

R-2.4 Answer the following questions so as to justify Theorem 2.8.

- Draw a binary tree with height 7 and maximum number of external nodes.
- What is the minimum number of external nodes for a binary tree with height  $h$ ? Justify your answer.
- What is the maximum number of external nodes for a binary tree with height  $h$ ? Justify your answer.
- Let  $T$  be a binary tree with height  $h$  and  $n$  nodes. Show that

$$\log(n+1) - 1 \leq h \leq (n-1)/2.$$

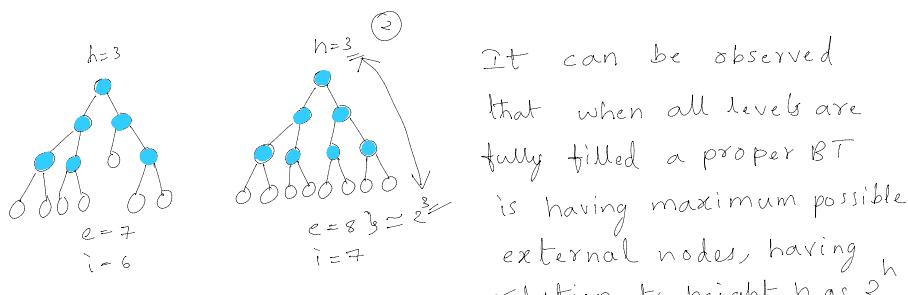
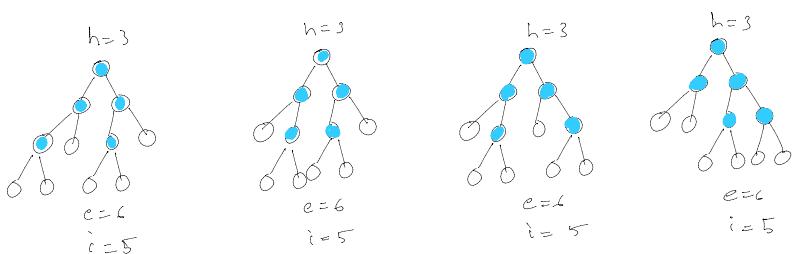
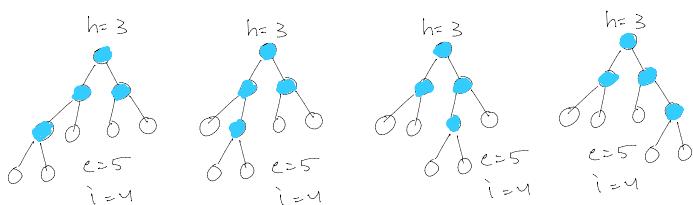
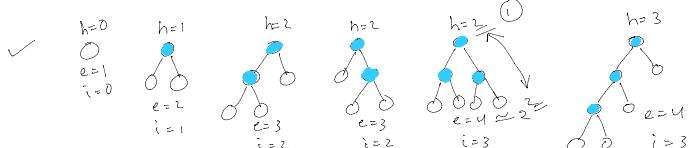
- For which values of  $n$  and  $h$  can the above lower and upper bounds on  $h$  be attained with equality?

**Theorem 2.8:** Let  $T$  be a (proper) binary tree with  $n$  nodes, and let  $h$  denote the height of  $T$ . Then  $T$  has the following properties:

- The number of external nodes in  $T$  is at least  $h+1$  and at most  $2^h$ .
- The number of internal nodes in  $T$  is at least  $h$  and at most  $2^h - 1$ .
- The total number of nodes in  $T$  is at least  $2h+1$  and at most  $2^{h+1} - 1$ .
- The height of  $T$  is at least  $\log(n+1) - 1$  and at most  $(n-1)/2$ , that is  $\log(n+1) - 1 \leq h \leq (n-1)/2$ .

b) Let's draw some proper BTs (since Theorem 2.8 is about them)

