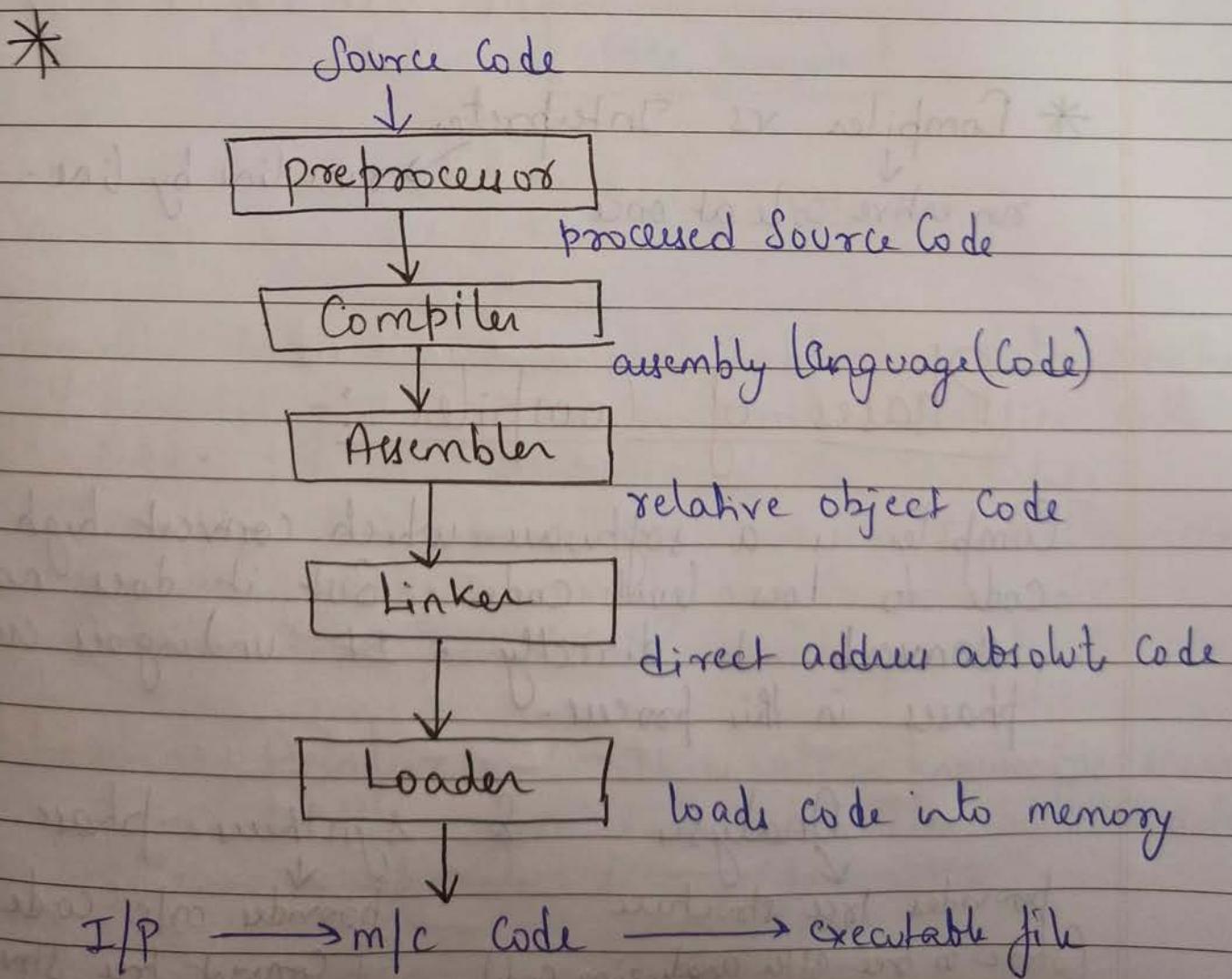
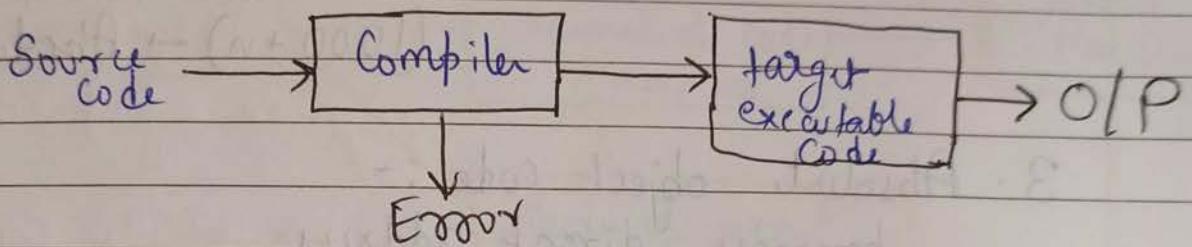


# Compiler Design

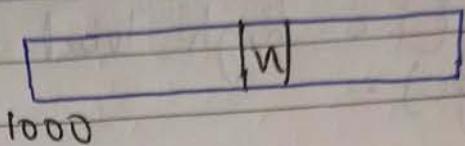
## Unit-1

Compiler :- Compiler is a execution environment that converts the source code to a target code (i.e high level language to machine level language).



1. Assembly Code → in between high & low level language

2. Relocatable object code → provide relative address.



n → Relative address

(1000 + n) → Absolute address

3. Absolute object code :-  
provides direct address

\* Compiler vs Interpreter

↓  
run entire code at once

→ run line by line

## Phases of Compiler :-

Compiler is a software which convert high level code to low level code. But it does not convert it directly. It undergoes certain phases in this process.

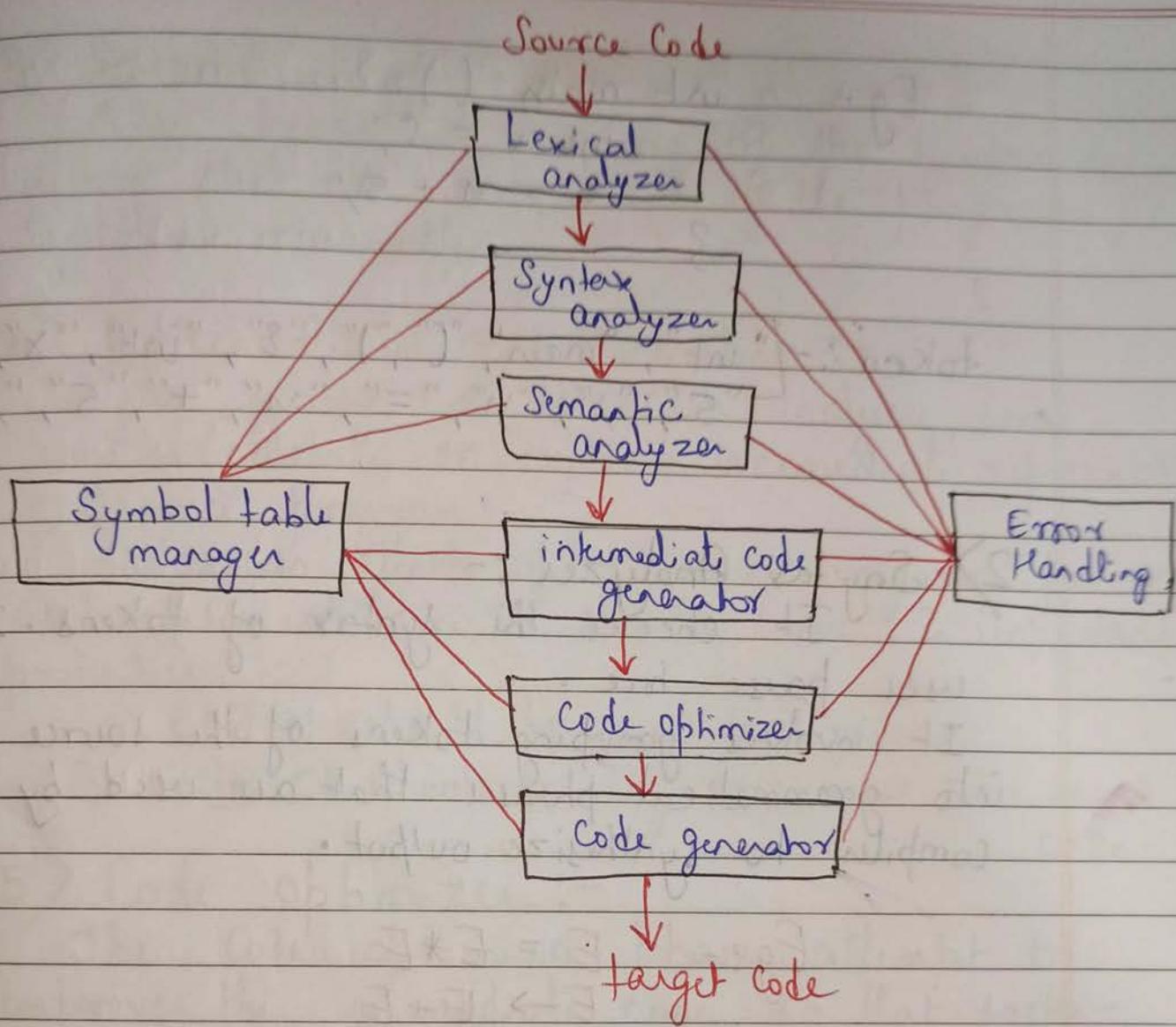
Analysis & Synthesis Phase

↓  
provides tree structure

(Store in tree after analyzing code)

↓  
provides m/c code

(Convert tree structure to machine code)



Symbol table :- A symbol table is a data structure containing a record for each identifier with fields for the attributes of the identifier.

The data structure allows us to find the record for each identifier quickly and to store or retrieve data from that record quickly.

\* Lexical Analyzer :- It is also known as scanner. It reads the entire code character by character and converts it into tokens (lexeme).

Eg. int main ()  
 int x = 5;  
 n = n + 5;

3

token :- [ "int", "main", "(", ")", "2", "int", "x", "=", "5", ";", "n", "=", "n", "+", "5", ";", "3" ]

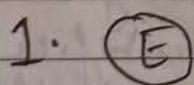
## 2) Syntax Analyzer :-

It checks the syntax of tokens. It uses parse tree.

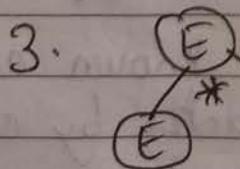
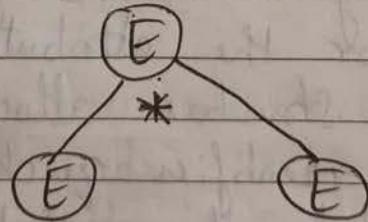
It involves grouping tokens of the source program into grammatical phrase that are used by compiler to synthesize output.

Eg:-  $E = E * E$   
 $E \rightarrow E + E$   
 $E \rightarrow id$

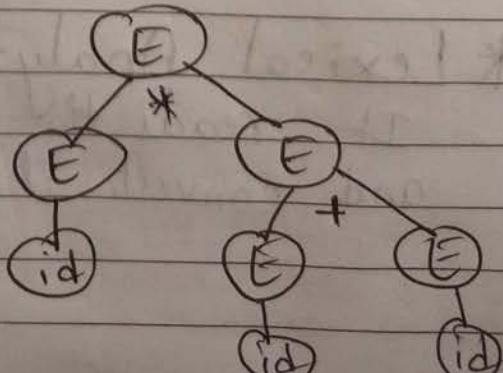
Generate:  $id * id + id$



2.



4.



### 3) Semantic analyzer :-

It checks the meaning of the syntax to ensure that the component of the program fit together manually.

### 4) Intermediate code Generation :-

After syntax and semantic analysis, some compiler generate an explicit intermediate representation of source program.

We can think of it as a program for an abstract machine. It should have 2 important properties :-

(i) It should be easy to produce

(ii) It should be easy to convert into target code.

### 5) Code optimizer :-

The Code optimizer phase attempt to improve the intermediate code, so that faster-running machine code will result.

It removes the useless symbols without changing the meaning.

### 6) Code Generation :-

The final phase of the compiler is the generation of target code which is machine dependent. It is unique for all the core system (i.e. it is different for 32 bit & 64 bit and so on).

## Errors in various Analytic phase :-

1) Lexical Analyzer :- Misspelling  
Eg. main()

2) Syntax Analyzer :- Not in proper syntax  
Eg. int x = 5  
printf("Hello");

3) Semantics :- Syntax is valid or not (providing meaning or not)  
Eg.  
int x = 5.5;  
char ch = 104.5;

## In Short Summary :-

machine independent code

- ① → info about tokens
- ② → data types, scope
- ③ → verify already stored information
- ④ → generate intermediate code based on info stored in symbol table
- ⑤ → optimize code based on info in symbol table
- ⑥ → machine dependent code

\* **Front-end phase :-** Machine independent  
 ↓  
**(Analysis)** Depend on programming language

**Back-end phase :-** Machine dependent  
 ↓  
**(Synthesis)** Don't Depend on programming language

\* **Passes in Compiler :-**

- 1) **One-pass :-** Single pass me convert kar dete h.  
 → faster but more space
- 2) **Two-pass :-** first convert to intermediate, then  
 in second pass convert to m/c code.  
 → slower but less space.

Cousin's of Compiler :-

1) **Preprocessor :-** It produce input to compiler.  
 It may perform following function :-

- (a) **Macro-processing :-** #define PI 3.14
- (b) **File inclusion :-** #include <stdio.h>
- (c) **Rational preprocessor :-** It augments the old language to the new language by the use of built in macros.
- (d) **Language extensions :-**

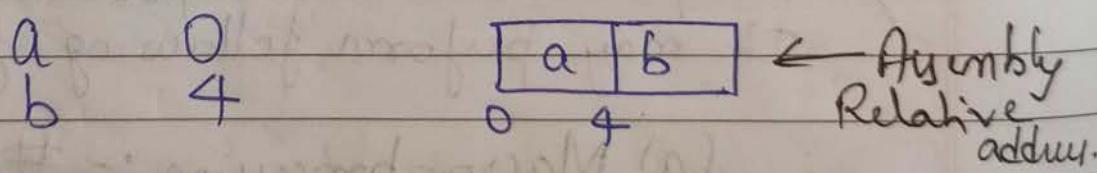
2) Assemblers :- Some compilers produce assembly code that is passed to assembler which converts the assembly language to Machine level code.

Assembly code is a mnemonic version of machine code, in which names are used instead of binary codes for operations and names are also given to memory address.

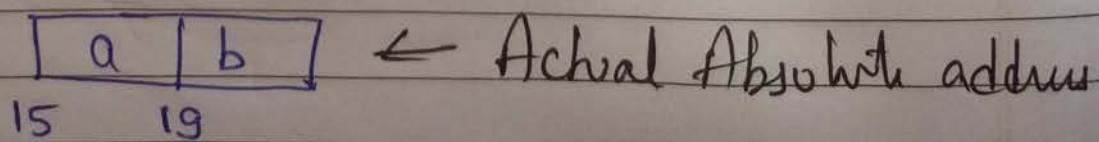
Eg:-  
MOV a, B1  
ADD #2, B1  
MOV B1, b

3) Two Pass - Assembly :-

In first pass, all the identifiers that denote storage location are found and stored in symbol table. Basically it checks the code & assign the memory to variable according to requirements.



In Second pass, the assembler scans the input again. This time it translates each operation code into the sequence of bits representing that operation in machine language. The output of this pass is usually relocatable machine code.



MOV a, R1

0001 01 00 00000000\*

ADD #2, R1 →

0011 01 10 00000010

MOV R1, b

0010 01 00 000000100\*

#### 4) Loader & Link-Editors :-

Usually a program called Loader performs the two functions of loading and link-editing. The process of loading consists of taking relocatable machine code, altering the relocatable address and placing the altered instructions and data in memory at proper locations.

The Link-editor allows us to make a single program from several files from of relocatable machine code.

## Automata Theory :-

DFA :- 5 tuples :-

$Q \rightarrow$  set of states

$\Sigma \rightarrow$  set of input symbols

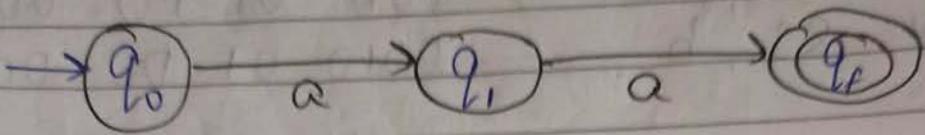
$\delta \rightarrow$  transition function

$q_0 \rightarrow$  Initial state

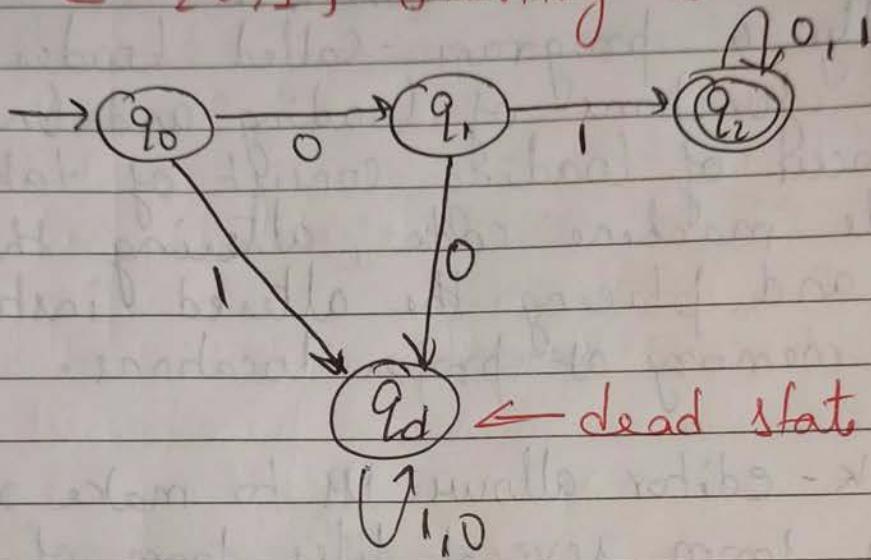
$q_f \rightarrow$  Final state

$$Q \times \Sigma \rightarrow Q$$

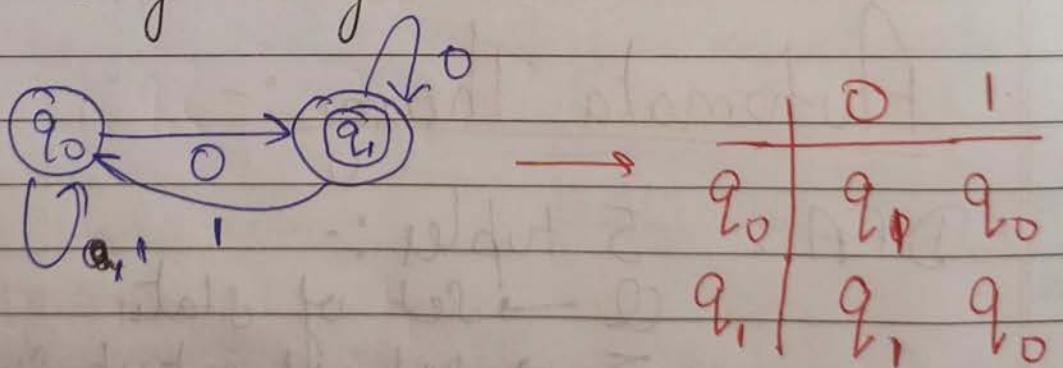
Q aa



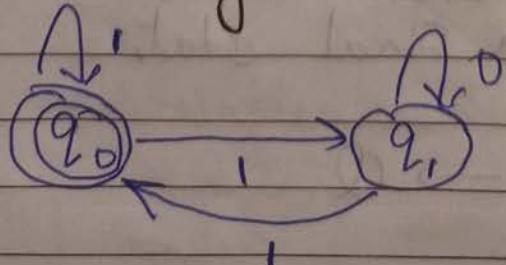
Q  $\Sigma = \{0, 1\}$ , starting with 01.



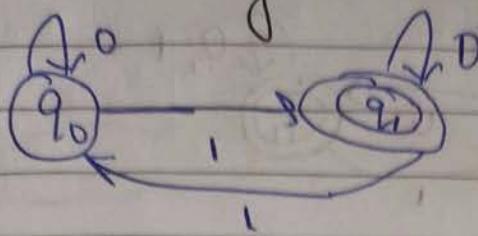
Q All string ending with 0.



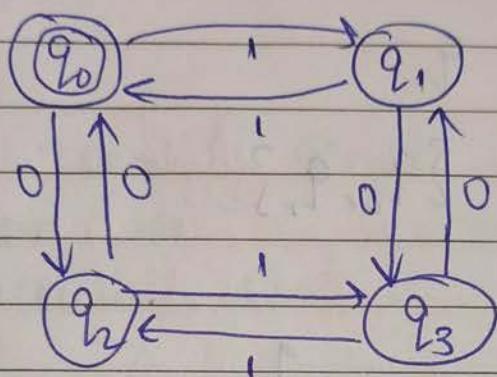
Q Even No. of 1's :-



Q. Odd no. of 1's :-



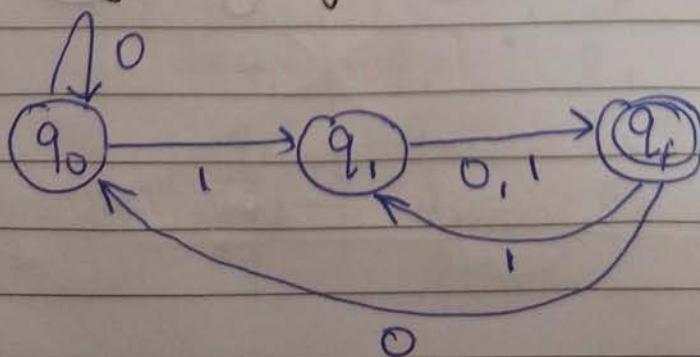
Q. Even no. of 1's & even no. of 0's :-



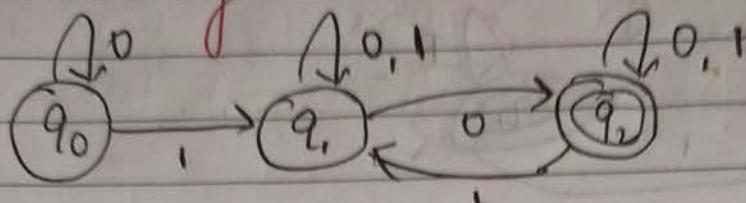
Practice Problems :-

- 1) Even no. of 1's or even No. of 0's.
- 2) odd no. of 1's and even no. of 0's.
- 3) odd no. of 1's or even no of 0.

Q. DFA for string with 2<sup>nd</sup> last bit as 1.

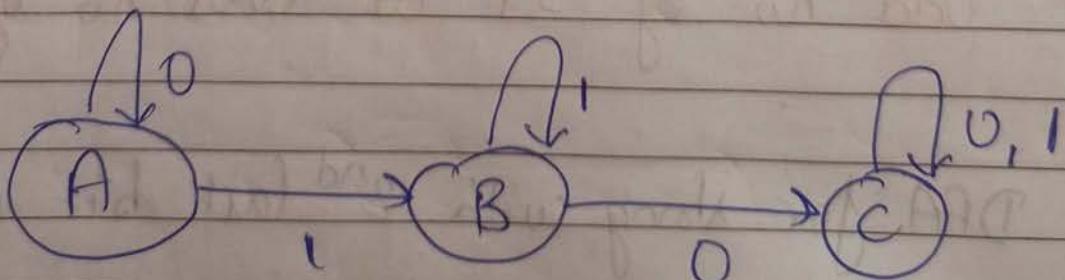


Converting NFA to DFA :-

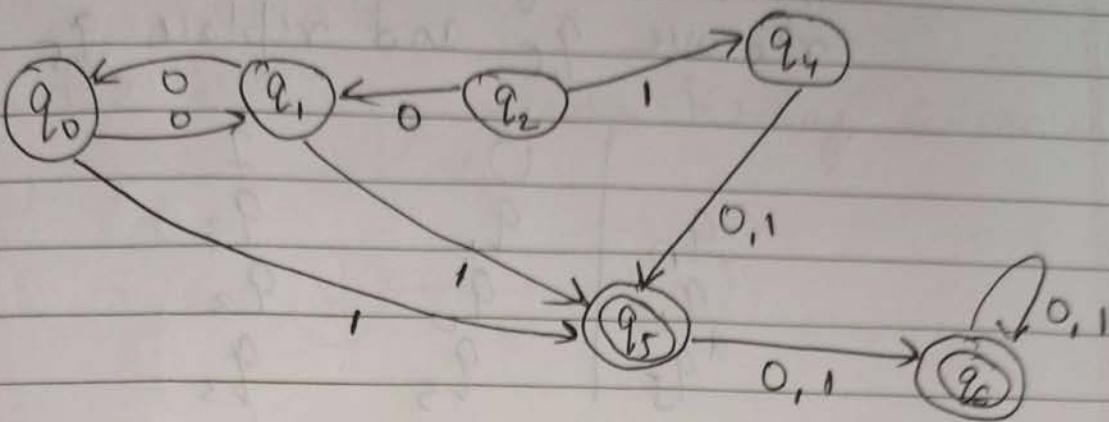


	0	1
$q_0$	$q_0$	$q_1$
$q_1$	$\{q_0, q_2\}$	$q_1$
$q_2$	$q_2$	$\{q_1, q_2\}$

- (A)  $q_0 \mid \begin{matrix} 0 \\ q_0 \\ \{q_0, q_2\} \end{matrix} \quad \begin{matrix} 1 \\ q_1 \\ q_1 \end{matrix}$
- (B)  $q_1 \mid \begin{matrix} 0 \\ \{q_0, q_2\} \\ q_1 \end{matrix} \quad \begin{matrix} 1 \\ q_1 \\ \{q_1, q_2\} \end{matrix}$
- (C)  $q_1, q_2 \mid \begin{matrix} 0 \\ \{q_0, q_2\} \\ \{q_1, q_2\} \end{matrix} \quad \begin{matrix} 1 \\ q_1 \\ \{q_1, q_2\} \end{matrix}$



# Minimization of DFA :-



Step 1 → Identify Dead state & Inaccessible state.  
 Here, there is no dead state but there is 2 inaccessible state.

Step 2 :- Draw Transition table will all the states except dead & inaccessible one.

	0	1
→ q0	q1 q0	q3 q3
* q1	q0 q1	q3 q5
* q3	q5 q5	q5 q5
* q5	q5 q5	q5 q5

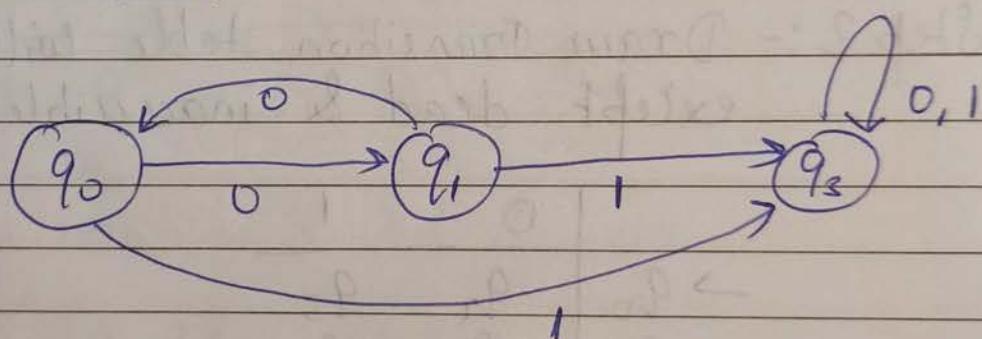
Step 3 :- Create partition between final stat and all other stati.

	0	1
→ q0	q1 q0	q3 q3
q1	q0 q1	q3 q5
* q3	q5 q5	q5 q5
* q5	q5 q5	q5 q5

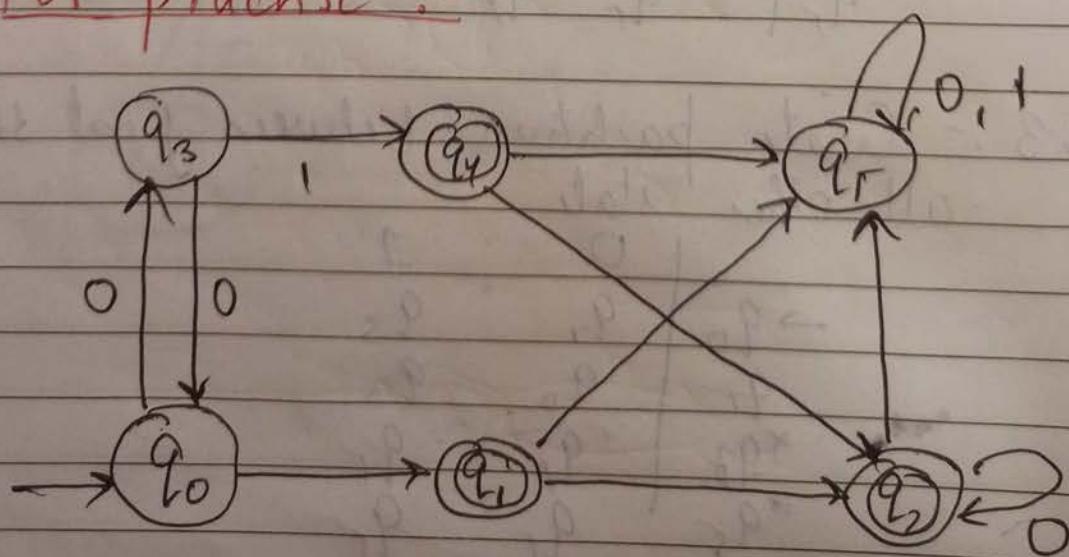
Step 4:- Since  $q_3$  and  $q_4$  both have same transition for 0 and 1. So, we can remove  $q_5$  and replace  $q_5$  with  $q_3$ .

	0	1
$q_0$	$q_1$	$q_3$
$q_1$	$q_0$	$q_2$
* $q_3$	$q_3$	$q_3$

Step 5:- Now, since there is no other pair of states with same transition, so, we stop and draw DFA for current transition table.

