

# CS101 Algorithms and Data Structures

Quick Sort

Textbook Ch 2.7



# Outline

- Insertion sort
- Bubble sort
- Merge sort
- Quicksort

# Quicksort

Merge sort splits the array into two sub-lists and sorts them

- It splits the larger problem into two sub-problems based on *location* in the array

Consider the following alternative:

- Chose an object in the array and partition the remaining objects into two groups relative to the chosen entry

# Quicksort

For example, given

80	38	95	84	66	10	79	44	26	87	96	12	43	81	3
----	----	----	----	----	----	----	----	----	----	----	----	----	----	---

we can select the middle entry, 44, and sort the remaining entries into two groups, those less than 44 and those greater than 44:

38	10	26	12	43	3	44	80	95	84	66	79	87	96	81
----	----	----	----	----	---	----	----	----	----	----	----	----	----	----

Notice that 44 is now in the correct location if the list was sorted

- Proceed by recursively applying the algorithm to the first six and last eight entries

# Run-time analysis

Like merge sort, we can either:

- Sort the sub-lists using quicksort
- If the size of the sub-list is sufficiently small, apply insertion sort

In the best case, the list will be split into two approximately equal sub-lists, and thus, the run time could be very similar to that of merge sort:  $\Theta(n \ln(n))$

What happens if we don't get that lucky?

# Worst-case scenario

Suppose we choose the middle element as our pivot and we try ordering a sorted list:

80	38	95	84	66	10	79	2	26	87	96	12	43	81	3
----	----	----	----	----	----	----	---	----	----	----	----	----	----	---

Using 2, we partition into

2	80	38	95	84	66	10	79	26	87	96	12	43	81	3
---	----	----	----	----	----	----	----	----	----	----	----	----	----	---

We still have to sort a list of size  $n - 1$

The run time is  $T(n) = T(n - 1) + \Theta(n) = \Theta(n^2)$

– Thus, the run time drops from  $n \ln(n)$  to  $n^2$

# Worst-case scenario

Our goal is to choose the median element in the list as our pivot:

80	38	95	84	66	10	79	2	26	87	96	12	43	81	3
----	----	----	----	----	----	----	---	----	----	----	----	----	----	---

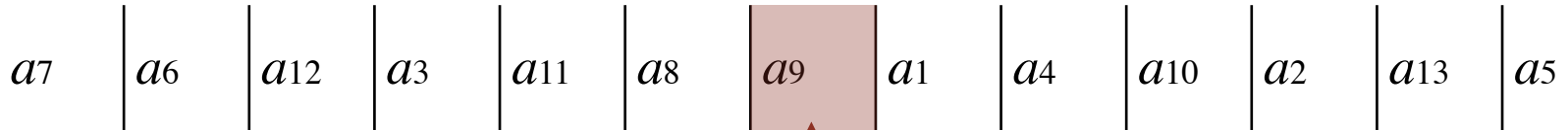
Using the median element 66, we can get two equal-size sub-lists

3	38	43	12	2	10	26	66	79	87	96	84	95	81	80
---	----	----	----	---	----	----	----	----	----	----	----	----	----	----

Unfortunately, median is difficult to find

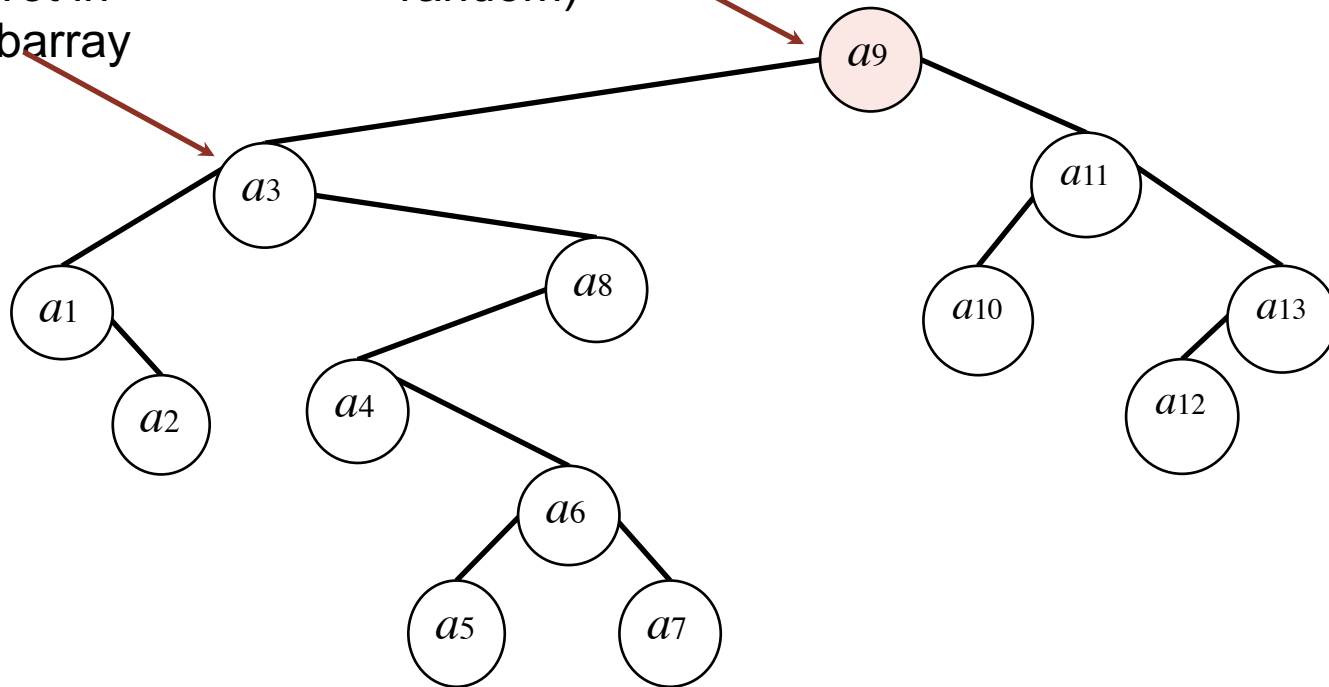
# BST representation

The original array of elements A



first pivot  
(chosen uniformly at random)

