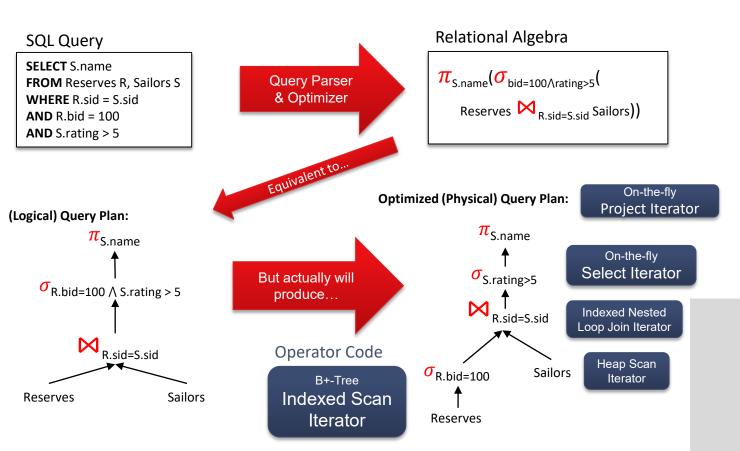
# Iterators, Relational Operators and Joins

R&G Chapters 12 & 14



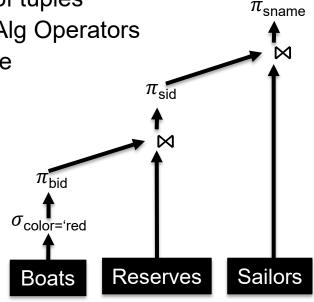
### Recall from Last Lecture



# Relational Operators and Query Plans

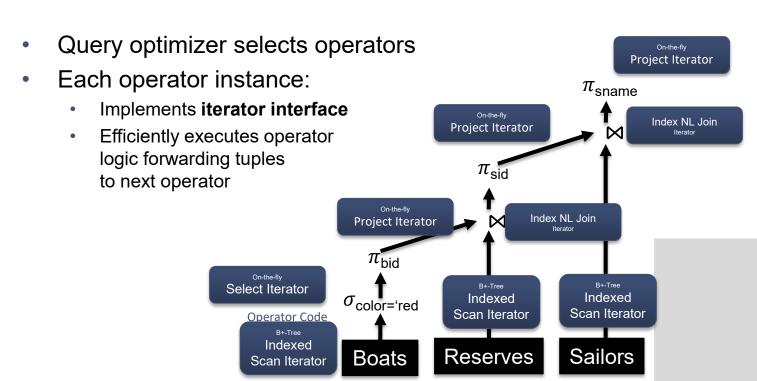
$$\pi_{\text{sname}}(\pi_{\text{sid}}(\pi_{\text{bid}}(\sigma_{\text{color='red'}}(\text{Boats})) \bowtie \text{Res}) \bowtie \text{Sailors})$$

- Query plan
  - Edges encode "flow" of tuples
  - Vertices = Relational Alg Operators
  - Source vertices = table access operators ...
- Also called dataflow graph



# Query Executor Instantiates Operators

 $\pi_{\text{sname}}(\pi_{\text{sid}}(\pi_{\text{bid}}(\sigma_{\text{color='red'}}(\text{Boats})) \bowtie \text{Res}) \bowtie \text{Sailors})$ 



#### **Iterator Interface**

The relational operators implemented as subclasses of the class Iterator:

```
abstract class iterator {
      void setup(List<Iterator> inputs);
      void init(args);
      tuple next();
      void close();
}
```

#### Notes:

- Pull-based computation model
  - e.g., Console calls init and next which propagates down graph
  - *init/next* can result in either *streaming* ("on-the-fly") or *blocking* ("batch") algorithm:
    - streaming: small, constant amount of work per call
    - blocking: does not produce output until it consumes its entire input!
- Encapsulation: any iterator can be input to any other!
- State: iterators may maintain substantial "internal" state
  - e.g., hash tables, running counts, large sorted files ...

# Example: Select (on-the-fly)

```
init(predicate):
 child.init()
 pred = predicate;
 current = NULL;
next():
 while (current != EOF && !pred(current))
    current = child.next();
 return current;
close():
 child.close()
```

# Example: Heap Scan

```
init(relation):
  heap = open heap file for this relation;
  cur page = heap.first page(); // first page
  cur slot = cur page.first slot(); // first slot on that page
next():
  if (cur page == NULL) return EOF; // End Of Fun
  current = [cur page, cur slot]; // we will return this recordld
  // advance the slot
  cur slot = cur slot.next();
  if (cur slot == NULL) {
   // advance to next page, first slot
   cur page = cur page.next();
   if (cur page != NULL)
     cur slot = cur page.first slot();
  return current:
close():
  heap.close()
```

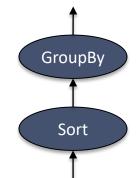
# Example: Sort (2-pass)

```
    init(keys):  // all of pass 0 in init, a blocking call child.init()
    repeatedly call child.next() and generate the sorted runs on disk, until child gives EOF  // set up for pass 1, assumes enough buffers to merge  open each sorted run file and load into input buffer for pass 1
    next():  // pass 1 (assumes enough buffers to merge)  output = min tuple across all buffers  if min tuple was last one in its buffer, fetch next page from that run into buffer  return output (or EOF -- "End of File" -- if no tuples remain)
```

 close(): deallocate the runs files child.close()

# Example: Group By on Sorted input

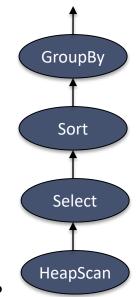
```
merge(x)
                                                  final
agg_type
            state
                       init
COUNT
                        0
                                    count ++
             count
                                                  count
SUM
            sum
                                    sum += x
                                                  sum
                       [0, 0]
                                    ſcount++.
AVG
             [count,
                                                  sum
             sum
                                    sum+=x
                                                  / count
MIN
                       +infinity
             min
                                    min > x?
                                                  min
                                    x:min
```



```
init(group keys, aggs):
 child.init()
 cur group = NULL;
next():
 result = NULL
 do {
        tup = child.next();
        if (group(tup) != cur group) { // New group!
            if (cur group != NULL) // Form a result for current group
               result = [cur group, final() of all aggs]
            cur group = group(tup);
            call init() on all the aggs
        call merge(tup) on all the aggs
 } while (!result);
 return result:
close():
 child.close()
```

