

# Computer Vision

## Convolution Neural Networks

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# Introduction

- Convolution neural networks (CNNs) are similar to feedforward networks, but they're usually utilized for image recognition, pattern recognition, and / or computer vision.
- These networks harness principles from linear algebra, particularly matrix multiplication, to identify patterns within an image.

# Layers of a CNN

- Convolutional neural networks are distinguished from other neural networks by their superior performance with image, speech, or audio signal inputs.
- They have three main types of layers, which are:
  - ① Convolutional layer
  - ② Pooling layer
  - ③ Fully-connected (FC) layer
- The convolutional layer is the first layer of a convolutional network.
- While convolutional layers can be followed by additional convolutional layers or pooling layers, the fully-connected layer is the final layer.
- With each layer, the CNN increases in its complexity, identifying greater portions of the image.
- Earlier layers focus on simple features, such as colors and edges.
- As the image data progresses through the layers of the CNN, it starts to recognize larger elements or shapes of the object until it finally identifies the intended object.

# Padding

- Convolving an input of  $6 \times 6$  dimension with a  $3 \times 3$  filter results in  $4 \times 4$  output.
- Similarly, if the input is  $n \times n$  and the filter size is  $f \times f$ , then the output size will be  $(n-f+1) \times (n-f+1)$ :
  - **Input:**  $n \times n$
  - **Filter size:**  $f \times f$
  - **Output:**  $(n-f+1) \times (n-f+1)$
- There are primarily two disadvantages here:
  - ① Every time we apply a convolutional operation, the size of the image shrinks
  - ② Pixels present in the corner of the image are used only a few number of times during convolution as compared to the central pixels.  
Hence, we do not focus too much on the corners since that can lead to information loss

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- To overcome these issues, we can pad the image with an additional border, i.e., we add one pixel all around the edges.
- This means that the input will be an  $8 \times 8$  matrix (instead of a  $6 \times 6$  matrix).
- Applying convolution of  $3 \times 3$  on it will result in a  $6 \times 6$  matrix which is the original shape of the image.
- This is where padding comes to the fore:
  - Input:  $n \times n$
  - Padding:  $p$
  - Filter size:  $f \times f$
  - Output:  $(n+2p-f+1) \times (n+2p-f+1)$

# Types of Padding

- There are two common choices for padding:
- **Valid:** It means no padding. If we are using valid padding, the output will be  $(n-f+1) \times (n-f+1)$
- **Same:** Here, we apply padding so that the output size is the same as the input size, i.e.,  
 $n+2p-f+1 = n$   
So,  $p = (f-1)/2$
- This way we don't lose a lot of information and the image does not shrink either.

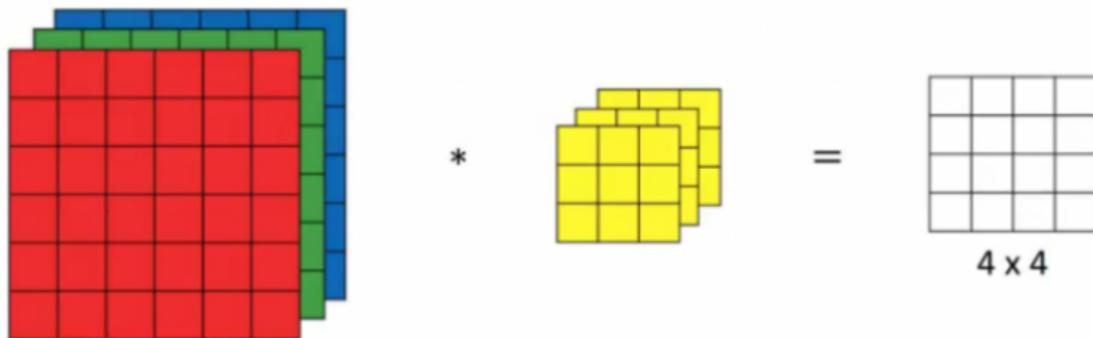
# Strided Convolutions

- Stride helps to reduce the size of the image.
- Suppose we choose a stride of 2. So, while convoluting through the image, we will take two steps – both in the horizontal and vertical directions separately. The dimensions for stride  $s$  will be:
  - **Input:**  $n \times n$
  - **Padding:**  $p$
  - **Stride:**  $s$
  - **Filter size:**  $f \times f$
  - **Output:**  $[(n+2p-f)/s+1] \times [(n+2p-f)/s+1]$

