# Stable Diffusion

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Machine Learning from Scratch

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What's the deal with all these pictures?



These pictures were generated by **Stable Diffusion**, a recent diffusion generative model.

It can turn text prompts (e.g. "an astronaut riding a horse") into images.

It can also do a variety of other things!

You may have also heard of DALL·E 2, which works in a similar way.



"a lovely cat running in the desert in Van Gogh style, trending art."

# Why should we care?

Could be a model of imagination.

Similar techniques could be used to generate any number of things (e.g. neural data).

It's cool!



"Batman eating pizza in a diner"

# How does it work?

It's complicated... but here's the high-level idea.

"bad stick figure drawing"

Example pictures of people







1. Method of learning to generate new stuff given many examples

2. Way to link text and images

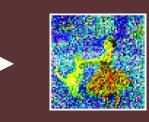
"cool professor person"











3. Way to compress images(for speed in training and generation)

z[0:3,:,:]

4. Way to add in good image-related inductive biases...

... since when you're generating something new, you need a way to safely go beyond the images you've seen before.

1. Method of learning to generate new stuff

Forward/reverse dffusion

2. Way to link text and images

Text-image representation model

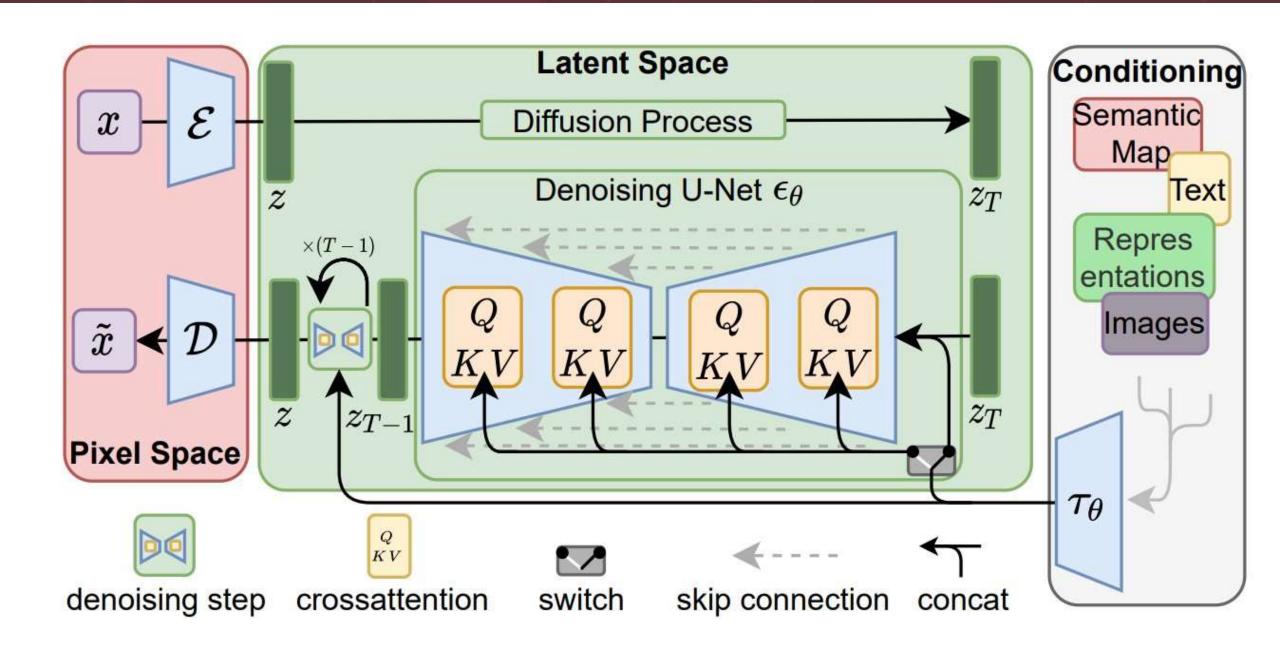
3. Way to compress images

**Autoencoder** 

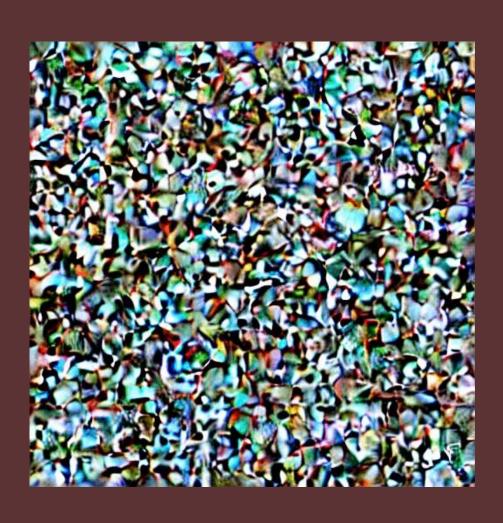
4. Way to add in good inductive biases

U-net + 'attention' architecture

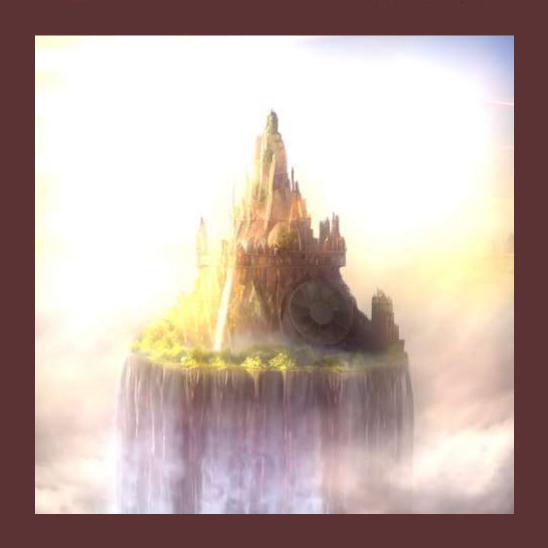
Making a 'good' generative model is about making all these parts work together well!



# Stable Diffusion in Action



# **Cartoon with StableDiffusion + Cartoon**



https://www.reddit.com/r/Sta bleDiffusion/comments/xcjj7u /sd\_img2img\_after\_effects\_i \_generated\_2\_images\_and/

#### Some Resources

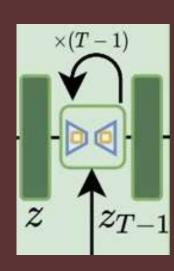
- Diffusion model in general
  - What are Diffusion Models? | Lil'Log
  - Generative Modeling by Estimating Gradients of the Data Distribution |
     Yang Song
- Stable diffusion
  - Annotated & simplified code: <u>U-Net for Stable Diffusion (labml.ai)</u>
  - Illustrations: The Illustrated Stable Diffusion Jay Alammar
- Attention & Transformers
  - The Illustrated Transformer

### Outline

- Stable Diffusion is cool!
- Build Stable Diffusion "from Scratch"
  - Principle of Diffusion models (sampling, learning)
  - Diffusion for Images UNet architecture
  - Understanding prompts Word as vectors, CLIP
  - Let words modulate diffusion Conditional Diffusion, Cross Attention
  - Diffusion in latent space AutoEncoderKL
  - Training on Massive Dataset. LAION 5Billion
- Let's try ourselves.

