject to prosecution under state or federal law. Activity on this computer system is logged."</p>

4 In-scope

Client Search

Name	DBA	City	State	DUNS #	SIC #
BB_Mail_Life		Birmingham	AL	null	8111
BB_Mail_Life		Birmingham	AL	null	8111
BB_Mail_Life		Birmingham	AL	null	8111
BB_Mail_Life		Birmingham	AL	null	8111
BB_Mail_Life ONLY		Birmingham	AL	null	8111
BB_Mail_LIFE DIS		Birmingham	AL	null	8111
BB_Mail_LIFE DIS		Birmingham	AL	null	8111

5 In-Scope

Edit Client

6 In-Scope

Create a new Proposal

7 In-Scope

Basic Information Screen

The screenshot shows the 'Basic Information for Proposal 2016113016' screen in the Prudential application. The left sidebar contains a navigation menu with options like Clients, Sales DTG's, Proposal Background, Basic Information (selected), Prudential Contact, Producers, License Check, Case Comments, Proposal Details, QuickQuote Versions, Plans, Traditional Versions, RFP Class View, Proposal Processing, Census, Rating/Proposal Exhibit, Blackberry Email, and Proposal Reports. The main content area includes fields for Proposal ID, Client Name, and Proposal Status. Below these are sections for 'Basic Information for Proposal 2016113016' and 'Deliverable Dates'. The 'Basic Information' section contains various dropdown menus and checkboxes for details like Sales Office, Proposal Contract State, Proposal Status, Task Owner, Control Number, Market Segment Indicator, Small Business, Aggregator, Federal Contract Client, State Contract Client, Local Contract Client, Exchange Quote, Multi National Pooling, and Proposal Comments. The 'Deliverable Dates' section includes a table for RFP Items with columns for Received from Client, Date Required, and Sent to Client.

8 Out-of-scope

RFP Contact Point screen

The screenshot shows the 'RFP Contact Points' screen in the Prudential application. The left sidebar is the same as the previous screen. The main content area is titled 'RFP Contact Points' and includes a table for assigning Prudential contacts to various roles. The roles listed are LDSM, LDSM Assistant, Life Specialist, Life Specialist Assistant, Underwriter, RateCalc Technician, Account Manager, Mid-Market Account Manager, Regional Admin Director, Account Executive, and External Agent. Each role has a dropdown menu for selecting a contact. The screen also includes a 'Previous Step' button and a 'Next Step' button, along with a 'Save to Task List' option.

9 In-Scope

Producer Search

The screenshot shows the 'Producer Search' screen in the Prudential application. The left sidebar is the same as the previous screens. The main content area is titled 'Producer Search' and includes a table for searching for producers. The table has columns for Name, ADB #, Agency Code, Producer Ranking, Name, ADB #, and Agency Code. Below the table is a 'Search Type' section with radio buttons for 'Personal Profile' and 'Full ADB'. The screen also includes a 'Previous Step' button and a 'Next Step' button, along with a 'Save to Task List' option.

10 Out-of-scope

License Check

The screenshot shows the 'License Check' section of the Prudential application. The left sidebar contains a navigation menu with options like 'Clients', 'Proposal Background', 'Proposal Details', 'Proposal Processing', and 'Proposal Reports'. The main content area is titled 'License Check' and includes a 'Choose a producer from the list to see licensing details:' section. Below this, there is a table for 'Producer Information' with columns for Last Name, First Name, Firm Name, City, State, Contract ID#, and Producer License Summary. The table shows a single entry for 'BLOGGS, JOE' in 'NEW YORK, NY' with contract ID 'ADDADB'. Below the table, there are two checkboxes: 'Is Producer/Consultant associated with this case, though not receiving commissions via a Prudential System?' (set to 'No') and 'Is Producer acting as a General Agent?' (set to 'No'). Further down, there is a section for 'Producer License Check Products' with checkboxes for 'Life (Basic Life, Optional Life, Dependent Life)' and 'Disability (ADD, STD, LTD, and Cash Sickness)'. Below this is a section for 'Producer License Check States' with a list of states and a dropdown for 'Additional Sales Activity State' (set to 'Select One') and 'Additional State (if necessary)' (set to 'Select One'). At the bottom, there is a section for 'LDSM Information' with a table for Last Name, First Name, City, State, Contract ID#, and LDSM License Summary. The table shows 'No LDSM Selected'.

