

# NUS-ISS

## *Problem Solving Using Pattern Recognition*



# Convolutional neural network

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# 2D convolution

The original

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
1																	
2		<u>Input</u>							<u>Kernel</u>						<u>Output</u>		
3																	
4		1	3	2	1				1	2	3						
5		1	3	3	1				0	1	0				23	22	
6		2	1	1	3				2	1	2				31	26	
7		3	2	3	3												
8																	

Source: <https://medium.com/apache-mxnet/convolutions-explained-with-ms-excel-465d6649831c>

# 2D convolution

The padded

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
1																			
2			<u>Input</u>							<u>Kernel</u>						<u>Output</u>			
3																			
4		0	0	0	0	0	0												
5		0	1	3	2	1	0			1	2	3			8	14	13	8	
6		0	1	3	3	1	0			0	1	0			16	23	22	10	
7		0	2	1	1	3	0			2	1	2			20	31	26	17	
8		0	3	2	3	3	0								10	9	15	10	
9		0	0	0	0	0	0												
10																			

Source: <https://medium.com/apache-mxnet/convolutions-explained-with-ms-excel-465d6649831c>

# 2D convolution

Multi-channel

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y
1																									
2		<u>Input</u>								<u>Kernel</u>						<u>Intermediate Output</u>									
3																									
4		1	0	1	0	2																			
5		1	1	3	2	1				0	1	0				7	5	3							
6		1	1	0	1	1				0	0	2				4	7	5							
7		2	3	2	1	3				0	1	0				7	2	8							
8		0	2	0	1	0																			
9																									
10		1	0	0	1	0																			
11		2	0	1	2	0				2	1	0				5	3	10					19	13	15
12		3	1	1	3	0				0	0	0				13	1	13					28	16	20
13		0	3	0	3	2				0	3	0				7	12	11					23	18	25
14		1	0	3	2	1																			
15																									
16		2	0	1	2	1																			
17		3	3	1	3	2				1	0	0				7	5	2							
18		2	1	1	1	0				1	0	0				11	8	2							
19		3	1	3	2	0				0	0	2				9	4	6							
20		1	1	2	1	1																			
21																									

Source: <https://medium.com/apache-mxnet/convolutions-explained-with-ms-excel-465d6649831c>