# Principle of Diffusion Models

Learning to generate by iterative denoising.



"Creating noise from data is easy; Creating data from noise is generative modeling."

-- Song, Yang

### Diffusion models

- Forward diffusion (noising)
  - $x_0 \rightarrow x_1 \rightarrow \cdots x_T$
  - Take a data distribution  $x_0 \sim p(x)$ , turn it into noise by diffusion  $x_T \sim \mathcal{N}\left(0, \sigma^2 I\right)$



Reverse diffusion (denoising)

• 
$$\chi_T \rightarrow \chi_{T-1} \rightarrow \cdots \chi_0$$

• Sample from the noise distribution  $x_T \sim \mathcal{N}(0, \sigma^2 I)$ , reverse the diffusion process to generate data  $x_0 \sim p(x)$ 

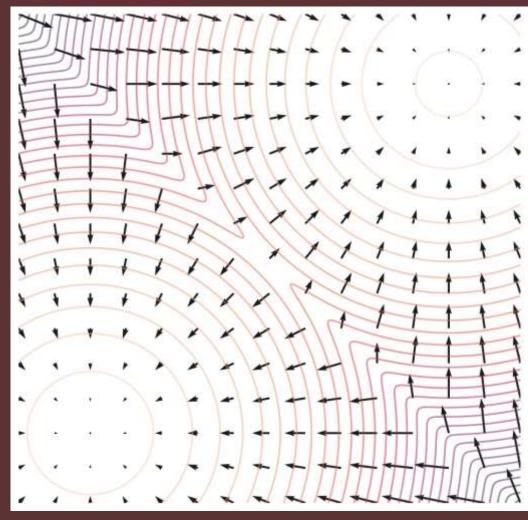
### Math Formalism

For a forward diffusion process

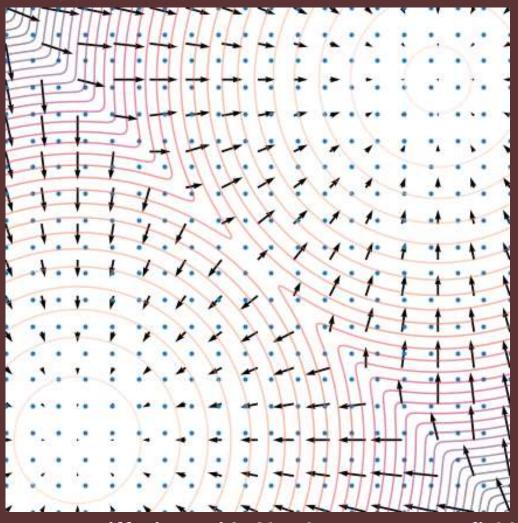
$$d\mathbf{x} = f(\mathbf{x}, t)dt + g(t)d\mathbf{w}$$

- There is a backward diffusion process that reverse the time  $d\mathbf{x} = \left[ f(\mathbf{x}, t) g(t)^2 \nabla_{\mathbf{x}} \log p(\mathbf{x}, t) \right] dt + g(t) d\mathbf{w}$ 
  - If we know the time-dependent score function  $\nabla_x \log p(x,t)$
  - Then we can reverse the diffusion process.

# **Animation for the Reverse Diffusion**



Score Vector Field



Reverse Diffusion guided by the score vector field

# Training diffusion model = Learning to denoise

If we can learn a score model

$$f_{\theta}(x,t) \approx \nabla \log p(x,t)$$

- Then we can denoise samples, by running the reverse diffusion equation.  $x_t \rightarrow x_{t-1}$
- Score model  $f_{\theta}$ :  $\mathcal{X} \times [0,1] \to \mathcal{X}$ 
  - A time dependent vector field over x space.
- Training objective: Infer noise from a noised sample

$$x \sim p(x), \epsilon \sim \mathcal{N}(0, I), t \in [0, 1]$$
$$\min \|\epsilon + f_{\theta}(x + \sigma^{t} \epsilon, t)\|_{2}^{2}$$

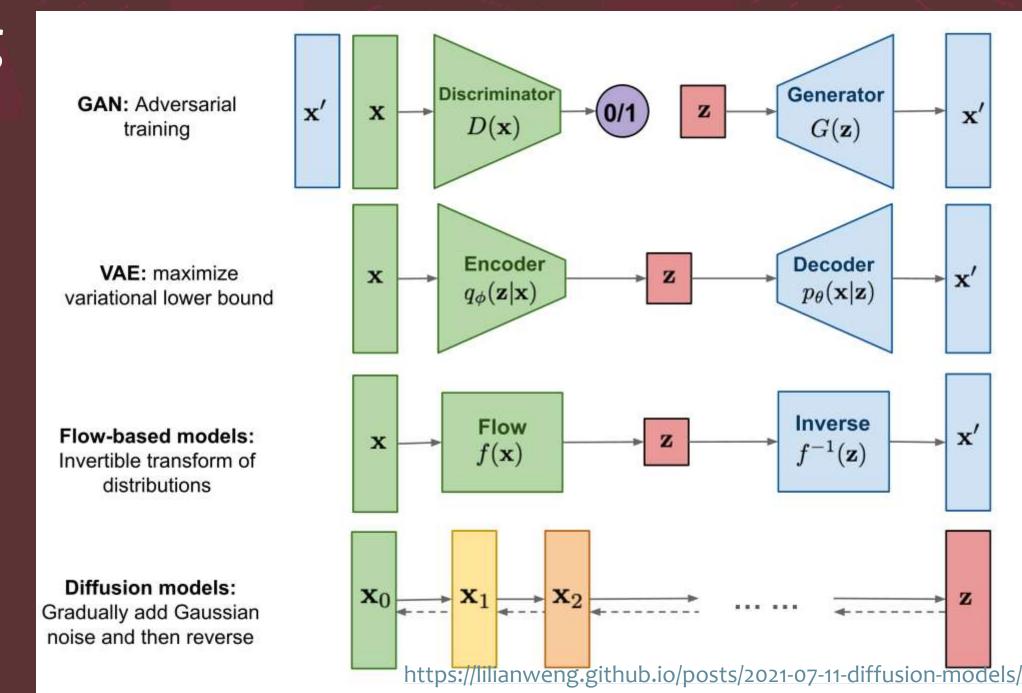
• Add Gaussian noise  $\epsilon$  to an image x with scale  $\sigma^t$ , learn to infer the noise  $\sigma$ .

# Conditional denoising

- Infer noise from a noised sample, based on a condition y
  - $x, y \sim p(x, y), \epsilon \sim \mathcal{N}(0, I), t \in [0, 1]$
  - $\min \|\epsilon f_{\theta}(x + \sigma^t \epsilon, y, t)\|_2^2$

- Conditional score model  $f_{\theta}$ :  $\mathcal{X} \times \mathcal{Y} \times [0,1] \to \mathcal{X}$ 
  - Use Unet as to model image to image mapping
  - Modulate the Unet with condition (text prompt).

# Comparing Generative Models



# Diffusion vs GAN / VAE

#### **GAN**

- One shot generation. Fast.
- Harder to control in one pass.
- Adversarial min-max objective. Can collapse.