11 In-Scope

Census

The screenshot shows the 'Census' section of the Prudential application. The left sidebar contains a navigation menu with options like 'Clients', 'Proposal Background', 'Proposal Details', 'Proposal Processing', and 'Proposal Reports'. The main content area is titled 'Census' and includes a table for 'Census' with columns for ID, Census Name, Census Date, Receipt Date, and Notes. The table shows a single entry for 'Census' with 'Thu Jan 28 04:57:42 EST 2016' for both the census and receipt dates. Below the table, there is a section for 'No census selected'.

Details tab

The screenshot shows the 'Details' tab of the Prudential application. The left sidebar contains a navigation menu with options like 'Clients', 'Proposal Background', 'Proposal Details', 'Proposal Processing', and 'Proposal Reports'. The main content area is titled 'Details' and includes a table for 'Census' with columns for ID, Census Name, Census Date, Receipt Date, and Notes. The table shows a single entry for 'Census' with 'Thu Jan 28 04:57:42 EST 2016' for both the census and receipt dates. Below the table, there is a section for 'Census Details' with a table for Name, Census Date, Receipt Date, Time received, Last Modified, Total Lives, Created by, Contains Salary Info for all individuals?, and Notes. The table shows 'Census' with '01/28/2016' for both the census and receipt dates, '7:00:00 PM' for time received, 'Jan 28, 2016 4:58:17 AM' for last modified, '500' for total lives, 's104518' for created by, 'Yes' for contains salary info, and 'No - the annual salary estimate is \$' for notes. Below the table, there is a section for 'Allocation of Lives' with a table for Name, Census Date, Receipt Date, Time received, Last Modified, Total Lives, Created by, Contains Salary Info for all individuals?, and Notes. The table shows 'Census' with '01/28/2016' for both the census and receipt dates, '7:00:00 PM' for time received, 'Jan 28, 2016 4:58:17 AM' for last modified, '500' for total lives, 's104518' for created by, 'Yes' for contains salary info, and 'No - the annual salary estimate is \$' for notes.

Classes tab

Prudential GI Application - Powered by SPARC - WINDOWS INTERNET EXPLORER PROVIDED BY PRUDENTIAL FINANCIAL (REV. 11)

Home RFP - Rate Calc Gatekeeper - Logout

Proposal ID: 2016113016 (Small) Client Name: BB_MAL_LIFE ONLY Proposal Status: OPEN
Version Number: 1 Version Description: Version 1 Version Status: Pending Rate Calculation

ID: 1 Census Name: The Jan 20 04:57:42 EST 2016 Receipt Date: Thu Jan 20 04:57:42 EST 2016 Notes:

Buttons: Edit, New, Copy, Export

Census	Description	Collect	Exempt	Full-Time	Management	Medically Underwritten	Salaried Smoker	Retiree	Grandfathered
1	All Employees	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Buttons: Save, Add Class, Edit Class, Generate Standalone Census

Proposal Processing: Census, Rating/Proposal Exhibit, BlackBerry Email

Proposal Reports: Create Report

This computer is unauthorized to log in.

Accessed without authorization. New Activity on this computer system is

Edit Census Member Details

Prudential GI Application - Powered by SPARC - WINDOWS INTERNET EXPLORER PROVIDED BY PRUDENTIAL FINANCIAL (REV. 11)

Home RFP - Rate Calc Gatekeeper - Logout

Proposal ID: 2016113016 (Small) Client Name: BB_MAL_LIFE ONLY Proposal Status: OPEN
Version Number: 1 Version Description: Version 1 Version Status: Pending Rate Calculation

ID: 1 Census Name: The Jan 20 04:57:42 EST 2016 Receipt Date: Thu Jan 20 04:57:42 EST 2016 Notes:

Buttons: Edit, New, Copy, Export

Name	Edit	Import Data	Import Status
Census	Edit	Import Data	Import Status

Proposal Processing: Census, Rating/Proposal Exhibit, BlackBerry Email

Proposal Reports: Create Report

This computer is unauthorized to log in.