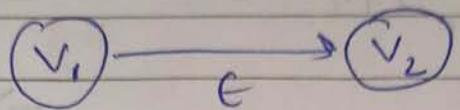


For practice :-



## Converting $\epsilon$ -NFA to NFA :-

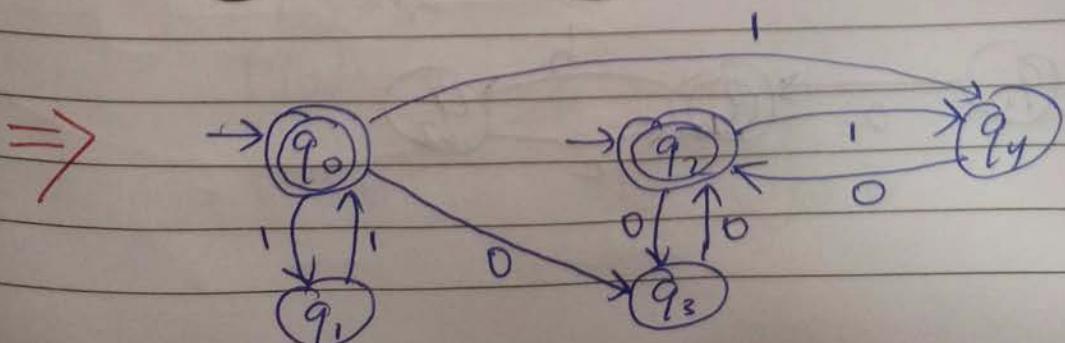
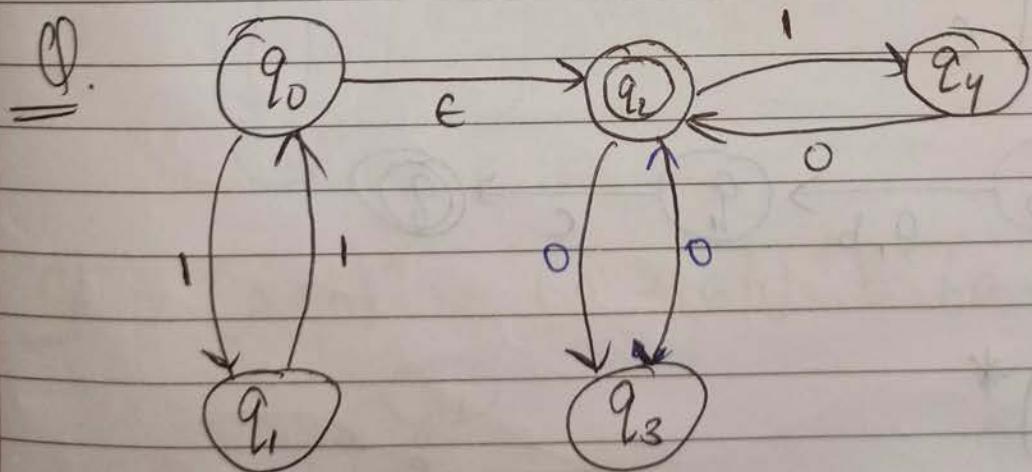
Step 1 :- Identify vertex having  $\epsilon$ -move as  $v_1$  and  $v_2$ .



Step 2 :- Copy all the moves of  $v_2$  to  $v_1$ .  
Delete  $\epsilon$ -edge.

Step 3 :- If  $v_1$  is initial stat make  $v_2$  initial stat

Step 4 :- If  $v_2$  is final stat make  $v_1$  final stat



## Regular Expression :

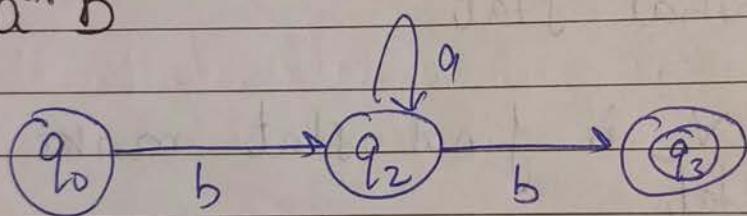
1.  $L = \{\epsilon, a, aa, \dots\} \Rightarrow a^*$

2.  $L = \{a, aa, aaa, \dots\} \Rightarrow a^+$

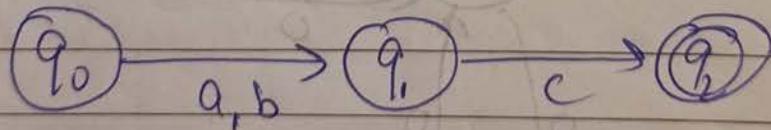
3.  $L = \{\}$   $\Rightarrow \emptyset$

4.  $L = \{\epsilon\} \Rightarrow \epsilon$

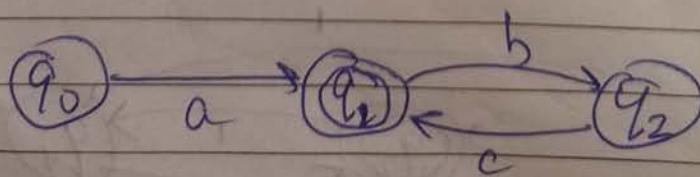
Q.  $ba^*b$



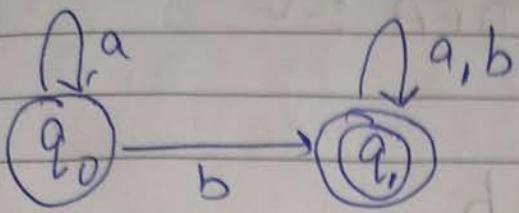
Q.  $(a+b)c$



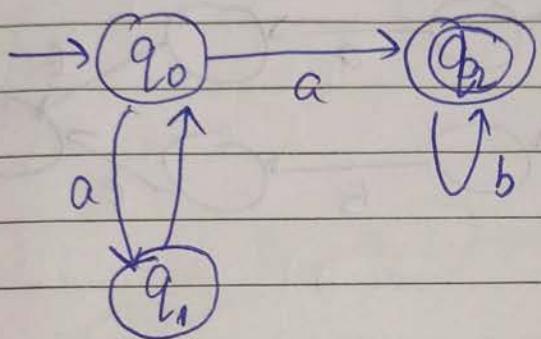
Q.  $a(bc)^*$



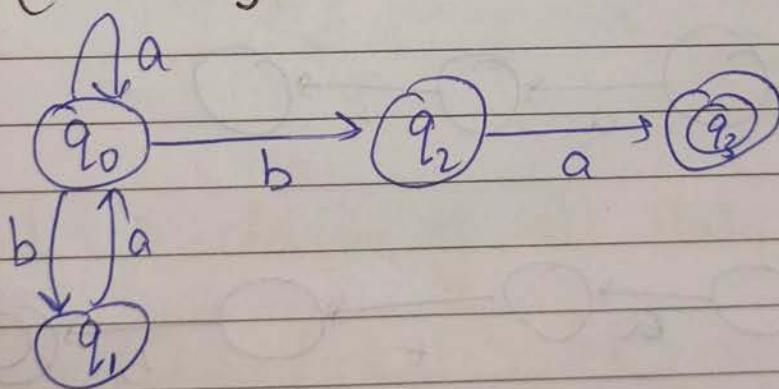
$$\underline{Q} \cdot a^* b (a+b)^*$$



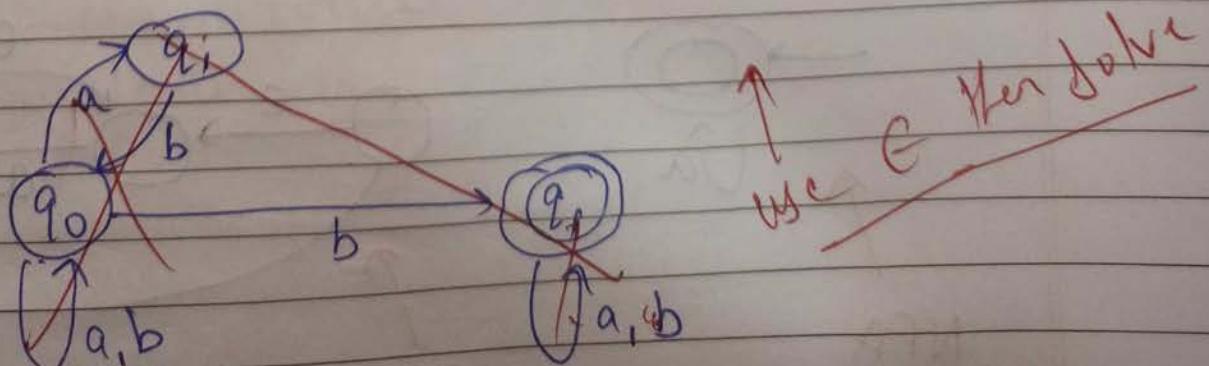
$$\underline{Q} \cdot (ab)^* a b^*$$



$$\underline{Q} \cdot (a+ba)^* ba$$

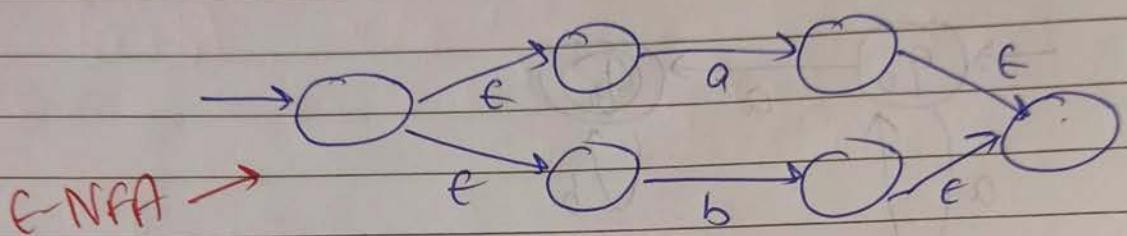
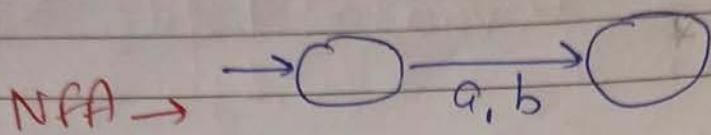


$$\underline{Q} \cdot (a+b)^* + (a+ab)^* b^+ (a+b)^*$$

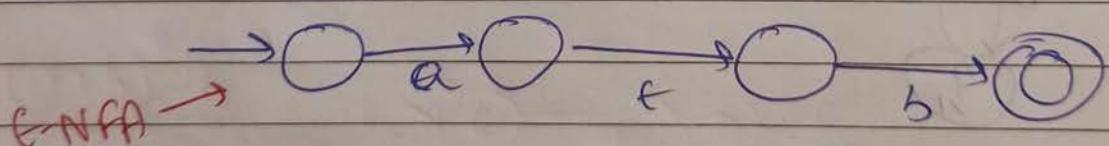
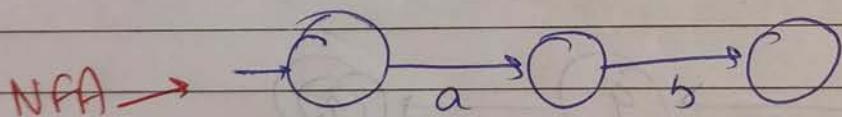


## Thompson's Algorithm for Converting RE to DFA :-

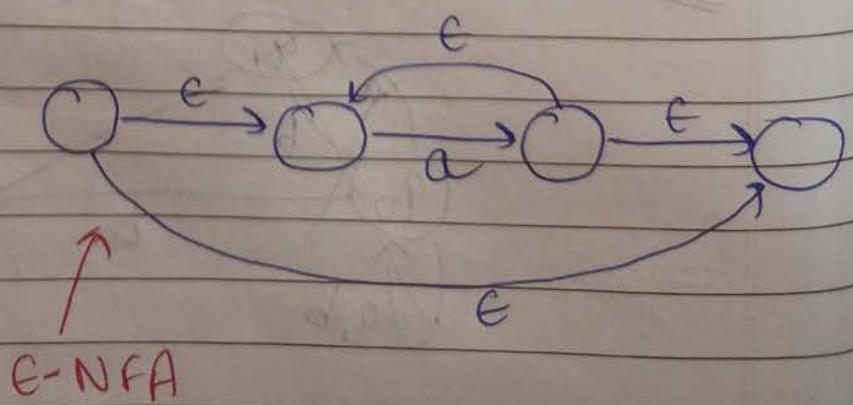
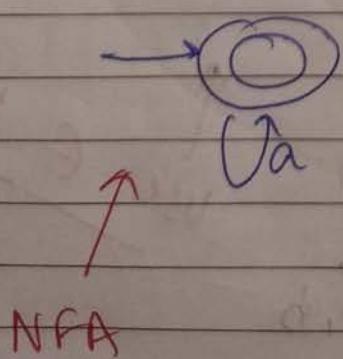
1. Union :-  $a + b$

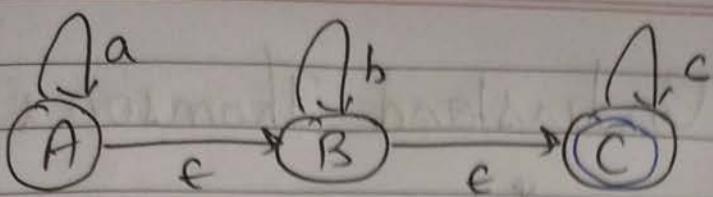


2. Concatenation :-  $ab$



3. Closure :-  $a^*$

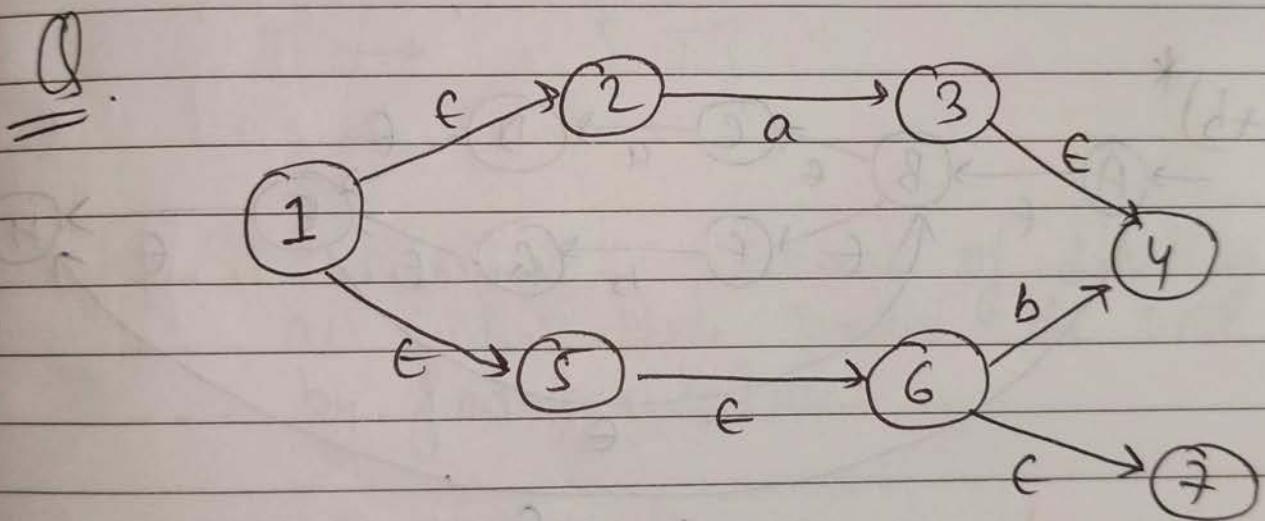




$\epsilon$ -closure (A) :-  $\{A, B, C\}$

$\epsilon$ -closure (B) :-  $\{B, C\}$

$\epsilon$ -closure (C) :-  $\{C\}$



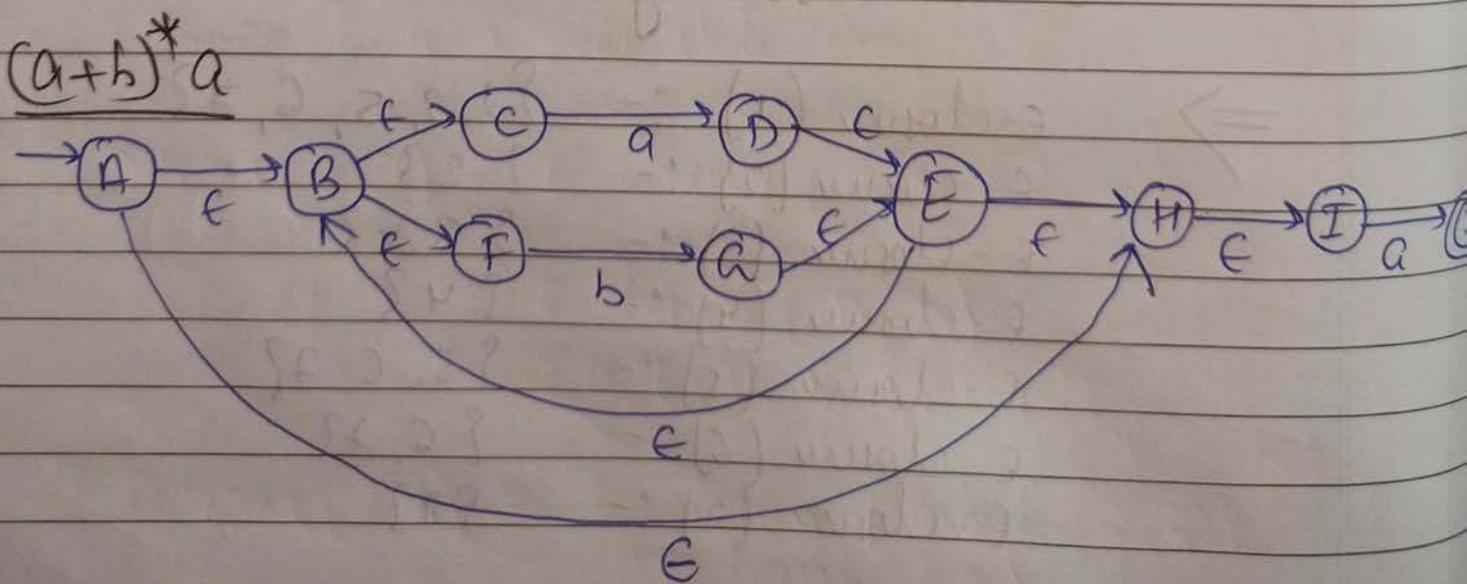
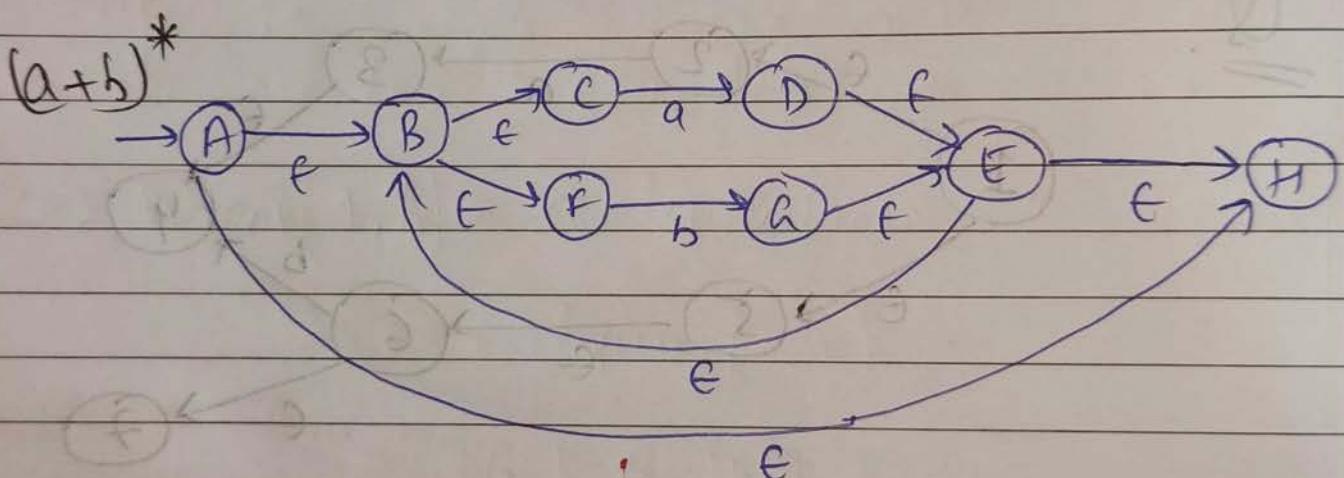
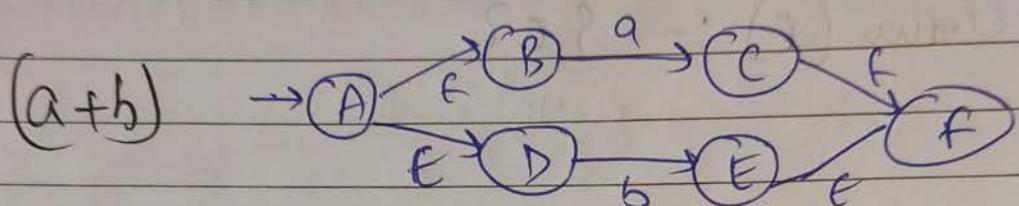
find  $\epsilon$ -closure of all states

- $\Rightarrow$
- $\epsilon$ -closure (1) :-  $\{1, 2, 5, 6, 7\}$
  - $\epsilon$ -closure (2) :-  $\{2, 3\}$
  - $\epsilon$ -closure (3) :-  $\{3, 4\}$
  - $\epsilon$ -closure (4) :-  $\{4\}$
  - $\epsilon$ -closure (5) :-  $\{5, 6, 7\}$
  - $\epsilon$ -closure (6) :-  $\{6, 7\}$
  - $\epsilon$ -closure (7) :-  $\{7\}$

Problem to Understand Thomson's Method :-

Q Convert  $(a+b)^*a$  to DFA.

⇒ Step 1 :- Convert RE to  $\epsilon$ -NFA



Step 2 :- Find e-closure of all states :-

$$\begin{aligned}
 A &\rightarrow \{A, B, C, F, H, I\} \\
 B &\rightarrow \{B, C, F\} \\
 C &\rightarrow \{C\} \\
 D &\rightarrow \{D, E, B, C, F, H, I\} \\
 E &\rightarrow \{E, H, I, B, C, F\} \\
 F &\rightarrow \{F\} \\
 G &\rightarrow \{G, E, B, C, F, H, I\} \\
 H &\rightarrow \{H, I\} \\
 I &\rightarrow \{I\} \\
 J &\rightarrow \{J\}
 \end{aligned}$$

Step 3 :- Taking e-closure of starting stat. as initial stat. Draw the DFA table by finding DTrans.

E(NFA) stat.	DFA stat.	a	b
$\rightarrow \{A, B, C, F, H, I\}$	1	2	3
$\{D, E, H, B, I, C, F\}$	2	2	3
$\{G, E, B, H, I, F\}$	3	2	3

final state  
contain J

Formula :-

$$D\text{Trans}(\text{State}, \text{input-symbol}) = \epsilon\text{-closure}(\text{move}(\text{State}, \text{input-symbol}))$$

$$\Rightarrow D\text{Trans}(1, a) = \epsilon\text{-closure}(\text{move}(1, a))$$

$$= \epsilon\text{-closure}(D, \mathcal{S})$$

$$= \{D, E, H, B, I, C, F, \mathcal{S}\}$$

Since it is a new state we call it ②

$$\Rightarrow D\text{Trans}(1, b) = \epsilon\text{-closure}(\text{move}(1, b))$$

$$= \epsilon\text{-closure}(G)$$

$$= \{G, E, B, H, I, F\}$$

Since it is a new state we call it ③.

$$\Rightarrow D\text{Trans}(2, a) = \epsilon\text{-closure}(\text{move}(2, a))$$

$$= \epsilon\text{-closure}(D, \mathcal{S})$$

$$= \{D, E, H, B, I, C, F, \mathcal{S}\}$$

$$\Rightarrow D\text{Trans}(2, b) = \epsilon\text{-closure}(\text{move}(2, b))$$

$$= \epsilon\text{-closure}(a)$$

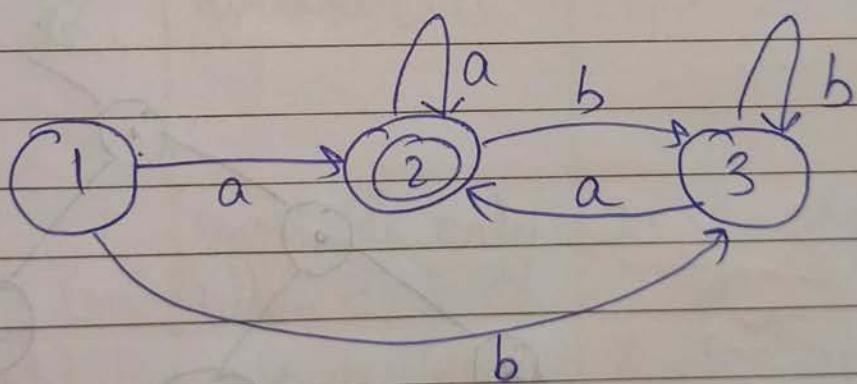
$$= \{G, E, B, H, I, F\}$$

$$\Rightarrow D_{trans}(3, a) = c\text{-closure}(\{3, a\}) \\ \Rightarrow c\text{-closure}(D, T) \\ \Rightarrow \{D, E, H, B, I, C, F, T\}$$

$$\Rightarrow D_{trans}(3, b) = c\text{-closure}(\text{move}(3, b)) \\ \Rightarrow c\text{-closure}(G) \\ \Rightarrow \{A, E, B, H, I, E, F\}$$

↳ since there is no new stat available now,  
so we stop here.

**Step 4 :-** Draw DFA from the calculated table.



**Question for practice :-**

$$\rightarrow (ab)^* + ab$$

$$\rightarrow (a + ab)^*$$

## Direct Method for Converting RE to DFA:

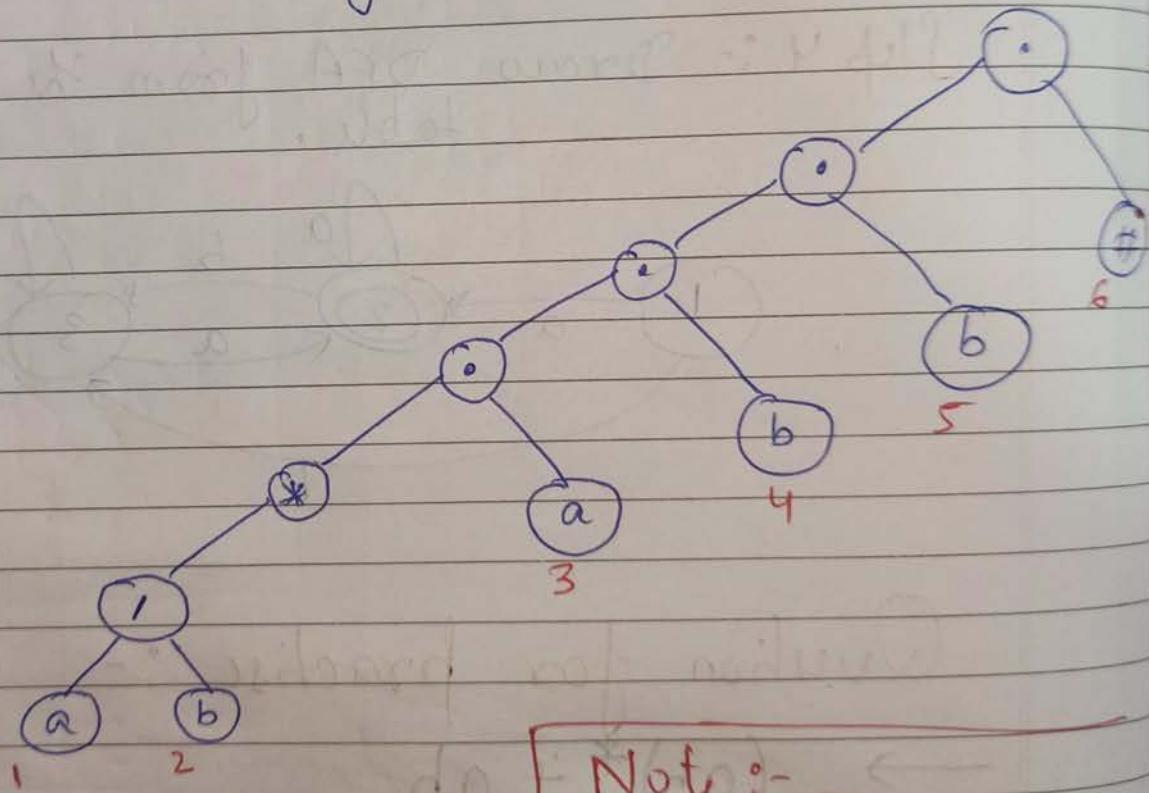
Q  $(a/b)^*abb$

Step 1 :- Augment  $\#$  at the end of regular expression.

$$\gamma' = (a/b)^*abb\#$$

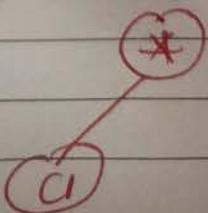
$$\Rightarrow (a/b)^* \cdot a \cdot b \cdot b \cdot \#$$

Step 2 :- Create Syntax tree for  $\gamma'$ .

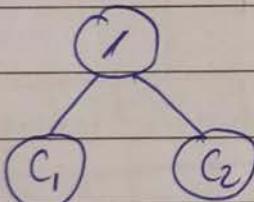
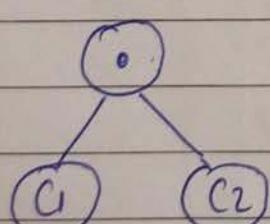
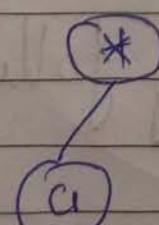


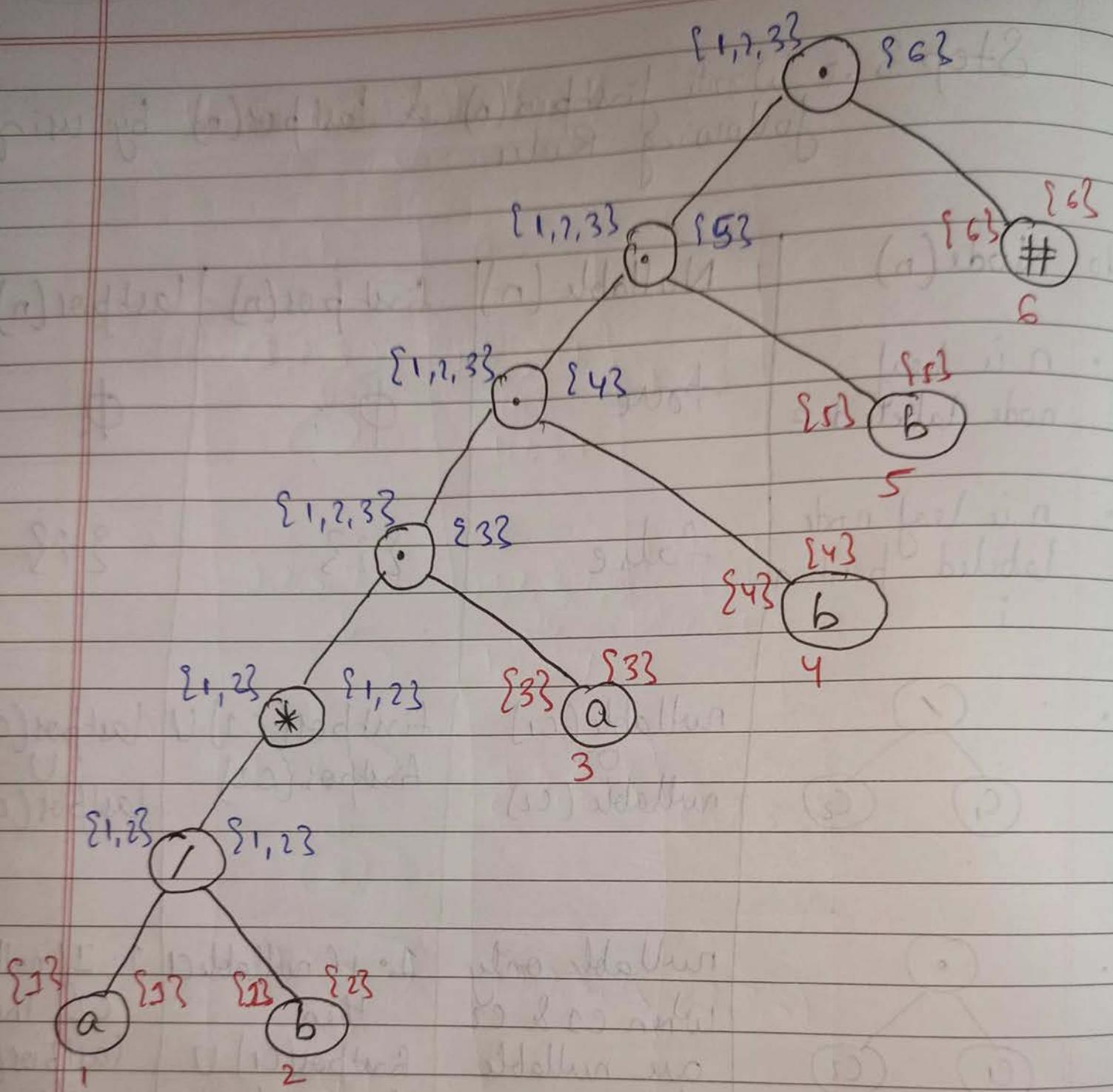
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Step 3:- Write  $\text{firstpos}(n)$  &  $\text{lastpos}(n)$  by using following Rule.

