# CS101 Algorithms and Data Structures

Greedy Algorithm
Algorithm Design Ch 4.1 4.2

#### Outline

- Coin changing
- Interval scheduling
- Interval partitioning
- Scheduling to minimize lateness
- Optimal caching

## Greedy algorithms

## Suppose it is possible to build a solution through a sequence of partial solutions

- At each step, we focus on one particular partial solution and we attempt to extend that solution
- Ultimately, the partial solutions should lead to a feasible solution which is also optimal

#### Consider this commonplace example:

- Making the exact change with the minimum number of coins
- Consider the Euro denominations of 1, 2, 5, 10, 20, 50 cents
- Stating with an empty set of coins, add the largest coin possible into the set which does not go over the required amount



#### To make change for €0.72:

Start with €0.50





Total €0.50

#### To make change for €0.72:

- Start with €0.50
- Add a €0.20





Total €0.70

### To make change for €0.74:

- Start with €0.50
- Add a €0.20
- Skip the €0.10 and the €0.05 but add a €0.02





Total €0.72

## Notice that each digit can be worked with separately

- The maximum number of coins for any digit is three
- Thus, to make change for anything less than €1 requires at most six coins
- The solution is optimal



### Does this strategy always work?

What if our coin denominations grow quadraticly?
 Consider 1, 4, 9, 16, 25, 36, and 49 dumbledores



Reference: J.K. Rowlings, Harry Potter, Raincoast Books, 1997.

Using our algorithm, to make change for 72 dumbledores, we require six coins:

$$72 = 49 + 16 + 4 + 1 + 1 + 1$$





The optimal solution, however, is two 36 dumbledore coins





#### **Definition**

#### A greedy algorithm is an algorithm which has:

- A set of partial solutions from which a solution is built
- An objective function which assigns a value to any partial solution

#### Then given a partial solution, we

- Consider possible extensions of the partial solution
- Discard any extensions which are not feasible
- Choose that extension which minimizes the object function

This continues until some criteria has been reached

### Optimal example

#### Prim's algorithm is a greedy algorithm:

- Any connected sub-graph of k vertices and k-1 edges is a partial solution
- The value to any partial solution is the sum of the weights of the edges

#### Then given a partial solution, we

- Add that edge which does not create a cycle in the partial solution and which minimizes the increase in the total weight
- We continue building the partial solution until the partial solution has n vertices
- An optimal solution is found

### Optimal and sub-optimal examples

#### Our coin change example is greedy:

- Any subset of k coins is a partial solution
- The value to any partial solution is the sum of the values

#### Then given a partial solution, we

 Add that coin which maximizes the increase in value without going over the target value

We continue building the set of coins until we have reached the target value

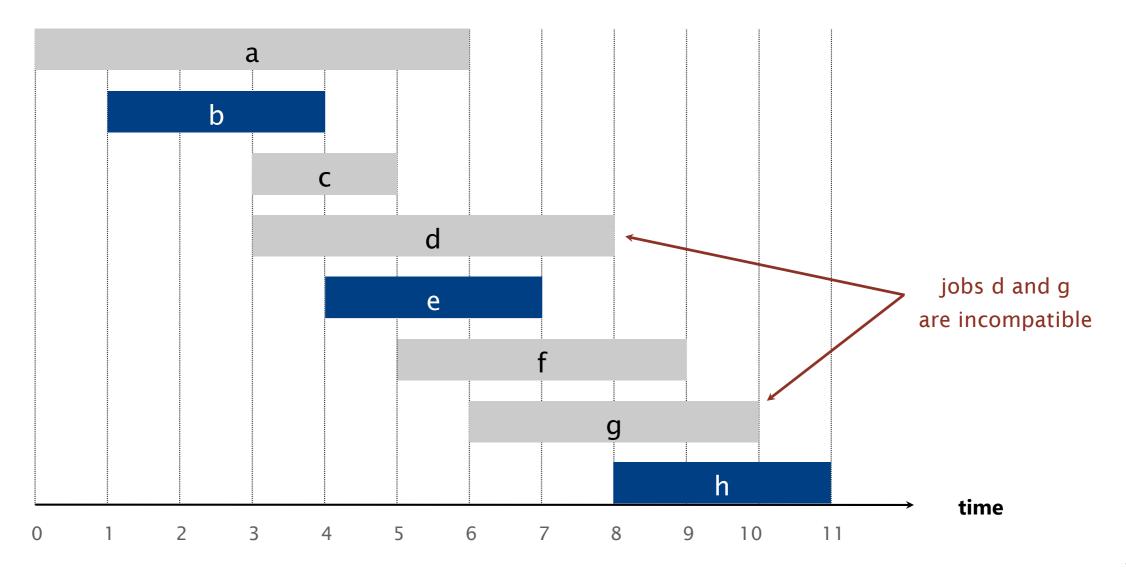
An optimal solution is found with euros and cents, but not with our *quadratic* dumbledore coins

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#### Interval scheduling

- Job j starts at  $s_j$  and finishes at  $f_j$ .
- Two jobs are compatible if they don't overlap.
- Goal: find maximum subset of mutually compatible jobs.



#### Interval scheduling: greedy algorithms

Greedy template. Consider jobs in some natural order.

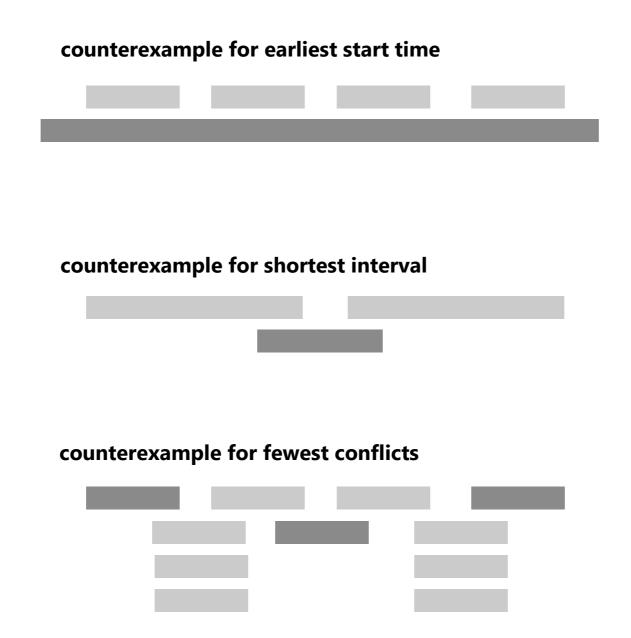
Take each job provided it's compatible with the ones already taken.

- [Earliest start time] Consider jobs in ascending order of  $s_i$ .
- [Earliest finish time] Consider jobs in ascending order of  $f_i$ .
- [Shortest interval] Consider jobs in ascending order of  $f_j s_j$ .
- [Fewest conflicts] For each job j, count the number of conflicting jobs  $c_j$ . Schedule in ascending order of  $c_j$ .

#### Interval scheduling: greedy algorithms

Greedy template. Consider jobs in some natural order.

Take each job provided it's compatible with the ones already taken.



#### Interval scheduling: earliest-finish-time-first algorithm

EARLIEST-FINISH-TIME-FIRST 
$$(n, s_1, s_2, ..., s_n, f_1, f_2, ..., f_n)$$

SORT jobs by finish times and renumber so that  $f_1 \leq f_2 \leq \ldots \leq f_n$ .

$$S \leftarrow \emptyset$$
.  $\leftarrow$  set of jobs selected

FOR 
$$j = 1$$
 TO  $n$ 

IF (job *j* is compatible with *S*)

$$S \leftarrow S \cup \{ j \}.$$

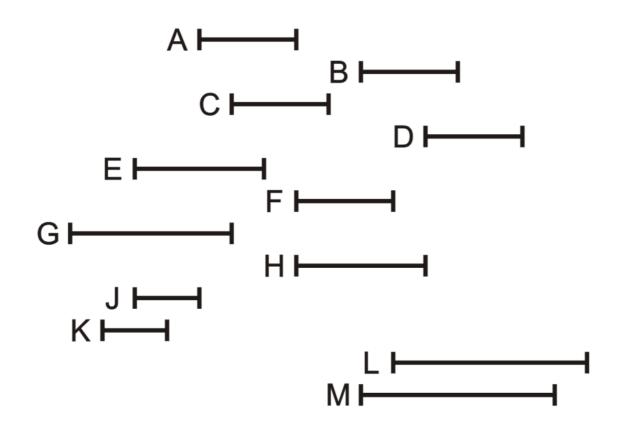
RETURN S.

Proposition. Can implement earliest-finish-time first in  $O(n \log n)$  time.

- Keep track of job  $j^*$  that was added last to S.
- Job j is compatible with S iff  $s_j \ge f_{j^*}$ .
- Sorting by finish times takes  $O(n \log n)$  time.

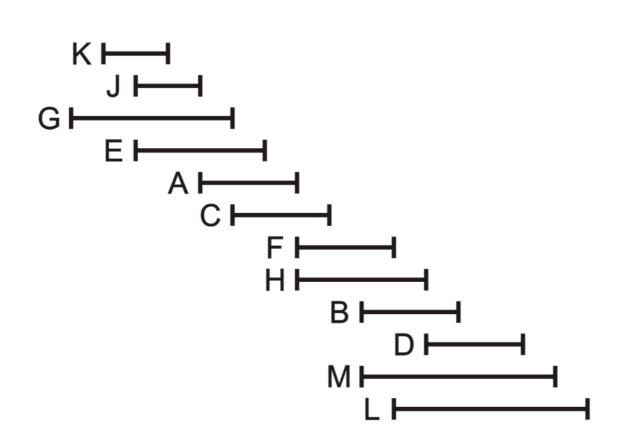
# Consider the following list of 12 processes together with the time interval during which they must be run

 Find the schedule with the earliestdeadline-first greedy algorithm



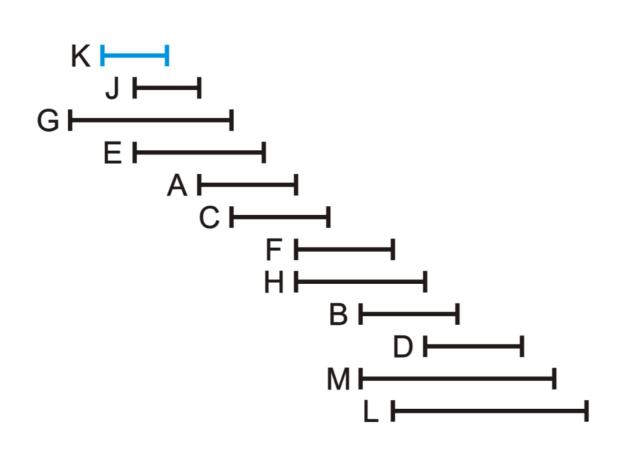
Process	Interval
Α	5 – 8
В	10 - 13
С	6 - 9
D	12 - 15
E	3 - 7
F	8 - 11
G	1 - 6
Н	8 - 12
J	3 - 5
K	2 - 4
L	11 - 16
M	10 - 15

