



# Data Structures

Topics Covered:

Amortized Analysis:

- Aggregate Method

- Banker's Method

- Physicist's Method

Priority Queues

Binary- max heap

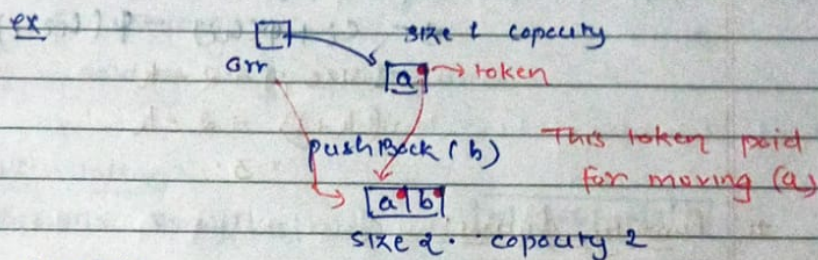
Let  $c_i$  = cost of  $i$ th insertion.

$$c_i = 1 + \begin{cases} i-1 & \text{if } i-1 \text{ is a power of 2} \\ 0 & \text{otherwise} \end{cases}$$

$$\frac{\sum_{i=1}^n c_i}{n} = \frac{n + \sum_{j=1}^{\lfloor \log_2(n-1) \rfloor} 2^j}{n} \Rightarrow \frac{O(n)}{n} \Rightarrow O(1)$$

⇒ Amortized Analysis { Banker's Method }

- charge extra for each cheap operation.
- Save the extra charge as tokens in your data structure
- Use the tokens for expensive operations (conceptually)



⇒ Physicist's Method:

Define a potential function,  $\phi$ , which maps states of the data structure to integers.

- $\phi(h_0) = 0$
- $\phi(h_t) \geq 0$
- amortized cost for operation:

$$c_t + \phi(h_t) - \phi(h_{t-1})$$

- The cost of  $n$ -operation is  $\sum_{i=1}^n c_i$

- The sum of the amortized cost is:

$$\sum_{i=1}^n (c_i + \phi(h_i) - \phi(h_{i-1})) = \phi(h_n) - \phi(h_0) + \sum_{i=1}^n c_i$$

- Dynamic array:  $n$  calls to PushBack.

Let  $\phi(h) = 2 \times \text{size} - \text{capacity}$

- $\phi(h_0) = 2 \times 0 - 0 = 0$
- $\phi(h_i) = 2 \times \text{size} - \text{capacity} > 0$   
since  $\text{size} > \frac{\text{capacity}}{2}$



- without resize when adding element  $i$

Amortized cost of adding element  $i$ :

$$c_i + \phi(h_i) - \phi(h_{i-1})$$

$$1 + 2 \times \text{size}_{i-1} - \text{cop}_i - (2 \times \text{size}_{i-1} - \text{cop}_{i-1})$$

$$1 + 2 \times (\text{size}_i - \text{size}_{i-1})$$

$$\Rightarrow 3 \quad \{\text{same as Banker's method}\}$$

- with resize when adding element  $i$

$$\text{let } k = \text{size}_{i-1} = \text{cop}_{i-1}$$

Then

$$\phi(h_{i-1}) = 2 \times \text{size}_{i-1} - \text{cop}_{i-1} = 2k - k = k$$

$$\phi(h_i) = 2 \times \text{size}_i - \text{cop}_i = 2(k+1) - 2k = 2$$

$$\Rightarrow c_i + \phi(h_i) - \phi(h_{i-1})$$

$$(\text{size}_i + 2 - k$$

$$= (k+1) + 2 - k$$

$$= 3$$

### ≠ Priority Queues:

Typical Use Case: {scheduling Job}

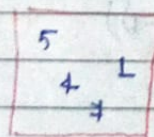
- Want to process jobs one by one in order of decreasing priority, while the current job is processed, new jobs may arrive.

- To add a job to the set of scheduled jobs, call Insert(job).

- To process a job with the highest priority, get it by calling ExtractMax().

