9. PSPACE

▸ *PSPACE complexity class*

▸ *quantified satisfiability*

▸ *planning problem*

▸ *PSPACE-complete*

Algorithm Design

JON KLEINBERG · ÉVA TARDOS

Geography game

Geography. Alice names capital city c of country she is in. Bob names a capital city c' that starts with the letter on which c ends. Alice and Bob repeat this game until one player is unable to continue.
Does Alice have a forced win?

Ex. Budapest → Tokyo → Ottawa → Ankara → Amsterdam → Moscow → Washington → Nairobi → ...

Geography on graphs. Given a directed graph $G = (V, E)$ and a start node s, two players alternate turns by following, if possible, an edge leaving the current node to an unvisited node. Can first player guarantee to make the last legal move?

Remark. Some problems (especially involving 2-player games and AI) defy classification according to **NP**, **EXPTIME**, **NP**, and **NP**-complete.

9. PSPACE

‣ *PSPACE complexity class*

‣ *quantified satisfiability*

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PSPACE

P. Decision problems solvable in polynomial time.

PSPACE. Decision problems solvable in polynomial space.

Observation. **P ⊆ PSPACE.**

↑

poly-time algorithm
can consume
only polynomial space

PSPACE

Binary counter. Count from 0 to $2^n - 1$ in binary.
Algorithm. Use n bit odometer.

Claim. 3-SAT $\in$ **PSPACE**.
Pf.

- Enumerate all 2^n possible truth assignments using counter.
- Check each assignment to see if it satisfies all clauses. ▪

Theorem. **NP** $\subseteq$ **PSPACE**.
Pf. Consider arbitrary problem $Y \in$ **NP**.

- Since $Y \leq_P$ 3-SAT, there exists algorithm that solves Y in poly-time plus polynomial number of calls to 3-SAT black box.
- Can implement black box in poly-space. ▪
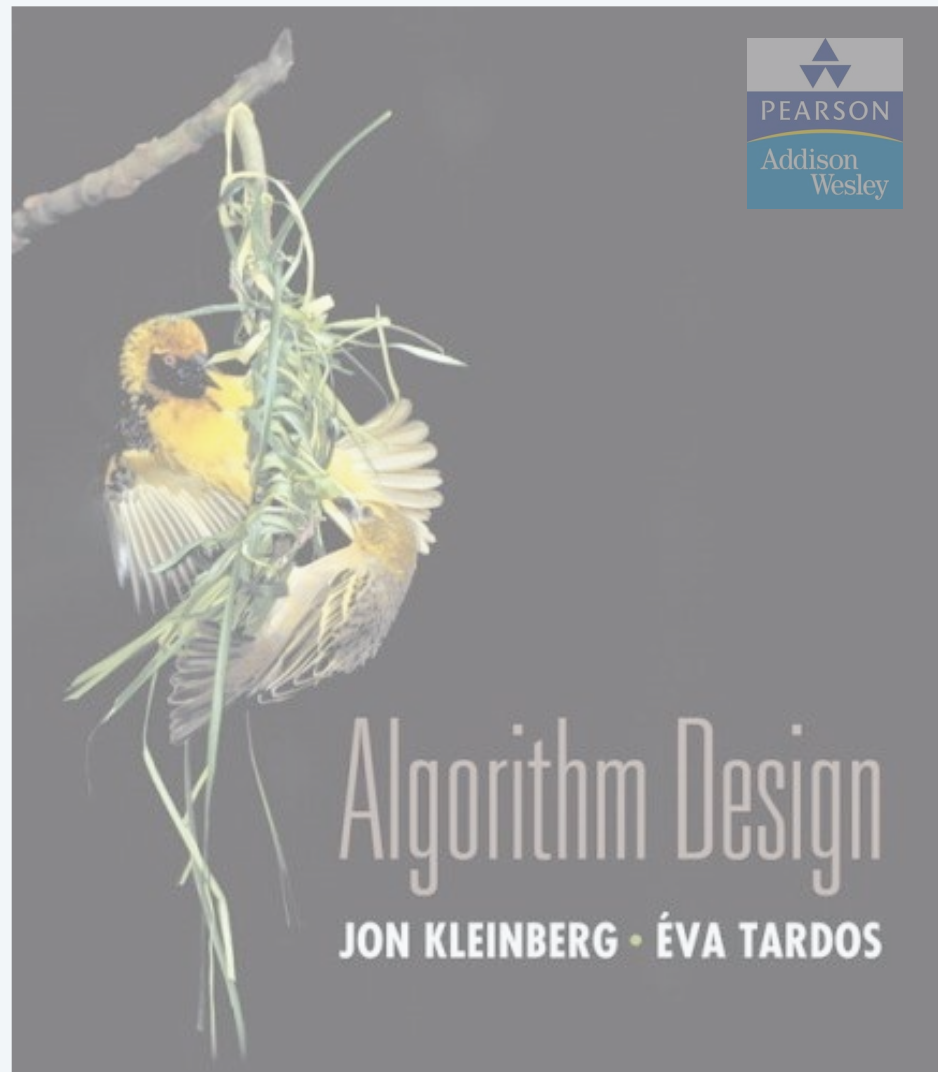
9. PSPACE