In order to simplify this, sort the processes on their end times



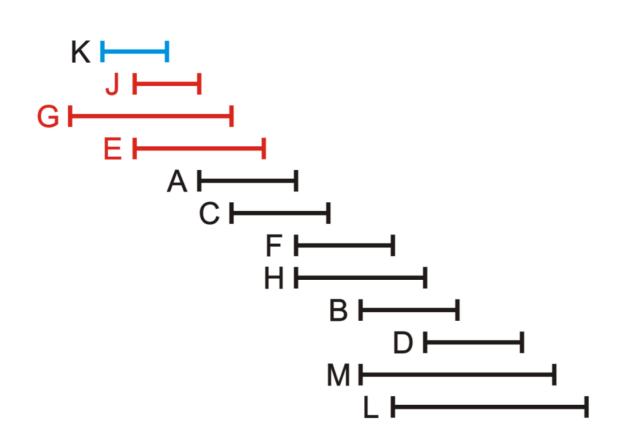
rocess	Interval
K	2 - 4
J	3 - 5
G	1 - 6
E	3 - 7
Α	5 – 8
С	6 - 9
F	8 - 11
Н	8 - 12
В	10 - 13
D	12 - 15
M	10 - 15
1	11 - 16

#### To begin, choose Process K



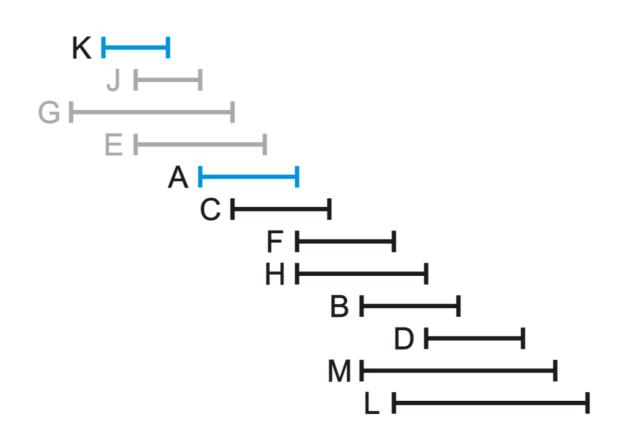
Process	Interval
K	2 - 4
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E	3 - 7
Α	5 – 8
С	6 - 9
F	8 - 11
Н	8 - 12
В	10 - 13
D	12 - 15
M	10 - 15
L	11 - 16

## At this point, Process J, G and E can no longer be run



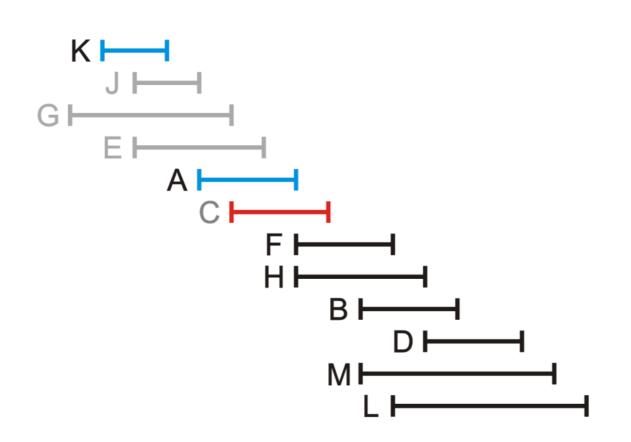
rocess	Interv	/al
K	2 -	4
J	3 -	5
G	1 -	6
E	3 -	7
Α	5 –	8
С	6 -	9
F	8 -	11
Н	8 -	12
В	10 -	13
D	12 -	15
M	10 -	15
L	11 -	16

#### Next, run Process A



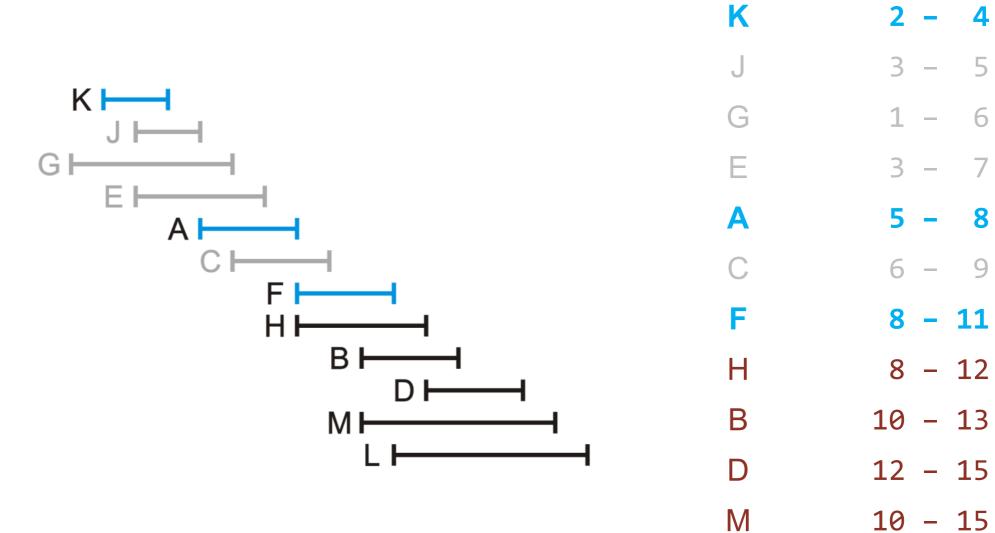
Process	Interval
K	2 - 4
J	3 – 5
G	1 - 6
Е	3 - 7
A	5 - 8
С	6 - 9
F	8 - 11
Н	8 - 12
В	10 - 13
D	12 - 15
M	10 - 15
L	11 - 16

#### We can no longer run Process C



Process	Inte	rval
K	2 -	4
J	3 -	- 5
G	1 -	- 6
Е	3 -	7
A	5 -	8
C	6 -	. 9
F	8 -	11
Н	8 -	12
В	10 -	13
D	12 -	15
M	10 -	15
1	11 _	. 16

#### Next, we can run Process F

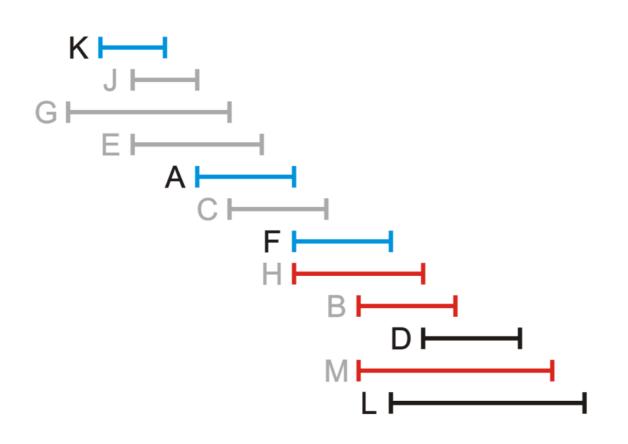


**Process** 

Interval

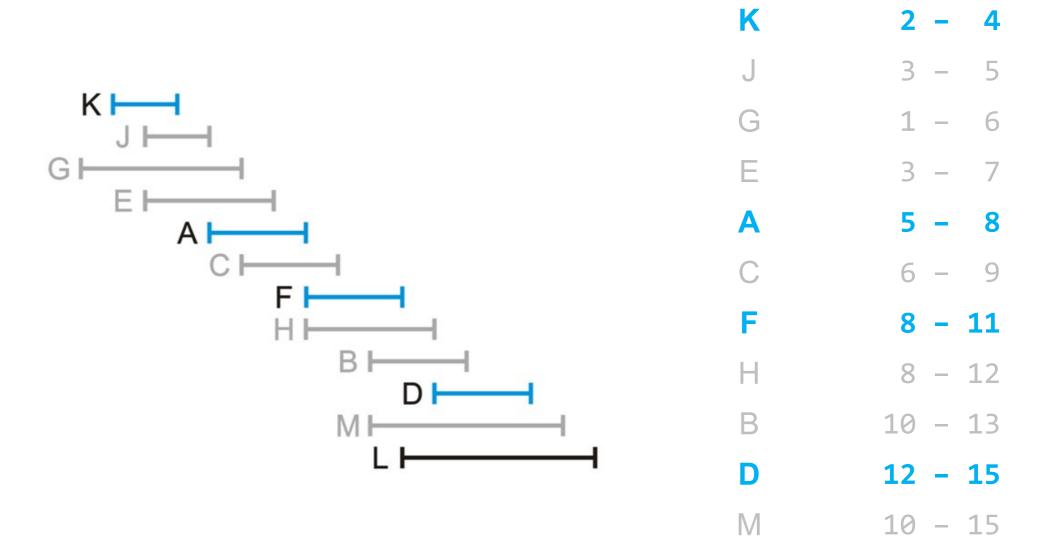
11 - 16

## This restricts us from running Processes H, B and M



Process	Interval
K	2 - 4
J	3 – 5
G	1 - 6
Е	3 – 7
A	5 - 8
С	6 - 9
F	8 - 11
Н	8 - 12
В	10 - 13
D	12 - 15
M	10 - 15
1	11 - 16

