

# Midterm

**Time:** Apr. 15 Thursday, in class (10:15-11:55am)

**Location:** 教学中心 201 & 301.

Seating arrangement TBA.

**Covers** Chapter 2, 4~8

**Format:**

- 5 multi-choices + 4 problems;
- closed-book, one A4-size cheat sheet allowed

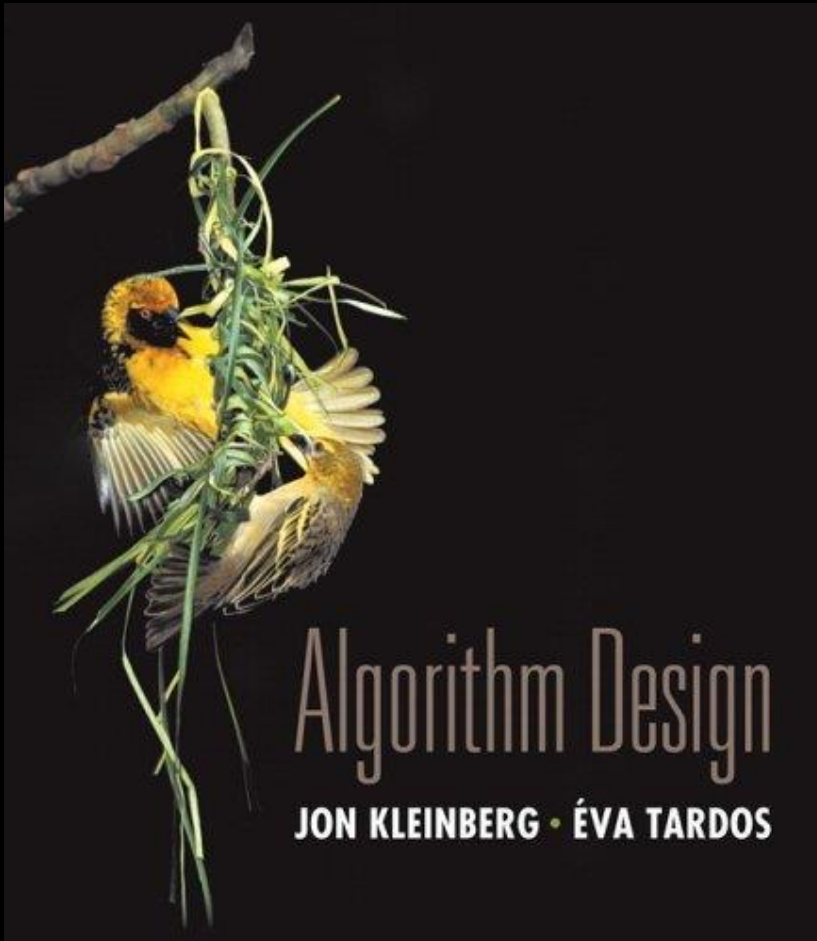
**Grade:** %35 of the total grade

# Midterm Review

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# Chapter 2

## Basics of Algorithm Analysis



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# Basics of Algorithm Analysis

**Worst case analysis.** Obtain bound on **largest possible** running time of algorithm on input of a given size  $N$ .

## Asymptotic Order of Growth

- Upper bounds.  $T(n)$  is  $O(f(n))$  if there exist constants  $c > 0$  and  $n_0 \geq 0$  such that for all  $n \geq n_0$  we have  $T(n) \leq c \cdot f(n)$ .
- Lower bounds.  $T(n)$  is  $\Omega(f(n))$  if there exist constants  $c > 0$  and  $n_0 \geq 0$  such that for all  $n \geq n_0$  we have  $T(n) \geq c \cdot f(n)$ .
- Tight bounds.  $T(n)$  is  $\Theta(f(n))$  if  $T(n)$  is both  $O(f(n))$  and  $\Omega(f(n))$ .

