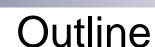
Lecture 14: Recurrent Neural Networks III: Sequence Models in NLP and Vision

Lan Xu SIST, ShanghaiTech Fall, 2020



- RNNs in Vision and NLP
 - X-to-sequence model: NMT and Image Captioning
 - Attention models: NMT and Image Captioning

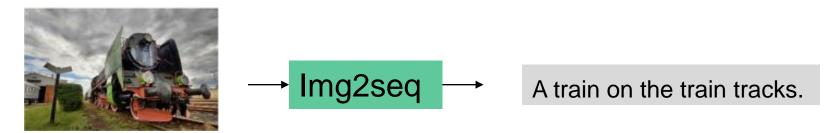
Acknowledgement: Feifei Li et al's cs231n notes

X-to-Sequence problems

- Sequence or non-sequence in, sequence comes out
 - Machine translation



Image caption generation



- No notion of "synchrony" between input and output
 - May even not have a notion of "alignment"



Sequence-to-sequence problem

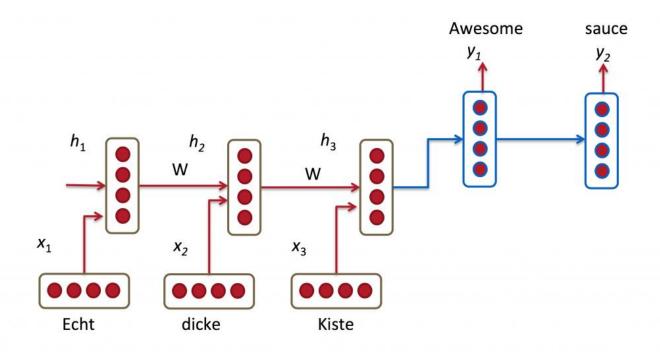
Task definition

I ate an apple \longrightarrow Seq2seq \longrightarrow Ich habe einen apfel gegessen

- \square A sequence X_1, \cdots, X_N goes in
- $lue{}$ A different sequence Y_1,\cdots,Y_M comes out
- Example: machine translation
 - The output is in a different language
- Example: dialog
 - "I have a problem" -> "How may I help you"

Modeling the problem

- Delayed sequence to sequence
 - Many to many

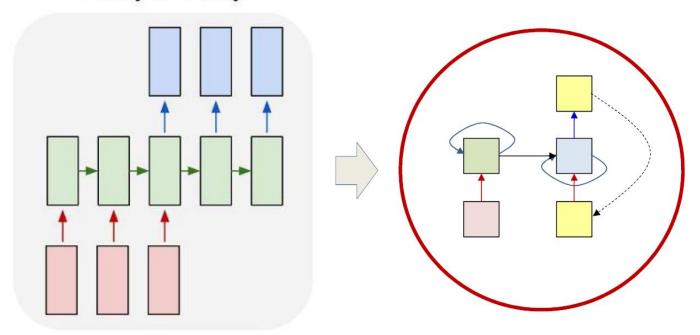


http://www.wildml.com/2016/01/attention-and-memory-in-deep-learning-and-nlp/

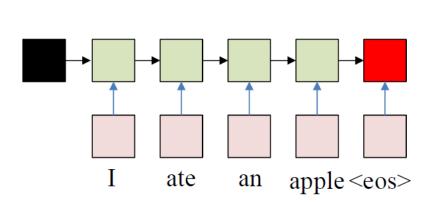


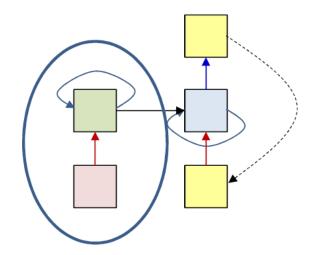
- Delayed sequence to sequence
 - □ Delayed self-referencing sequence-to-sequence

many to many

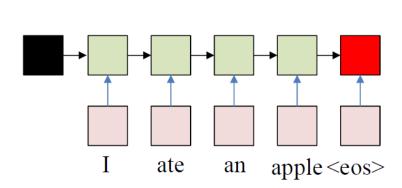


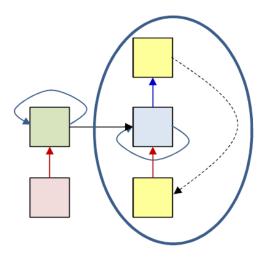
- The input sequence feeds into an recurrent structure
 - ☐ The input sequence is terminated by an explicit <eos> symbol
- The hidden activation at the <eos> "stores" all information about the sentence





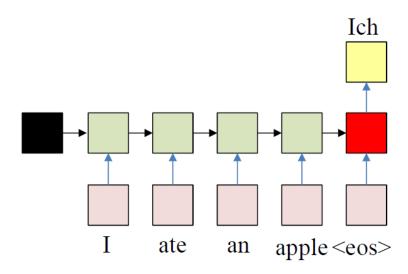
- Subsequently a second RNN uses the hidden activation as initial state to produce a sequence of outputs
 - The output at each time becomes the input at the next time
 - □ Output production continues until an <eos> is produced





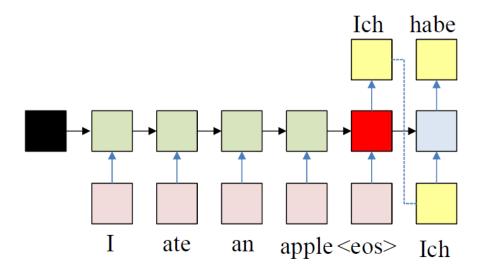


- Subsequently a second RNN uses the hidden activation as initial state to produce a sequence of outputs
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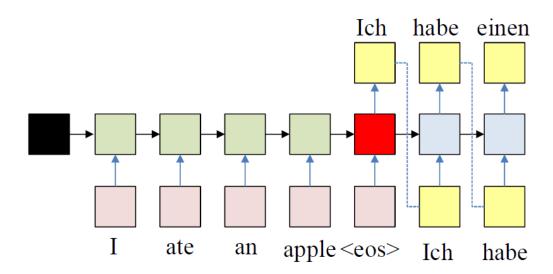


- Subsequently a second RNN uses the hidden activation as initial state to produce a sequence of outputs
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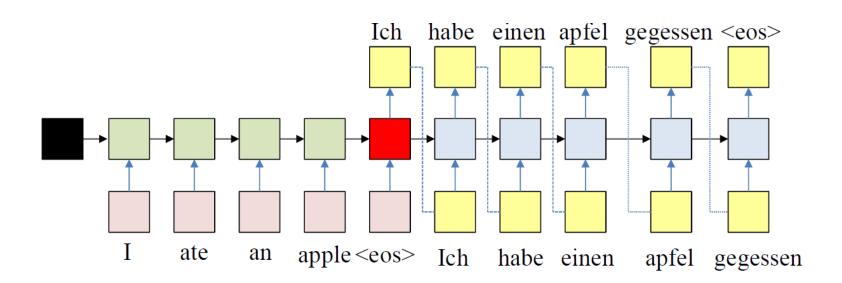




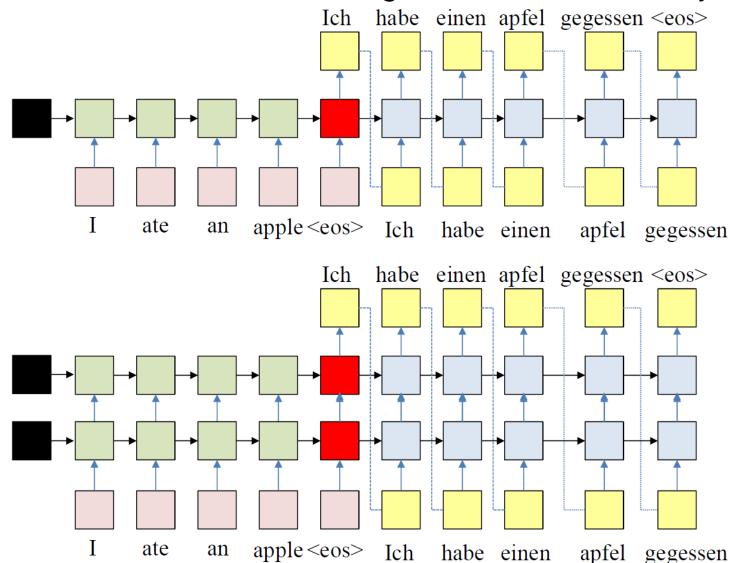
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 - Output production continues until an <eos> is produced



