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A hybrid method for identification of structural domains

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Structural domains in proteins are the basic units to form various proteins. In the protein's evolution and functioning, domains play important roles. But the definition of domain is not yet precisely given, and the update cycle of structural domain databases is long. The automatic algorithms identify domains slowly, while protein entities with great structural complexity are on the rise. Here, we present a method which recognizes the compact and modular segments of polypeptide chains to identify structural domains, and contrast some data sets to illuminate their effect. The method combines support vector machine (SVM) with K-means algorithm. It is faster and more stable than most current algorithms and performs better. It also indicates that when proteins are presented as some Alpha-carbon atoms in 3D space, it is feasible to identify structural domains by the spatially structural properties. We have developed a web-server, which would be helpful in identification of structural domains (<http://vis.sculab.org/~huayongpan/cgi-bin/domainAssignment.cgi>).

As the basic units, the structural domain of proteins constitutes differently functional and structural proteins¹. Recognition and prediction of structural domain is a crucial first step of structure and function prediction on proteins². Identification of structural domain is also an important part of functional and structural experiments^{3,4} and a vital part of target selection process in structural genomics⁵ and drug discovery^{6,7}. However, since the concept of structural domain was proposed in Wetlauffer DB's research⁸, 1973, a precise and consistent definition about structural domain has not yet been concluded.

An overview of all varied definitions⁹ about structural domain includes 6 characteristics as follow: the structural domain is usually compact (I) and/or stable (II), contains hydrophobic cores (III), folds independently of the rest of the protein structure (IV), combines with others in the evolution (V) and performs some specifically biological functions (VI). These 6 main characteristics involve 4 key aspects in proteomics: Characteristic (I, III) depict the structural properties of protein¹⁰; Characteristic (II, IV) are related to molecular dynamics¹¹; Characteristic (V) is connected with phylogenetic analysis^{12–14} about domains; then, the function of protein¹³ is concerned in Characteristic (VI). Due to the diversity and complexity of protein structures, experts are not unanimous about the definition of structural domain, which poses a challenge to develop automatic methods to recognize structural domains.

Since proteins with great structural complexity are on the rise, it is pressing to update the structural domain databases and improve the performance of the off-the-shelf algorithms or develop new ones. The SCOP¹⁵ and CATH¹⁶ databases are the gold standards¹⁷, and often regarded as the data sets for comparing and evaluating different algorithms. But the update cycle of structural domain databases is long in general. And some divergences exist among different domain databases, since the experts and methods usually make different domain assignments based on their own understanding of structural domain.

Many automatic algorithms are constantly emerging to identify domains¹⁸ as correctly as possible, and exactly annotate the structures of new proteins. PDP¹⁹ is mainly based on the assumptions of domain compactness. When the structure of a protein is not compact enough, it splits proteins into two domains illogically. DomainParser^{20,21} is based on graph theory and finds the minimal cut through domain interfaces, but rarely splits secondary structure elements between domains. Even it misses small domains or domains with low secondary structure ratio. PUU²² hypothesizes the domain is an autonomously folding unit, so that usually identifies more domains than experts. DDOMAIN²² maximizes the intra-domain contacts on a normalized contact-based domain-domain interaction profile. Its limitations is the assumption that each structural domain is a continuous segment, but some domains are consisted of some different segments. CA²³ and SS²³ algorithms, based on the alpha-carbon atoms and secondary structure elements respectively, use the average-linkage cluster-



Table 1 | Comparison of the hybrid method with published algorithms on ASTRAL SCOP data set

Algorithm	1-domain	2-domain	3-domain	4-domain	Overall
Hybrid method	80%	71%	83%	49%	77%
CA algorithm	75%	58%	46%	33%	69%
DDomain	83%	58%	43%	44%	76%
DomainParser2	80%	56%	49%	25%	73%
PDP	74%	62%	49%	46%	70%

Table 2 | Comparison of the hybrid method with published algorithms on the Benchmark_2

Algorithm	Time	1-domain	2-domain	3-domain	4-domain	Overall
Hybrid method	10 s	93%	72%	92%	25%	80%
CA algorithm	26 s	92%	78%	76%	25%	80%
DDomain	497 s	94%	75%	48%	25%	75%
DomainParser2	398 s	98%	75%	64%	50%	79%
PDP	99 s	92%	84%	68%	75%	83%

ing algorithm to attain a dendrogram, then assign domains by cutting it. They are simple and fast, but neglect the spatially structural properties of domains.