#### Diffusion

- Multi-iteration generation. Slow.
- Easier to control during generation.
- Simple objective, no adversary in training.

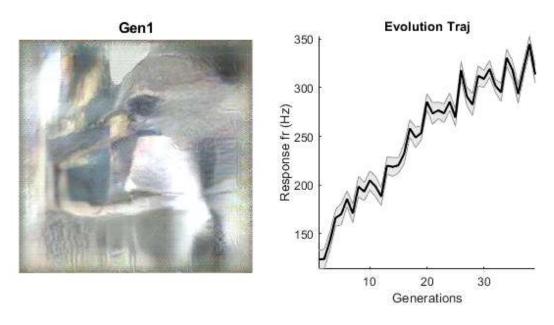
# Activation maximization ~ Reverse Diffusion

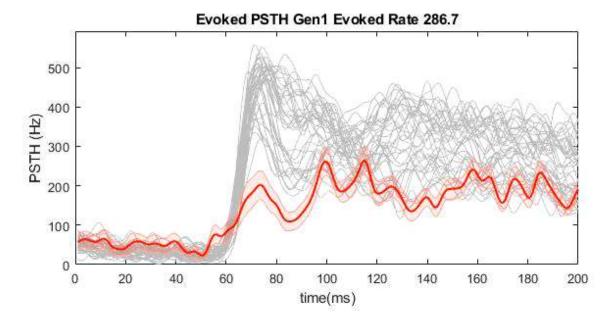
 For a neuron, activation maximization can be realized by gradient ascent

$$z_{t+1} \leftarrow z_t + \nabla f(G(z_t)) + \epsilon$$

- Homologous to the reverse diffusion equation.
- *Idea*: Neuron activation defines a Generative model on image space.

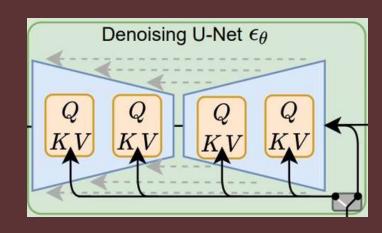
#### Beto Evol (Manif) Exp 04 pref chan 20



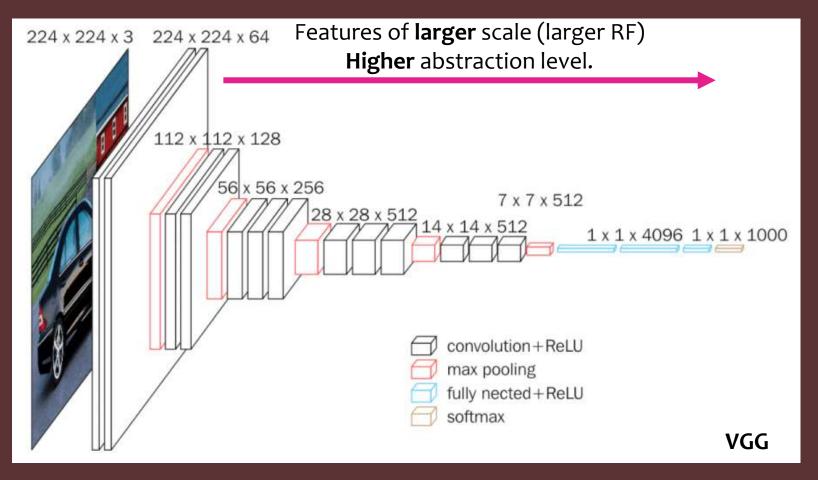


# Modelling Score function over Image Domain

Introducing UNet

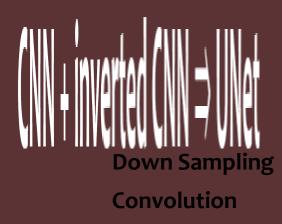


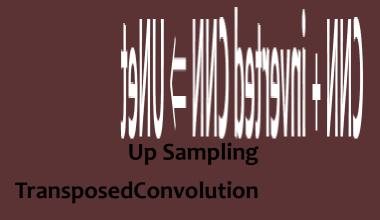
### **Convolutional Neural Network**



- CNN parametrizes function over images
- Motivation
  - Features are translational invariant
  - Extract feature at different scale / abstraction level
- Key modules
  - Convolution
  - Downsamping (Max-pool)

## CNN + inverted CNN ⇒ UNet

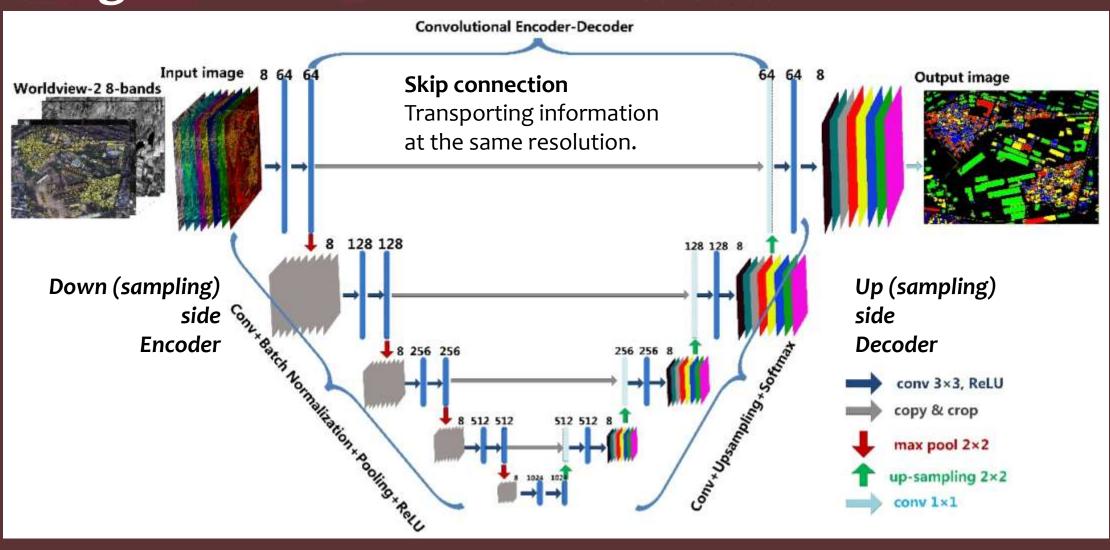




Inverted CNN
 (generator) can
 generate images.

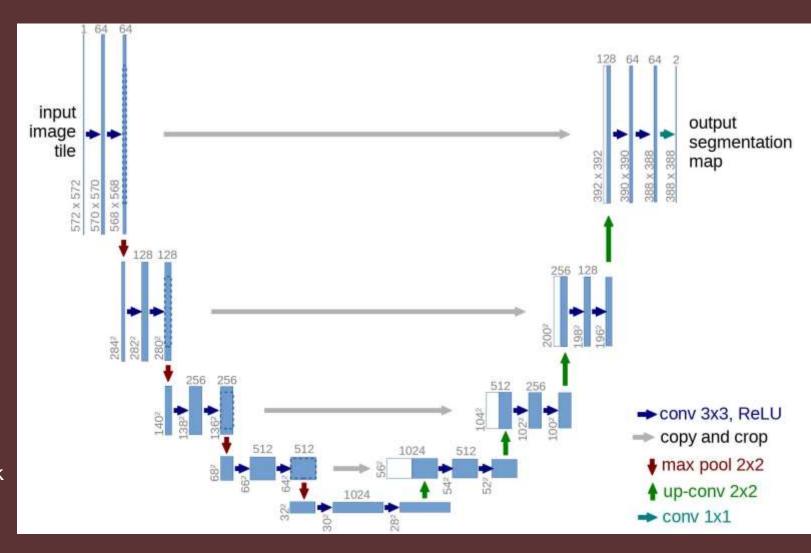
 CNN + inverted CNN could model Image → Image function.

