High-Power Superluminescent Diodes

GERARD A. ALPHONSE, SENIOR MEMBER, IEEE, DEAN B. GILBERT, MEMBER, IEEE, M. G. HARVEY, AND MICHAEL ETTENBERG, FELLOW, IEEE

Abstract—By inclining the active stripe of a planar AlGaAs double heterojunction structure by 5° with respect to the facets, we have eliminated reflection feedback and made high-power superluminescent diodes emitting 28 mW with less than 5 percent spectral modulation.

INTRODUCTION

CUPERLUMINESCENT diodes (SLD's) are useful Dlight sources for fiber-optic gyroscopes because their low coherence eliminates noise found in laser-based systems [1]-[3]. High performance gyroscopes require powerful SLD's (greater than 15 mW) for high rotation rate sensitivity. SLD's can also replace LED's in communication systems where the high power contributes to increasing the number of nodes in local area networks and to reducing constraints on receiver performance. SLD's are typically made from lasers by antireflection facet coating or by the introduction of a lossy region in the optical path to reduce feedback [4], [5]. Although significant reduction of reflection has been obtained in this manner, great care must be exercised to maintain it low enough in order to amplify the spontaneous emission without Fabry-Perot spectral modulation [6], [7]. The gain of a semiconductor amplifier structure with equal facet power reflection coefficient R is [8]

$$G = \frac{(1-R)^2 G_s}{1+R^2 G_s^2 - 2RG_s \cos 2\beta l}$$
 (1)

where G_S is the single pass gain, β the propagation constant in m^{-1} , and l the cavity length in m. If R is not zero, the gain undergoes maxima and minima at values of $2\beta l$ equal to even and odd multiples of π , with a modulation index given by [9]

$$m = \frac{G_{\text{max}} - G_{\text{min}}}{G_{\text{max}} + G_{\text{min}}} = \frac{2RG_{\text{S}}}{1 + R^2G_{\text{S}}^2}.$$
 (2)

The ideal SLD is one for which m=0, which would be achievable by making R=0. The net gain is simply the single pass gain G_S and the output power is P_SG_S where P_S is the guided component of the spontaneous emission at the pumping current level. For a typical laser, P_S is of the order of 0.5 μ w [10] and thus G_S would have to be of the order of 10^4 for a SLD to yield an output power comparable to the laser. Below saturation, G_S is of the form [11]

Manuscript received August 19, 1987; revised January 8, 1988.

The authors are with David Sarnoff Research Center, CN 5300, Princeton, NJ 08543.

IEEE Log Number 8824026.

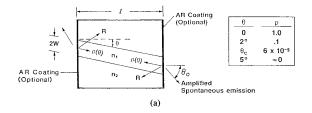
$$G_S = \exp\left[\Gamma\left(g_0\eta_i\frac{J}{d} - \alpha\right)l\right] = \exp\left[\frac{\Gamma KI}{d} - \Gamma \alpha l\right]$$
(3)

where g_0 is the gain coefficient = $4.5 \times 10^6 \text{ cm}^2/\text{A}$ for AlGaAs, J is the injection current density in A/cm², d is the active layer thickness in cm, Γ the confinement factor, l the active length in cm, η_i the internal quantum efficiency (~1), α the attenuation constant (=150-200 cm⁻¹ for AlGaAs), $K = g_0 \eta_i / S$, S the effective stripe width in centimeters, and I the current in amperes.

For a typical laser with $R \approx 0.1$ –0.3, the value of G_S is about 3.3–10 at threshold for a laser. To increase it by a factor of 1000 as needed for a SLD emitting several milliwatts, the current would approximately have to triple, but that would increase the current density excessively unless the active length is correspondingly increased. The extent to which the length is increased conveniently depends upon the attenuation constant which decreases the overall efficiency. According to (3), a good choice of structure is one with a large confinement factor and low attenuation, so the active length can be increased without penalty in efficiency. For reasons discussed later, we would like the length to be fairly long. In practice, we choose it about 3–4 times that of a laser made for the same material.

The central problem in designing a SLD is to keep the reflectivity low enough to maintain sufficiently low modulation. If a 5 percent modulation is deemed acceptable, (2) implies that R must be less than 2.5×10^{-6} for high power. Although a value as low as 2.5×10^{-4} have been reported for a scandium oxide AR coating [12], it is far too high for high power SLD's and it is doubtful that it can be achieved routinely because of the stringent material and thickness matching requirements, as well as extreme wavelength stability with temperature. High power AR-coated SLD's have been reported [13], but there are no details on spectral modulation and reproducibility.