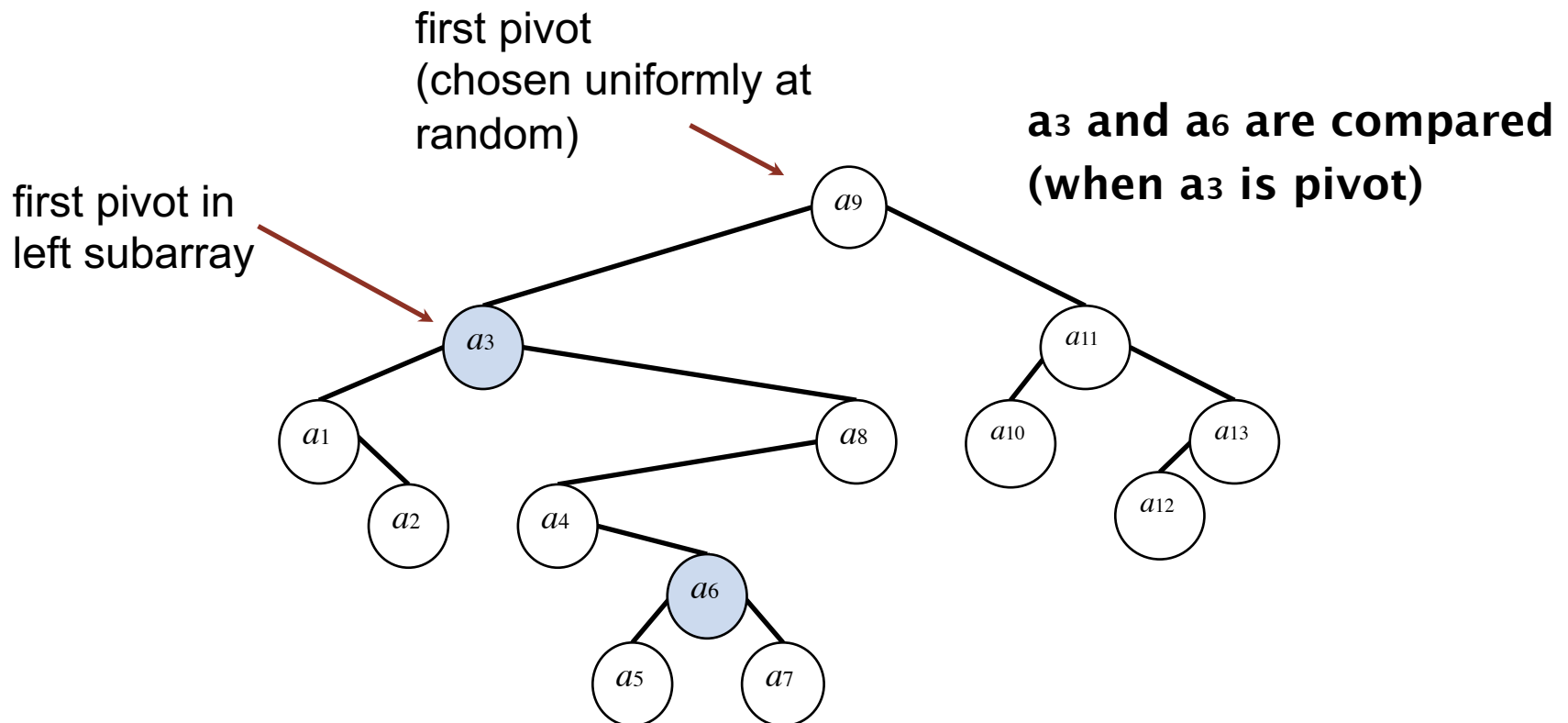
first pivot in  
left subarray





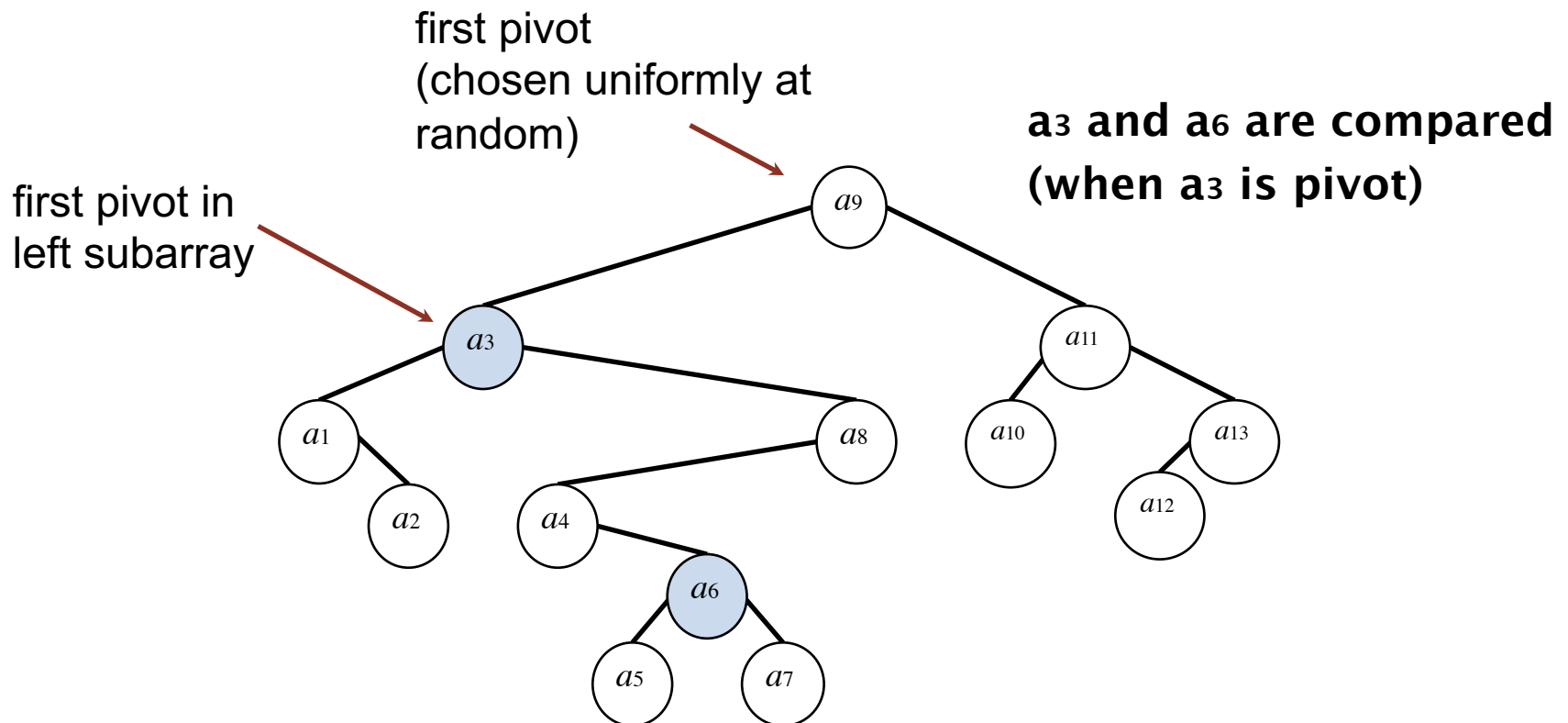
# BST representation

- Proposition. The expected number of compares to quicksort an array of  $n$  distinct elements  $a_1 < a_2 < \dots < a_n$  is  $O(n \log n)$ .
- Pf. Consider BST representation of pivot elements.
  - $a_i$  and  $a_j$  are compared once if one is an ancestor of the other.



# Analysis of running time

- Proposition. The expected number of compares to quicksort an array of  $n$  distinct elements  $a_1 < a_2 < \dots < a_n$  is  $O(n \log n)$ .
- Pf. Consider BST representation of pivot elements.
  - $a_i$  and  $a_j$  are compared once if one is an ancestor of the other.



# Question 1

- Given an array of  $n \geq 8$  distinct elements  $a_1 < a_2 < \dots < a_n$ , what is the probability that  $a_7$  and  $a_8$  are compared during randomized quicksort?

