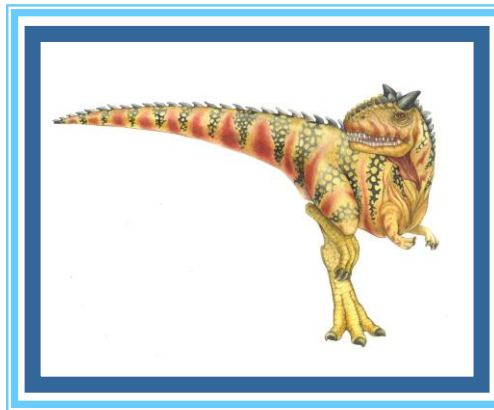


# Chapter 7: Synchronization Examples

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# Outline

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- Explain the bounded-buffer synchronization problem
- Explain the readers-writers synchronization problem
- Explain and dining-philosophers synchronization problems





# Classical Problems of Synchronization

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- Classical problems used to test newly-proposed synchronization schemes
  - Bounded-Buffer Problem
  - Readers and Writers Problem
  - Dining-Philosophers Problem





# Bounded-Buffer Problem

---

- The producer and consumer processes share the following data structures
  - Integer  **$n$**  to signifies buffer count
    - ▶ each can hold one item
  - Binary Semaphore **mutex** initialized to the value 1
    - ▶ provides mutual exclusion for accesses to the buffer pool
  - Counting Semaphore **full** initialized to the value 0
    - ▶ count the number of full buffers
  - Counting Semaphore **empty** initialized to the value  $n$ 
    - ▶ count the number of empty buffers





# Bounded Buffer Problem (Cont.)

- The structure of the producer process

```
while (true) {  
    ...  
    /* produce an item in next_produced */  
    ...  
    wait(empty); // empty=0 => no space for new item  
    wait(mutex);  
    ...  
    /* add next produced to the buffer */  
    ...  
    signal(mutex);  
    signal(full);  
}
```





# Bounded Buffer Problem (Cont.)

- The structure of the consumer process

```
while (true) {  
    wait(full); full=0 => nothing to consume  
    wait(mutex);  
    ...  
    /* remove an item from buffer to next_consumed */  
    ...  
    signal(mutex);  
    signal(empty);  
    ...  
    /* consume the item in next consumed */  
    ...  
}
```

- Any symmetry between the producer and the consumer??





# Readers-Writers Problem

- A data set is shared among a number of concurrent processes
  - **Readers** – only read the data set; they do **not** perform any updates
  - **Writers** – can both read and write
- Readers-Writers Problem allows
  - multiple readers to read at the same time
  - Only one single writer can access the shared data at the same time
- we require that the writers have exclusive access to the shared database while writing to the database
- Several variations of how readers and writers are considered – all involve some form of priorities
  - **first** readers–writers problem (writers may starve)
  - **second** readers–writers problem (readers may starve)
  - A solution to either problem may result in starvation





# Readers-Writers Problem (Cont.)

- Shared Data
  - Data set
    - ▶ Shared among readers and writers
  - Binary Semaphore **rw\_mutex** initialized to 1
    - ▶ common to both reader and writer processes.
      - mutual exclusion semaphore **for the writers**.
      - used by the **first or last reader** that **enters or exits the critical section**.
  - Binary Semaphore **mutex** initialized to 1
    - ▶ to ensure mutual exclusion when the variable **read\_count** is updated
  - Integer **read\_count** initialized to 0
    - ▶ variable keeps track of how many processes are currently reading the object







# Readers-Writers Problem (Cont.)

- The structure of a writer process

```
while (true) {  
    wait(rw_mutex); // One writer or reader(s)  
                      is writing or reading  
  
    ...  
    /* writing is performed */  
    ...  
    signal(rw_mutex);  
}
```





# Readers-Writers Problem (Cont.)

- The structure of a reader process

```
while (true){  
    wait(mutex);  
    read_count++;  
    if (read_count == 1) /* first reader */  
        wait(rw_mutex);  
    signal(mutex);  
  
    ...  
    /* reading is performed */  
    ...  
    wait(mutex);  
    read_count--;  
    if (read_count == 0) /* last reader */  
        signal(rw_mutex);  
    signal(mutex);  
}
```





# Readers-Writers Problem (Cont.)

- Let writer is in the critical section and  $n$  readers are waiting
  - one reader is queued on `rw_mutex`; rest  $n-1$  readers are queued on `mutex`
- writer executes `signal(rw_mutex)`; reader(s) or writer may be allowed.

```
while (true) {  
    wait(mutex);  
    read_count++;  
    if (read_count == 1) /* first reader */  
        wait(rw_mutex);  
    signal(mutex);  
    ...  
    /* reading is performed */  
    ...  
    wait(mutex);  
    read_count--;  
    if (read_count == 0) /* last reader */  
        signal(rw_mutex);  
    signal(mutex);  
}
```





# Readers-Writers Problem Variations

---

- The solution in previous slide can result in a **situation where a writer process never writes**. It is referred to as the “First reader-writer” problem.
- The “Second reader-writer” problem is a variation the first reader-writer problem that state:
  - Once a writer is ready to write, no “**newly arrived reader**” is allowed to read.
- Both the first and second may **result in starvation**. leading to even more variations





# Dining-Philosophers Problem

- N philosophers' sit at a round table with a **bowel of rice in the middle**.