# Max pooling

The original

Single depth slice

1	1	2	4
5	6	7	8
3	2	1	0
1	2	3	4

max pool with 2x2 filters  
and stride 2

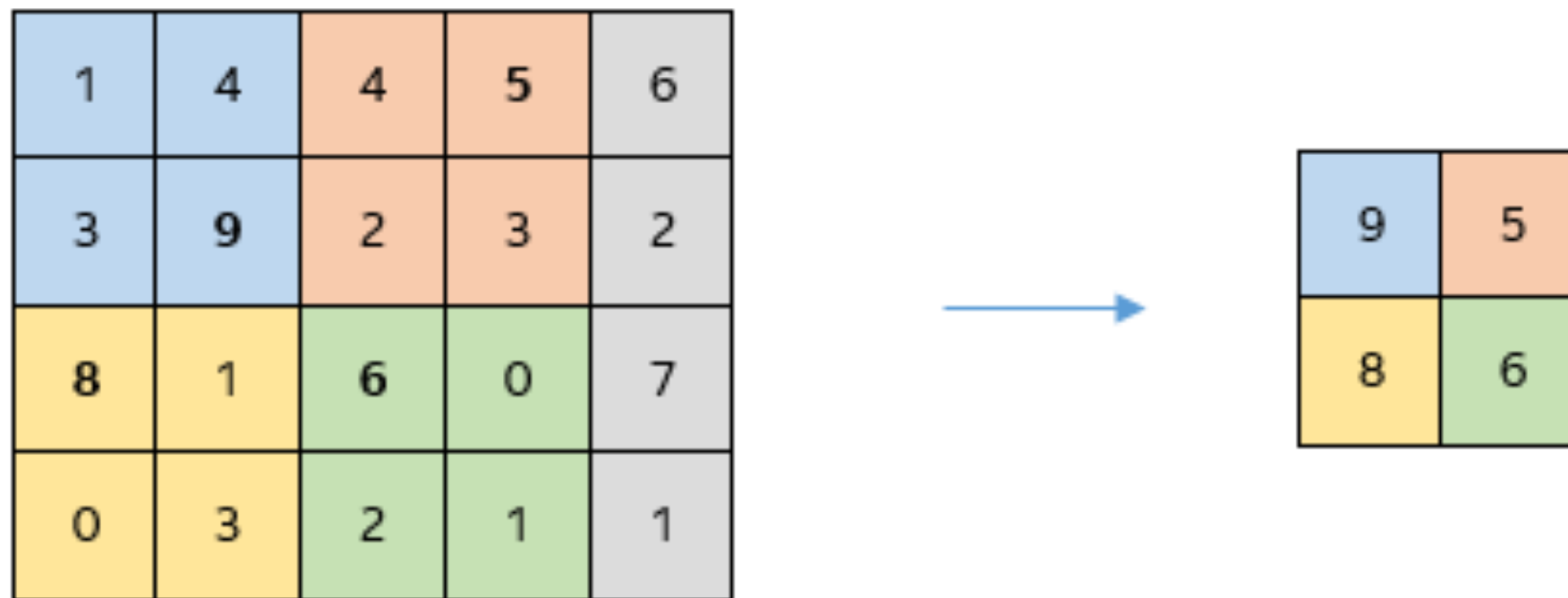


6	8
3	4

Source: <http://cs231n.github.io/convolutional-networks/>

# Max pooling

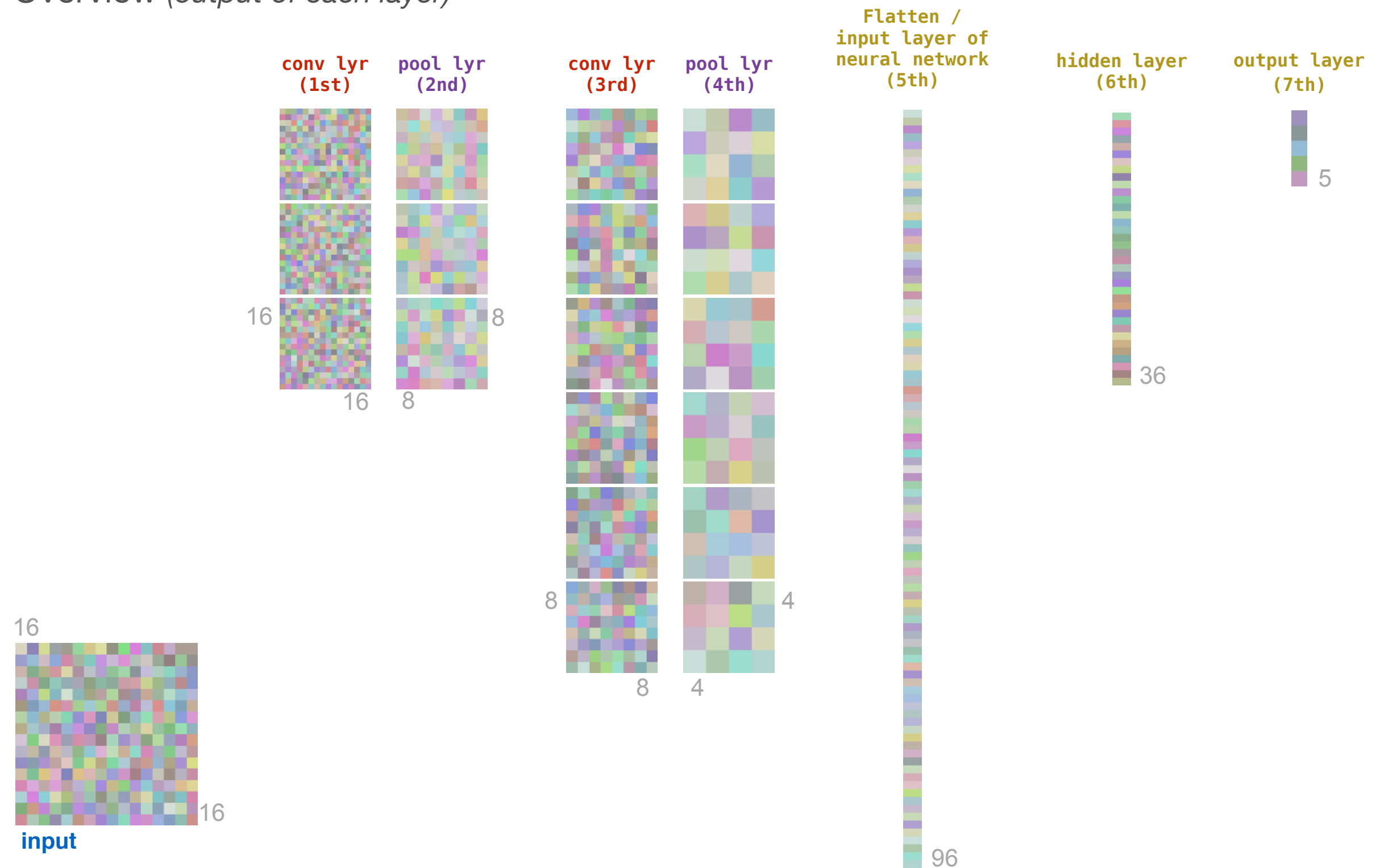
With situation



Source: <https://software.intel.com/en-us/daal-programming-guide-2d-max-pooling-forward-layer>

# Convolutional neural network

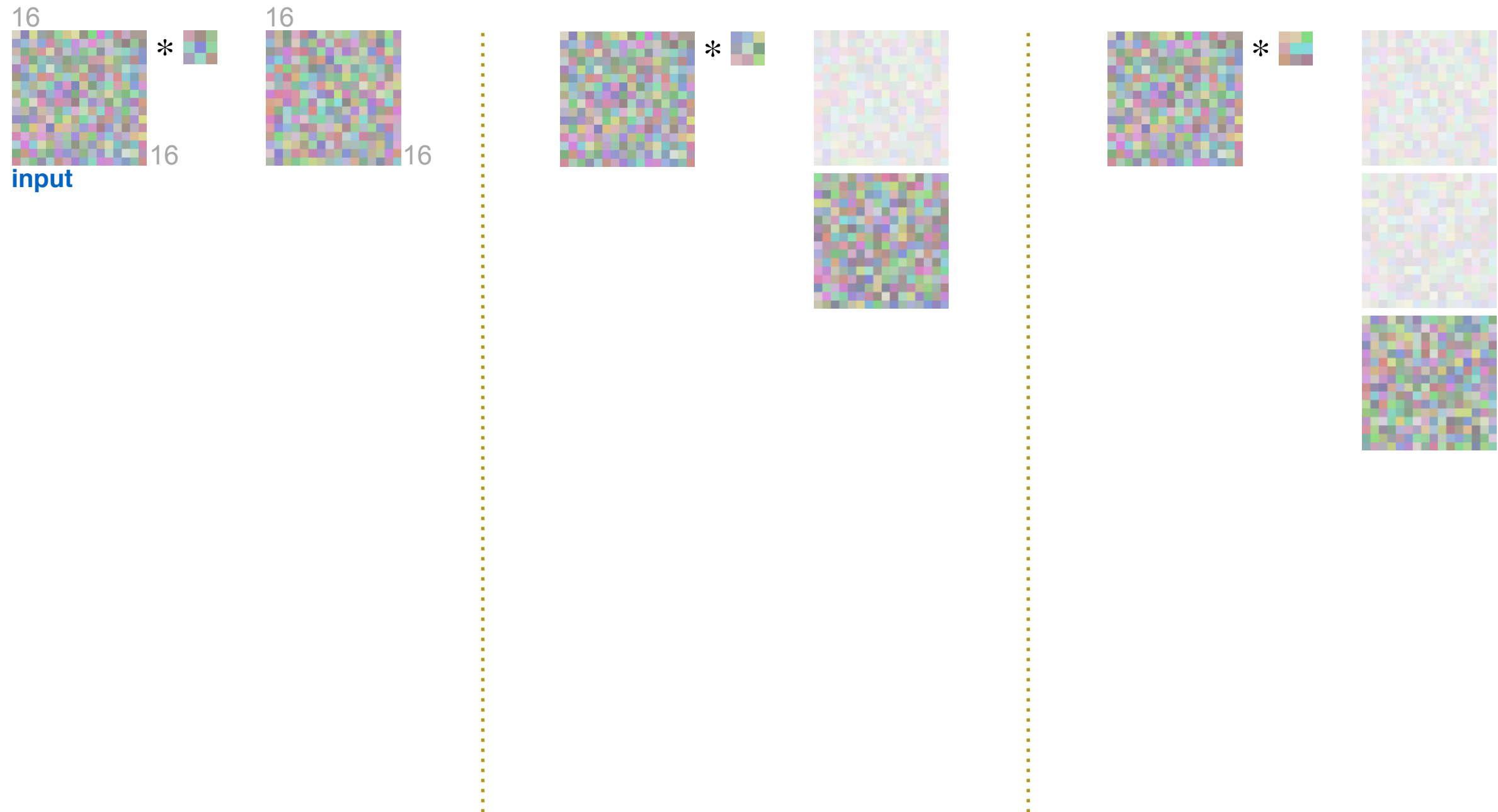
Overview (*output of each layer*)



# The making of ...

The first convolutional layer  
(part 1)

- Performs 3 separate 2D convolutions (with padding) to generate 3 intermediate outputs

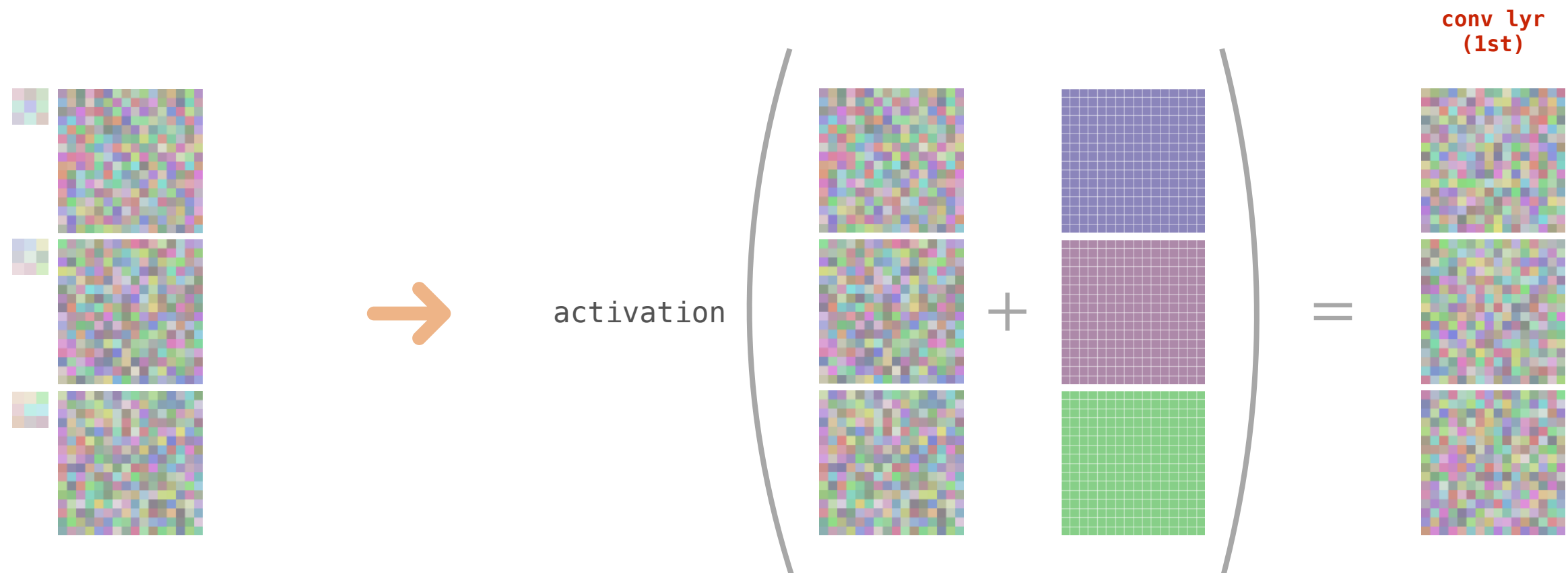




# The making of ...

The first convolutional layer  
(part 2)