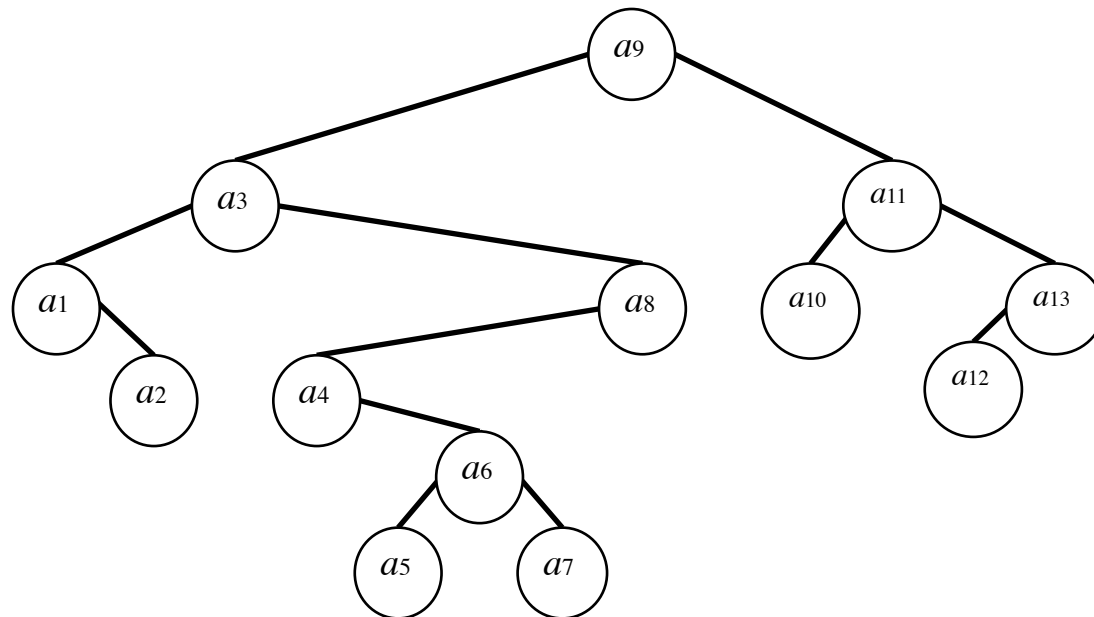
A. 0

B.  $1/n$

C.  $2/n$

D. 1

if two elements are adjacent,  
one must be an ancestor of the other



## Question 2

- Given an array of  $n \geq 8$  distinct elements  $a_1 < a_2 < \dots < a_n$ , what is the probability that  $a_1$  and  $a_n$  are compared during randomized quicksort?

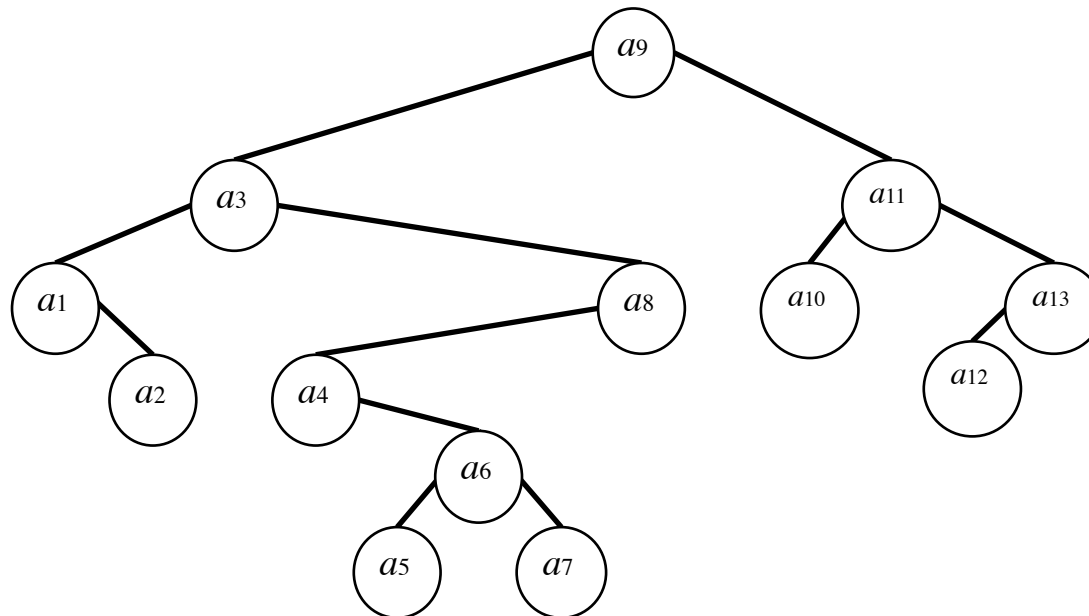
A. 0

B.  $1/n$

C.  $2/n$

D. 1

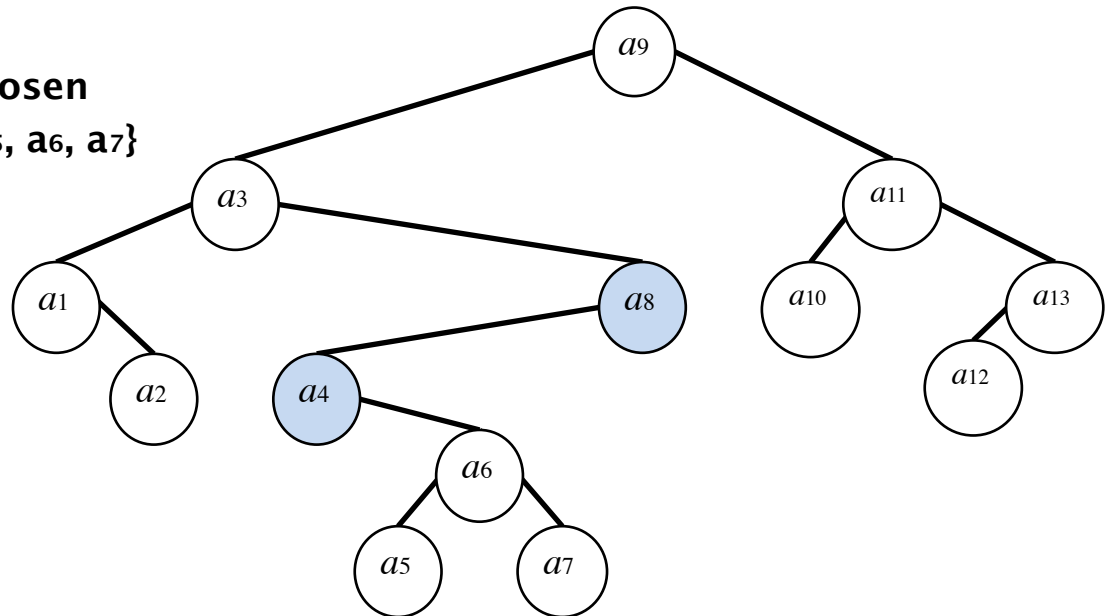
$a_1$  and  $a_n$  are compared  
if first pivot is either  $a_1$  or  $a_n$



# Analysis of running time

- Proposition. The expected number of compares to quicksort an array of  $n$  distinct elements  $a_1 < a_2 < \dots < a_n$  is  $O(n \log n)$ .
- Pf. Consider BST representation of pivot elements.
  - $a_i$  and  $a_j$  are compared once if one is an ancestor of the other.
  - **Pr** [  $a_i$  and  $a_j$  are compared ] =  $2 / (j - i + 1)$ , where  $i < j$ .

**Pr[a2 and a8 compared] = 2/7**  
**compared if either a2 or a8 is chosen**  
**as pivot before any of { a3, a4, a5, a6, a7 }**



# Analysis of running time

- Proposition. The expected number of compares to quicksort an array of  $n$  distinct elements  $a_1 < a_2 < \dots < a_n$  is  $O(n \log n)$ .
- Pf. Consider BST representation of pivot elements.
  - $a_i$  and  $a_j$  are compared once if one is an ancestor of the other.
  - $\Pr [ a_i \text{ and } a_j \text{ are compared} ] = 2 / (j - i + 1)$ , where  $i < j$ .

$$\begin{aligned}
 \text{Expected number of compares} &= \sum_{i=1}^n \sum_{j=i+1}^n \frac{2}{j-i+1} = 2 \sum_{i=1}^n \sum_{j=2}^{n-i+1} \frac{1}{j} \\
 &\quad \text{all pairs } i \text{ and } j \quad \leq 2n \sum_{j=1}^n \frac{1}{j} \\
 &\quad \leq 2n (\ln n + 1) \\
 &\quad \quad \quad \uparrow \\
 &\quad \quad \quad \text{harmonic sum}
 \end{aligned}$$

# Median-of-three

Consider another strategy:

- Choose the median of the first, middle, and last entries in the list

This will usually give a better approximation of the actual median

80	38	95	84	99	10	79	44	26	87	96	12	43	81	3
----	----	----	----	----	----	----	----	----	----	----	----	----	----	---

# Median-of-three

Sorting the elements based on 44 results in two sub-lists, each of which must be sorted (again, using quicksort)

Select the 26 to partition the first sub-list:

38	10	26	12	43	3	44	80	95	84	99	79	87	96	81
----	----	----	----	----	---	----	----	----	----	----	----	----	----	----

Select 81 to partition the second sub-list:

38	10	26	12	43	3	44	80	95	84	99	79	87	96	81
----	----	----	----	----	---	----	----	----	----	----	----	----	----	----



# Implementation

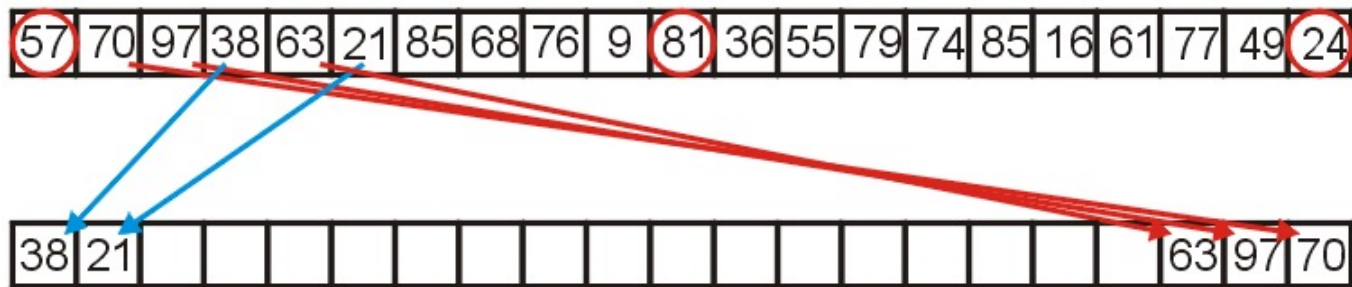
If we choose to allocate memory for an additional array, we can implement the partitioning by

- copying elements either to the front or the back of the additional array
- placing the pivot into the resulting hole

# Implementation

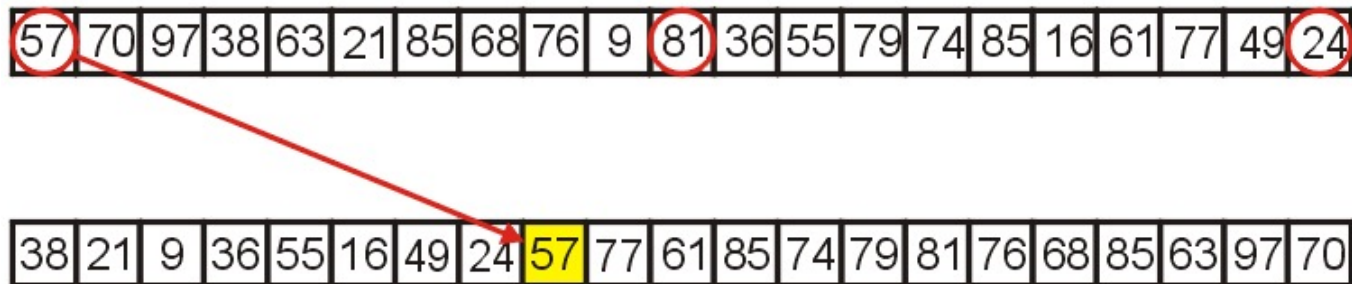
For example, consider the following:

- 57 is the median-of-three
- we go through the remaining elements, assigning them either to the front or the back of the second array



# Implementation

Once we are finished, we copy the median-of-three, 57, into the resulting hole



# Implementation

Can we implement quicksort in place?

Yes!

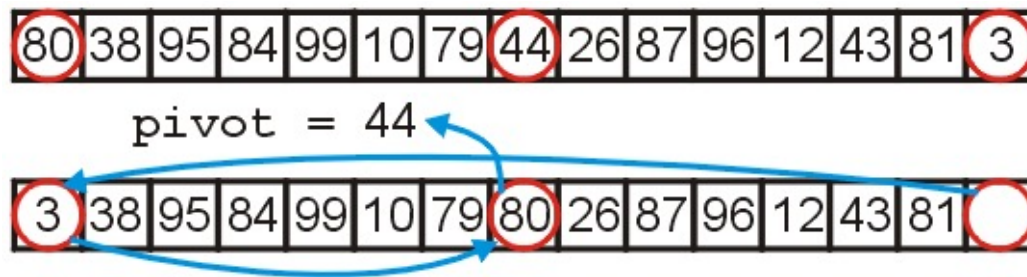
- Swap the pivot to the last slot of the list
- We repeatedly try to find two entries:
  - Starting from the front: an entry larger than the pivot
  - Starting from the back: an entry smaller than the pivot
- Such two entries are out of order, so we swap them
- Repeat until all the entries are in order
- Move the leftmost entry larger than the pivot into the last slot of the list and fill the hole with the pivot

# Implementation

First, we have already examined the first, middle, and last entries and chosen the median of these to be the pivot

In addition, we can:

- move the smallest entry to the first entry
- move the largest entry to the middle entry



# Implementation

The implementation is straight-forward

```
template <typename Type>
void quicksort( Type *array, int first, int last ) {
    if ( last - first <= N ) {
        insertion_sort( array, first, last );
    } else {
        Type pivot = find_pivot( array, first, last );
        int low  = find_next( pivot, array, first + 1 );
        int high = find_previous( pivot, array, last - 2 );

        while ( low < high ) {
            std::swap( array[low], array[high] );
            low  = find_next( pivot, array, low + 1 );
            high = find_previous( pivot, array, high - 1 );
        }

        array[last - 1] = array[low];
        array[low] = pivot;
        quicksort( array, first, low );
        quicksort( array, high, last );
    }
}
```

# Quicksort example

Consider the following unsorted array of 25 entries

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	77	49	35	61	48	73	23	95	3	89	37	57	99	17	32	94	28	15	55	7	51	88	97	62

We will call insertion sort if the list being sorted of size  $N = 6$  or less

# Quicksort example

We call quicksort( array, 0, 25 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	77	49	35	61	48	73	23	95	3	89	37	57	99	17	32	94	28	15	55	7	51	88	97	62

quicksort( array, 0, 25 )



# Quicksort example

We are calling quicksort( array, 0, 25 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	77	49	35	61	48	73	23	95	3	89	37	57	99	17	32	94	28	15	55	7	51	88	97	62

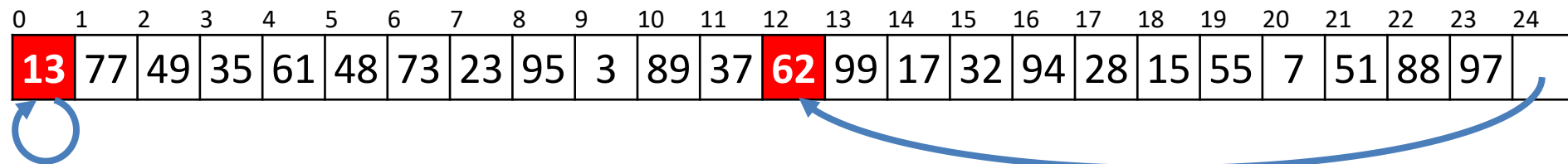
First,  $25 - 0 > 6$ , so find the midpoint and the pivot

midpoint =  $(0 + 25)/2$ ; // == 12

quicksort( array, 0, 25 )

# Quicksort example

We are calling quicksort( array, 0, 25 )