# Asymptotic Bounds for Some Common Functions

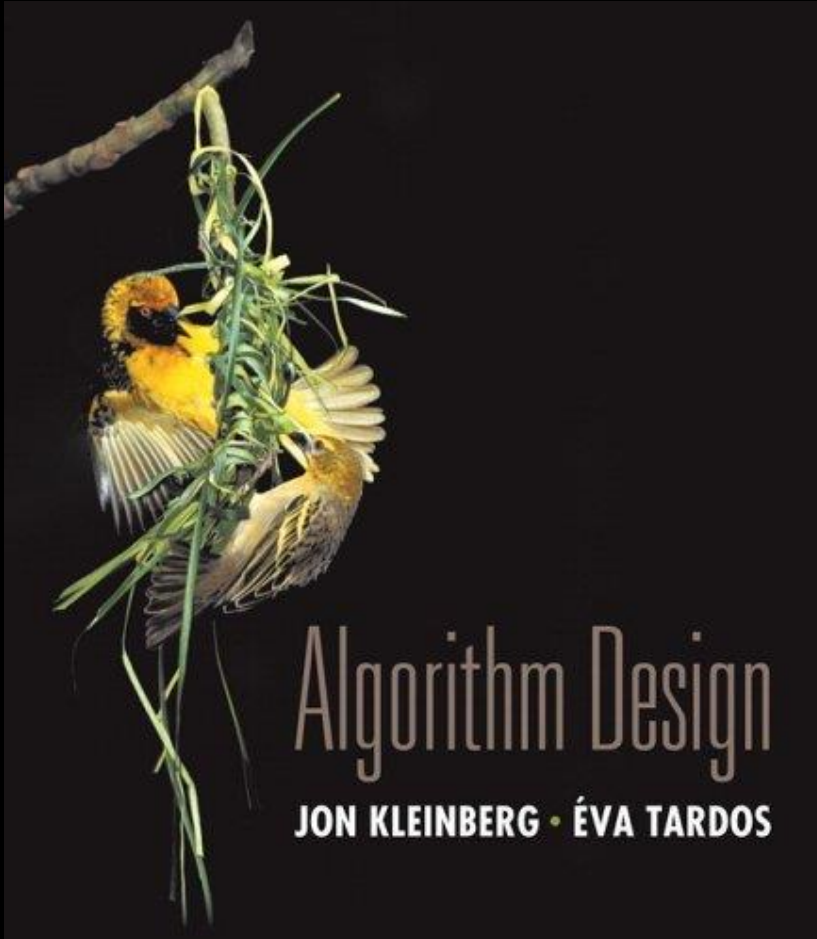
**Polynomials.**  $a_0 + a_1n + \dots + a_dn^d$  is  $\Theta(n^d)$  if  $a_d > 0$ .

**Logarithms.** For every  $x > 0$ ,  $\log n = O(n^x)$ .

**Exponentials.** For every  $r > 1$  and every  $d > 0$ ,  $n^d = O(r^n)$ .

# Chapter 4

## Greedy Algorithms



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# Greedy Algorithms

## Basic idea

- Make the locally optimal choice at each step.

## Algorithms

- Interval Scheduling
  - Choose the job with the earliest finish time
- Scheduling to Minimize Lateness
  - Choose the job with the earliest deadline
- Optimal Caching
  - Evict item that is requested farthest in future
- Clustering
  - Single-link k-clustering

# Greedy Algorithms

## Proof skills

- **Greedy algorithm stays ahead.** Show that after each step of the greedy algorithm, its solution is at least as good as any other algorithm's.
- **Exchange argument.** Gradually transform any solution to the one found by the greedy algorithm without hurting its quality.