S.No	Node(n)	Nullable(n)	$\text{firstpos}(n)$	$\text{lastpos}(n)$
1.	$n$ is leaf node labeled e	true	$\emptyset$	$\emptyset$
2.	$n$ is leaf node labeled position ;	false	$\Sigma ; \Sigma$	$\Sigma ; \Sigma$
3.	 $n$ nullable or $c_1$ nullable $c_2$ nullable	$\text{nullable}(c_1) \cup$ $\text{nullable}(c_2)$	$\text{firstpos}(c_1) \cup \text{lastpos}(c_1)$ $\text{firstpos}(c_2) \cup \text{lastpos}(c_2)$	
4.	 $n$ nullable only when $c_1$ & $c_2$ are nullable else not nullable	$\text{nullable}$ only when $c_1$ & $c_2$ are nullable else not nullable	<ol style="list-style-type: none"> <li>if nullable then <math>\text{firstpos}(c_1) \cup</math> <math>\text{firstpos}(c_2)</math></li> <li>Else <math>\text{firstpos}(c_1)</math></li> </ol>	<ol style="list-style-type: none"> <li>If nullable <math>c_2</math> then <math>\text{lastpos}(c_1)</math> <math>\cup</math> <math>\text{lastpos}(c_2)</math></li> <li>Else <math>\text{lastpos}(c_2)</math></li> </ol>
5.	 $n$ nullable	true	$\text{firstpos}(c_1)$	$\text{lastpos}(c_1)$



Step 4: Create a  $\text{follow}_{\text{for}}(n)$  table by using Rules of computing  $\text{follow}_{\text{for}}$ .

Rules for Computing followpos :-

1. If ( $n$  is a star \* node)

for (each  $i$  in  $\text{lastpos}(n)$ )

$\text{followpos}(i) = \text{followpos}(i) \cup \text{firstpos}(n);$

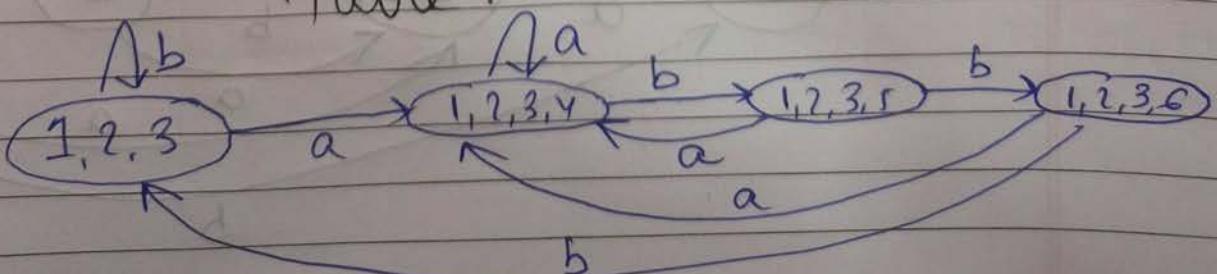
2. If ( $n$  is a cat ( $\cdot$ ) node)

for (each  $i$  in  $\text{lastpos}(c_1)$ )

$\text{followpos}(i) = \text{followpos}(i) \cup \text{firstpos}(c_2)$

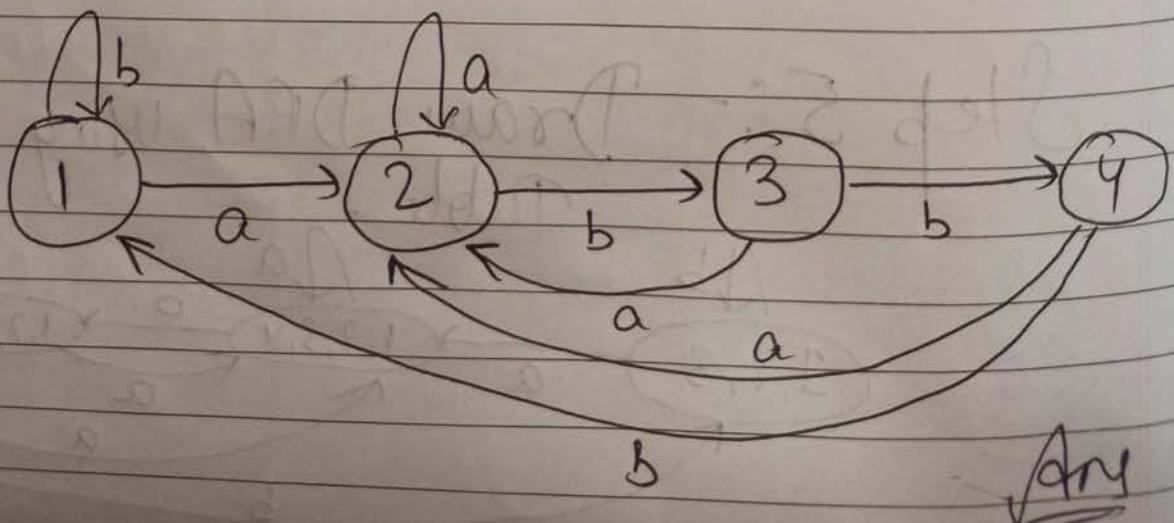
Symbol	Node	followpos
a	1	$\Sigma 1, 2, 3 \}$
b	2	$\Sigma 1, 2, 3 \}$
a	3	$\Sigma 4 \}$
b	4	$\Sigma 5 \}$
b	5	$\Sigma 6 \}$
#	6	—

Step 5:- Draw DFA using followpos Table.



How we got this?

State	a	b
1, 2, 3 ↓ 1	$(1, 2, 3) \cup (4)$ $= 1, 2, 3, 4$	1, 2, 3
1, 2, 3, 4 ↓ 2	$\{1, 2, 3\} \cup \{4\}$ $\Downarrow$ 1, 2, 3, 4	$(1, 2, 3) \cup (5)$ $\Rightarrow 1, 2, 3, 5$
1, 2, 3, 5 ↓ 3	$\{1, 2, 3, 4\}$	$\{1, 2, 3, 6\}$
1, 2, 3, 6 ↓ 4	$\{1, 2, 3, 4\}$	$\{1, 2, 3\}$

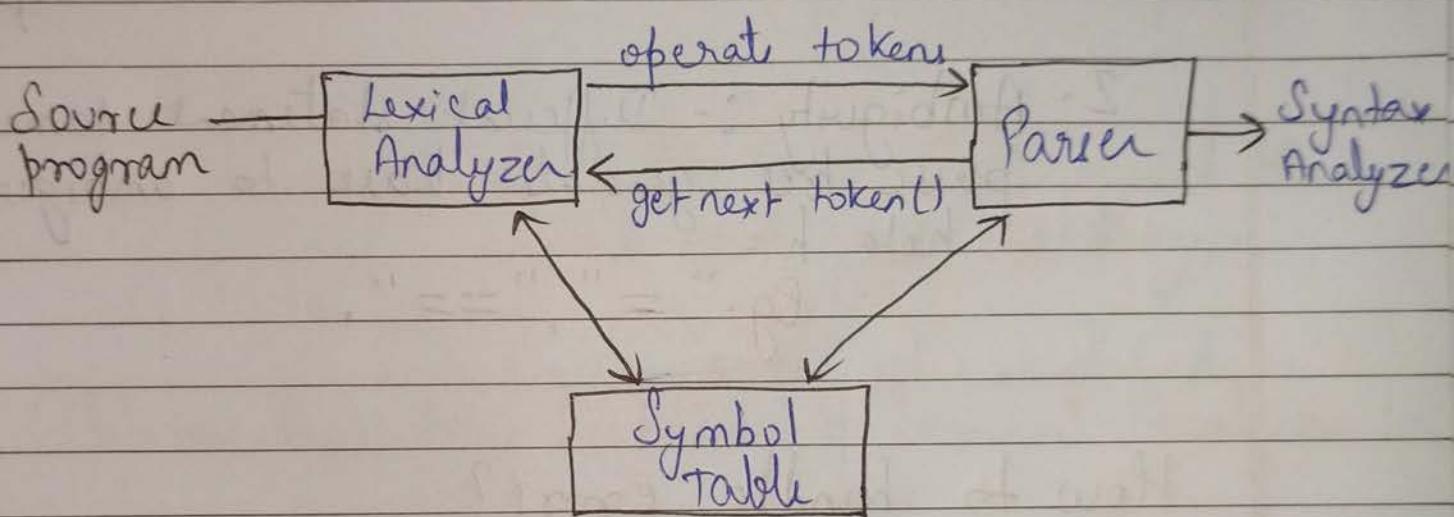


Problem for practice :-

$$\rightarrow (a+b)^* + (a \cdot c)^*$$

$$\rightarrow (a+b)^* \cdot (a \cdot b)^*$$

## Lexical Analyser



\* Lexical Analyzer does :-

(i) Scanning :-

(ii) Tokenization :-

a. Lexeme (character)

Eg. int a+b;

→ i, n, t, a, +, b, ;

b. Patterns (rules)

c. Tokens → meaningful words generated Karta hoga  
int, a, +, b.

\* Parser :- Lexical analyzer ko request Karta hoga  
ki next line ke tokens generate kare.

Why we need lexical analyzer?  
→ generate tokens & identify typing error

### Issues in Lexical Analysis?

1. Lookahead : Basically difficulty in deciding where to stop.

Eg.  $a + b + c = d + e$

in this it is not easy to identify when to stop.

2. Ambiguity :- Different statement same at pause tree generate leads to ambiguity note h.

Eg. " = ", " == ".

### How to handle errors?

- ~~Delete~~ <sup>Input</sup> one char → int  $\xrightarrow{\text{Delete}}$
- ~~Delete~~ <sup>Input</sup> one char → point  $\xrightarrow{\text{Delete}}$
- Replace one char → int  $\xrightarrow{\text{int}} \xrightarrow{\text{int}}$
- transpose two characters → int  $\xrightarrow{\text{Swap}}$

### Input Buffering :-

Buffer → temporary space where we store data.

i[n|t] a|=b|+c|; )

↑  
Lexeme Begin  
forward

2

- 2 pointers work on this.
- Lexeme Begin which identify 1<sup>st</sup> character of the word
  - forward which moves forward in search of whitespace.

inF EOC

↑  
Buffer space which match the word with our directory.

## Approaches to Implement Lexical Analyzer:-

- 1) LEX TOOLS
- 2) Basic Programming Language
- 3) Assembly Language

## Specification of Tokens :-

- 1. String
- 2. Language
- 3. Regular Expression

1. String :- Set of characters

(i) Prefix :-

(ii) Suffix :-

(iii) Substring :-

2. Language :-  $L = \{0, 1\}$ ,  $\Sigma = \{a, b, c\}$

(i) Union  $\rightarrow L \cup \Sigma = \{0, 1, a, b, c\}$

(ii) Concatenation  $\rightarrow LS = \{0a, 0b, 0c, 1a, 1b, 1c\}$

(iii) Kleene Closure  $\rightarrow L^*$

(iv) ~~Kleene~~ Positive closure

3. Regular Expression :- used to represent regular language

Q. RE for second element as 0.  
 $\Rightarrow (0+1)0(0+1)^*$

Q. RE for first element as 1 & last element as 0  
 $1(0+1)^*0$

Q. RE for either start with 0 or end with 1.  
 $\Rightarrow 0(0+1)^* + (0+1)^*1$ .

# Compiler Design

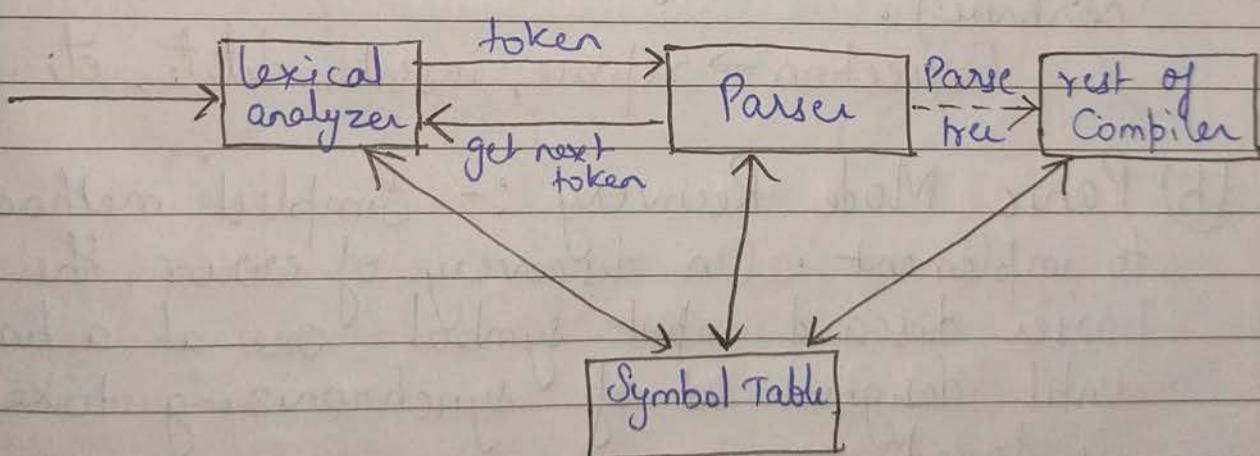
## Unit - 2

Syntax Analysis :- Checking if syntax is correct or not.

The syntax of programming language construct can be described by context-free grammars or BNF (Backus-Naur form) notation.

\* Role of Parser :-

The task of parser is to check syntax. The parser obtains a string of tokens from the lexical analyzer and verify that the string can be generated by grammar for the source language.



Syntax Error Handling :-

Types of Errors :-

- (i) Lexical → misspelling
- (ii) Syntactic → semicolon missing, extra bracket, bracket missing
- (iii) Logical → infinite loop
- (iv) Semantics → operator applied to incompatible operands.

Goals of error handler in a parser :-

- It should report the presence of error clearly and accurately.
- It should recover from each error quickly enough to be able to detect subsequent errors.
- It should not significantly slow down processing of correct program.

### \* Error Recovery Strategies :-

(a) Phrase level recovery :- On discovery of an error a parser may perform local correction on the remaining input, (i.e it may replace a prefix to some string so that parser will continue).

Correction → replace, insert, delete, etc.

(b) Panic Mode Recovery :- Simplest method to implement. On discovery of error, the parser discard input symbol one at a time until designed set of synchronizing token is found.

(c) Error production → Replace common errors.

(d) Global Correction :- for a given string  $X$  with grammar  $G$ , we take similar string  $Y$  and compare them to find error.

Derivation :-

$$E \rightarrow E + E$$

$$E \rightarrow id$$

① Left-Most Derivation

$$E \rightarrow E + E$$

$$E \rightarrow (E+E) + E$$

$$E \rightarrow id + E + E$$

$$E \rightarrow id + id + E$$

$$E \rightarrow id + id + id$$

② Right-Most Derivation

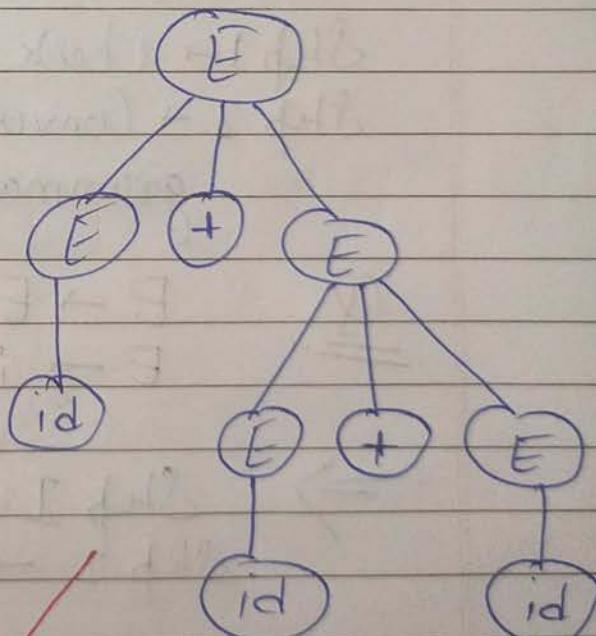
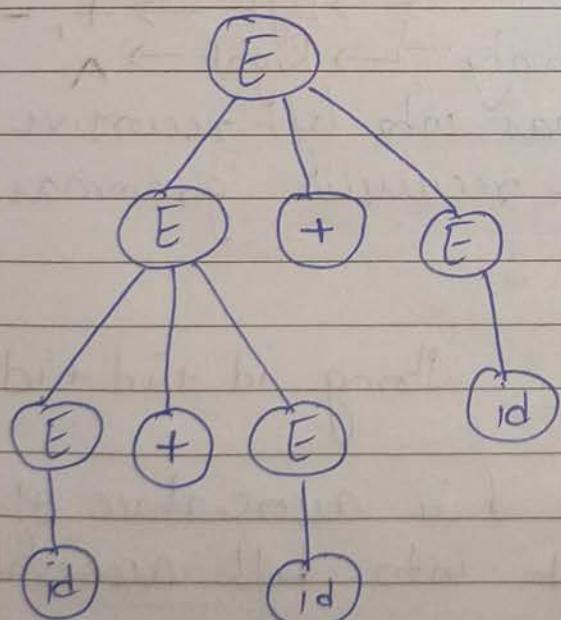
$$E \rightarrow E + E$$

$$E \rightarrow E + (E+E)$$

$$E \rightarrow E + E + id$$

$$E \rightarrow E + id + id$$

$$E \rightarrow id + id + id$$



2-Different Parse tree  
 $\Rightarrow$  Ambigous

When such situation of ambiguity happens and we have to choose which one to take. We follow following rules :-

- (a) Associativity → (when symbols are same)  
e.g. id + id + id
- (b) Precedence → (when symbols are different)  
e.g. id + id \* id

So basically, to remove ambiguity we check for whether or not it is associative or Precedence and then convert accordingly.

Step 1 → Check associativity  $\begin{cases} \text{Left} \\ \text{Right} \end{cases} \rightarrow +, -, *, /$   
 Step 2 → Convert grammar into left recursive grammar / right recursive grammar.

$$\begin{array}{l} \text{Q.} \\ \hline \text{E} \rightarrow \text{E} + \text{E} \\ \text{E} \rightarrow \text{id} \end{array}$$

String:  $\text{id} + \text{id} + \text{id}$

$\Rightarrow$  Step 1 → Since it is associative of ' $+$ '  
 Step 2 → Convert into left associative

$$\begin{array}{l} \text{E} \rightarrow \text{E} + \text{E} \\ \text{E} \rightarrow \text{id} \end{array} \Rightarrow \begin{array}{l} \text{E} \rightarrow \text{E} + \text{id} \\ \text{E} \rightarrow \text{id} \end{array}$$

Q

$$E \rightarrow E + E$$

$$E \rightarrow E * E$$

$$E \rightarrow id$$

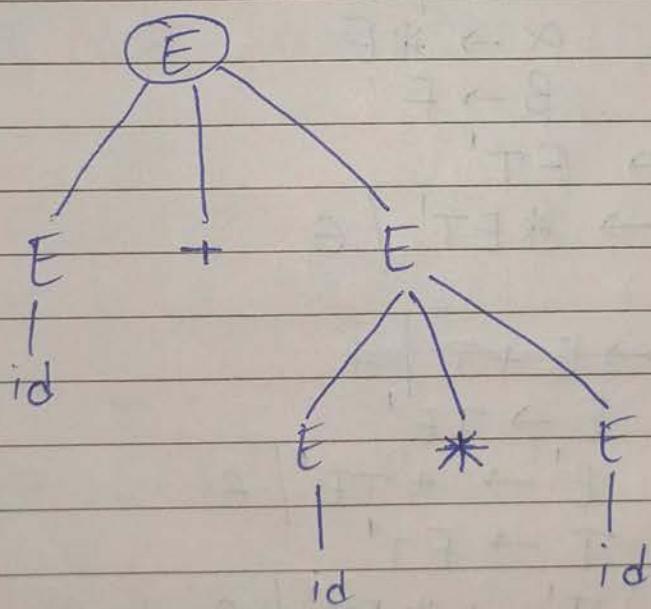
String id + id \* id

$\Rightarrow$  Since string contain different symbol so precedence will follow.

### \* Precedence of operators :-

- 1) Higher level will have operator of low priority.
- 2) Lower level will have operator of high priority.

$\Rightarrow$



### Elimination of Left Recursion :-

$$B \cdot A \rightarrow A\alpha | \beta$$

$\Downarrow$

$$A \rightarrow \beta A'$$

$$A' \rightarrow \alpha A' | \epsilon$$

$$\underline{Q} \quad E \rightarrow E + T \mid T \\ T \rightarrow T * E \mid F \\ F \rightarrow (E) \mid id$$

$$\Rightarrow \quad E \rightarrow E + T \mid T \\ \alpha \rightarrow +T \\ \beta \rightarrow T \\ E' \rightarrow TE' \\ E' \rightarrow +TE' \mid \epsilon$$

$$T \rightarrow T * E \mid F \\ \alpha \rightarrow *E \\ \beta \rightarrow F \\ T' \rightarrow FT' \\ T' \rightarrow *ET' \mid \epsilon$$

$$\Rightarrow \quad E \rightarrow E + T \mid + \\ E \rightarrow TE' \\ E' \rightarrow +TE' \mid \epsilon \\ T \rightarrow FT' \\ T' \rightarrow *ET' \mid \epsilon \\ F \rightarrow (E) \mid id$$

$$\underline{Q} \quad S \rightarrow Aa \mid b \\ A \rightarrow Ac \mid Sd \mid \epsilon$$

$$\Rightarrow \quad S \rightarrow Aa \mid b \\ A \rightarrow Ac \mid Aad \mid bd \mid \epsilon$$

$$\begin{array}{l} A \rightarrow A\alpha \\ \alpha \rightarrow c \\ \beta \rightarrow bd/\epsilon \end{array}$$

$$\begin{array}{l} A \rightarrow A\alpha d \\ \alpha \rightarrow ad \\ \beta \rightarrow bd/\epsilon \end{array}$$

$$\begin{array}{l} A^* \rightarrow bdA' / \epsilon A' \\ A' \rightarrow adA' / CA' / \epsilon \end{array} \Rightarrow A \rightarrow bdA' / A'$$

Left factoring :- (Common Prefix Problem) :-

$$A \rightarrow \alpha\beta_1 / \alpha\beta_2 / \alpha\beta_3 / Y$$



$$\begin{array}{l} A \rightarrow \alpha\beta'_1 / Y \\ A' \rightarrow \beta_1 / \beta_2 / \beta_3 \end{array}$$

$$\textcircled{Q}. \quad S \rightarrow iEtS / iEtSeS / \alpha$$

$$\begin{aligned} \Rightarrow \quad & \alpha \rightarrow iEtS \\ & \beta_1 \rightarrow \epsilon \\ & \beta_2 \rightarrow eS \\ & Y \rightarrow \alpha \end{aligned}$$

$$\begin{aligned} \Rightarrow \quad & S \rightarrow iEtSS' / \alpha \\ & S' \rightarrow e / eS \end{aligned}$$

Q.  $S \rightarrow assbss / asasb / abb / b$

$\Rightarrow \alpha \rightarrow a$

$\beta_1 \rightarrow ssbss$

$\beta_2 \rightarrow asb$

$\beta_3 \rightarrow bb$

$\gamma \rightarrow b$

$S \rightarrow as' / b$

$S' \rightarrow ssbss / asb / bb$

$\alpha \rightarrow S$

$\beta_1 \rightarrow sbss$

$\beta_2 \rightarrow asb$

$\gamma \rightarrow bb$

$S' \rightarrow ss'' / bb$

$S'' \rightarrow sbss / asb$

①

②

③

For Practice :-

Q.  $S \rightarrow bSSaaS / bSSasb / bsb / a$

Parsing Methods

① Top Down Parsing

→ Starts from Start symbol

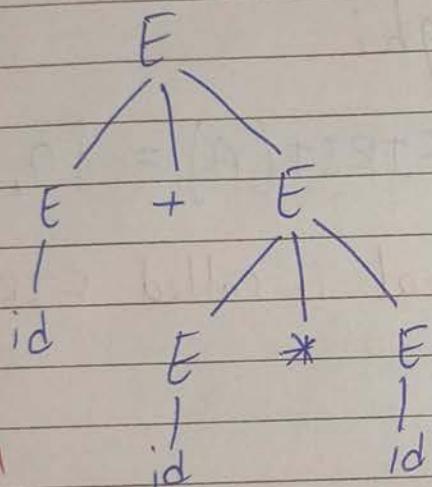
② Bottom-up Parsing

→ Just opposite

Q  $E \rightarrow E+E \mid E*E \mid id$   
Generate  $id + id * id$  using both method

$$\begin{aligned} E \\ \rightarrow E+E \\ \rightarrow E+E*E \\ \rightarrow E+E*id \\ \rightarrow E+id*id \\ \rightarrow id+id*id \end{aligned}$$

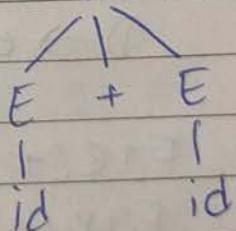
$$\begin{aligned} id+id*id \\ \rightarrow E+id*id \\ \rightarrow E+E*id \\ \rightarrow E*id \\ \rightarrow E*E \\ \rightarrow E \end{aligned}$$



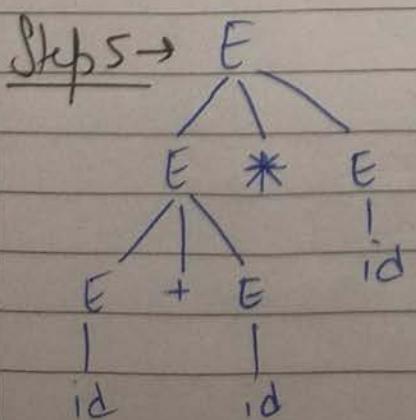
Step 1  $\rightarrow E+id*id$

Step 2  $\rightarrow E+E*id$

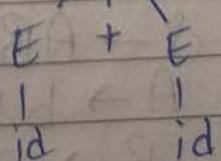
Step 3  $\rightarrow E*id$



Top-Down

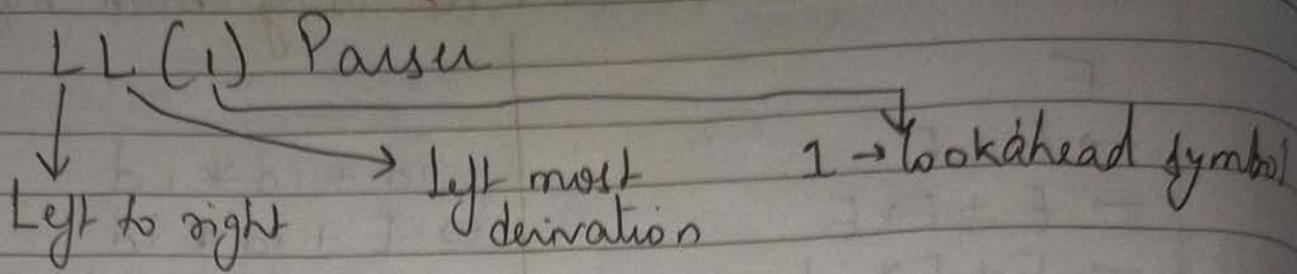


Step 4  $\rightarrow E*E$



Bottom-up

## Top-Down Parser



\* Computation of FIRST :-

Q.  $A \rightarrow abc \mid def \mid ghi$

$$\Rightarrow \text{First of } A \Rightarrow \text{FIRST}(A) = \{a, d, g\}$$

\* Basically first terminal is called FIRST.

Q.  $\begin{aligned} A &\rightarrow BCD \\ B &\rightarrow C \\ C &\rightarrow d \\ D &\rightarrow e \mid \epsilon \end{aligned}$

$$\Rightarrow \text{FIRST}(A) = \text{FIRST}(B) = \{c\}$$

$$\text{FIRST}(B) = \{c\}$$

$$\text{FIRST}(C) = \{d\}$$

$$\text{FIRST}(D) = \{e, \epsilon\}$$

Q.  $\begin{aligned} S &\rightarrow ABCD \\ A &\rightarrow bDC \\ B &\rightarrow CD \\ C &\rightarrow d \\ D &\rightarrow e \mid ABC \mid \epsilon \end{aligned}$

$$\Rightarrow \text{FIRST}(S) \rightarrow \{b\}$$

$$\text{FIRST}(A) \rightarrow \{b\}$$

$$\text{FIRST}(B) \rightarrow \{d\}$$

$$\text{FIRST}(C) \rightarrow \{d\}$$

$$\text{FIRST}(D) \rightarrow \{e, \epsilon, b\}$$

\* Computation of FOLLOW :-

Q.  $A \rightarrow A \underline{bc}$  Just after A what is there  
(terminal).

$$\text{FOLLOW}(A) = \{b\}$$

Q.  $\begin{array}{l} A \rightarrow ABc \\ B \rightarrow d \end{array} \Rightarrow \text{FOLLOW}(A) = \{d\}$

$$\text{FOLLOW}(B) = \{c\}$$

Q.  $\begin{array}{l} S \rightarrow ABCD \\ A \rightarrow b \\ C \rightarrow d/e \\ D \rightarrow e \\ B \rightarrow c \end{array} \Rightarrow \begin{array}{l} \text{FOLLOW}(A) = \{c\} \\ \text{FOLLOW}(B) = \{d\} \\ \text{FOLLOW}(D) = \{\$\} \\ \text{FOLLOW}(C) = \{d, e\} \\ \text{FOLLOW}(S) = \{\$\} \end{array}$

\* Note → Start symbol always have a \$

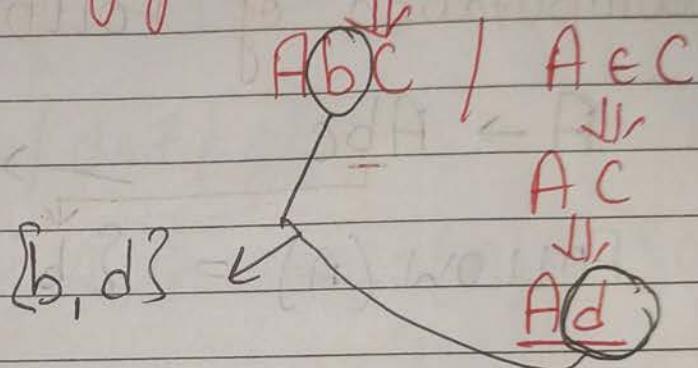
$S \rightarrow \underline{ABC}D$

D  $\xrightarrow{\text{end me}}$   $\Rightarrow S$  ka follow copy hoga.