0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	77	49	35	61	48	73	23	95	3	89	37	62	99	17	32	94	28	15	55	7	51	88	97	

First,  $25 - 0 > 6$ , so find the midpoint and the pivot

midpoint =  $(0 + 25)/2$ ; // == 12

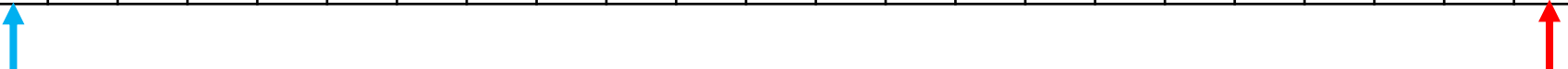
pivot = 57;

quicksort( array, 0, 25 )

# Quicksort example

We are calling quicksort( array, 0, 25 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	77	49	35	61	48	73	23	95	3	89	37	62	99	17	32	94	28	15	55	7	51	88	97	



Starting from the front and back:

- Find the next element greater than the pivot
- The last element less than the pivot

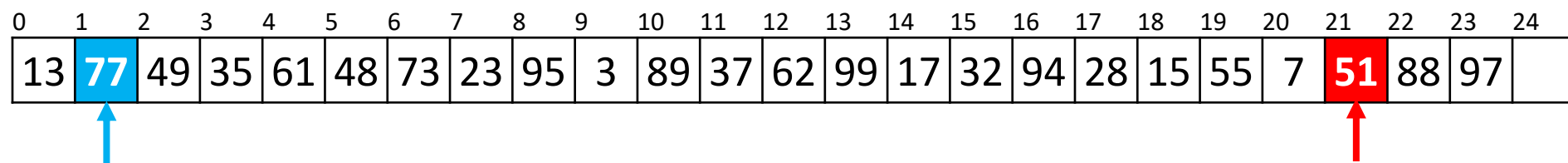
pivot = 57;

quicksort( array, 0, 25 )

# Quicksort example

We are calling quicksort( array, 0, 25 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	77	49	35	61	48	73	23	95	3	89	37	62	99	17	32	94	28	15	55	7	51	88	97	



Searching forward and backward:

low = 1;

high = 21;

pivot = 57;

quicksort( array, 0, 25 )

# Quicksort example

We are calling quicksort( array, 0, 25 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	61	48	73	23	95	3	89	37	62	99	17	32	94	28	15	55	7	77	88	97	

Searching forward and backward:

low = 1;

high = 21;

Swap them

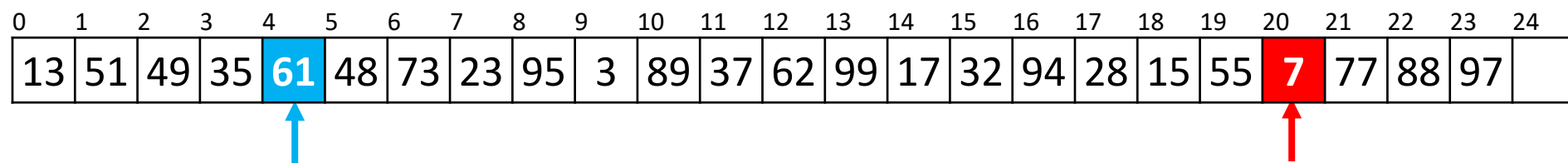
pivot = 57;

quicksort( array, 0, 25 )

# Quicksort example

We are calling quicksort( array, 0, 25 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	61	48	73	23	95	3	89	37	62	99	17	32	94	28	15	55	7	77	88	97	



Continue searching

low = 4;

high = 20;

pivot = 57;

quicksort( array, 0, 25 )

# Quicksort example

We are calling quicksort( array, 0, 25 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	73	23	95	3	89	37	62	99	17	32	94	28	15	55	61	77	88	97	



Continue searching

low = 4;

high = 20;

Swap them



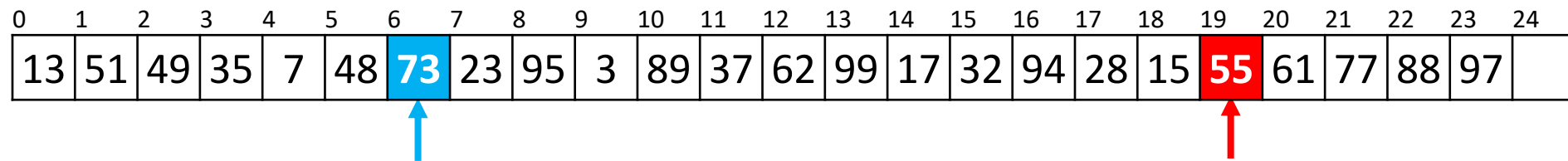
pivot = 57;

quicksort( array, 0, 25 )

# Quicksort example

We are calling quicksort( array, 0, 25 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	73	23	95	3	89	37	62	99	17	32	94	28	15	55	61	77	88	97	



Continue searching

low = 6;

high = 19;

pivot = 57;

quicksort( array, 0, 25 )



# Quicksort example

We are calling quicksort( array, 0, 25 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	55	23	95	3	89	37	62	99	17	32	94	28	15	73	61	77	88	97	



Continue searching

low = 6;

high = 19;

Swap them

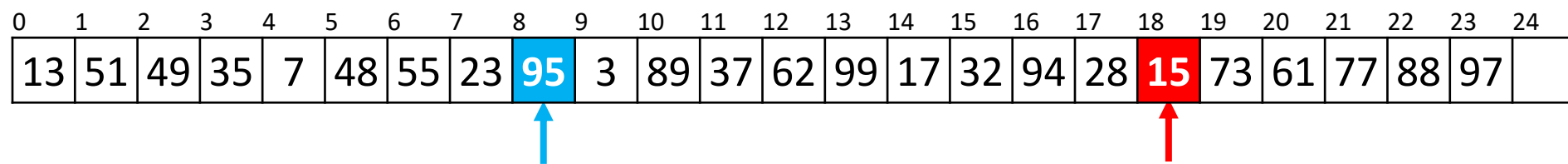
pivot = 57;

quicksort( array, 0, 25 )

# Quicksort example

We are calling quicksort( array, 0, 25 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	55	23	95	3	89	37	62	99	17	32	94	28	15	73	61	77	88	97	



Continue searching

low = 8;

high = 18;


pivot = 57;

quicksort( array, 0, 25 )

# Quicksort example

We are calling quicksort( array, 0, 25 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	55	23	15	3	89	37	62	99	17	32	94	28	95	73	61	77	88	97	



Continue searching

low = 8;

high = 18;

Swap them

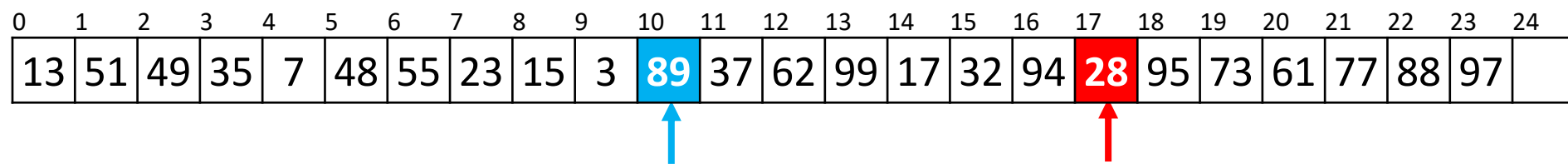
pivot = 57;

quicksort( array, 0, 25 )

# Quicksort example

We are calling quicksort( array, 0, 25 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	55	23	15	3	89	37	62	99	17	32	94	28	95	73	61	77	88	97	



Continue searching

low = 10;

high = 17;


pivot = 57;

quicksort( array, 0, 25 )