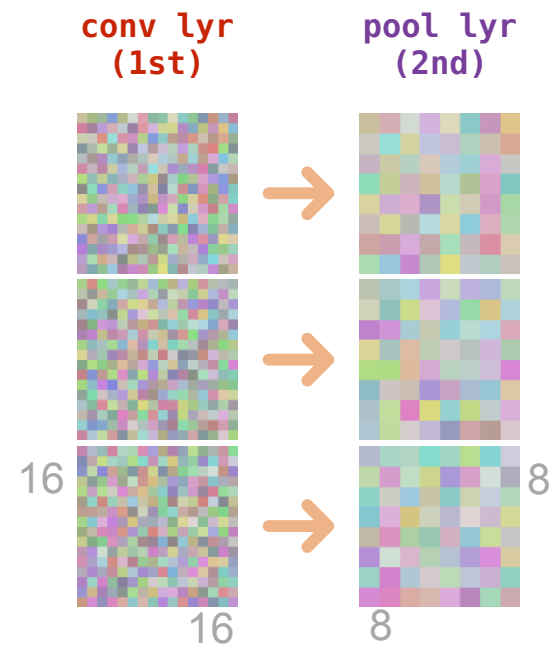
- Add bias to each convolution output, and apply activation function to get the final output for the convolutional layer



# The making of ....

The pooling layer

- Apply 2 x 2 max-pooling (stride 2) on the outputs from the first convolutional layer



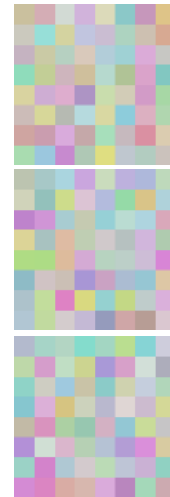
# The making of ...

The second convolutional layer (part 1)

- Performs 6 separate multi-channel 2D convolutions (with padding) to generate 6 convolution outputs

pool lyr  
(2nd)

8



\*

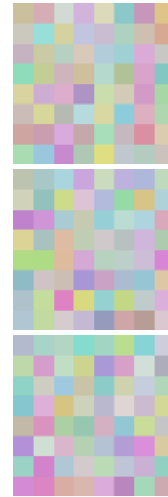
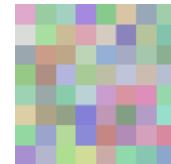


8

\*



\*



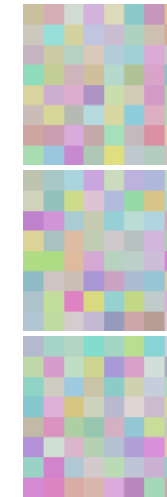
\*



\*



\*



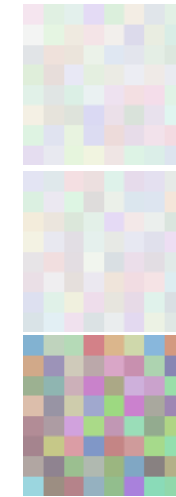
\*



\*



\*

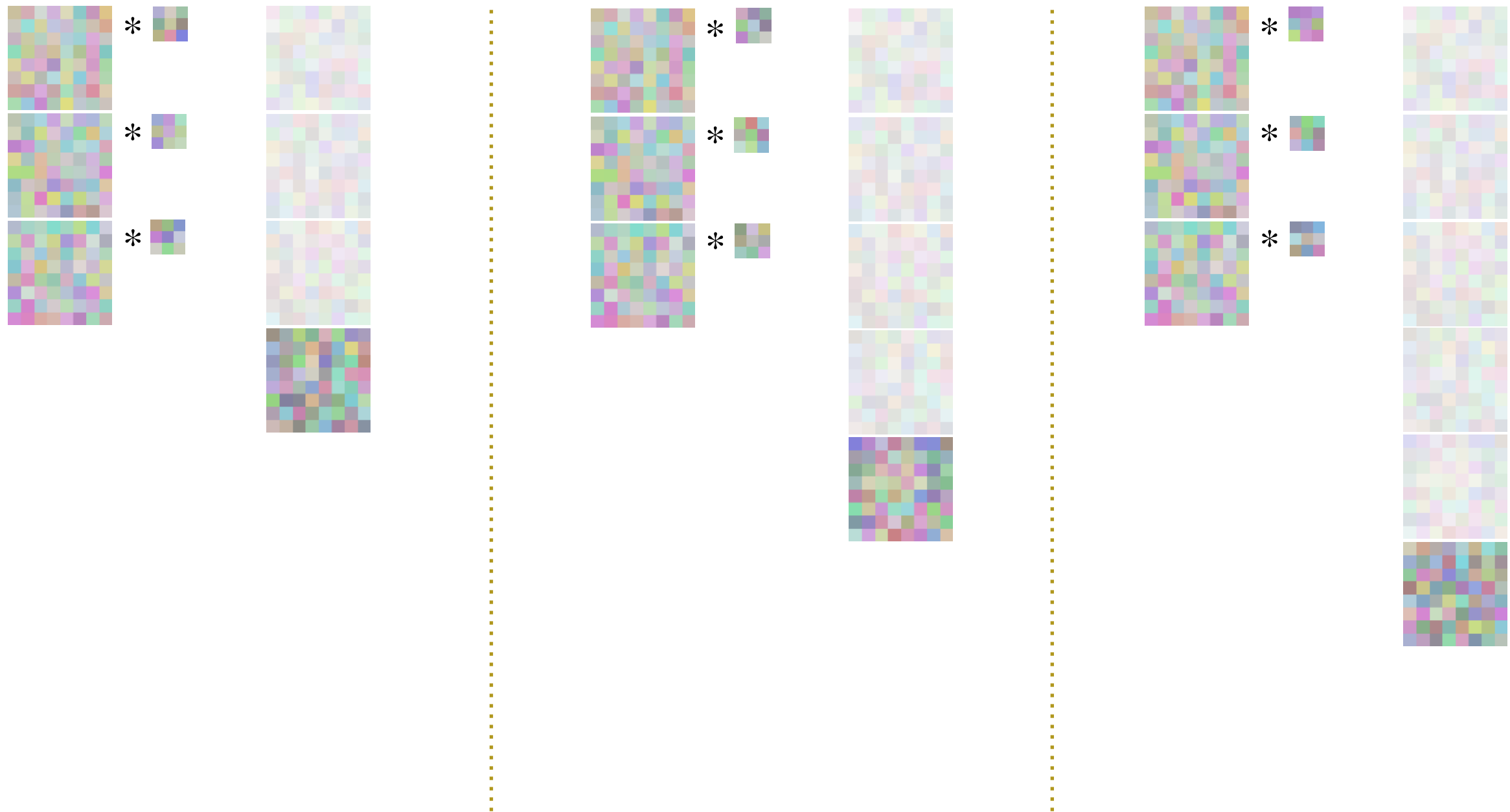


# The making of ...

The second convolutional layer (part 2)

- Performs 6 separate multi-channel 2D convolutions (with padding) to generate 6 convolution outputs