Quantified satisfiability

QSAT. Let $\Phi(x_1, ..., x_n)$ be a boolean CNF formula. Is the following propositional formula true?

$$\exists\, x_1 \; \forall\, x_2 \; \exists\, x_3 \; \forall\, x_4 \; ... \; \forall\, x_{n-1} \; \exists\, x_n \; \Phi(x_1, ..., x_n)$$

↑
assume n is odd

Intuition. Amy picks truth value for x_1, then Bob for x_2, then Amy for x_3, and so on. Can Amy satisfy Φ no matter what Bob does?

Ex. $(x_1 \lor x_2) \land (x_2 \lor \overline{x_3}) \land (\overline{x_1} \lor \overline{x_2} \lor x_3)$
Yes. Amy sets x_1 true; Bob sets x_2; Amy sets x_3 to be same as x_2.

Ex. $(x_1 \lor x_2) \land (\overline{x_2} \lor \overline{x_3}) \land (\overline{x_1} \lor \overline{x_2} \lor x_3)$
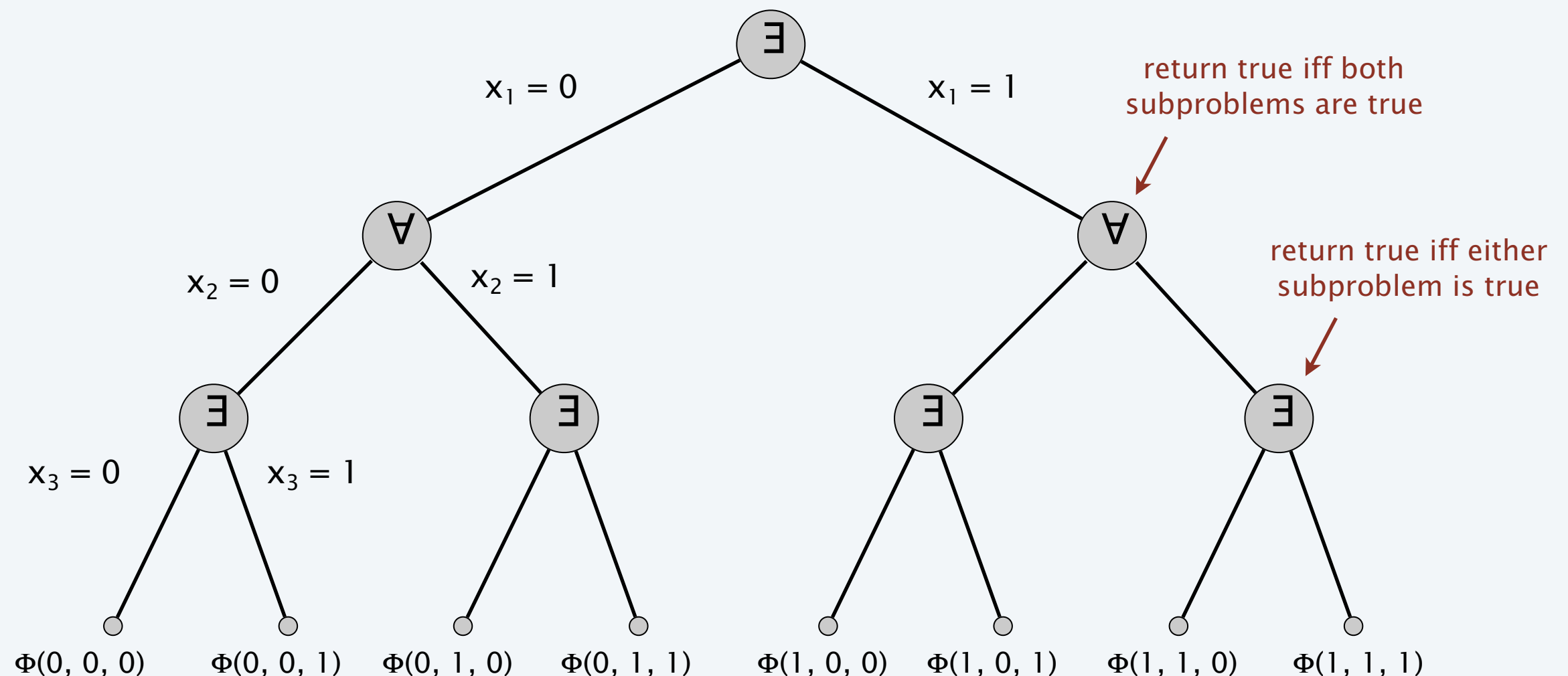No. If Amy sets x_1 false; Bob sets x_2 false; Amy loses;
No. if Amy sets x_1 true; Bob sets x_2 true; Amy loses.

Quantified satisfiability is in PSPACE

Theorem. Q-SAT ∈ **PSPACE.**

Pf. Recursively try all possibilities.
- Only need one bit of information from each subproblem.
- Amount of space is proportional to depth of function call stack.

∃

$x_1 = 0$ $x_1 = 1$

return true iff both
subproblems are true

∀   ∀

$x_2 = 0$ $x_2 = 1$

return true iff either
subproblem is true

∃   ∃   ∃   ∃

$x_3 = 0$ $x_3 = 1$

$\Phi(0, 0, 0)$ $\Phi(0, 0, 1)$ $\Phi(0, 1, 0)$ $\Phi(0, 1, 1)$ $\Phi(1, 0, 0)$ $\Phi(1, 0, 1)$ $\Phi(1, 1, 0)$ $\Phi(1, 1, 1)$

9. PSPACE

Algorithm Design

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15-puzzle

8-puzzle, 15-puzzle. [Noyes Chapman 1874]

- Board: 3-by-3 grid of tiles labeled 1–8.
- Legal move: slide neighboring tile into blank (white) square.
- Find sequence of legal moves to transform initial configuration into goal configuration.

initial configuration

move 6

?

