#### Parallel Sorting

CS121 Parallel Computing Spring 2017



#### Outline

- Radix sort
- Merge sort
- Bitonic sort
- Sample sort



#### Radix sort

- Sort digit by digit, going from the least to most significant digit.
- Sort must be stable. If there's tie on current digit, must preserve order from previous digits.
  - Ex When sorting 100s digit, there's a tie on value 3. Preserve earlier order, i.e. 362 before 397.
- Sorting each digit (or group of digits) highly parallel.
- Radix sort is typically one of the fastest sorts in practice.

362	291	2 <mark>0</mark> 7	<b>2</b> 07
436	36 <mark>2</mark>	4 <mark>3</mark> 6	<b>2</b> 53
291	25 <mark>3</mark>	2 <mark>5</mark> 3	<b>2</b> 91
487	436	362	<b>3</b> 62
207	48 <mark>7</mark>	487	<b>3</b> 97
253	207	291	<b>4</b> 36
397	397	397	487

### Radix sort and prefix sum

- We'll sort the last digits of a set of binary numbers in a stable way.
  - □ Call elements ending in 0 0-vals, the rest1-vals.
- Goal is to put the 0-vals before the 1-vals in a stable way.
  - □ 0-val at index i goes to (# 0-vals before i).
  - □ 1-val at index i goes to (total # 0-vals) + (# 1-vals before i) = (total # 0-vals) + (i # 0-vals before i).
- Use prefix sum to count # 0-vals up to every index.

100	111	010	110	011	101	001	000
0	1	0	0	1	1	1	0
1	0	1	1	0	0	0	1
0	1	1	2	3	3	3	3

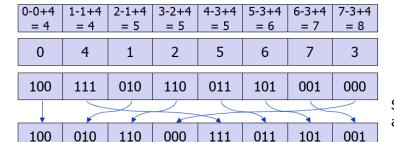
Input Array

least significant bit

e = flip the bits

f = prefix sum

Total # 0's = 
$$e[n-1] + f[n-1]$$



$$t = index - f + total # 0's$$

$$d = b?t:f$$

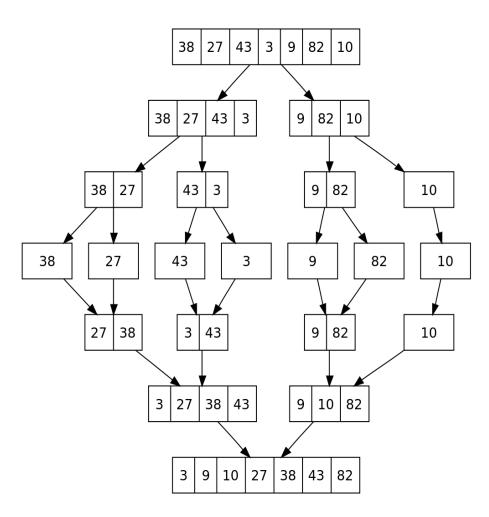
Scatter input using d as scatter address

http://www.seas.upenn.edu/~cis565/LECTURE20 10/CUDALibariesandTools.ppt



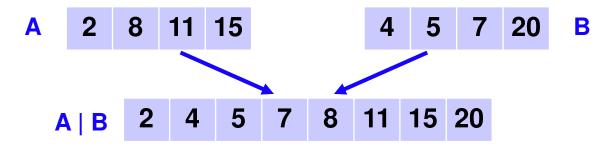
## Parallel mergesort

- Divide and conquer sort in which subproblems can be solved in parallel.
- There are log n divide stages, followed by log n merge stages.
- Each merge stage takes O(n) sequential time.
- We'll do each merge stage in O(log n) parallel time with n processors.
- So O(log² n) time to sort n numbers with n processors.
- Assume for simplicity all values are unique.



https://en.wikipedia.org/wiki/Merge\_sort

## Parallel merge

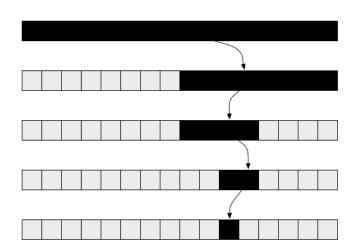


- $rank(x,S) = |\{y \le x \mid y \in S\}| = number of values in S less than or equal to x.$ 
  - $\square$  Ex rank(8,A)=2, rank(8,B)=3, rank(20,A)=4.
- Claim Let  $x \in A \cup B$ , then  $rank(x, A \mid B) = rank(x,A) + rank(x,B)$ .
  - $\Box$  Ex rank(8, A | B) = 5 = rank(8,A)+rank(8,B) = 2+3.
  - $\square$  Ex rank(20, A | B) = 8 = rank(20,A)+rank(20,B) = 4+4.
- Proof Say x∈ A.
  - □ There are rank(x,A) elements  $\leq$  x in A, including x itself, and rank(x,B) elements  $\leq$  x in B, so a total of rank(x,A)+rank(x,B) elements  $\leq$  x in A $\cup$ B.