We have reduced the reflected power coupled into the active region of a narrow stripe diode to very low values by inclining the stripe at an angle θ with respect to the facets, as shown in Fig. 1(a). The amplified spontaneous emission (ASE) is output at angle θ_0 determined by Snell's law. For best performance, the angle should be large enough to avoid mode trapping from total internal reflection at the lateral walls and to prevent Fabry-Perot resonances with the parallel facets. However, in order to allow output coupling, it should be much smaller than the critical angle at the facet.



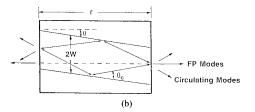


Fig. 1. (a) Incline stripe SLD in planar DH structure. Stripe inclination 5°. (b) Effect of trapped modes and of Fabry-Perot modes.

Mode trapping [14], [15] is the result of the guiding of the rays that fall within a cone of half angle equal to the critical angle θ_c , as shown in Fig. 1(b) for a structure having wide stripe. These rays are amplified and those that meet the phase condition for lasing will circulate in the guide. They will also emit as multimode lines in several directions, including the output angle, thus modulating the ASE output. For an abrupt step between the guide of index n_1 , and the external lateral region of index n_2 , the critical angle is

$$\theta_c = \sin^{-1} \sqrt{1 - (n_2/n_1)^2}$$
 (4)

and its value is about 3.13° for an index step of 5×10^{-3} in AlGaAs [16].

For a propagating mode having a zero order Hermite–Gaussian distribution [17] of effective width 2 W, the fraction of reflected power trapped in the guide due to θ_c is [18]

$$\rho = \frac{1}{2} \left\{ \text{erf} \left[\frac{W\beta}{\sqrt{2}} \left(2 \tan \theta + \tan \theta_c \right) \right] - \text{erf} \left[\frac{W\beta}{\sqrt{2}} \left(2 \tan \theta - \tan \theta_c \right) \right] \right\}$$
 (5)

where erf is the error function integral. This expression is approximately equal to unity for $\theta=0$, but it decreases rapidly to zero for $\theta>\theta_c$. It also shows why a slight misalignment will not prevent a device from lasing. For example a 2° inclination for a diode with 5 μ m effective width will have $\rho \equiv 0.09$, not enough to prevent lasing. On the other hand, ρ is less than 6×10^{-5} at $\theta=\theta_c$, enough to prevent circulating modes if the facets are AR coated.

Aside from preventing circulating modes, it is also necessary to prevent the excitation of Febry-Perot modes which occur if there is a direct path with enough gain between the parallel facets. Such a path would result in multimode laser emission normal to the facets as shown in Fig. 1(b). To avoid these modes, $\tan \theta$ must be greater than the ratio of effective width to stripe length. This criterion is not trivial. A gain-guided structure may have a nominal stripe width of 5 μ m and an effective width of 30-40 μ m, as we have found experimentally for p-cap devices. In such a case, the active length for 5° inclination would have to exceed 460-570 µm to prevent Fabry-Perot oscillations. This explains why previous angled stripe devices which had wide or moderately wide effective width resulted in multimode lasers or SLD's with large spectral modulation [19]-[22]. A properly designed angled stripe SLD should have its stripe angle greater than the width to length ratio and must be such that ρ is less than 10^{-4} if the facets are AR coated or less than 10^{-6} if there is no AR coating.