#### The next available process is D



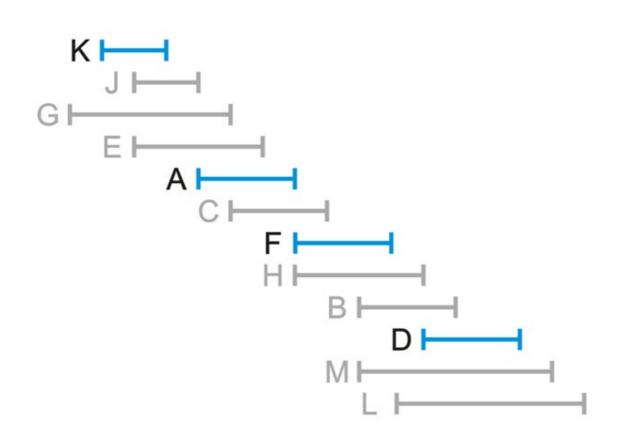
**Process** 

Interval

11 - 16

#### The prevents us from running Process L

We are therefore finished



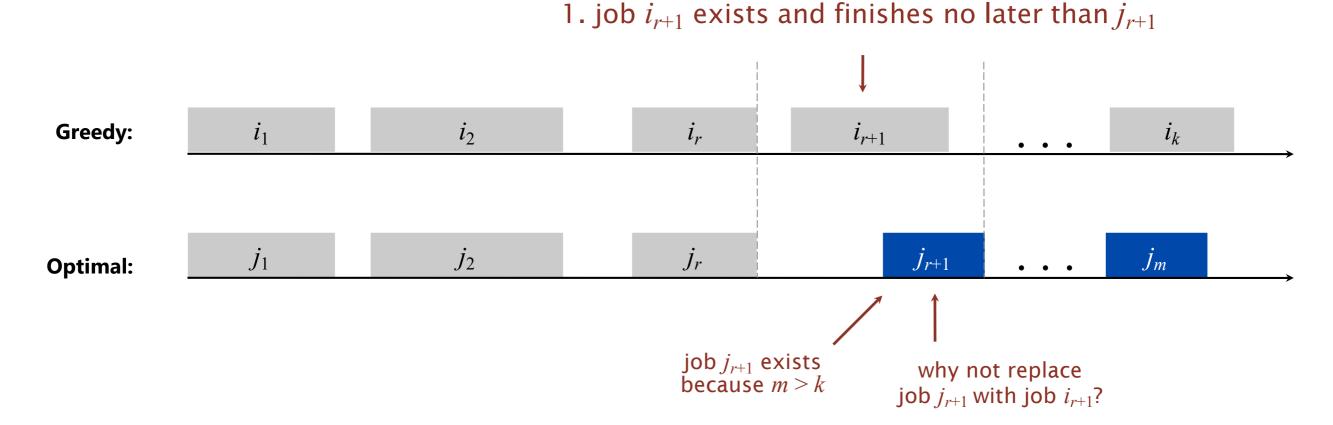
Process	Interval
K	2 - 4
J	3 - 5
G	1 - 6
Е	3 - 7
A	5 - 8
С	6 - 9
F	8 - 11
Н	8 - 12
В	10 - 13
D	12 - 15
M	10 - 15
ı	11 _ 16

#### Interval scheduling: analysis of earliest-finish-time-first algorithm

Theorem. The earliest-finish-time-first algorithm is optimal.

#### Pf. [by contradiction]

- Assume greedy is not optimal, and let's see what happens.
- Let  $i_1$ ,  $i_2$ , ...  $i_k$  denote set of jobs selected by greedy.
- Let  $j_1, j_2, ..., j_m$  denote set of jobs in an optimal solution with  $i_1 = j_1, i_2 = j_2, ..., i_r = j_r$  for the largest possible value of r.

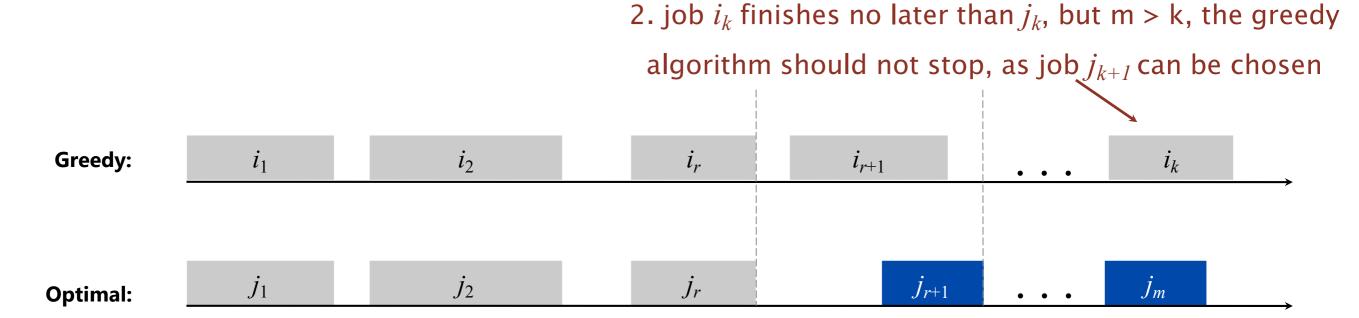


#### Interval scheduling: analysis of earliest-finish-time-first algorithm

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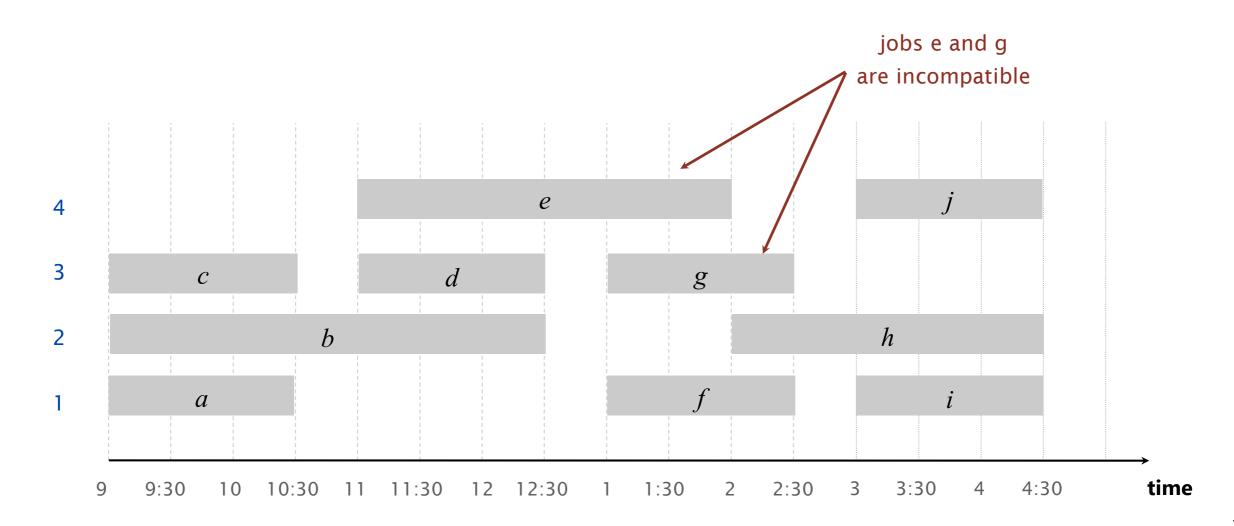
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#### Interval partitioning

- Lecture j starts at  $s_j$  and finishes at  $f_j$ .
- Goal: find minimum number of classrooms to schedule all lectures so that no two lectures occur at the same time in the same room.

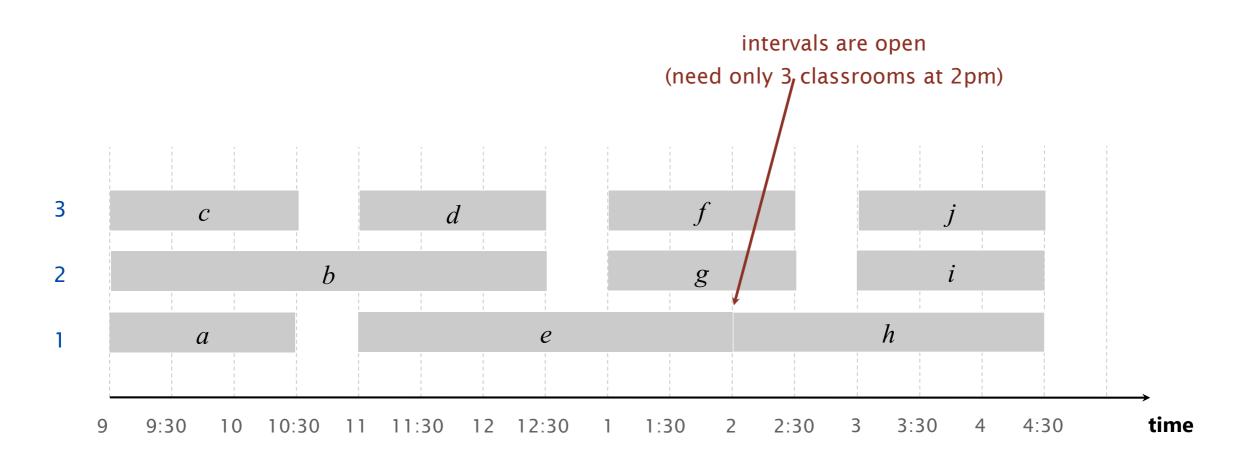
Ex. This schedule uses 4 classrooms to schedule 10 lectures.



#### Interval partitioning

- Lecture j starts at  $s_j$  and finishes at  $f_j$ .
- Goal: find minimum number of classrooms to schedule all lectures so that no two lectures occur at the same time in the same room.

Ex. This schedule uses 3 classrooms to schedule 10 lectures.



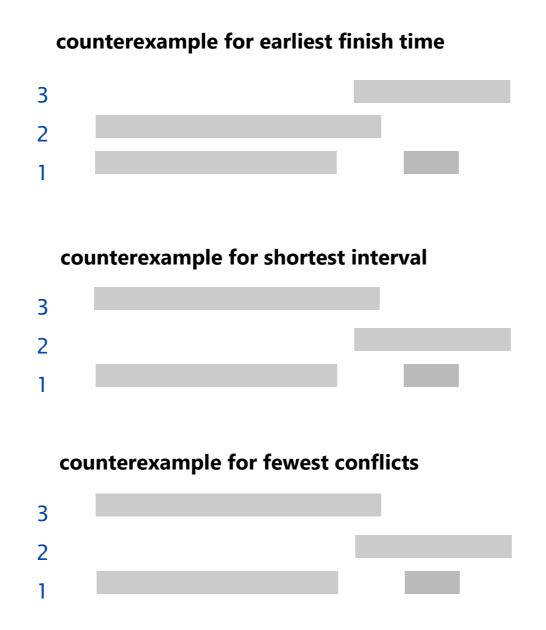
#### Interval partitioning: greedy algorithms

Greedy template. Consider lectures in some natural order. Assign each lecture to an available classroom (which one?); allocate a new classroom if none are available.

- [Earliest start time] Consider lectures in ascending order of  $s_j$ .
- [Earliest finish time] Consider lectures in ascending order of  $f_i$ .
- [Shortest interval] Consider lectures in ascending order of  $f_i s_j$ .
- [Fewest conflicts] For each lecture j, count the number of conflicting lectures  $c_i$ . Schedule in ascending order of  $c_i$ .

#### Interval partitioning: greedy algorithms

Greedy template. Consider lectures in some natural order. Assign each lecture to an available classroom (which one?); allocate a new classroom if none are available.



### Interval partitioning: earliest-start-time-first algorithm

EARLIEST-START-TIME-FIRST 
$$(n, s_1, s_2, ..., s_n, f_1, f_2, ..., f_n)$$

SORT lectures by start times and renumber so that  $s_1 \le s_2 \le ... \le s_n$ .  $d \leftarrow 0$ . — number of allocated classrooms

FOR  $j = 1$  TO  $n$ 

IF (lecture  $j$  is compatible with some classroom)

Schedule lecture  $j$  in any such classroom  $k$ .