# Chapter 5

## Divide and Conquer



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# Divide-and-Conquer

## Basic idea

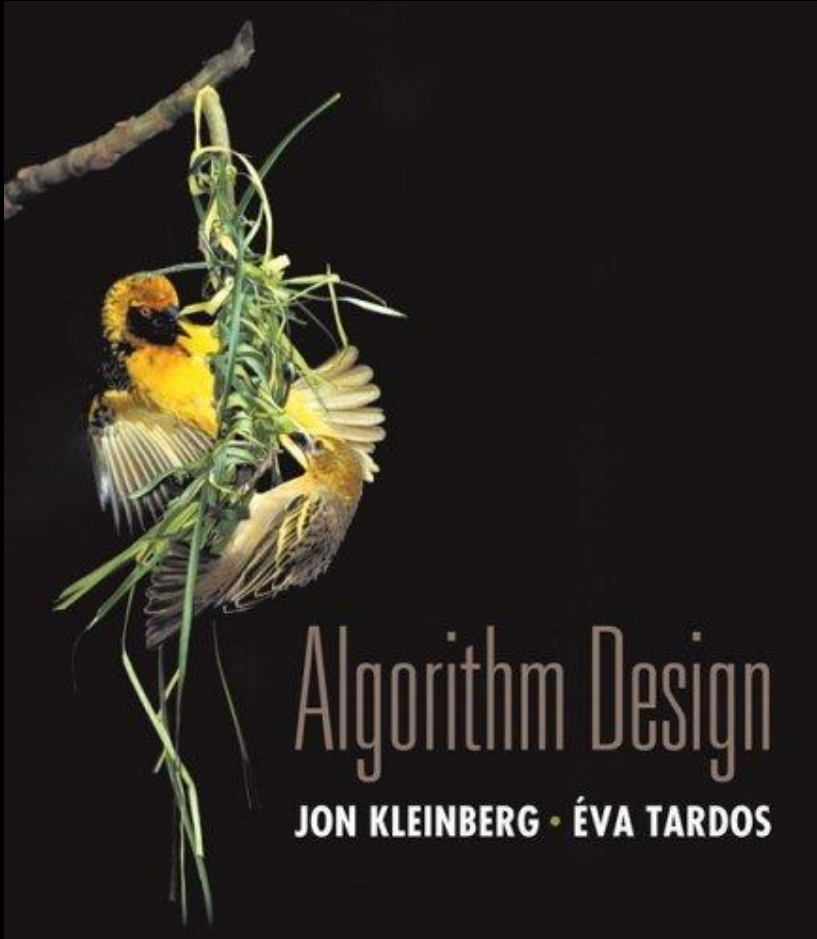
- Break up problem into several parts.
- Solve each part recursively.
- Combine solutions to sub-problems into overall solution.

## Algorithms

- Mergesort
  - Divide a sequence into two of same size
- Closest Pair of Points
  - Vertically divide the space
- Integer Multiplication
  - Divide each  $n$ -digit integer into two  $\frac{1}{2}n$ -digit integers
- Matrix Multiplication
  - Divide each  $n$ -by- $n$  matrix into four  $\frac{1}{2}n$ -by- $\frac{1}{2}n$  blocks
- Fast Fourier Transform
  - Divide a polynomial into two with even and odd powers

# Chapter 6

## Dynamic Programming



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# Dynamic Programming

## Basic idea

- Polynomial number of sub-problems with a natural ordering from smallest to largest.
- Optimal solution to a sub-problem can be constructed from optimal solutions of smaller sub-problems.
- Sub-problems are overlapping!

## Guideline

- Define the sub-problems
  - $\text{OPT}(\dots)$
- Write down the recursive formulas
  - Ex:  $\text{OPT}(i) = \max(f(\text{OPT}(j)), g(\text{OPT}(k)), \dots), j, k < i$
- Compute the formulas either bottom-up or top-down