### Parallel merge

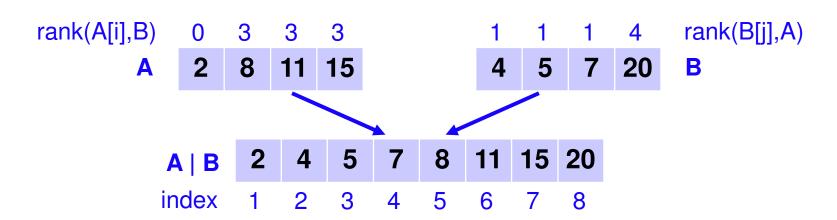
- If S is sorted array of size n, can compute rank(x,S) in O(log n) sequential time.
  - □ Do binary search for x in S.
  - □ Say search ends at index i. If S[i]=x, return i+1, else return i.
  - $\square$  Ex x=11, S=[4,5,7,20], search ends at index 3, so rank(x,S)=3.



## NA.

#### Parallel merge

- Let A, B be sorted arrays with n elements each.
- We compute A | B using 2n processor in O(log n) time.
- Output stored in array C of size 2n.
- ❖ For  $1 \le i \le n$ , processor i computes  $r_i = rank(A[i],B)$ .
  - ❖ Write A[i] to C(i+r<sub>i</sub>).
- ❖ For  $1 \le j \le n$ , proc j+n computes  $r_i$ =rank(B[j],A).
  - \* Write B[j] to C(j+ $r_i$ ).

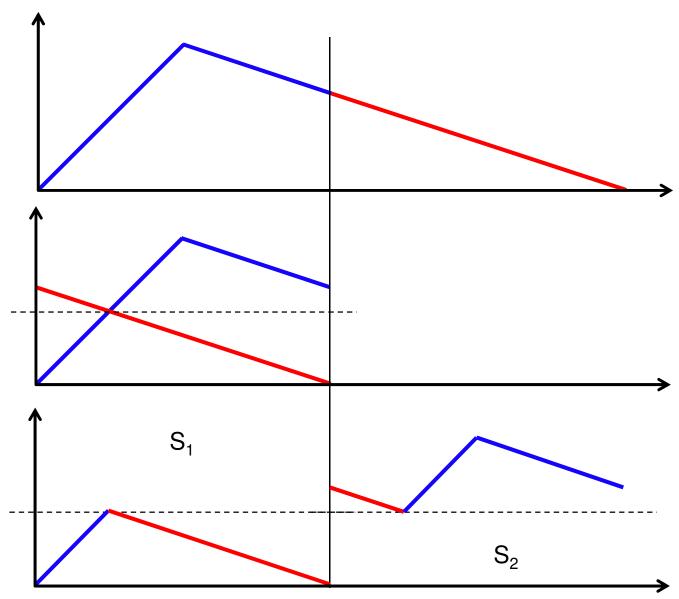


#### Bitonic sort

- A bitonic sequence is one that
  - ☐ First increases, then decreases.
  - ☐ Or is the rotation of a sequence of the first kind.
- Ex [1,3,4,7,8,5,2,1,0] is a bitonic sequence
- Ex [5,2,1,0,1,3,4,7,8] is a bitonic sequence, because it's a rotation of the first example.
- Lemma Let [a<sub>0</sub>,a<sub>1</sub>,...,a<sub>n-1</sub>] be a bitonic sequence, and let
  - $S_1 = [\min(a_0, a_{n/2}), \min(a_1, a_{n/2+1}), \dots, \min(a_{n/2}, a_{n-1})]$
  - $S_2 = [\max(a_0, a_{n/2}), \max(a_1, a_{n/2+1}), ..., \max(a_{n/2}, a_{n-1})]$
  - Then  $S_1$  and  $S_2$  are both bitonic sequences, and all elements of  $S_1$  are  $\leq$  all elements of  $S_2$ .
- This operation is called bitonic split.

## 20

## Proof of lemma



### Bitonic merge

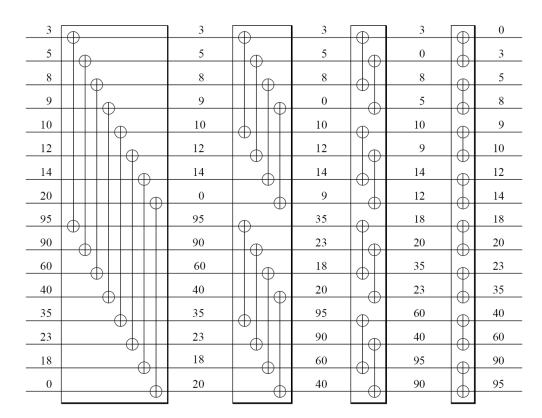
- Given a bitonic sequence S, a bitonic split "sorts" S in the sense that the first half of S is ≤ the second half of S after the split.
- Now we can split each half recursively, to sort more finely, into quarters.
- Finally, after we split down to sequences of size 1, the entire sequence is sorted in nondecreasing order.
  - □ I.e. bitonic merge takes a bitonic sequence and converts it to a sorted one.