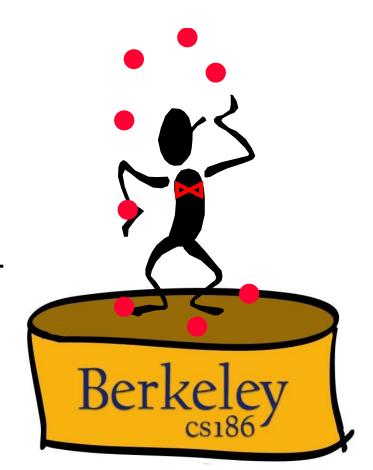
# A Full Query Plan

- A Query Plan is Single-threaded!
- Trace the calls:
  - Call init() on the root GroupBy
    - How does init() recurse down the chain and return?
  - call next() on root
    - How does next() recurse down the chain and return a tuple?
- Note how the blocking operator (sort) interacts with the other, streaming operators
- Note how we don't store operator output on disk; tuples stream through the plan's call stack
  - Some operators like Sort use disk internally



# **Join Operators**

R&G 14.4



# Schema for Examples



- Cost Notation
  - [R] : the number of pages to store R
  - p<sub>R</sub>: number of records per page of R
  - |R|: the cardinality (number of records) of R
    - $|R| = p_R^*[R]$
- Reserves (sid: int, bid: int, day: date, rname: string)
  - [R]=1000, p<sub>R</sub>=100, |R| = 100,000
- Sailors (sid: int, sname: string, rating: int, age: real)
  - [S]=500, p<sub>S</sub>=80, |S| = 40,000

# Simple Nested Loops Join

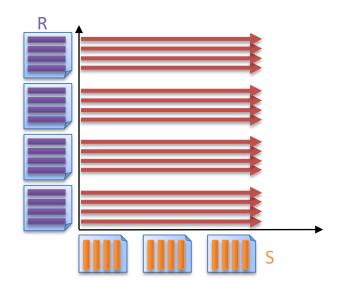


foreach **record** r in R do

foreach record s in S do

if θ(ri, sj) then add <ri, sj> to result buffer

Note: for simplicity we do not present iterator implementations for the join algorithms.



[R]=1000, 
$$p_R$$
=100,  $|R|$  = 100,000  
[S]=500,  $p_S$ =80,  $|S|$  = 40,000

#### Cost:

$$[R] + |R|[S]$$
 = 50,001,000

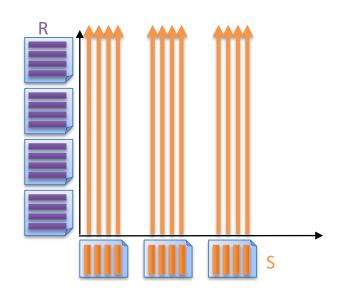
# Changing the Join Order



foreach record s in S do

foreach **record** r in R do

if θ(ri, sj) then add <ri, sj> to result buffer



[R]=1000, 
$$p_R$$
=100,  $|R|$  = 100,000  
[S]=500,  $p_S$ =80,  $|S|$  = 40,000

#### Cost:

# Page Nested Loop Join

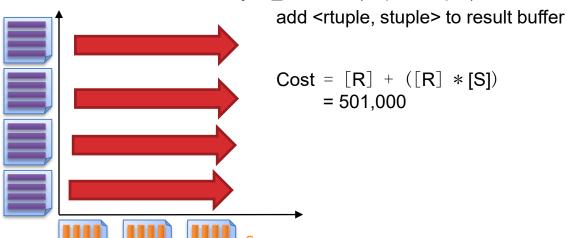


for each rpage in R: for each spage in S:



for each stuple in spage:

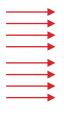
if join\_condition(rtuple, stuple):





#### "Chunk"

## "Block" Nested Loop Join



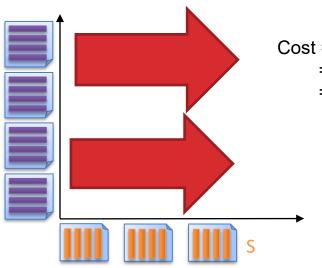


for each rchunk of B-2 pages of R:

for each spage of S:

for all matching tuples in spage and rchunk:

add <rtuple, stuple> to result buffer

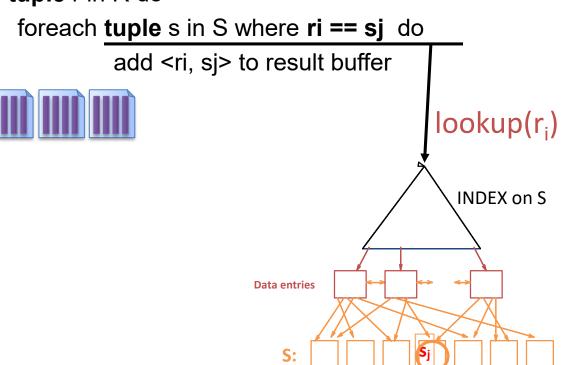


```
Cost = [R] + [ [R]/(B-2) ] * [S]
= 1000 + [ 1000/(B-2) ] * 500
= 6,000 for B=102 (~100x better than Page NL!)
```

## **Index Nested Loops Join**

Berkeley

foreach **tuple** r in R do



**Data Records** 

## Index Nested Loops Join Cost



foreach **tuple** r in R do

foreach **tuple** s in S where **ri == sj** do add <ri, sj> to result

Cost = [R] + |R| \* cost to find matching S tuples

- If index uses Alt. 1 → cost to traverse tree from root to leaf. (e.g., 2-4 IOs)
- For Alt. 2 or 3:
  - Cost to lookup RID(s); typically 2-4 IOs for B+Tree.
  - Cost to retrieve records from RID(s)
    - Clustered index: 1 I/O per page of matching S tuples.
    - Unclustered: up to 1 I/O per matching S tuple