# UNet: a natural architecture for image-toimage function



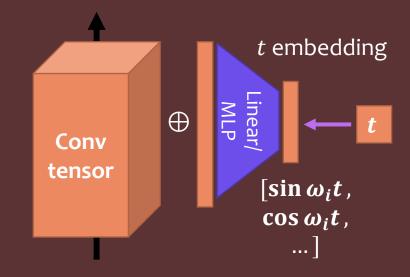
# Key Ingredients of UNet

- Convolution operation
  - Save parameter, spatial invariant
- Down/Up sampling
  - Multiscale / Hierarchy
  - Learn modulation at multi scale and multi-abstraction levels.
- Skip connection
  - No bottleneck
  - Route feature of the same scaledirectly.
  - Cf. AutoEncoder has bottleneck

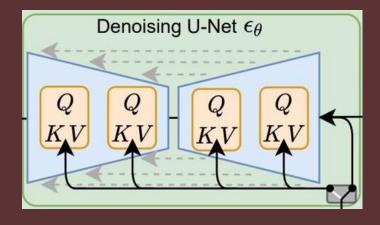


# Note: Add Time Dependency

- The score function is time-dependent.
  - Target:  $s(x,t) = \nabla_x \log p(x,t)$
- Add time dependency
  - Assume time dependency is spatially homogeneous.
  - Add one scalar value per channel f(t)
  - Parametrize f(t) by MLP / linear of Fourier basis.



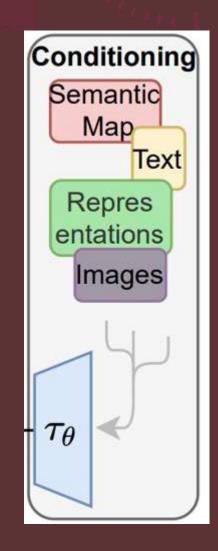
# Unet in Stable Diffusion



```
(conv_in): Conv2d(4, 320, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
(time proj): Timesteps()
(time embedding): TimestepEmbedding
     (linear 1): Linear(in features=320, out features=1280, bias=True)
      (act): SiLU()
     (linear_2): Linear(in_features=1280, out_features=1280, bias=True)
(down blocks):
      (o): CrossAttnDownBlock2D
     (1): CrossAttnDownBlock2D
     (2): CrossAttnDownBlock2D
     (3): DownBlock2D
(up_blocks):
     (o): UpBlock2D
     (1): CrossAttnUpBlock2D
     (2): CrossAttnUpBlock2D
     (3): CrossAttnUpBlock2D
(mid block): UNetMidBlock2DCrossAttn
      (attentions):
     (resnets):
(conv_norm_out): GroupNorm(32, 320, eps=1e-05, affine=True)
(conv act): SiLU()
(conv_out): Conv2d(320, 4, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
```

# How to understand prompts?

Language / Multimodal Transformer, CLIP!

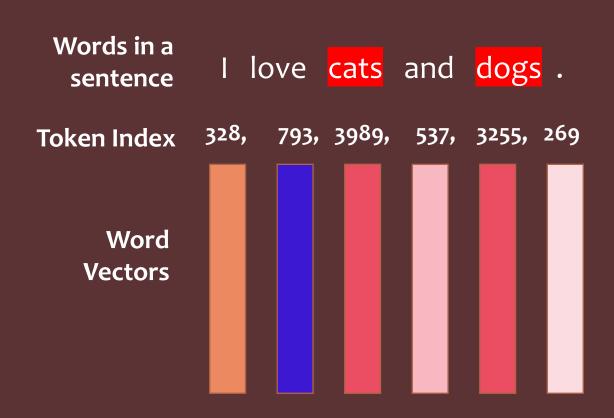


# Word as Vectors: Language Model 101

 Unlike pixel, meaning of word are not explicitly in the characters.

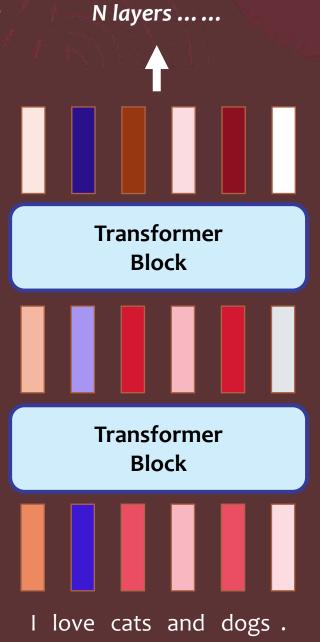
- Word can be represented as index in dictionary
  - But index is also meaning less.

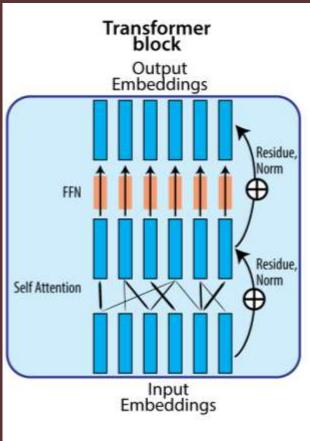
- Represent words in a vector space
  - Vector geometry => semantic relation.



# Word Vector in Context: RNN / Transformers

- Meaning of word depends on context, not always the same.
  - "I book a ticket to buy that book."
  - Word vectors should depend on context.
- Transformers let each word "absorb" influence from other words to be "contextualized"





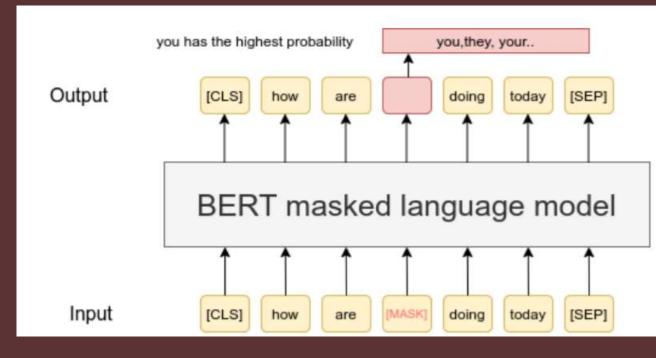
More on attention later...