- They spend their lives **alternating thinking and eating**.
- They do not **interact with their neighbors**.
- Occasionally try to pick up **2 chopsticks (one at a time)** to eat from bowl
  - Need both to eat, then release both when done





# Dining-Philosophers Problem

- The dining-philosophers problem is **considered a classic synchronization problem** neither because of its practical importance nor because computer scientists dislike philosophers but because it is an example of a **large class of concurrency-control problems**.
  - It is a simple representation of the need to **allocate several resources** among **several processes** in a **deadlock-free and starvation-free manner**.
- **Semaphore Based Solution**
- In the case of 5 philosophers, the shared data
  - ▶ Bowl of rice (data set)
  - ▶ Semaphore chopstick [5] initialized to 1





# Dining-Philosophers Problem Algorithm

- Philosophers (0,1,2,3,4)
- The structure of Philosopher  $i$  :

```
while (true){  
    wait (chopstick[i] ); //left chopstick  
    wait (chopstick[ (i + 1) % 5] ); //right chopstick  
  
    /* eat for awhile */  
  
    signal (chopstick[i] );  
    signal (chopstick[ (i + 1) % 5] );  
  
    /* think for awhile */  
  
}
```

- Algorithm guarantees no two neighbors are eating simultaneously.





# Dining-Philosophers Problem Algorithm

- Philosophers (0,1,2,3,4)
- The structure of Philosopher  $i$  :

```
while (true){  
    wait (chopstick[i] ); //left chopstick  
    wait (chopstick[ (i + 1) % 5] ); //right chopstick  
  
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    signal (chopstick[i] );  
    signal (chopstick[ (i + 1) % 5] );  
  
    /* think for awhile */  
  
}
```

- Algorithm guarantees no two neighbors are eating simultaneously.
- What is the **problem with this algorithm?**







# Dining-Philosophers Problem Algorithm

- Philosophers (0,1,2,3,4)
- The structure of Philosopher  $i$  :

```
while (true){  
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    signal (chopstick[i] );  
    signal (chopstick[ (i + 1) % 5] );  
  
    /* think for awhile */  
  
}
```

- Algorithm guarantees no two neighbors are eating simultaneously.
- What is the **problem with this algorithm?**
  - **Deadlock (Circular wait!!)**





# Deadlock Situation: Possible Remedies

---

- Allow at most four philosophers to be sitting simultaneously at the table.
- Allow a philosopher to pick up her chopsticks only if both chopsticks are available (to do this, **she must pick them up in a critical section**).
- Use an asymmetric solution
  - Odd-numbered philosopher picks up first her left chopstick and then her right chopstick
  - Even numbered philosopher picks up her right chopstick and then her left chopstick.
- A **deadlock-free solution** does not necessarily eliminate the possibility of **starvation**.





# Monitor Solution to Dining Philosophers

---

- Monitor-based deadlock-free solution
  - This solution imposes the restriction that a philosopher may **pick up her chopsticks** only if **both of them are available**.





# Solution to Dining Philosophers (Cont.)

```
monitor DiningPhilosophers
{
    enum {THINKING, HUNGRY, EATING} state[5];
    condition self[5];

    void pickup(int i) {
        state[i] = HUNGRY;
        test(i);
        if (state[i] != EATING)
            self[i].wait();
    }

    void putdown(int i) {
        state[i] = THINKING;
        test((i + 4) % 5);
        test((i + 1) % 5);
    }

    void test(int i) {
        if ((state[(i + 4) % 5] != EATING) &&
            (state[i] == HUNGRY) &&
            (state[(i + 1) % 5] != EATING)) {
            state[i] = EATING;
            self[i].signal();
        }
    }

    initialization_code() {
        for (int i = 0; i < 5; i++)
            state[i] = THINKING;
    }
}
```





# Solution to Dining Philosophers (Cont.)

- Each philosopher “i” invokes the operations **pickup()** and **putdown()** in the following sequence:

```
DiningPhilosophers.pickup(i);
```

```
/** EAT **/
```

```
DiningPhilosophers.putdown(i);
```

- No deadlock, but starvation is possible



# End of Chapter 7

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