## Index Nested Loops Join Cost, Part 2



- Reserves (<u>sid</u>: int, <u>bid</u>: int, <u>day</u>: date, <u>rname</u>: string)
  - [R]=1000, p<sub>R</sub>=100, |R| = 100,000
- Sailors (<u>sid</u>: int, sname: string, rating: int, age: real)
  - [S]=500, p<sub>S</sub>=80, |S| = 40,000
  - Index on sid

# Index Nested Loops Join Cost, Part 3



- Unclustered Cost(R,S) = [R] + |R| \* (Search + # matching tuples)
- Clustered Cost(R,S): [R] + |R| \* (Search + # of matching pages)
- Here, sid is the primary key for Sailors, so there is exactly one matching sailor for each tuple in R
- Unclustered B+-Tree height 2 (3 I/Os from root to leaf):
  - $\mathbb{R} \times \mathbb{S}$ : 1000 + (100,000)\*(3 + 1) = 401,000
- Clustered B+-tree height 2 (3 I/Os from root to leaf)
  - $R \bowtie S: 1000 + (100,000)*(3 + 1) = 401,000$

# Sort-Merge Join



- Requires equality predicate:
  - Equi-Joins & Natural Joins
- Two Stages:
  - Sort tuples in R and S by join key
    - All tuples with same key in consecutive order
    - Input might already be sorted ... why?
  - Join Pass: Merge-scan the sorted partitions and emit tuples that match

```
do {
  if (!mark) {
    while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
    mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
   advance r
   mark = NULL
```

	sid	sname
	22	dustin
<b>&gt;</b>	28	уирру
	31	lubber
	31	lubber2
	44	guppy
	58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```

	sid	sname
	22	dustin
<b>&gt;</b>	28	уирру
	31	lubber
	31	lubber2
	44	guppy
	58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

	sid	sname
	22	dustin
<b>&gt;</b>	28	уирру
	31	lubber
	31	lubber2
	44	guppy
	58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

	sid	sname
	22	dustin
<b>&gt;</b>	28	уирру
	31	lubber
	31	lubber2
	44	guppy
	58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

	sid	sname
	22	dustin
<b>=</b>	28	уирру
	31	lubber
	31	lubber2
	44	guppy
	58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107



```
do {
  if (!mark) {
    while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
   advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

		_
sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	
		-



sid	sname	bid
28	yuppy	103

```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

-	1	1
В	erk	elev
	(	cs186

sid	sname	bid
28	yuppy	103

```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
   advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

```
sid sname

22 dustin

28 yuppy

31 lubber

31 lubber2

44 guppy

58 rusty
```

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

Berkelev
cs186

sid	sname	bid
28	yuppy	103

```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	



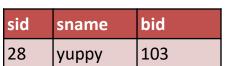
sid	sname	bid
28	уирру	103

```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	
	28 28 31 31 42	28 103 28 104 31 101 31 102 42 142

sid	bid	
28	103	
28	104	
31	101	
31	102	
4.0	4.40	ĺ





```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
   advance r
    mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

		_
sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

sid	sname	bid
28	yuppy	103
28	yuppy	104



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
   advance r
    mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

sid	sname	bid
28	yuppy	103
28	yuppy	104



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```

sid	sname
22	dustin
28	yuppy
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

sid	sname	bid
28	yuppy	103
28	yuppy	104



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

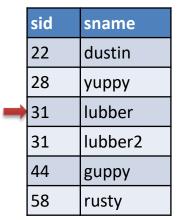
sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107
	28 28 31 31 42

sid	sname	bid
28	yuppy	103
28	yuppy	104



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```



sid	bid
28	103
28	104
31	101
31	102
12	142
58	107
	28 28 31 31



sid	sname	bid
28	yuppy	103
28	уирру	104

```
do {
  if (!mark) {
    while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
 else {
    reset s to mark
    advance r
   mark = NULL
```

	sid	sname
	22	dustin
	28	уирру
	31	lubber
	31	lubber2
	44	guppy
	58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107

sid	sname	bid
28	yuppy	103
28	yuppy	104



```
do {
  if (!mark) {
    while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

	sid	sname
	22	dustin
	28	уирру
	31	lubber
	31	lubber2
	44	guppy
	58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107

sid	sname	bid
28	yuppy	103
28	уирру	104



```
do {
  if (!mark) {
   while (r < s) { advance r }
    while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```

	sid	sname
	22	dustin
	28	уирру
	31	lubber
	31	lubber2
	44	guppy
	58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107

sid	sname	bid
28	yuppy	103
28	уирру	104



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
    mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107

sid	sname	bid
28	yuppy	103
28	yuppy	104



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107

sid	sname	bid
28	yuppy	103
28	yuppy	104



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
   advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	
•	•	-

sid	sname	bid
28	yuppy	103
28	yuppy	104



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

		_
sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

	Donlas
1	Berke

sid	sname	bid
28	yuppy	103
28	уирру	104

```
do {
   if (!mark) {
     while (r < s) { advance r }
     while (r > s) { advance s }
     // mark start of "block" of S
     mark = s
   }
   if (r == s) {
     result = <r, s>
     advance s
   return result
```

```
}
else {
  reset s to mark
  advance r
```

mark = NULL

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

Berkele

sid	sname	bid
28	yuppy	103
28	yuppy	104

```
do {
  if (!mark) {
    while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```

	sid	sname
	22	dustin
	28	уирру
	31	lubber
	31	lubber2
	44	guppy
	58	rusty

		_
sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	



sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101