# Quicksort example

We are calling quicksort( array, 0, 25 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	55	23	15	3	28	37	62	99	17	32	94	89	95	73	61	77	88	97	



Continue searching

low = 10;

high = 17;

Swap them

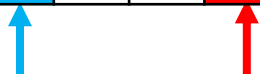
pivot = 57;

quicksort( array, 0, 25 )

# Quicksort example

We are calling quicksort( array, 0, 25 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	55	23	15	3	28	37	62	99	17	32	94	89	95	73	61	77	88	97	



Continue searching

low = 12;

high = 15;


pivot = 57;

quicksort( array, 0, 25 )

# Quicksort example

We are calling quicksort( array, 0, 25 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	55	23	15	3	28	37	32	99	17	62	94	89	95	73	61	77	88	97	



Continue searching

low = 12;

high = 15;

Swap them

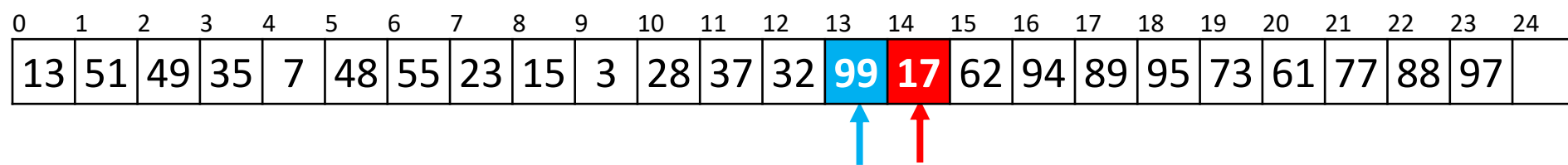
pivot = 57;

quicksort( array, 0, 25 )

# Quicksort example

We are calling quicksort( array, 0, 25 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	55	23	15	3	28	37	32	99	17	62	94	89	95	73	61	77	88	97	



Continue searching

low = 13;

high = 14;

pivot = 57;

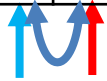
quicksort( array, 0, 25 )



# Quicksort example

We are calling quicksort( array, 0, 25 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	55	23	15	3	28	37	32	17	99	62	94	89	95	73	61	77	88	97	



Continue searching

low = 13;

high = 14;

Swap them


pivot = 57;

quicksort( array, 0, 25 )

# Quicksort example

We are calling quicksort( array, 0, 25 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	55	23	15	3	28	37	32	17	99	62	94	89	95	73	61	77	88	97	



Continue searching

low = 14;

high = 13;

Now, low > high, so we stop

pivot = 57;

quicksort( array, 0, 25 )

# Quicksort example

We are calling `quicksort( array, 0, 25 )`

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	55	23	15	3	28	37	32	17	57	62	94	89	95	73	61	77	88	97	99

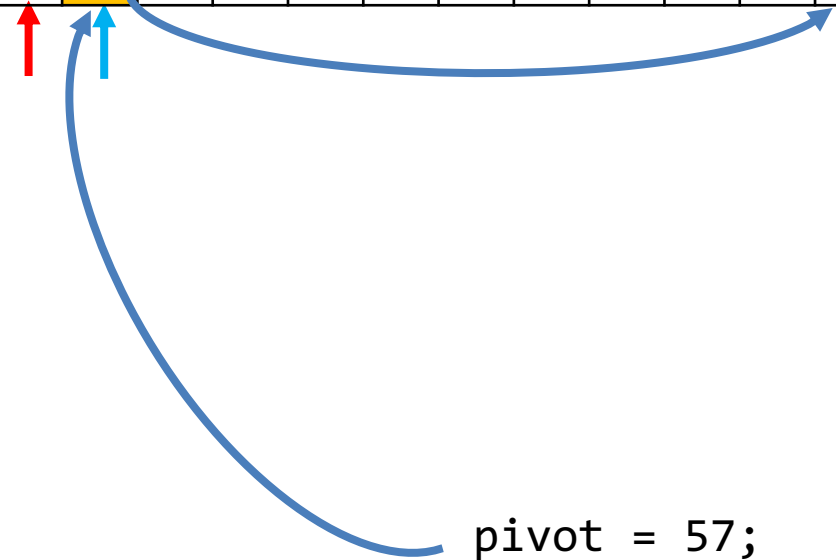
Continue searching

`low = 14;`

`high = 13;`

Now, `low > high`, so we stop

`quicksort( array, 0, 25 )`



# Quicksort example

We are calling quicksort( array, 0, 25 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	55	23	15	3	28	37	32	17	57	62	94	89	95	73	61	77	88	97	99

We now begin calling quicksort recursively on the first half  
quicksort( array, 0, 14 );

quicksort( array, 0, 25 )

# Quicksort example

We are executing quicksort( array, 0, 14 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	55	23	15	3	28	37	32	17	57	62	94	89	95	73	61	77	88	97	99

First,  $14 - 0 > 6$ , so find the midpoint and the pivot

`midpoint = (0 + 14)/2; // == 7`

`quicksort( array, 0, 14 )`

`quicksort( array, 0, 25 )`

# Quicksort example

We are executing quicksort( array, 0, 14 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	55	23	15	3	28	37	32	17	57	62	94	89	95	73	61	77	88	97	99

First,  $14 - 0 > 6$ , so find the midpoint and the pivot

midpoint =  $(0 + 14)/2$ ; // == 7

pivot = 17

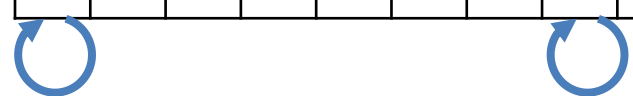
quicksort( array, 0, 14 )

quicksort( array, 0, 25 )

# Quicksort example

We are executing quicksort( array, 0, 14 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	55	23	15	3	28	37	32		57	62	94	89	95	73	61	77	88	97	99



First,  $14 - 0 > 6$ , so find the midpoint and the pivot

`midpoint = (0 + 14)/2; // == 7`

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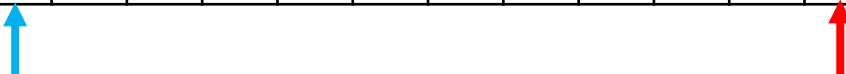
`quicksort( array, 0, 14 )`

`quicksort( array, 0, 25 )`

# Quicksort example

We are executing `quicksort( array, 0, 14 )`

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	55	23	15	3	28	37	32		57	62	94	89	95	73	61	77	88	97	99



Starting from the front and back:

- Find the next element greater than the pivot
- The last element less than the pivot

`pivot = 17;`

`quicksort( array, 0, 14 )`

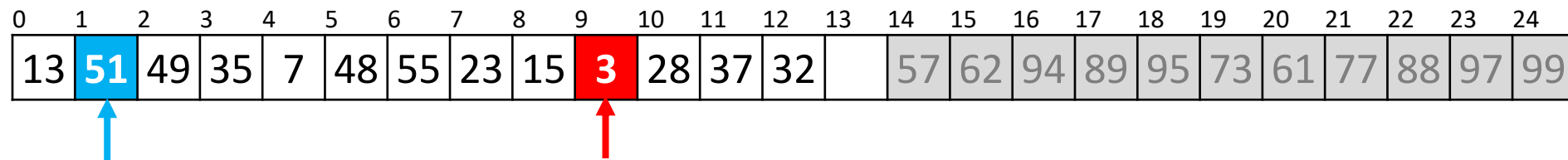
`quicksort( array, 0, 25 )`



# Quicksort example

We are executing quicksort( array, 0, 14 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	55	23	15	3	28	37	32		57	62	94	89	95	73	61	77	88	97	99



Searching forward and backward:

low = 1;

high = 9;

pivot = 17;