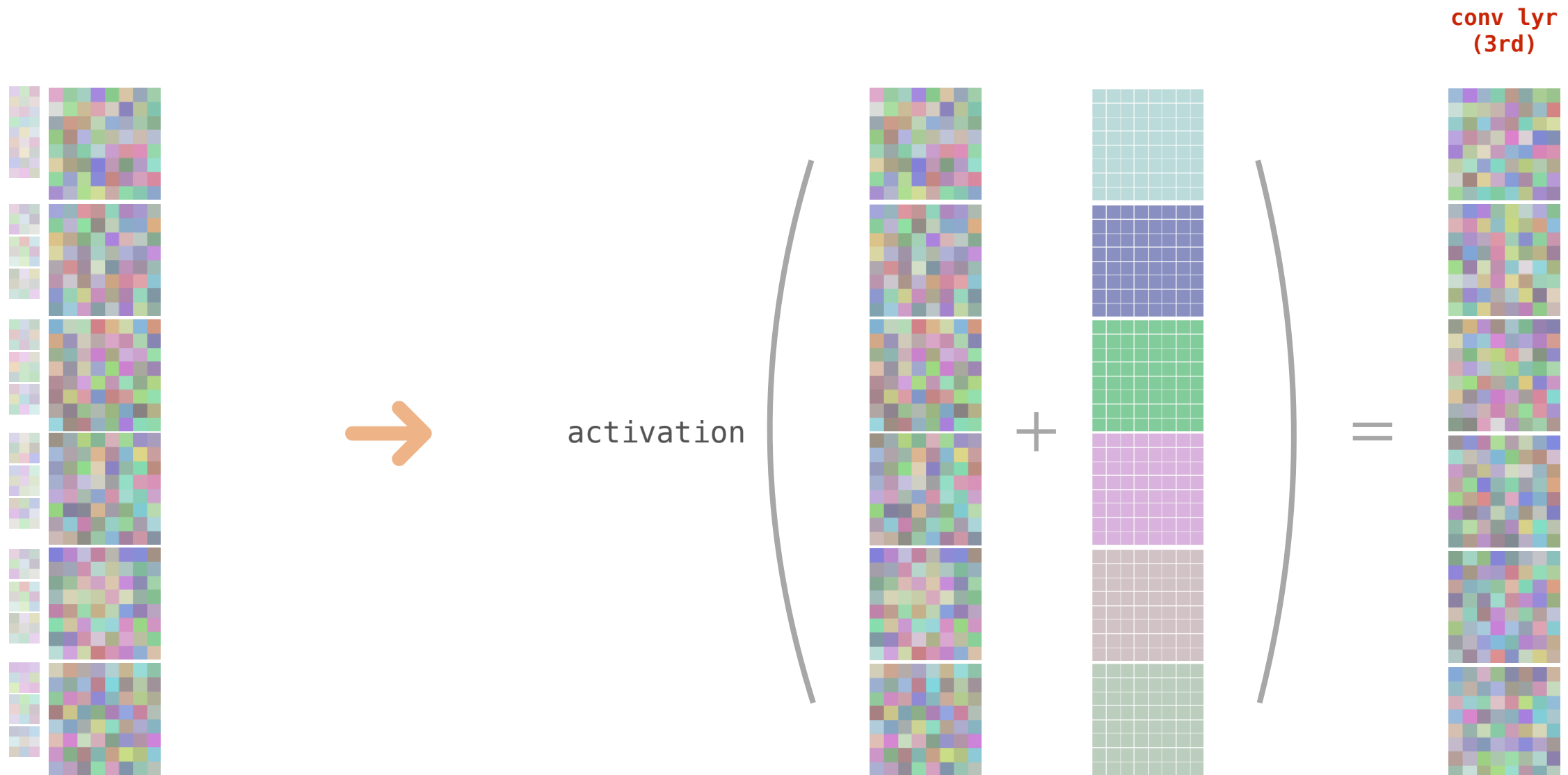
pool lyr  
(2nd)



# The making of ...

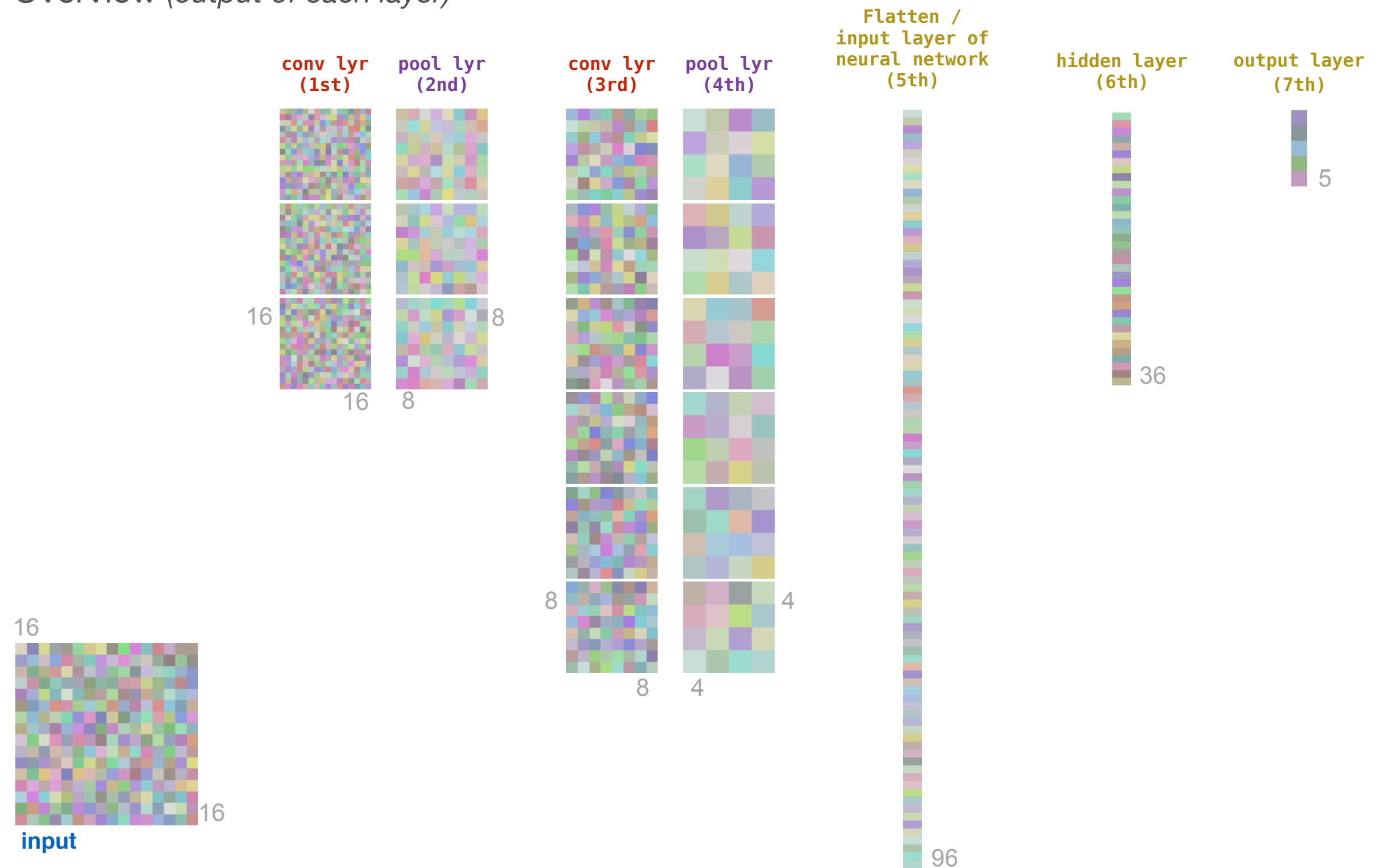
The first convolutional layer  
(part 3)

- Add bias to each intermediate output, and apply activation function to get the final output for the convolutional layer