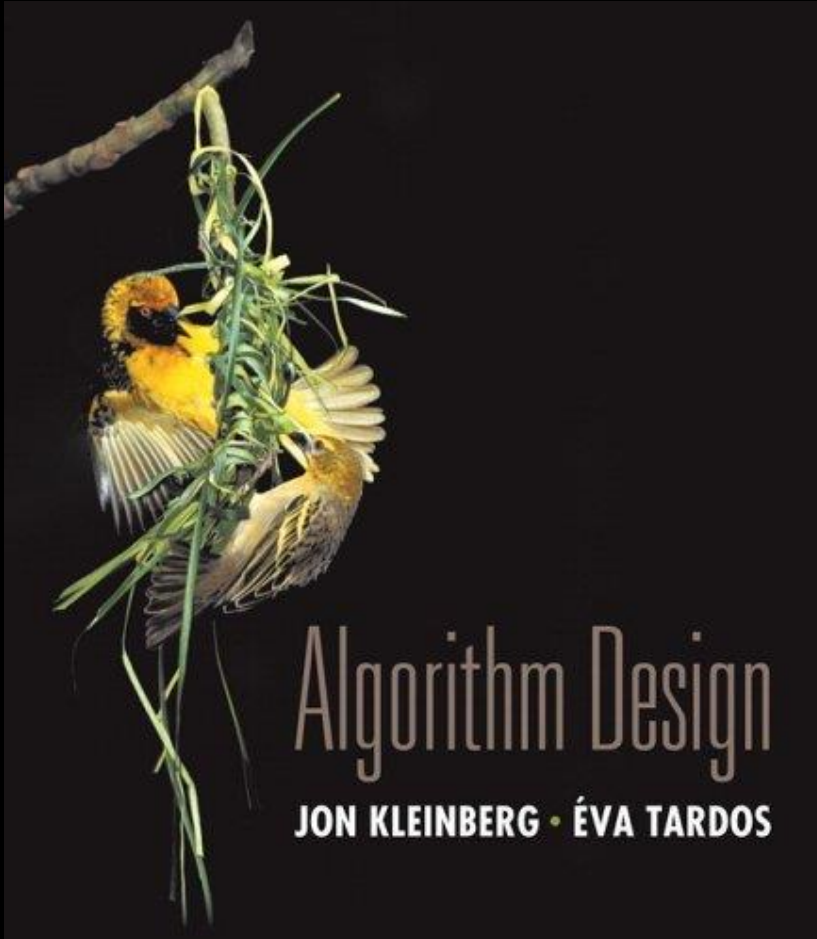
# Dynamic Programming

## Algorithms

- Weighted interval scheduling
  - 1D array; binary choice
- Knapsack
  - 2D array; adding a new variable (weight limit)
- RNA secondary structure
  - 2D array: intervals
- Sequence Alignment
  - 2D array: prefix alignment
- Sequence Alignment in Linear Space
  - Combination of divide-and-conquer and dynamic programming
- Shortest path with negative edges
  - (Bellman-Ford) 2D array: shortest path with edge number  $\leq i$
- Distance Vector Protocol
- Negative Cycle Detection

# Chapter 7

## Network Flow



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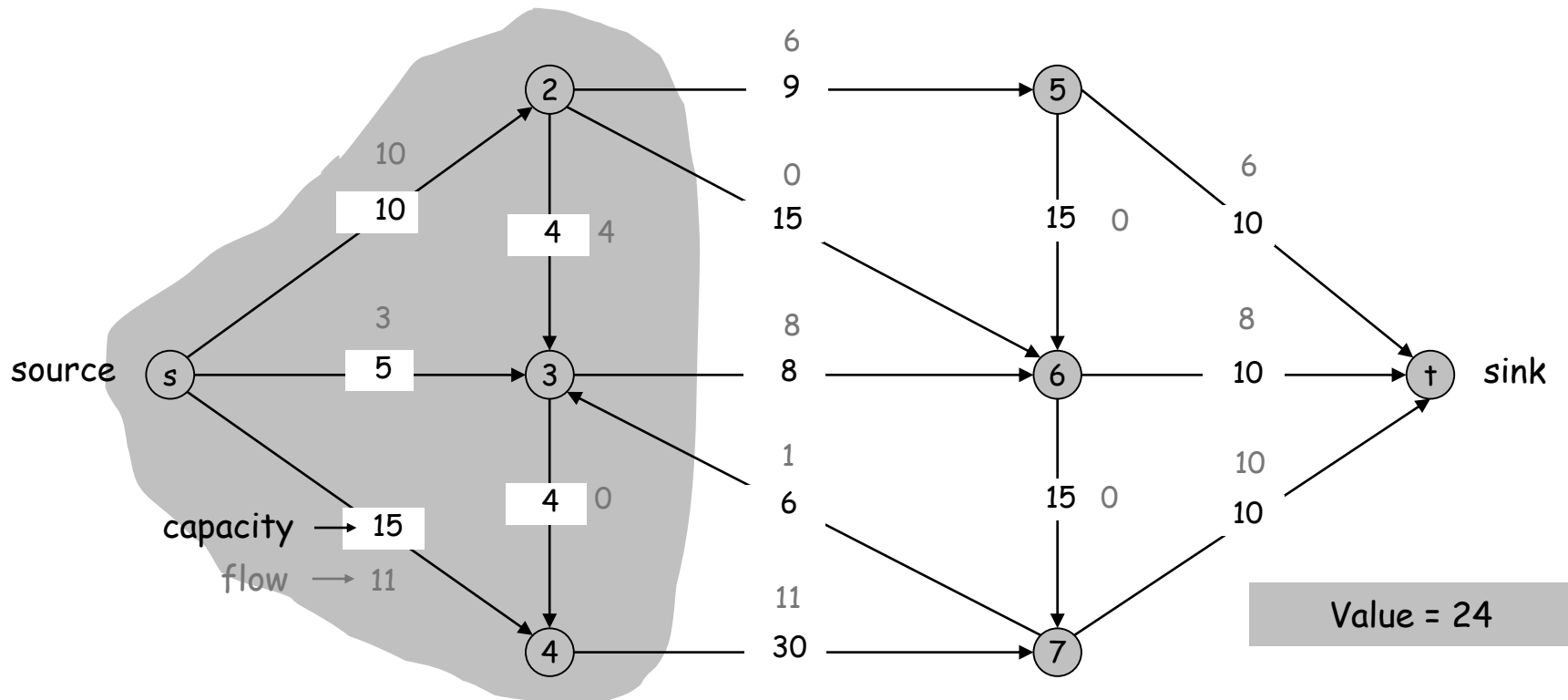
# Flows