Although various structural domain databases and computational approaches have been used to assist the identification of domains, each of them has its intrinsic limitations as mentioned above. In this study, we presented a hybrid method by combining 2 algorithms: support vector machine (SVM) and K-means to identify structural domains. It took full advantage of the spatially structural properties of proteins - the density and modularity of segments during partition. In terms of the correctness on domain assignment and execution speed, the hybrid method outran some off-the-shelf algorithms. When we evaluated different algorithms on the data sets, the performance varied with different data sets. Then, we also contrastively analyzed the data sets, in order to illuminate the effect of the data sets. Finally, we have developed a webserver, which would be helpful in identification of structural domains (<http://vis.sculab.org/~huayongpan/cgi-bin/domainAssignment.cgi>).

Results

The performance of the hybrid method. Based on the density and modularity of alpha-carbon atoms in 3D space, the hybrid method would correctly identify structural domains and catch up with some best currently available algorithms as shown in Table 1–3. As a whole, all algorithms had similar results with quite small differences, but the hybrid method had a narrow lead over the others by success rates of 77% (Table 1) and 82% (Table 3) on ASTRAL SCOP data set and Benchmark_3 respectively. From the perspective of execution time in Table 2, the hybrid method was about 2.5 times faster than CA algorithm, and over 40 times faster than DDomain and DomainParser2 algorithms.

In detail, the hybrid method performed well on ASTRAL SCOP data set with success rates of 80%, 71%, 83%, 49%, 77% for single-domain, 2-, 3-, 4- and overall, on the Benchmark_2 were 93% for single-, 72% for 2-, 92% for 3-, 25% for 4-domain, 80% for overall, and on the Benchmark_3 were 91% for single-, 75% for 2-, 95% for

3-, 33% for 4-domain, 82% for overall. However, it fell behind PDP algorithm on the Benchmark_2 by 3% on the overall as shown in Table 2, which was caused by domain assignments on the chains with 2- and 4-domain. In the Benchmark_2, there were only 4 chains with 4-domain, it was less statistically meaningful and was dismissed here. Moreover, we also found that PDP algorithm performed unsteadily on the chains with 2-domains, which achieved 62%, 84% and 76% on ASTRAL SCOP data set, Benchmark_2 and Benchmark_3 respectively. The fluctuation was caused by the unstable proportion of different Classes (*all alpha*, *all beta*, *alpha/beta* and *alpha+beta* in SCOP database) in 3 data sets as shown in Figure 1. The proportion of *alpha/beta* class in ASTRAL SCOP data set was more than the others, and the proportion of *alpha+beta* class in the Benchmark_2 was the highest. That indicated the PDP algorithm preferred to correctly identify domains on the *alpha+beta* class proteins, so that it was more outstanding only on the Benchmark_2. In contrast, the hybrid method did not be affected by the fluctuant proportion of different Class proteins. In addition, the hybrid method was also about 10 times faster than PDP algorithm. Thus, the hybrid method not only could correctly and quickly identify structural domains, but also kept a solid performance.

Contrastive analysis of different data sets. As shown in Table 1–3, the performance of all algorithms varied with different data sets, especially the percentages of correct identification on the Benchmark_2 and Benchmark_3 were obviously higher than those on ASTRAL SCOP data set. Then, we contrastively analyzed the data sets extracted from SCOP, CATH v3.5 and Pfam v27.0 databases and the Benchmark_2, Benchmark_3 (described in Methods). There were 2 main influences on the evaluation of algorithms: the distribution of polypeptide chains and the scale covering the sample space in different data sets.

The distribution of chains' proportions and quantities of the chains with single-, 2-, 3- and 4-domain in the data sets were showed in Table 4. In the ASTRAL SCOP 30, CATH v3.5 and Pfam v27.0, the proportion of chains with single-domain was over twice that of the

Table 3 | Comparison of the hybrid method with published algorithms on the Benchmark_3

Algorithm	1-domain	2-domain	3-domain	4-domain	Overall
Hybrid method	91%	75%	95%	33%	82%
CA algorithm	93%	76%	52%	0%	77%
DDomain	94%	66%	43%	33%	74%
DomainParser2	96%	71%	67%	67%	79%
PDP	89%	76%	67%	100%	80%