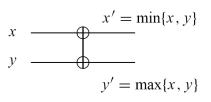
3	5	8	9	10	12	14	20	95	90	60	40	35	23	18	0
							0								
3	5	8	0	10	12	14	9	35	23	18	20	95	90	60	40
3	0	8	5	10	9	14	12	18	20	35	23	60	40	95	90
0	3	5	8	9	10	12	12   14	18	20	23	35	40	60	90	95

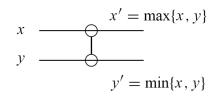


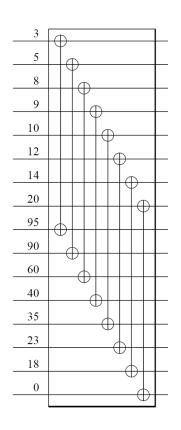
## Sorting networks

- The split operation only requires finding max and min of two values. Can do this using a max or min comparator.
- Can implement a split in parallel using multiple comparators.
- Can implement a merge of a size n bitonic sequence using log n stages of split. So bitonic merge takes O(log n) time.







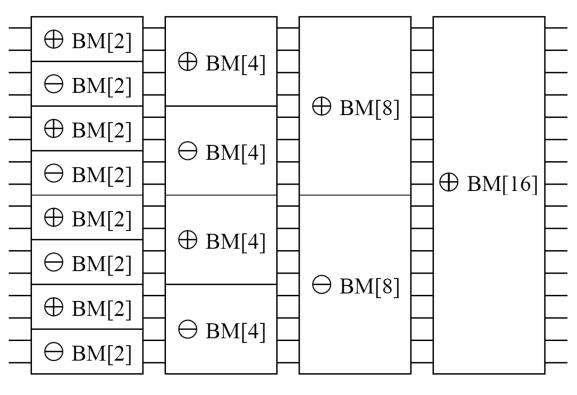




#### Bitonic sort

- We can bitonic merge to either an increasing or decreasing sequence.
  - $\square$  Call these BM $\oplus$  and BM $\ominus$ .
- To sort an arbitrary size n sequence
  - ☐ First, convert it to a bitonic sequence, with each part of size n/2.
  - □ Do bitonic merge on the sequences.
- To convert the sequence to a bitonic one
  - □ Divide the sequence in half.
  - Sort the first half in increasing order.
  - □ Sort the second half in decreasing order.
  - □ Each sort is done recursively.
  - When we reach sequence of size 2, it's automatically bitonic.

#### Bitonic sort network



- There are log n bitonic merges.
- Each bitonic merge takes ≤ log n time.
- Bitonic merge takes O(log² n) parallel time total.
- Not work efficient, since total work is O(n log² n).
- Work efficient sorting networks exist, e.g. the AKS network, but have high constant factors and aren't practical.

## Sample sort

- Given p processors to sort n numbers, ideally each processor sorts n/p numbers.
- To do this, pick p-1 pivots, say  $t_1 < t_2 < ... < t_{p-1}$ . Let  $t_0 = m$  and  $t_p = M$ , where m and M are min and max intputs.
  - □ Form p buckets, where i'th bucket contains all inputs between t<sub>i-1</sub> and t<sub>i</sub>.
  - □ Send i'th bucket to i'th processor to sort locally.
  - If S is the max bucket size, sorting takes O(S log S) parallel time.
- Main problem with this approach is buckets unlikely to be even.
  - □ For example, if pick the pivots randomly, it's likely  $S = \Theta(n \log n / p)$ , so sorting takes  $\Theta(n^2 \log n / p)$  instead of optimal  $\Theta(n \log n / p)$ .

# 10

## Sample sort

- Sample sort evens out the bucket sizes, so  $S = \Theta(n/p)$ .
  - $\square$  Sample  $r = \lambda p$  random elements, for  $\lambda > 1$  given later.
  - $\square$  Sort the sampled elements and pick every  $\lambda$ 'th sample as a pivot, producing p pivots.
  - ☐ Use the pivots to form buckets, as earlier.
- Thm If  $\lambda$ =12 In(n), then no bucket is larger than 4n/p with probability at least 1-1/n<sup>2</sup>.
  - Proof based on Chernoff bound, which bounds probability a sum of independent random variables deviates substantially from its expectation.
- Sample sort runs in  $\Theta(n \log n / p)$  with high probability.
- It also has low communication complexity, since it only needs to broadcast the pivots and communicate to form the buckets.