Discussion 7

Query Optimization

Jiahui Xu

Query Optimization

Three beautifully orthogonal concerns:

- Plan space:
 - for a given query, what plans are considered?
- Cost estimation:
 - how is the cost of a plan estimated?
- Search strategy:
 - how do we "search" in the "plan space"?

Optimization goal:

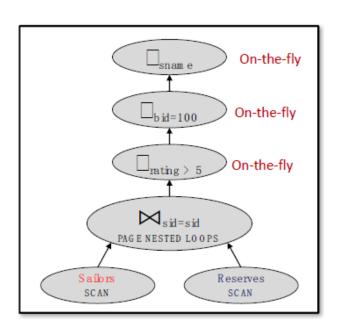
- Ideally: Find the plan with least actual cost.
- Reality: Find the plan with least estimated cost.
 - And try to avoid really bad actual plans!

Background

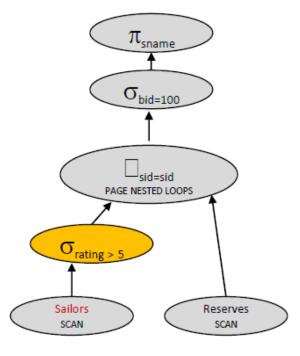
- Query block can be converted to relational algebra
- Rel. Algebra converts to tree
- Operators can also be applied in different orders!
- Order of operators affects I/Os and resource usage, but not necessarily output

Plan Space

- Selection/ Projection cascade and pushdown
 - The earlier we reduce the size of our input data, the fewer I/Os are incurred as we traverse up the tree
 - Pushing a selection into the inner loop of a nested loop join doesn't save I/Os! Essentially equivalent to having the selection above.
- Avoid Cartesian products
 - Given a choice, do theta-joins rather than cross-products

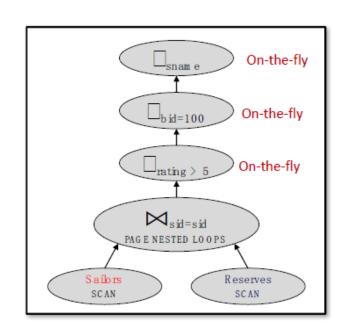


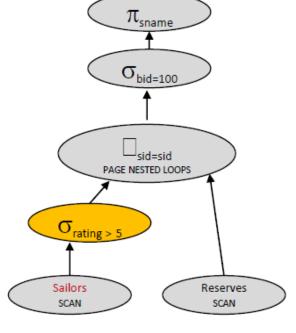
500,500 IOs



250,500 IOs

- Selection/ Projection cascade and pushdown
 - The earlier we reduce the size of our input data, the fewer I/Os are incurred as we traverse up the tree
 - Pushing a selection into the inner loop of a nested loop join doesn't save I/Os! Essentially equivalent to having the selection above.

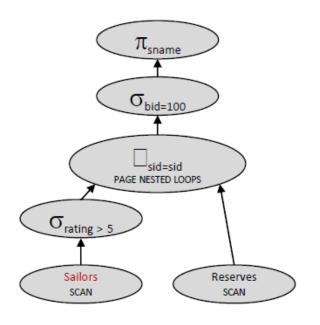




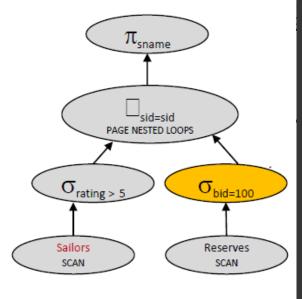
500,500 IOs

250,500 IOs

- Selection/ Projection cascade and pushdown
 - The earlier we reduce the size of our input data, the fewer I/Os are incurred as we traverse up the tree
 - Pushing a selection into the inner loop of a nested loop join doesn't save I/Os! Essentially equivalent to having the selection above.