```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
   advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sname

yuppy

yuppy

lubber

bid

103

104

101

sid

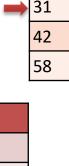
28

28

31

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	
	28 28 31 31 42	28 103 28 104 31 101 31 102 42 142

sid	bid	
28	103	
28	104	
31	101	_
31	102	





```
do {
   if (!mark) {
     while (r < s) { advance r }
     while (r > s) { advance s }
     // mark start of "block" of S
     mark = s
   }
   if (r == s) {
     result = <r, s>
     advance s
   return result
```

```
}
else {
  reset s to mark
  advance r
  mark = NULL
}
```

	sid	sname
	22	dustin
	28	уирру
	31	lubber
	31	lubber2
	44	guppy
	58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101



```
do {
  if (!mark) {
    while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	
	28 28 31 31 42	28 103 28 104 31 101 31 102 42 142

	30	Tasty	
sid	snam	ne bid	
28	yupp	y 103	
28	yupp	y 104	
31	lubbe	er 101	
31	lubbe	er 102	



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

	sid	sname
	22	dustin
	28	уирру
<b>-</b>	31	lubber
	31	lubber2
	44	guppy
	58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	
		-

sid	bid	
28	103	
28	104	
31	101	
31	102	



sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102

```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```

	sid	sname
	22	dustin
	28	уирру
	31	lubber
	31	lubber2
	44	guppy
	58	rusty

		_
sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```

•	sid	sname
	22	dustin
	28	уирру
	31	lubber
	31	lubber2
	44	guppy
	58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102



```
do {
  if (!mark) {
    while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```

•	sid	sname
	22	dustin
	28	уирру
	31	lubber
	31	lubber2
	44	guppy
	58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
    mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	



sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102

```
do {
  if (!mark) {
    while (r < s) { advance r }
    while (r > s) { advance s }
    // mark start of "block" of S
    mark = s
  if (r == s) {
    result = \langle r, s \rangle
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```

sid	sname
22	dustin
28	yuppy
31	lubber
31	lubber2
44	guppy
58	rusty

		_
sid	bid	
28	103	
28	104	
31	101	-
31	102	
42	142	
58	107	

	58	rusty		Ŀ
				_
d	snam	ne	bid	
3	yupp	У	103	
3	yupp	У	104	
Ļ	lubbe	er	101	
				ı

102

lubber



```
do {
  if (!mark) {
    while (r < s) { advance r }
    while (r > s) { advance s }
    // mark start of "block" of S
    mark = s
  if (r == s) {
    result = \langle r, s \rangle
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```

•	sid	sname
	22	dustin
	28	уирру
	31	lubber
	31	lubber2
	44	guppy
	58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102



```
do {
   if (!mark) {
     while (r < s) { advance r }
     while (r > s) { advance s }
     // mark start of "block" of S
     mark = s
   }
   if (r == s) {
     result = <r, s>
     advance s
```

```
return result
```

```
}
else {
  reset s to mark
  advance r
  mark = NULL
}
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

	sid	bid
	28	103
	28	104
	31	101
<b>&gt;</b>	31	102
	42	142
	58	107

Berkeley

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102

```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

•	sid	sname
	22	dustin
	28	yuppy
	31	lubber
	31	lubber2
	44	guppy
	58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102
31	lubber2	101



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	



sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102
31	lubber2	101

```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
   advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

•	sid	sname
	22	dustin
	28	уирру
	31	lubber
	31	lubber2
	44	guppy
	58	rusty

bid	
103	
104	
101	
102	
142	
107	
	103 104 101 102 142

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102
31	lubber2	101



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

		_
sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

Berkeley cs186
cs186

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102
31	lubber2	101

```
do {
   if (!mark) {
     while (r < s) { advance r }
     while (r > s) { advance s }
     // mark start of "block" of S
     mark = s
   }
   if (r == s) {
     result = <r, s>
     advance s
```

```
return result
}
else {
  reset s to mark
  advance r
  mark = NULL
}
```

•	sid	sname
	22	dustin
	28	уирру
	31	lubber
	31	lubber2
	44	guppy
	58	rusty

		_
sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

Berkeley CS186
cs186 <sup>7</sup>

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102
31	lubber2	101

```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

		_
sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

\	Berkeley cs186
1	cs186

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102
31	lubber2	101
31	lubber2	102

```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

		_
sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	
	·	

Berkeley CS186
cs186

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102
31	lubber2	101
31	lubber2	102

```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	
		_

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102
31	lubber2	101
31	lubber2	102



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

_	
F	Berkeley
~	cs186

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102
31	lubber2	101
31	lubber2	102

```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

		_
sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102
31	lubber2	101
31	lubber2	102

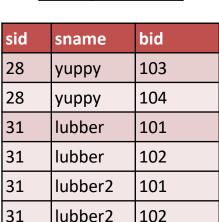


```
do {
  if (!mark) {
    while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107





```
do {
  if (!mark) {
    while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

	sid	sname
	22	dustin
	28	уирру
	31	lubber
	31	lubber2
<b>&gt;</b>	44	guppy
	58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107

1 3.33				
		<del></del>		
sid	sname	bid		
28	yuppy	103		
28	yuppy	104		
31	lubber	101		
31	lubber	102		
31	lubber2	101		

102

lubber2

31



```
do {
  if (!mark) {
   while (r < s) { advance r }
    while (r > s) { advance s }
    // mark start of "block" of S
    mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```



sid	bid
28	103
28	104
31	101
31	102
42	142
58	107

sid	sname	bid
28	уирру	103
28	уирру	104
31	lubber	101
31	lubber	102
31	lubber2	101
31	lubber2	102



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
    mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107

1	Rerkeley	
1	Berkeley cs186	

sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102
31	lubber2	101
31	lubber2	102

```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107



sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102
31	lubber2	101
31	lubber2	102

```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid	
28	103	
28	104	
31	101	
31	102	
42	142	
58	107	
	28 28 31 31 42	28 103 28 104 31 101 31 102 42 142



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107



sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102
31	lubber2	101
31	lubber2	102

```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107



sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102
31	lubber2	101
31	lubber2	102

```
do {
  if (!mark) {
    while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107

	08	rusty		
sid	snan	ne	bid	
28	yupp	У	103	
28	yupp	У	104	
31	lubb	er	101	
31	lubb	er	102	
31	lubb	er2	101	

102

llubber2

31



```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
    mark = S
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	yuppy
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107

bid	Ber
103	
104	
101	

sid	sname	bid
28	уирру	103
28	уирру	104
31	lubber	101
31	lubber	102
31	lubber2	101
31	lubber2	102

```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107



sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102
31	lubber2	101
31	lubber2	102