# Learning Word Vectors: GPT & BERT & CLIP

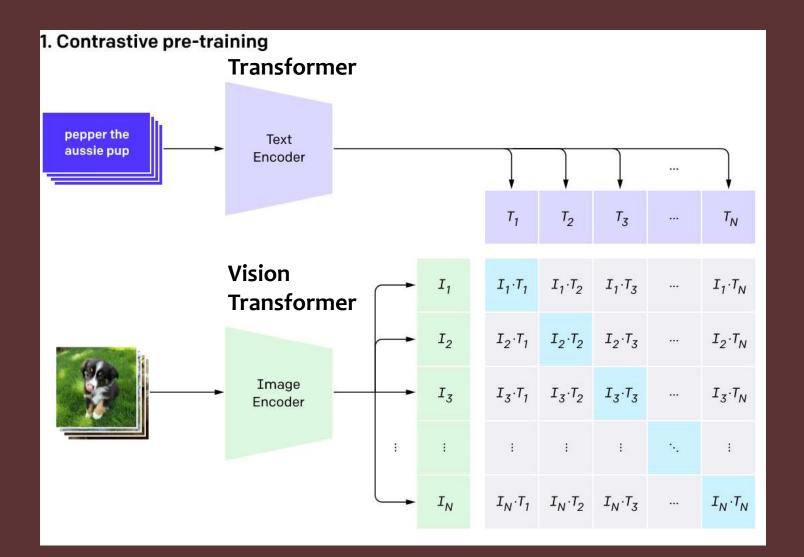
 Self-supervised learning of word representation

 Predicting missing / next words in a sentence. (BERT, GPT)

 Contrastive Learning, matching image and text. (CLIP) Downstream Classifier can decode: Part of speech, Sentiment, ...



# Joint Representation for Vision and Language: CLIP



- Learn a joint encoding space for text caption and image
- Maximize
   representation similarity
   between an image and
   its caption.
- Minimize other pairs

## Choice of text encoding

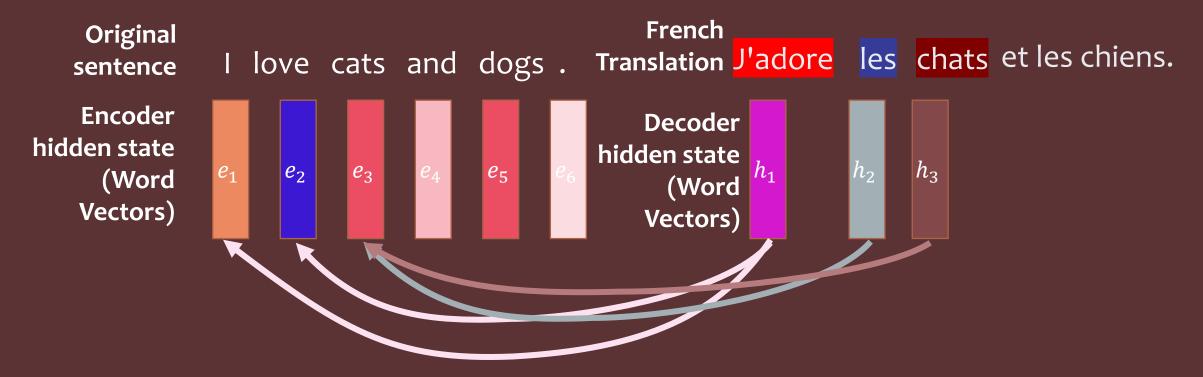
- Encoder in Stable Diffusion: pre-trained CLIP ViT-L/14 text encoder
- Word vector can be randomly initialized and learned online.
- Representing other conditional signals
  - Object categories (e.g. Shark, Trout, etc.):
    - 1 vector per class
  - Face attributes (e.g. {female, blonde hair, with glasses, ... }, {male, short hair, dark skin}):
    - set of vectors, 1 vector per attributes
- Time to be creative!!

### How does text affect diffusion?

Incoming Cross Attention



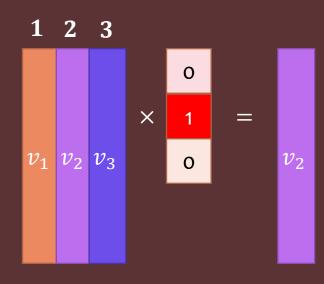
## Origin of Attention: Machine Translation (Seq2Seq)



Use Attention to retrieve useful info from a batch of vectors.

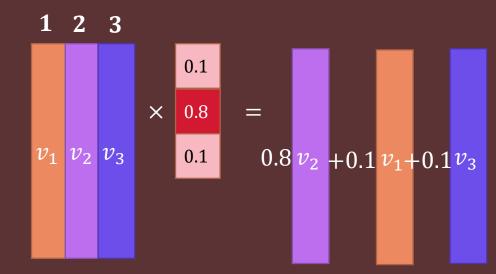
## From Dictionary to Attention Dictionary: Hard-indexing

- 'dic =  $\{1: v_1, 2: v_2, 3: v_3\}$ '
  - Keys 1,2,3
  - Values  $v_1, v_2, v_3$
- `dic[2]`
  - Query 2
  - Find 2 in keys
  - Get corresponding value.
- Retrieving values as matrix vector product
  - One hot vector over the keys
  - Matrix vector product



## From Dictionary to Attention Attention: Soft-indexing

- Soft indexing
  - Define an attention distribution
     a over the keys
  - Matrix vector product.
  - Distribution based on similarity of query and key.



### **QKV** attention

- Query: what I need (J'adore: "I want subject pronoun & verb")
- Key: what the target provide (I: "Here is the subject")
- Value: the information to be retrieved (latent related to Je or J')
- Linear projection of "word vector"
  - Query  $q_i = W_q h_i$
  - Key  $k_i = W_k e_i$
  - Value  $v_j = W_v e_j$
  - $e_i$  hidden state of encoder (English, source)
  - $h_i$  hidden state of decoder (French, target)

#### **Attention mechanism**

- Compute the inner product (similarity) of key k and query q
- SoftMax the normalized score as attention distribution.

$$a_{ij} = \operatorname{SoftMax}\left(\frac{k_j^T q_i}{\sqrt{len(q)}}\right), \sum_j a_{ij} = 1$$

ullet Use attention distribution to weighted average values v.

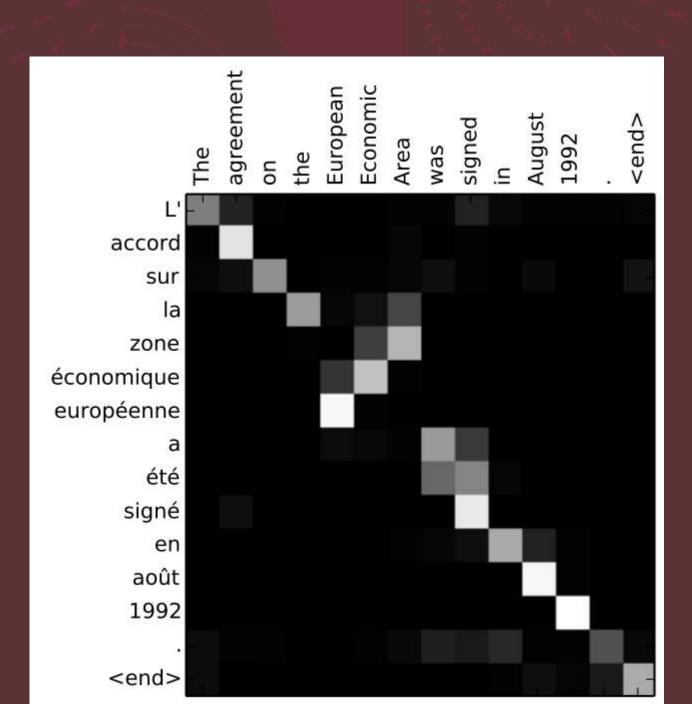
$$c_i = \sum_j a_{ij} v_j$$

# Visualizing Attention matrix $a_{ij}$

- French 2 English
- "Learnt to pay Attention"
  - "la zone economique europeenne" -> "the European Economic Area"
  - "a ete signe" -> "was signed"