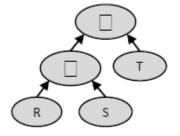


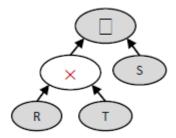




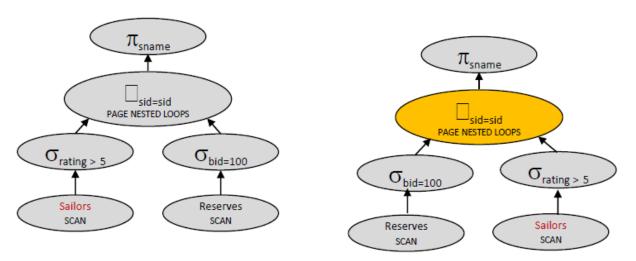
250,500 IOs

- Avoid Cartesian products
 - Given a choice, do theta-joins rather than cross-products

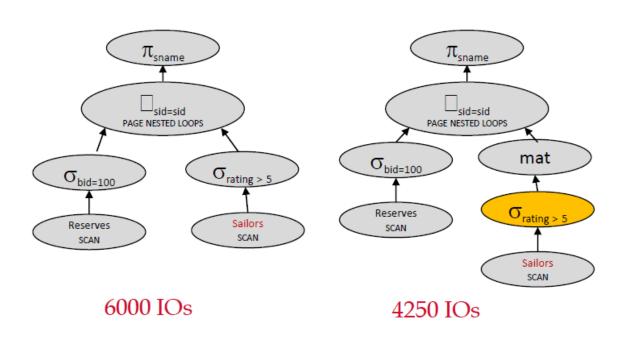




- Exchange Join Order
- Scan A (Npage(A) IOs)
- For each pageful of A for conditionA, Scan B (Npage(B) IOs)
- Total: Npage(A) + Npage(A) * selectivity(conditionA) * Npage(B))

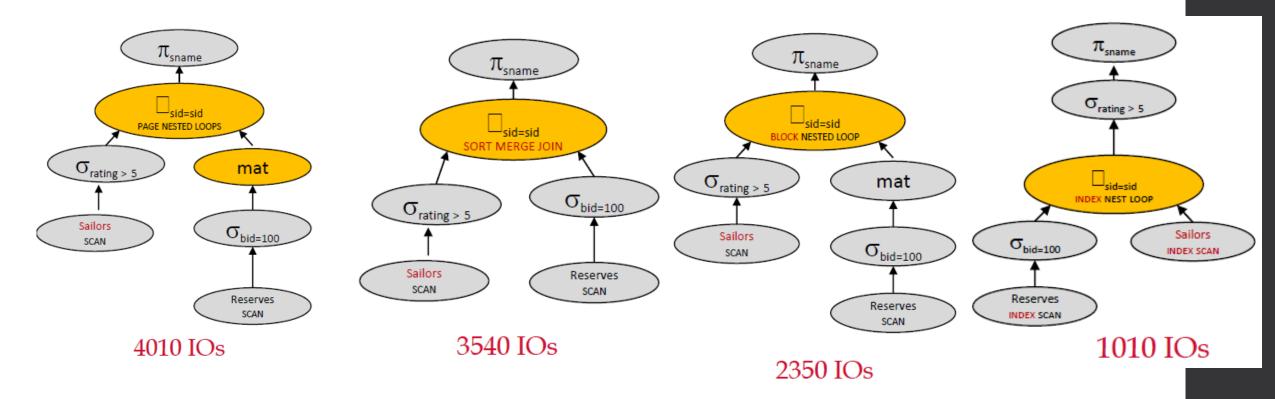


Materializing Inner Loops(write to a temp table)



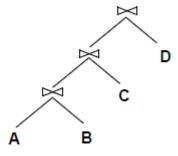
- Scan Reserves (1000 IOs)
- For each pageful of Reserves for bid 100,
- Scan Sailors (500 IOs)
- 1000 + 10*500
- Scan Reserves (1000 IOs)
- Scan Sailors (500 IOs)
- Materialize Temp table T1 (250 IOs)
- For each pageful of Reserves for bid 100(10), Scan T1 (250 IOs)
- 1000 + 500+ 250 + (10 * 250)

- Join Algorithm
 - Use indices (e.g. INLJ)



System R optimizer

- We'll be looking at the System R optimizer
- Plan space:
 - only left-deep trees, avoid cartesian products unless they're the only option.
 - Left-deep trees represent a plan where all new tables are joined one at a time from the right.



- Cost estimation:
 - actual Selinger optimizer incorporates both CPU and I/O cost
 - we'll only use I/O cost for this class
- Search algorithm: dynamic programming

Cost Estimation

- For each plan considered, must estimate total cost:
- Must estimate cost of each operation in plan tree: Depends on input cardinalities.
 - We've already discussed this for various operators: sequential scan, index scan, joins, etc.
- Must estimate size of result for each operation in tree: it determines downstream input cardinalities
 - Use information about the input relations.
 - For selections and joins, assume independence of predicates.