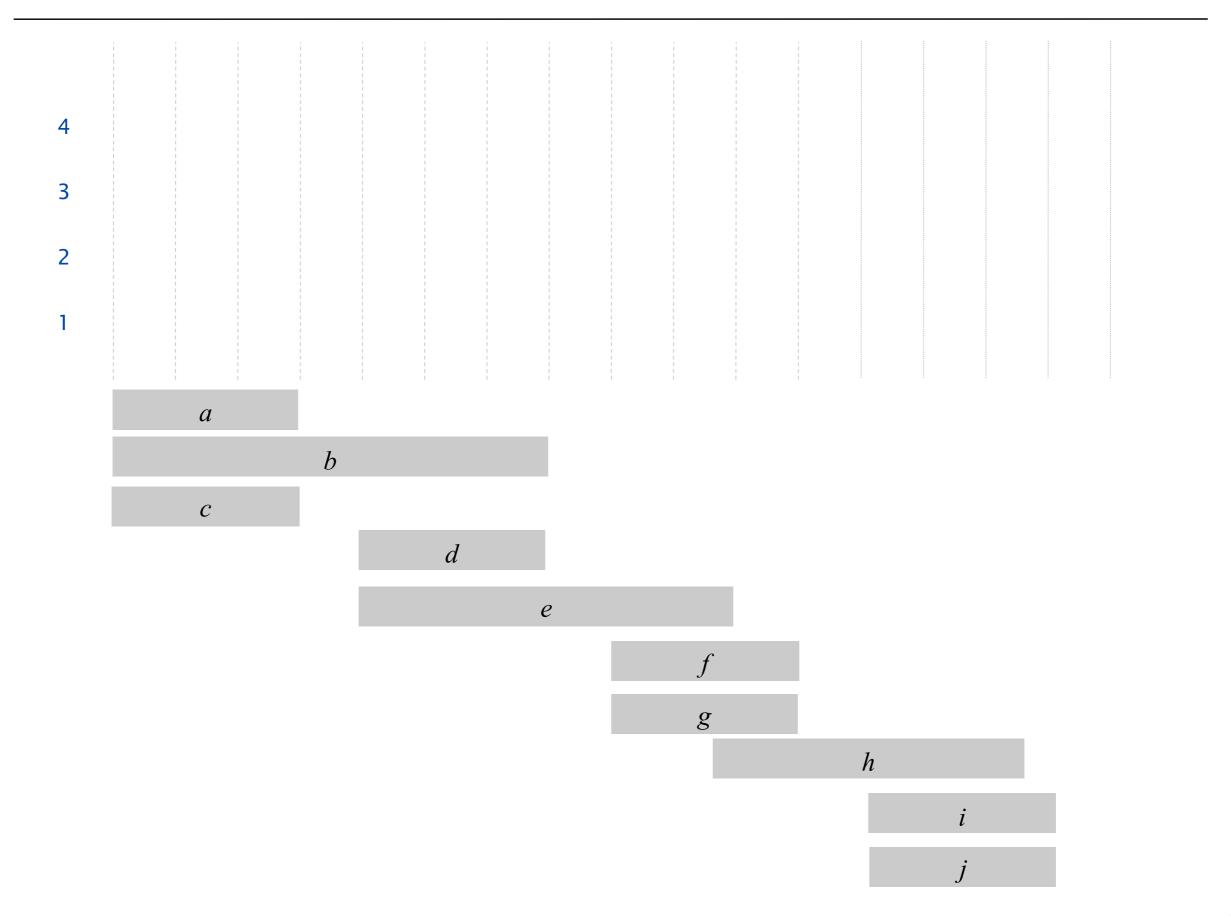
ELSE

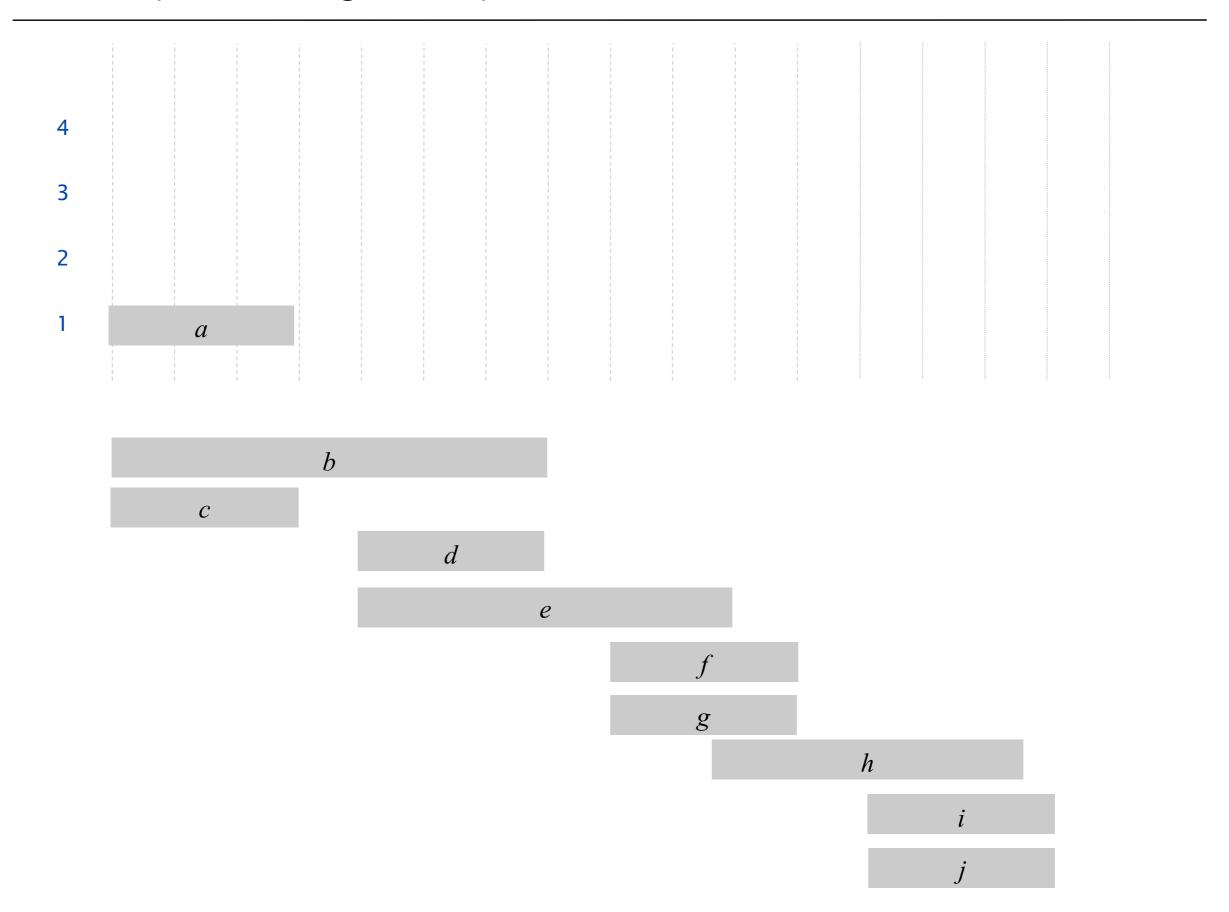
Allocate a new classroom  $d + 1$ .

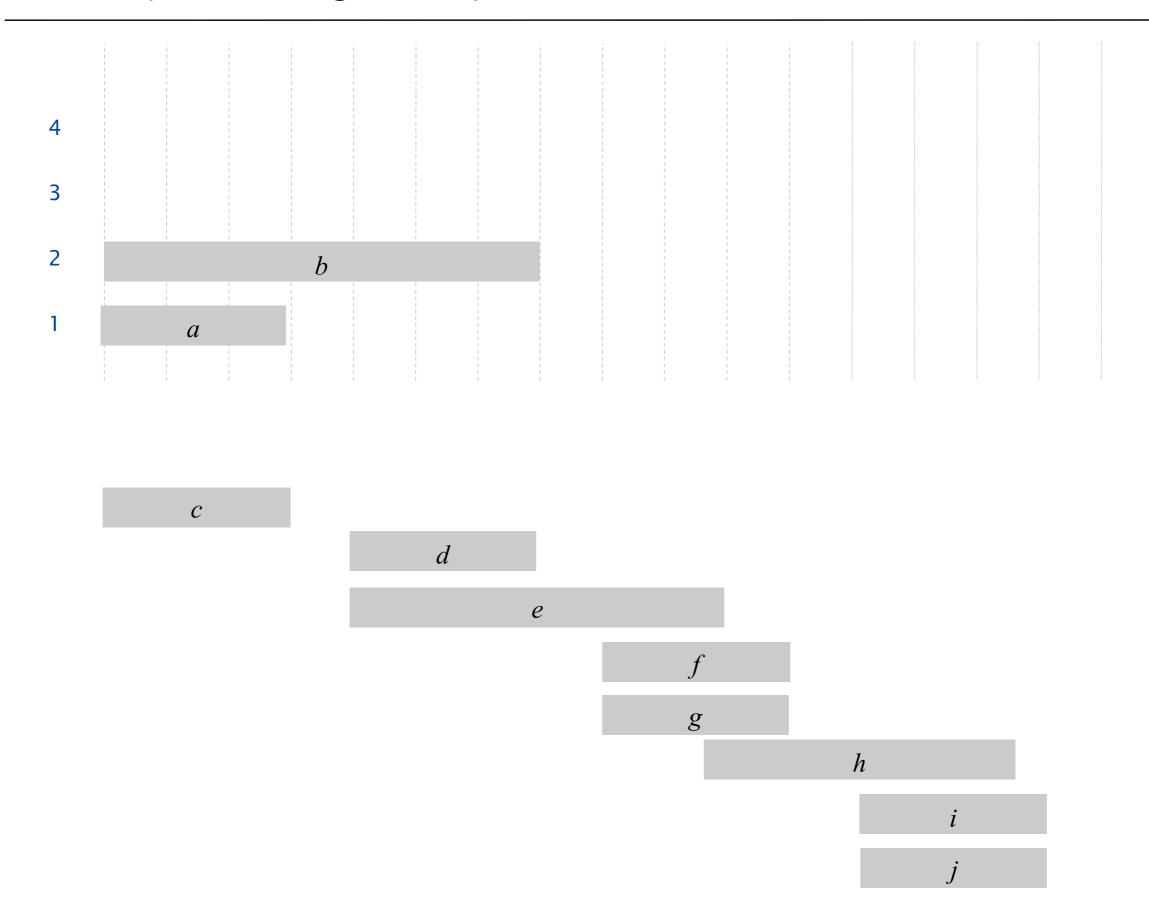
Schedule lecture  $j$  in classroom  $d + 1$ .