Accessed without authorization. New Activity on this computer system is

Edit Lives

Prudential GI Application - Powered by SPARC - WINDOWS INTERNET EXPLORER PROVIDED BY PRUDENTIAL FINANCIAL (REV. 11)

Home RFP - Rate Calc Gatekeeper - Logout

Proposal ID: 2016113016 (Small) Client Name: BB_MAL_LIFE ONLY Proposal Status: OPEN
Version Number: 1 Version Description: Version 1 Version Status: Pending Rate Calculation

ID: 1 Census Name: The Jan 20 04:57:42 EST 2016 Receipt Date: Thu Jan 20 04:57:42 EST 2016 Notes:

Buttons: Edit, New, Copy, Export

First Name	Last Name	Age	Government ID
A11	A71	29	71
A70	A70	45	70
A197	A197	49	197
A196	A196	59	196
A195	A195	38	195
A194	A194	42	194
A193	A193	34	193
A192	A192	27	192
A191	A191	41	191
A190	A190	51	190

Buttons: Add Life, Edit Life, Import Data

Proposal Processing: Census, Rating/Proposal Exhibit, BlackBerry Email

Proposal Reports: Create Report

This computer is unauthorized to log in.

Accessed without authorization. New Activity on this computer system is

Allocation of Lives Tab

Prudential GI Application - Powered by SPARC - WINDOWS INTERNET EXPLORER PROVIDED BY PRUDENTIAL FINANCIAL (REV. 11)

Home RFP - Rate Calc GateKeeper - Logout

Proposal ID: 2016113016 (Small) Client Name: BB_MAL_LIFE ONLY Version 1 Proposal Status: OPEN Pending Rate Calculation

Version Number: 1

Census Name: Census Date: Thu Jan 28 04:57:42 EST 2016 Receipt Date: Thu Jan 28 04:57:42 EST 2016

Allocated Areas

Number of Lives	State Percentage	Number of Lives
CA 300	CA 100%	300

Add New State/Area

Number of Lives: 0

Calculate Percentages

Generate Standard Census

12 In-Scope

QuickQuote screen

Prudential GI Application - Powered by SPARC - WINDOWS INTERNET EXPLORER PROVIDED BY PRUDENTIAL FINANCIAL (REV. 11)

Home RFP - Rate Calc GateKeeper - Logout

Proposal ID: 2016113016 (Small) Client Name: BB_MAL_LIFE ONLY Version 1 Proposal Status: OPEN Pending Rate Calculation

Version Number: 1

Version - 1

Version Details and Applicable Coverages

Version Number: 1

Description: Version 1

Associated Census: Choose One - Census-1

Small Business Standard Case

Basic Life: [No] LTD: [No]

Contract Document Format: PDF

Bill Method: Roster

Bill Delivery Method: Mail

ATP Voucher Program: No

Version Status: Pending Rate Calculation

Comment:

Life Decline/Withdraw Reason: [Choose One]

Disability Decline/Withdraw Reason: [Choose One]

Dental Decline/Withdraw Reason: [Choose One]

RSM Notification Comment:

13 In-Scope

Plan Benefit Details tab

Prudential GI Application - Powered by SPARC - WINDOWS INTERNET EXPLORER PROVIDED BY PRUDENTIAL FINANCIAL (REV. 11)

Product: Basic Life Plan Number: 1 Plan Description: January 1, 2017

Plan - Plan/Benefit Details Eligibility Commissions Field Level Overrides

Contract State: Alabama

Plan Effective Date: 01/01/2017

Plan Description:

Type of Case: PruValue LDSM

PruValue Exception:

Field Level Exceptions:

Contribution Arrangement: Non-Contributory

Minimum Participation Percentage: 100.00

Volatility Cessat Percentage: 10.00

Composite Rating: Yes

Age Banded Rating: No

Rate Guarantee (in months): 24

Rate Expression: Per \$1000 of coverage per month

Amounts of Insurance: Multiple of Earnings

Maximum Dollar Amount: 0.00

Minimum Dollar Amount: 5000.00

Multiple of Annual Earnings: 1.00

Field Level Overrides tab

Prudential GI Application - Powered by SPARC - WINDOWS INTERNET EXPLORER PROVIDED BY PRUDENTIAL FINANCIAL (REV. 11)

Home RFP - Rate Calc GateKeeper - Logout

Proposal ID: 2016112016 (Small) Client Name: BB_MAIL_LIFE ONLY Proposal Status: OPEN
Version Number: 1 Version Description: Version 1 Version Status: Pending Rate Calculation
Product: Basic Life Plan Description: Test BL
Plan Number: 1 Effective Date: January 1, 2017

Plan - Test BL

Plan/Benefits Details Eligibility Commissions Field Level Overrides

Inforce Information

Contribution Arrangement: ☐ Non-Contributory
Minimum Participation Percentage: 100.00
Composite Rating: ☐ Yes
Age Banded Rating: ☐ No
Rate Guarantee (in months): 36.00
Rate Expression: ☐ Per \$1000 of coverage per month
Amounts of Insurance: ☐ Multiple of Earnings
Maximum Dollar Amount: 1000000.00
Minimum Dollar Amount: 1000000.00
Multiple of Annual Earnings: 5.00
Rounding Rule: ☐ Lower 100
Rounding Occurs: ☐ After Amount is Multiplied
Age Reduction Schedule: 35% @ 65, 50% @ 70
Disability Provision: ☐ Dis S
Duration: ☐ Age 65