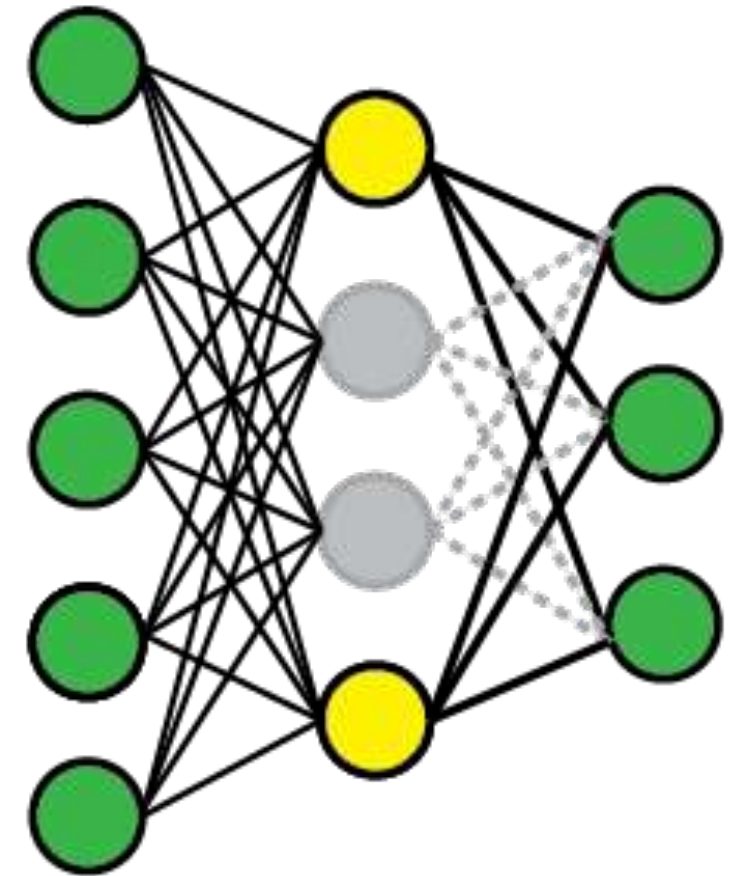
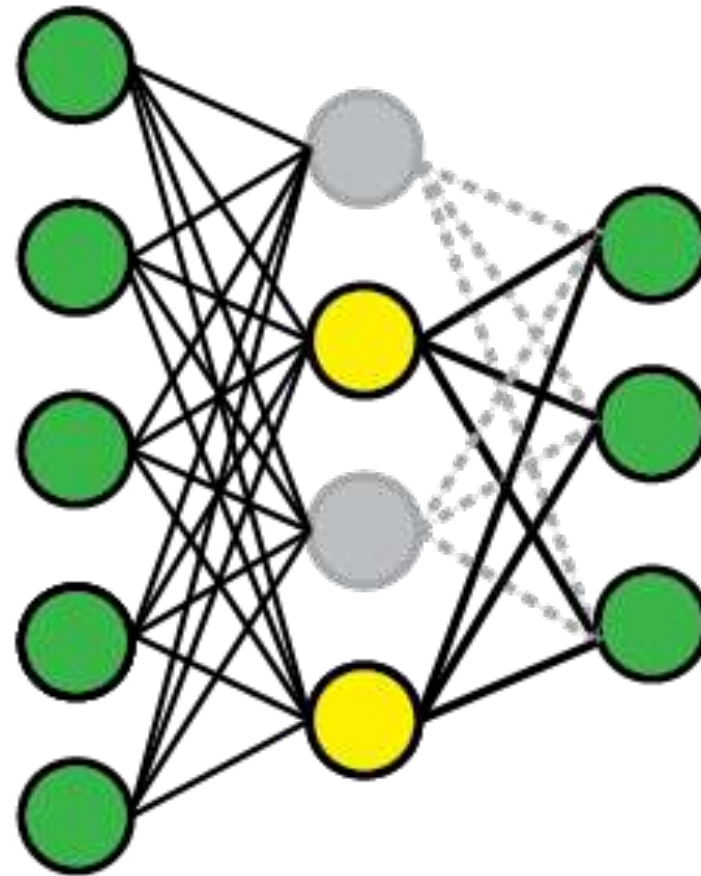
# Convolutional neural network

Overview (*output of each layer*)



# Convolutional neural network

Dropout



Source: <https://stats.stackexchange.com/questions/201569/difference-between-dropout-and-dropconnect>

## **Exercise**

Suggest a use case of identification using machine learning method



## Exercise

Suggest a use case of identification using machine learning method

- Make your proposal in powerpoint slides by answering the below questions:
- What is the problem to be solved? Why is the problem worth solving?
- Which supervised learning method are you going to use, and why did you choose that?
- What are the input(s) and output(s)? Specify the number of classes. Provide a few examples on the input and output (approximation is also OK)
- How do you plan to collect the data for your input and output, and what would you do in your data collection to assure robustness of your trained model
- How much data do you think is needed to train your model well?

**Any fans of Japan?**

# Cursive Kuzushiji

Automated solution?



Source: <https://arxiv.org/pdf/1812.01718.pdf>



# Kuzushiji MNIST

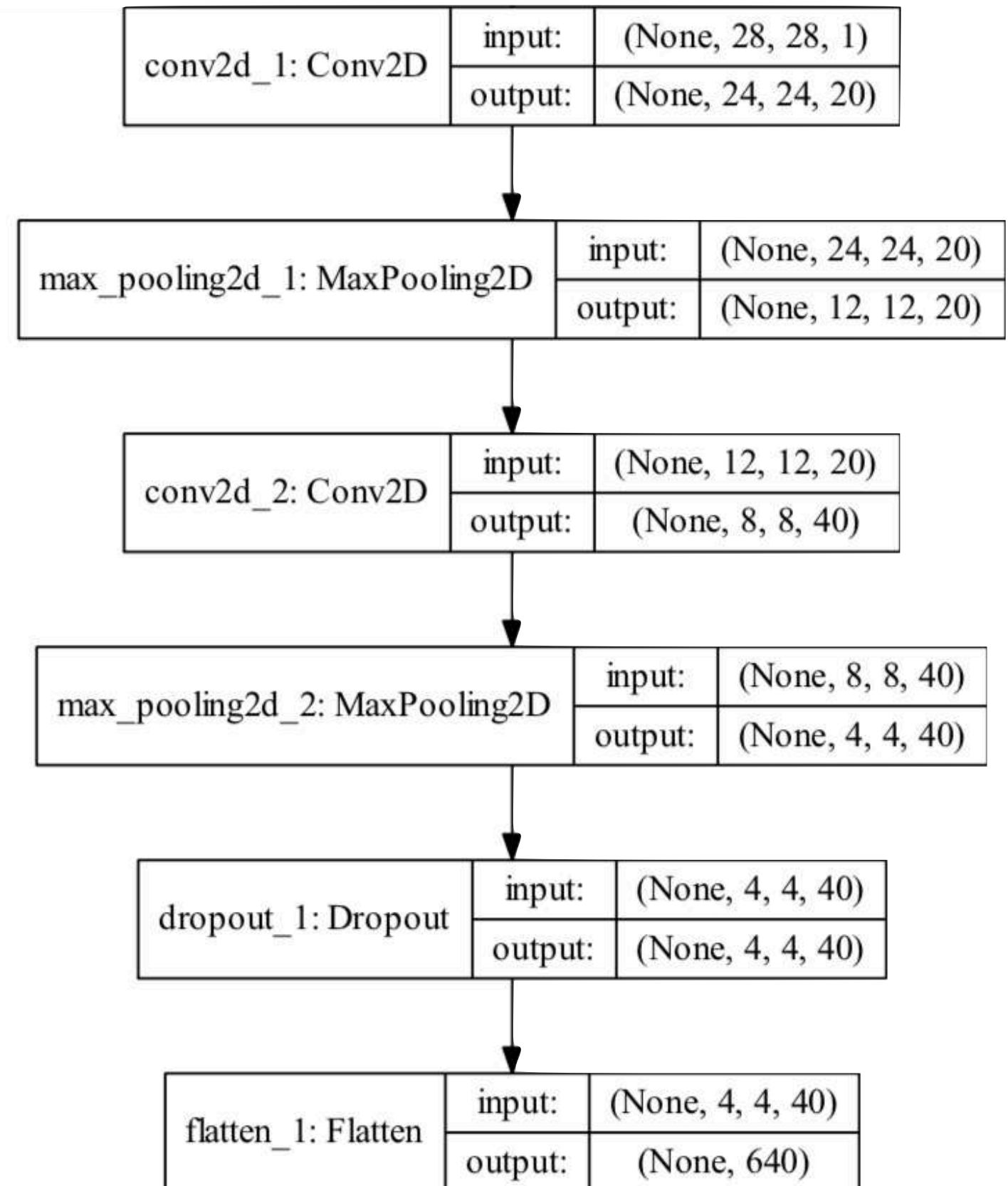
Another 'MNIST' alternative



Source: [https://github.com/rois-codh/kmnist/blob/master/images/kmnist\\_examples.png](https://github.com/rois-codh/kmnist/blob/master/images/kmnist_examples.png)