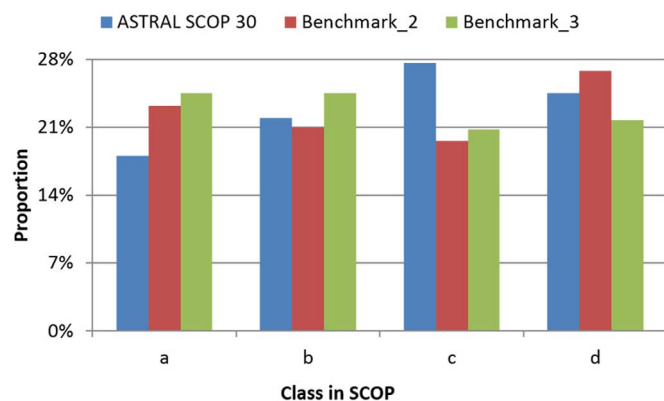


Figure 1 | The proportion of chains with 2-domain belonging to different Classes. The (a), (b), (c), (d) classes represent *all alpha*, *all beta*, *alpha/beta* and *alpha + beta* proteins respectively. The proportion of c class on 3 data sets fluctuates dramatically, but the proportion of d class on the Benchmark_2 rapidly increases. That affects the evaluation of algorithms.

rest chains. However, it was approximately equal with the proportion of chains with 2-domain and about twice that of the rest chains with 3- and 4-domain in the Benchmark_2 and Benchmark_3. Except the unbalanced distribution in data sets, the scale covering the sample space was also dramatically different. As shown in Figure 2, ASTRAL SCOP data set involved more Classes, Folds, Superfamilies and Families, and was similar to SCOP database. However, the number of different Folds and Superfamilies involved in ASTRAL SCOP data set was over 8 times more than those involved in the Benchmark_2 and Benchmark_3. And the number of Families in ASTRAL SCOP data set was more than the others by a further factor of 15 (see Supplementary Table S1). Thus, ASTRAL SCOP data set was appropriate to train the algorithms.

Discussion

Comparison of some domain identification methods. Here we just compared the domain identification methods: PDP¹⁹, DDOMAIN²², DomainParser^{20,21}, CA²³ algorithm with the hybrid method. Currently, these 4 methods performed best on domain identification.

PDP¹⁹ was mainly based on the principles of domain compactness. When recognizing the structural domains, PDP tried to cut at all possible sites in the polypeptide chain¹⁹. It would be time-consuming. PDP also defined a domain as a set of continuous protein segments, but some domains contained 2 or more uncontinuous segments. DDOMAIN²² had the same limitation, when it partitioned domains by maximizing the intra-domain contacts on the domain-domain interaction profile.

DomainParser^{20,21} and CA²³ algorithm were based on graph theory and formulated the protein as a network, in which each node represented the residue and each edge represented the residue-residue interaction. Then, the problem of domain assignment was solved by cutting the network. Under the assumption that residue-residue contacts were denser within a domain than between domains, DomainParser^{20,21} and CA²³ algorithm rarely splitted the secondary structure elements between domains. In addition, the network sim-

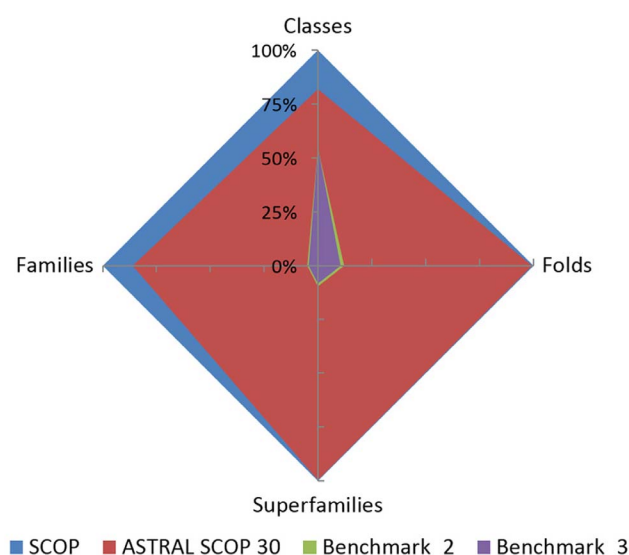


Figure 2 | The proportions of Classes, Folds, Superfamilies and Families of 4 different data sets. The radar map draws the proportions of Classes, Folds, Superfamilies and Families of the ASTRAL SCOP data set, Benchmark_2 and Benchmark_3 compared with SCOP database. The whole area (the blue part) is the full sample space in SCOP database. The red, green and purple regions become smaller in order, which indicates that the scales covering the sample space of ASTRAL SCOP data set, the Benchmark_2 and Benchmark_3 are smaller.

plification of protein 3D structure can cause the loss of some spatial information and hinder investigations into the steric effects of protein²⁴. Thus, from the perspective of 3D structure, partitioning the polypeptide chains directly was the biggest difference between the hybrid method with others, thereby the hybrid method can capture the spatially structural properties of protein.