Inforce Information tab

Prudential GI Application - Powered by SPARC - WINDOWS INTERNET EXPLORER PROVIDED BY PRUDENTIAL FINANCIAL (REV. 11)

Home RFP - Rate Calc GateKeeper - Logout

Proposal ID: 2016112016 (Small) Client Name: BB_MAIL_LIFE ONLY Proposal Status: OPEN
Version Number: 1 Version Description: Version 1 Version Status: Pending Rate Calculation
Product: Basic Life Plan Description: Test BL
Plan Number: 1 Effective Date: January 1, 2017

Plan - Test BL

Plan/Benefits Details Eligibility Commissions Field Level Overrides

Inforce Information

No inforce carriers have been added to this proposal

Inforce Carrier Rate: 0.000
Renewal Carrier Rate: 0.000
Add

Eligibility tab

Prudential GI Application - Powered by SPARC - WINDOWS INTERNET EXPLORER PROVIDED BY PRUDENTIAL FINANCIAL (REV. 11)

Home RFP - Rate Calc GateKeeper - Logout

Proposal ID: 2016112016 (Small) Client Name: BB_MAIL_LIFE ONLY Proposal Status: OPEN
Version Number: 1 Version Description: Version 1 Version Status: Pending Rate Calculation
Product: Basic Life Plan Description: Test BL
Plan Number: 1 Effective Date: January 1, 2017

Plan - Test BL

Plan/Benefits Details Eligibility Commissions Field Level Overrides

Inforce Information

Eligible Classes: ☐ All Employees Estimated Lives: 300
Select All
Minimum Hours Required: 30.0
Save Next

Commissions tab

Prudential GI Application - Powered by SPARC - WINDOWS INTERNET EXPLORER PROVIDED BY PRUDENTIAL FINANCIAL (REV. 11)

Test BL January 1, 2017

Plan - Test BL

Plan/Benefits Details Eligibility Commissions Field Level Overrides

Producer Commission (Structure/Split)

Producer	Commission Split	Arrangement
JOE BLOGGS	100	% Level Scale
Total Split	100.0 %	

Commissions Paid To: Individual

Commissions Advanced: ☐ Yes ☐ No

Advanced commission occurs: ☐ Yes ☐ No

ASC List

Item	Percentage
Design of policy holder's benefit administration system	3.0 %
Participation in programs of communication and education for control	2.0 %
Consultation in connection with rate change or alternate plans of insurance	2.0 %
Review of contractual provisions	3.0 %
Assistance in enrollment meeting (not related to trade association or multiple employer groups) or site visits to managed medical operations	2.0 %
Assembly and analysis of claim experience	2.0 %
Assistance in the development and preparation of plan announcement material, employee benefit booklets or other plan documents	2.0 %
Customer Satisfaction Monitors	2.0 %
Maintain Premium and Statistic Records	3.0 %
Supervising General Agent	3.0 %

14 In-Scope

Rating / Proposal Exhibit

Prudential GI Application - Powered by SPARC - WINDOWS INTERNET EXPLORER PROVIDED BY PRUDENTIAL FINANCIAL (REV. 11)

Home RFP Rate Calc GateKeeper Logout

Proposal Part 2016113016 New Proposal

View Proposal Exhibit

View Enrollment Form View Plan Summary

Version 1

PostCalc Overrides Set Rate as Override

Version 1

Basic Plan 1 Test BL

Basic Information for Proposal 2016113016

Client Name: BD_MAL_LIFE ONLY

Proposal Description: New Proposal

Proposal Status: OPEN

Effective Date: 01/01/2017

Due Date: 04/22/2016

Producer Name: JOE BLOGGS

Producer Contract #: ADDADD

LDSM: Satish Eshwarappa

Life/Disability UAW: Satish Eshwarappa

Account Management: Satish Eshwarappa

External Agent: Satish Eshwarappa

Workflow Summary

Workflow Actions:

- Decline
- Install Proposal
- Submit To Broker Admin
- Submit To Sales
- Submit To Underwriting

Date Pended:

Reason Pended:

Other Reason for Pended:

Save