# Convolutions Over Volume

- Suppose, instead of a 2-D image, we have a 3-D input image of shape  $6 \times 6 \times 3$ .
- We will use a  $3 \times 3 \times 3$  filter instead of a  $3 \times 3$  filter. Let's look at an example:  
Input:  $6 \times 6 \times 3$   
Filter:  $3 \times 3 \times 3$
- The dimensions above represent the height, width and channels in the input and filter.
- The number of channels in the input and filter should be same.
- This will result in an output of  $4 \times 4$ .

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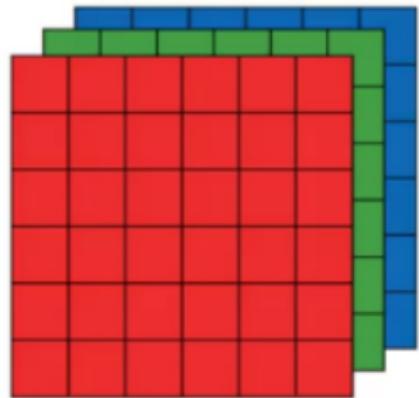


- Since there are three channels in the input, the filter will consequently also have three channels.
- After convolution, the output shape is a  $4 \times 4$  matrix.
- So, the first element of the output is the sum of the element-wise product of the first 27 values from the input (9 values from each channel) and the 27 values from the filter.
- After that we convolve over the entire image.

## Multiple Filters Edges

- Instead of using just a single filter, we can use multiple filters as well.
- Let's say the first filter will detect vertical edges and the second filter will detect horizontal edges from the image.
- If we use multiple filters, the output dimension will change.
- So, instead of having a  $4 \times 4$  output as in the above example, we would have a  $4 \times 4 \times 2$  output (if we have used 2 filters):

cont...



$6 \times 6 \times 3$

$$\begin{matrix} * & \begin{matrix} \text{3} \times 3 \times 3 \\ \text{yellow blocks} \end{matrix} & = & \begin{matrix} \text{4} \times 4 \\ \text{grid} \end{matrix} \\ * & \begin{matrix} \text{3} \times 3 \times 3 \\ \text{orange blocks} \end{matrix} & = & \begin{matrix} \text{4} \times 4 \\ \text{grid} \end{matrix} \end{matrix}$$

cont...

- Generalized dimensions can be given as:
  - Input:  $n \times n \times nc$
  - Filter:  $f \times f \times nc$
  - Padding:  $p$
  - Stride:  $s$
  - Output:  $[(n+2p-f)/s+1] \times [(n+2p-f)/s+1] \times nc'$
- nc is the number of channels in the input and filter, while nc' is the number of filters.

# One Layer of a Convolutional Network

- Once we get an output after convolving over the entire image using a filter, we add a bias term to those outputs and finally apply an activation function to generate activations.
- This is one layer of a convolutional network.
- Recall that the equation for one forward pass is given by:

$$z^{[1]} = w^{[1]} * a^{[0]} + b^{[1]}$$

$$a^{[1]} = g(z^{[1]})$$

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- The input  $a^{[0]}$  has dimensions  $6 \times 6 \times 3$  and the filters  $w^{[1]}$  have dimensions  $3 \times 3 \times 3$ .
- These activations from layer 1 act as the input for layer 2, and so on.
- The number of parameters in convolutional neural networks is independent of the size of the image.
- It essentially depends on the filter size.
- Suppose we have 10 filters, each of shape  $3 \times 3 \times 3$ . What will be the number of parameters in that layer? Let's try to solve this:

$$\text{Number of parameters for each filter} = 3 \times 3 \times 3 = 27$$

- There will be a bias term for each filter, so the total parameters per filter:

$$27 + 1 = 28$$

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- As there are 10 filters, the total parameters for that layer:

$$28 \times 10 = 280$$

- No matter how big the image is, the parameters only depend on the filter size.
- Let's have a look at the summary of notations for a convolution layer:

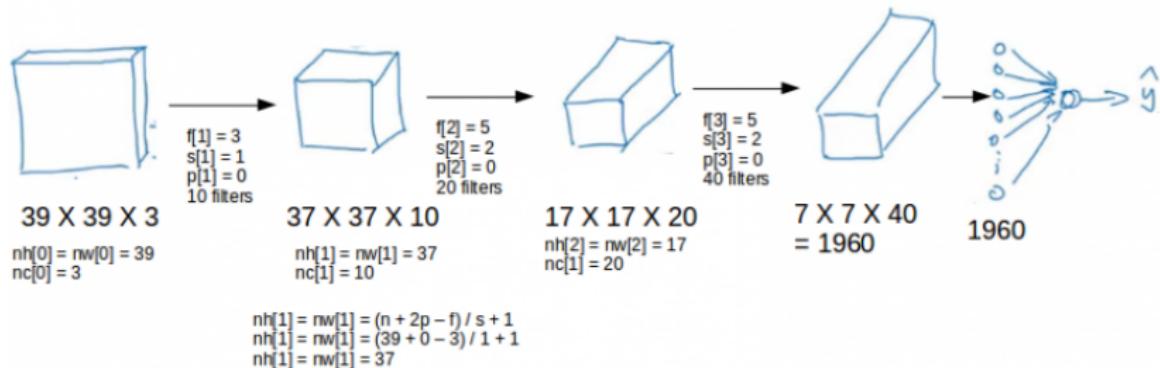
$$f^{[l]} = \text{filter size}$$

$$p^{[l]} = \text{padding}$$

$$s^{[l]} = \text{stride}$$

$$n_c^{[l]} = \text{number of filters}$$

# Simple Convolutional Neural Networks Example



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- We take an input image (size =  $39 \times 39 \times 3$  in our case), convolve it with 10 filters of size  $3 \times 3$ , and take the stride as 1 and no padding.
- This will give us an output of  $37 \times 37 \times 10$ .
- We convolve this output further and get an output of  $7 \times 7 \times 40$  as shown above.
- Finally, we take all these numbers ( $7 \times 7 \times 40 = 1960$ ), unroll them into a large vector, and pass them to a classifier that will make predictions.
- This is a microcosm of how a convolutional network works.

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- There are a number of hyperparameters that we can tweak while building a convolutional Neural network.
- These include the number of filters, size of filters, stride to be used, padding, etc.
- We will look at each of these in detail later in this article.
- Just keep in mind that as we go deeper into the network, the size of the image shrinks whereas the number of channels usually increases.
- In a convolutional network (ConvNet), there are basically three types of layers:
  - ① Convolution layer
  - ② Pooling layer
  - ③ Fully connected layer

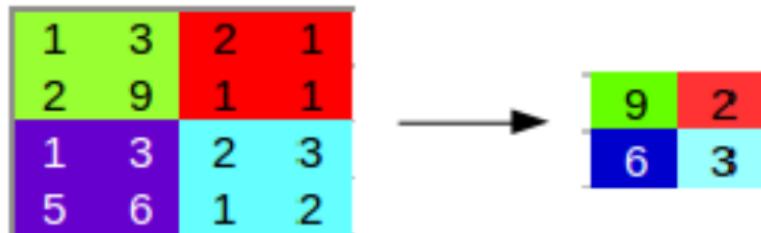
# Pooling Layers

- Pooling layers are generally used to reduce the size of the inputs and hence speed up the computation.
- Consider a 4 X 4 matrix as shown below:

1	3	2	1
2	9	1	1
1	3	2	3
5	6	1	2

cont...