goal configuration
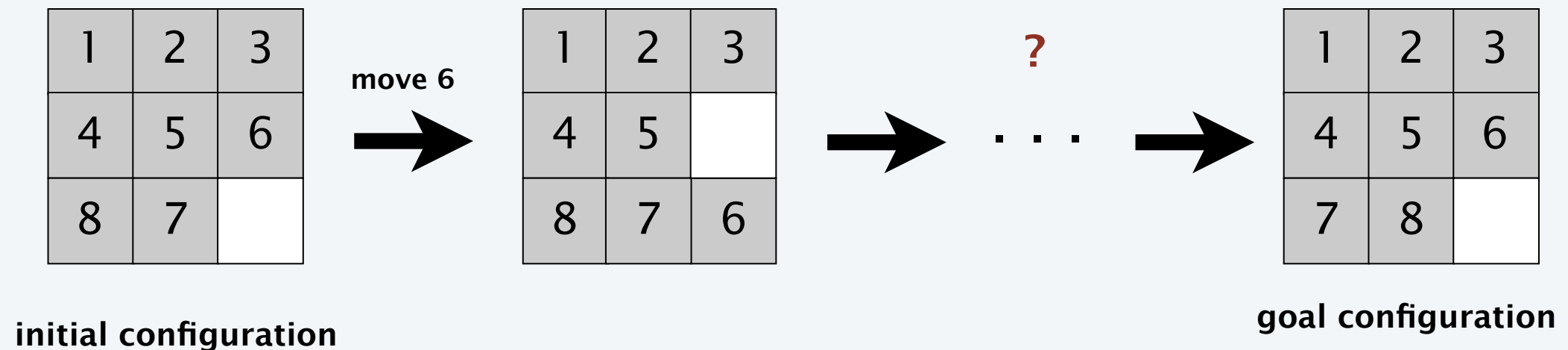
Planning problem

Conditions. Set $C = \{\, C_1, \ldots, C_n \,\}$.

Initial configuration. Subset $c_0 \subseteq C$ of conditions initially satisfied.

Goal configuration.   Subset $c^* \subseteq C$ of conditions we seek to satisfy.

Operators. Set $O = \{\, O_1, \ldots, O_k \,\}$.

- To invoke operator O_i, must satisfy certain prereq conditions.
- After invoking O_i certain conditions become true, and certain conditions become false.

PLANNING. Is it possible to apply sequence of operators to get from initial configuration to goal configuration?

Examples.

- 15-puzzle.
- Rubik's cube.
- Logistical operations to move people, equipment, and materials.

Planning problem: 8-puzzle

Planning example. Can we solve the 8-puzzle?

Conditions. $C_{ij}, 1 \le i, j \le 9.$ ⟵ C_{ij} means tile i is in square j

Initial state. $c_0 = \{ C_{11}, C_{22}, \ldots, C_{66}, C_{78}, C_{87}, C_{99} \}.$

Goal state. $c^* = \{ C_{11}, C_{22}, \ldots, C_{66}, C_{77}, C_{88}, C_{99} \}.$

1	2	3
4	5	6
8	7	9

O_i

Operators.
- Precondition to apply $O_i = \{ C_{11}, C_{22}, \ldots, C_{66}, C_{78}, C_{87}, C_{99} \}.$
- After invoking O_i, conditions C_{79} and C_{97} become *true*.
- After invoking O_i, conditions C_{78} and C_{99} become *false*.

1	2	3
4	5	6
8	9	7

Solution. No solution to 8-puzzle or 15-puzzle!

8-puzzle invariant. Any legal move preserves the parity of the number of pairs of pieces in reverse order (inversions).

3 inversions	**3 inversions**	**5 inversions**
1–3, 2–3, 7–8	1–3, 2–3, 7–8	1–3, 2–3, 7–8, 5–8, 5–6