Toy Example

Content



Operations

ExtractMax()  $\rightarrow 5$

Insert(1)

Insert(4)



### Additional Operations:

- Remove (it) removes an element pointed by an iterator it
- GetMax () returns an element with maximum priority (without changing the set of elements)
- ChangePriority (it, p) changes the priority of an element pointed by it on p

### Algorithms that Use Priority Queues:

- Dijkstra's algorithm: finding a shortest path in a graph
- Prim's algorithm: constructing a minimum spanning tree of a graph
- Huffman's algorithm: constructing an optimum prefix-free encoding of a string.
- Heap sort: sorting a given sequence.

### Naive Implementation:

Unsorted Array/List

3 | 9 | 16 | 10 | 2

#### Insert (e)

- add e to the end
- running time:  $O(1)$

#### ExtractMax ()

- Scan the array
- Running time:  $O(n)$

#### Sorted Array:

- ExtractMax (): running time:  $O(1)$

Disadv:

- Insert (e): running time:  $O(n)$

But inserting in between  $O(1)$

Binary max-heap: is a binary tree (each node has zero, one, or two children) where the value of each node is at least the value of its children.



#### # Basic Operations: (Get Max $\rightarrow O(1)$ )

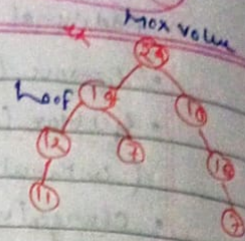
→ Insert: running time:  $O(\text{tree height})$

shifting:

→ ExtractMax: running time:  $O(\text{tree height})$

→ change Priority: running time:  $O(\text{tree height})$

→ Remove: change priority to  $\infty$   
Then call Extract-Max  
running time:  $O(\text{tree height})$



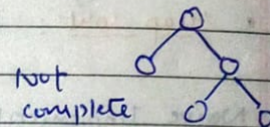
#### # Complete Binary Tree

A binary tree is complete if all its levels are filled except possibly the last one which is filled from left to right.

First: Advantage: Low Height

Lemma:

A complete binary tree with  $n$ -nodes has height at most  $O(\log n)$



Second Advantage: Store as Array.

$$\text{parent}(i) = \lfloor \frac{i}{2} \rfloor$$

$$\text{leftchild}(i) = 2i$$

$$\text{rightchild}(i) = 2i + 1$$

\* What do we pay for these advantages?

→ We need to keep the tree complete

→ which binary heap operations modify the shape of the tree?

→ Only Insert and ExtractMax (Remove changes the shape by calling ExtractMax)

\* to extract the maximum value, replace the root by the last leaf and let it shift down.



Pseudo Code:

SiftUp(i):

while  $i > 1$  and  $H[\text{Parent}(i)] < H[i]$ :

    swap  $H[\text{Parent}(i)]$  and  $H[i]$

$i \leftarrow \text{Parent}(i)$

ShiftDown(i)

maxIndex  $\leftarrow i$

l  $\leftarrow \text{leftchild}(i)$

if  $l \leq \text{size}$  and  $H[l] > H[\text{maxIndex}]$ :

    maxIndex  $\leftarrow l$

r  $\leftarrow \text{Rightchild}(i)$

if  $r \leq \text{size}$  and  $H[r] > H[\text{maxIndex}]$ :

    maxIndex  $\leftarrow r$

if  $i \neq \text{maxIndex}$ :

    swap  $H[i]$  and  $H[\text{maxIndex}]$

    ShiftDown(maxIndex)

† Insert(p)

if size = maxSize:

    return  ~~$H[\text{size}]$~~  ERROR

size  $\leftarrow \text{size} + 1$

$H[\text{size}] \leftarrow p$

ShiftUp(size)

ExtractMax()

result  $\leftarrow H[1]$

$H[1] \leftarrow H[\text{size}]$

size  $\leftarrow \text{size} - 1$

ShiftDown(1)

return result

† Remove(i)

$H[i] \leftarrow \infty$

ShiftUp(i)

ExtractMax()

changePriority(i, p)

oldp  $\leftarrow H[i]$

$H[i] \leftarrow p$

if  $p > \text{oldp}$ :

    ShiftUp(i)

else:

    ShiftDown(i)