```
do {
  if (!mark) {
   while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
   advance s
    return result
  else {
    reset s to mark
    advance r
   mark = NULL
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107



sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102
31	lubber2	101
31	lubber2	102

```
do {
  if (!mark) {
    while (r < s) { advance r }
    while (r > s) { advance s }
    // mark start of "block" of S
    mark = s
  if (r == s) {
    result = \langle r, s \rangle
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```

sid	sname
22	dustin
28	yuppy
31	lubber
31	lubber2
44	guppy
58	rusty

bid
103
104
101
102
142
107



sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102
31	lubber2	101
31	lubber2	102

```
do {
   if (!mark) {
     while (r < s) { advance r }
     while (r > s) { advance s }
     // mark start of "block" of S
     mark = s
   }
   if (r == s) {
     result = <r, s>
     advance s
```

```
return result
}
else {
  reset s to mark
  advance r
  mark = NULL
}
```

sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107



		_
sid	sname	bid
28	yuppy	103
28	yuppy	104
31	lubber	101
31	lubber	102
31	lubber2	101
31	lubber2	102

```
do {
 if (!mark) {
    while (r < s) { advance r }
   while (r > s) { advance s }
   // mark start of "block" of S
   mark = s
  if (r == s) {
    result = <r, s>
    advance s
    return result
  else {
    reset s to mark
    advance r
    mark = NULL
```

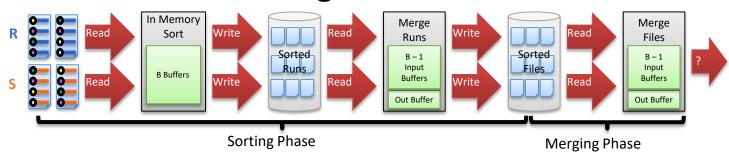
sid	sname
22	dustin
28	уирру
31	lubber
31	lubber2
44	guppy
58	rusty

sid	bid
28	103
28	104
31	101
31	102
42	142
58	107



sid	sname	bid
28	уирру	103
28	уирру	104
31	lubber	101
31	lubber	102
31	lubber2	101
31	lubber2	102
58	rusty	107

# Cost of Sort-Merge Join





- Cost: Sort R + Sort S + ([R]+[S])
  - But in worst case, last term could be |R| \*[S] (very unlikely!)
  - Q: what is worst case?
- Question: How big does the buffer have to be to sort both R and S in two passes each?
- Suppose buffer B >  $\sqrt{(\max([R], [S]))}$ 
  - Both R and S can be sorted in 2 passes
  - -4\*1000 + 4\*500 + (1000 + 500) = 7500

#### Join First, Sort Later



SELECT sid, bid, sname, rname

FROM R, S

WHERE R.sid = S.sid

ORDER BY sid

 $[R]=1000, p_R=100, |R|=100,000$ 

[S]=500,  $p_S=80$ , |S|=40,000

B = 102

- Reserves (<u>sid</u>: int, <u>bid</u>: int, <u>day</u>: date, <u>rname</u>: string)
- Sailors (<u>sid</u>: int, sname: string, rating: int, age: real)
- Block NLJ
  - Join: [S] + ([S]/(B-2))\*[R]
  - Sort: ?

#### Join First, Sort Later Part 2



SELECT sid, bid, sname, rname

FROM R, S

WHERE R.sid = S.sid

ORDER BY sid

 $[R]=1000, p_R=100, |R|=100,000$ 

 $[S]=500, p_S=80, |S|=40,000$ 

B = 102

- Reserves (<u>sid</u>: int, <u>bid</u>: int, <u>day</u>: date, <u>rname</u>: string)
- Sailors (<u>sid</u>: int, <u>sname</u>: string, <u>rating</u>: int, <u>age</u>: real)
- Block NLJ
  - Join: [S] + ([S]/(B-2))\*[R] = 5,500
  - Sort:  $2 * [R]* (1 + log_{B-1} [R]/B) = 4000$

#### Sort First, Join Later



SELECT sid, bid, sname, rname

FROM R, S

WHERE R.sid = S.sid

ORDER BY sid

 $[R]=1000, p_R=100, |R|=100,000$ 

[S]=500,  $p_S=80$ , |S|=40,000

B = 102

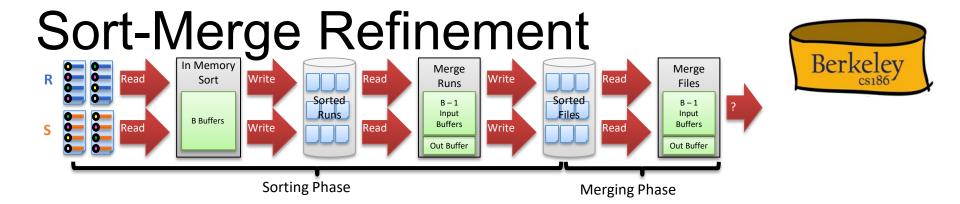
- Reserves (<u>sid</u>: int, <u>bid</u>: int, <u>day</u>: date, <u>rname</u>: string)
- Sailors (<u>sid</u>: int, sname: string, rating: int, age: real)