## Concepts

- s-t flow
- Max-flow
- s-t cut
- Min-cut

## Max-flow min-cut theorem.

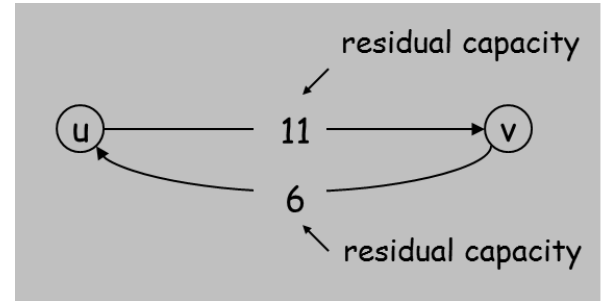
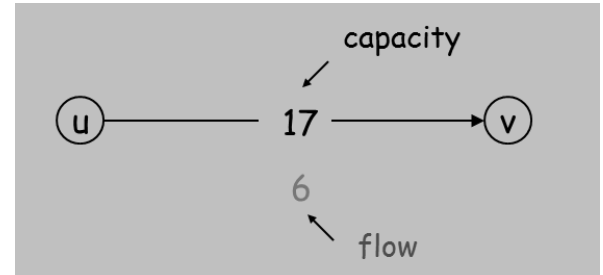
The value of the max flow is equal to the value of the min cut.



# Ford-Fulkerson Algorithm

## Ford-Fulkerson Algorithm

- Start with  $f(e) = 0$  for all edge  $e \in E$ .
- Find an augmenting path  $P$  in the residual graph  $G_f$ .
  - Can be chosen using capacity scaling
- Augment flow along path  $P$ .
- Repeat until you get stuck.



```
Ford-Fulkerson( $G, s, t, c$ ) {  
  foreach  $e \in E$   $f(e) \leftarrow 0$   
   $G_f \leftarrow$  residual graph  
  
  while (there exists augmenting path  $P$ ) {  
     $f \leftarrow$  Augment( $f, c, P$ )  
    update  $G_f$   
  }  
  return  $f$   
}
```