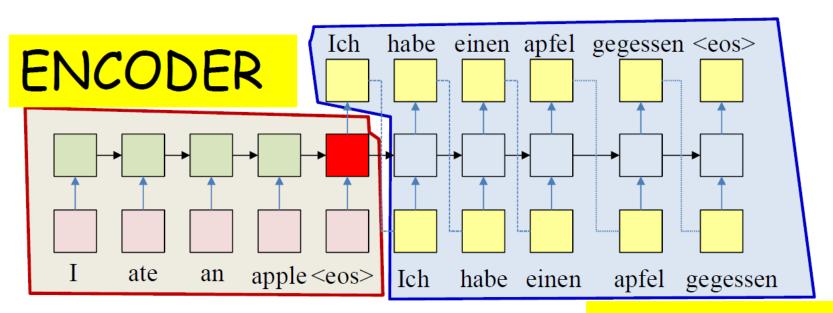
- Subsequently a second RNN uses the hidden activation as initial state to produce a sequence of outputs
 - The output at each time becomes the input at the next time
 - Output production continues until an <eos> is produced



Such an architecture can be generalized to more layers

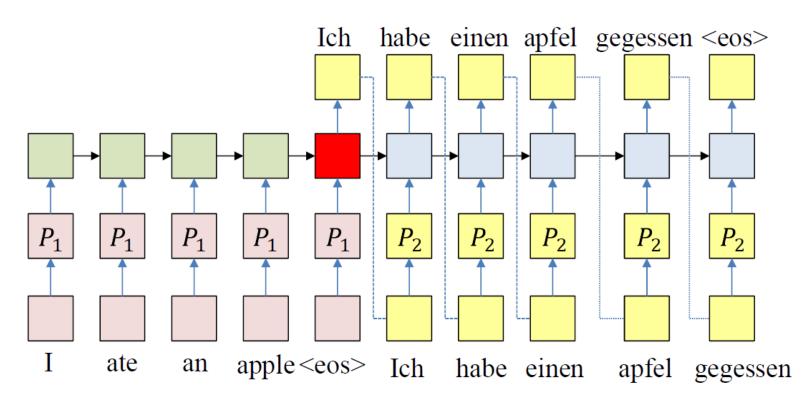


This is also referred to as an encoder-decoder structure



DECODER

- A more detailed look
 - Word embedding can be incorporated
 - And will be learned along with the rest of the network

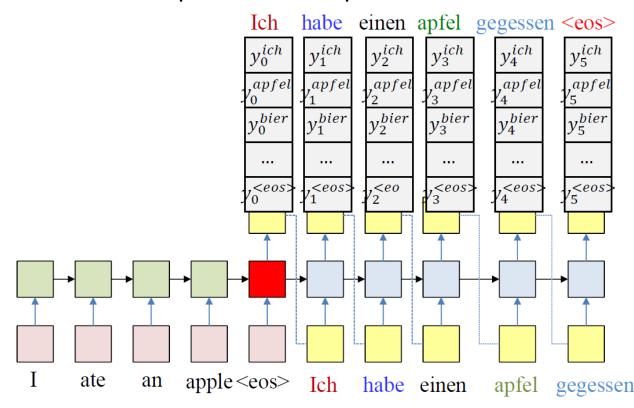


Prediction of translation model

 At each time k, the network produces a probability distribution over the output vocabulary

$$y_k^w = P(O_k = w | O_{k-1}, \cdots, O_1, I_1, \cdots, I_N)$$

- □ At each time, a word is drawn from the output distribution
- □ The drawn word is provided as input to the next time, until <eos>



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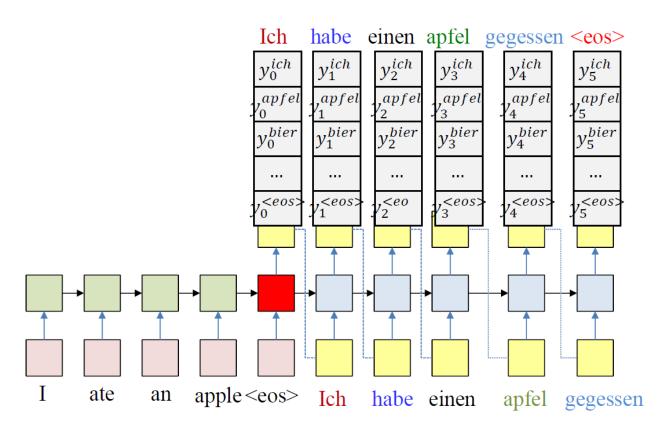
Prediction of translation model

■ For a given output sequence O_1, \dots, O_L , its probability is

$$P(O_1, \cdots, O_L | I_1, \cdots, I_N) = y_1^{O_1} y_2^{O_2} \cdots y_L^{O_L}$$

The objective of drawing: produce the most probable output

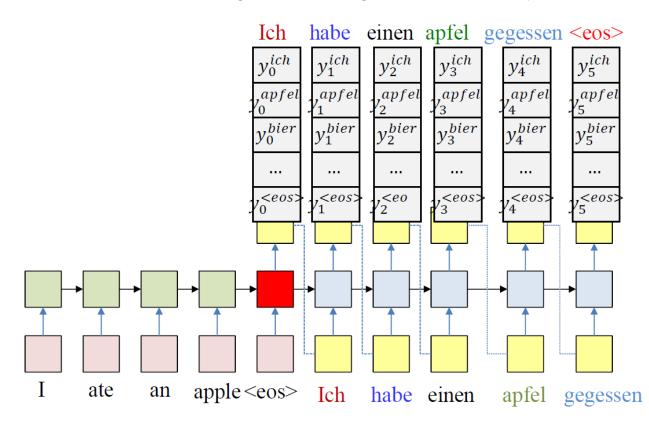
$$\arg\max_{O_1, \dots, O_L} y_1^{O_1} y_2^{O_2} \cdots y_L^{O_L}$$



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Prediction of translation model

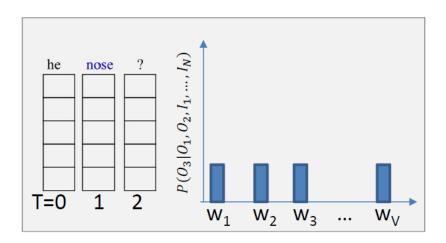
- $lacksquare Solving <math>rg \max_{O_1,\cdots,O_L} y_1^{O_1} y_2^{O_2} \cdots y_L^{O_L}$
 - Cannot pick the most likely symbol at each time
 - □ Reason?
 - Choosing a different, less likely word could cause the probability at the next time to be larger, resulting in a more likely output overall

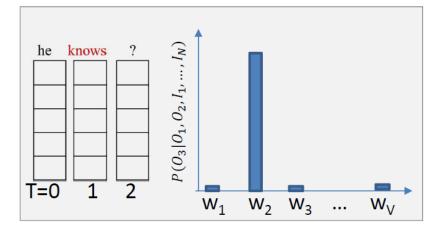


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Why greedy is not good

Example from speech recognition





"Nose" has highest probability at t=2 and is selected

- The model is very confused at t=3 and assigns low probabilities to many words at the next time
- Selecting any of these will result in low probability for the entire 3-word sequence

"Knows" has slightly lower probability than "nose", but is still high and is selected

- "he knows" is a reasonable beginning and the model assigns high probabilities to words such as "something"
- Selecting one of these results in higher overall probability for the 3-word sequence

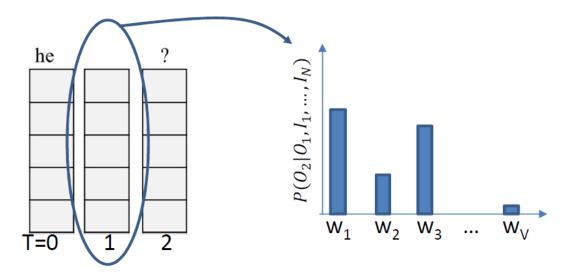
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Why greedy is not good

Example from speech recognition

What should we have chosen at t=2??