- Applying max pooling on this matrix will result in a 2 X 2 output:

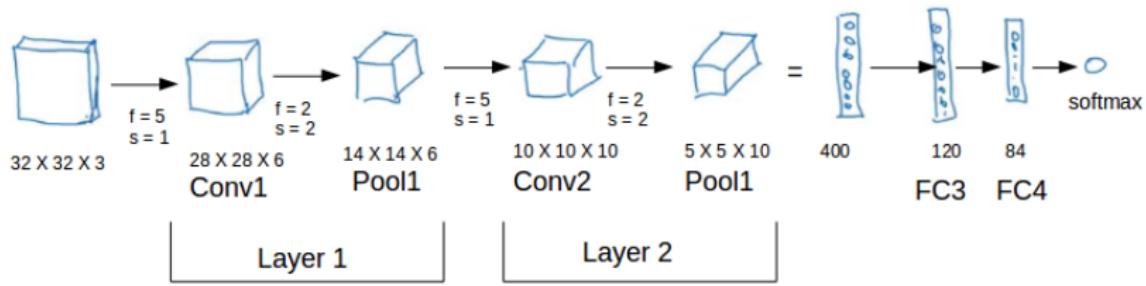


- For every consecutive 2 X 2 block, we take the max number.
- Here, we have applied a filter of size 2 and a stride of 2.
- These are the hyperparameters for the pooling layer.

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- Apart from max pooling, we can also apply average pooling where, instead of taking the max of the numbers, we take their average.
- In summary, the hyperparameters for a pooling layer are:
  - ① Filter size
  - ② Stride
  - ③ Max or average pooling
- If the input of the pooling layer is  $nh \times nw \times nc$ , then the output will be  $\{(nh - f) / s + 1\} \times \{(nw - f) / s + 1\} \times nc$ .

# CNN Example

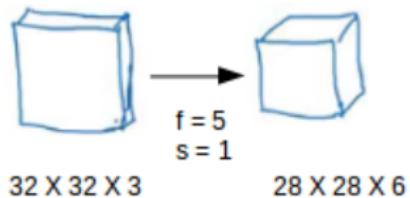


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- There are a combination of convolution and pooling layers at the beginning, a few fully connected layers at the end and finally a softmax classifier to classify the input into various categories.
- There are a lot of hyperparameters in this network which we have to specify as well.
- Generally, we take the set of hyperparameters which have been used in proven research and they end up doing well.
- As seen in the above example, the height and width of the input shrinks as we go deeper into the network (from  $32 \times 32$  to  $5 \times 5$ ) and the number of channels increases (from 3 to 10).

# Need of Convolutions

- There are primarily two major advantages of using convolutional layers over using just fully connected layers:
  - 1 Parameter sharing
  - 2 Sparsity of connections
- Consider the below example:



# Parameter Sharing

- If we would have used just the fully connected layer, the number of parameters would be  $= 32*32*3*28*28*6$ , which is nearly equal to 14 million.
- If we see the number of parameters in case of a convolutional layer, it will be  $= (5*5 + 1) * 6$  (if there are 6 filters), which is equal to 156.
- Convolutional layers reduce the number of parameters and speed up the training of the model significantly.
- In convolutions, we share the parameters while convolving through the input.
- The intuition behind this is that a feature detector, which is helpful in one part of the image, is probably also useful in another part of the image. So a single filter is convolved over the entire input and hence the parameters are shared.

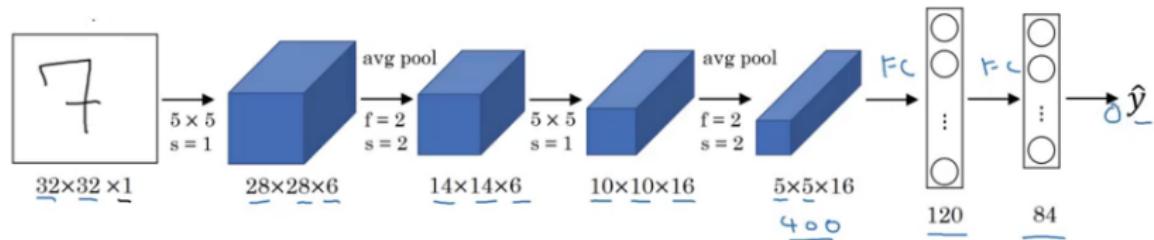
# Sparsity of connections

- The second advantage of convolution is the sparsity of connections.
- For each layer, each output value depends on a small number of inputs, instead of taking into account all the inputs.