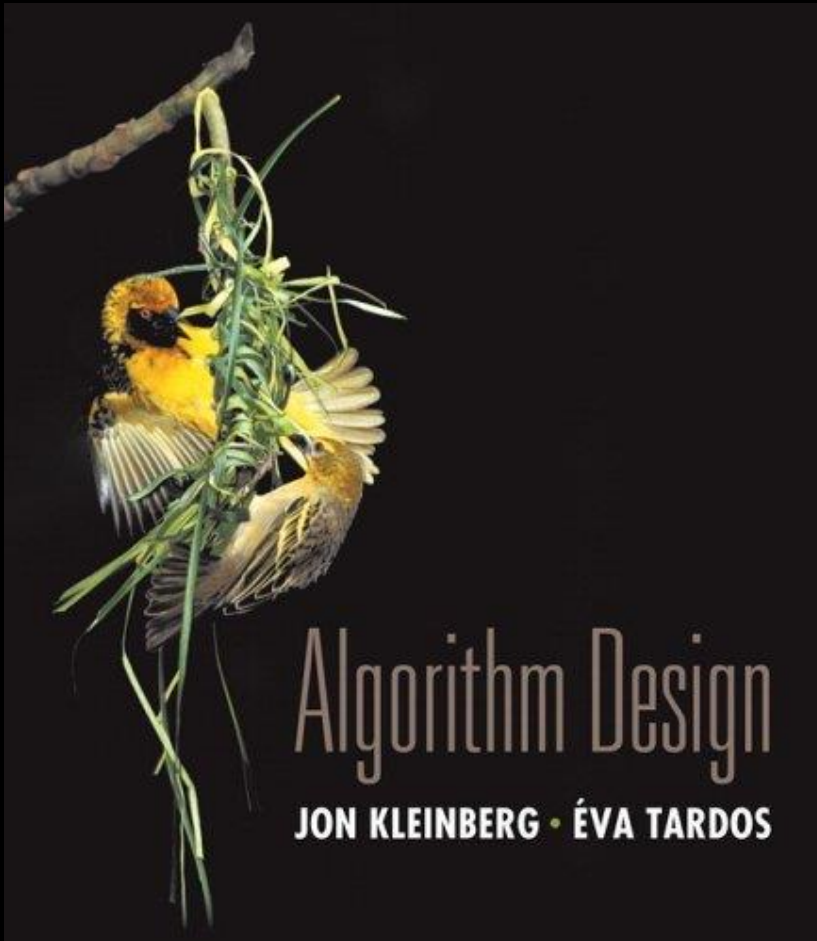
# Applications

## Problems covered in class

- Bipartite Matching
- Circulation with Demands (+ edge lower bounds)
- Survey Design
- Image Segmentation
- Project Selection
- Baseball Elimination

# Chapter 8

## NP and Computational Intractability



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# Key Concepts

## Decision problem

- Answer yes/no

**P.** Decision problems for which there is a **poly-time** algorithm.

**NP.** Decision problems for which there exists a **poly-time** certifier.

- Algorithm  $C(s, t)$  is a **certifier** for problem  $X$  if for every string  $s$ ,  $s \in X$  iff there exists a string  $t$  such that  $C(s, t) = \text{yes}$ .

**co-NP.** Complements of decision problems in NP.

**EXP.** Decision problems for which there is an **exponential-time** algorithm.

**Claim.**  $P \subseteq NP, \text{co-NP} \subseteq \text{EXP}$

# Polynomial-Time Reduction

**Reduction.** Problem X **polynomial-time reduces to** problem Y if arbitrary instances of problem X can be solved using:

- Polynomial number of standard computational steps, plus
- Polynomial number of calls to oracle that solves problem Y.

**Notation.**  $X \leq_p Y$ .

**Common approach:** **polynomial transformation**

- Given any input  $x$  to  $X$ , **construct** an input  $y$  in poly-time such that  $x$  is a yes instance of  $X$  **iff**  $y$  is a yes instance of  $Y$ .

# NP-Completeness

**NP-complete.** A problem  $Y$  in NP with the property that for every problem  $X$  in NP,  $X \leq_p Y$ .

**Recipe to establish NP-completeness of problem  $Y$ .**

- Step 1. Show that  $Y$  is in NP.
- Step 2. Choose an NP-complete problem  $X$ .
- Step 3. Prove that  $X \leq_p Y$ .

# NP-Completeness