```
Sort R: 2*[R]*(2) = 4000
```

Sort S: 2\*[S]\*(2) = 2000

R + S = 1500

Total = 7500

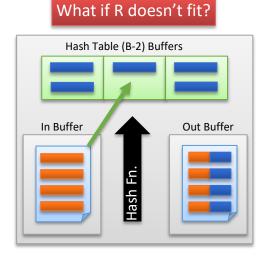


- An important refinement combines last pass of merge-sort with join pass
  - Given enough buffers to accommodate all runs in R and S on the penultimate (second-to-last) pass of sorting
  - Example for 2-pass SMJ (join during the final merging pass of sort)
    - Read R and write out sorted runs (pass 0)
    - Read S and write out sorted runs (pass 0)
    - Merge R-runs and S-runs, while finding R ⋈ S matches
  - 2-pass Cost = 3\*[R] + 3\*[S] = 3000+1500 = 4500

### Naïve in Memory Hash Join



- Requires equality predicate:
  - Works for Equi-Joins & Natural Joins
- Assume R is smaller relation
  - Require R to fit in memory
- Simple algorithm:
  - Load all R into hash table
  - Scan S and probe R
- Memory requirements?
  - R < (B-2) \* hash\_fill</li>



# Properties that help



• 
$$\sigma_{\text{sid}=4 \text{ V sid}=6}$$
 (  $R \bowtie_{\text{sid}} S$ ) =  $\sigma_{\text{sid}=4}$  (  $R \bowtie_{\text{sid}} S$ ) U  $\sigma_{\text{sid}=6}$  (  $R \bowtie_{\text{sid}} S$ )

- Can Decompose Into Smaller "Partial Joins"
- $R \bowtie_{sid} S = \bigcup (\sigma_{hash(sid)}(R) \bowtie_{sid} \sigma_{hash(sid)}(S))$
- Pick a hash function so that  $\sigma_{hash(sid)}(R)$  fits in memory!

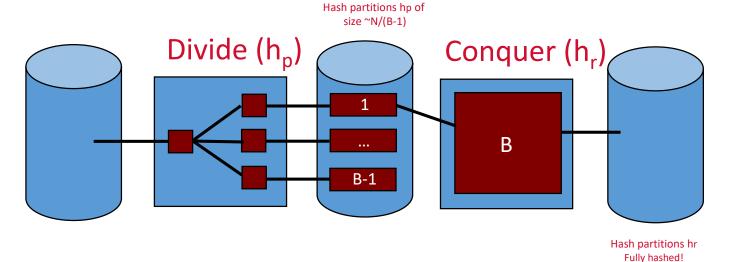
#### **Grace Hash Join**



- Requires equality predicate:
  - Equi-Joins & Natural Joins
- Two Stages:
  - Partition tuples from R and S by join key and store on scratch disk
    - all tuples for a given key in same partition
  - Build & Probe a separate hash table for each partition (like in Naïve Hash)
    - Assume partition of smaller rel. fits in memory
      - Recurse if necessary...

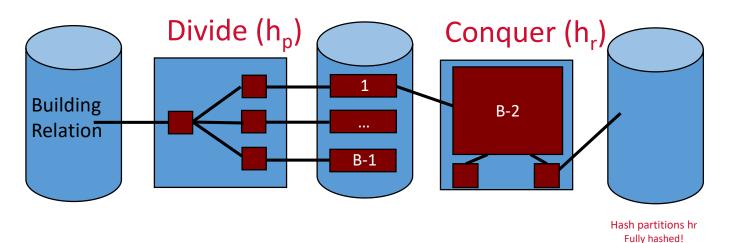
# Remember External Hashing?





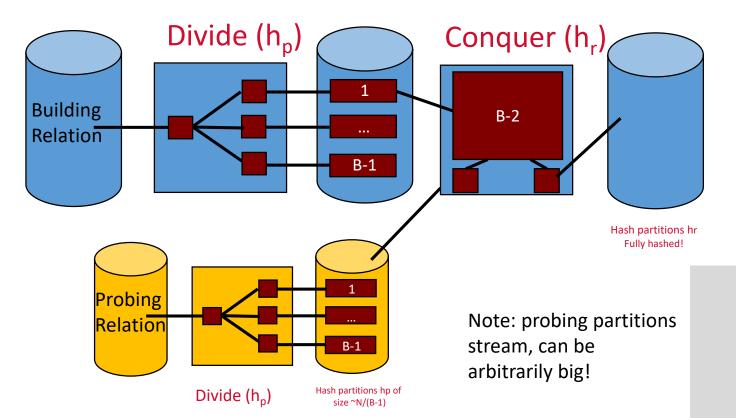
#### Sketch of Grace Hash Join





### Sketch of Grace Hash Join, cont.





#### PsuedoCode, Grace Hash

For Cur in {R, S}

For page in Cur

Read page into input buffer

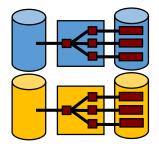
For tup on page

Place tup in output buf hash<sub>p</sub>(tup.joinkey)

If output buf full then flush to disk partition

Flush output bufs to disk partitions

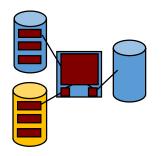




### PsuedoCode, Grace Hash, cont.