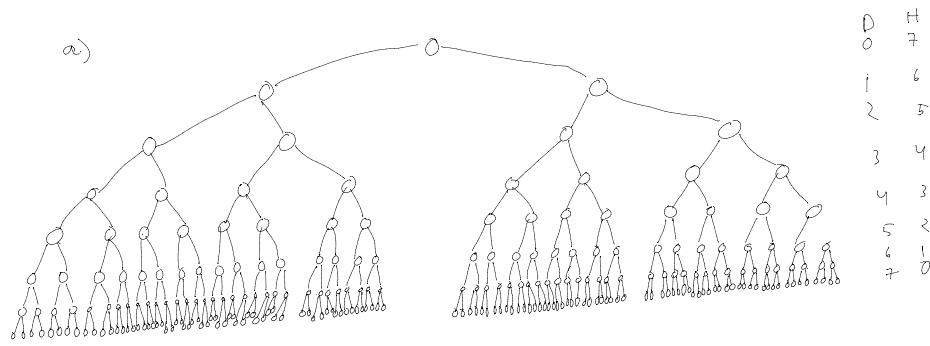
strict/proper/Full Binary tree: each node can have 0 or 2 children



It can be observed  
that when all levels are  
fully filled a proper BT  
is having maximum possible  
external nodes, having  
relation to height  $h$  as  $2^h$

$$\therefore e \leq 2^h \text{ or } 2^h \geq e$$

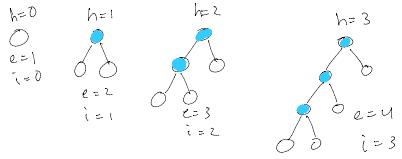
a)



till now in all the examples number of external nodes ( $e$ ) has always been 1 more than internal nodes ( $i$ )

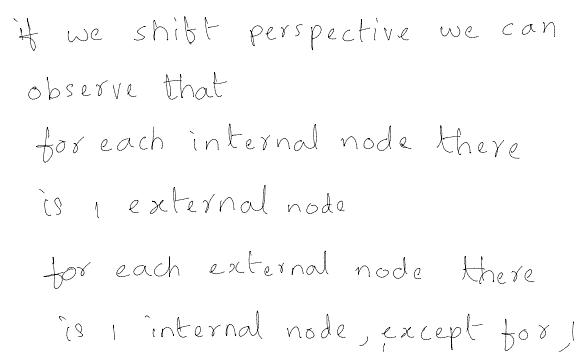
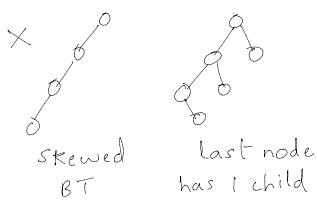
$$\therefore e = i + 1 \leq 2^h$$

$$\Rightarrow i \leq 2^h - 1$$

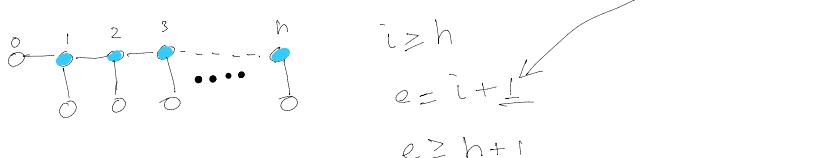


These are the only cases we see minimum no. of external and internal nodes against a height  $h$ . i.e. when the

"at each level only 1 node is having 2 children, except leafs"  
at last level there are 2 nodes to satisfy Proper BT condition.