0 inversions	**1 inversion: 7–8**
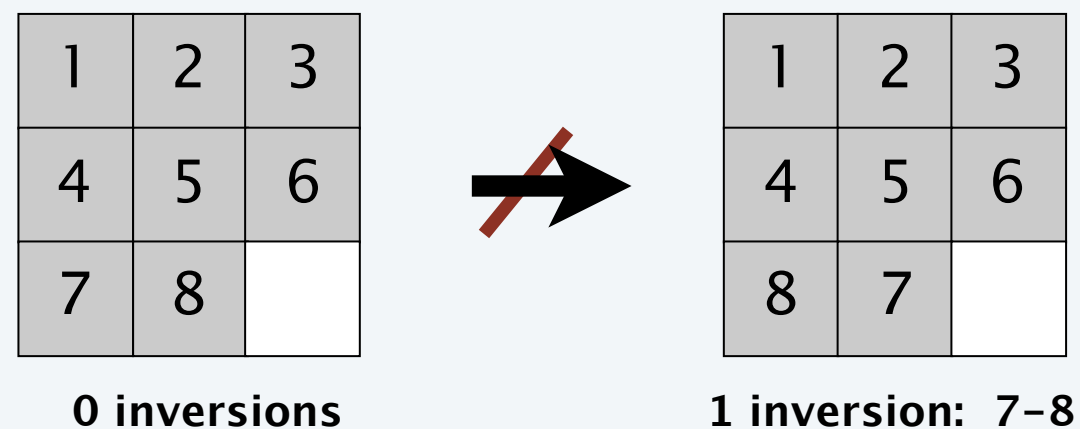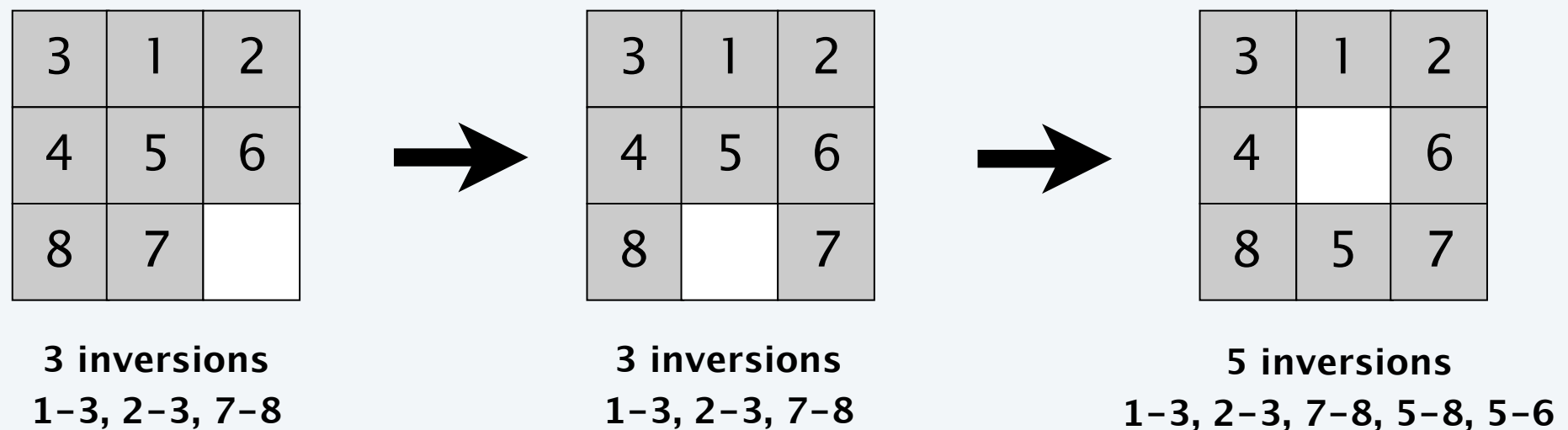
Planning problem: binary counter

Planning example. Can we increment an n-bit counter from the all-zeroes state to the all-ones state?

Conditions. $C_1, \ldots, C_n$. ⟵ C_i corresponds to bit i = 1

Initial state. $c_0 = \phi$. ⟵ all 0s

Goal state. $c^* = \{C_1, \ldots, C_n\}$. ⟵ all 1s

Operators. $O_1, \ldots, O_n$.