```
For Cur in {R, S}
    For page in Cur
        Read page into input buffer
        For tup on page
             Place tup in output buf hash<sub>n</sub>(tup.joinkey)
             If output buf full then flush to disk partition
    Flush output bufs to disk partitions
For i in [0..(B-1))
    For page in R<sub>i</sub>
        For tup on page
             Build tup into memory hash, (tup.joinkey)
    For page in S<sub>i</sub>
      Read page into input buffer
        For tup on page
             Probe memory hash, (tup.joinkey) for matches
             Send all matches to output buffer
             Flush output buffer if full
```

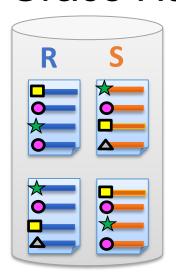




#### **Grace Hash Join**

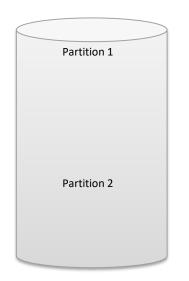


- An animation
- Two phases:
  - Partition (divide)
  - Build & Probe hash tables (conquer)

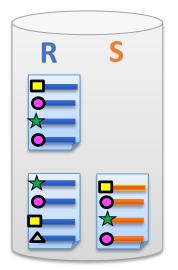


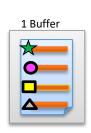




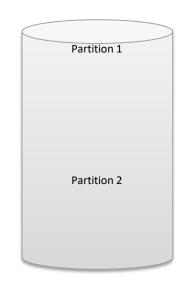




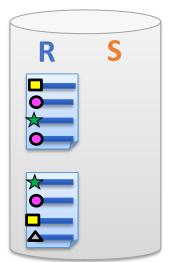


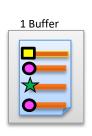




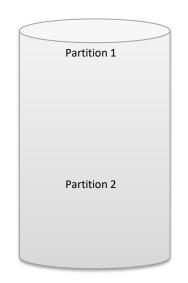




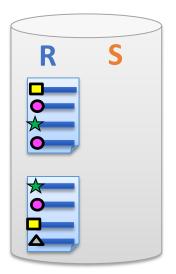


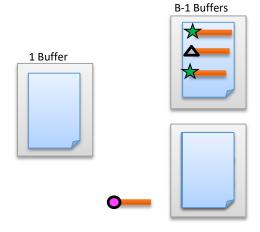


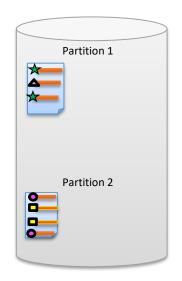




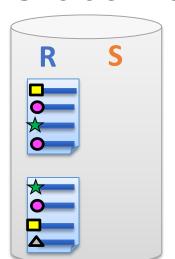






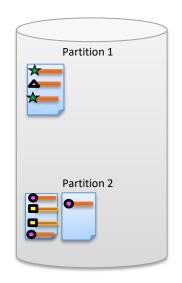




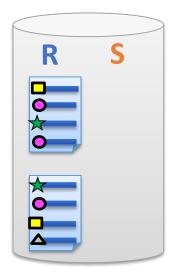






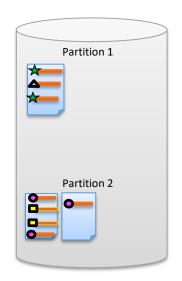




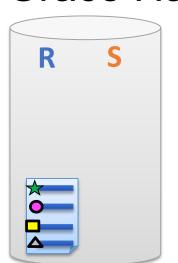


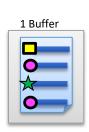




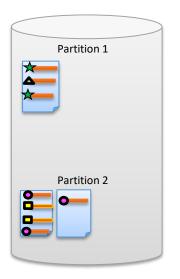




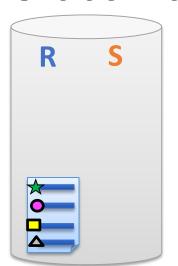






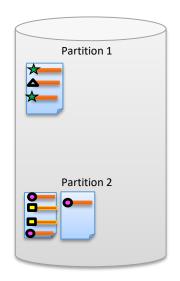










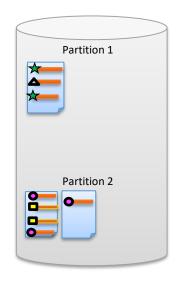






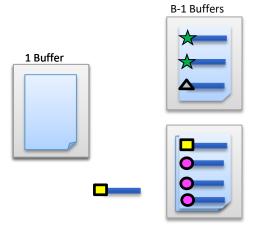


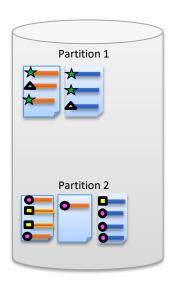










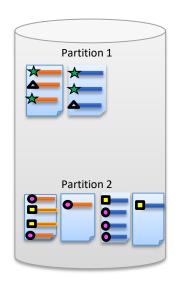








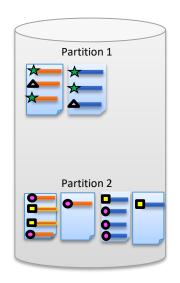




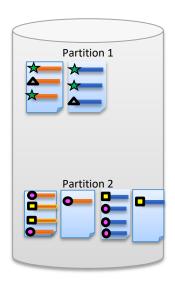


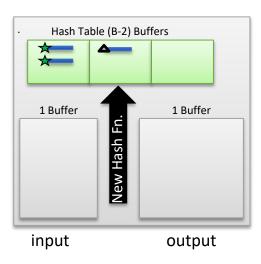


- Each key is assigned to one partition
  - e.g., green star keys only in Partition 1→
- Sensitive to key Skew
  - Fuchsia circle Key
- Each partition could be on a different disk or even different machine

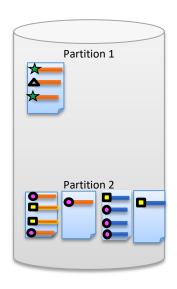


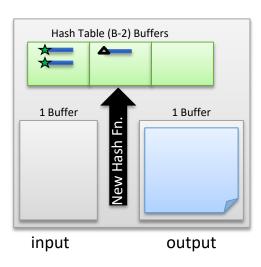




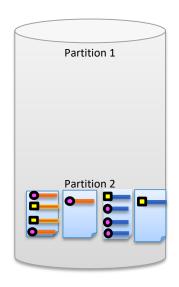


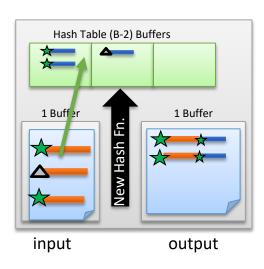




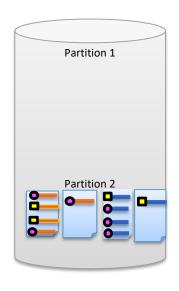


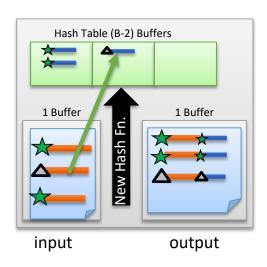




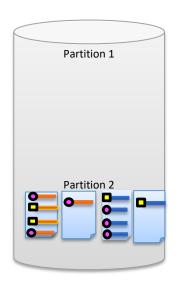


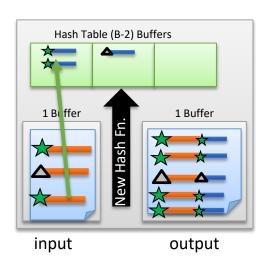




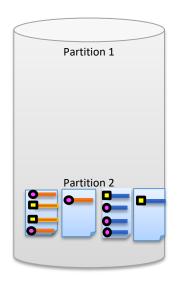