Will selecting "nose" continue to have a bad effect into the distant future?



- Problem: impossible to know a priori which word leads to the more promising future
 - Effect may not be obvious until several words down the line
 - Or the choice of the wrong word early may cumulatively lead to a poorer overall score over time

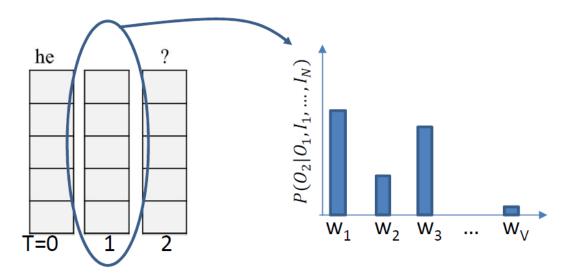


Why greedy is not good

Example from speech recognition

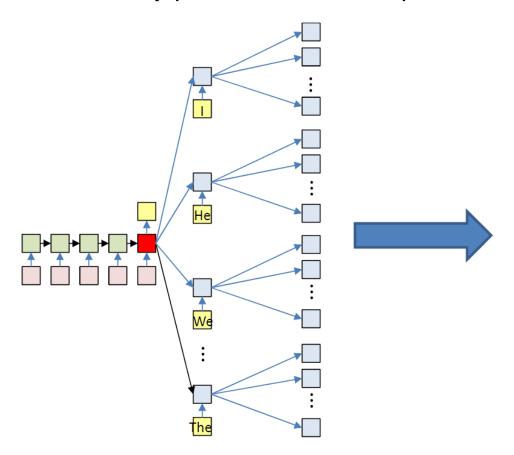
What should we have chosen at t=2??

Will selecting "nose" continue to have a bad effect into the distant future?



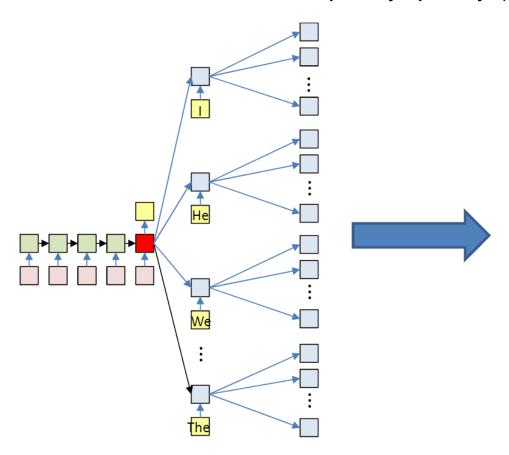
- Problem: impossible to know a priori which word leads to the more promising future
 - In general, making a poor choice at any time commits us to a poor future
 - Solution: Don't choose

- Retain all choices and fork the state network
 - With every possible word as input



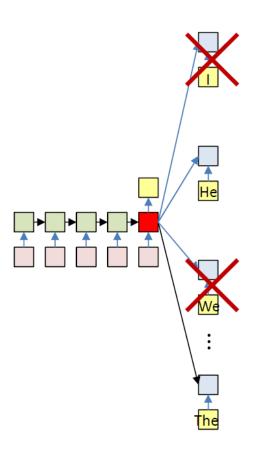


- Retain all choices and fork the state network
 - However, this will blow up very quickly (exponential growth).





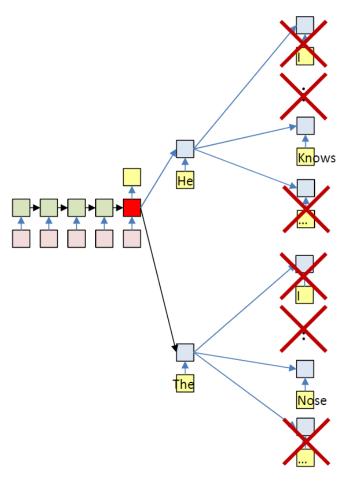
- Prune the state space
 - □ At each time, retain only the top K scoring forks

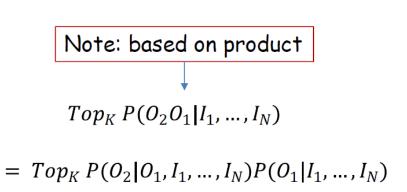


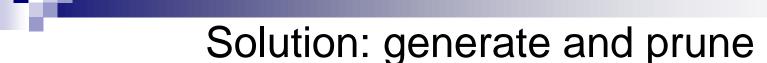
$$Top_K P(O_1|I_1,...,I_N)$$



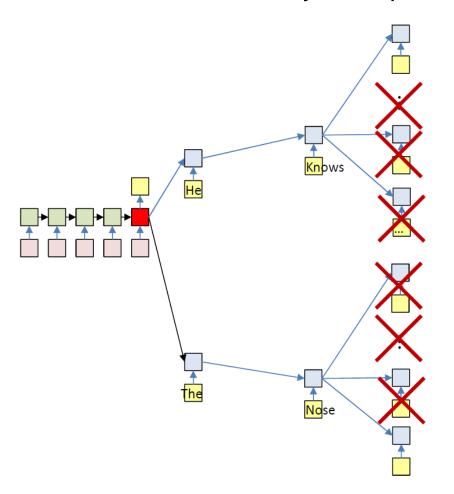
- Prune the state space
 - At each time, retain only the top K scoring forks







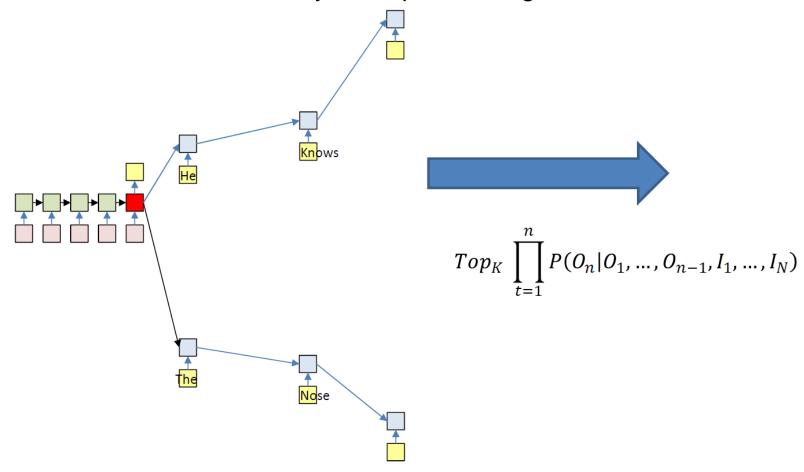
- Prune the state space
 - At each time, retain only the top K scoring forks



=
$$Top_K P(O_2|O_1, I_1, ..., I_N) \times P(O_2|O_1, I_1, ..., I_N) \times P(O_1|I_1, ..., I_N)$$