The Benchmarks constructing process. Through repeating the similar process of constructing the Benchmark_2 and Benchmark_3 as Holland et al.'s work²⁵, we found that the distribution of polypeptide chains with different number of domains changed dramatically and most chains with great complex structure were filtered out.

For constructing the benchmarks, the criteria were: 1) sequence identity was no more than 30%; 2) the chains were assigned with the same number of domains in SCOP, CATH and Pfam databases; 3) *domain overlap* (described in Methods) was higher than the threshold. Then, the threshold was set 5% ~ 95% by the increments of 5%. In Figure 3(a), the number of chains decreased rapidly with the increase of threshold and most chains with >3-domain were filtered out before being checked *domain overlap* (the 3rd step), since the divergences between databases occurred on the chains with complex structure firstly. It also reconfirmed that experts firstly gave different domain assignments on the chains with more complex structure. Although the number of chains was declining, in Figure 3(b) the proportion of the chains with single-domain was rising.

With domain overlap rising, the domain assignments of chains in the Benchmark_3 were more consistent in different databases (illu-

Table 4 | The proportion and number of chains with different number of domains in data sets

Data sets	1-domain	2-domain	3-domain	4-domain
ASTRAL SCOP (7,077)	75% (5,322)	19% (1,341)	4% (304)	1% (73)
Benchmark_2 (156)	35% (53)	44% (69)	16% (25)	3% (4)
Benchmark_3 (135)	41% (55)	39% (53)	16% (21)	9% (3)
CATH v3.5 (118,792)	65% (77,455)	27% (32,428)	5% (6,090)	1% (1,711)
Pfam v27.0 (183,726)	76% (139,152)	18% (33,892)	4% (6,500)	1% (2,495)