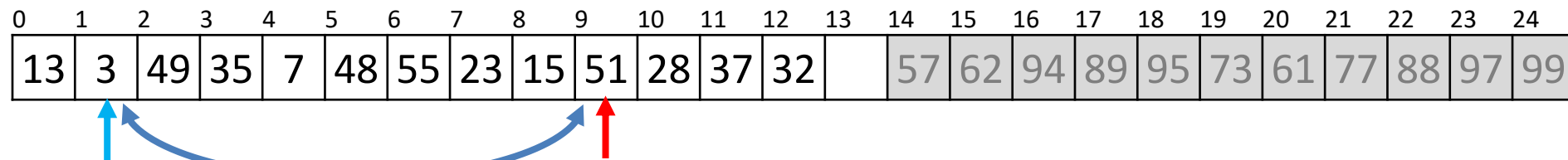
quicksort( array, 0, 14 )

quicksort( array, 0, 25 )

# Quicksort example

We are executing quicksort( array, 0, 14 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	3	49	35	7	48	55	23	15	51	28	37	32		57	62	94	89	95	73	61	77	88	97	99



Searching forward and backward:

low = 1;

high = 9;

Swap them

pivot = 17;

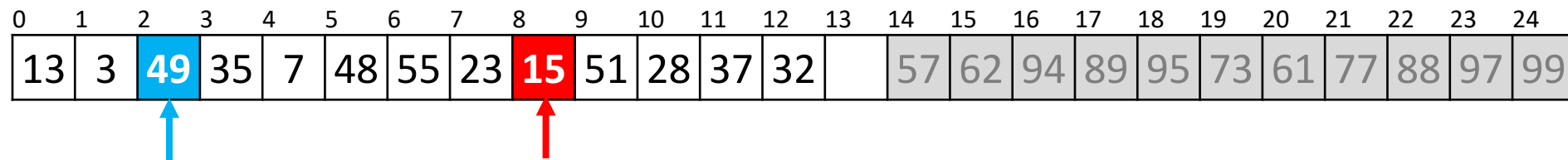
quicksort( array, 0, 14 )

quicksort( array, 0, 25 )

# Quicksort example

We are executing `quicksort( array, 0, 14 )`

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	3	49	35	7	48	55	23	15	51	28	37	32		57	62	94	89	95	73	61	77	88	97	99



Searching forward and backward:

`low = 2;`

`high = 8;`

`pivot = 17;`

`quicksort( array, 0, 14 )`

`quicksort( array, 0, 25 )`

# Quicksort example

We are executing `quicksort( array, 0, 14 )`

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	3	15	35	7	48	55	23	49	51	28	37	32		57	62	94	89	95	73	61	77	88	97	99

Searching forward and backward:

`low = 2;`

`high = 8;`

Swap them

`pivot = 17;`

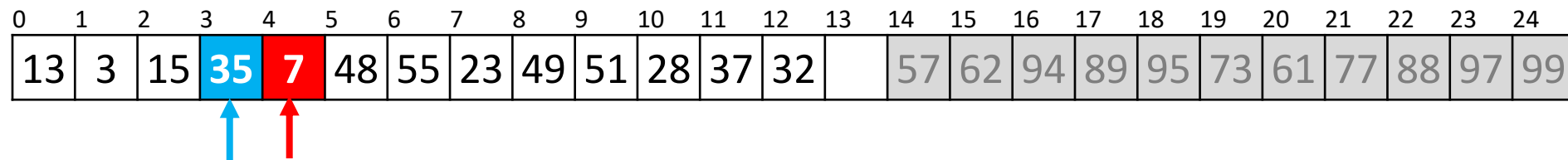
`quicksort( array, 0, 14 )`

`quicksort( array, 0, 25 )`

# Quicksort example

We are executing quicksort( array, 0, 14 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	3	15	35	7	48	55	23	49	51	28	37	32		57	62	94	89	95	73	61	77	88	97	99



Searching forward and backward:

low = 3;

high = 4;

pivot = 17;


quicksort( array, 0, 14 )

quicksort( array, 0, 25 )

# Quicksort example

We are executing quicksort( array, 0, 14 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	3	15	7	35	48	55	23	49	51	28	37	32		57	62	94	89	95	73	61	77	88	97	99



Searching forward and backward:

low = 3;

high = 4;

Swap them

pivot = 17;

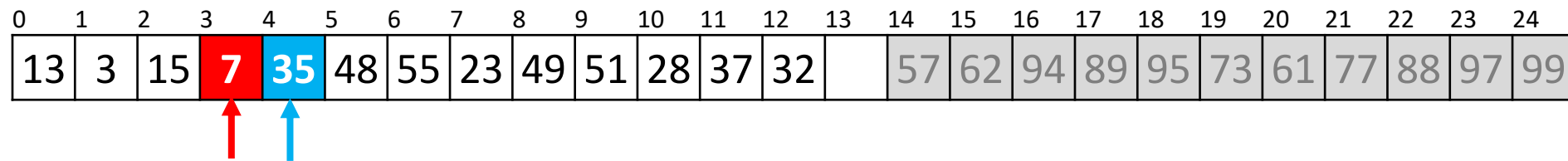
quicksort( array, 0, 14 )

quicksort( array, 0, 25 )

# Quicksort example

We are executing `quicksort( array, 0, 14 )`

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	3	15	7	35	48	55	23	49	51	28	37	32		57	62	94	89	95	73	61	77	88	97	99



Searching forward and backward:

`low = 4;`

`high = 3;`

Now, `low > high`, so we stop

`pivot = 17;`

`quicksort( array, 0, 14 )`

`quicksort( array, 0, 25 )`

# Quicksort example

We are executing `quicksort( array, 0, 14 )`

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	3	15	7	17	48	55	23	49	51	28	37	32	35	57	62	94	89	95	73	61	77	88	97	99

We continue calling quicksort recursively  
`quicksort( array, 0, 4 );`

`quicksort( array, 0, 14 )`  
`quicksort( array, 0, 25 )`



# Quicksort example

We are executing quicksort( array, 0, 4 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	3	15	7	17	48	55	23	49	51	28	37	32	35	57	62	94	89	95	73	61	77	88	97	99

Now,  $4 - 0 \leq 6$ , so find we call insertion sort

quicksort( array, 0, 4 )

quicksort( array, 0, 14 )

quicksort( array, 0, 25 )

# Quicksort example

Insertion sort just sorts the entries from 0 to 3

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	3	15	7	17	48	55	23	49	51	28	37	32	35	57	62	94	89	95	73	61	77	88	97	99

```
insertion_sort( array, 0, 4 )  
quicksort( array, 0, 4 )  
quicksort( array, 0, 14 )  
quicksort( array, 0, 25 )
```

# Quicksort example

Insertion sort just sorts the entries from 0 to 3

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	48	55	23	49	51	28	37	32	35	57	62	94	89	95	73	61	77	88	97	99

- This function call completes and so we exit

```
insertion_sort( array, 0, 4 )  
quicksort( array, 0, 4 )  
quicksort( array, 0, 14 )  
quicksort( array, 0, 25 )
```

# Quicksort example

This call to quicksort is now also finished, so it, too, exits

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	48	55	23	49	51	28	37	32	35	57	62	94	89	95	73	61	77	88	97	99

```
quicksort( array, 0, 4 )
```

```
quicksort( array, 0, 14 )
```

```
quicksort( array, 0, 25 )
```

# Quicksort example

We are back to executing quicksort( array, 0, 14 )

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	48	55	23	49	51	28	37	32	35	57	62	94	89	95	73	61	77	88	97	99

We continue calling quicksort recursively on the second half

```
quicksort( array, 0, 4 );
```

```
quicksort( array, 5, 14 );
```

```
quicksort( array, 0, 14 )
```

```
quicksort( array, 0, 25 )
```