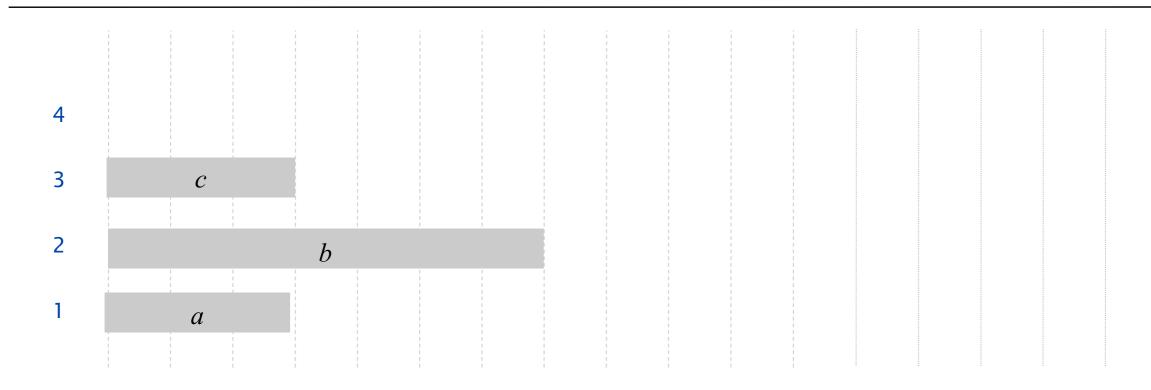
 $d \leftarrow d + 1$ .

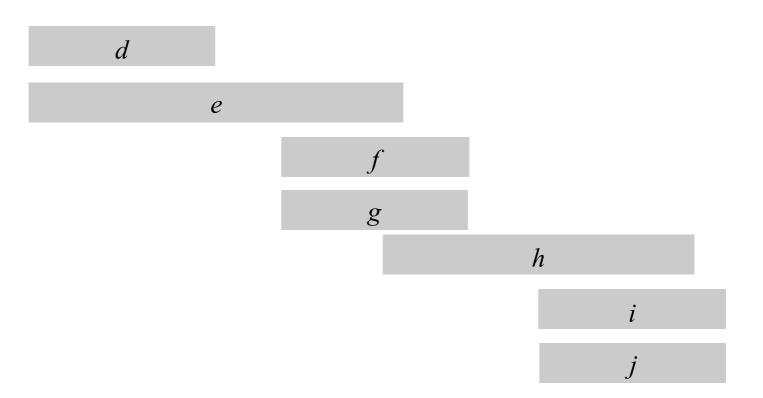
RETURN schedule.