Attention + RNN

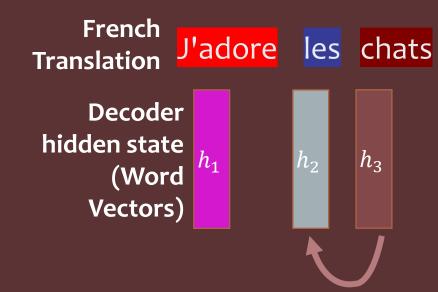
https://jalammar.github.io/visualizing-neural-machine-translation-mechanics-of-seq2seq-models-with-attention/



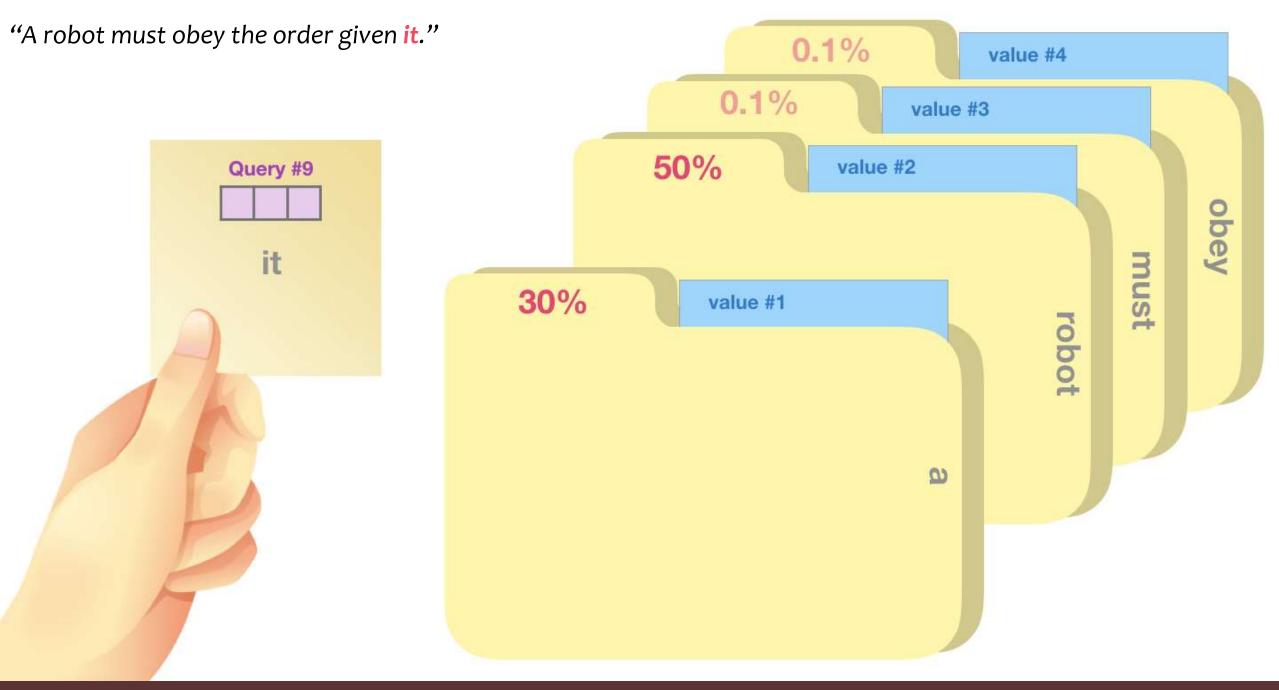
#### **Cross & Self Attention**

- Cross Attention
  - Tokens in one language pay attention to tokens in another.

- Self Attention  $(e_i = h_i)$ 
  - Tokens in a language pay attention to each other.



"A robot must obey the order given it." Key #4 value #4 Key #3 value #3 Key #2 value #2 Query #9 obey must it Key #1 value #1 robot 0

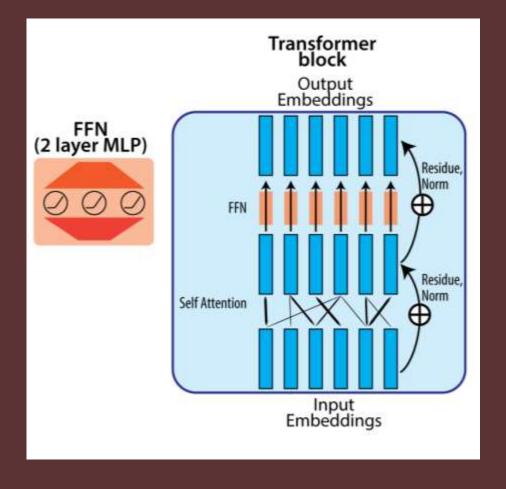


Word	Value vector	Score	Value X Score
<s></s>		0.001	
а		0.3	
robot		0.5	
must		0.002	
obey		0.001	
the		0.0003	
orders		0.005	
given		0.002	
it		0.19	
		Sum:	

#### Note: Feed Forward network

 Attention is usually followed by a 2-layer MLP and Normalization

• Learn nonlinear transform.



## Text2Image as translation

Source language: Words

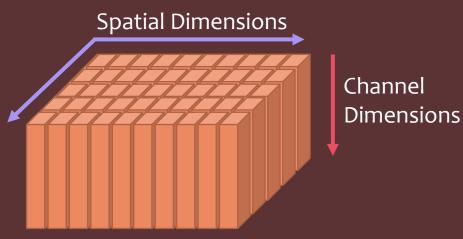
Encoded Word Vectors

"A ballerina chasing her cat running on the grass in the style of Monet"

Target language: Images

Latent State of Image

Patch Vectors!

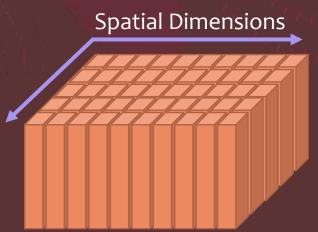




## Text2Image as translation

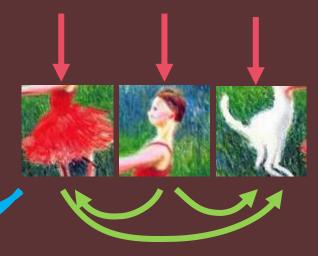
Sequence Dimensions Encoded **Word Vectors** 

**Latent State** of Image



Channel Dimensions

"A ballerina chasing her cat running on the grass in the style of Monet "

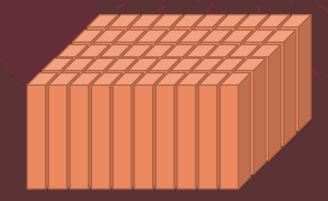


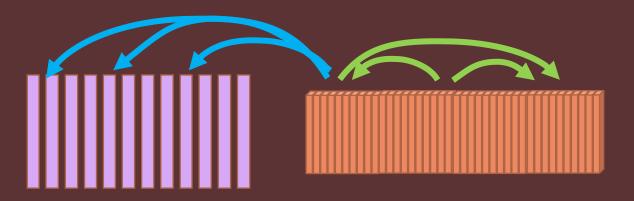
**Cross Attention:** Image to Words

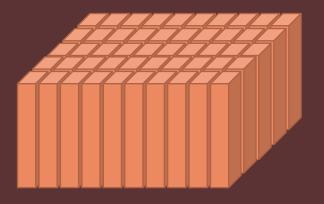
**Self Attention:** Image to Image

## **Spatial Transformer**

- Rearrange spatial tensor to sequence.
- Cross Attention
- Self Attention
- FFN
- Rearrange back to spatial tensor (same shape)







## Tips: Implementing attention `einops` lib

- `einops.rearrange` function
  - Shift order of axes
  - Split / combine dimension.