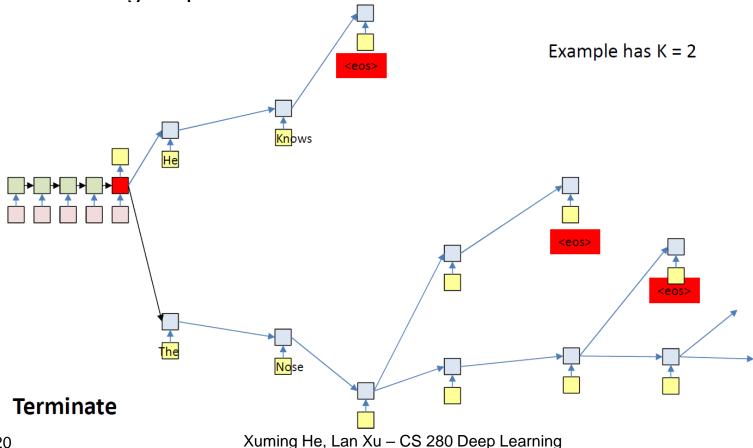


- Prune the state space
 - At each time, retain only the top K scoring forks



Termination

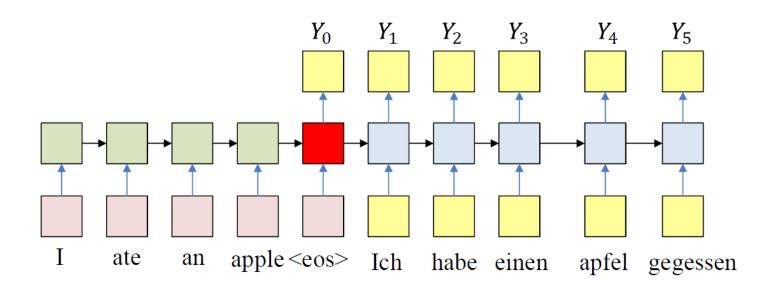
- Paths cannot continue once the output an <eos>
- Select the most likely sequence ending in <eos> across all terminating sequences





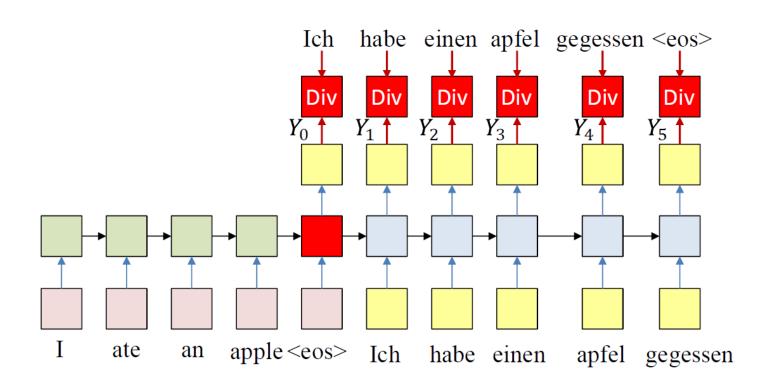
Forward pass

- Input the source and target sequences sequentially
- Output will be a probability distribution over target symbol set



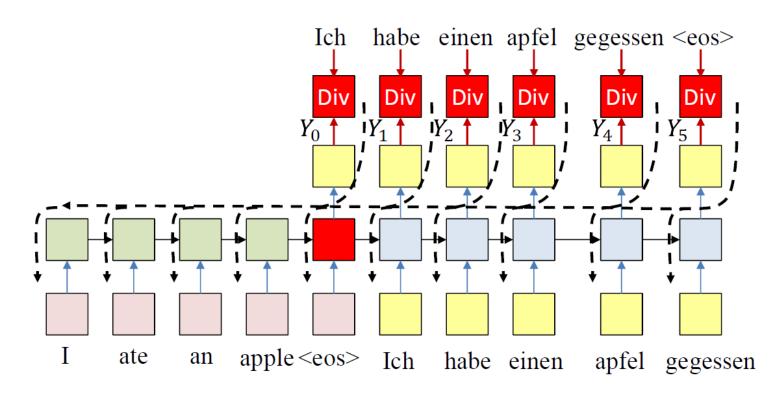
Backward pass

 Compute the loss/divergence between the output distribution and target word sequence



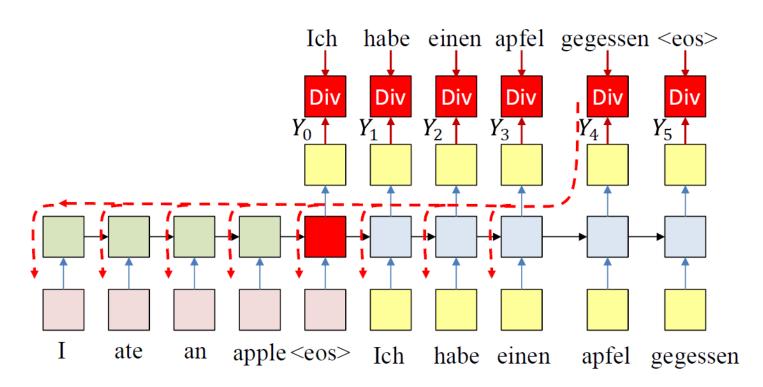
Backward pass

- Compute the loss/divergence between the output distribution and target word sequence
- □ Backpropagate the derivatives of the divergence through the net

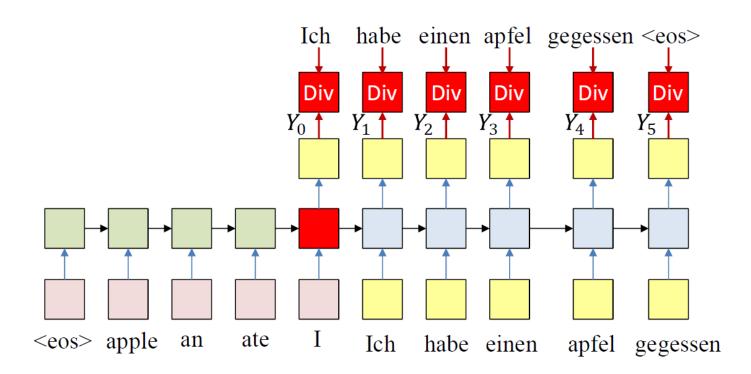


Backward pass

□ In practice, we may randomly sample words from the output to actually use for the backprop update



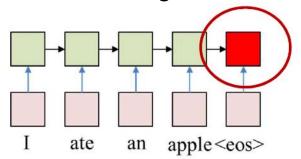
- Trick of the trade
 - The input sequence is fed in reverse order
 - For both training and during actual decode

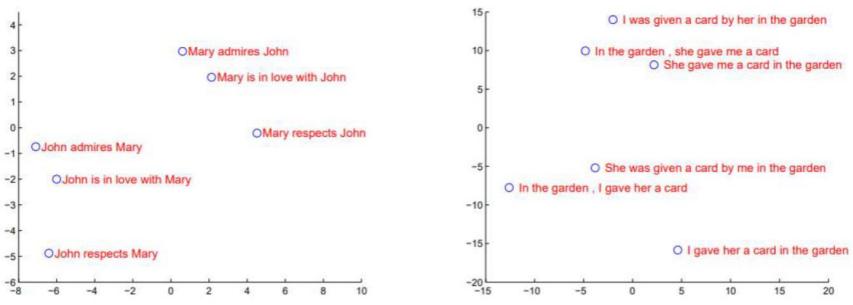


Machine translation example

Hidden representation encodes semantics

Clustered by semantic meaning





Human machine conversation example

- Task: Human text in, machine response out
- Trained on human-human conversations

Machine: what is the error that you are running please

Human: i am seeing an error related to vpn

Machine: what is the error message that you are getting when connecting to vpn using network connect?

Human: connection refused or something like that

Machine: may i know the version of network connect you connect?

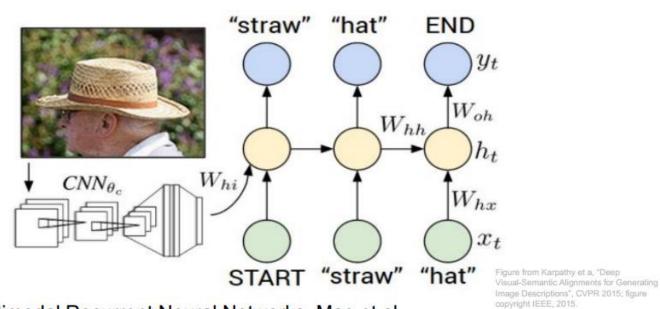
Human: i am not sure i know that

Machine: is the network connect prompting for any user certificate

[&]quot;A neural conversational model", Orin Vinyals and Quoc Le

Image-to-sequence problem

Generating image captions



Explain Images with Multimodal Recurrent Neural Networks, Mao et al.