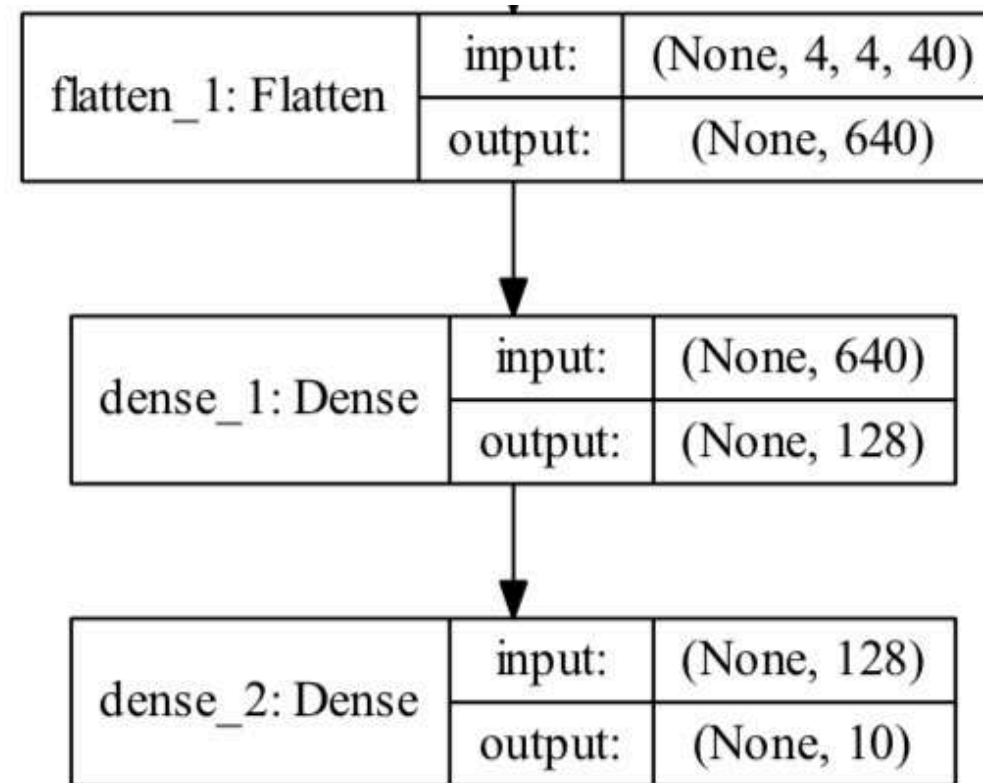
# Kuzushiji MNIST

The basic model, part 1



# Kuzushiji MNIST

The basic model, part 1



# Kuzushiji MNIST

The main layout for the code

1.Import libraries

2.Matplotlib setup

3.Data preparation

4.Define model

5.Train model

6.Test model

# Kuzushiji MNIST

## 1. Import libraries, part 1

- numpy for matrix manipulation; sklearn for measuring performance; matplotlib to show image and plot result; os for path manipulation

```
> import numpy as np
> import sklearn.metrics as metrics
> import matplotlib.pyplot as plt
> import os
```



# Kuzushiji MNIST

## 1. Import libraries, part 2

- Import all the Keras functions that we are going to use in this problem

```
> from tensorflow.keras.callbacks import ModelCheckpoint, CSVLogger  
> from tensorflow.keras.models import Sequential  
> from tensorflow.keras.layers import Dense  
> from tensorflow.keras.layers import Dropout  
> from tensorflow.keras.layers import Flatten  
> from tensorflow.keras.layers import Conv2D  
> from tensorflow.keras.layers import MaxPooling2D  
> from tensorflow.keras.utils import to_categorical
```

# Kuzushiji MNIST

## 2. Matplotlib setup, part 1

- First three lines setup the font manager, so that we can display Japanese words correctly in later usage
- Use 'ggplot' style to plot our training and testing result

```
> from matplotlib import font_manager as fm
> fpath      = os.path.join(os.getcwd(), "ipam.ttf")
> prop       = fm.FontProperties(fname=fpath)

> plt.style.use('ggplot')
> plt.rcParams['ytick.right']      = True
> plt.rcParams['ytick.labelright'] = True
> plt.rcParams['ytick.left']       = False
> plt.rcParams['ytick.labelleft']  = False
> plt.rcParams['font.family']      = 'Arial'
```

# Kuzushiji MNIST

## 2. Matplotlib setup, part 2

- Create a function that can display gray scale image correctly

```
> def grayplt(img, title=''):
    plt.axis('off')
    if np.size(img.shape) == 3:
        plt.imshow(img[:, :, 0], cmap='gray', vmin=0, vmax=1)
    else:
        plt.imshow(img, cmap='gray', vmin=0, vmax=1)
    plt.title(title, fontproperties=prop)
    plt.show()
```

# Kuzushiji MNIST

## 3. Data preparation, part 1

- Load train and test data; load train and test labels
- Rescale data to float, range from 0 to 1

```
> trDat      = np.load('kmnist-train-imgs.npz')['arr_0']  
> trLbl      = np.load('kmnist-train-labels.npz')['arr_0']  
> tsDat      = np.load('kmnist-test-imgs.npz')['arr_0']  
> tsLbl      = np.load('kmnist-test-labels.npz')['arr_0']
```

```
> trDat      = trDat.astype('float32')/255  
> tsDat      = tsDat.astype('float32')/255
```

```
> imgrows    = trDat.shape[1]  
> imgclms    = trDat.shape[2]
```

# Kuzushiji MNIST

## 3. Data preparation, part 2

- The current shape for trDat is (60000, 28, 28)
- The current shape for tsDat is (10000, 28, 28)
- Need to be reshaped into the form of (samples, width, height, channel)

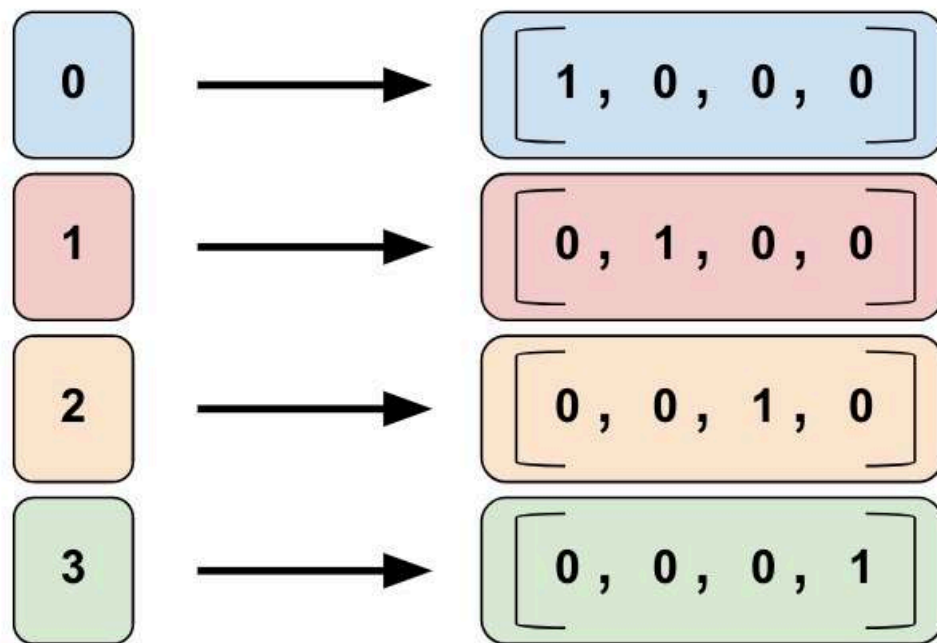
```
> trDat      = trDat.reshape(trDat.shape[0],  
                             imgrows,  
                             imgclms,  
                             1)  
> tsDat      = tsDat.reshape(tsDat.shape[0],  
                             imgrows,  
                             imgclms,  
                             1)
```

# Kuzushiji MNIST

## 3. Data preparation, part 3

- One-hot encode the train and test label information; get the number of classes in the labels

```
> trLbl = to_categorical(trLbl)
> tsLbl = to_categorical(tsLbl)
> num_classes = tsLbl.shape[1]
```