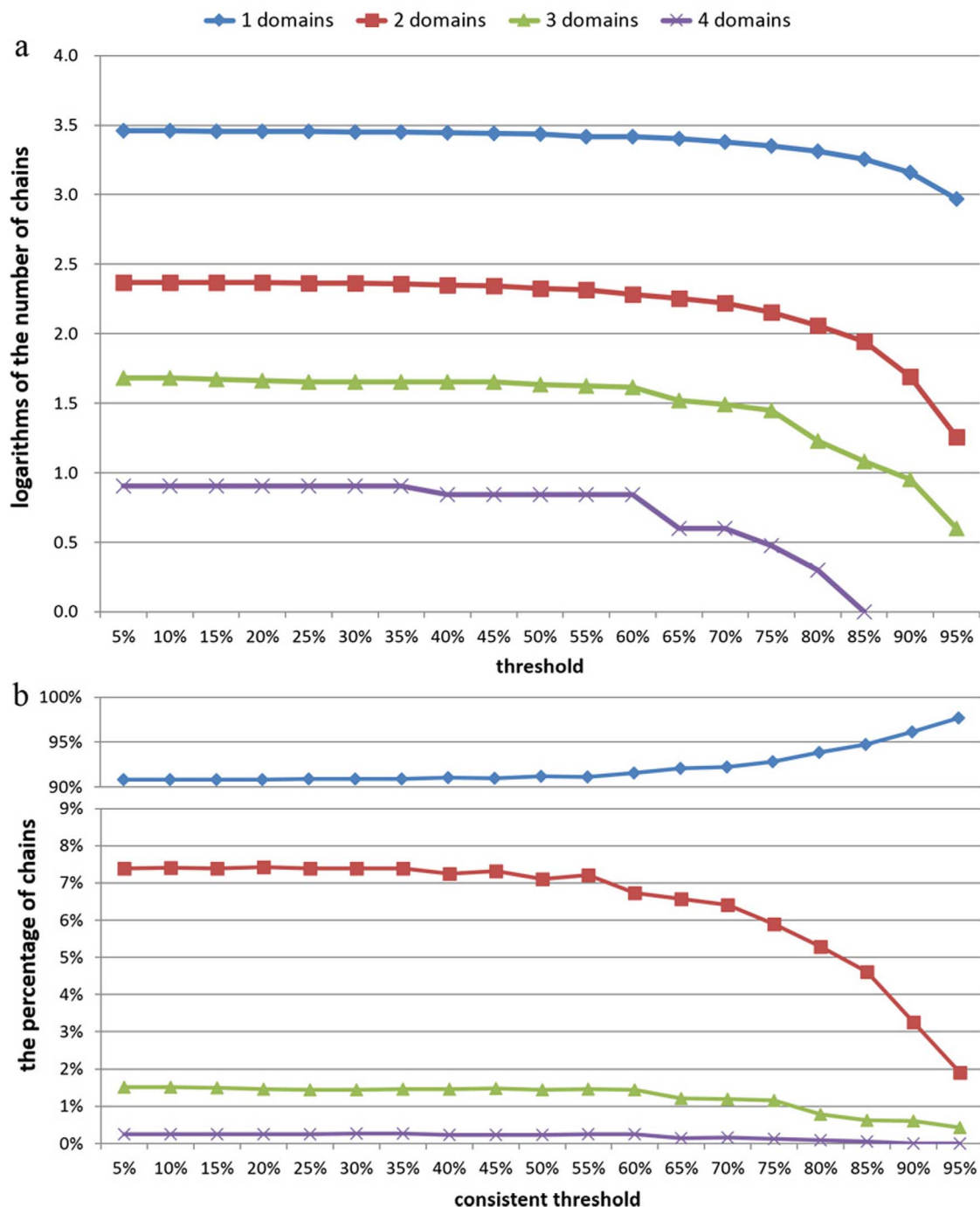


Figure 3 | The distribution of polypeptide chains at different threshold. (a) The number of chains with different number of structural domains under different thresholds. The number of chains with single-, 2- and 3-domain (the blue, red and green polylines) changes more gently than that of chains with 4-domain represented by the purple polyline. (b) The proportion of chains with different number of structural domains under different thresholds. The proportion of chains with single-domain (the blue polyline) increases towards 99% but the others (the red, green and purple polylines) descend continuously. Finally, the proportion of chains with 4-domain decreases to about 0%.

minated in Data sets, Methods), so that the Benchmark_3 were used to analyze the domain assignments given by the hybrid method in detail. However, most chains with great complex structure were also filtered out, therefore, the construction of a data set including proteins with complex structure and high *domain overlap* is our next task.

The details of domain assignments. It is helpful to study the domain assignments made by the hybrid method. We were concerned with

the results on the Benchmark_3, because all the chains in which had the same number of domains and approximately consistent domain boundaries with structural domain databases. There were 29 incorrect domain assignments including 5, 13, 1, 2 on chains with single-, 2-, 3- and 4-domain. In the first 18 incorrect assignments, we found the alpha-carbon atoms in the chains scatter so chaotically in 3D space that the hybrid method failed.

For the chains with multi-domain, the hybrid method was always inclined to undercut. Here were two more clear cases with incorrect

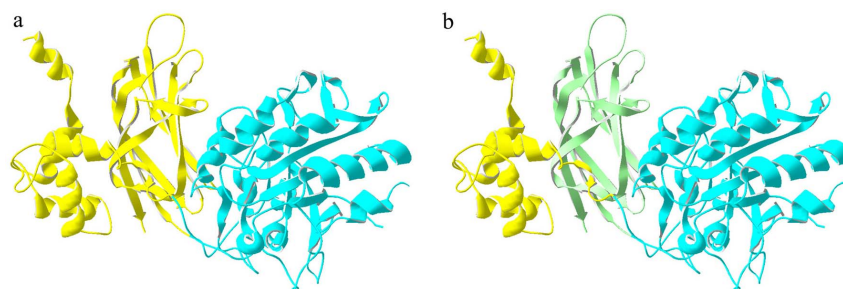


Figure 4 | PDB 1DJZ chain A domain assignment. (a) PDB 1DJZ chain A, assigned with 2 domains by the hybrid method. (b) Actual domain assignment in the Benchmark_3 with 3 domains.

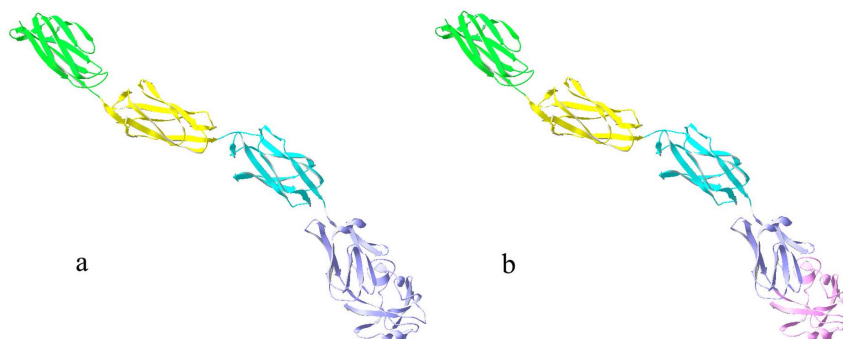


Figure 5 | PDB 1CWV chain A domain assignment. (a) PDB 1CWV chain A, assigned with 4 domains by the hybrid method. (b) Actual domain assignment in the Benchmark_3 with 5 domains.

domain assignments: PDB: 1DJZ chain A and PDB: 1CWV chain A. The PDB: 1DJZ chain A contained 3 domains, but was assigned with 2 domains by the hybrid method in Figure 4. Whether this chain was assigned with 2 or 3 domains, the modularity was visually obvious. But if the chain sequence information was taken into account, the hybrid method would correctly assign. Another case PDB: 1CWV chain A contained 5 domains indeed, which was only assigned with 4 domains by the hybrid method and all other current algorithms. In Figure 5(a), the 4 different colored segments showed more obvious modularity in relation to each other than the 5 colored segments in Figure 5(b). Therefore it easily led to the incorrect domain assignment only in consideration of protein spatial structure. These improvements would be made in the future work.