- `torch.einsum` function
  - Multiply & sum tensors along axes.

#### einops

new flavours of deep learning operations

## UNet = Giant Sandwich of Spatial transformer + ResBlock (Conv layer)

Down blocks

ResBlock

SpatialTransformer

ResBlock

SpatialTransformer

DownSample

ResBlock

SpatialTransformer

ResBlock

SpatialTransformer

DownSample

ResBlock

Spatial Transformer

ResBlock

SpatialTransformer

DownSample

ResBlock

ResBlock

Up blocks

ResBlock

ResBlock

ResBlock

UpSample

ResBlock

SpatialTransformer

ResBlock

SpatialTransformer

ResBlock

Spatial Transformer

UpSample

ResBlock

SpatialTransformer

ResBlock

SpatialTransformer

ResBlock

SpatialTransformer

UpSample

ResBlock

SpatialTransformer

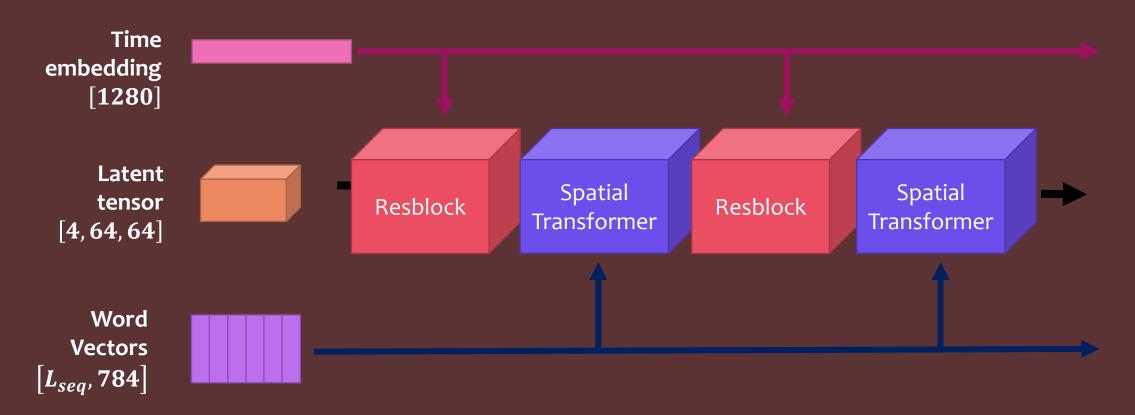
ResBlock

**SpatialTransformer** 

ResBlock

SpatialTransformer

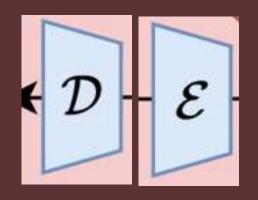
## Spatial transformer + ResBlock (Conv layer)



- Alternating Time and Word Modulation
- Alternating Local and Nonlocal operation

## **Diffusion in Latent Space**

Adding in AutoEncoder



Rombach, R., Blattmann, A., Lorenz, D., Esser, P., & Ommer, B. (2022). High-resolution image synthesis with latent diffusion models, *CVPR* 

## Diffusion in latent space

- Motivation:
  - Natural images are high dimensional
  - but have many redundant details that could be compressed / statistically filled out
- Division of labor
  - Diffusion model -> Generate low resolution sketch
  - AutoEncoder -> Fill out high resolution details
- Train a VAE model to compress images into latent space.
  - $\chi \to Z \to \chi$
- Train diffusion models in latent space of z.

DownSampling

32 pix

180 pix





d = 2352

d = 97200



[3,512,512]

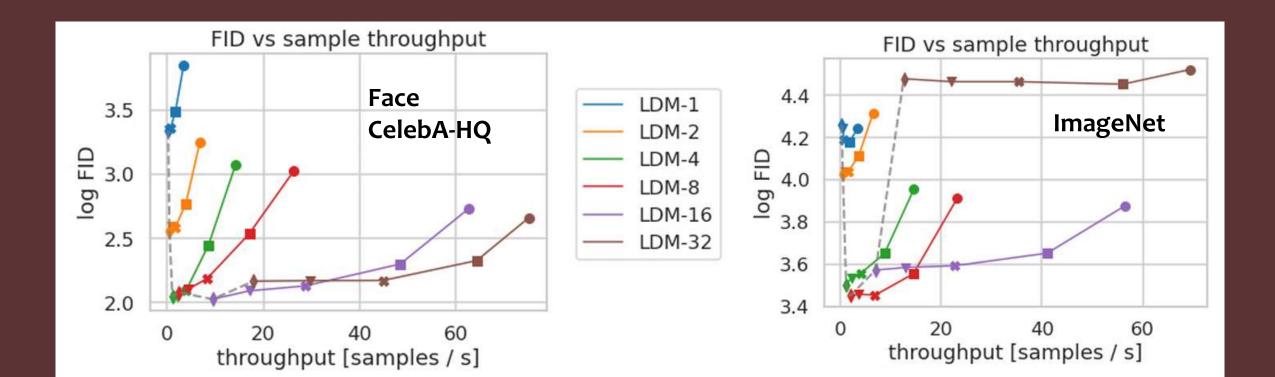
[4,512/*f*,512/*f*]



[3,512,512]

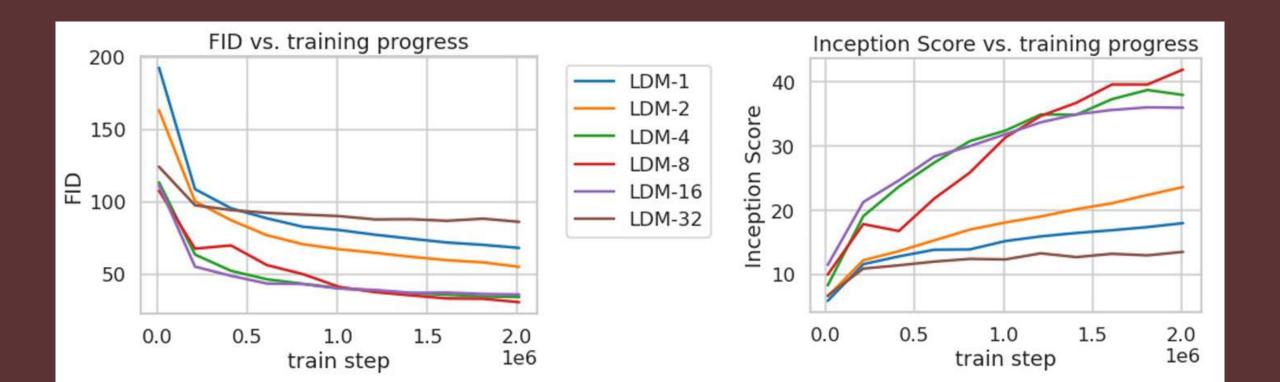
## **Spatial Compression Tradeoff**

- LDM- $\{f\}$ . f = Spatial downsampling factor
  - Higher f leads to faster sampling, with degraded image quality (FID  $\uparrow$ )
  - Fewer sampling steps leads to faster sampling, with lower quality (FID ↑)