# Deep Convolutional Models: Some classic networks

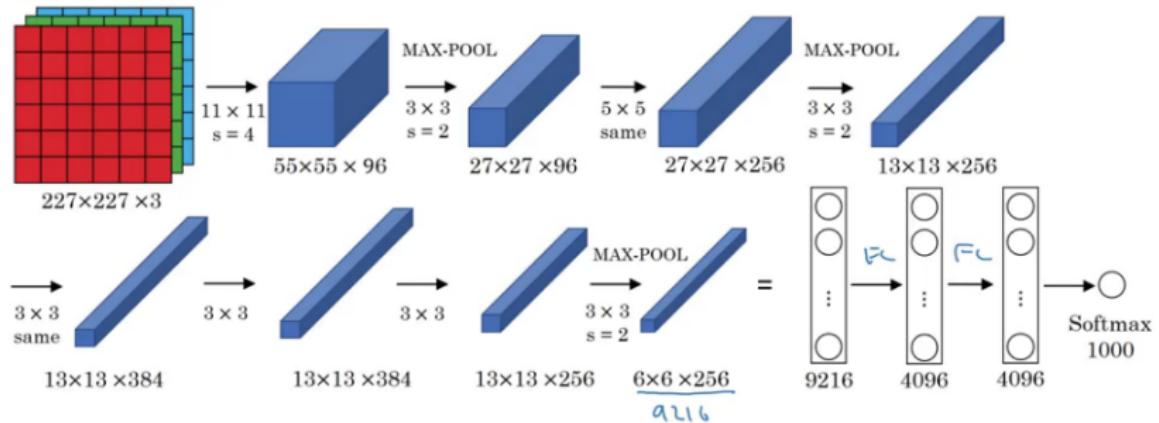
- LeNet-5
- AlexNet

# LeNet-5



- It takes a grayscale image as input. Once we pass it through a combination of convolution and pooling layers, the output will be passed through fully connected layers and classified into corresponding classes.
- The total number of parameters in LeNet-5 are:
  - Parameters: 60k
  - Layers flow: Conv → Pool → Conv → Pool → FC → FC → Output
  - Activation functions: Sigmoid/tanh and ReLU

# AlexNet



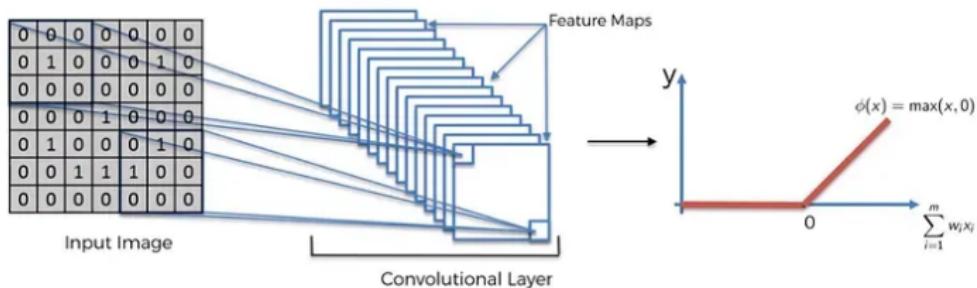
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- This network is similar to LeNet-5 with just more convolution and pooling layers:
  - Parameters: 60 million
  - Activation function: ReLu

# Layers of Convolutional Neural Networks

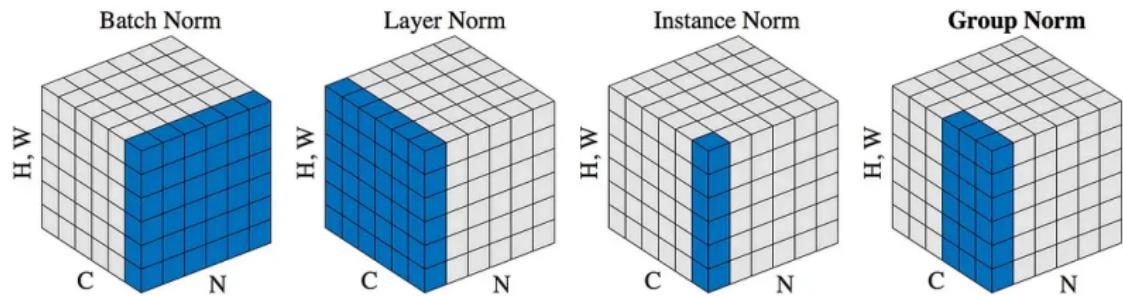
- Activation Layer
- Normalization Layer
- Dropout Layer
- Fully Connected layer

# Activation Layer



- The activation layer applies a non-linear activation function, such as the ReLU function, to the output of the pooling layer.
- This function helps to introduce non-linearity into the model, allowing it to learn more complex representations of the input data.