# Quicksort example

This call to quicksort is now also finished, so it, too, exits

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	23	28	32	35	37	48	49	51	55	57	61	62	73	77	88	89	94	95	97	99

```
quicksort( array, 0, 25 )
```

# Quicksort example

We have now used quicksort to sort this array of 25 entries

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	23	28	32	35	37	48	49	51	55	57	61	62	73	77	88	89	94	95	97	99

# Memory Requirements

## The additional memory?

- Function call stack
  - Each recursive function call places its local variables, parameters, *etc.*, on a stack
- Average case: the depth of the recursion is  $\Theta(\ln(n))$
- Worst case: the depth of the recursion is  $\Theta(n)$



# Run-time Summary

To summarize the two  $\Theta(n \ln(n))$  algorithms

	<b>Average Run Time</b>	<b>Worst-case Run Time</b>	<b>Average Memory</b>	<b>Worst-case Memory</b>
Merge Sort	$\Theta(n \ln(n))$		$\Theta(n)$	
Quicksort	$\Theta(n \ln(n))$	$\Theta(n^2)$	$\Theta(\ln(n))$	$\Theta(n)$

# Further modifications

Our implementation is by no means optimal:

An excellent paper on quicksort was written by Jon L. Bentley and M. Douglas McIlroy:

Engineering a Sort Function

found in Software—Practice and Experience, Vol. 23(11), Nov 1993

# Matching Nuts and Bolts

- Problem. A disorganized carpenter has a mixed pile of  $n$  nuts and  $n$  bolts.
  - The goal is to find the corresponding pairs of nuts and bolts.
  - Each nut fits exactly one bolt and each bolt fits exactly one nut.
  - By fitting a nut and a bolt together, the carpenter can see which one is bigger (but cannot directly compare either two nuts or two bolts).



- Brute-force solution. Compare each bolt to each nut— $\Theta(n^2)$  compares.
  - Challenge. Design an algorithm that makes  $O(n \log n)$  compares.
  - [Hint](#): use quick sort

# Matching Nuts and Bolts

- Divide.
  - Pick bolt  $p$  uniformly at random; compare bolt  $p$  against all nuts; divide nuts smaller than  $p$  from those that are larger than  $p$ .
  - Let  $p'$  be the nut that matches bolt  $p$ . Compare  $p'$  against all bolts; divide bolts smaller than  $p'$  from those that are larger than  $p'$ .
- Conquer. Recursively solve two independent subproblems.
- Analysis. Almost identical to analysis of randomized quicksort.  
(but  $2n$  compares to partition pile of  $n$  nuts and  $n$  bolts)

# Summary

This topic covered quicksort

- On average faster than heap sort or merge sort
- Uses a pivot to partition the objects
- Using the median of three pivots is a reasonably means of finding the pivot
- Average run time of  $\Theta(n \ln(n))$  and  $\Theta(\ln(n))$  memory
- Worst case run time of  $\Theta(n^2)$  and  $\Theta(n)$  memory

# Master Theorem

- Goal. Recipe for solving common divide-and-conquer recurrences:

$$T(n) = a T\left(\frac{n}{b}\right) + f(n)$$

with  $T(0) = 0$  and  $T(1) = \Theta(1)$ .

- Terms.
  - $a \geq 1$  is the number of subproblems.
  - $b \geq 2$  is the factor by which the subproblem size decreases.
  - $f(n) \geq 0$  is the work to divide and combine subproblems.
- Recursion tree. [ assuming  $n$  is a power of  $b$  ]
  - $a$  = branching factor.
  - $a^k$  = number of subproblems at level  $k$ .
  - $1 + \log_b n$  levels.
  - $n / b^k$  = size of subproblem at level  $k$ .

# Run-time Analysis of Merge Sort




The time required to sort an array of size  $n > 1$  is:

- the time required to sort the first half,
- the time required to sort the second half, and
- the time required to merge the two lists

That is: 
$$T(n) = \begin{cases} \Theta(1) & n = 1 \\ 2T\left(\frac{n}{2}\right) + \Theta(n) & n > 1 \end{cases}$$

Solution:  $T(n) = \Theta(n \ln(n))$

# Recursion Tree for Merge Sort





Level		Problem #	Problem size	Work
0		1	n	n
1		2	(n/2)	2(n/2)
2		4	(n/4)	4(n/4)
...	...	...	...	...
$k=\log_2 n$	.....	$2^k = n$	1	$2^k(n / 2^k)$

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n)$$

$$\text{Total work} = \sum_1^k 2^k(n / 2^k) = \sum_1^k n = n \log n$$






# Recursion Tree for ?

Level		Problem #	Problem size	Work
0		1	n	C
1		1	(n/2)	C
2		1	(n/4)	C
...	...	...	...	...
k=log <sub>2</sub> n	..... 	1	1	C

$$T(n) = T\left(\text{floor}\left(\frac{n}{2}\right)\right) + \Theta(C)$$

$$\text{Total work} = \sum_1^k C = \sum_1^{\log_2 n} C = \log n$$






# Recursion Tree for ?

Level		Problem #	Problem size	Work
0		1	n	n
1		4	(n/2)	4(n/2)
2		4 <sup>2</sup>	(n/4)	4 <sup>2</sup> (n/4)
...	4                      4                      4                      4	...	...	...
k=log <sub>2</sub> n	.....	4 <sup>k</sup> =4 <sup>log<sub>2</sub> n</sup>	1	4 <sup>k</sup> (n / 2 <sup>k</sup> )

$$T(n) = 4T\left(\frac{n}{2}\right) + \Theta(n)$$

$$\text{Total work} = \sum_1^k 4^k(n / 2^k) = \sum_1^k n2^k = n^2$$

# Master Theorem

Level		Problem #	Problem size	Work
0		1	n	$n^d$
1		a	$(n/b)$	$a(n/b)^d$
2		$a^2$	$(n/b^2)$	$a^2(n/b^2)^d$
...		...	...	...
$k = \log_b n$		$a^k = a^{\log_b n}$	1	$a^k(n/b^k)^d$

$$T(n) = aT\left(\frac{n}{b}\right) + \Theta(n^d)$$

$$\text{Total work} = \sum_1^k a^k (n/b^k)^d = \sum_1^k n^d (a/b^d)^k = n^d \sum_1^k (a/b^d)^k$$

# Master Theorem

- If  $T(n) = aT\left(\frac{n}{b}\right) + \Theta(n^d)$  for constants  $a > 0, b > 1, d \geq 0$ , then:

$$T(n) = \begin{cases} O(n^d) & \text{if } d > \log_b a \\ O(n^d \log n) & \text{if } d = \log_b a \\ O(n^{\log_b a}) & \text{if } d < \log_b a \end{cases}$$

# Master theorem does not apply

- Gaps in master theorem.
  - Number of subproblems is not a constant.

$$T(n) = nT(n/2) + n^2$$

- Number of subproblems is less than 1.

$$T(n) = \frac{1}{2}T(n/2) + n^2$$

- No polynomial separation between  $f(n)$  and  $n^{\log_b a}$ .

$$T(n) = 2T(n/2) + n \log n$$

- $f(n)$  is not positive.

$$T(n) = 2T(n/2) - n^2$$

- Regularity condition does not hold.

$$T(n) = T(n/2) + n(2 - \cos n)$$

# Question 1

- Consider the following recurrence. Which case of the master theorem?

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1 \\ 3T(\lceil n/2 \rceil) + \Theta(n) & \text{if } n > 1 \end{cases}$$

- Case 1:  $T(n) = \Theta(n^{\log_2 3}) = O(n^{1.585})$ .
- Case 2:  $T(n) = \Theta(n \log n)$ .
- Case 3:  $T(n) = \Theta(n)$ .
- Master theorem not applicable.