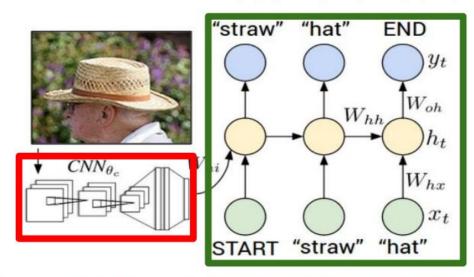
Deep Visual-Semantic Alignments for Generating Image Descriptions, Karpathy and Fei-Fei Show and Tell: A Neural Image Caption Generator, Vinyals et al.

Long-term Recurrent Convolutional Networks for Visual Recognition and Description, Donahue et al. Learning a Recurrent Visual Representation for Image Caption Generation, Chen and Zitnick

Image-to-sequence problem

- Initial state is produced by a state-of-the-art CNN-based image classification system
- Subsequent model is the decoder end of a seq-to-seq model

Recurrent Neural Network



Convolutional Neural Network



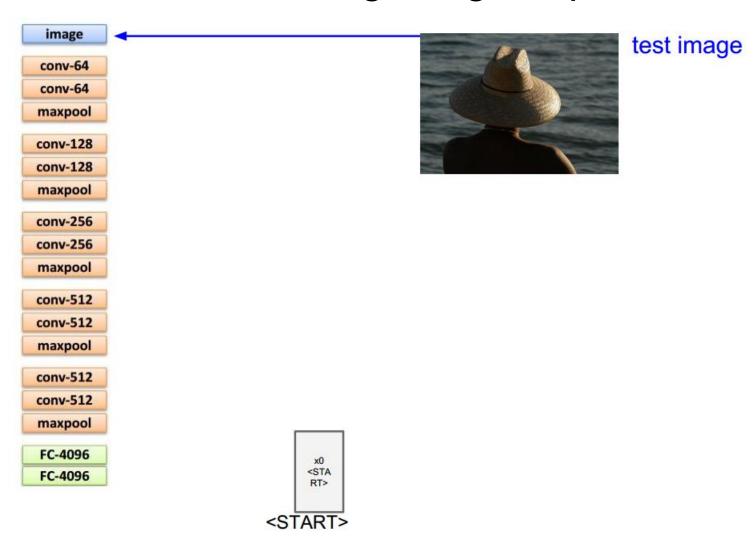
test image

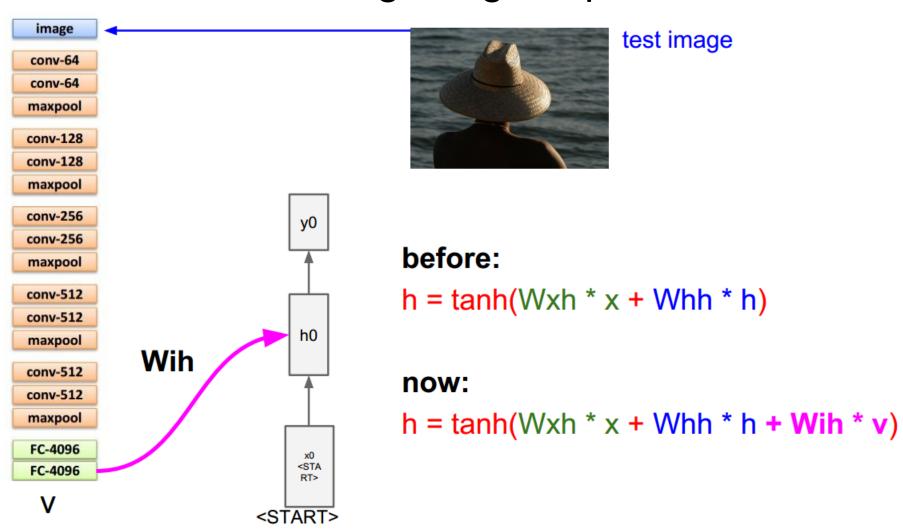
This image is CC0 public domain

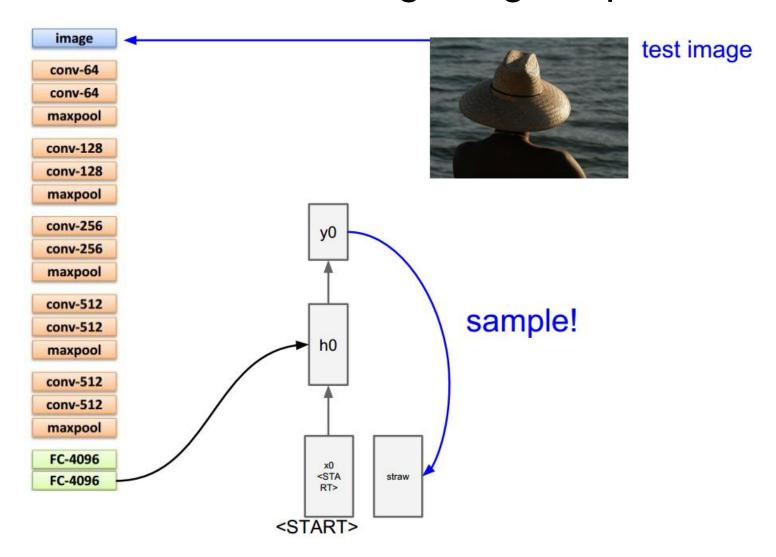


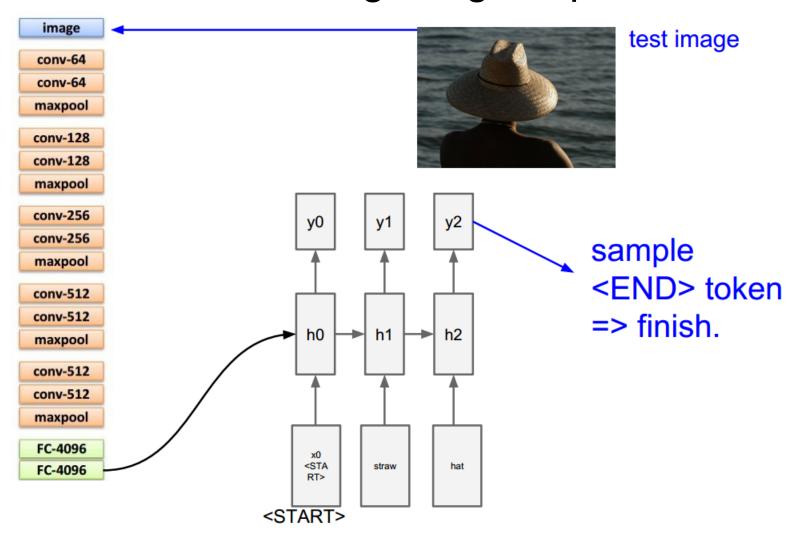


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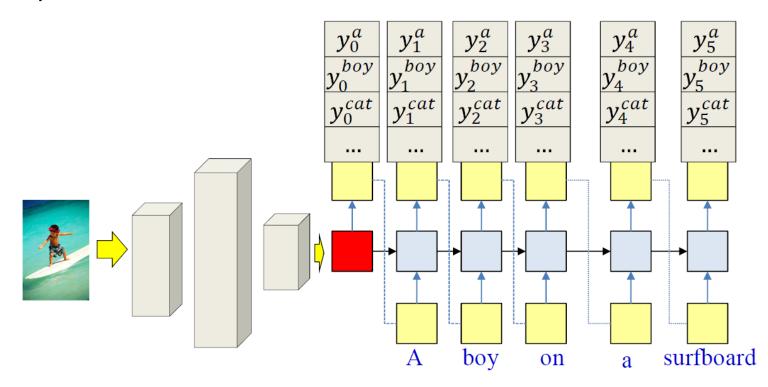






Training the image-to-seq model

- Given a set of (image, caption) pairs
 - The image network is pretrained on a large corpus, e.g., ImageNet
 - Forward pass: produce output distribution given the image and caption