Surprisingly there were 3 cases with 3-domain (PDB: 1KSI chain A, PDB: 1D0G chain T, PDB: 1DCE chain A) that could not be

partitioned correctly by all current methods. However, all of them were assigned correctly by the hybrid method. The hybrid method was better at partitioning the chains with 3-domain than other algorithms. For example, PDB: 1KSI was a eukaryotic copper-containing amine oxidase. In Figure 6, the 3 different colored segments displayed a certain modularity in 3D space. Though the modularity in PDB: 1KSI chain A was not as obvious as PDB: 1CWV chain A, it was enough to correctly identify structural domains by the hybrid method. Nevertheless, there were a few flaw between 2 domain assignments in Figure 6. However, the domain assignments on PDB: 1D0G chain T was approximately in agreement with the assignment in the Benchmark_3 as shown in Figure 7.

In the present study, although the performance of all algorithm varied with different data sets, the hybrid method performed well. In particular, some chains assigned correctly only by the hybrid

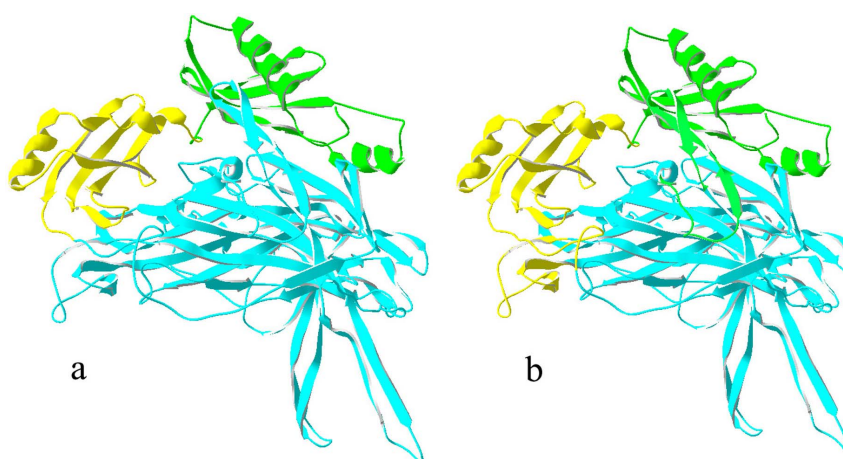


Figure 6 | PDB 1KSI chain A domain assignment. (a) PDB 1KSI chain A, assigned with 3 domains by the hybrid method. (b) Actual domain assignment in the Benchmark_3 with 3 domains. The different colored regions between (a) and (b) is clear.

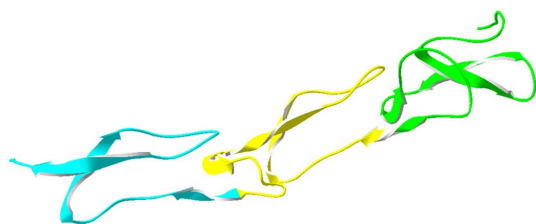


Figure 7 | PDB 1D0G chain T domain assignment. PDB: 1D0G chain T was approximately in agreement with the assignment in the Benchmark_3.

method. Based on the spatially structural properties of the chains, the method could grasp some intrinsic properties of structural domains -- most structural domains correspond to more compact and modular segments of chains. However, identification of structural domain is still an intractable challenge. The main issue is also not solved that experts and researchers cannot give a clear and precise definition of structural domain. In addition, the construction of a gold benchmark to evaluate various algorithms is in progress. There is still a long way to go.

Methods

Domain overlap. Domain overlap¹⁸ between two domain assignments was measured by calculating the maximum fraction of residues in the entire chain for which assignments made by a given method and the reference method were identical.

Feature extraction. With alpha-carbon atoms representing amino acid residues, a protein is simplified as a group of nodes in 3D space. There are 3 kinds of features extracted during domain assignment – the density of nodes, the dispersion and the number of nodes. The number of nodes denotes the number of residues in the segment splitted out. The 2 other kinds of features are the following in detail, which are the spatially structural properties.