Q.  $S \rightarrow ABC$

$$\begin{array}{l} A \rightarrow a \\ B \rightarrow b/e \\ C \rightarrow d \end{array} \Rightarrow \begin{array}{l} \{\$\} \\ \{b, e\} \\ \{d\} \\ \{\$\} \end{array} = \{b, d\}$$

Now, follow me  $e$  nahi hoga  
so,  $e$  ka value put kare ke bad  
kya ayega wo check karenge.



Practise :-

Q.  $S \rightarrow ABCD$

$$\begin{array}{l} A \rightarrow b/e \\ C \rightarrow d/e \\ D \rightarrow e \\ B \rightarrow c/c \end{array} \Rightarrow \begin{array}{l} \{\$\} \\ \{c, d, e\} \\ \{e\} \\ \{\$\} \\ \{d, e\} \end{array}$$

Q.  $S \rightarrow Bb/cd$

$$\begin{array}{l} B \rightarrow a \\ B \rightarrow e \\ C \rightarrow cc/e \end{array}$$

## LL(1) Parsing Table :-

$$\begin{array}{l}
 \underline{Q.} \quad E \rightarrow E + T \mid T \\
 T \rightarrow T * F \mid F \\
 F \rightarrow (E) \mid id
 \end{array}$$

$\Rightarrow$  First of all we make sure there is no left recursion & left factoring in production rule

We already done this question is left recursion

$$\begin{array}{l}
 \Rightarrow E \xrightarrow{\cdot} TE' \\
 E' \rightarrow +TE' \mid \epsilon \\
 T \rightarrow FT' \\
 T' \rightarrow *ET' \mid \epsilon \\
 F \rightarrow (E) \mid id
 \end{array}$$

Now, Calculate first and follow.

	FIRST	FOLLOW
$E \rightarrow TE'$	$\Rightarrow \{ (, id \}$	$\{ \$, ) \}$
$E' \rightarrow +TE' \mid \epsilon$	$\Rightarrow \{ +, \epsilon \}$	$\{ \$, ) \}$
$T \rightarrow FT'$	$\Rightarrow \{ (, id \}$	$\{ \$, ), + \}$
$T' \rightarrow *ET' \mid \epsilon$	$\Rightarrow \{ *, \epsilon \}$	$\{ \$, ), + \}$
$F \rightarrow (E) \mid id$	$\Rightarrow \{ (, id \}$	$\{ +, *, ), \$ \}$

Now Create Parsing Table

	+	*	(	)	id	\$
$E$					$E \rightarrow TE'$	
$E'$	$E' \rightarrow +TE'$				$E' \rightarrow \epsilon$	$E \rightarrow E$
$T$				$T \rightarrow FT'$		$T \rightarrow FT'$
$T'$	$T' \rightarrow \epsilon$	$T' \rightarrow *ET'$			$B T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
$F$			$F \rightarrow (E)$			$F \rightarrow id$

Q.  $S \rightarrow A b A a / B a B b$

$$A \rightarrow \epsilon$$

$$B \rightarrow \epsilon$$

$\Rightarrow S \rightarrow A b A a / B a B b$

$$A \rightarrow \epsilon$$

$$B \rightarrow \epsilon$$

FIRST

$$\{\epsilon, a\}$$

$$\{\epsilon\}$$

$$\{\epsilon\}$$

FOLLOW

$$\{\$ \}$$

$$\{b, a\}$$

$$\{a, b\}$$

	a	b	\$
S	$S \rightarrow A b A a$	$S \rightarrow B a B b$	
A	$A \rightarrow \epsilon$	$A \rightarrow \epsilon$	
B	$B \rightarrow \epsilon$	$B \rightarrow \epsilon$	



Note :- If there is 2 production in any block of this parse tree then  $LL(1)$  is not obtained. (We can't generate True).

So, Question can be asked to check whether it is a  $LL(1)$  or not.

Q.  $S \rightarrow A/a$

$$A \rightarrow a$$

$\Rightarrow S \rightarrow A/a, \quad \{\epsilon\} \quad \$$

$$A \rightarrow a \quad \{\epsilon\} \quad \$$$

	a	\$
S	$S \rightarrow A/S \rightarrow a$	
A	$A \rightarrow a$	

→ 2 Production in one block.  
⇒ Not  $LL(1)$ .

For Practise :-

Q Check if following grammar is LL(1) or not.

$$1) S \rightarrow aSbS / bSaS / \epsilon$$

$$2) S \rightarrow aABb \\ A \rightarrow c / \epsilon \\ B \rightarrow d / \epsilon$$

$$3) S \rightarrow aB / \epsilon \\ B \rightarrow bC / \epsilon \\ C \rightarrow cS / \epsilon$$

$$4) S \rightarrow aAa / \epsilon \\ A \rightarrow abS / \epsilon$$

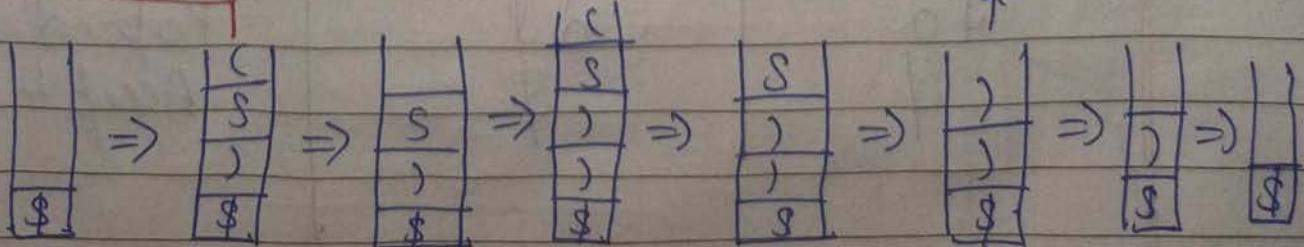
\* Making LL(1) Parsing tree from LL(1)  
Parsing Table.

Q.  $S \rightarrow (S) / \epsilon$  Generate (( ))

So  $\Rightarrow S \rightarrow (S) / \epsilon \Rightarrow \{c, \epsilon\} \cup \{\$, \}\}$

$S$	$ $	$C$	$ $	$)$	$ $	$\$$	$ $	$\$$
$S \mid S \rightarrow (S)$	$ $	$S \rightarrow \epsilon$						

Same symbol  
be pop  
operation



How to do in exam?

$$\text{Q} . \quad S \rightarrow cBcd \\ B \rightarrow e / \epsilon \\ C \rightarrow f / \epsilon$$

Generate L(1) for cefd.

$$\text{Sol} \rightarrow \quad S \rightarrow cBcd \\ B \rightarrow e / \epsilon \\ C \rightarrow f / \epsilon$$

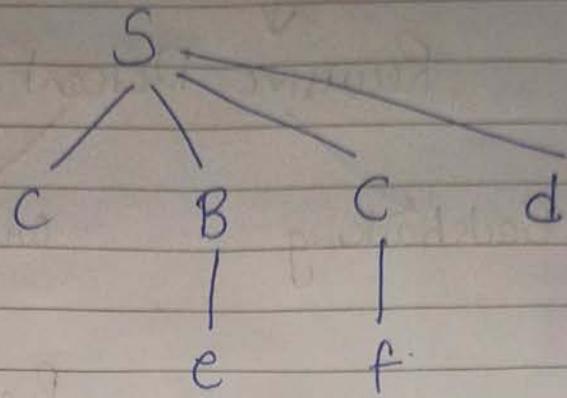
$$\{c\} \\ \{e, e\} \\ \{f, e\}$$

$$\{\$\} \\ \{f, d\} \\ \{d\}$$

	c	e	f	d	\$
S	$S \rightarrow cBcd$				
B		$B \rightarrow e$	$B \rightarrow e$	$B \rightarrow e$	
C			$C \rightarrow f$	$C \rightarrow e$	

Stack	Input	Action
\$	cefd \$	
\$S	cefd \$	$S \rightarrow cBcd$
\$dCBc	cefd \$.	Pop c
\$dCB	efd \$	$B \rightarrow e$
\$dCe	efd '\$	Pop e
\$dC	fd \$	$C \rightarrow f$
\$df	fd '\$	Pop f
\$d	d \$	Pop d
\$	\$	Accept

Now draw tree :-

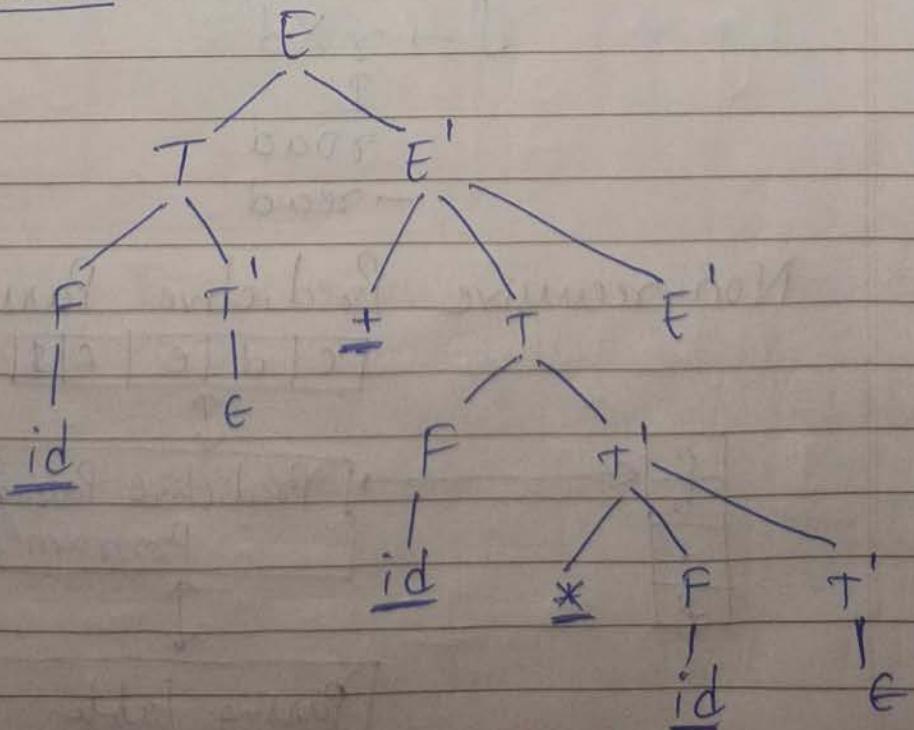


Question for Practise :-

Q.  $E \rightarrow TE'$   
 $E' \rightarrow +TE' / E$   
 $T \rightarrow FT'$   
 $T' \rightarrow *FT' / \epsilon$   
 $F \rightarrow (E) / id$

Generate LL(1) tree for  $id + id * id$

Ans to Match :-



## Top-Down Parser

### Recursive Descent Parsing

Backtracking

without Backtracking

### Predictive Parser

### LL(1) Parser

If generate error then don't backtrack.

Backtracking :-

$$S \rightarrow \gamma X d / \gamma Z d$$

$$X \rightarrow 0a / ea$$

$$Z \rightarrow a i d$$

Generate string read

$$S \rightarrow \gamma X d$$

↑

road

→ read

Non-recursive Predictive Parsing :-

c | d | e | f | \$

c
b
d
f

Predictive Parsing  
Program

Parsing Table

OUTPUT

Overview :-

**TOP DOWN PARSER**

Remove left Recursion & Left factoring

**Predictive Parser**

Remove Backtracking.

**L(i) Parser**

$S \rightarrow A \cup S / e / \epsilon$ $A \rightarrow a / cAd'$ String aab\$.	$\{e, \epsilon, a, c\}$ $\{a, c\}$	$\{\$\}$ $\{b, d\}$
--	---------------------------------------	------------------------

S	a	b	c	d	e	\$
$S \rightarrow A \cup S$			$S \rightarrow A \cup S$		$A \rightarrow e$	$A \rightarrow \epsilon$
$A \rightarrow a$			$A \rightarrow cAd$			

Input String	Stack	Action
aab\$	\$S	$S \rightarrow A \cup S$
aab\$	\$SbA	$A \rightarrow a$
aab\$	\$Sba	Pop a
ab\$	\$Sb	Error

Now, If Error occur. Then how to deal.

1) Panic Mode :-

- a. If top of stack does not match then pop top element
- b. If synch. then pop top element
- c. If  $A \rightarrow a$  is empty then skip input symbol.

2) Phrase Mode :-

insert/ replace or delete I/P Symbol.

Let understand how to see it :-

1. a.

Avi error aaya tha woh ko continue kart h.

Stack	Input String	Action
\$ S b	ab \$	Yha aaya tha error. Now, solve
\$ S	ab \$	Remove top of stack $S \rightarrow A b S$
\$ S b A	ab \$	$A \rightarrow a$
\$ S b a	ab \$	Pop a
\$ S b	b \$	Pop b
\$ S	\$	$S \rightarrow \epsilon$
\$	\$	Accept String

1. b. Hmlog karte ye h ki Jis v follow first ka symbol me  $\epsilon$  nhi h. Woh me woh put Synch for follow.

Eg. →	a	b	c	d	e	\$
S	$S \rightarrow A b S$		$S \rightarrow A b S$		$S \rightarrow c$	$\$ \rightarrow f$
A	$A \rightarrow a$	Synch	$A \rightarrow c$	Synch.		

Ye Jo last ari solve kar rhe the woh ka A ka follow wala me synch. dalo.

1. c. For  $A \rightarrow e$ , we just skip input symbol. (i.e agar koi nhi ho 1a ya 1b me se toh ye karlo).

Question  $\rightarrow S \rightarrow A \cup S \mid e \mid \epsilon$

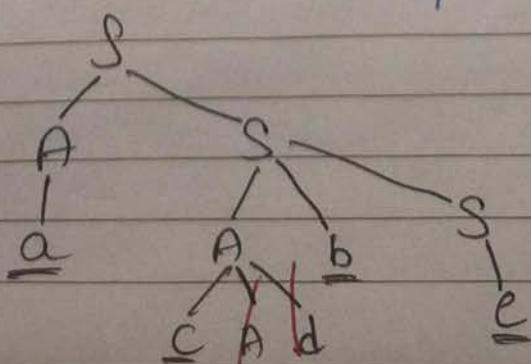
$A \rightarrow a \mid c \cup Ad$

Generate parse tree for input acbe.

$\Rightarrow S \rightarrow A \cup S \mid e \mid \epsilon \Rightarrow \{a, c, e, \epsilon\} \mid \{\$S\}$

	a	b	c	d	e	\$
S	$S \rightarrow A \cup S$		$S \rightarrow A \cup S$		$S \rightarrow e$	$S \rightarrow \epsilon$
A	$A \rightarrow a$	Synch.		$A \rightarrow c \cup Ad$	Synch.	

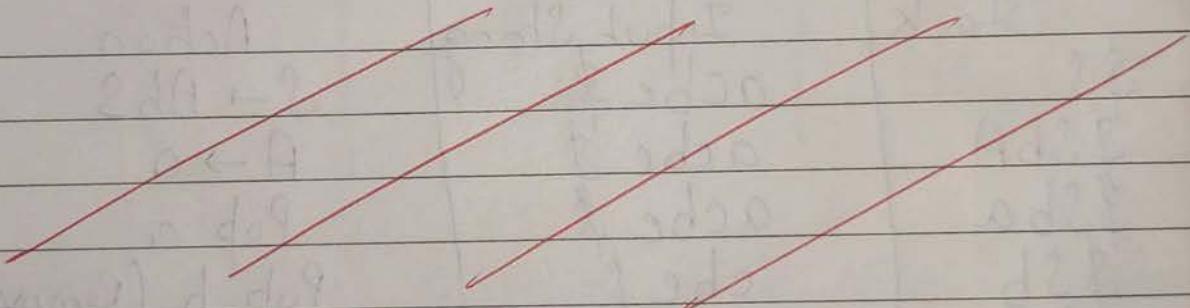
Stack	Input String	Action
\$S	acbe \$	$S \rightarrow A \cup S$
\$SbA	acbe \$	$A \rightarrow a$
\$Sba	acbe \$	Pop a
\$Sb	cbe \$	Pop b (remove top of stack)
\$S	cbe \$	$S \rightarrow A \cup S$
\$SbA	cbe \$	$A \rightarrow c \cup Ad$
\$SbdAc	cbe \$	Pop c
\$SbdA	be \$	Pop A
\$Sbd	be \$	Pop d
\$Sb	be \$	Pop \$ & b
\$S	e \$	Pop \$ $S \rightarrow e$
\$e	e \$	Pop e
\$	\$	Accept



For Practice :-

Q.  $E \rightarrow \bullet TE'$   
 $E' \rightarrow +TE' / e$   
 $T \rightarrow FT'$   
 $T' \rightarrow *FT' / e$   
 $F \rightarrow (E) / id$

Generate Parse tree for )id\*+id



# Compiler Design

## Unit - 3

### Bottom-up Parsing :-

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid id$$

Generate Parse tree for  $id * id$

$$\Rightarrow \text{Step 1} \rightarrow F * id$$

$$\begin{array}{c} id \\ | \\ T * id \\ | \\ F \\ | \\ id \end{array}$$

$$\begin{array}{c} T * F \\ | \\ F \\ | \\ id \end{array}$$

$$\begin{array}{c} T \\ | \\ T * F \\ | \\ F \\ | \\ id \end{array}$$

$$\text{Step 5} \rightarrow E$$

$$\begin{array}{c} E \\ | \\ T \\ | \\ T * F \\ | \\ F \\ | \\ id \end{array}$$

$\Rightarrow$  Reductions:-  $id * id$ ,  
 $F * id$ ,  $T * id$ ,  $T * F$ ,  $F$ ,  $E$

## Handle Punning :-

The handle is the substring that matches the body of a production whose reduction represents one step along with the reverse of the rightmost derivation.

→ Removing the children of left-hand side non-terminal from parse tree is called Handle punning.

A rightmost derivation in reverse can be obtained by handle punning.

For the same example we saw in last page, let's see how it works :-

Input String	Handle	Reductions
$id_1 * id_2$	$id_1$	$F \rightarrow id_1$
$F * id_2$	$F$	$T \rightarrow F$
$T * id_2$	$id_2$	$F \rightarrow id_2$
$T * F$	$T * F$	$T \rightarrow T * F$
$T$	$T$	$E \rightarrow T$
$E$ (start)		

↑  
empt

$$\begin{array}{l} \text{Q. } S \rightarrow aABe \\ \text{A} \rightarrow Abc / b \\ \text{B} \rightarrow d \end{array}$$

Input string abbcde

Date \_\_\_\_\_  
Page \_\_\_\_\_

Input String	Handle	Reduction
abbcde	b	$A \rightarrow b$
aAbcde	Abc	$A \rightarrow Abc$
aAde	d	$B \rightarrow d$
aABe	aABe	$S \rightarrow aABe$
- S (Start)	-	-
↑ accept	-	-

## Shift Reduce Parsing :-

Q

$$\begin{aligned} E &\rightarrow E + T / T \\ T &\rightarrow T * F / F \\ F &\rightarrow (E) / id \end{aligned}$$

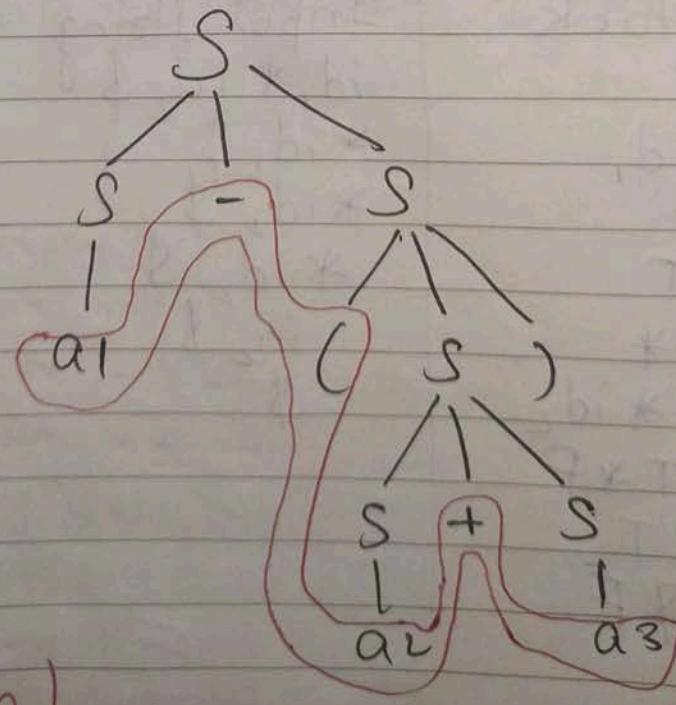
Input String  $\rightarrow$  id, \* id<sub>2</sub>.

$\Rightarrow$	Stack	Input String	Action
	\$	id, * id <sub>2</sub> \$.	Shift id,
	\$ id,	* id <sub>2</sub> \$	Replace by F $\rightarrow$ id
	\$ F	* id <sub>2</sub> \$	Replace by T $\rightarrow$ F
	\$ T	* id <sub>2</sub> \$	Shift *
	\$ T *	id <sub>2</sub> \$	Shift id <sub>2</sub>
	\$ T * id <sub>2</sub>	\$	Replace by F $\rightarrow$ id
	\$ T * F		Replace T $\rightarrow$ T * F
	\$ T		Replace E $\rightarrow$ T
	\$ E		Accept string.

$$\text{Q. } S \rightarrow S+S \mid S-S$$

$$S \rightarrow (S) \mid a \quad \text{String} \rightarrow a_1 - (a_2 + a_3)$$

$\Rightarrow$ Stack	Input String	Action
\$	$a_1 - (a_2 + a_3) \$$	Shift $a_1$ ,
\$ $a_1$	$- (a_2 + a_3) \$$	Replace $'\$' \rightarrow a_1$ ,
\$ $s$	$- (a_2 + a_3) \$$	Shift -
\$ $s -$	$(a_2 + a_3) \$$	Shift (
\$ $s - ($	$(a_2 + a_3) \$$	Shift $a_2$
\$ $s - (a_2$	$+ a_3) \$$	Replace $S \rightarrow a_2$
\$ $s - (s$	$+ a_3) \$$	Shift +
\$ $s - (s +$	$a_3) \$$	Shift $a_3$
\$ $s - (s + a_3$	) \$	Replace $S \rightarrow a_3$
\$ $s - (s + s$	) \$	Replace $S \rightarrow S + S$
\$ $s - (s$	) \$	Shift )
\$ $s - (s)$	\$	Replace $S \rightarrow (s)$
\$ $s - s$	\$	Replace $S \rightarrow S - S$
\$ $s$	\$	Accept string.



$$a_1 - (a_2 + a_3) =$$

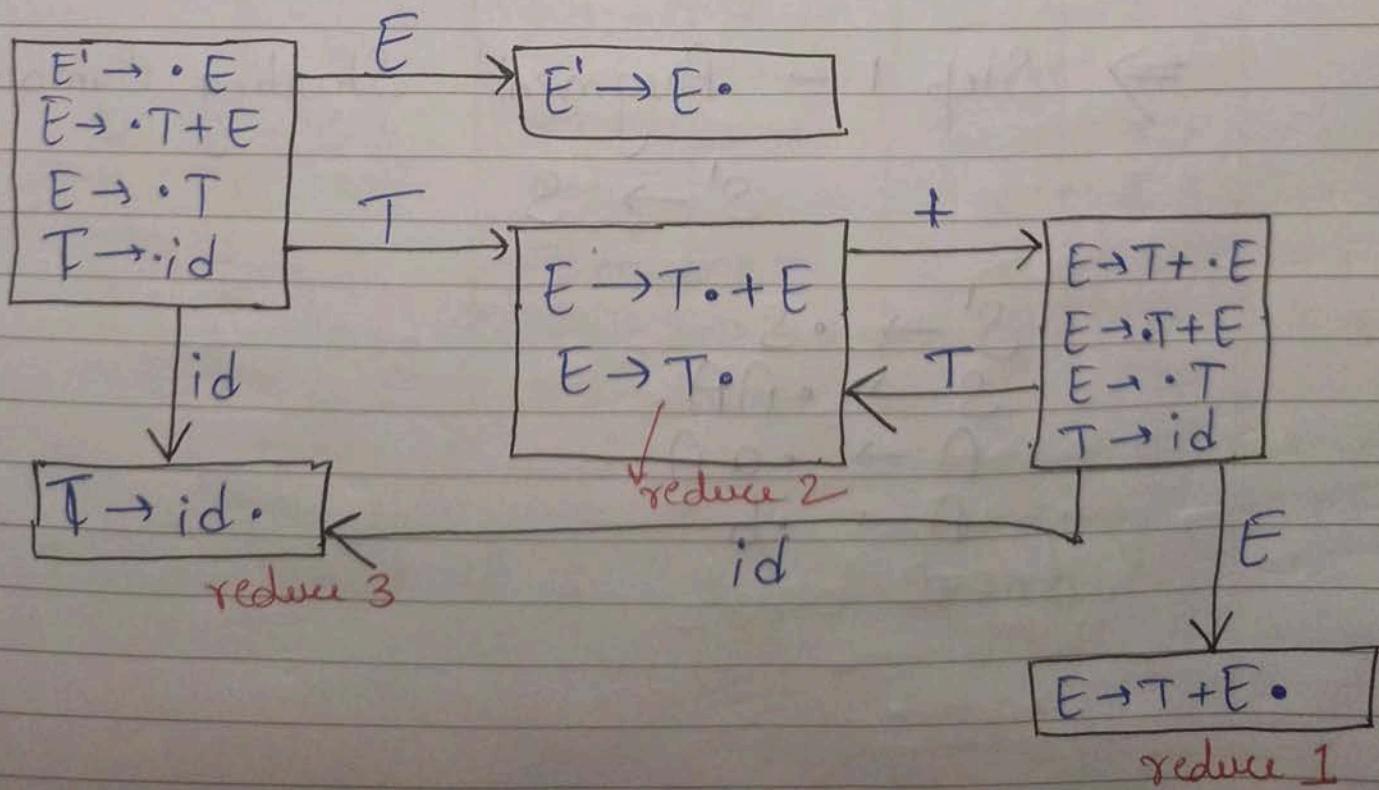
# LR(0) Parsing

left to right      Rightmost symbol      0 lookahead

Q1. Create LR(0) Parsing table for  
 $E \rightarrow T + E / T$   
 $T \rightarrow id$

$\Rightarrow$  Step 1  $\rightarrow$  Augment starting Symbol.  
 $E' \rightarrow E$

$$\begin{aligned} E' &\rightarrow \cdot E \\ E &\rightarrow \cdot T + E \\ E &\rightarrow \cdot T \\ T &\rightarrow \cdot id \end{aligned}$$



States	Actions			Go to	
	+	id	\$	E	T
I0		S3		1	2
I1			accept		
I2	S4/ $\gamma_2$	$\gamma_2$	$\gamma_2$		
I3	$\gamma_3$	$\gamma_3$	$\gamma_3$		
I4		S3		5	2
I5	$\gamma_1$	$\gamma_1$	$\gamma_1$		

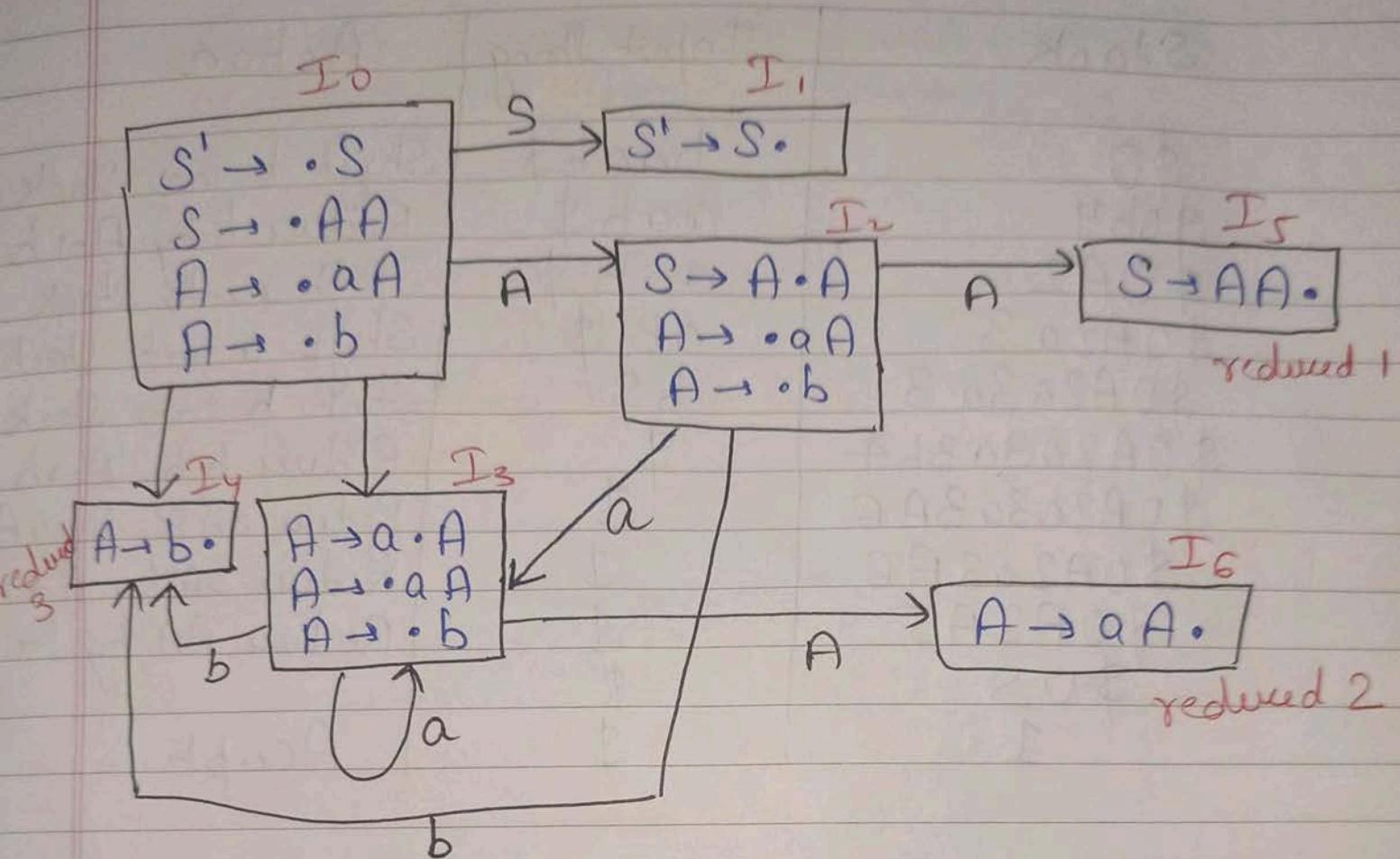
For terminals only, we use  
 1) Shift ( $S$ )  
 2) Reduce ( $\gamma$ )

$$\text{Q. } S \rightarrow AA \\ A \rightarrow aA \mid b$$

$\Rightarrow$  Step 1  $\rightarrow$  Augment Starting Symbol

$$S' \rightarrow S$$

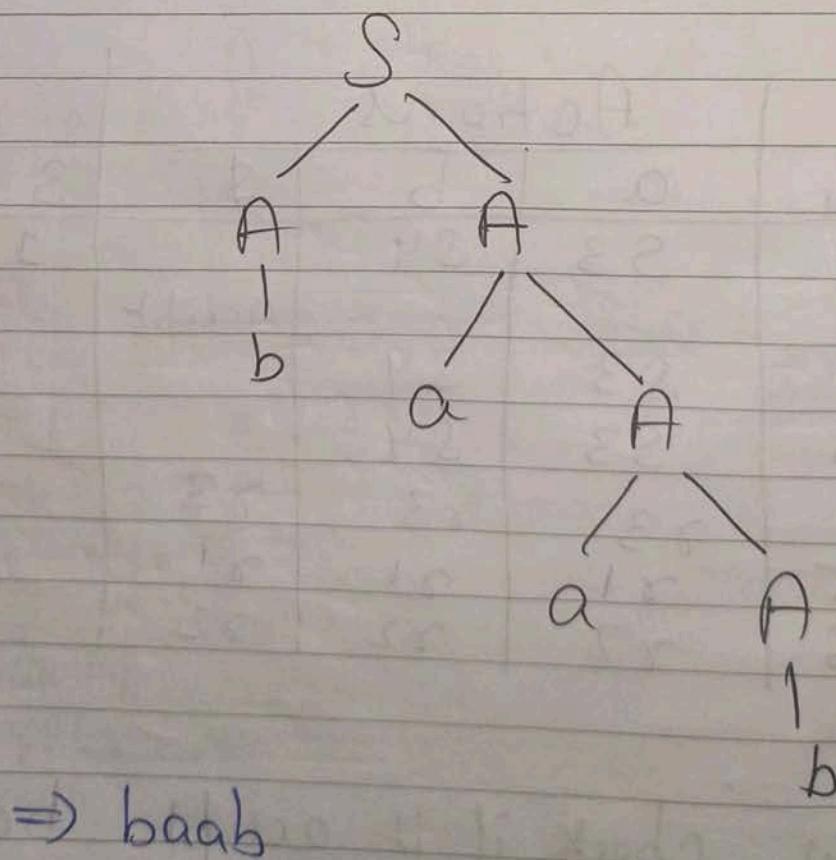
$$\begin{aligned} S' &\rightarrow \cdot S \\ S &\rightarrow \cdot AA \\ A &\rightarrow \cdot aA \\ A &\rightarrow \cdot b \end{aligned}$$



Status	Actions				S	A
	a	b	\$			
$I_0$	$s_3$	$s_4$			1	2
$I_1$			accept			
$I_2$	$s_3$	$s_4$			5	
$I_3$	$s_3$	$s_4$			6	
$I_4$	$\gamma_3$	$\gamma_3$	$\gamma_3$			
$I_5$	$\gamma_1$	$\gamma_1$	$\gamma_1$			
$I_6$	$\gamma_2$	$\gamma_2$	$\gamma_2$			

Now, check if it accept baab?