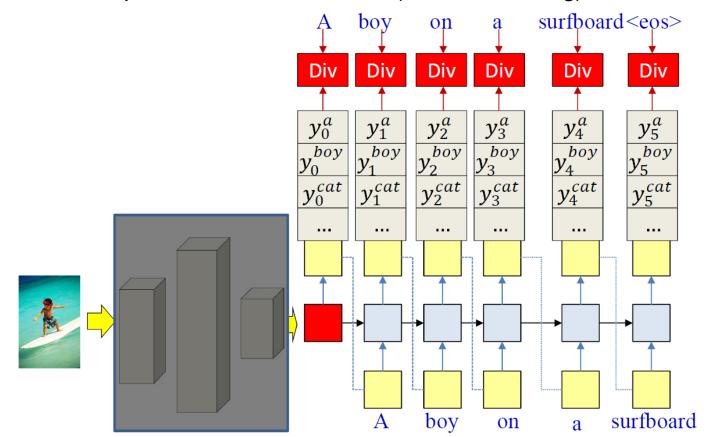


Training the image-to-seq model

Given a set of (image, caption) pairs

10/26/2020

- Backward pass: compute the loss w.r.t training caption, and backprop derivatives
 - All components, including final layer of the ConvNet, are updated
 - The CNN portions are not modified (transfer learning)



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Application: Image Captioning

Example Results



"man in black shirt is playing quitar."



"construction worker in orange safety vest is working on road."



"two young girls are playing with lego toy."



"boy is doing backflip on wakeboard."



"a young boy is holding a baseball bat."



"a cat is sitting on a couch with a remote control."

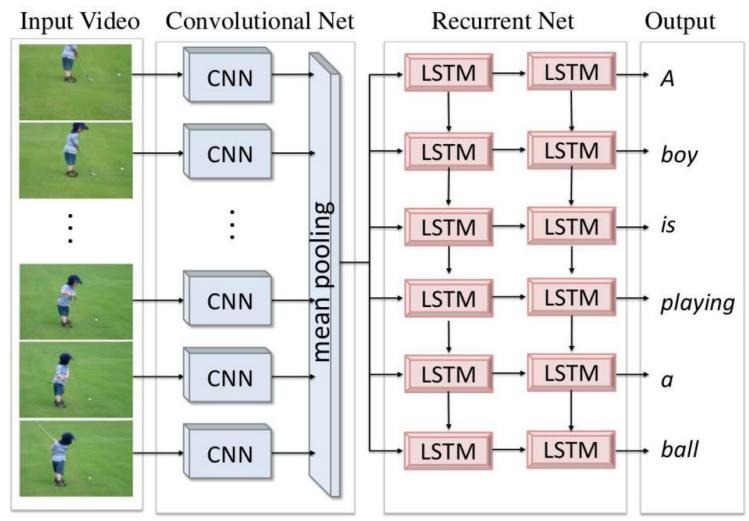


"a woman holding a teddy bear in front of a mirror."



"a horse is standing in the middle of a road."

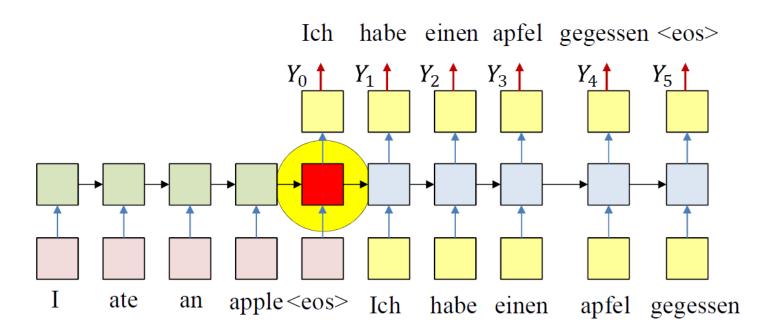
Application: Video Captioning

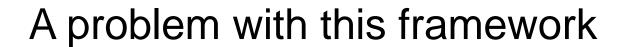


Translating Videos to Natural Language Using Deep Recurrent Neural Networks Subhashini Venugopalan, Huijun Xu, Jeff Donahue, Marcus Rohrbach, Raymond 10/26/2020 Mooney, Kate Saenko Xuming He, Lan Xu – CS 280 Deep Learning 47

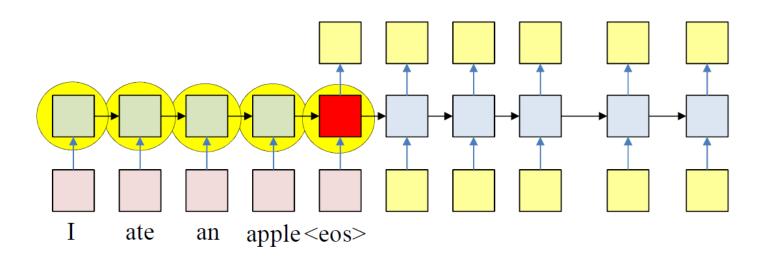


- All the information on the input sequence is embedded into a single vector
 - □ The latent layer at the end of the input sequence
 - This layer is overloaded with information





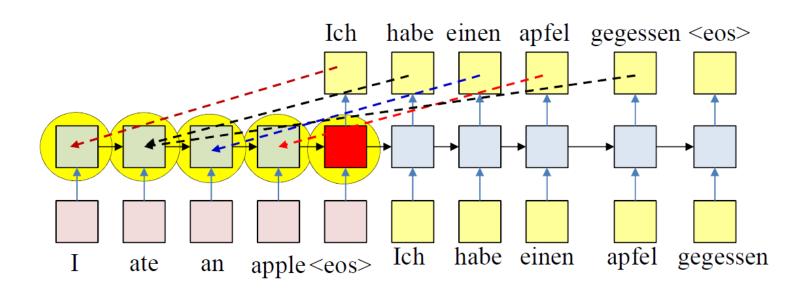
- All latent values carry information
 - Some of which may be diluted downstream





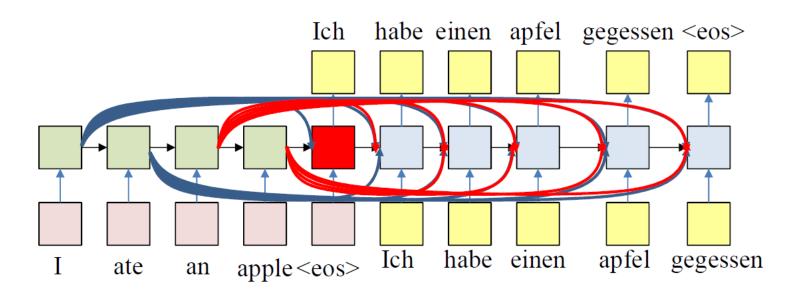
A problem with this framework

- All latent values carry information
 - □ Some of which may be diluted downstream
 - Different outputs are related to different inputs



A problem with this framework

- All latent values carry information
 - □ Some of which may be diluted downstream
 - □ Different outputs are related to different inputs
 - Connecting everything to everything is infeasible





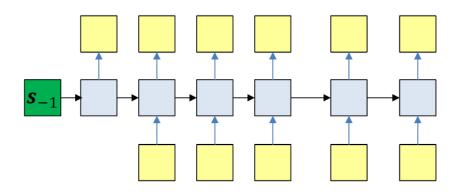
Outline

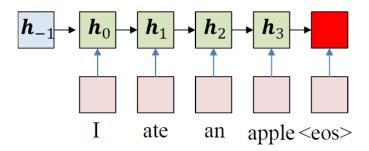
- RNNs in Vision and NLP
 - □ X-to-sequence model: NMT and Image Captioning
 - Attention models: NMT and Image Captioning