The density of nodes. In 3D space, most structural domains in protein correspond to those regions containing more intensive nodes. Because of the high density of nodes in some regions, these regions are like clusters which indicate the structural domain is compact and forms a hydrophobic core. Thus, the density of nodes is considered as a

feature to describe the structural domain, which is equal to the total number of alpha-carbon atoms in the cluster divided by the approximate volume.

The dispersion. Though the nodes in the same domain are close, the nodes in the different structural domains are widely separated. The dispersion of nodes in the protein with multi-domain is high, which also indicates the modularity. PCA (Principle component analysis) can measure the degree of dispersions by calculating the variances in 3 mutually orthogonal directions. Thus, we employ this feature to decide whether the chain is the one with single-domain or with multi-domain. In addition, after the chain is determined as the one with multi-domain, K-means algorithm can recognize the domains by taking advantage of their modularity in protein.

The hybrid method. After feature extraction, the hybrid method is based on the spatially structural properties – high density and modularity of nodes to identify the structural domains. We combines the two-stage SVM (support vector machine) with K-means algorithm to construct the hybrid method. The flowchart of the whole process is shown on Figure 8.

The 1st-Stage SVM model: There are 9 features used to train 1st-Stage SVM model. 3 of them are the density, the dispersion and the number of nodes in the whole chain. In order to determine if the chains have single domain or multiple domains, we try to partition the chain into 2 segments by K-means algorithm ($K = 2$). Then, the 6 other features are the density, the dispersion and the number of nodes in 2 segments respectively as shown in Figure 8. Based on 9 features, the 1st-Stage SVM model is trained on the 1st training set (see detail in Data set). Then, all chains are divided into 2 kinds – the one with single-domain and with multi-domain.

The 2nd-Stage SVM model: Based on 1st-Stage SVM model, all the chains classified as the ones with multi-domain are considered as the input of the 2nd-Stage SVM model. And the 2nd-Stage SVM model is aimed at distinguishing chains with 2- and >2-domain. Then, we keep using 6 features about 2 segments in 1st-Stage SVM model and add some new features. As 1st-Stage SVM model, the chains are also tentatively partitioned into 3 segments by K-means algorithm ($K = 3$). The density, the dispersion and the number of nodes in 3 segments respectively are regarded as the new features as shown in Figure 8. Finally, the 2nd-Stage SVM model uses 15 features and is trained on the 2nd training set (see detail in Data sets, Methods). K-means algorithm partitions the chains judged as the ones with 2-domain into 2 segments. The other chains are further analyzed by K-means algorithm in next procedure.

K-means model for optimizing modularity. After going through the two-stage SVM model, the chains with >3-domain are divided from the data sets. Then, K-means randomly selects $K(>3)$ nodes as seeds and automatically cluster nodes into groups corresponding to structural domains. The modularity of clusters plays an important role in the clustering process. The modularity contains intra-cluster and inter-cluster