Stack	Input String	Action
\$0	baab\$	Shift b into Stack
\$0b4	aab\$	Reduce b by A→b
\$0A2	aab\$	Shift a into Stack
\$0A2a3	ab\$	Shift a into stack
\$0A2a3a3	b\$	Shift b into Stack
\$0A2a3a3b4	\$	Reduce b by A→b
\$0A2a3a3AG	\$	Reduce AG by A→aA
\$0A2a3AG	\$	Reduce AG by A→aA
\$0A2AS	\$	Reduce AS by S→AA
\$OS	\$	
1	\$	
		Accept



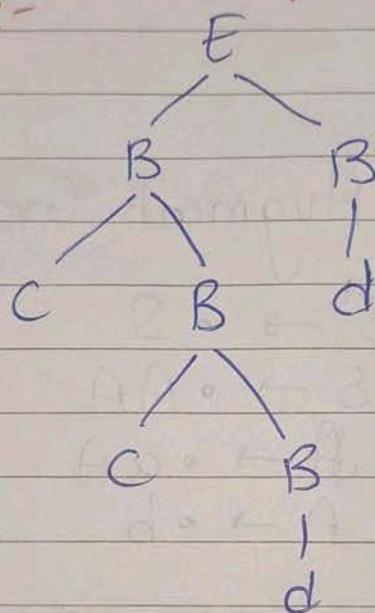
## Question to Practise :-

$$1) E \rightarrow BB \\ B \rightarrow cB/d$$

Generate string 'ccdd'.

→ It is similar to last quest.

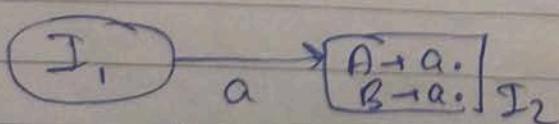
Ans to match :-



Note → While using LR(0), there are 2 types of conflict that may arise.

1) RR Conflict

$$A \rightarrow \cdot a \\ B \rightarrow \cdot a$$

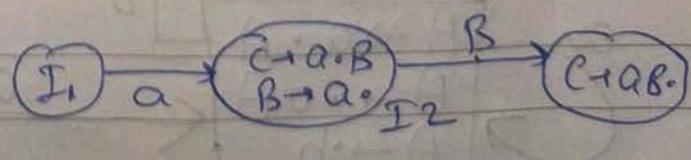


I <sub>1</sub>	a	S <sub>2</sub>	A + B
I <sub>2</sub>		s <sub>1</sub> /s <sub>2</sub>	

2 reduce (RR)

2) SR Conflict

$$C \rightarrow \cdot aB \\ B \rightarrow \cdot a$$



I <sub>1</sub>	a	S <sub>2</sub>	B
I <sub>2</sub>		s <sub>3</sub> /s <sub>2</sub>	S <sub>3</sub>

Shift Reduce (SR)

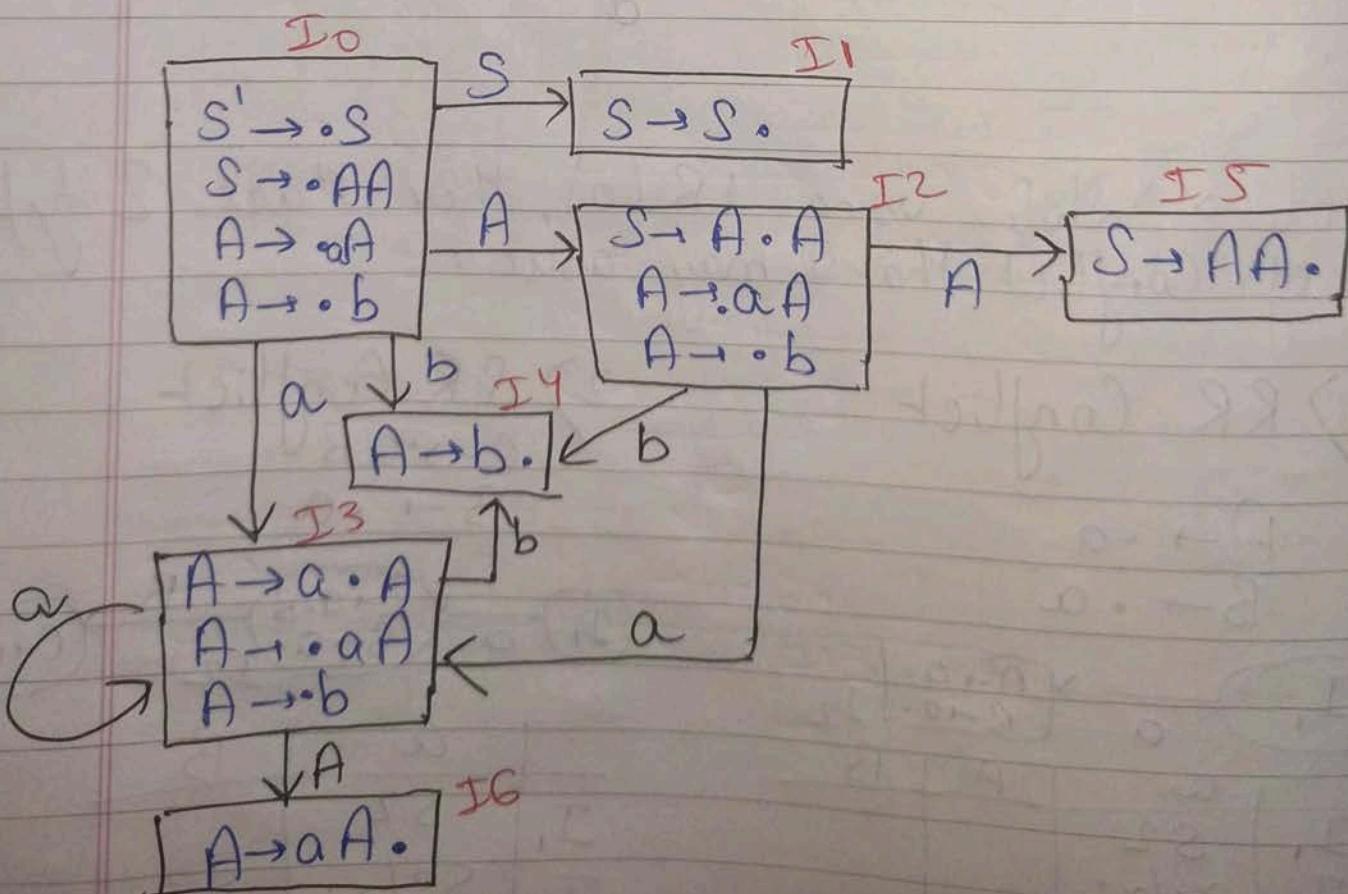
To overcome these conflicts, we use SLR parser (Simple LR Parser).

## SLR(1) Parser

$$\text{Q. } S \rightarrow AA \\ A \rightarrow aA/b.$$

$\Rightarrow$  Step 1  $\rightarrow$  Augment Grammar.

$$\begin{aligned} S' &\rightarrow \cdot S \\ S &\rightarrow \cdot AA \\ A &\rightarrow \cdot aA \\ A &\rightarrow \cdot b \end{aligned}$$



Step 2 → Calculate follow of S and A.

$$\text{FOLLOW}(S) = \{\$\}$$

$$\text{FOLLOW}(A) = \{a, b, \$\}$$

Stage	Action			Goto	
	a	b	\$	S	A
I0	s3	s4		1	2
I1			accept		
I2	s3	s4			5
I3	s3	s4			6
I4	r3	r3	r3		
I5			r1		
I6	r2	r2	r2		

Q.  $E \rightarrow T + E/T$   
 $T \rightarrow id$

$$\Rightarrow \text{Follow}(E) = \{\$\}$$

$$\text{Follow}(T) = \{+, \$\}$$

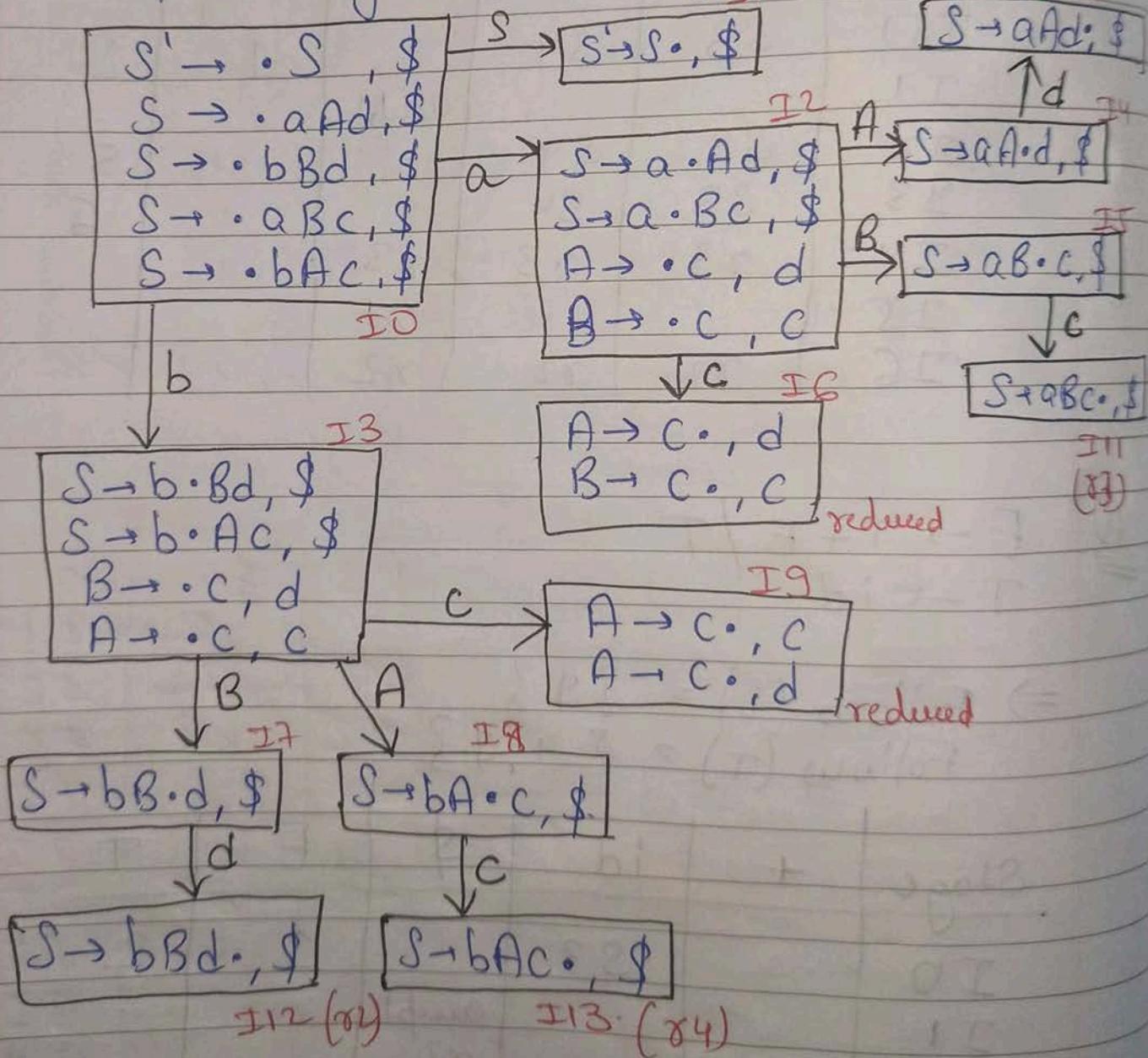
Stage	+	id	\$	E	T
I0		s3		1	2
I1			accept		
I2	s4		r2		
I3	r3		r3		
I4		s3		5	2
I5			r1		

no conflict so we can use SLR.

# Canonical Left Right Parser (CLR(1)) :-

Q.  $S \rightarrow aAd / bBd / aBc / bAc$   
 $A \rightarrow c$   
 $B \rightarrow c$

$\Rightarrow$  Step 1 → Augment Starting Symbol.



Stage	a	b	c	d	\$	S	A	B
I0	S2	S3				1		
I1				:	,	accept	.	
I2			S6				4	5
I3			S9				8	7
I4				.	S10			
I5				S11				
I6				γ6	γ5			
I7					S12			
I8				S13				
I9				γ5	γ6			
I10					γ1			
I11					γ3			
I12					γ2			
I13					γ4			

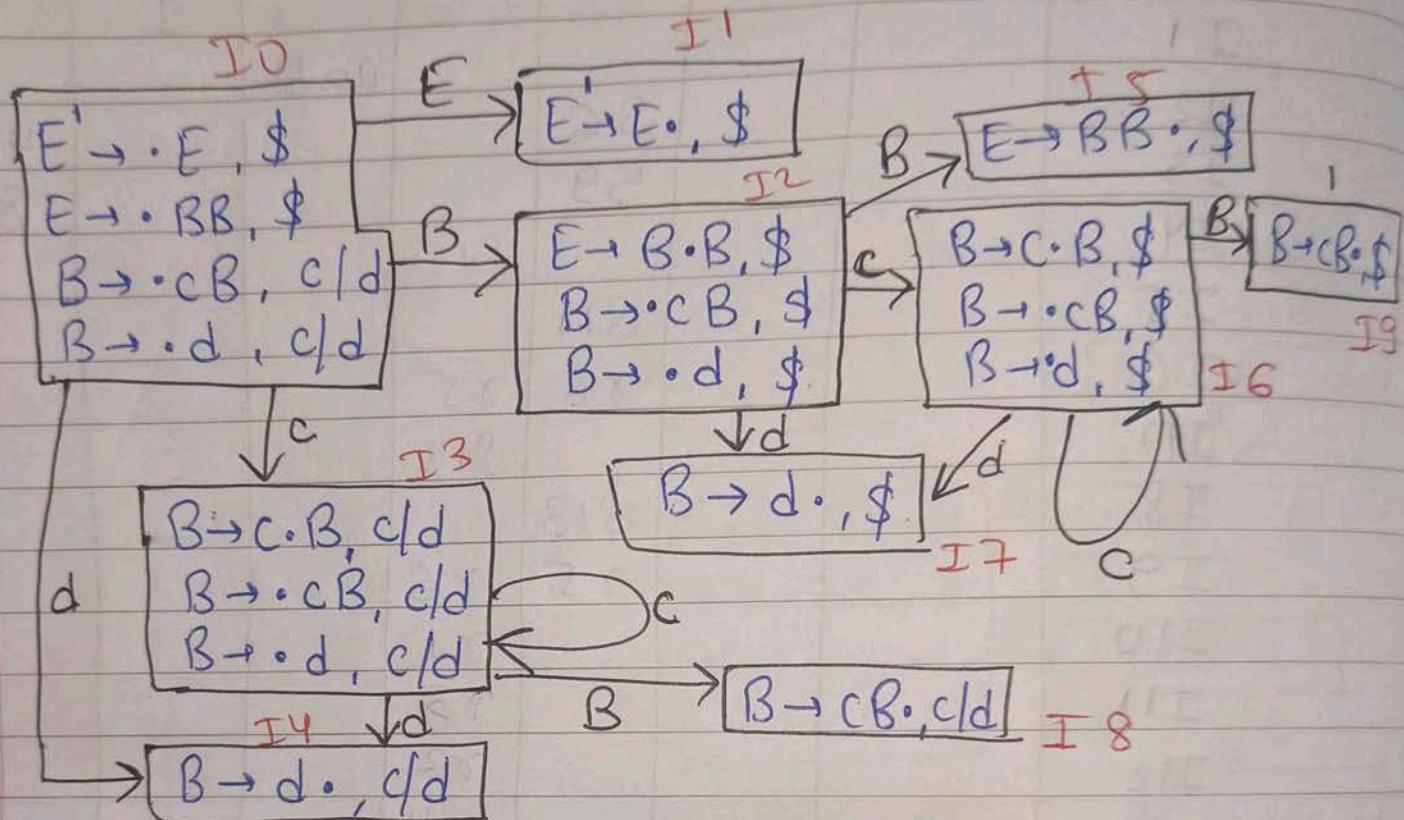
## Look-ahead Left-Right (LALR)

⇒ similar to CLR But, we ~~can~~ merge the production on same reduction. Like in this question, I6 and I9 is reducing same production. So, we can merge it.

	a	b	c	d	\$	S	A	B
$S1 \rightarrow I6 + I9$			$\gamma_6, \gamma_5$	$\gamma_5, \gamma_6$				
$I6 \rightarrow$			$\gamma_6$	$\gamma_5$				
$I9 \rightarrow$			$\gamma_5$	$\gamma_6$				

2 production in one block  
So, it is not LALR Parser. It is only CLR Parser

Q.  $E \rightarrow BB$   
 $B \rightarrow cB/d$



Stages	Action			Costs.	
	C	D	\$	E	B
I0	S3	S4		1	2
I1	S3				
I2	S6	S7			5
I3	S3	S4			8
I4	S3	S3			
I5			S1		
I6	S6	S7			9
I7			S3		
I8	S2	S2			
I9			S2		

For LALR :-

$$I_3 \& I_6 \rightarrow I_{36}$$

$$I_4 \& I_7 \rightarrow I_{47}$$

$$I_8 \& I_9 \rightarrow I_{89}$$

	C	d	\$	E	B
I <sub>0</sub>	S <sub>36</sub>	S <sub>47</sub>		1	2
I <sub>1</sub>			accept		
I <sub>2</sub>	S <sub>36</sub>	S <sub>47</sub>			5
→ I <sub>3</sub>	S <sub>36</sub>	S <sub>47</sub>			89
Copy → I <sub>4</sub>	γ <sub>3</sub>	γ <sub>3</sub>	γ <sub>3</sub>		
I <sub>5</sub>			γ <sub>1</sub>		
Copy → I <sub>6</sub>	S <sub>36</sub>	S <sub>47</sub>			89
Copy → I <sub>7</sub>	γ <sub>3</sub>	γ <sub>3</sub>	γ <sub>3</sub>		
Copy → I <sub>8</sub>	γ <sub>2</sub>	γ <sub>2</sub>	γ <sub>2</sub>		
Copy → I <sub>9</sub>	γ <sub>2</sub>	γ <sub>2</sub>	γ <sub>2</sub>		

Now, I<sub>3</sub>&I<sub>6</sub> having same values so we can ignore 1 of them. Same we can do with (I<sub>4</sub>&I<sub>7</sub>) & (I<sub>8</sub>&I<sub>9</sub>).

	C	d	\$	E	B
I <sub>0</sub>	S <sub>36</sub>	S <sub>47</sub>		1	2
I <sub>1</sub>			accept		
I <sub>2</sub>	S <sub>36</sub>	S <sub>47</sub>			5
I <sub>36</sub>	S <sub>36</sub>	S <sub>47</sub>			89
I <sub>47</sub>	γ <sub>3</sub>	γ <sub>3</sub>	γ <sub>3</sub>		
I <sub>5</sub>			γ <sub>1</sub>		
I <sub>89</sub>	γ <sub>2</sub>	γ <sub>2</sub>	γ <sub>2</sub>		

⇒ Both LR(1) & LALR

## Question to Practise :-

Q.

Check if the following production is

(a) CLR or not

(b) LALR or not

Ans to verify - (a) Y<sub>u</sub>,  
(b) Y<sub>u</sub>,

→ Isme dono table me difference nahi  
ayega because, there is no 2  
state which is providing same reduction.

## Operator Precedence Parser :-

Based on operator precedence grammar.  
Rules :-

1. It should not have  $\epsilon$  in RHS of production

$$S \rightarrow \epsilon \quad \times$$

2. Two Non-terminal should not be adjacent

$$E \rightarrow A C \quad \times$$

$$E \rightarrow a C \quad \checkmark$$

$$E \rightarrow C a \quad \checkmark$$

Q.  $E \rightarrow EAE \mid CE \mid id$

$$A \rightarrow + \mid - \mid * \mid /$$

↓

$$E \rightarrow E+E \mid E-E \mid E*E \mid E/E \mid (E) \mid id$$

## Precedence Table Rule:-

1. id, a, b, c = higher precedence  
 terminal

2.  $\{ (+, +)$   
 $(*, *)$   
 $(/, /)$   
 $(-, -)$  }  $\rightarrow$  Left side has more precedence.  
 Same operator

3. \$ → lowest precedence

4. \$\$ → accept

	+	*	(	)	id	\$
+	>	<	<	>	<	>
*	>	>	<	>	<	>
(	>	>	<	=	<	X
)	>	<	X	>	X	>
id	>	>	X	>	X	>
\$	<	<	<	X	<	accept

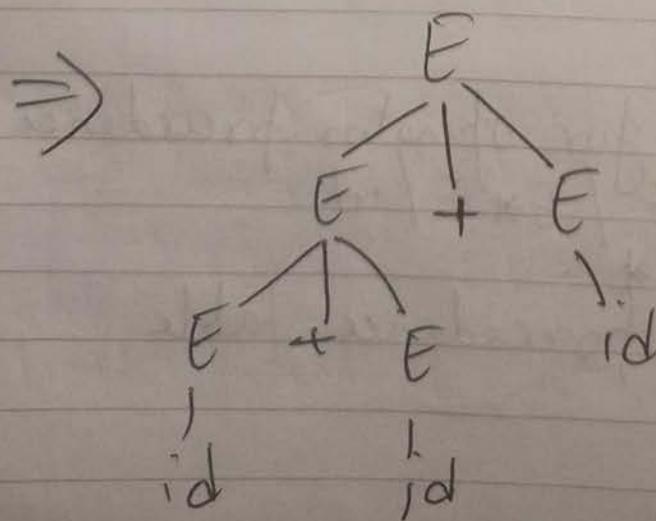
Q  $E \rightarrow EA E / id$   
 $A \rightarrow +/*.$

⇒ Step 1 → Check for operators precedence grammar  
 $E \rightarrow E+E / E*E / id$   
 $A \rightarrow +, *.$   
 Step 2 → Draw precedence table

	id	+	*	\$	
id	<	>	>	>	
+	<	>	>	>	
*	<	>	>	<	
\$	<	<	<	<	accept

Input String  $\rightarrow$  id + id + id.  
 $\Rightarrow \$ id + id + id \$$

Stack	Relation	String	Action
\$.	<	\$ id + id + id \$	Shift \$
\$ id	>	id + id + id \$	Shift id
\$ E	<<	id + id + id \$	Reduce by E->id
\$ E +	<<	+ id + id \$	Shift +
\$ E + id	>>	id + id \$	Shift id
\$ E + E	>>	+ id \$	Reduce E->id
\$ E	<<	+ id \$	Shift +
\$ E +	<<	id \$	Shift id
\$ E + id	>	id \$	Reduce E->id
\$ E + E	>	\$	Reduce E->E+E
\$ E		\$.	Accept



Q for Practice :-

$$E \rightarrow EAE / id$$

$$A \rightarrow * / -$$

Generate Parse tree for  $id - id * id$ .

Computation of Leading & Trailing :-

Leading :-  $\epsilon$  or single non-terminal

Rule 1:-  $A = \alpha a \gamma \rightarrow$  anything  
terminal

$$\text{Leading}(A) = a$$

Rule 2:-  $A = B \alpha \rightarrow$  anything  
single Non-terminal

$$\text{Leading}(A) = \text{Leading}(B)$$

Trailing :-

Rule 1:-  $A = \alpha a \gamma \rightarrow$  anything  
 $\epsilon$  or single N-T  
terminal

$$\text{Trailing}(A) = \{\alpha\}$$

Rule 2:-

$A = \boxed{\alpha B \alpha} \rightarrow$  anything  
single N-T

$$\text{trailing}(A) = \text{trailing } \{\beta\}$$

$$\begin{array}{l}
 \text{Q} \quad E \rightarrow E + T \quad | \quad T \quad (1) \\
 \quad \quad T \rightarrow T * F \quad | \quad F \quad (2) \\
 \quad \quad F \rightarrow (E) \quad | \quad \text{id} \quad (3) \\
 \quad \quad \quad \quad \quad (4) \\
 \quad \quad \quad \quad \quad (5) \\
 \quad \quad \quad \quad \quad (6)
 \end{array}$$

$\Rightarrow 1. E \rightarrow E + T$   
 $\text{Leading}(E) = \{ + \}, \text{Leading}(E)$   
 $\text{Trailing}(E) = \{ + \}, \text{trailing}(T).$

Leading :-

$$\text{Rule 1} \rightarrow E \rightarrow \underbrace{E + T}_{\alpha \alpha Y}$$

$$\text{Leading}(E) = \{ + \}$$

$$\text{Rule 2} \rightarrow E \rightarrow \underbrace{E + T}_{B \alpha}$$

$$\text{Leading}(E) = \text{Leading}\{ E \}$$

Trailing :-

$$\text{Rule 1} \rightarrow E \rightarrow \underbrace{E + T}_{\alpha \alpha Y}$$

$$\text{Trailing}(E) = \{ + \}$$

$$\text{Rule 2} \rightarrow E \rightarrow \underbrace{E + T}_{\alpha B}$$

$$\text{Trailing}(E) = \text{Trailing}(T)$$

2.  $E \rightarrow T$

$$\begin{array}{l}
 \text{Leading}(E) = \text{Leading}(T) \\
 \text{Trailing}(E) = \text{Trailing}(T).
 \end{array}$$

Rule 1 not applicable as  $E \rightarrow T$  does not in form  $\alpha \alpha Y$

Similarly,  $T \rightarrow T * F$   
 $\Rightarrow \text{Leading}(T) = \{\ast\}, \text{Leading}(F)$   
 $\Rightarrow \text{Trailing}(T) = \{\ast\}, \text{Trailing}(F)$

$T \rightarrow F$   
 $\Rightarrow \text{Leading}(T) = \text{Leading}(F)$   
 $\text{Trailing}(T) = \text{Trailing}(F)$ .

$F \rightarrow (E)$   
 $\Rightarrow \text{Leading}(F) = \{(\}$   
 $\text{Trailing}(F) = \{)\}$ .

$F \rightarrow id$   
 $\Rightarrow \text{Leading}(F) = \{id\}$   
 $\text{Trailing}(F) = \{id\}$

## Operator Precedence Relation Table Algo :-

1. Calculate Leading & Trailing for each N.T.

2. (a)  $S \rightarrow ab$   
 $a = b$

(b)  $S \rightarrow aAb$   
(i)  $a = b$   
(ii)  $a < \text{Leading}(A)$   
(iii)  $\text{Trailing}(A) > b$

(c) If  $S = \text{Starting N.T.}$ ,  $\$ \rightarrow \text{end terminal}$   
(i)  $\$ < \text{Leading}(S)$   
(ii)  $\text{Trailing}(S) > \$$

By using that lets find Table of above question

N.T.	Leading	Trailing
E	{+, *, id, ()}	{+, *, id, ()}
T	{*, id, ()}	{*, id, ()}
F	{id, ()}	{id, ()}

	+	*	(	)	id	\$
+	>	<	<	>	<	>
*	>	>	<	>	<	>
(	<	<	<	=	<	X
)	>	>	X	>	X	>
id	>	>	X	>	X	>
\$	<	<	X < X	<		Acept

$$E \rightarrow E + T$$

$$\xrightarrow{\text{form of } S} E \rightarrow E + A b$$

$$\& \quad E \rightarrow + T$$

$$\xrightarrow{\text{form of } S} + a A$$

$$\begin{aligned} \text{Trailing}(E) &> + & + &< \text{Leading}(T) \\ \{+, *, id, ()\} &> + & + &< \{*, id, ()\} \end{aligned}$$

$$E \rightarrow T \Rightarrow \text{ignored}$$

4.  $T \rightarrow F \Rightarrow$  ignored

5.  $F \rightarrow (E)$ .

$\vdash (=) \text{ --- } (\checkmark)$   
 $\rightarrow (< \text{Leading}(E))$   
 $\Rightarrow (< \{ +, *, \text{id}, \}) \text{ --- } (\checkmark)$   
 $\rightarrow \text{Trailing}(E) >$   
 $\Rightarrow \{ +, *, \text{id}, \} > ) \text{ --- } (\checkmark)$

6.  $f \rightarrow \text{id} \Rightarrow \text{ignored}$

$\$ \leftarrow \{ +, *, id, () \} \quad -- (viii)$   
 $\{ +, id, id, () \} \rightarrow \$ \quad -- (ix)$

Now, just fill table using these equations.