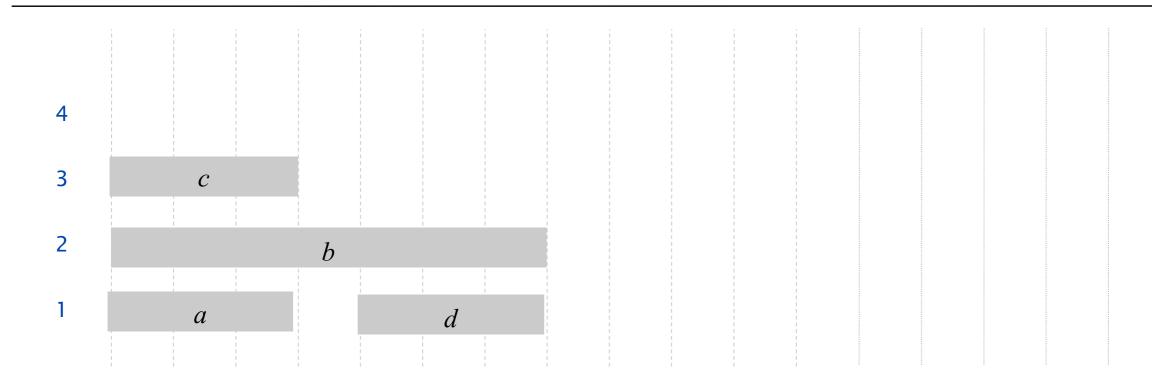


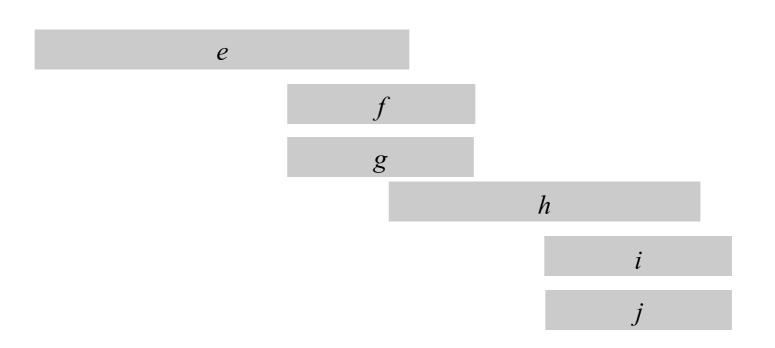


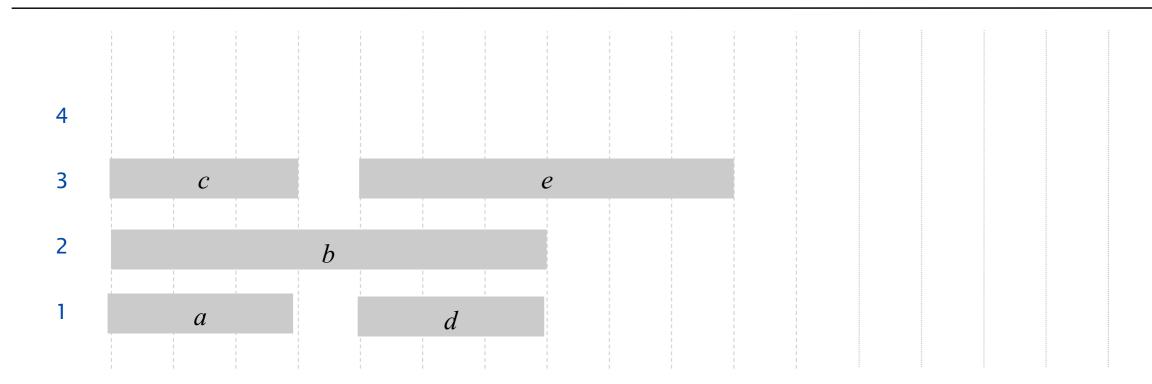


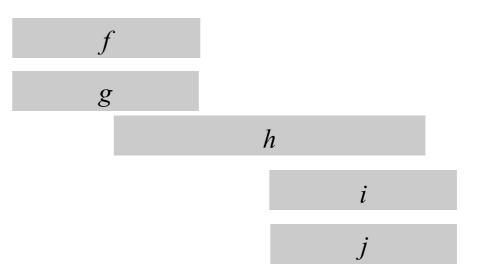


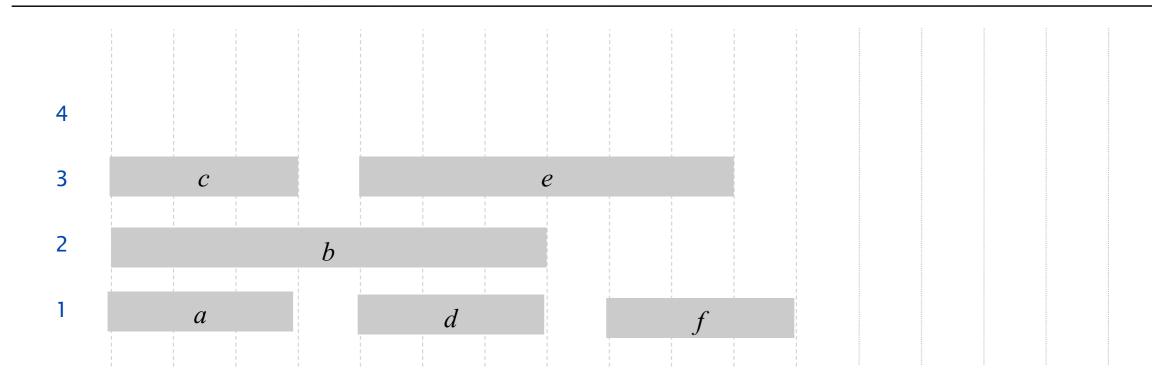


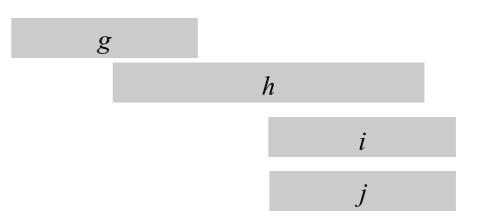


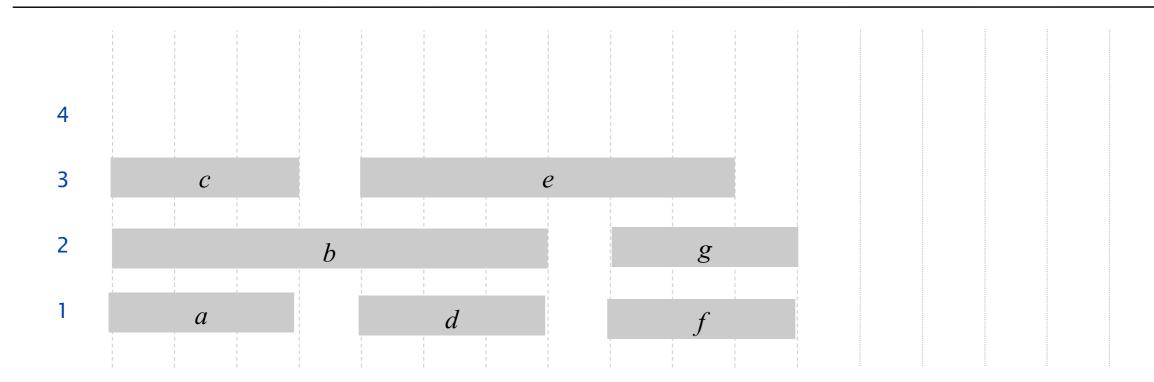


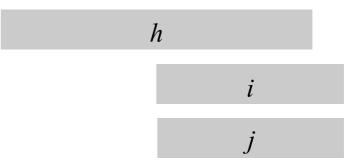


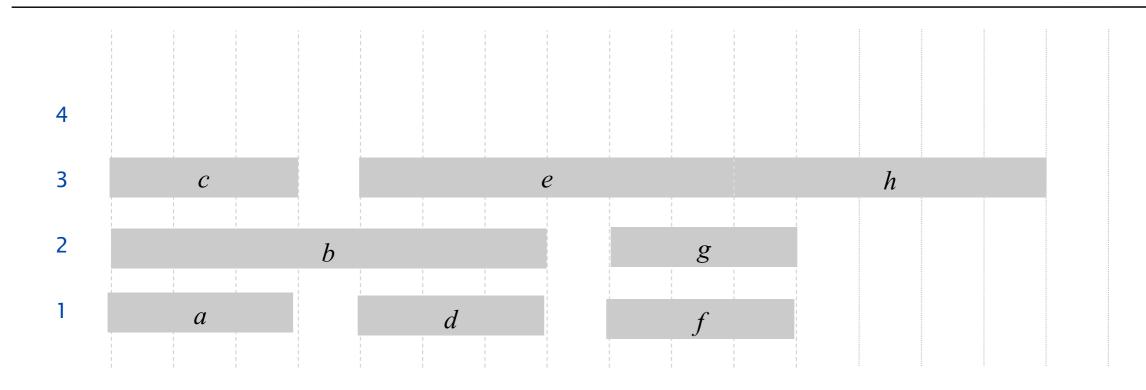




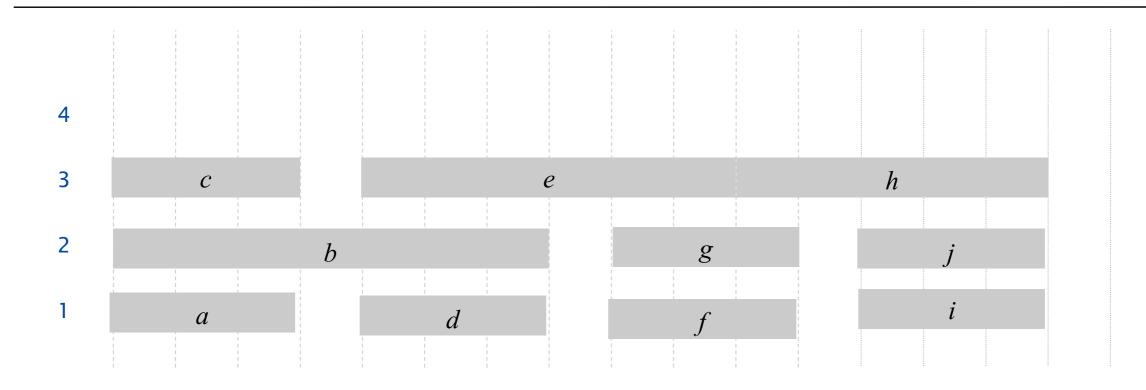








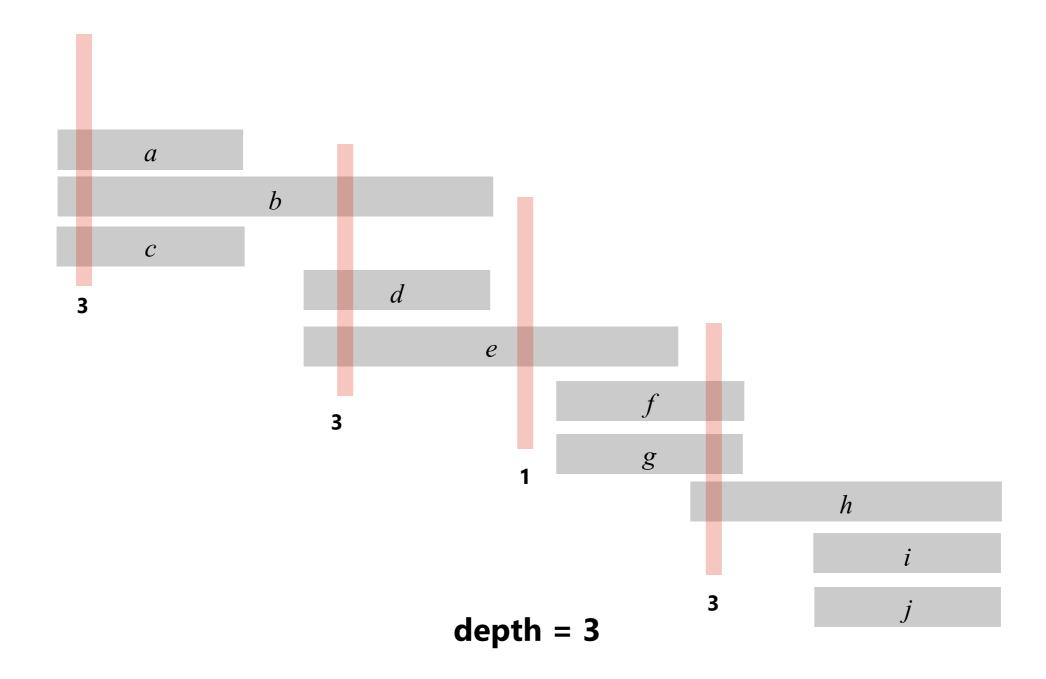
i j



### Interval partitioning: lower bound on optimal solution

Def. The depth of a set of open intervals is the maximum number of intervals that contain any given point.

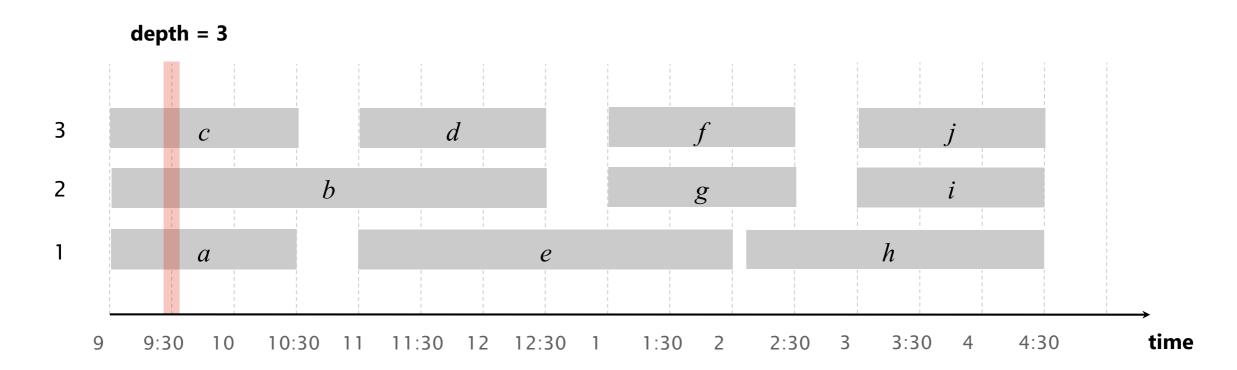
Key observation. Number of classrooms needed  $\geq$  depth.



Def. The depth of a set of open intervals is the maximum number of intervals that contain any given point.

Key observation. Number of classrooms needed ≥ depth.

- Q. Does minimum number of classrooms needed always equal depth?
- A. Yes! Moreover, earliest-start-time-first algorithm finds a schedule whose number of classrooms equals the depth.



Interval partitioning: analysis of earliest-start-time-first algorithm

Observation. The earliest-start-time first algorithm never schedules two incompatible lectures in the same classroom.

Theorem. Earliest-start-time-first algorithm is optimal. Pf.

- Let d = number of classrooms that the algorithm allocates.
- Classroom d is opened because we needed to schedule a lecture, say j, that is incompatible with a lecture in each of d-1 other classrooms.
- Thus, these d lectures each end after  $s_i$ .
- Since we sorted by start time, each of these incompatible lectures start no later than  $s_i$ .
- Thus, we have *d* lectures overlapping at time  $s_j + \varepsilon$ .
- Key observation  $\Rightarrow$  all schedules use  $\geq d$  classrooms. •

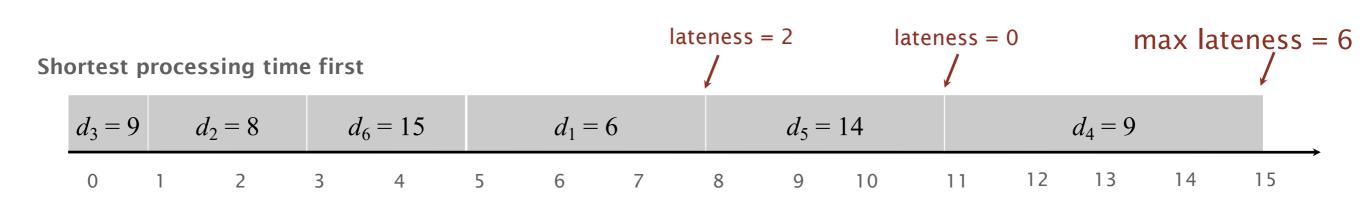
### Outline

- Coin changing
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- Scheduling to minimize lateness
- Optimal caching

### Scheduling to minimizing lateness

- Single resource processes one job at a time.
- Job j requires  $t_j$  units of processing time and is due at time  $d_j$ .
- If j starts at time  $s_j$ , it finishes at time  $f_j = s_j + t_j$ .
- Lateness:  $\ell_i = \max \{0, f_i d_i\}$ .
- Goal: schedule all jobs to minimize maximum lateness  $L = \max_{i} \ell_{i}$ .

	1	2	3	4	5	6
$t_{j}$	3	2	1	4	3	2
$d_{j}$	6	8	9	9	14	15



#### Minimizing lateness: greedy algorithms

Greedy template. Schedule jobs according to some natural order.

• [Shortest processing time first] Schedule jobs in ascending order of processing time  $t_i$ .

counterexample	2	1		
•	10	1	tj	
	10	100	dj	

• [Smallest slack] Schedule jobs in ascending order of slack  $d_j - t_j$ .

	2	1	
counterexample	10	1	tj
	10	2	dj

### Minimizing lateness: earliest deadline first

EARLIEST-DEADLINE-FIRST  $(n, t_1, t_2, ..., t_n, d_1, d_2, ..., d_n)$ 

SORT jobs by due times and renumber so that  $d_1 \leq d_2 \leq \ldots \leq d_n$ .

$$t \leftarrow 0$$
.

FOR 
$$j = 1$$
 TO  $n$ 

Assign job j to interval  $[t, t + t_j]$ .

$$s_j \leftarrow t$$
;  $f_j \leftarrow t + t_j$ .

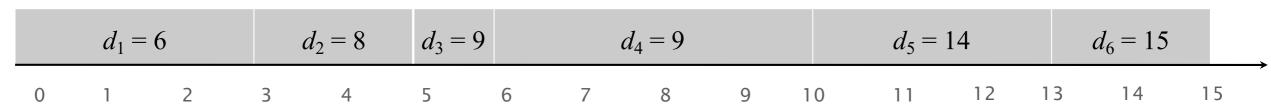
$$t \leftarrow t + t_{j}$$
.