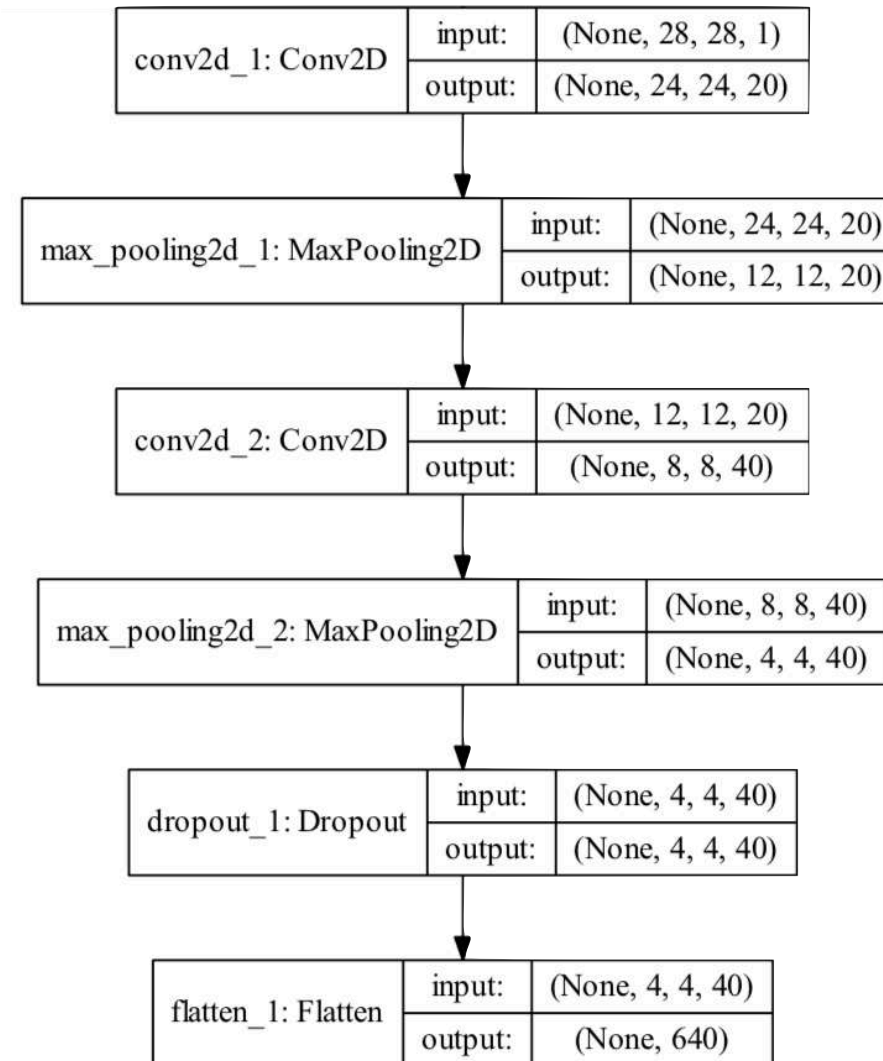
Source: <https://arxiv.org/pdf/1812.01718.pdf>

# Kuzushiji MNIST

## 4. Define model, part 1

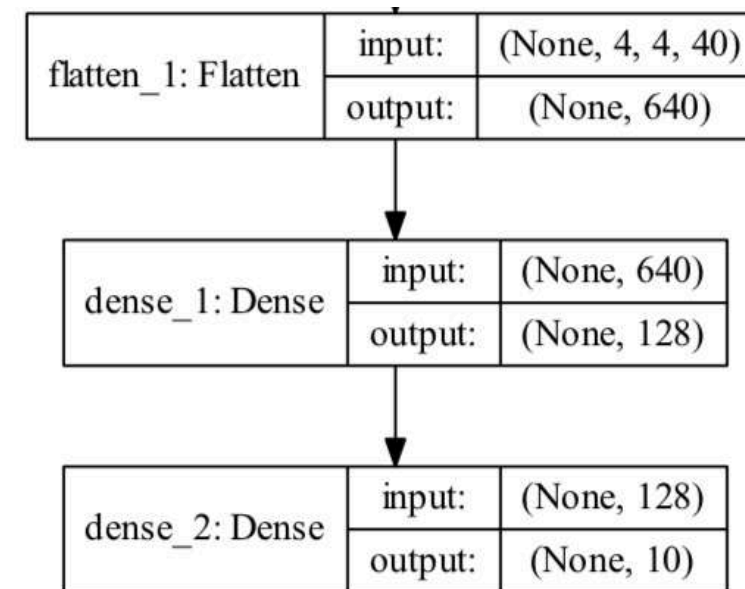
```
> seed = 29
> np.random.seed(seed)

> modelname = 'wks5_1a'
> def createModel():
    model = Sequential()
    model.add(Conv2D(20, (5, 5), input_shape=(28, 28, 1), activation='relu'))
    model.add(MaxPooling2D(pool_size=(2, 2)))
    model.add(Conv2D(40, (5, 5), activation='relu'))
    model.add(MaxPooling2D(pool_size=(2, 2)))
    model.add(Dropout(0.2))
    model.add(Flatten())
    model.add(Dense(128, activation='relu'))
    ....
```



# Kuzushiji MNIST

## 4. Define model, part 1



```
> seed = 29
> np.random.seed(seed)

> modelname = 'wks5_1a'
> def createModel():
    model = Sequential()
    model.add(Conv2D(20, (5, 5), input_shape=(28, 28, 1), activation='relu'))
    model.add(MaxPooling2D(pool_size=(2, 2)))
    model.add(Conv2D(40, (5, 5), activation='relu'))
    model.add(MaxPooling2D(pool_size=(2, 2)))
    model.add(Dropout(0.2))
    model.add(Flatten())
    model.add(Dense(128, activation='relu'))
    model.add(Dense(num_classes, activation='softmax'))
    model.compile(loss='categorical_crossentropy', optimizer='adam',
                  metrics=['accuracy'])
    return model
```



# Kuzushiji MNIST

## 4. Define model, part 2

- 'model' for training; 'modelGo' for final evaluation

```
> model = createModel()  
> modelGo = createModel()  
  
> model.summary()
```

Layer (type)	Output Shape	Param #
=====		
conv2d_1 (Conv2D)	(None, 24, 24, 20)	520
-----		
max_pooling2d_1 (MaxPooling2D)	(None, 12, 12, 20)	0
-----		
conv2d_2 (Conv2D)	(None, 8, 8, 40)	20040
-----		
max_pooling2d_2 (MaxPooling2D)	(None, 4, 4, 40)	0
-----		
dropout_1 (Dropout)	(None, 4, 4, 40)	0
-----		
flatten_1 (Flatten)	(None, 640)	0
-----		
dense_1 (Dense)	(None, 128)	82048
-----		
dense_2 (Dense)	(None, 10)	1290
=====		
Total params: 103,898		
Trainable params: 103,898		
Non-trainable params: 0		
-----		

# Kuzushiji MNIST

## 4. Define model, part 3

- Create checkpoints to save model during training and save training data into csv

```
> filepath          = modelname + ".hdf5"
> checkpoint        = ModelCheckpoint(filepath,
                                     monitor='val_acc',
                                     verbose=0,
                                     save_best_only=True,
                                     mode='max')

> csv_logger        = CSVLogger(modelname + '.csv')
> callbacks_list     = [checkpoint, csv_logger]
```

# Kuzushiji MNIST

## 5. Train model

- Training is only a single line

```
> model.fit(trDat,  
            trLbl,  
            validation_data=(tsDat, tsLbl),  
            epochs=60,  
            batch_size=128,  
            callbacks=callbacks_list)
```

Train on 60000 samples, validate on 10000 samples

Epoch 1/60

60000/60000 [=====] - 68s 1ms/sample - loss: 0.4707 - acc: 0.8539 - val\_loss: 0.4163 - val\_acc: 0.8689

Epoch 2/60

60000/60000 [=====] - 66s 1ms/sample - loss: 0.1603 - acc: 0.9509 - val\_loss: 0.3003 - val\_acc: 0.9125

Epoch 3/60

60000/60000 [=====] - 66s 1ms/sample - loss: 0.1068 - acc: 0.9673 - val\_loss: 0.2459 - val\_acc: 0.9290

Epoch 4/60

60000/60000 [=====] - 65s 1ms/sample - loss: 0.0798 - acc: 0.9751 - val\_loss: 0.2348 - val\_acc: 0.9352

Epoch 5/60

60000/60000 [=====] - 65s 1ms/sample - loss: 0.0653 - acc: 0.9794 - val\_loss: 0.2254 - val\_acc: 0.9406

.....