## Unit - 4

### Intermediate Code Generation

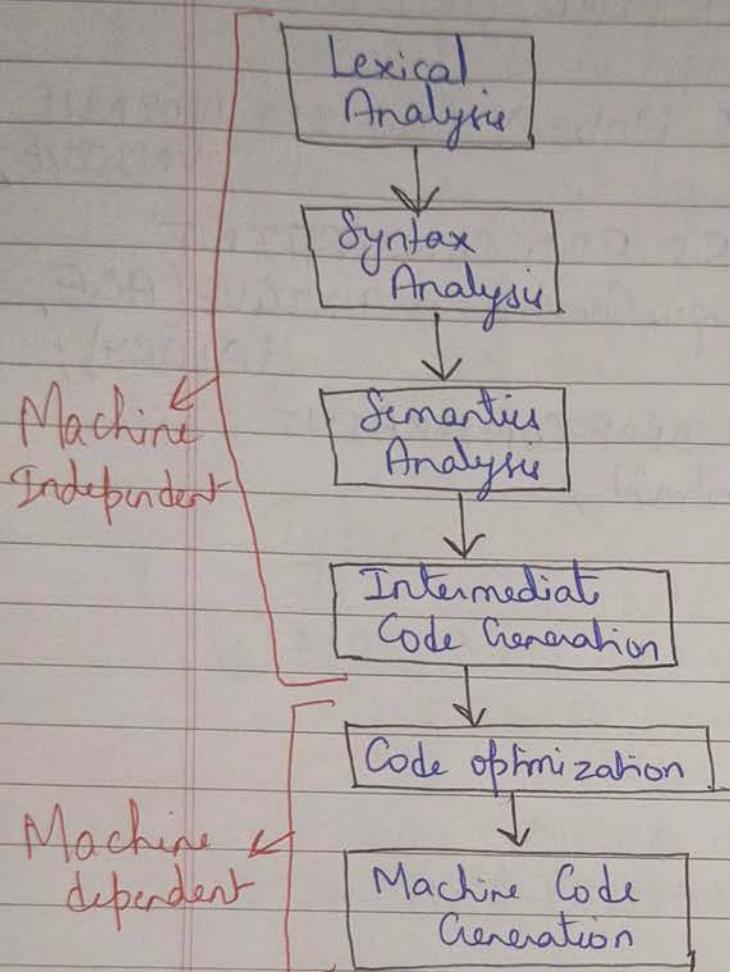


fig. Position of Intermediate Code Generation

Various forms :-

1. Linear form

- a. Prefix
- b. Postfix
- c. 3 address Code

2. Tree form

- a. Syntax Tree
- b. DAG

a. Prefix Notation :-

$$\begin{aligned} a+b &\Rightarrow +ab \\ (a+b)*c &\Rightarrow *(+ab)c \\ (a+b)*(c-d) &\Rightarrow *(+ab)(-cd) \end{aligned}$$

b. Postfix Notation :-

$$\begin{aligned} a+b &\Rightarrow ab+ \\ (a+b)*c &\Rightarrow (ab+)c* \\ (a+b)*(c-d) &\Rightarrow (ab+)(cd-) \end{aligned}$$

c. 3 address Code :-

1.  $x \ op \ z \rightarrow (\text{variable operator variable})$
2.  $op \ z \rightarrow (\text{operator variable})$

Ex  $\rightarrow n = a + b * c + d$

1.  $T_1 = b * c$   
 $\Rightarrow a + T_1 + d$
2.  $T_2 = a + T_1$   
 $\Rightarrow T_2 + d$
3.  $T_3 = T_2 + d$
4.  $n = T_3$

Example 2 :-  $a = (b * -c) + (b * -c)$

1.  $T_1 = -c$
2.  $T_2 = b * T_1$
3.  $T_3 = -c$
4.  $T_4 = b * T_3$
5.  $T_5 = T_2 + T_4$
6.  $a = T_5$

Ans  
=====

## Implementation of 3-address Code :-

A 3-address Code is an abstract form of intermediate code. In a compiler, these statements can be implemented as records with fields for the operator and the operands.

Three such representation are :-

- 1) Quadruples
- 2) Triples
- 3) Indirect Triples

### Quadruples :-

→ A quadruple is a record structure with four fields which are op, arg1, arg2 and result.  
→ The op field contains an internal code for the operator. The 3-address statement  $x := y \text{ op } z$  is represented by placing y in arg1, z in arg2, and n in result.

### Triples :- → 3 fields op, arg1, arg2.

→ to avoid entering temporary names into the symbol table, we might refer to a temporary value by the position of statement that computes it.

Indirect triples :- Another implementation of 3-address code is that of using pointers to triples, rather than listing the triples themselves. This implementation is called indirect triples.

So, let's see with the example. We take example of previous ques.

Quadruples :-

#	op	arg1	arg2	result
(1)	-	c		T1
(2)	*	b	T1	T2
(3)	-	c		T3
(4)	*	b	T3	T4
(5)	+	T2	T4	T5
(6)	- / =	T5		a

Triples :-

#	op	arg1	arg2
(1)	-	c	
(2)	*	b	(1)
(3)	-	c	
(4)	*	b	(1)
(5)	+	(2)	(4)
(6)	=	a	(5)

Indirect Triples :-

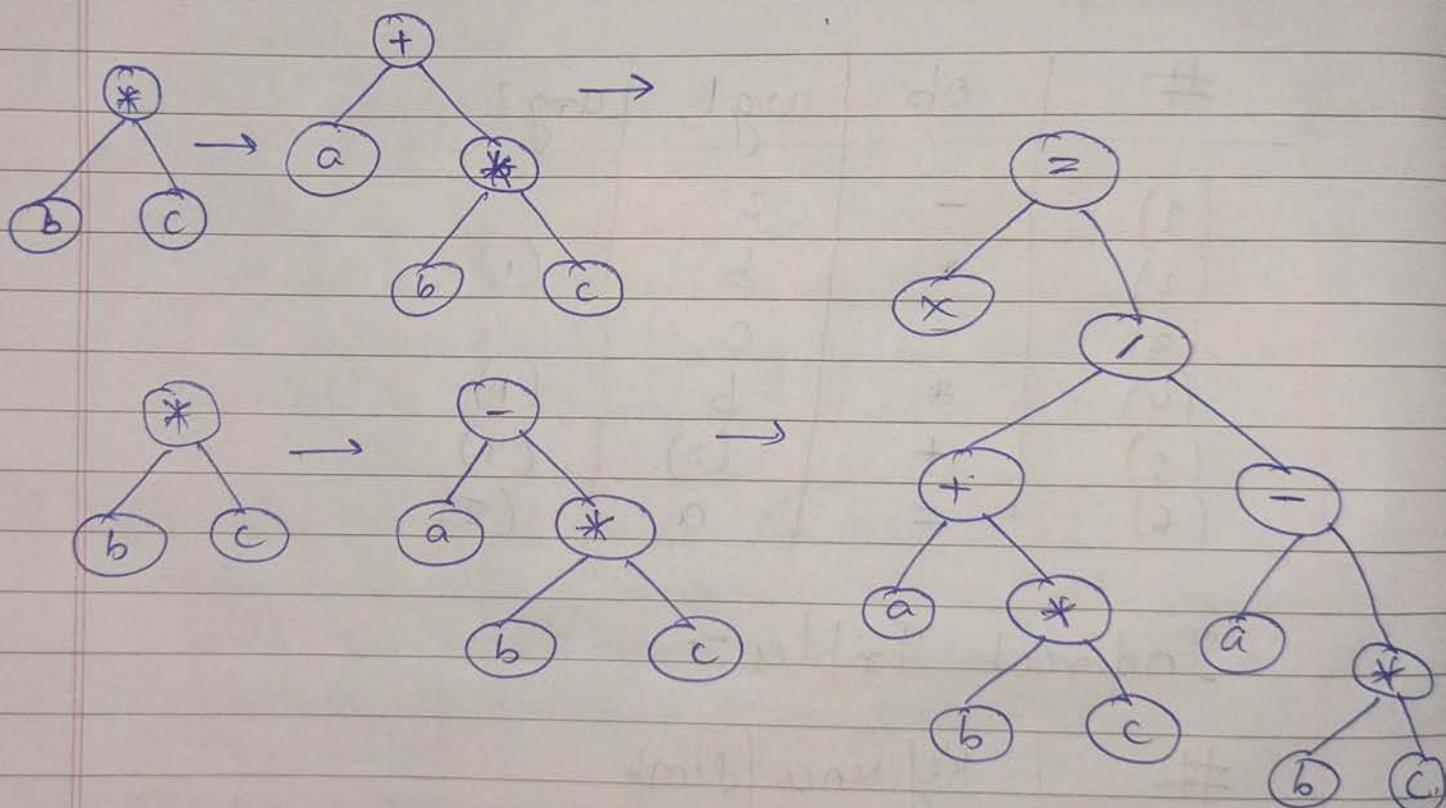
#	Reference   Stmt
(1)	(13)
(2)	(14)
(3)	(15)
(4)	(16)
(5)	(17)
(6)	(18)

Question to practise :-

$$\begin{aligned} 1) \quad & -(a * b) + (c * d + e) \\ 2) \quad & (a + (b - c)) + (c - (d + e)). \end{aligned}$$

Syntax Tree :- A syntax tree depicts the natural hierarchical structure of a source program.

Q.  $n = (a + b * c) / (a - b * c)$



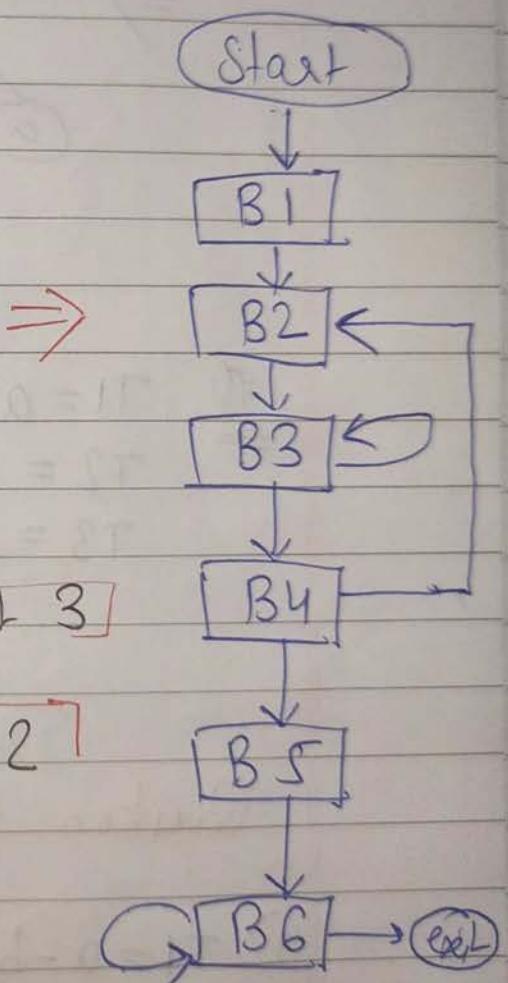
Q. Basic Block & Control flow Graph :-

Partition Algorithm :-

1. First statement of a 3 address code is a leader.
2. Target instruction of conditional/unconditional branch is leader.
3. Next stmt of conditional/unconditional branch is leader.

$t_1 \leftarrow t_1 = a * a$  ] B1  
 $t_2 \leftarrow a * b$   
 $t_3 \leftarrow t_3 = 2t_1$   
 $t_4 \leftarrow t_1 + t_3$   
 $t_5 \leftarrow 2 * t_2$  ] B2  
 $t_6 \leftarrow t_3 + t_5$   
 $\text{if } = - \text{ goto statement 3}$   
 $t_7 \leftarrow t_7 = a.$  ] B3

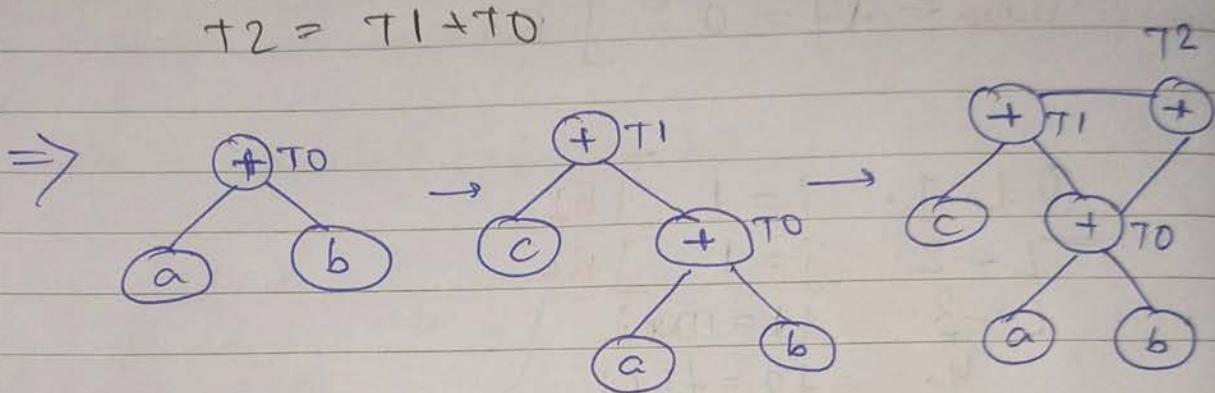
Q. L<1.  $i = 1$  ] B1  
 - L<2.  $j = 1$  ] B2  
 L<3.  $t_1 = 10 * i$   
 4.  $t_2 = t_1 + j$   
 5.  $t_3 = 8 * t_2$   
 6.  $t_4 = t_3 - 88$  B3  
 7.  $a[t_4] = 1.0$   
 8.  $j = j + 1$   
 9.  $\text{if } j \leq 10 \text{ goto Stmt 3}$   
 L<10.  $i = i + 1$  ] B4  
 11.  $\text{if } i \leq 10 \text{ goto Stmt 2}$   
 L<12.  $i = 1$  ] B5  
 L<13.  $t_5 = j - 1$   
 14.  $t_6 = t_5 * 88$   
 15.  $a[t_6] = 1.0$  B6  
 16.  $i = i + 1$   
 17.  $\text{if } i \leq 10 \text{ goto Stmt 13.}$



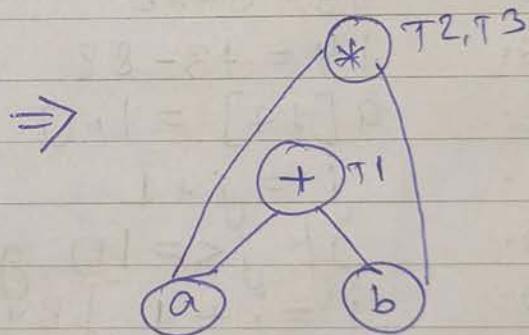
## Directed Acyclic Graph :-

A DAG gives the same information as syntax tree but in a more compact way because common subexpressions are identified.

Q.  $T_0 = a + b$   
 $T_1 = c + T_0$   
 $T_2 = T_1 + T_0$



Q.  $T_1 = a + b$   
 $T_2 = a * b$   
 $T_3 = a * b$



Question to practice :-

1)  $T_1 = a - b$   
 $T_2 = a + b$   
 $T_3 = T_1 + T_2$   
 $T_4 = 4 * T_1$   
 $T_5 = 4 * T_2$   
 $T_6 = 4 * T_2$

2)  $S_1 = 4 * ;$   
 $S_2 = a [S_1]$   
 $S_3 = 4 * ;$   
 $S_4 = b [S_3]$   
 $S_5 = S_2 * S_4$   
 $S_6 = bmod * S_5$

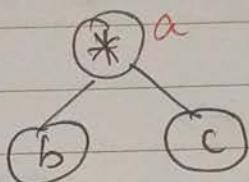
Rules :-

- 1)  $a = x \oplus y \Rightarrow$

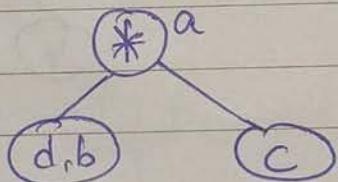
- 2)  $b = \oplus y \Rightarrow$

- 3)
  - (i)  $x$  is a operand
  - (ii)  $y$  is a label $\Rightarrow$

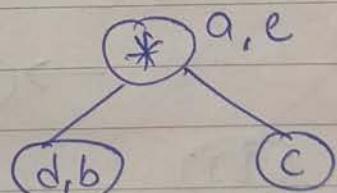
1.  $a = b * c$



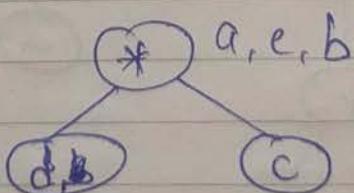
2.  $d = b$



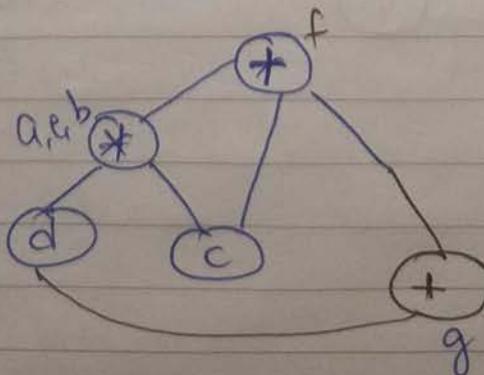
3.  $e = d * c$



4.  $b = e$



5.  $f = b + c$

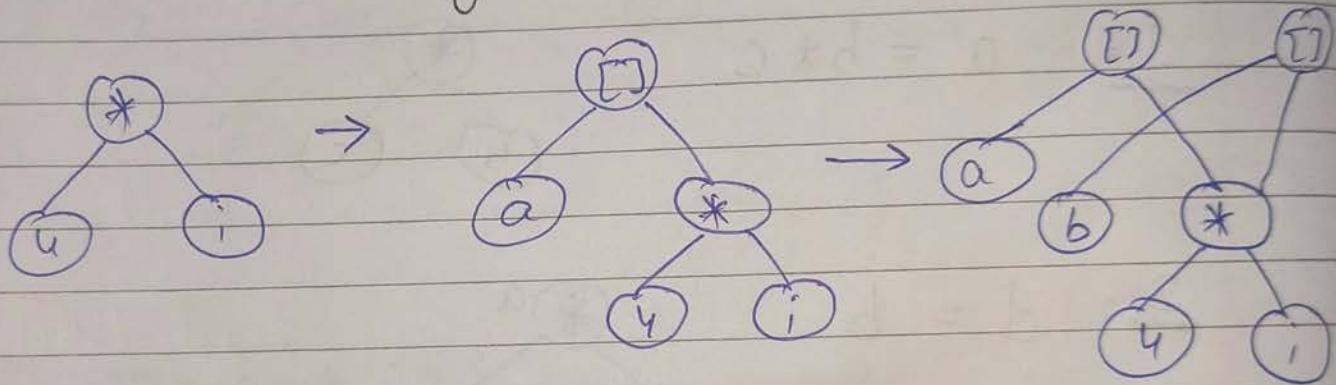


6.  $g = \cancel{e} + f + d$

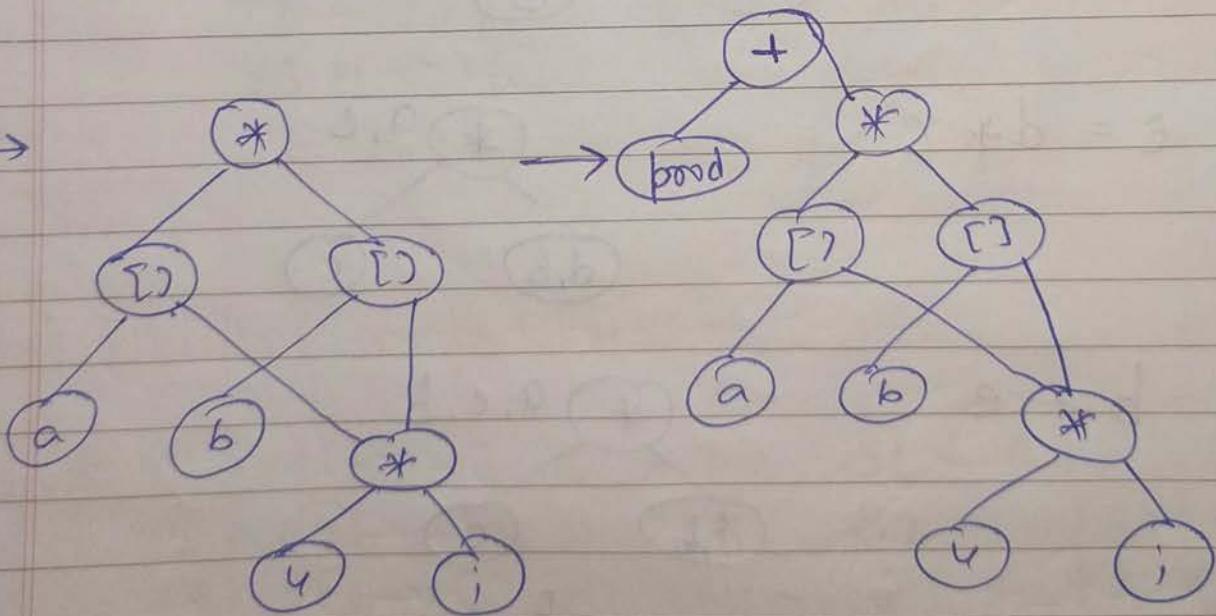
Q.

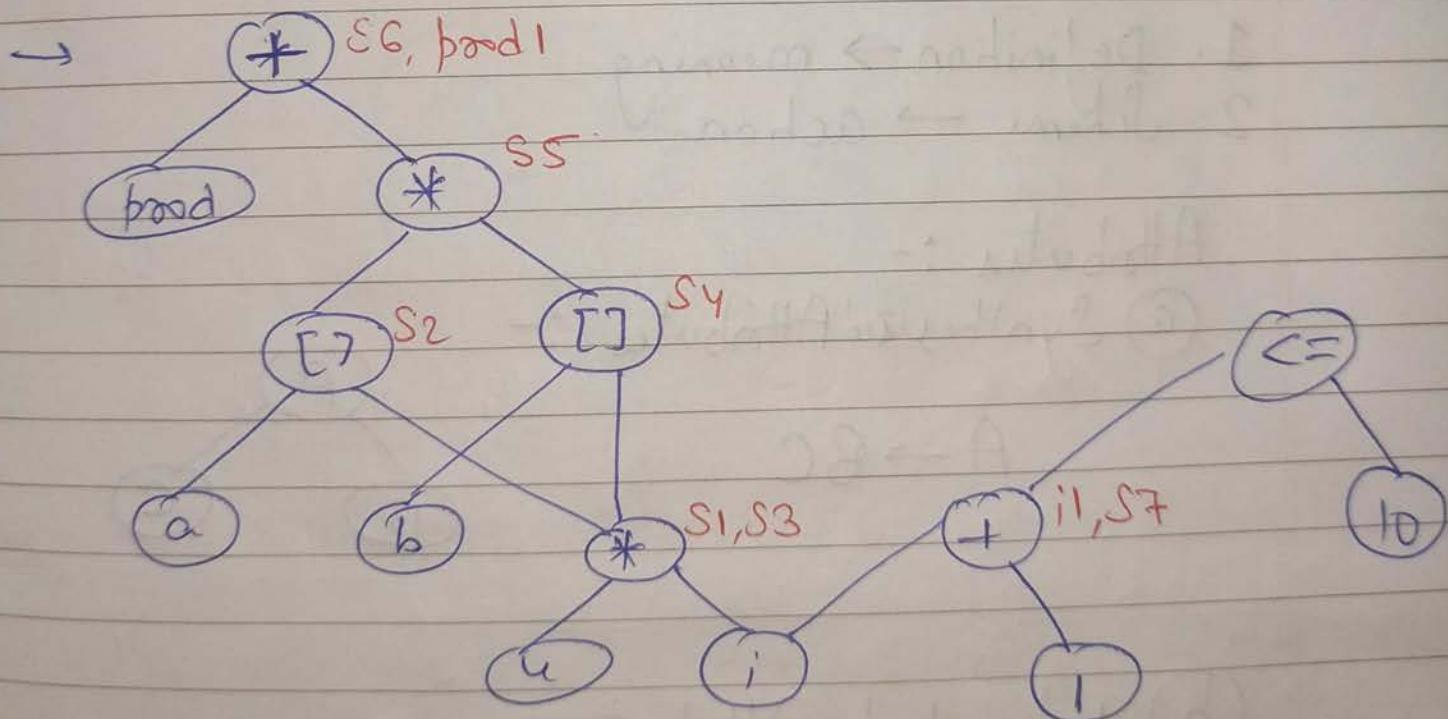
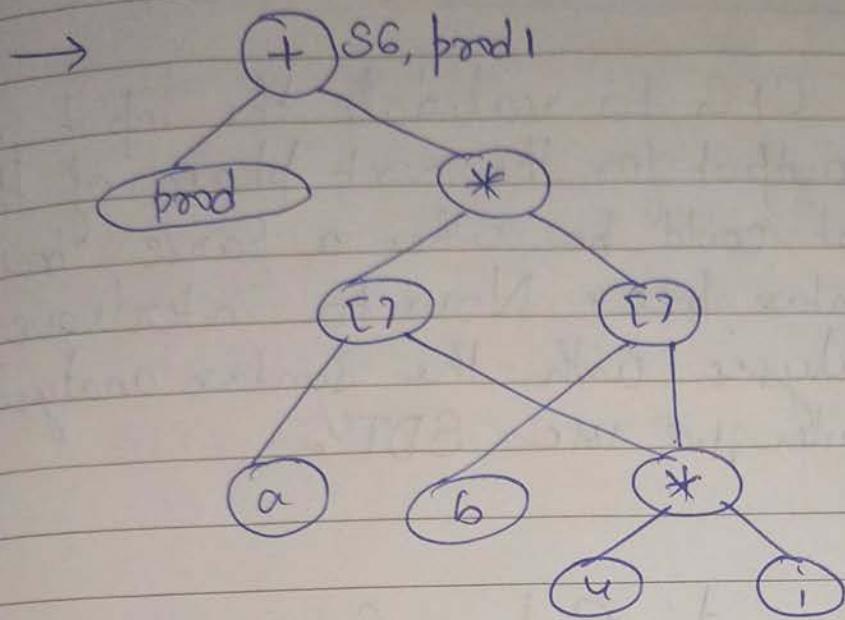
1.  $S1 = 4 * i$
2.  $S2 = a[S1]$
3.  $S3 = 4 * i$
4.  $S4 = b[S3]$
5.  $S5 = S2 * S4$
6.  $S6 = \text{prod} + S5$
7.  $\text{prod} = S6$
8.  $S7 = i + 1$
9.  $i = S7$
10. if  $i \leq 10$  go to step 1

$\Rightarrow$



$\rightarrow$





# Syntax Directed Translation

Parser use a CFG to validate the input string and produce output for the next phase of the compiler. Output could be either a parse tree or an abstract syntax tree. Now to interleave semantic analysis with the syntax analysis phase of compiler, we use SDT.

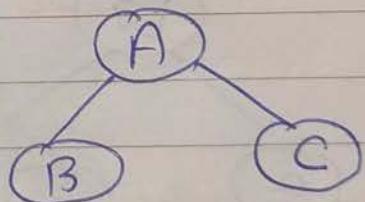
$SDT = \text{Semantic Rule} + \text{Grammar}$

1. Definition  $\rightarrow$  meaning
2. Scheme  $\rightarrow$  action

Attributes :-

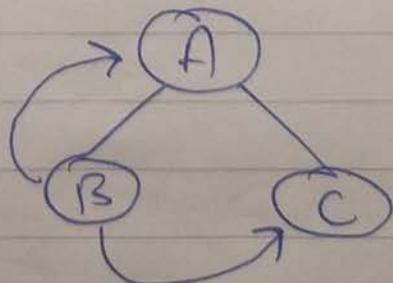
(a) Synthesized Attributes :-

$$A \rightarrow BC$$



(b) Inherited Attributes :-

$$\begin{aligned} A &\rightarrow BC \\ B &\rightarrow A \\ B &\rightarrow C \end{aligned}$$



## SDT Definition

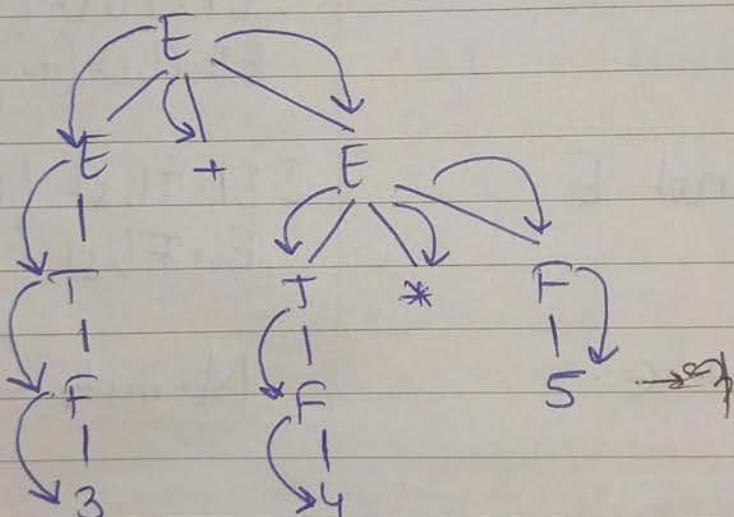
Production Rule	Meaning
-----------------	---------

action .