RESULTS

For the reasons discussed above, an angle of 5° was chosen for our devices, with $\rho \approx 0$, and a planar DH AlGaAs structure was used for simplicity, with the layer composition shown in Fig. 2 grown by LPE. An n-type current blocking layer was used as a cap. This was followed by a SiO₂ layer from which a stripe window was etched out at the 5° angle. The whole surface was metallized after zinc diffusion into the cap for ohmic contact. The facets were AR coated with scandium oxide to help reduce reflection and increase output power. This coating material was chosen because its refractive index of 1.84 closely matches the required near quarter-wave value for AlGaAs materials [12]. The lengths of the devices varied from 100 μ m to over 1000 μ m. As expected, the shorter devices exhibited strong spectral modulation (20 to 60 percent) of a broad spectrum output at about 15° from the facet, together with a multimode laser output normal to the facet. Direct observation of the near field revealed an emitting surface about 30-40 µm wide, indicating insufficient lateral confinement in this gain-guided structure. The longer devices showed almost ideal superluminescent characteristics, exhibiting a broad uniform spectrum at all power levels. This is shown in Fig. 3 for a 1000 μm long device at power levels of 8, 14, and 28 mW. With a spectrometer resolution of 0.5 Å, no modulation is observed at the 8-14 mW level, and only a 5 percent modulation is observed at the 28 mW. The spectral half-power bandwidth is about 8 nm, and it is broader for the shorter devices (15 nm for 250 μm active length.)

The light-current characteristics are shown in Fig. 4 for a number of devices. The longer devices exhibit a knee similar to the laser, but at a current larger than lasing threshold due to the factor $\Gamma\alpha$ in (3). This knee is the point where the net gain exceeds unity. Beyond that point the slope efficiency is 10-20 percent. The electrical efficiency is 5-10 percent. Our gain-guided devices appear expectedly less efficient than the twin-channel angled

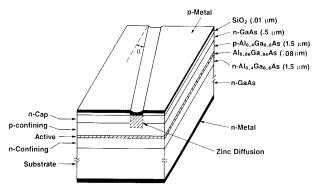


Fig. 2. Layer structure and composition of inclined stripe SLD.

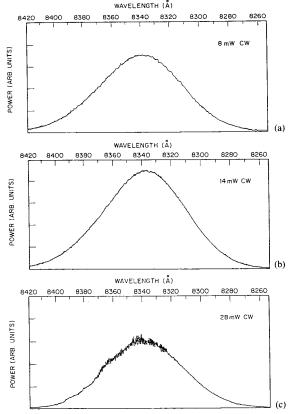


Fig. 3. Output spectrum of 1000 μ m long SLD at 8, 14, and 28 mW CS, respectively.

stripe SLD [23], [24], but with somewhat less spectral modulation.

The far field is shown in Fig. 5 for a 400 μ m device at 8 and 15 mW output power and at CW and 1 percent duty cycle. The FWHM is $11 \times 58^{\circ}$, and the spectrum is centered at about 12° from facet normal. The deviation from the expected 15° is due mainly to misalignment of the SLD from the edge of the device mount taken as a reference. The same misalignment is shown in the small spurious Fabry-Perot output (peak at 3° instead of 0°).

In conclusion, we have reduced the feedback to nearly zero in a new superluminescent diode design by inclining

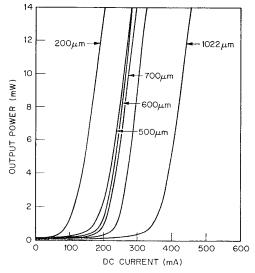


Fig. 4. Light output versus current characteristics of SLD's with various active lengths.

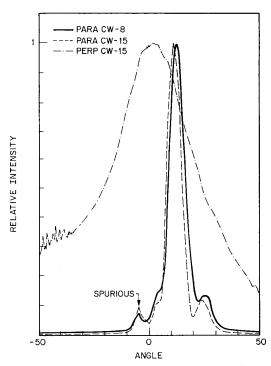


Fig. 5. Far-field spectrum and output angle of typical SLD (PARA = plane parallel to layers; PERP = plane perpendicular to layers). Insert: near-field for a 400 μ m long device.

the narrow active region to 5° from facet orthogonality in a planar DH structure at $0.83~\mu m$ wavelength. CW power output of 15 mW is routinely obtained with negligible modulation, and 28 mW has been obtained with 5 percent spectral modulation.

ACKNOWLEDGMENT

The authors sincerely acknowledge contributions from G. N. Pultz, L. Elbaum, E. DePiano, and F. Z. Hawrylo

for wafer growth and processing. Appreciation is also extended to G. A. Evans for many technical discussions, to R. A. Bartolini and B. Herschenov for encouraging this effort, and to D. B. Carlin for reviewing the manuscript.