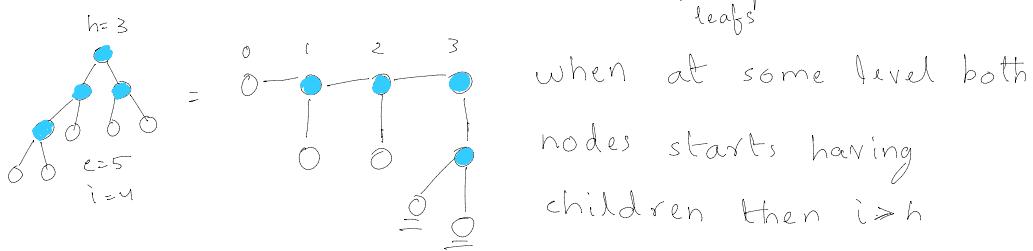


if we shift perspective we can observe that for each internal node there is 1 external node for each external node there is 1 internal node, except for 1



now is  $i \geq h$  isn't it  $i = h$  in above diagram

$i = h$  only when each level has only 2 nodes  
or node, except leafs



when at some level both nodes starts having children then  $i \geq h$

Since  $i$  can be exactly  $h$  and greater than  $h$   
 $\therefore i \geq h$



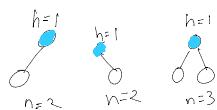
since  $e = i+1 \Rightarrow e \geq h+1$

finally  $h+1 \leq e \leq 2^h$

$$h \leq i \leq 2^h - 1$$

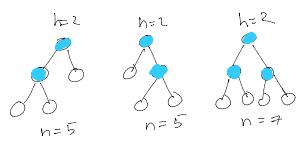
d)

$$\begin{array}{c} h=0 \\ \textcircled{O} \\ n=1 \end{array} \rightarrow h = n-1 \text{ & } h \leq n$$



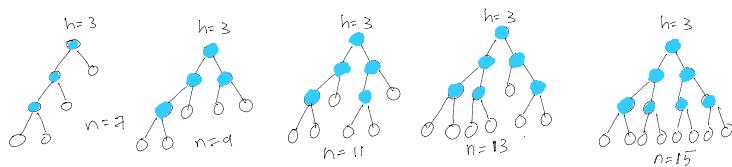
when, all levels are not full  $h = n-1$

all levels are full  $h = \frac{n-1}{2}$



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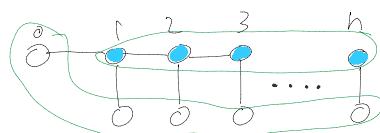
all levels are full  $h = \frac{n-1}{3}$



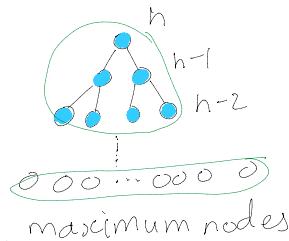
We can observe no. of nodes 'n' is minimum

only when each level has only 2 nodes

or  
node, except  
leafs



minimum nodes



maximum nodes

no. of internal + external nodes = total nodes

We know,

$$h+1 \leq e \leq 2^h$$

$$h+h+1 \leq n \Rightarrow 2h+1 \leq n$$

$$\Rightarrow h \leq \frac{(n-1)}{2}$$

$$h \leq i \leq 2^h - 1$$

$$l + e = n$$

$$n \leq 2^h + 2^h - 1 \Rightarrow n \leq 2 \times 2^h - 1$$

$$\Rightarrow 2^{h+1} \geq (n+1)$$



we need expression  $\log_2(2^{h+1}) \geq \log_2(n+1)$   
 in terms of 'h' so  
 apply log that will bring  $(h+1)\log_2 2 \geq \log_2(n+1)$   
 'h' from exponent to multiple  $h+1 \geq \log(n+1)$   
 $h \geq \log(n+1) - 1$

finally

$$\log(n+1) - 1 \leq h \leq \frac{n-1}{2}$$

e)  $n \quad h$

0	0	$\log(0+1) - 1 \leq 0 \leq \frac{0-1}{2}$
		$-1 \neq 0 \neq -0.5$

1	0	$\log(1+1) - 1 \leq 0 \leq \frac{1-1}{2}$
		$0 \leq 0 \leq \frac{0}{2}$
		$0 = 0 = 0$

found 1 pair

of  $n=1, h=0$   
 for which above  
 expression is true