$E \rightarrow E + T$	$E \cdot \text{value} = E \cdot \text{value} + T \cdot \text{value}$	print '+'
$E \rightarrow T$	$E \cdot \text{value} = T \cdot \text{value}$	{ 3 }
$T \rightarrow T * F$	$T \cdot \text{value} = T \cdot \text{value} + F \cdot \text{value}$	print '*'
$T \rightarrow F$	$T \cdot \text{value} = F \cdot \text{value}$	{ ? }
$F \rightarrow \text{num}$	$F \cdot \text{value} = \text{num} \cdot \text{lexvalue}$	print 'num'

## SDT Scheme

Q.  $3 + 4 * 5$



$\Rightarrow \text{print } 3 \rightarrow \text{print } 4 \rightarrow \text{print } 5 \rightarrow \text{print } * \rightarrow \text{print } +$

$\Rightarrow 3 4 5 * +$

Q.  $3 * 4 * 5$

3 function :-  
 Backpatch()  
 merge()  
 makelist()

## Backpatching :-

Production Rule	Semantic Action
-----------------	-----------------

$E \rightarrow E_1 \text{ or } M E_2$

$\sum \text{backpatch}(E1 \cdot \text{false.list}, M \cdot \text{instr})$   
 $E1 \cdot TList(\text{merge}(E1 \cdot TList, E2 \cdot TList))$   
 $E2 \cdot FList(E2 \cdot FList) \}$

$E \rightarrow E_1 \text{ and } M E_2$

$\sum \text{backpatch}(E1 \cdot TList, M \cdot \text{instr})$   
 $E1 \cdot TList(E1 \cdot TList)$   
 $E2 \cdot FList(\text{merge}(E2 \cdot FList, E2 \cdot FList))$

$E \rightarrow \text{not } E$

$\sum E1 \cdot TList(E1 \cdot FList)$   
 $E1 \cdot FList(E1 \cdot TList) \}$

$E \rightarrow e$

$M \cdot \text{instr} \rightarrow \text{next instruction}$

Q.  $n < 100 \mid n > 200 \&\& n \neq y$ .

$\Rightarrow 100: \text{if } n < 100 \text{ go to } 106$

$101: \text{else goto } 103$

$103: \text{if } n > 200 \text{ goto } 105$

$103: \text{else goto } 107$

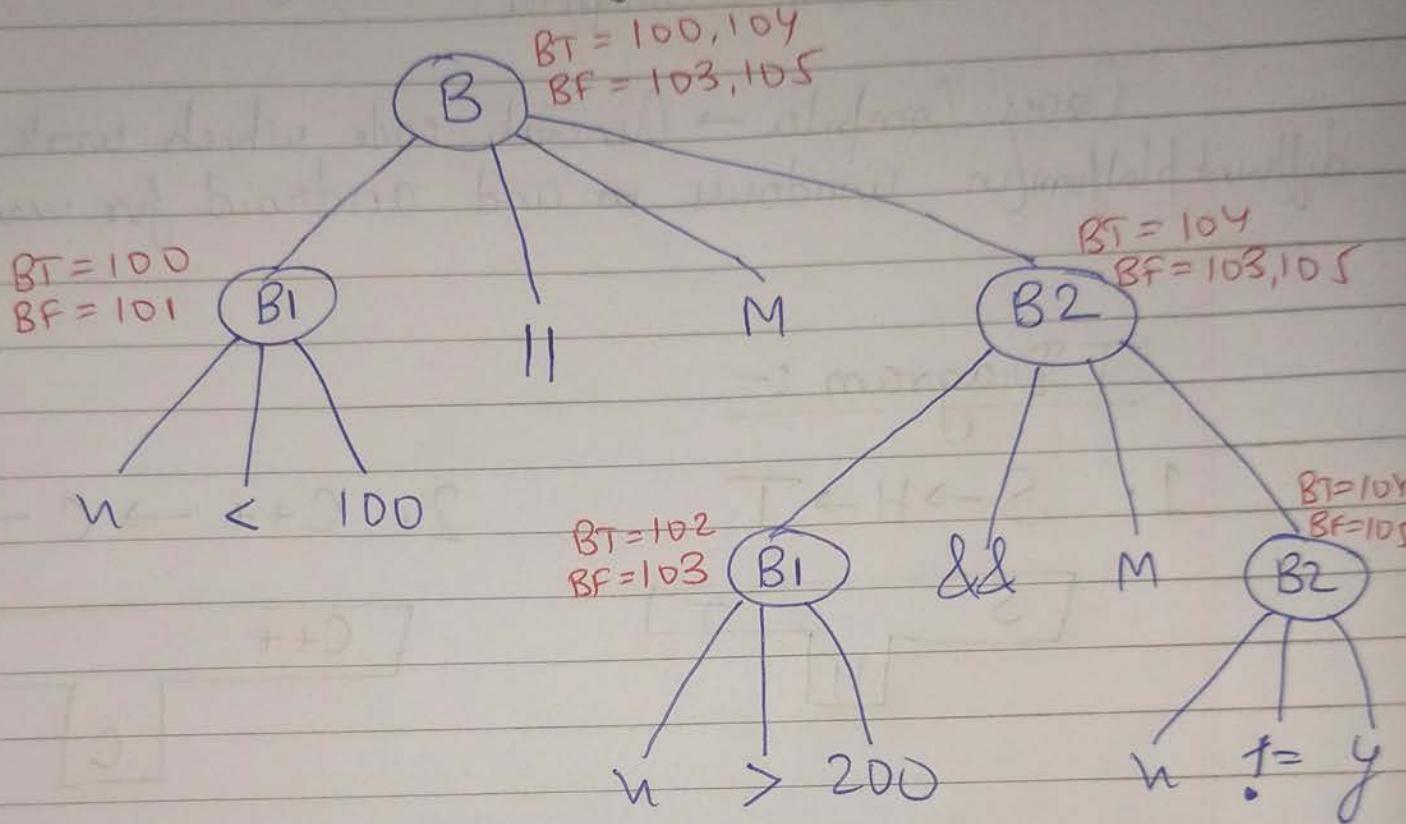
$105: \text{if } n \neq y \text{ then goto } 106$

$105: \text{else goto } 107$

$106: \text{True Statement}$

$107: \text{False Statement}$

## Backpatching



B1 → B1 || B2 :-

Σ Backpatch(B·Flist, m·inst),  
 B·Tlist(merge(B1·Tlist, B2·Tlist))  
 B·Flist(B2·Flist). ?

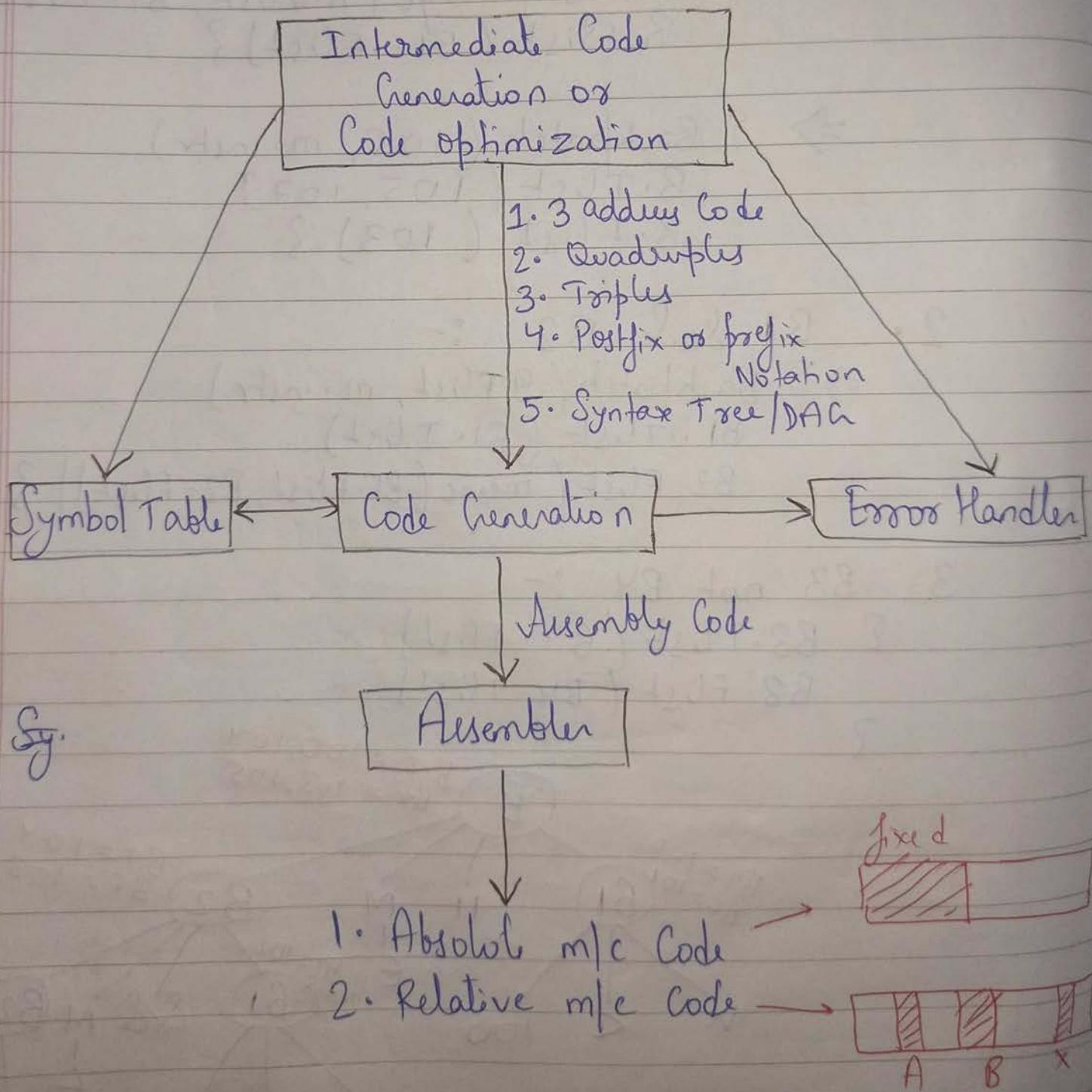
B2 → B1 && B2 :-

Σ Backpatch(B·Tlist, m·inst),  
 B2·Flist(merge(B1·Flist, B2·Flist)),  
 B2·Tlist(B1·Tlist)). ?

# Code Generation

Properties :-

1. High performance
2. Correctness
3. Efficient use of resource of target machine
4. Quick code generation



## Issues in Code Generation :-

1. Input target Problem
2. Code generation
3. Memory Management
4. Instruction Selection
5. Register allocation

Q.  $v = y + z$

$\Rightarrow$  MOV  $y, R_0$   
ADD  $z, R_0$   
MOV  $R_0, x$ .

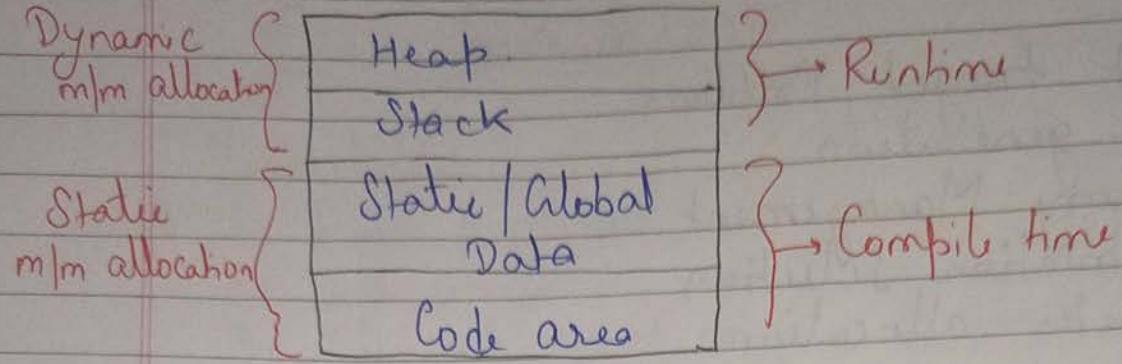
Q.  $a = b + c$

$$d = a + e$$

$\Rightarrow$  MOV  $b, R_0$   
ADD  $c, R_0$   
MOV  $R_0, a$        $\Rightarrow$       MOV  $b, R_0$   
MOV  $a, R_0$   
ADD  $e, R_0$   
MOV  $R_0, d$

Q.  $a = b * c$   
 $d = e * f$   
 $n = a + d$   
 $y = n + g$

Q.  $t = a + b$   
 $t = t * c$   
 $t = t / d$

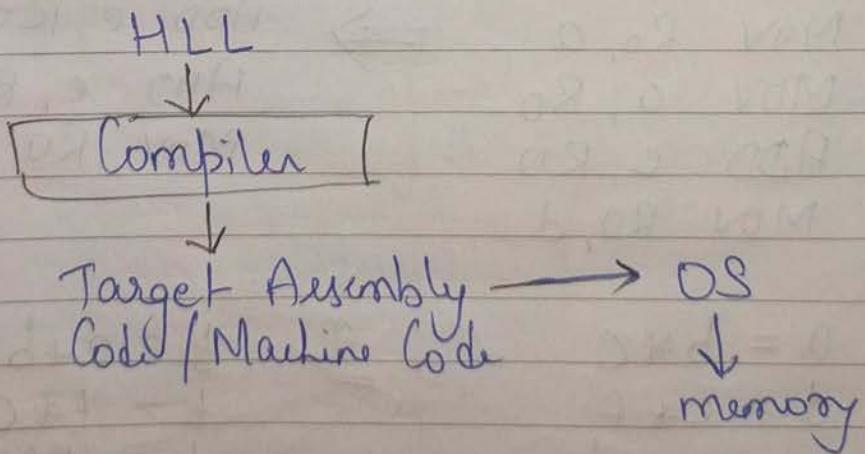


## Stack allocation Scheme :-

Return value
Actual Parameters
Dynamic Link
Static Link
Local variables
Temp Variables (optional)

→ points to AR of calling procedure  
→ Non local data

## Runtime Storage Management :-



## Activation Record (AR) :-

```

main() {
    a1()
}
  
```

$a_1()$  {  
 b<sub>1</sub>(1)?  
 b<sub>1</sub>(1) ?  
 - - -

3.

$\Rightarrow$  All segments have different activation record

AR  $\rightarrow$  main  
 a<sub>1</sub>  
 b<sub>1</sub>

Q. main() {  
 int f;  
 f = fact(3);  
 }  
 int fact(int n)  
 if (n == 1)  
 return 1;  
 else  
 return n \* fact(n-1);

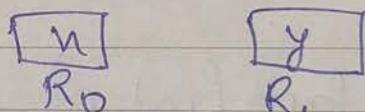
$\Rightarrow$  main()  
 fact(3)  
 fact(2)  
 fact(1)

$\Rightarrow$	AR of fact(1)	{	Return value   1	
			Actual parameter   1	
			Dynamic link	
	AR of fact(2)	{	Return value   2	$*2$
			Actual parameter   2	
			Dynamic link	
	AR of fact(3)	{	Return value   6	$3 * 2 * 1$
			Actual parameter   3	
			Dynamic link	
	AR of main()	{	Return value   16	
			Local variable	

## Code Generation Algorithm

1. Invoke function getreg() to store output into register L.
2. If value of y is already in address descriptor then go ahead otherwise store address of y in y' and store it in address descriptor.
3. Repeat step 2 for n
4. Update value of L, store output of n of y.
5. If there is no use of n and y in future store the value in register descriptor.

Register Descriptor  $\rightarrow$  Stores variables.



Address Descriptor  $\rightarrow$  Stores address

$$\text{Q. } F_1 \rightarrow a - b \\ F_2 \rightarrow a - c \quad T = (a - b) + (a - c) + (a - c)$$

$$\Rightarrow \begin{aligned} T_1 &\rightarrow (a - b) \\ T_2 &\rightarrow a - c \\ T_3 &\rightarrow T_1 + T_2 \\ T_4 &\rightarrow T_3 + T_2 \\ T &= T_4 \end{aligned}$$

$$\Rightarrow \begin{aligned} \text{MOV } a, R0 \\ \text{SUB } b, R0 \end{aligned}$$

optional  $\rightarrow \text{MOV } R0, T1$

$MOV A, RI$   
 $SUB C, RI$   
 optional →  $MOV RI, T2$   
 $ADD RI, RD$   
 $ADD RI, RD$   
 optional →  $MOV RD, T4$   
 $MOV RD, T$

S. No	Statement	Code Generated	Register Descriptor	Address Describer
1.	$T1 = a - b$	$MOV A, RD$ $SUB b, RD$	RD Contains T1 T1 in RD	
2.	$T2 = a - c$	$MOV a, RI$ $SUB c, RI$	RD Contains T1 RI Contains T2	T1 in RD T2 in RI
3.	$T3 = T1 + T2$	<del>ADD RI, RD</del>	RD Contains T3 RI Contains T2	T3 in RD T2 in RI
4.	$T4 = T3 + T2$	$ADD RI, RD$	RD Contains T4 RI Contains T2	T4 in RD T2 in RI
5.	$T = T4$	$MOV RD, T$		

### Question to Practice :-

1)  $n = (a/b) + ((*d) - (c+a)) + (f - c)$

2)  $n = (a-b) + ((*d) - (e/f)) + (g-a)$

3) ~~→~~  $T1 = A - B$

$T2 = C - D$

$T3 = E - T1$

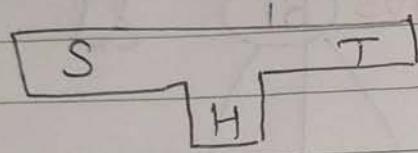
$T4 = T1 + T2$

Bootstrapping :- Process to generate compiler  
or cross compiler.

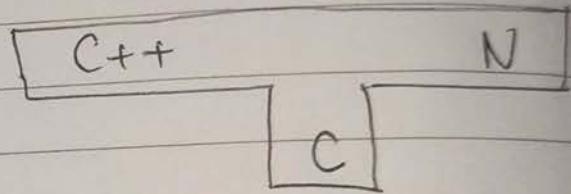
Cross Compiler → Generate code which work both in  
different platforms (in windows and android for example).

T Diagram :-

$$1. S \rightarrow H \rightarrow T$$

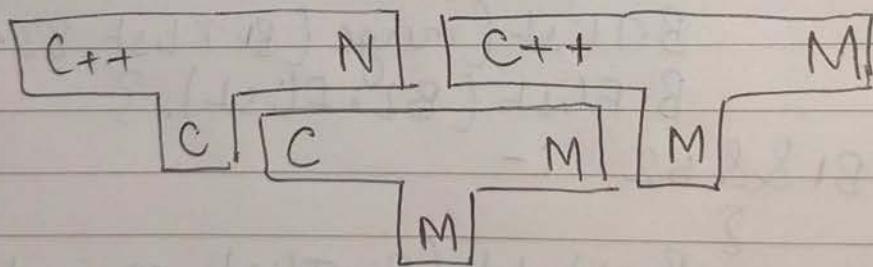


$$2. C++ \rightarrow C \rightarrow N$$

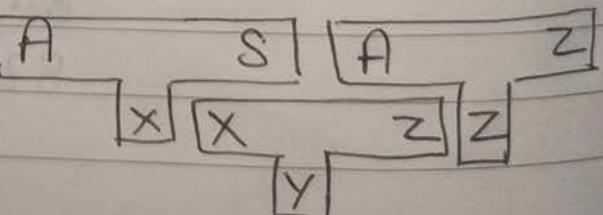


$$1. C \rightarrow M \rightarrow M$$

$$C++ \rightarrow C \rightarrow N$$



$$\begin{array}{l} 2. \\ \quad X \rightarrow Y \rightarrow Z \\ \quad A \rightarrow X \rightarrow S \\ \quad A \rightarrow ? \rightarrow Z \end{array}$$



$$\underline{\underline{Q.}} \quad 3. \quad A \rightarrow B \rightarrow C \\ D \rightarrow A \rightarrow X \quad D \rightarrow ? \rightarrow C. ?$$

# Compiler Design

## Unit - 5

### Code optimization :-

Elimination of unnecessary instruction in object code or the replacement of one sequence of instructions by a faster sequence of instructions that does the same thing is usually called "code improvement" or "code optimization". (Space & time).

### Function Preserving Transformations :-

There are no. of ways in which a compiler can improve a program without changing the function it computes.

### Function preserving Transformation examples :-

#### (i) Common Subexpression Problem :-

An occurrence of expression E is called common subexpression if E was previously computed and the values of the variables in E have not changed since the previous computation.

	old	new	
a	2	5	$a = b + c$
b	3	1	$b = a - d$
c	2	3	$c = b + c$
d	4	1	$d = a - d$

⇒

$$\begin{aligned} a &= b + c \\ b &= a - d \\ c &= b + c \\ d &= b \end{aligned}$$

value not changed  
so, we can replace

## (iii) Constant folding :-

If the value of an expression is constant, we use the constant instead of expression.

Eg →  $\pi = 22/7$  or  $\pi = 3.14$ .

## (iii) Copy Propagation :-

Eg →  $x = a$        $x = a$   
 $y = x * b$        $\Rightarrow$        $y = a * b$   
 $z = a * c$        $z = a * c$

Copy ka form bcha. But it introduced dead code.  
as  $x = a$  is useless now.

## (iv) Dead Code Elimination :-

Eg →  $x = a$   
 $y = a * b$        $\Rightarrow$        $y = a * b$   
 $z = a + c$        $z = a + c$

(v) Code Motion :- Loops are very important place for optimizations, especially the inner loops where program tend to spend bulk of their time. The running time of a program may be improved if we decrease the no. of instruction in an inner loop. So, an important modification that decreases the amount of code in a loop is Code Motion.

Ex → while ( $i < 10$ ) {  
 $x = y + z$   
 $i = i + 1$ }       $\Rightarrow$        $x = y + z$   
 $i = i + 1$

{}

{}

### (vi) Induction Variables Elimination & Reduction in Strength :-

Another important optimization is to find induction variables in loops and optimize their computation.

A variable  $x$  is said to be an 'induction variable' if there is a positive or negative constant  $G$  such that each time  $x$  is assigned, its value increases by  $G$ . It can be computed with a single increment or decrement per loop iteration.

Eg →  $i = 1$        $t = 4$   
 while ( $i < 10$ ) {  
 $t = i * 4$        $\Rightarrow$        $t = t + 4$ ;  
 $i = i + 1$       print( $t$ )  
 $}$        $}$

### Code Optimization in Basic Blocks :-

#### Structure Preserving transformation

- Dead Code Elimination
- Common Subexpression Elimination
- Renaming of temporary variables
- Intrachange of two independent adjacent statements.

#### Algebraic transformation

- Constant folding
- Copy propagation
- Strength Reduction

### → Dead Code Elimination :-

```

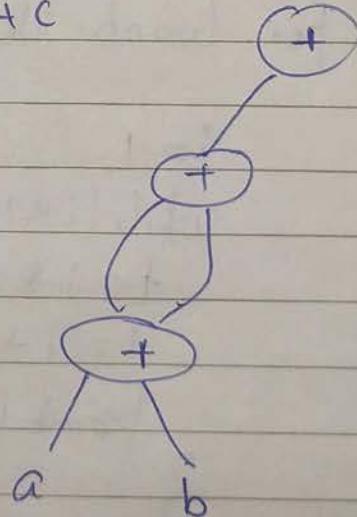
x=2
if (x>2)
    print ("Code is correct");
else
    print ("Code is incorrect");
    
```

$x = 2 \Rightarrow \text{print ("Code is incorrect")}$

### → Common Subexpression Elimination :-

In this technique, the subexpression which are common and used frequently, are calculated only once and reused when needed. DAE is used to eliminate common subexpressions.

$$x = (a+b) + (a+b) + c$$



### → Renaming of temporary variables :-

$$t = a + b$$

$$n = a + b$$

→ Interchange of two independent adjacent statements :-

If a block has two adjacent statements which are independent can be interchanged without affecting the basic block value.

$$t_1 = a + b$$

$$t_2 = c + b$$

→ Algebraic Transformation :-

→ Constant folding :-  $x = 2 * 3 + y \Rightarrow x = 6 + y$

→ Copy propagation :-  $x = 3$   
 $y = x + c \Rightarrow y = 3 + c$

→ Strength Reduction :-  $x = y * 2$   
 $x = y + y$ .

Building Expressions of DAG :-

$$a = b * c$$

$$d = b$$

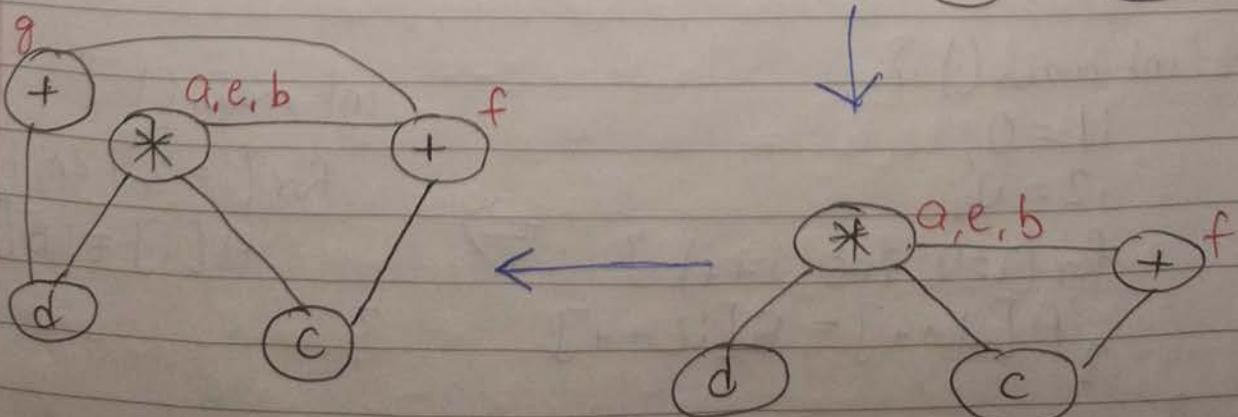
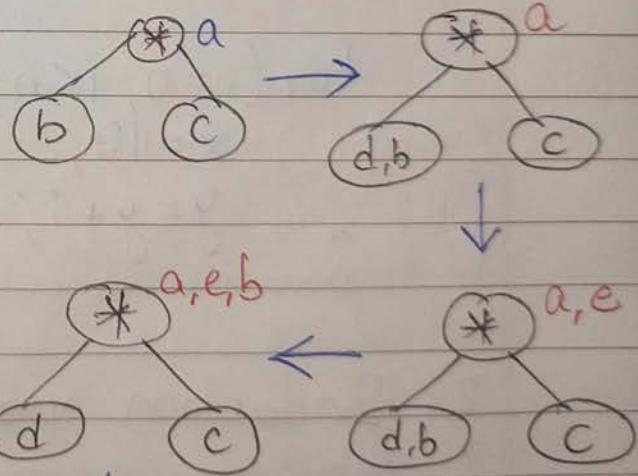
$$e = d * c$$

$$b = e$$

$$f = b + c$$

$$g = d + f$$

Draw optimized DAG :-



Question to practise :-

1)  $\left[ \{ (a+a) + (a+a) \} + \{ \{ a+a \} + \{ a+a \} \} \right]$

2)  $(a+b) * (a+b+c)$ .

## Loop Optimization :-

1) Code Motion and Frequency reduction :-

2) Induction various variable Elimination :-

int main () ?  
i1 = 0;  
i2 = 0;  
for (i1 >= 0; i1 < n; i1++) ?  
    A[i1] = B[i2];  
    i2++;

```
int main() {  
    for (i=0; i<n; i++)  
        A[i] = B[i]
```

### 3. Loop Merging :-

$\text{for } (i=0; i < n; i++)$   
 $A[i] = i+1;$   
 $\text{for } (j=0; j < n; j++)$   
 $B[j] = j-1;$

$\Rightarrow \text{for } (i=0; i < n; i++)$   
 $A[i] = i+1;$   
 $B[i] = i-1;$

### 4. Loop Unrolling :-

main() {

$\text{for } (i=0; i < 3; i++)$      $\Rightarrow$   
 $\text{cout} \ll 'cd';$

}

main() {

$\text{cout} \ll 'cd';$   
 $\text{cout} \ll 'cd';$   
 $\text{cout} \ll 'cd';$

### Peephole Optimization :-

- 1) Redundant load and store elimination
- 2) Constant folding
- 3) Strength Reduction
- 4) Null Sequence / Simplify Algebraic Expression
- 5) Combine operations
- 6) Dead code elimination

1) In this redundancy is eliminated.

$$\begin{aligned}
 y &= x + 5 \\
 z &= y; \\
 z &= i; \\
 w &= z * 3;
 \end{aligned}
 \Rightarrow
 \begin{aligned}
 y &= x + 5; \\
 w &= y * 3;
 \end{aligned}$$

2)  $\text{PI} = 22/7 \Rightarrow \text{PI} = 3.14$

3)  $y = x * 2 \Rightarrow y = x + x$

4)  $a = a + 0 \quad a = a \mid 1$   
 $a = a * 1 \quad a = a - 0$

avoid using these. delete them if have

5)  $a = b + c \Rightarrow a = b + c + e$   
 $a = a + e$

6) Dead code elimination

## Global Data flow Analysis

Steps :-

- 1) Assign a distinct number to each definition as  $d_1, d_2, d_3, \dots$
- 2) for each variable  $k$ , make a list of all definition in the entire diagram where it is used.
- 3) Calculate following :-
  - a)  $\text{GEN}[B]$  = the set  $\text{GEN}[B]$  consist of all definition that are generated in block  $B$ .
  - b)  $\text{KILL}[B] \rightarrow$  the set of all definitions that are outside of block  $B$  & having same definition as block  $B$ .

Q4) Compute the following :-

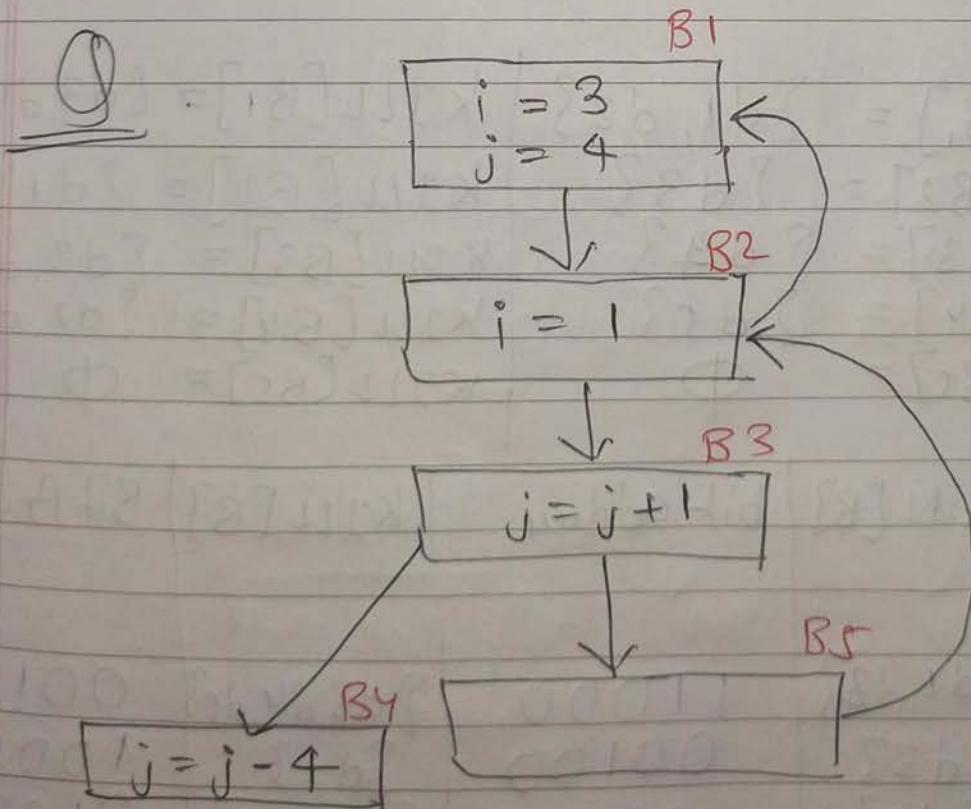
a.  $IN[B]$  :- the set of all definitions reaching the point just before the first stmt of block B.

b.  $OUT[B]$  = endro the set of all definitions reaching the point just after the ending stmt of block B.