Cost Estimation

- Max output cardinality = product of input cardinalities
- Selectivity (sel) associated with each term
 - reflects the impact of the term in reducing result size.
 - selectivity = |output| / |input|
 - Book calls selectivity "Reduction Factor" (RF)
 - Assume: uniform distribution within histogram bins
- Result Cardinality
- = # of output tuples
- = Max # tuples * product of all selectivitie s

Selectivity

11.1 Equalities

| Predicate | Selectivity | ${f Assumption}$ |
|-------------|---|---------------------------------------|
| c = v | 1 / (# of distinct values of c in index) | We know $ c $. |
| c = v | 1/10 | We don't know $ c $. |
| $c_1 = c_2$ | $1 / \max(\# \text{ of distinct values of } c_1,$ | We know $ c_1 $ and $ c_2 $. |
| | $\#$ of distinct values of c_2) | |
| $c_1 = c_2$ | $1 / (\# \text{ of distinct values of } c_i)$ | We know $ c_i $ but not other column. |
| $c_1 = c_2$ | 1/10 | We don't know $ c_1 $ or $ c_2 $. |

11.2 Inequalities on Integers

| Predicate | Selectivity | Assumption |
|-----------|---|---|
| c < v | $(v - \min(c)) / (\max(c) - \min(c) + 1)$ | We know $\max(c)$ and $\min(c)$. |
| c > v | $(\max(c) - \mathbf{v}) / (\max(c) - \min(c) + 1)$ | c is an integer. |
| c < v | 1/10 | We don't know $\max(c)$ and $\min(c)$. |
| c > v | | c is an integer. |
| c <= v | $(v - \min(c)) / (\max(c) - \min(c) + 1) + (1/ c)$ | We know $\max(c)$ and $\min(c)$. |
| c >= v | $(\max(c) - v) / (\max(c) - \min(c) + 1) + (1/ c)$ | c is an integer. |
| c <= v | 1/10 | We don't know $\max(c)$ and $\min(c)$. |
| c >= v | | c is an integer. |

Selectivity

11.3 Inequalities on Floats

| Predicate | Selectivity | Assumption |
|-----------|--|---|
| c >= v | $(\max(c) - \mathbf{v}) / (\max(c) - \min(c))$ | We know $\max(c)$ and $\min(c)$. |
| | | c is a float. |
| c >= v | 1/10 | We don't know $\max(c)$ and $\min(c)$. |
| | | c is a float. |
| c <= v | $(v - \min(c)) / (\max(c) - \min(c))$ | We know $\max(c)$ and $\min(c)$. |
| | | c is a float. |
| c <= v | 1/10 | We don't know $\max(c)$ and $\min(c)$. |
| | | c is a float. |

11.4 Connectives

| Predicate | Selectivity | Assumption |
|------------------|------------------------------|------------------------|
| p1 AND p2 | S(p1)*S(p2) | Independent predicates |
| p1 OR p2 | S(p1) + S(p2) - S(p1 AND p2) | |
| NOT p | 1 - S(p) | |

Cost Estimates for Single-Relation Plans

- Index I on primary key matches selection:
 - Cost is (Height(I) + 1) + 1 for a B+ tree.
- Clustered index I matching selection:
 - (NPages(I)+NPages(R)) * selectivity.
- Non-clustered index I matching selection:
 - (NPages(I)+NTuples(R)) * selectivity.
- Sequential scan of file:
 - NPages(R).
- Recall: Must also charge for duplicate elimination if required

Search algorithm: dynamic programming (bottom up)

- Left deep & No cartesian products
- Enumerated using N passes (if N relations joined):
 - Pass 1: Find best 1-relation plan for each relation
 - **Pass i:** Find best way to join result of an (*i*-1)-relation plan (as outer) to the *i'* threlation. (*i* between 2 and N.)
- For each subset of relations, retain only:
 - Cheapest plan overall, plus
 - Cheapest plan for each interesting order of the tuples.
 - ORDER BY attributes
 - GROUP BY attributes
 - Join attributes of yet to be added joins
 - subsequent merge join might be good