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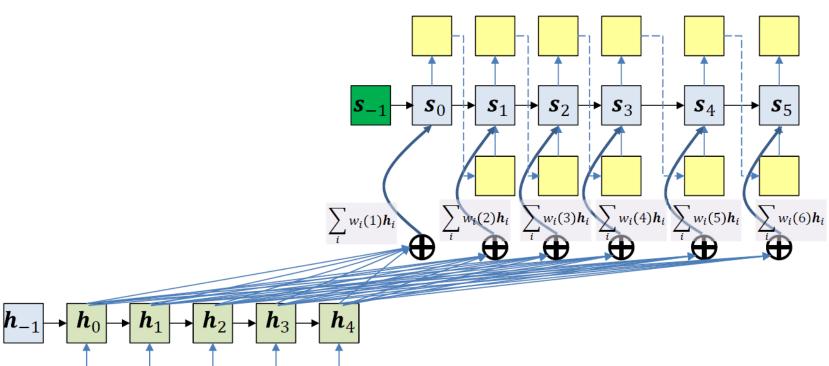
Separating the encoder and decoder first

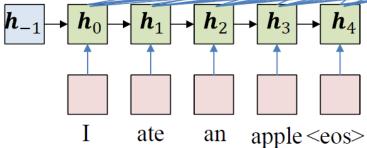




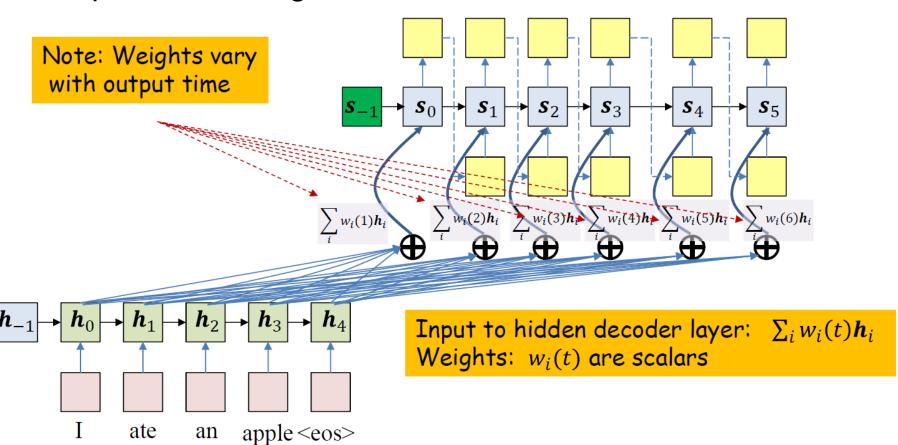


 Compute a weighted combination of all the hidden outputs into a single vector



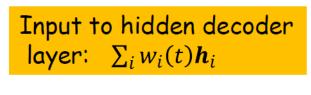


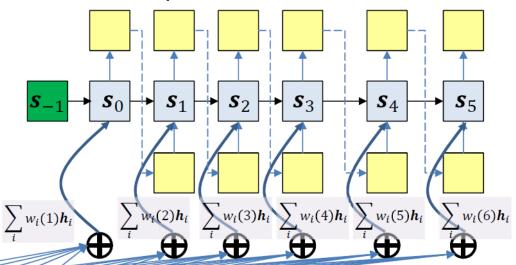
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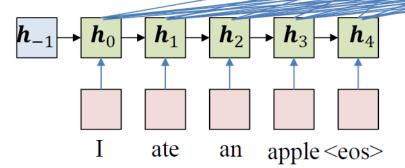




- Require a time-varying weight that specifies relationship of output time to input time
 - Weights are functions of current output state

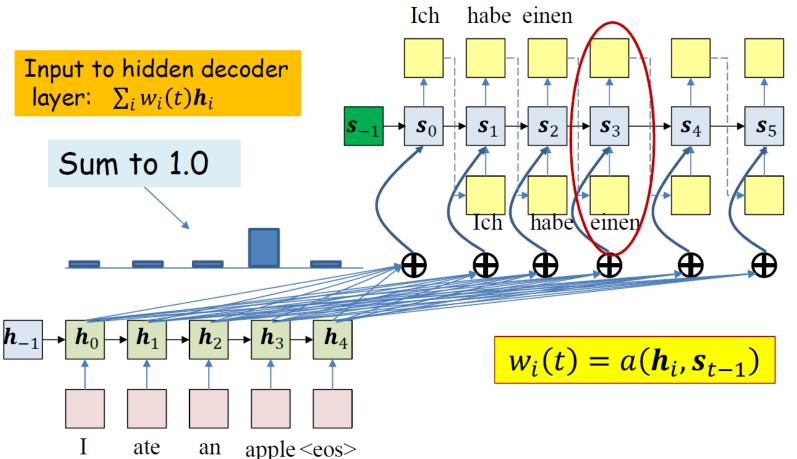




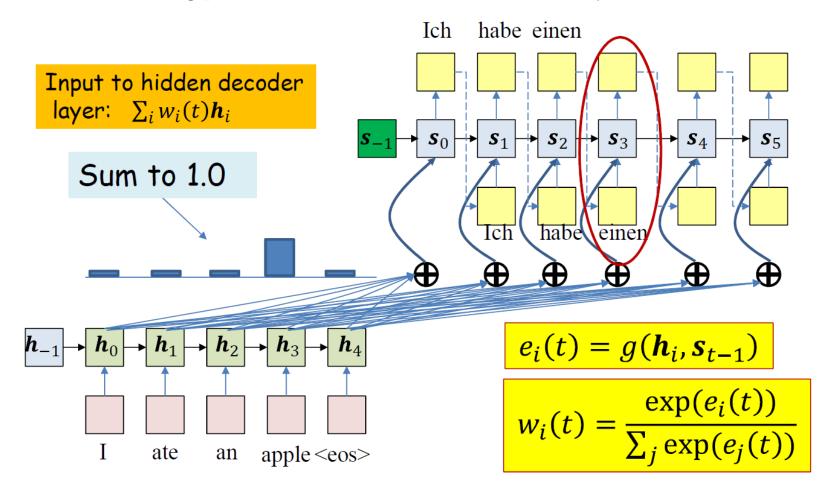


$$w_i(t) = a(\boldsymbol{h}_i, \boldsymbol{s}_{t-1})$$

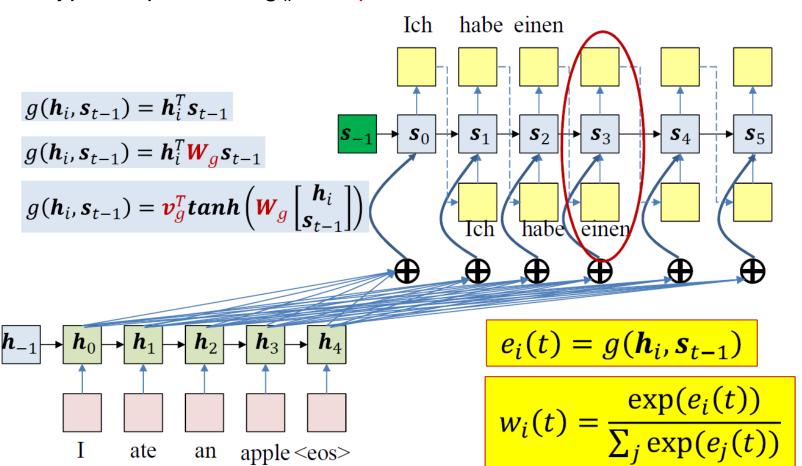
- The weights are a distribution over the input
 - Automatically highlight the most important input components for any output



- The weights are a distribution over the input
 - ☐ A function g() on two hidden states followed by a softmax

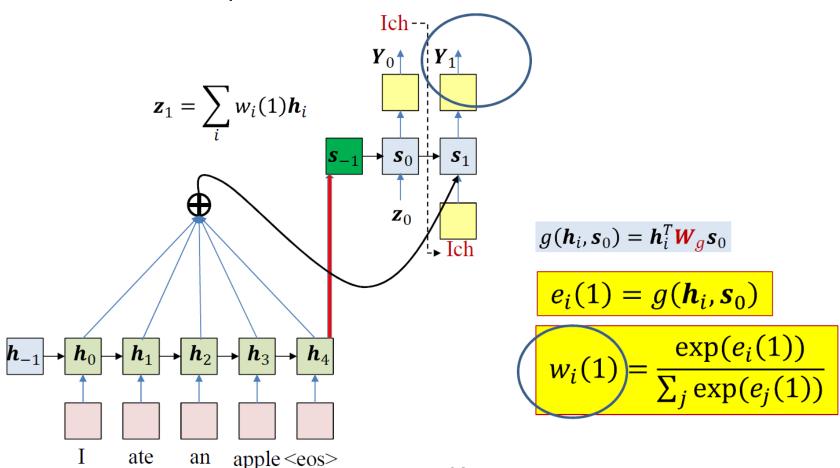


- The weights are a distribution over the input
 - Typical options for g() with parameters to be learned