# Kuzushiji MNIST

## 6. Test model, part 1

- Use a new object to load the weights, and check the best accuracy

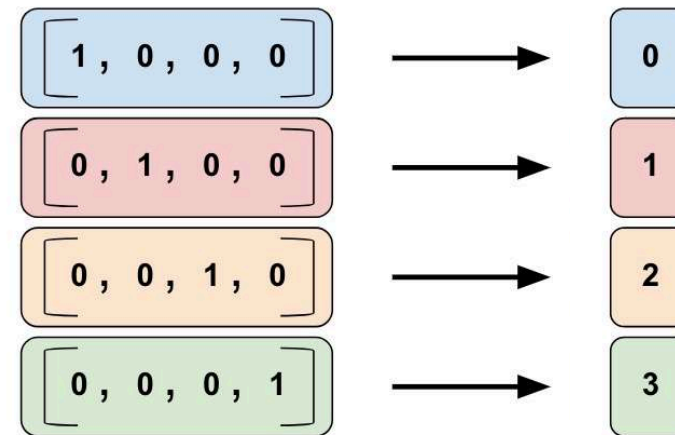
```
> modelGo.load_weights(filepath)
> modelGo.compile(loss='categorical_crossentropy',
                  optimizer='adam',
                  metrics=['accuracy'])
```

# Kuzushiji MNIST

## 6. Test model, part 2

- Test the model, calculate the accuracy and confusion matrix

```
> predicts = modelGo.predict(tsDat)
> predout = np.argmax(predicts,
                        axis=1)
```



```
> testout = np.argmax(tsLbl,axis=1)
```

```
> labelname = ['お 0', 'き Ki', 'す Su', 'つ Tsu', 'な Na',
               'は Ha', 'ま Ma', 'や Ya', 'れ Re', 'を Wo']
```

```
> testScores = metrics.accuracy_score(testout, predout)
> confusion = metrics.confusion_matrix(testout, predout)
```

# Kuzushiji MNIST

## 6. Test model, part 3

- Test the model, calculate the accuracy and confusion matrix

```
> print("Best accuracy (on testing dataset): %.2f%%" % (testScores*100))
> print(metrics.classification_report(testout, predout, target_names=labelname, digits=4))
> print(confusion)
```

```
Best accuracy (on testing dataset): 96.56%
      precision    recall  f1-score   support

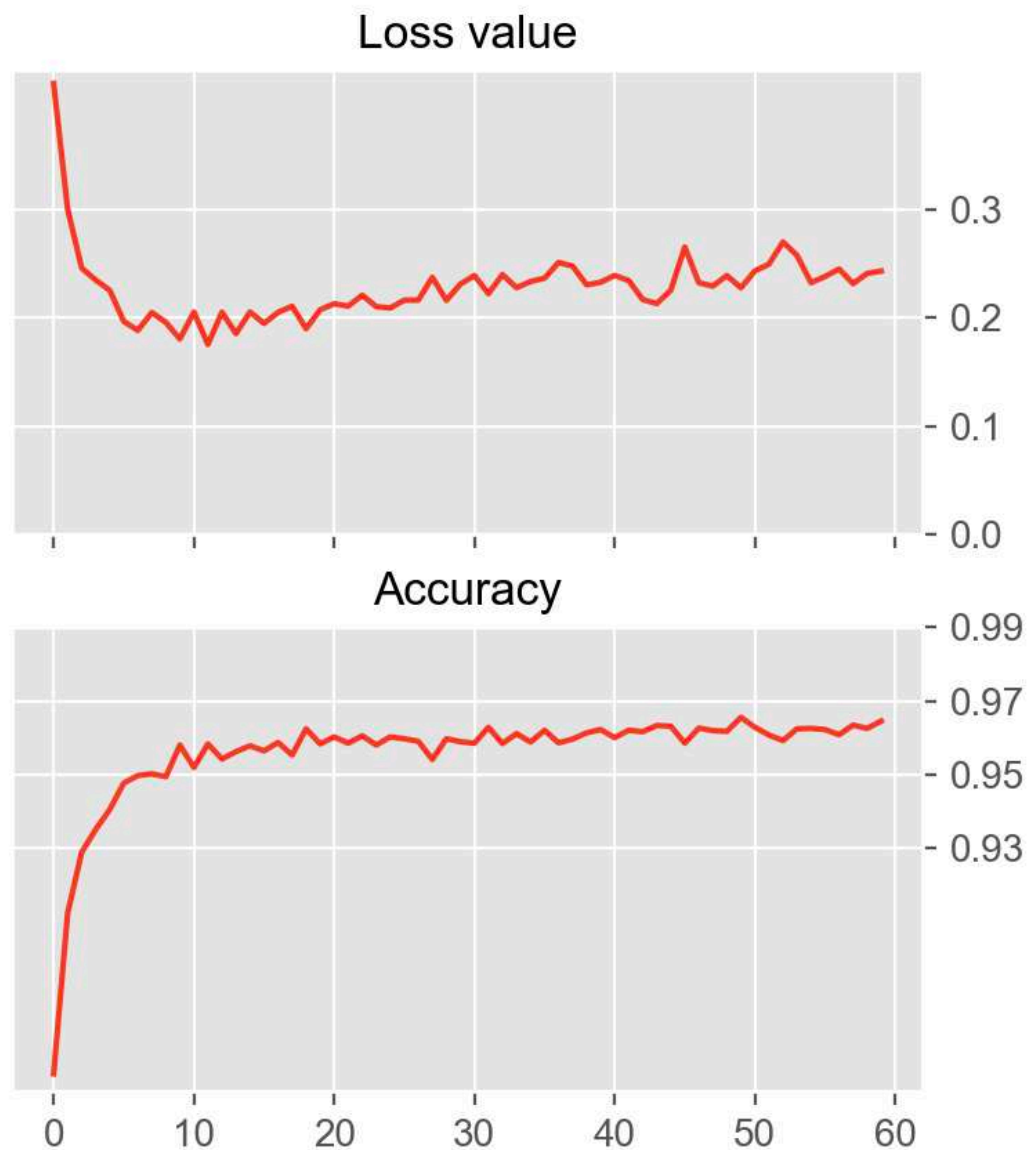
   お 0         0.9615      0.9740      0.9677       1000
   き Ki         0.9772      0.9430      0.9598       1000
   す Su         0.9562      0.9390      0.9475       1000
   つ Tsu        0.9732      0.9820      0.9776       1000
   な Na         0.9588      0.9530      0.9559       1000
   は Ha         0.9707      0.9600      0.9653       1000
   ま Ma         0.9245      0.9920      0.9571       1000
   や Ya         0.9877      0.9620      0.9747       1000
   れ Re         0.9665      0.9800      0.9732       1000
   を Wo         0.9838      0.9710      0.9774       1000

 avg / total         0.9660      0.9656      0.9656      10000

[[974   2   1   1  18   1   0   1   1   1]
 [  5 943   6   0   5   2  24   3   7   5]
 [  8   3 939   9   4   7  19   4   7   0]
 [  0   0   8 982   0   4   5   0   1   0]
 [ 12   2   1   9 953   4   8   2   6   3]
 [  1   3  13   4   1 960  13   0   3   2]
 [  0   2   3   0   1   2 992   0   0   0]
 [  7   5   5   0   5   2   5 962   5   4]
 [  2   1   4   3   5   3   1   0 980   1]
 [  4   4   2   1   2   4   6   2   4 971]]
```

# Kuzushiji MNIST

## 6. Test model, part 4



### • Plot the result

```
> import pandas as pd

> records      = pd.read_csv(modelname + '.csv')
> plt.figure()
> plt.subplot(211)
> plt.plot(records['val_loss'])
> plt.yticks([0.00,0.10,0.20,0.30])
> plt.title('Loss value',fontsize=12)

> ax           = plt.gca()
> ax.set_xticklabels([])

> plt.subplot(212)
> plt.plot(records['val_acc'])
> plt.yticks([0.93,0.95,0.97,0.99])
> plt.title('Accuracy',fontsize=12)
> plt.show()
```