- To invoke operator O_i, must satisfy $C_1, \ldots, C_{i-1}$. ⟵ i−1 least significant bits are 1
- After invoking O_i, condition C_i becomes true. ⟵ set bit i to 1
- After invoking O_i, conditions $C_1, \ldots, C_{i-1}$ become false. ⟵ set i−1 least significant bits to 0

Solution. $\{\,\} \Rightarrow \{C_1\} \Rightarrow \{C_2\} \Rightarrow \{C_1, C_2\} \Rightarrow \{C_3\} \Rightarrow \{C_3, C_1\} \Rightarrow \ldots$

Observation. Any solution requires at least $2^n - 1$ steps.

Planning problem is in EXPTIME

Configuration graph G.

- Include node for each of 2^n possible configurations.
- Include an edge from configuration c' to configuration c'' if one of the operators can convert from c' to c''.

PLANNING. Is there a path from c_0 to c^* in configuration graph?

Claim. PLANNING $\in$ **EXPTIME**.

Pf. Run BFS to find path from c_0 to c^* in configuration graph. ∎

Note. Configuration graph can have 2^n nodes, and shortest path can be of length $= 2^n - 1$.

↑
binary counter

Theorem. PLANNING $\in$ **PSPACE**.

Pf.

- Suppose there is a path from c_1 to c_2 of length L.
- Path from c_1 to midpoint and from c_2 to midpoint are each $\leq L/2$.
- Enumerate all possible midpoints.
- Apply recursively. Depth of recursion $= \log_2 L$. ∎

```
boolean hasPath(c₁, c₂, L) {
    if (L ≤ 1) return correct answer

                    enumerate using binary counter


    foreach configuration c' {
        boolean x = hasPath(c₁, c', L/2)

        boolean y = hasPath(c₂, c', L/2)

        if (x and y) return true
    }
    return false
}
```

9. PSPACE

Algorithm Design

JON KLEINBERG · ÉVA TARDOS

PEARSON
Addison
Wesley

PSPACE-complete

PSPACE. Decision problems solvable in polynomial space.

PSPACE-complete. Problem $Y \in$ **PSPACE**-complete if (i) $Y \in$ **PSPACE** and (ii) for every problem $X \in$ **PSPACE**, $X \leq_P Y$.

Theorem. [Stockmeyer–Meyer 1973] QSAT $\in$ **PSPACE**-complete.

Theorem. **PSPACE** $\subseteq$ **EXPTIME**.

Pf. Previous algorithm solves QSAT in exponential time; and QSAT is **PSPACE**-*complete*. ∎

Summary. **P** $\subseteq$ **NP** $\subseteq$ **PSPACE** $\subseteq$ **EXPTIME**.

it is known that P ≠ EXPTIME,
but unknown which inclusion is strict;
conjectured that all are

PSPACE-complete problems

More PSPACE-complete problems.

- Competitive facility location.
- Natural generalizations of games.
  - Othello, Hex, Geography, Rush-Hour, Instant Insanity
  - Shanghai, go-moku, Sokoban
- Given a memory restricted Turing machine, does it terminate in at most k steps?
- Do two regular expressions describe different languages?
- Is it possible to move and rotate complicated object with attachments through an irregularly shaped corridor?
- Is a deadlock state possible within a system of communicating processors?

Competitive facility location

Input. Graph $G = (V, E)$ with positive edge weights, and target B.

Game. Two competing players alternate in selecting nodes. Not allowed to select a node if any of its neighbors has been selected.

Competitive facility location. Can second player guarantee at least B units of profit?