RETURN intervals  $[s_1, f_1], [s_2, f_2], ..., [s_n, f_n].$ 

		1	2	3	4	5	6
ı	$t_{j}$	3	2	1	4	3	2
	$d_{j}$	6	8	9	9	14	15

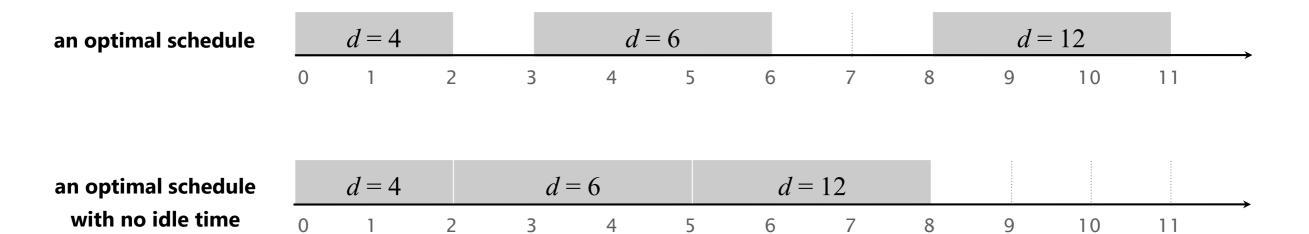
Earliest deadline time first





### Minimizing lateness: no idle time

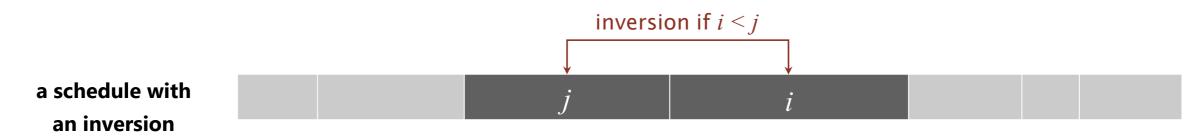
Observation 1. There exists an optimal schedule with no idle time.



Observation 2. The earliest-deadline-first schedule has no idle time.

### Minimizing lateness: inversions

Def. Given a schedule S, an inversion is a pair of jobs i and j such that: i < j but j is scheduled before i.



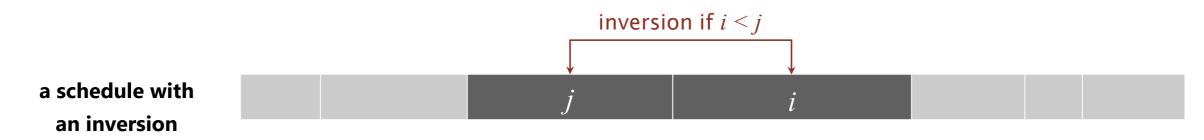
recall: we assume the jobs are numbered so that  $d_1 \le d_2 \le ... \le d_n$ 

Observation 3. The earliest-deadline-first schedule is the unique idle-free schedule with no inversions.



### Minimizing lateness: inversions

Def. Given a schedule S, an inversion is a pair of jobs i and j such that: i < j but j is scheduled before i.



recall: we assume the jobs are numbered so that  $d_1 \le d_2 \le ... \le d_n$ 

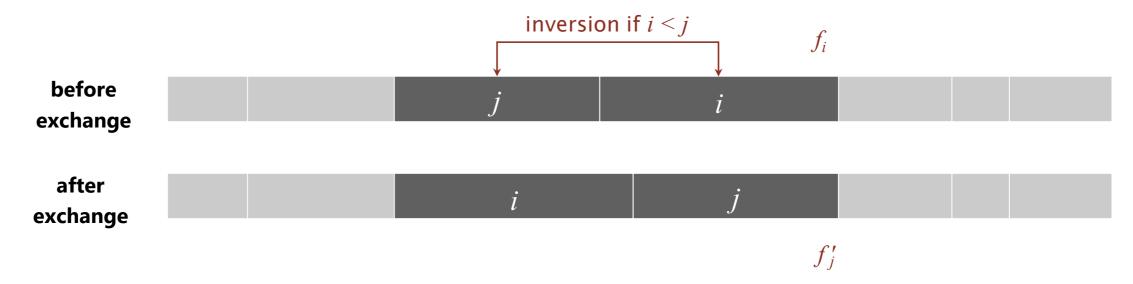
Observation 4. If an idle-free schedule has an inversion, then it has an adjacent inversion.

Pf. two inverted jobs scheduled consecutively

- Let i-j be a closest inversion.
- Let k be element immediately to the right of j.
- Case 1. [j > k] Then j-k is an adjacent inversion.
- Case 2. [j < k] Then i-k is a closer inversion since i < j < k. X



Def. Given a schedule S, an inversion is a pair of jobs i and j such that: i < j but j is scheduled before i.



Key claim. Exchanging two adjacent, inverted jobs i and j reduces the number of inversions by 1 and does not increase the max lateness. Pf. Let  $\ell$  be the lateness before the swap, and let  $\ell'$  be it afterwards.

- $\ell'_k = \ell_k$  for all  $k \neq i, j$ .
- $\ell'_i \leq \ell_i$

• If job 
$$j$$
 is late,  $\ell'_j = f'_j - d_j \longleftrightarrow_{\text{definition}}$ 

$$= f_i - d_j \longleftrightarrow_{j \text{ now finishes at time } f_i}$$

$$\leq f_i - d_i \longleftrightarrow_{i < j} \Rightarrow d_i \leq d_j$$

$$\leq \ell_i \longleftrightarrow_{\text{definition}}$$

### Minimizing lateness: analysis of earliest-deadline-first algorithm

Theorem. The earliest-deadline-first schedule *S* is optimal.

#### Pf. [by contradiction]

optimal schedule can

have inversions

Define  $S^*$  to be an optimal schedule with the fewest inversions.

- Can assume  $S^*$  has no idle time.  $\longleftarrow$  Observation 1
- Case 1. [ $S^*$  has no inversions] Then  $S = S^*$ .  $\longleftarrow$  Observation 3
- Case 2. [ S\* has an inversion ]
  - let i-j be an adjacent inversion  $\longleftarrow$  Observation 4
  - exchanging jobs i and j decreases the number of inversions by 1 without increasing the max lateness  $\longleftarrow$  key claim
  - contradicts "fewest inversions" part of the definition of  $S^*$   $\times$

#### Greedy analysis strategies

Greedy algorithm stays ahead. Show that after each step of the greedy algorithm, its solution is at least as good as any other algorithm's.

Structural. Discover a simple "structural" bound asserting that every possible solution must have a certain value. Then show that your algorithm always achieves this bound.

Exchange argument. Gradually transform any solution to the one found by the greedy algorithm without hurting its quality.

Other greedy algorithms. Kruskal, Dijkstra, Huffman, ...

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#### Optimal offline caching

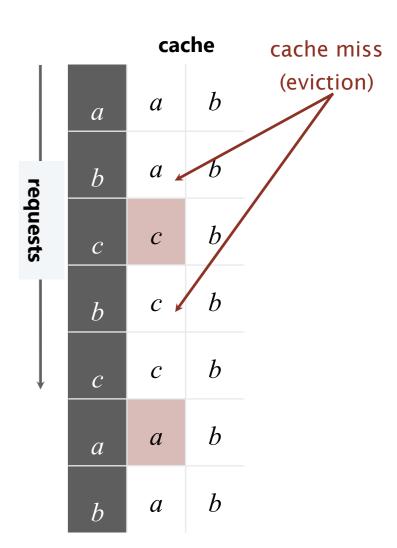
#### Caching.

- Cache with capacity to store k items.
- Sequence of *m* item requests  $d_1, d_2, ..., d_m$ .
- Cache hit: item in cache when requested.
- Cache miss: item not in cache when requested.
   (must evict some item from cache and bring requested item into cache)

Applications. CPU, RAM, hard drive, web, browser, ....

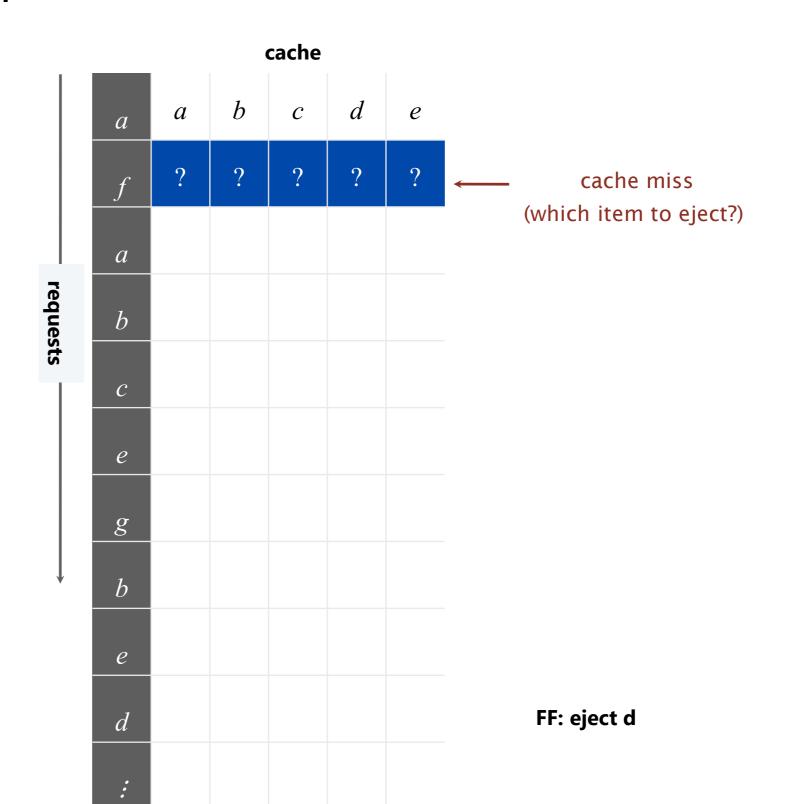
Goal. Eviction schedule that minimizes the number of evictions.

Ex. k = 2, initial cache = ab, requests: a, b, c, b, c, a, b. Optimal eviction schedule. 2 evictions.



Optimal offline caching: farthest-in-future (clairvoyant algorithm)

Farthest-in-future. Evict item in the cache that is not requested until farthest in the future.



Def. A reduced schedule is a schedule that brings an item d into the cache in step j only if there is a request for d in step j and d is not already in the cache.