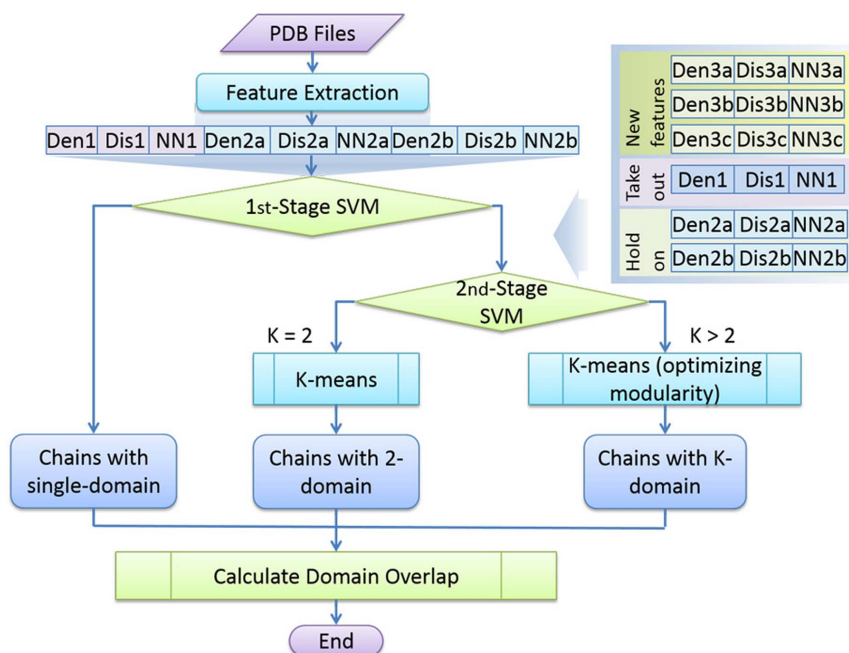


Figure 8 | The flowchart of the hybrid method. The hybrid method combines two-stage SVM with K-means to identify the structural domains. At first, it extracts the features for modelling. Then, it trains two-stage SVM model. At the two-stage SVM, the features *Den*, *Dis* and *NN* represent the density, dispersion and the number of nodes. The numbers (1, 2, 3) in *Den2a*, *Dis2a*, *NN2a*, *Den3a*, *Dis3a* and so on are the number of segments in the chain, which are tentatively partitioned. The alphabet (a, b, c) represents the 1st, 2nd or 3rd segment. In the 1st-Stage SVM model, 9 features are used, but 6 of them about 2 segments are kept and some new features about 3 segments are added into the 2nd-Stage SVM model. Finally, K-means model completes domain assignments.



cohesiveness. Optimizing modularity by the following formulas can find the optimal K value.

$$Dis_{Intra-cluster} = \sum_{k=1}^K \frac{1}{n_k} \sum_{i=1}^{n_k} d(c_k, n_i) \quad (1)$$

$$Dis_{Inter-cluster} = \sum_{k=1}^K d(c_k, center) \quad (2)$$

$$K = \arg \min(Dis_{Intra-cluster} + Dis_{Inter-cluster}) \quad (3)$$

The c_k , n_i , $center$ are the k th-cluster's center, i th node, the center of the whole chain respectively. The $d(x, y)$ represents the Euclidean distance between x and y .

Assessment of correctness. The hybrid method is evaluated by the same assessing method as Feldman's work. Firstly, if the number of domains in a chain determined by the hybrid method is the same as the one in test set, *domain overlap* will be further calculated. If not, it is considered wrong. Secondly, if *domain overlap* is not lower than 75%, then this domain assignment is supposed to be correct.

Data sets. In this study, there are 3 datasets: the Benchmark_2, Benchmark_3 (constructed by Holland et al.²⁵) and a non-redundant ASTRAL SCOP data set in which chains with greater than 30% sequence identity are removed. The chains in Benchmark_2 and Benchmark_3 are filtered by some rigorous criteria. The Benchmark_2 prefers the chains with the same number of domains from SCOP, CATH. The Benchmark_3 further meets the demands of *domain overlap* between domain assignments. Therefore, the domain assignments in the Benchmark_3 are more consistent with SCOP and CATH databases. Here, we only attain the half of Benchmark_2 and Benchmark_3 from a website *pdomain* (<http://pdomains.sdsc.edu/v2/dataset.php>), on which there are 156 and 135 chains available.

In the ASTRAL SCOP data set, there are 9,500 structural domains and 7,135 diverse chains. Only 7077 chains are available to download from the current PDB databank (Protein Data Bank). The distribution of chains with different number of domains (5,322 for 1-, 1,341 for 2-, 304 for 3-, 73 for 4-, 37 for >4-domain) is heavily unbalanced, so we screen 2 subsets as training sets for the two-stage SVM, where the chains in the Benchmark_2 and Benchmark_3 are also filtered out.

For training the 1st-Stage SVM, the 1st training set contains all chains with multi-domain and the same number of chains with single domain selected randomly. We repeat random selection and train SVM algorithm for 9 times. Then 9 predictions on the ASTRAL SCOP data set are used to vote and decide if the chain is the one with single-domain or multi-domain. For training the 2nd-Stage SVM, based on all chains with multi-domain correctly predicted, the 2nd training set includes all chains with >2-domain and the same number of ones with 2-domain. We still randomly select and train for 9 times. The final prediction is also voted by 9 predictions.

In addition, CATH v3.5 (<http://www.cathdb.info/>) and Pfam v27.0 (<http://ftp.ebi.ac.uk/pub/databases/Pfam>) are downloaded for repeating the similar process of constructing the Benchmark_2 and Benchmark_3, in which the number of chains with single-, 2-, 3-, 4- and >4-domain is 77,455, 32,428, 6,090, 2,495, 1,108 and 139,152, 33,892, 6,500, 2,495, 1,687 respectively.

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Author contributions

Y.H. designed and performed the experiments and interpreted the data. M.Z. and M.L. conceived the research. Y.H., M.Z. and M.L. wrote the manuscript. Y.H. prepared figures 1–6. Y.W. and Z.X. built the web server and prepared the figures 7–8. All authors reviewed the manuscript.

Additional information

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