REFERENCES

- [1] K. Bohm, P. Russer, E. Weidel, and R. Ulrich, "Low-noise fiber
- optic rotation sensing," Opt. Lett., vol. 6, p. 64, 1981.
 [2] K. Bohm, P. Marten, K. Peterman, E. Weidel, and R. Ulrich, "Lowdrift fiber gyro using a superluminescent diode," Electron. Lett., vol. 17, p. 352, 1981.
- [3] W. K. Burns, C. L. Chen, and R. P. Moeller, "Fiber-optic gyroscopes with broad band sources," J. Lightwave Technol., vol. LT-1, p. 98, 1983
- [4] T. P. Lee, C. A. Burrus, and B. I. Miller, "A stripe geometry doubleheterostructure amplified-spontaneous-emission (superluminescent) diode," IEEE J. Quantum Electron., vol. QE-19, p. 820, 1973
- [5] J. Boeck and M. C. Amarin, "AlGaAs/GaAs double heterostructure superluminescent diodes for optical transmission systems," quenz., vol. 33, p. 278, 1979.
- [6] C. S. Wang, W. H. Cheng, C. J. Hwang, W. K. Burns, and R. P. Moeller, "High power low-divergence superradiance diode," Appl. Phys. Lett., vol. 41, p. 587, 1982.
- [7] N. K. Dutta and P. P. Deimel, "Optical properties of a GaAlAs superluminescent diode," IEEE J. Quantum Electron., vol. QE-19, p. 496, 1983.
- C. H. Henry, "Theory of spontaneous emission noise in open resonators and its application to lasers and optical amplifiers," J. Lightwave Technol., vol. LT-4, p. 288, 1986.
- I. P. Kaminow, G. Eisenstein, and L. W. Stulz, "Measurement of the modal reflectivity of an antireflection coating on a superluminescent diode," IEEE J. Quantum Electron., vol. QE-19, p. 493, 1983.
- [10] H. B. Thompson, Physics of Semiconductor Laser Devices. New York: Wiley, 1980.
- [11] L. Figueroa, T. L. Holcomb, K. Burghard, D. Bullock, C. B. Morrison, L. M. Zinkiewicz, and G. A. Evans, "Modeling of the optical characteristics for twin-channel laser (TCL) structures," *IEEE J.* Quantum Electron., vol. QE-22, pp. 2141-2149, 1986.
- [12] I. Ladany, P. J. Zanzucchi, J. T. Andrews, J. Kane, and E. DePiano, "Scandium oxide antireflection coatings for superluminescent
- LED's," Appl. Opt., vol. 25, p. 472, 1986.

 [13] C. S. Wang, J. S. Chen, R. Fu, V. S. Sunderam, R. Varma, J. Zarrabi, C. Lin, and C. J. Hwang, "High power long life superluminescent diode," SPIE Fiber Optic Gyros, vol. 719, E. Udd, Ed., p. 203, 1986.
- [14] M. Ettenberg, H. F. Lockwood, and H. S. Sommers, "Radiation trapping in laser diodes," J. Appl. Phys., vol. 43, p. 5047, 1982.
- [15] G. O. Henshall, "The suppression of internally circulating modes in (GaAl) As/GaAs heterostructure lasers and their effect on catastrophic degradation and efficiency," Appl. Phys. Lett., vol. 31, p.
- [16] G. P. Agrawal, "Lateral analysis of quasi-index-guided injection lasers: Transition from gain to index guiding," J. Lightwave Technol., vol. LT-2, pp. 537-543, 1984. [17] T. L. Paoli, "Waveguiding in a stripe-geometry junction laser," *IEEE*
- J. Quantum Electron., vol. QE-13, p. 662, 1977.
- [18] G. A. Alphonse, to be published.
- [19] L. N. Kurbatov, S. S. Shakhidzhanov, L. V. Bystrova, V. V. Krapukhin, and S. I. Kolonenkova, "Investigation of superluminescence emitted by a gallium arsenide diode," Sov. Phys.—Semicond., vol. 4, p. 1739, 1971.
- [20] B. L. Frescura, C. J. Hwang, H. Luechinger, and J. E. Ripper, 'Suppression of output nonlinearities in double heterostructure lasers by use of misaligned mirrors," Appl. Phys. Lett., vol. 31, p. 770,
- [21] D. R. Scifres, W. Streifer, and R. D. Burnham, "GaAs/GaAlAs diode lasers with angled pumping stripes," IEEE J. Quantum Electron., vol. QE-14, p. 223, 1978.
- [22] M. B. Holbrook, W. E. Sleat, and D. J. Bradley, "Bandwidth-limited picosecond pulse Generation in an actively mode-locked GaAlAs diode laser," Appl. Phys. Lett., vol. 37, p. 59, 1980.
- [23] J. Nissen, L. Zinkiewicz, P. H. Payton, and C. Morrison, "Recent development in 0.83 µm superluminescent diodes at TRW," SPIE Fiber Optic Gyros., vol. 719, E. Udd, Ed., p. 208.