10	1	5	15	5	1	5	1	15	10
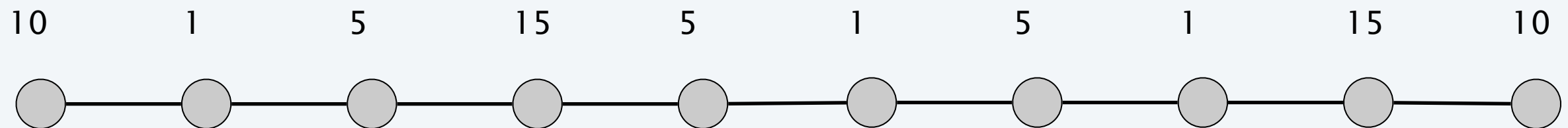
yes if B = 20;
no if B = 25

Competitive facility location

Claim. COMPETITIVE-FACILITY-LOCATION $\in$ **PSPACE**-complete.
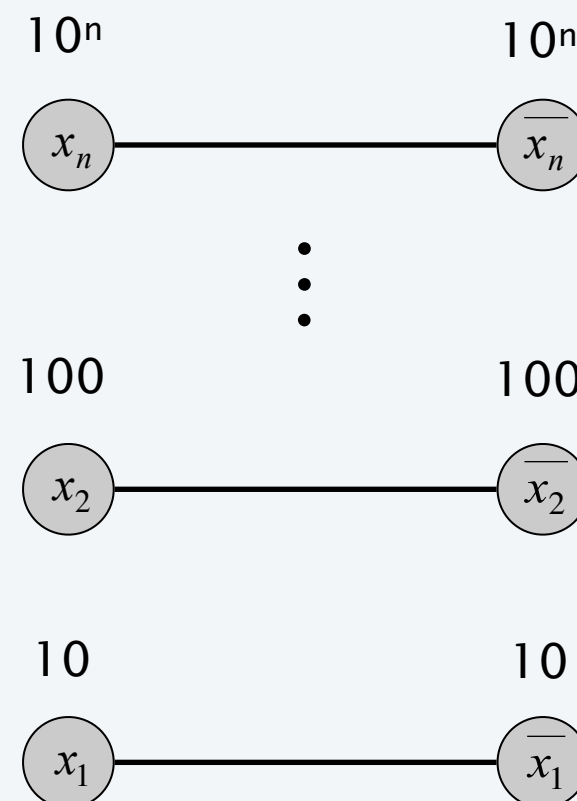
Pf.

- To solve in poly-space, use recursion like Q-SAT, but at each step there are up to n choices instead of 2.

- To show that it's complete, we show that Q-SAT polynomial reduces to it. Given an instance of Q-SAT, we construct an instance of COMPETITIVE-FACILITY-LOCATION so that player 2 can force a win iff Q-SAT formula is *true*.

Competitive facility location

Construction. Given instance $\Phi(x_1, \ldots, x_n) = C_1 \wedge C_1 \wedge \ldots C_k$ of Q-SAT. ← assume n is odd

- Include a node for each literal and its negation and connect them. (at most one of x_i and its negation can be chosen)

- Choose $c \geq k + 2$, and put weight c^i on literal x^i and its negation; set $B = c^{n-1} + c^{n-3} + \ldots + c^4 + c^2 + 1$.

  (ensures variables are selected in order $x_n, x_{n-1}, \ldots, x_1$)

- As is, player 2 will lose by 1 unit: $c^{n-1} + c^{n-3} + \ldots + c^4 + c^2$.

10^n 10^n

(x_n)————————($\overline{x_n}$)

$\vdots$

100 100

(x_2)————————($\overline{x_2}$)

10 10

(x_1)————————($\overline{x_1}$)

Competitive facility location

Construction. Given instance $\Phi(x_1, \ldots, x_n) = C_1 \wedge C_1 \wedge \ldots C_k$ of Q-SAT.

- Give player 2 one last move on which she can try to win.
- For each clause C_j, add node with value 1 and an edge to each of its literals.
- Player 2 can make last move iff truth assignment defined alternately by the players failed to satisfy some clause. ▪

$$x_1 \vee x_2 \vee \overline{x_n}$$

clause C_j

10^n 10^n

x_n $\overline{x_n}$

1

100 100

x_2 $\overline{x_2}$

10 10

x_1 $\overline{x_1}$