## **Spatial Compression Tradeoff**

- LDM- $\{f\}$ . f = Spatial downsampling factor
  - Too little compression f=1.2 or too much compression f=32, makes diffusion hard to train.



#### Details in Stable Diffusion

- In stable diffusion, spatial downsampling f=8
  - *x* is (3, 512, 512) image tensor
  - z is (4, 64, 64) latent tensor

## Regularizing the Latent Space

- KL regularizer
  - Similar to VAE, make latent distribution like Gaussian distribution.

- VQ regularizer
  - Make the latent representation quantized to be a set of discrete tokens.

## Let the GPUs roar!

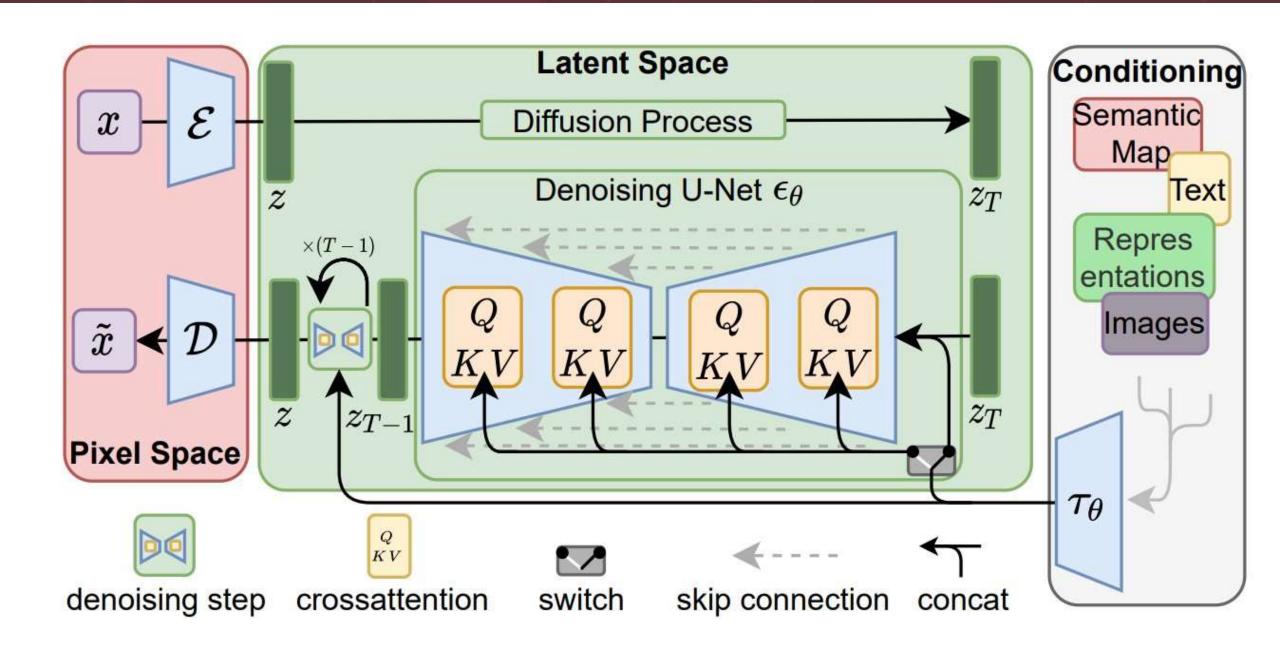
Training data & details.

## Large Data Training

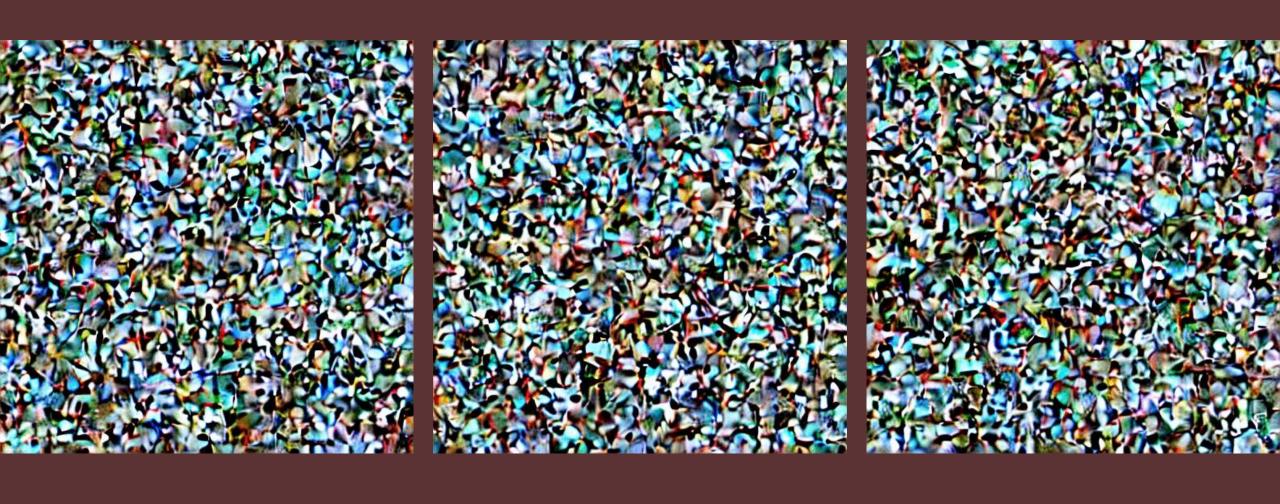
SD is trained on ~ 2 Billion image – caption (English) pairs.

Scraped from web, filtered by CLIP.

https://laion.ai/blog/laion-5b/

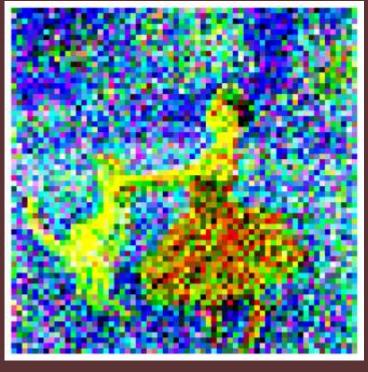


### **Diffusion Process Visualized**



## Meaning of latent space

• Latent state contains a "sketch version" of the image.



z[0:3,:,:]