[24] J. Niesen, P. H. Payton, C. B. Morrison, and L. M. Zinkiewicz, 'High power 0.83 µm angle stripe superluminescend diode,' Southwest Opt. Sconf., Albuquerque, NM, Feb. 9-13, 1987.



Gerard A. Alphonse (SM'68-SM'87) obtained the B.S.E.E. degree in 1958 and the M.S.E.E. degree in 1959 from New York University, New York. In 1963, he attended the Polytechnic Institute, Brooklyn, NY, (now Polytechnic University) under a grant from RCA Laboratories and received the Ph.D. degree in electrophysics.

He has been a Member of the Technical Staff at the David Sarnoff Research Center (formerly RCA Laboratories), Princeton, NJ, since 1959. His work has included the development of super-

conductive memories, for which he received an RCA Laboratories Outstanding Research Award in 1960. His other research has covered photochromic memories, acoustooptics, holography, electrooptics, ultrasonic imaging, video disk recording and, optical disk media development. He is currently doing research in optoelectronics. He has been a faculty member in the Evening Division of LaSalle College, Philadelphia, PA, since 1967, and was Chairman of the Electronic Physics Department until 1982. He has served IEEE committees, including the Executive Committee of the International Solid State Circuit Conference, Chairman of the IEEE Princeton Section in 1972-1973, and Chairman of the Electro '87 Professional Program Committee. In 1975, he was appointed a consultant to the Engineering Science and Analysis section of the Engineering Division of the National Science Foundation for a period of two years. He has published over 30 articles, and holds 20 U.S. patents.

Dr. Alphonse is a member of the American Institute of Physics, the Optical Society of America, the Science and Arts Committee of the Franklin Institute, and Eta Kappa Nu, Tau Beta Pi, and Sigma Xi.



Dean B. Gilbert (S'69-M'74) graduated from RCA Institute, NY, in 1970.

In 1970, he joined the RCA Laboratories, now the David Sarnoff Research Center. He is currently an Associate Member of the Technical Staff. where he is involved in the testing and characterization of semiconductor laser diodes. In particular, he has worked on the characterization of sinheterostructure lasers, CW double heterostructure lasers, the short $(0.7-0.8 \mu m)$ wavelength AlGaAsP laser, and single-mode con-

stricted double heterostructure (CDH) lasers. He is currently responsible for the testing facility for evaluating lasers and LED's. He has coauthored several papers in the laser field.

M. G. Harvey, photograph and biography not available at the time of pub-



Michael Ettenberg (M'78-SM'78-F'85) received the B.S. degree in metallurgy from the Polytechnic Institute, Brooklyn, NY, in 1964 and the M.S. and Ph.D. degrees from New York University, New York, in 1967 and 1969, respec-

He joined the David Sarnoff Research Center (formerly RCA Laboratories), Princeton, NJ, in 1969, and has made major contributions in the area of III-V compounds and devices. He developed the first edge-emitting LED's for fiber communi-

cations, facet passivation for long-lived diode lasers, and some of the multibin liquid phase epitaxial techniques used for the growth of very thin layers. He made some of the first reliable diode lasers and made fundamental studies of the electronic and interface properties of AlGaAs. In 1979 he was appointed Head of the Optoelectronic Devices Research Group and in 1987 he was made Director of the Optoelectronics Research Laboratory. In 1984 he received a David Sarnoff Award for Outstanding Technical Achievement, RCA's highest honor, for leadership in the understanding, development, and manufacture of solid-state optoelectronic devices. He has authored or coauthored more than 100 papers and several book chapters. He holds 28 U.S. Patents, all dealing with the properties of III-V compounds and with laser diodes and LED's.

Dr. Ettenberg is a member of the Optical Society of America, American Physical Society, and Alpha Sigma Mu Metallurgical Honor Society.