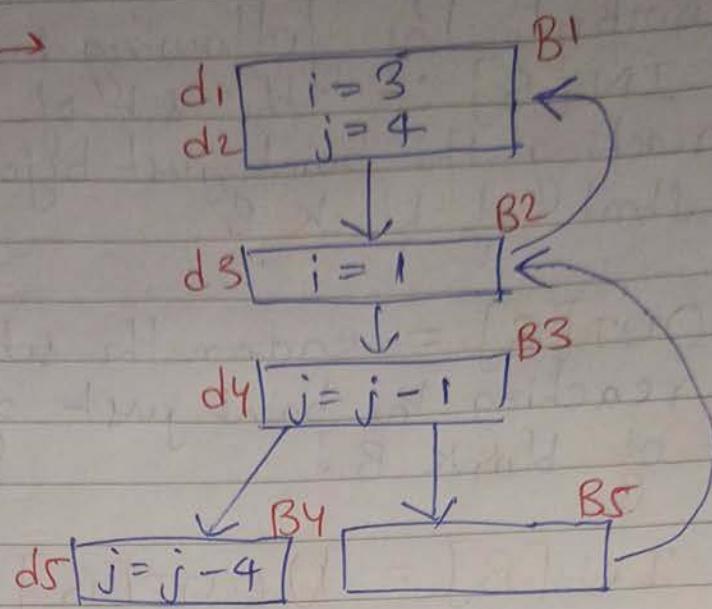
$$IN[B] = \cup OUT[B]$$

$$OUT[B] = IN[B] - KILL[B] \cup GEN[B]$$

$$= \{IN[B] \text{ AND } (\neg KILL[B])\} \text{ OR } GEN[B]$$



So  $\rightarrow$  Step 1  $\rightarrow$



Step 2 :-  $i = \{d_1, d_3\}$   
 $j = \{d_2, d_4, d_5\}$

Step 3 :-

$GEN[B_1] = \{d_1, d_2\}$	$KILL[B_1] = \{d_3, d_4, d_5\}$
$GEN[B_2] = \{d_3\}$	$KILL[B_2] = \{d_1\}$
$GEN[B_3] = \{d_4\}$	$KILL[B_3] = \{d_2, d_5\}$
$GEN[B_4] = \{d_5\}$	$KILL[B_4] = \{d_2, d_4\}$
$GEN[B_5] = \emptyset$	$KILL[B_5] = \emptyset$

Block	$GEN[B]$	bit address	$KILL[B]$	BIL Analysis
B1	$\{d_1, d_2\}$	11000	$\{d_3, d_4, d_5\}$	00111
B2	$\{d_3\}$	00100	$\{d_1\}$	10000
B3	$\{d_4\}$	00010	$\{d_2, d_5\}$	01001
B4	$\{d_5\}$	00001	$\{d_2, d_4\}$	01010
B5	$\emptyset$	$\emptyset$ 00000	$\emptyset$	00000

Step 4 :-

Pass 0 :-

Block	IN[B]	OUT[B]
B1	00000	11000
B2	00000	00100
B3	00000	00010
B4	00000	00001
B5	00000	00000

Pass 1 :-

$$\rightarrow \text{IN}[B_1] = \text{OUT}[B_2] \\ = 00100$$

$$\rightarrow \text{IN}[B_2] = \text{OUT}[B_1] \vee \text{OUT}[B_5] \\ = 11000 \vee 00000 \Rightarrow 11000$$

$$\rightarrow \text{IN}[B_3] = \text{OUT}[B_2] \\ = 00100$$

$$\rightarrow \text{IN}[B_4] = \text{OUT}[B_2] \\ = 00010$$

$$\rightarrow \text{IN}[B_5] = \text{OUT}[B_3] \\ = 00010$$

$$\rightarrow \text{OUT}[B_1] = [\text{IN}[B_1] \text{ AND } (\sim \text{KILL}[B_1])] \text{ OR } \text{GEN}[B_1] \\ = [00100 \text{ AND } (\sim 00111)] \text{ OR } 11000 \\ = [00100 \text{ AND } 11000] \text{ OR } 11000 \\ = [00000 \text{ OR } 11000] \\ = 11000$$

$$\begin{aligned} \text{OUT}[B_2] &= [\text{IN}[B_2] \text{ AND } (\neg K_{JU}[B_2]) \text{ OR } \text{GEN}[B_2]] \\ &= (11000 \text{ AND } 01111) \text{ OR } 00100 \\ &= 01000 \text{ OR } 00100 \\ &\Rightarrow 01100 \end{aligned}$$

$$\begin{aligned} \text{OUT}[B_3] &= [\text{IN}[B_3] \text{ AND } (\neg K_{JU}[B_2]) \text{ OR } \text{GEN}[B_3]] \\ &= (00100 \text{ AND } 10110) \text{ OR } 00010 \\ &= 00100 \text{ OR } 00010 \\ &\Rightarrow 00110 \end{aligned}$$

$$\begin{aligned} \text{OUT}[B_4] &= [\text{IN}[B_4] \text{ AND } (\neg K_{JU}[B_3]) \text{ OR } \text{GEN}[B_4]] \\ &= (00010 \text{ AND } 10101) \text{ OR } 00001 \\ &= 00000 \text{ OR } 00001 = 00001 \end{aligned}$$

$$\begin{aligned} \text{OUT}[B_5] &= [\text{IN}[B_5] \text{ AND } (\neg K_{JU}[B_4]) \text{ OR } \text{GEN}[B_5]] \\ &= (00010 \text{ AND } 11111) \text{ OR } 00000 \\ &= 00010 \end{aligned}$$

Block	$\text{IN}[B]$	$\text{OUT}[B]$
B1	00100	11000
B2	11000	01100
B3	00100	00110
B4	00010	00001
B5	00010	00010

Similarly, we find Pas 2, Pas 3 –  
and so on, till we get 2 pas  
same.

Pass 2Pass 3Pass 4

Block

IN[B]

OUT[B]

IN[B]

OUT[B]

IN[B]

OUT[B]

B1

001100

110000

01110

110000

01110

11000

B2

11010

01110

01110

01110

01110

01110

B3

01100

00110

01110

00110

01110

00110

B4

00110

00101

00110

00101

00110

00101

B5

00110

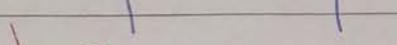
00110

00110

00110

00110

00110


  
 ↓  
 These are values I got.  
 You can verify  
 by solving it on your own.

# *Introduction to Global Data Flow Analysis*

# Global Data Flow Analysis

- **To efficiently optimize the code compiler collects all the information about the program and distribute this information to each block of the flow graph.** This process is known as data-flow graph analysis.
- Certain optimization can only be achieved by examining the entire program. It can't be achieve by examining just a portion of the program.
- Here using the value of the variable, we try to find out that which definition of a variable is applicable in a statement.

- Based on the local information a compiler can perform some optimizations. For example, consider the following code:
  - $x = a + b;$
  - $x = 6 * 3$
- In this code, the first assignment of  $x$  is useless. The value computer for  $x$  is never used in the program.
- At compile time the expression  $6*3$  will be computed, simplifying the second assignment statement to  $x = 18;$

For example, consider the following code:

```
a = 1;  
b = 2;  
c = 3;  
if (....)  
    x = a + 5;
```

**else**

```
    x = b + 4;  
c = x + 1;
```

- In this code, at line 3 the initial assignment is useless and  $x + 1$  expression can be simplified as 7.

- Global data flow analysis is used to solve a specific problem “**User definition chaining**”.
- Problem: Given that the identifier A is used at a point p in the program. At what point could the value of A have been defined.
- **Reaching definition:** Determination of each variable whenever they are declared in the program.

**For this it follows following steps-**

1. Assign a distinct number to each definition such as d1, d2, d3,.....
2. For each variable i, make a list of all definition in entire diagram where it is used.

For i, definitions d1 and d3 are used.

For j, definitions d2, d4 and d5 are used.

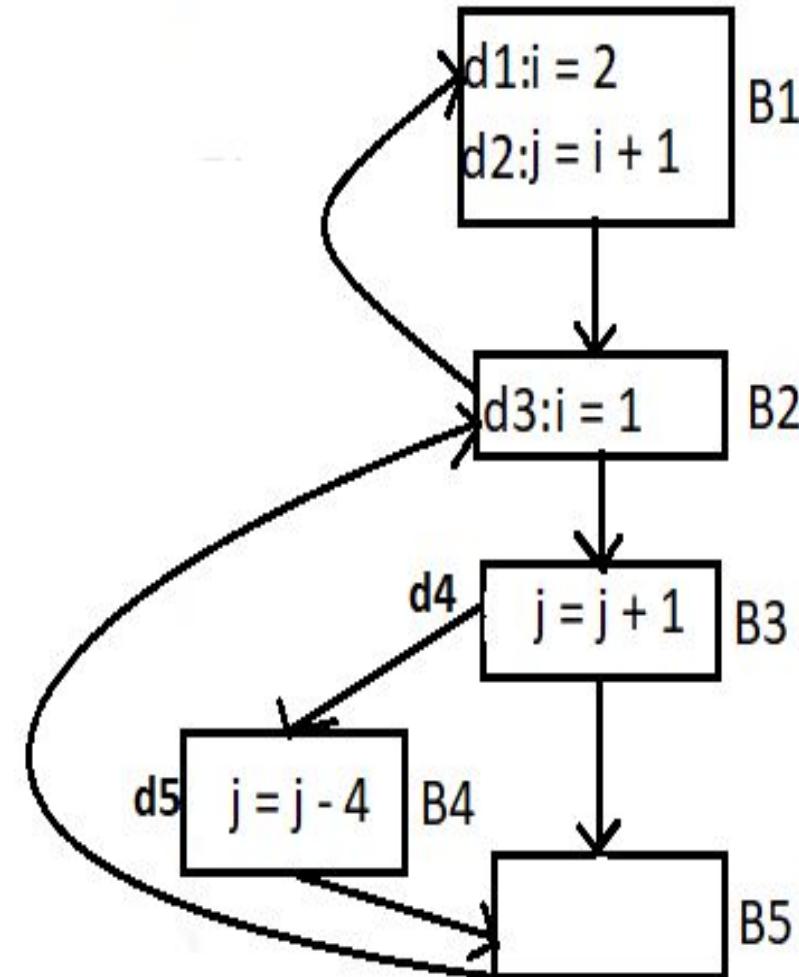
3. For each basic block calculate the following:
- a. **GEN[B]**- The set GEN[B] consists of all definitions generated in block B.  
GEN[B1] – d1, d2
  - b. **KILL[B]**- The set of all the definition outside block B that define the same variables having definitions in block B also.  
KILL[B1]- d3, d4, d5
4. For all basic block compute the following:
- a. **IN[B]**: The set of all definitions reaching the point just before the first statement of block B.
  - b. **OUT[B]**: The set of all definitions reaching the point just after the last statement of block B.

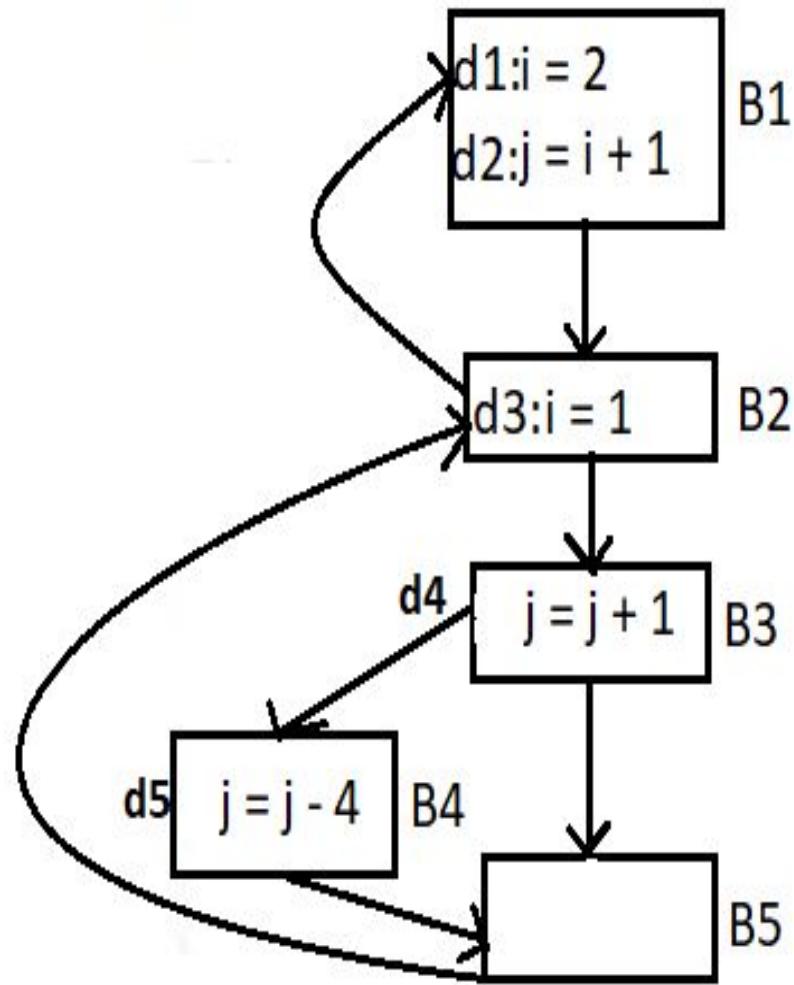
Data Flow Equation:

$$OUT[B] = IN[B] - KILL[B] \cup GEN[B]$$

$$OUT[B] = \{ IN[B] \text{ AND } (\sim KILL[B]) \cup GEN[B]$$

$$IN[B] = \bigcup OUT[B] \text{ (Union of all out B from all previous blocks)}$$





1. Find GEN and KILL for each block.
2. Find IN and OUT for reaching definitions.

Block B	GEN [B]	Bit vector <b>d1 d2 d3 d4 d5</b>	KILL [B]	Bit vector <b>d1 d2 d3 d4 d5</b>
B1	[d1, d2]	11000	[d3, d4, d5]	00111
B2	[d3]	00100	[d1]	10000
B3	[d4]	00010	[d2, d5]	01001
B4	[d5]	00001	[d2, d5]	01010
B5	$\Phi$	00000	$\Phi$	00000

## Initially Pass 0,

- $\text{IN}[B] = \Phi$ ,  $\text{OUT}[B] = \text{GEN}[B]$

Block [B]	IN [B]	OUT [B]
B1	00000	11000
B2	00000	00100
B3	00000	00010
B4	00000	00001
B5	00000	00000

## Pass 1:

Block [B]	IN [B]	OUT [B]
B1	00100	11000
B2	11000	01100
B3	01100	00110
B4	00110	00101
B5	00111	00111

## For Pass 1:

$$\begin{aligned}\text{IN } [B1] &= \text{OUT } [B2] = 00100 \text{ (refer Pass 0)} \\ \text{OUT } [B1] &= \{\text{IN } [B1] \text{ AND } (\sim \text{KILL } [B1])\} \text{ OR} \\ &\quad \text{GEN } [B1] \\ &= (00100 \text{ AND } 11000) \text{ OR } 11000 \\ &= 11000\end{aligned}$$

$$\begin{aligned}\text{IN } [B2] &= \text{OUT } [B1] \text{ UNION } \text{OUT } [B5] \\ &= 11000 \text{ UNION } 00000 = 11000\end{aligned}$$

$$\begin{aligned}\text{OUT } [B2] &= \{\text{IN}[B2] \text{ AND } (\sim \text{KILL } [B2])\} \text{ OR} \\ &\quad \text{GEN } [B2] \\ &= 11000 \text{ AND } 01111 \text{ OR } 00100 \\ &= 01100\end{aligned}$$

Similarly calculate the values of Pass 2, Pass 3, Pass 4....., until all the values of two passes are same.

# **Parameter Passing**

- All programming languages have a notion of a procedure, but they can differ in how these procedures get their arguments.
- In this section, we shall consider how the *actual parameters* (the parameters used in the call of a procedure) are associated with the *formal parameters* (those used in the procedure definition).

## **1. Call By Value**

- In call-by-value, the actual parameter is evaluated (if it is an expression) or copied (if it is a variable).
- The value is placed in the location belonging to the corresponding formal parameter of the called procedure.
- This method is used in C and Java, and is a common option in C++, as well as in most other languages.
- Call-by-value has the effect that all computation involving the formal parameters done by the called procedure is local to that procedure, and the actual parameters themselves cannot be changed.

## 2. Call- by-Reference

- In call- by-reference, the address of the actual parameter is passed to the callee as the value of the corresponding formal parameter.
- Uses of the formal parameter in the code of the callee are implemented by following this pointer to the location indicated by the caller.
- Changes to the formal parameter thus appear as changes to the actual parameter.
- If the actual parameter is an expression, however, then the expression is evaluated before the call, and its value stored in a location of its own.
- Changes to the formal parameter change this location, but can have no effect on the data of the caller.

## 3 Call By Name

- A third mechanism - call-by-name - was used in the early programming language Algol 60.
- It requires that the callee execute as if the actual parameter were substituted literally for the formal parameter in the code of the callee, as if the formal parameter were a macro standing for the actual parameter (with renaming of local names in the called procedure, to keep them distinct).
- When the actual parameter is an expression rather than a variable, some unintuitive behaviors occur, which is one reason this mechanism is not favored today.

# Storage Allocation strategies

## 1 Static Versus Dynamic Storage Allocation:

- The layout and allocation of data to memory locations in the run-time environment are key issues in storage management.
- The two adjectives static and dynamic distinguish between compile time and run time, respectively.
- We say that a storage-allocation decision is static, if it can be made by the compiler looking only at the text of the program, not at what the program does when it executes.
- Conversely, a decision is dynamic if it can be decided only while the program is running.

- Many compilers use some combination of the following two strategies for dynamic storage allocation:
  1. Stack storage. Names local to a procedure are allocated space on a stack. The stack supports the normal call/return policy for procedures.
  2. Heap storage. Data that may outlive the call to the procedure that created it is usually allocated on a "heap" of reusable storage. The heap is an area of virtual memory that allows objects or other data elements to obtain storage when they are created and to return that storage when they are invalidated.
- To support heap management, "garbage collection" enables the run-time system to detect useless data elements and reuse their storage, even if the programmer does not return their space explicitly

## 2 Stack Allocation of Space:

- Almost all compilers for languages that use procedures, functions, or methods as units of user-defined actions manage at least part of their run-time memory as a stack.
- Each time a procedure is called, space for its local variables is pushed onto a stack, and when the procedure terminates, that space is popped off the stack.
- This arrangement not only allows space to be shared by procedure calls whose durations do not overlap in time, but it allows us to compile code for a procedure in such a way that the relative addresses of its nonlocal variables are always the same, regardless of the sequence of procedure calls.

## 2.1 Activation Tree

- Stack allocation would not be feasible if procedure calls, or activations of procedures, did not nest in time.
- We can represent the activations of procedures during the running of an entire program by a tree, called an *activation tree*.
- Each node corresponds to one activation, and the root is the activation of the "main" procedure that initiates execution of the program.
- At a node for an activation of procedure p, the children correspond to activations of the procedures called by this activation of p.
- We show these activations in the order that they are called, from left to right.
- Notice that one child must finish before the activation to its right can begin.

- The use of a run-time stack is enabled by several useful relationships between the activation tree and the behavior of the program:
  1. The sequence of procedure calls corresponds to a preorder traversal of the activation tree.
  2. The sequence of returns corresponds to a postorder traversal of the activation tree.
  3. Suppose that control lies within a particular activation of some procedure, corresponding to a node N of the activation tree. Then the activations that are currently open (live) are those that correspond to node N and its ancestors. The order in which these activations were called is the order in which they appear along the path to N, starting at the root, and they will return in the reverse of that order.

```

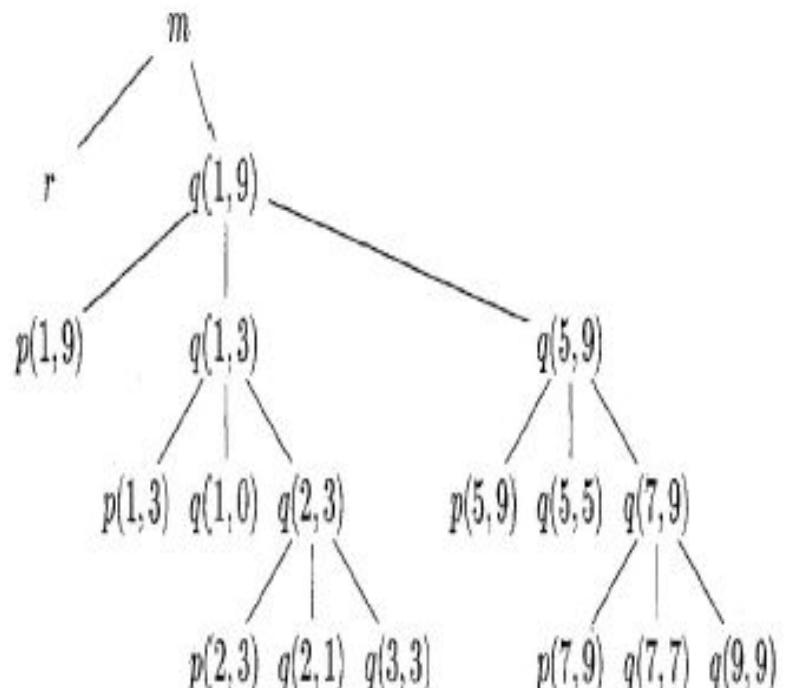
int a[11];
void readArray() { /* Reads 9 integers into a[1], ..., a[9]
    int i;
    ...
}
int partition(int m, int n) {
    /* Picks a separator value v, and partitions a[m .. n] so
       a[m .. p - 1] are less than v, a[p] = v, and a[p + 1 .. n]
       are equal to or greater than v. Returns p. */
    ...
}
void quicksort(int m, int n) {
    int i;
    if (n > m) {
        i = partition(m, n);
        quicksort(m, i-1);
        quicksort(i+1, n);
    }
}
main() {
    readArray();
    a[0] = -9999;
    a[10] = 9999;
    quicksort(1, 9);
}

```

Sketch of a quicksort program

enter main()  
 enter readArray()  
 leave readArray()  
 enter quicksort(1,9)  
 enter partition(1,9)  
 leave partition(1,9)  
 enter quicksort(1,3)  
 ...  
 leave quicksort(1,3)  
 enter quicksort(5,9)  
 ...  
 leave quicksort(5,9)  
 leave quicksort(1,9)  
 leave main()

Possible activations for the program



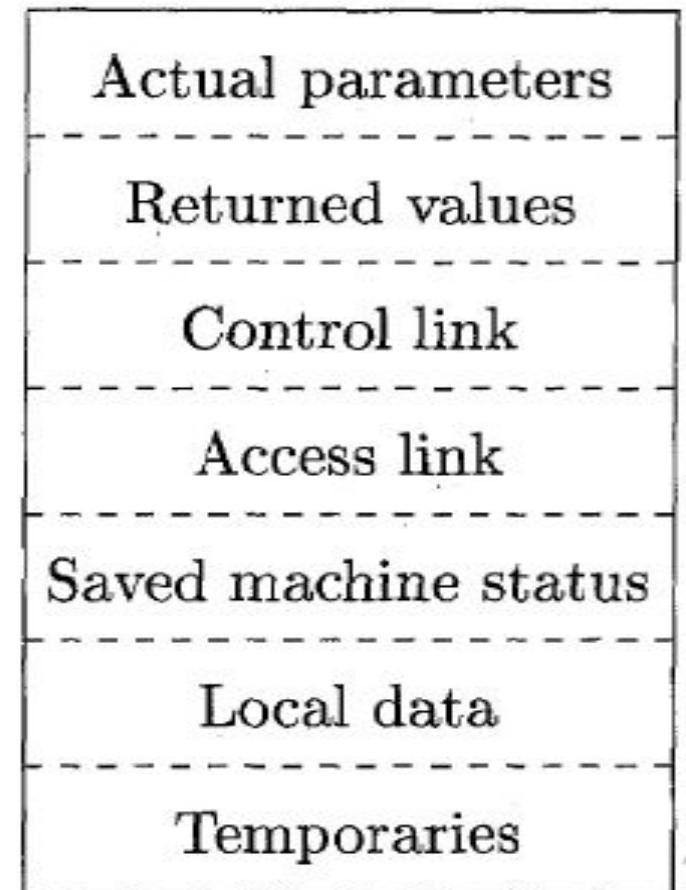
Activation tree representing calls during an execution of quicksort

## 2.2 Activation Records

- Procedure calls and returns are usually managed by a run-time stack called the control stack.
- Each live activation has an activation record (sometimes called a frame) on the control stack, with the root of the activation tree at the bottom, and the entire sequence of activation records on the stack corresponding to the path in the activation tree to the activation where control currently resides.
- The latter activation has its record at the top of the stack.

- The contents of activation records vary with the language being implemented.
- Here is a list of the kinds of data that might appear in an activation record:

1. Temporary values, such as those arising from the evaluation of expressions, in cases where those temporaries cannot be held in registers.
2. Local data belonging to the procedure whose activation record this is.



3. A saved machine status, with information about the state of the machine just before the call to the procedure. This information typically includes the *return address* (value of the program counter, to which the called procedure must return) and the contents of registers that were used by the calling procedure and that must be restored when the return occurs.
4. An "access link" may be needed to locate data needed by the called procedure but found elsewhere, e.g., in another activation record.
5. A control link, pointing to the activation record of the caller.
6. Space for the return value of the called function, if any. Again, not all called procedures return a value, and if one does, we may prefer to place that value in a register for efficiency.

7. The actual parameters used by the calling procedure. Commonly, these values are not placed in the activation record but rather in registers, when possible, for greater efficiency. However, we show a space for them to be completely general.

## 2.3 Calling Sequences

- Procedure calls are implemented by what are known as calling sequences, which consists of code that allocates an activation record on the stack and enters information into its fields.
- A return sequence is similar code to restore the state of the machine so the calling procedure can continue its execution after the call.

# 3 Heap Management

- The heap is the portion of the store that is used for data that lives indefinitely, or until the program explicitly deletes it.
- While local variables typically become inaccessible when their procedures end, many languages enable us to create objects or other data whose existence is not tied to the procedure activation that creates them.
- For example, both C++ and Java give the programmer ***new*** to create objects that may be passed - or pointers to them may be passed - from procedure to procedure, so they continue to exist long after the procedure that created them is gone.
- Such objects are stored on a heap.

## 3.1 The Memory Manager

- Memory manager is the subsystem that allocates and deallocates space within the heap; it serves as an interface between application programs and the operating system.
- The memory manager keeps track of all the free space in heap storage at all times.

It performs two basic functions:

### 1. *Allocation.*

- When a program requests memory for a variable or object, the memory manager produces a chunk of contiguous heap memory of the requested size.
- If possible, it satisfies an allocation request using free space in the heap; if no chunk of the needed size is available, it seeks to increase the heap storage space by getting consecutive bytes of virtual memory from the operating system.
- If space is exhausted, the memory manager passes that information back to the application program.

## *2. Deallocation.*

- The memory manager returns deallocated space to the pool of free space, so it can reuse the space to satisfy other allocation requests.
- Memory managers typically do not return memory to the operating system, even if the program's heap usage drops.

Memory management would be simpler if

- (a) all allocation requests were for chunks of the same size, and
  - (b) storage were released predictably, say, first-allocated first-deallocated.
- There are some languages, such as Lisp, for which condition (a) holds; pure Lisp uses only one data element - a two pointer cell - from which all data structures are built.
  - Condition (b) also holds in some situations, the most common being data that can be allocated on the run-time stack.

- However, in most languages, neither (a) nor (b) holds in general.
- Rather, data elements of different sizes are allocated, and there is no good way to predict the lifetimes of all allocated objects.
- Thus, the memory manager must be prepared to service, in any order, allocation and deallocation requests of any size, ranging from one byte to as large as the program's entire address space.

Here are the properties we desire of memory managers:

1. *Space Efficiency*: A memory manager should minimize the total heap space needed by a program.
  - Doing so allows larger programs to run in a fixed virtual address space.
  - Space efficiency is achieved by minimizing "fragmentation."

*2. Program Efficiency:* A memory manager should make good use of the memory subsystem to allow programs to run faster.

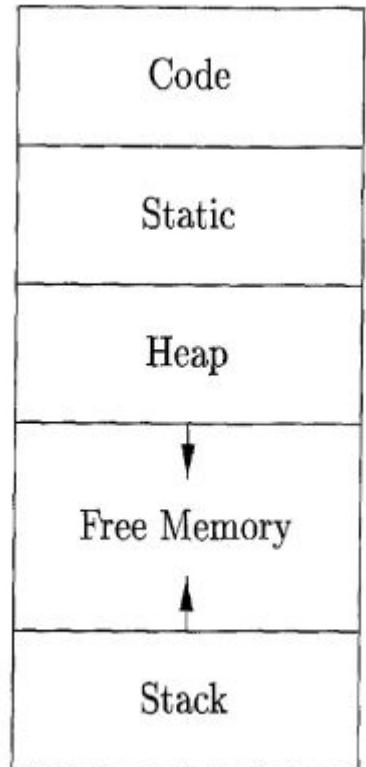
- The time taken to execute an instruction can vary widely depending on where objects are placed in memory.
- Fortunately, programs tend to exhibit "locality," a phenomenon which refers to the nonrandom clustered way in which typical programs access memory.
- By attention to the placement of objects in memory, the memory manager can make better use of space and, hopefully, make the program run faster.

*3. Low Overhead:* Because memory allocations and deallocations are frequent operations in many programs, it is important that these operations be as efficient as possible.

- That is, we wish to minimize the *overhead* - the fraction of execution time spent performing allocation and deallocation.

# Storage Organization

- From the perspective of the compiler writer, the executing target program runs in its own logical address space in which each program value has a location.
- The management and organization of this logical address space is shared between the compiler, operating system, and target machine.
- The operating system maps the logical addresses into physical addresses, which are usually spread throughout memory.
- The run-time representation of an object program in the logical address space consists of data and program areas.
- A compiler for a language like C++ on an operating system like Linux might subdivide memory in this way.



Subdivision of run-time memory  
into code and data areas

- The size of the generated target code is fixed at compile time, so the compiler can place the executable target code in a statically determined area called Code, usually in the low end of memory.
- Similarly, the size of some program data objects, such as global constants, and data generated by the compiler, such as information to support garbage collection, may be known at compile time, and these data objects can be placed in another statically determined area called Static.
- One reason for statically allocating as many data objects as possible is that the addresses of these objects can be compiled into the target code.
- To maximize the utilization of space at run time, the other two areas, Stack and Heap, are at the opposite ends of the remainder of the address space.

- These areas are dynamic; their size can change as the program executes.
- These areas grow towards each other as needed. The stack is used to store data structures called activation records that get generated during procedure calls.
- An activation record is used to store information about the status of the machine, such as the value of the program counter and machine registers, when a procedure call occurs.
- When control returns from the call, the activation of the calling procedure can be restarted after restoring the values of relevant registers and setting the program counter to the point immediately after the call.
- Data objects whose lifetimes are contained in that of an activation can be allocated on the stack along with other information associated with the activation.

- Many programming languages allow the programmer to allocate and deallocate data under program control.
- For example, C has the functions **malloc** and **free** that can be used to obtain and give back arbitrary chunks of storage.
- The heap is used to manage this kind of long-lived data.

# Runtime Environments

- A compiler must accurately implement the abstractions embodied in the source language definition.
- These abstractions typically include the concepts such as names, scopes, bindings, data types, operators, procedures, parameters, and flow-of-control constructs.
- The compiler must cooperate with the operating system and other systems software to support these abstractions on the target machine.

- To do so, the compiler creates and manages a run-time environment in which it assumes its target programs are being executed.
- This environment deals with a variety of issues such as the
  - layout and allocation of storage locations for the objects named in the source program,
  - the mechanisms used by the target program to access variables,
  - the linkages between procedures,
  - the mechanisms for passing parameters,
  - and the interfaces to the operating system, input/output devices, and other programs.