а	a	b	c		а	a	b	С
а	a	b	c	d enters cache without a request	а	а	b	С
С	a	d	c	Without a request	С	а	b	С
d	а	d	c		d	a	d	С
а	а	С	b		а	a	d	С
b	а	С	b		b	a	d	b
С	а	С	b	d enters cache	c	а	С	b
d	d	c	b	even though already in cache	d	d	С	b
d	d	С	d		d	d	c	b

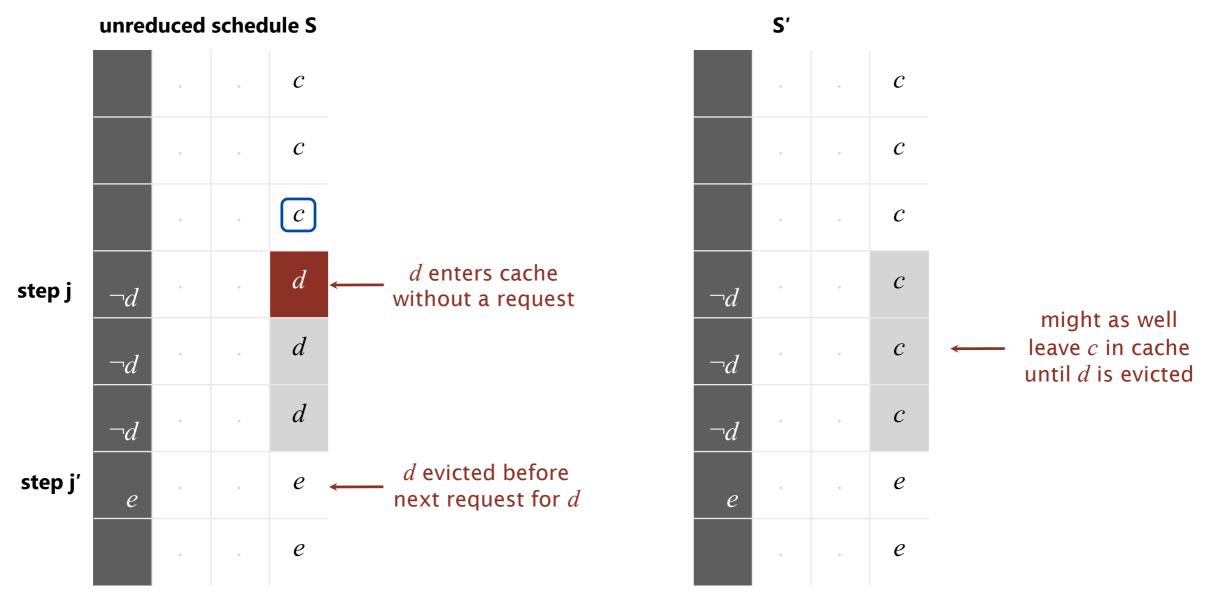
an unreduced schedule

a reduced schedule

#### Reduced eviction schedules

Claim. Given any unreduced schedule S, can transform it into a reduced schedule S' with no more evictions.

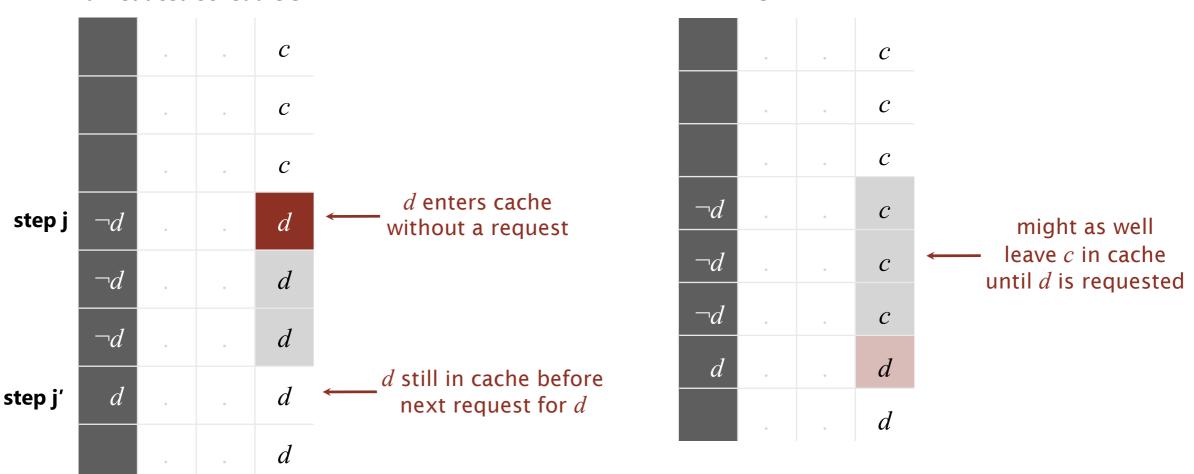
- **Pf.** [by induction on number of steps *j* ]
  - Suppose S brings d into the cache in step j without a request.
  - Let *c* be the item *S* evicts when it brings *d* into the cache.
  - Case 1a: *d* evicted before next request for *d*.



Claim. Given any unreduced schedule S, can transform it into a reduced schedule S' with no more evictions.

**Pf.** [by induction on number of steps *j* ]

- Suppose S brings d into the cache in step j without a request.
- Let c be the item S evicts when it brings d into the cache.
- Case 1a: d evicted before next request for d.
- Case 1b: next request for d occurs before d is evicted.
   unreduced schedule S



Claim. Given any unreduced schedule S, can transform it into a reduced schedule S' with no more evictions.

**Pf.** [by induction on number of steps *j* ]

- Suppose S brings d into the cache in step j even though d is in cache.
- Let *c* be the item *S* evicts when it brings *d* into the cache.
- Case 2a: d evicted before it is needed.

	unred	luced	sched	ule S			S'			
		$d_1$	а	c			$d_1$	а	С	
		$d_1$	a	С			$d_1$	а	С	
		$d_1$	а	c	$d_3$ enters cache even though $d_1$ is		$d_1$	а	c	mai mht a a vuall
step j	d	$d_1$	a	$d_3$	already in cache	d	$d_1$	a	c	$\leftarrow \qquad \text{might as well}$ $\text{leave } c \text{ in cache}$
	d	$d_1$	a	$d_3$	$\leftarrow$ $d_3$ not needed	d	$d_1$	а	c	until $d_3$ in evicted
	$\mathcal{C}$	С	a	$d_3$		С	c	а	С	
step j'	b	c	а	b	$\leftarrow$ $d_3$ evicted	b	С	a	b	
	d	C	a	$d_3$	$\leftarrow$ $d_3$ needed	d	c	a	$d_3$	

#### Reduced eviction schedules

Claim. Given any unreduced schedule S, can transform it into a reduced schedule S' with no more evictions.

#### **Pf.** [by induction on number of steps *j* ]

- Suppose S brings d into the cache in step j even though d is in cache.
- Let *c* be the item *S* evicts when it brings *d* into the cache.
- Case 2a: d evicted before it is needed.
- Case 2b: d needed before it is evicted.

	unred	unreduced schedule S						S'				
		$d_1$	а	c			$d_1$	а	c			
		$d_1$	a	c			$d_1$	a	c			
		$d_1$	а	c	$d_3$ enters cache		$d_1$	а	c			
step j	d	$d_1$	а	$d_3$	even though $d_1$ is already in cache	d	$d_1$	а	c	$\longleftarrow \qquad \text{might as well} \\ \text{leave } c \text{ in cache}$		
	d	$d_1$	a	$d_3$	$\leftarrow$ $d_3$ not needed	d	$d_1$	a	c	until $d_3$ in needed		
	<i>C</i>	c	а	$d_3$		C	c	а	c			
	а	c	a	$d_3$		а	c	a	С			
step j'	d	c	а	$d_3$	$\leftarrow$ $d_3$ needed	d	c	а	$d_3$			

#### Reduced eviction schedules

Claim. Given any unreduced schedule S, can transform it into a reduced schedule S' with no more evictions.

Pf. [by induction on number of steps j ]

- Case 1: S brings d into the cache in step j without a request.  $\checkmark$
- Case 2: S brings d into the cache in step j even though d is in cache.  $\checkmark$
- If multiple unreduced items in step j, apply each one in turn,
   dealing with Case 1 before Case 2.

resolving Case 1 might trigger Case 2

Theorem. FF is optimal eviction algorithm.

Pf. Follows directly from the following invariant.

Invariant. There exists an optimal reduced schedule S that has the same eviction schedule as  $S_{FF}$  through the first j steps.

**Pf.** [by induction on number of steps *j* ]

Base case: j = 0.

Let S be reduced schedule that satisfies invariant through j steps.

We produce S' that satisfies invariant after j + 1 steps.

- Let d denote the item requested in step j + 1.
- Since S and  $S_{FF}$  have agreed up until now, they have the same cache contents before step j+1.
- Case 1: d is already in the cache. S' = S satisfies invariant.
- Case 2: d is not in the cache and S and  $S_{FF}$  evict the same item. S' = S satisfies invariant.

#### Pf. [continued]

- Case 3: d is not in the cache;  $S_{FF}$  evicts e; S evicts  $f \neq e$ .
  - begin construction of S' from S by evicting e instead of f



- now S' agrees with  $S_{FF}$  for first j+1 steps; we show that having item f in cache is no worse than having item e in cache
- let S' behave the same as S until S' is forced to take a different action (because either S evicts e; or because either e or f is requested)

Let j' be the first step after j+1 that S' must take a different action from S; let g denote the item requested in step j'.

involves either *e* or *f* (or both)



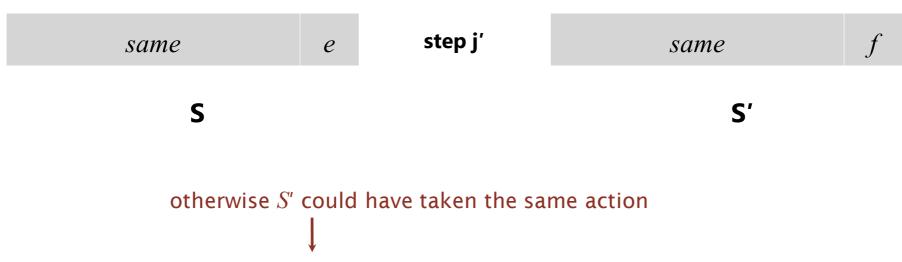
- Case 3a: g = e. S' agrees with  $S_{FF}$  through first j+1 steps Can't happen with FF since there must be a request for f before e.
- Case 3b: g = f. Element f can't be in cache of S; let e' be the item that S evicts.
  - if e' = e, S' accesses f from cache; now S and S' have same cache
  - if  $e' \neq e$ , we make S' evict e' and bring e into the cache; now S and S' have the same cache

We let S' behave exactly like S for remaining requests.

S' is no longer reduced, but can be transformed into a reduced schedule that agrees with FF through first j+1 steps

Let j' be the first step after j+1 that S' must take a different action from S; let g denote the item requested in step j'.

involves wither *e* or *f* (or both)



- Case 3c:  $g \neq e, f$ . S evicts e.
  - make S' evict f.



- now *S* and *S'* have the same cache
- let S' behave exactly like S for the remaining requests •