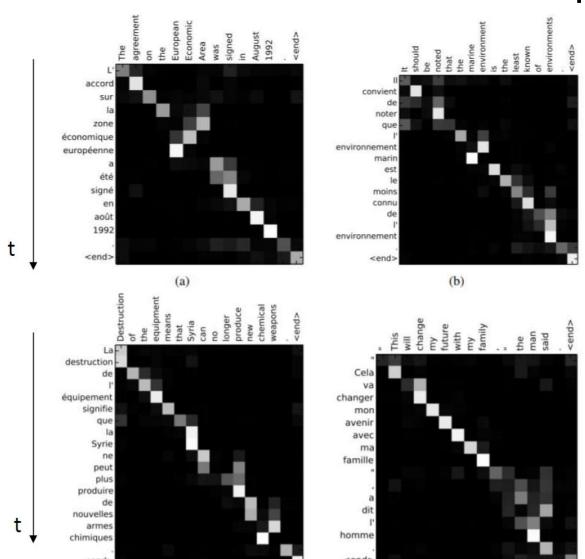


What does the attention learn?

- The key component of this model is the attention weight
 - It captures the relative importance of each position in the input to the current output



Attention example



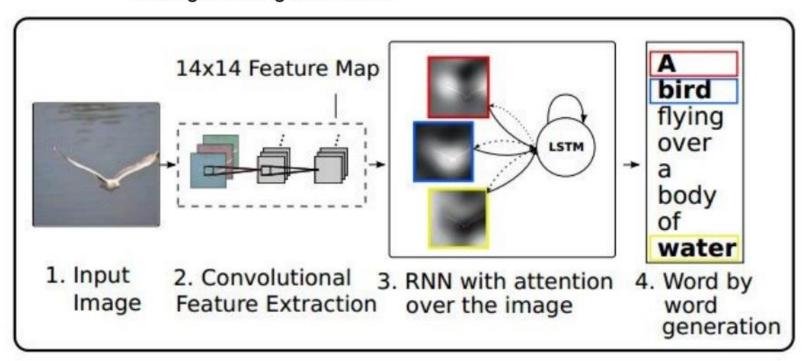
Plot of $w_i(t)$

Color shows value (white is larger)

Note how most important input words for any output word get automatically highlighted

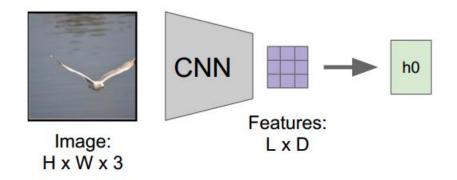
The general trend is somewhat linear because word order is roughly similar in both languages

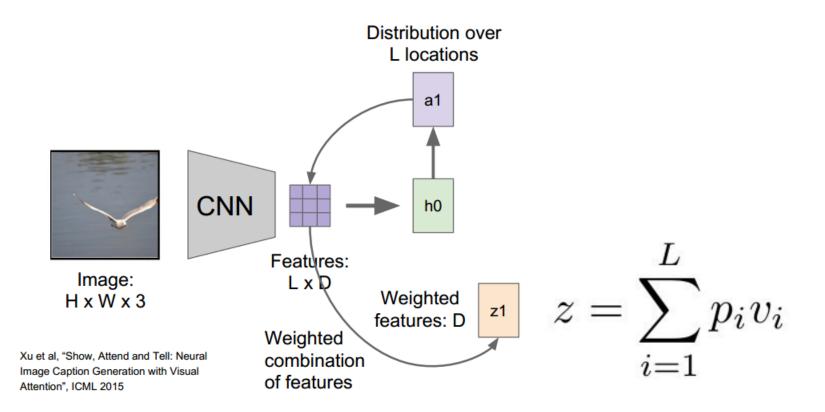
RNN focuses its attention at a different spatial location when generating each word



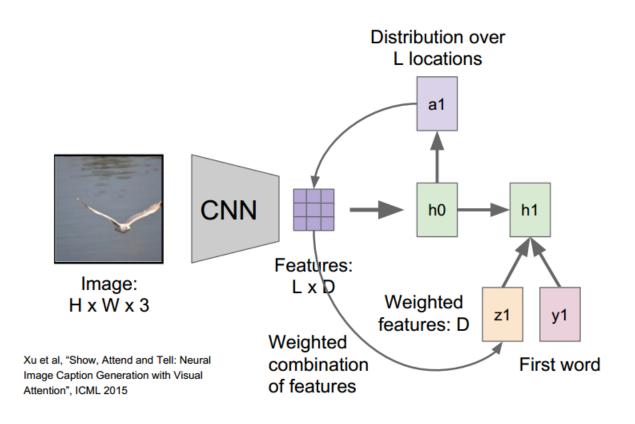
Xu et al, "Show, Attend, and Tell: Neural Image Caption Generation with Visual Attention", ICML 2015
Figure copyright Kelvin Xu, Jimmy Lei Ba, Jamie Kiros, Kyunghyun Cho, Aaron Courville, Ruslan Salakhutdinov, Richard S. Zemel, and Yoshua Benchio, 2015. Reproduced with permission.

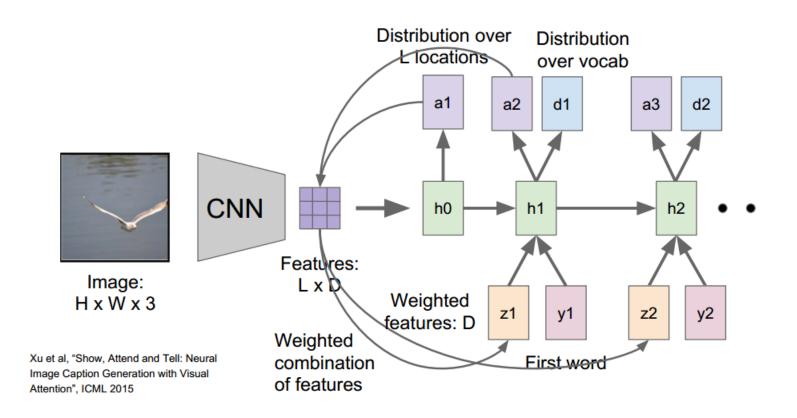
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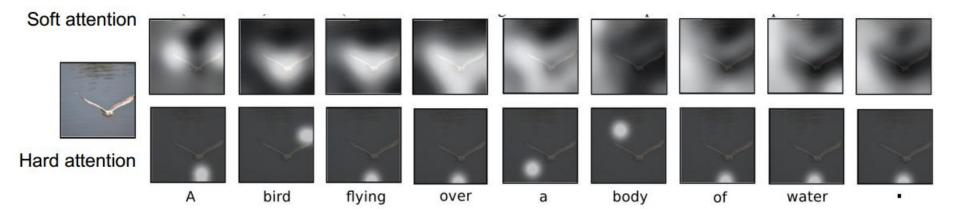




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Xu et al, "Show, Attend, and Tell: Neural Image Caption Generation with Visual Attention", ICML 2015
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A woman is throwing a frisbee in a park.



A dog is standing on a hardwood floor.



A stop sign is on a road with a mountain in the background.



A little girl sitting on a bed with a teddy bear.



A group of <u>people</u> sitting on a boat in the water.



A giraffe standing in a forest with trees in the background.

Xu et al, "Show, Attend, and Tell: Neural Image Caption Generation with Visual Attention", ICML 2015
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Summary

- RNN
 - □ X-to-Sequence model
 - Attention model
- Next time:
 - Generative models
 - Deep unsupervised learning