# Normalization Layer

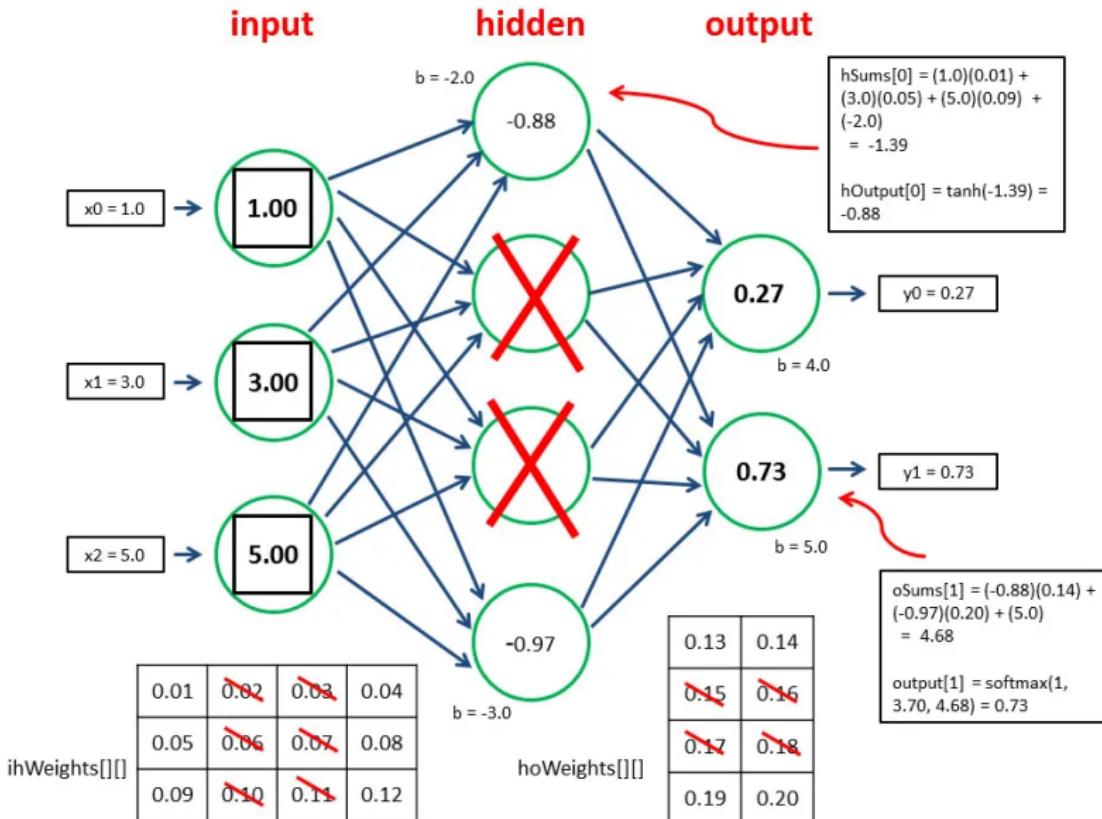


- The normalization layer performs normalization operations, such as batch normalization or layer normalization, to ensure that the activations of each layer are well-conditioned and prevent overfitting.

# Dropout layer

- The dropout layer is used to prevent overfitting by randomly dropping out neurons during training.
- This helps to ensure that the model does not memorize the training data but instead generalizes to new, unseen data.

# Dropout layer working



# Fully connected layer

- After the convolutional and pooling layers have extracted features from the input image, the fully connected layer can then be used to combine those features and make a final prediction.
- In a CNN, the fully connected layer is usually the final layer and is used to produce the output predictions.
- The activations from the previous layers are flattened and passed as inputs to the fully connected layer, which performs a weighted sum of the inputs and applies an activation function to produce the final output.

# Fully connected layer