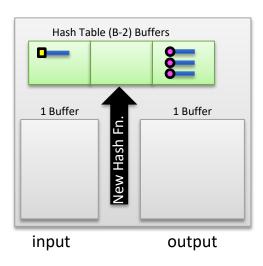




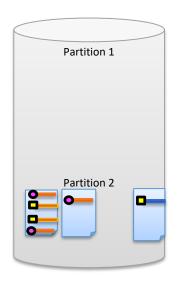


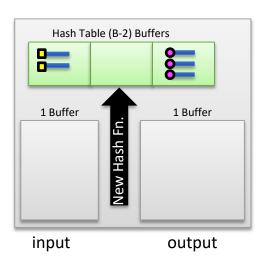




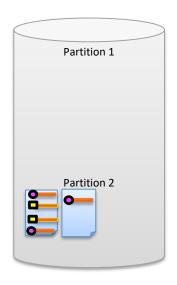


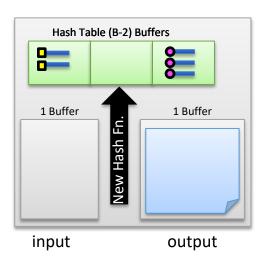




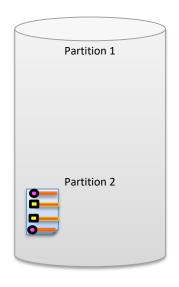


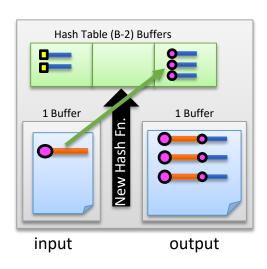






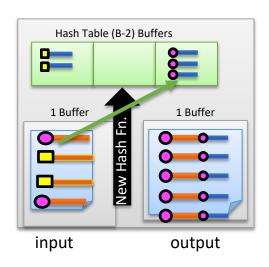






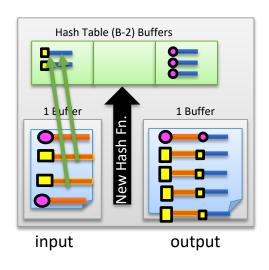






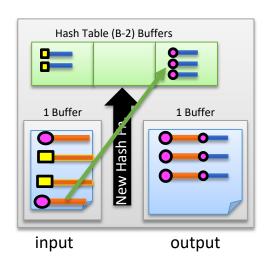






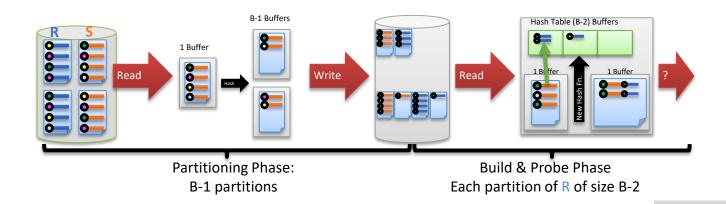






## Summary of Grace Hash Join



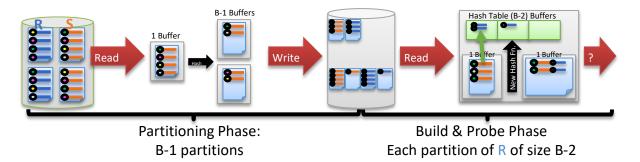


#### What is the Cost?

#### Cost of Hash Join

[R]=1000, 
$$p_R$$
=100, |R| = 100,000  
[S]=500,  $p_S$ =80, |S| = 40,000



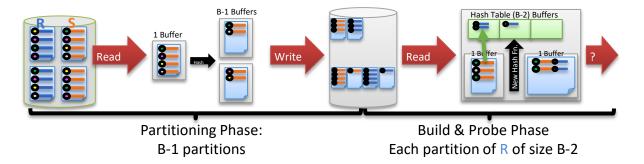


- Partitioning phase: read+write both relations
  - ® 2([R]+[S]) I/Os
- <u>Matching phase</u>: read both relations, forward output
  - ® [R]+[S]
- Total cost of 2-pass hash join = 3([R]+[S])
  - 3 \* (1000 + 500) = 4500

#### Cost of Hash Join Part 2

[R]=1000,  $p_R$ =100, |R| = 100,000 [S]=500,  $p_S$ =80, |S| = 40,000



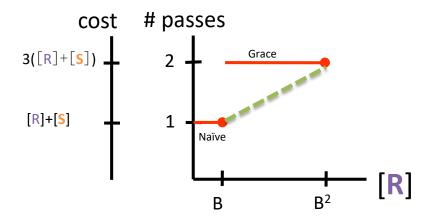


- Memory Requirements?
- Build hash table on R with uniform partitioning
  - Partitioning Phase divides R into (B-1) runs of size [R] / (B-1)
  - Matching Phase requires each [R] / (B-1) < (B-2)</li>
  - $R < (B-1) (B-2) \approx B^2$
- Note: no constraint on size of S (probing relation)!

#### Cost of Hash Join Part 3

[R]=1000,  $p_R$ =100, |R| = 100,000 [S]=500,  $p_S$ =80, |S| = 40,000





- Naïve Hash Join: requires [R] < B</li>
  - Put all of R in hash table
  - 1/3 the I/O cost of Grace!
- Grace Hash Join: 2-passes for [R] < B<sup>2</sup>
- **Hybrid** Hash Join: an algorithm that adapts between the two
  - Tricky to tune

# Hash Join vs. Sort-Merge Join



- Sorting pros:
  - Good if input already sorted, or need output sorted
  - Not sensitive to data skew or bad hash functions
- Hashing pros:
  - For join: # passes depends on size of smaller relation
    - E.g. if smaller relation is <B, naïve/hybrid hashing is great</li>
  - Good if input already hashed, or need output hashed

## Recap

- Nested Loops Join
  - Works for arbitrary Θ
  - Make sure to utilize memory in blocks
- Index Nested Loops
  - For equi-joins
  - When you already have an index on one side
- Sort/Hash
  - For equi-joins
  - No index required
  - Hash better if one relation is much smaller than other
- No clear winners may want to implement them all
- Be sure you know the cost model for each
  - You will need it for query optimization!

