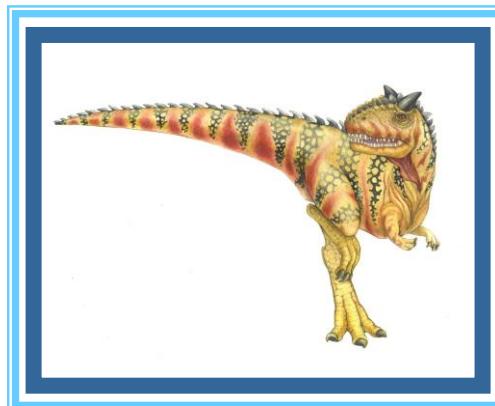
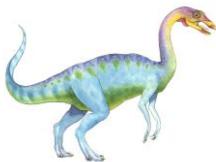


Chapter 7: Synchronization Examples





Outline

- Explain the **bounded-buffer synchronization problem**
- Explain the **readers-writers synchronization problem**
- Explain and **dining-philosophers synchronization problems**





Classical Problems of Synchronization

- Classical problems used to test newly-proposed synchronization schemes
 - Bounded-Buffer Problem
 - Readers and Writers Problem
 - Dining-Philosophers Problem





Bounded-Buffer Problem

- The producer and consumer processes share the following data structures
 - Integer **n** to signifies buffer count
 - ▶ each can hold one item
 - Binary Semaphore **mutex** initialized to the value 1
 - ▶ provides mutual exclusion for accesses to the buffer pool
 - Counting Semaphore **full** initialized to the value 0
 - ▶ count the number of full buffers
 - Counting Semaphore **empty** initialized to the value n
 - ▶ count the number of empty buffers





Bounded Buffer Problem (Cont.)

- The structure of the producer process

```
while (true) {  
    ...  
    /* produce an item in next_produced */  
    ...  
    wait(empty); // empty=0 => no space for new item  
    wait(mutex);  
    ...  
    /* add next produced to the buffer */  
    ...  
    signal(mutex);  
    signal(full);  
}
```





Bounded Buffer Problem (Cont.)

- The structure of the consumer process

```
while (true) {  
    wait(full); full=0 => nothing to consume  
    wait(mutex);  
    ...  
    /* remove an item from buffer to next_consumed */  
    ...  
    signal(mutex);  
    signal(empty);  
    ...  
    /* consume the item in next consumed */  
    ...  
}
```

- Any symmetry between the producer and the consumer??

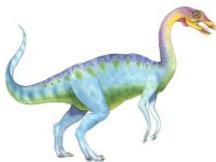




Readers-Writers Problem

- A data set is shared among a number of concurrent processes
 - Readers – only read the data set; they do *not* perform any updates
 - Writers – can both read and write
- Readers-Writers Problem allows
 - multiple readers to read at the same time
 - Only one single writer can access the shared data at the same time
- we require that the writers have exclusive access to the shared database while writing to the database
- Several variations of how readers and writers are considered – all involve some form of priorities
 - **first** readers-writers problem (writers may starve)
 - **second** readers-writers problem (readers may starve)
 - A solution to either problem may result in starvation





Readers-Writers Problem (Cont.)

- Shared Data
 - Data set
 - ▶ Shared among readers and writers
 - Binary Semaphore **rw_mutex** initialized to 1
 - ▶ common to both reader and writer processes.
 - mutual exclusion semaphore **for the writers**.
 - used by the **first or last reader** that **enters or exits** the critical section.
 - Binary Semaphore **mutex** initialized to 1
 - ▶ to ensure mutual exclusion when the variable **read_count** is updated
 - Integer **read_count** initialized to 0
 - ▶ variable keeps track of how many processes are currently reading the object





Readers-Writers Problem (Cont.)

- The structure of a writer process

```
while (true) {
    wait(rw_mutex); // One writer or reader(s)
                      // is writing or reading

    ...
/* writing is performed */

    ...

    signal(rw_mutex);
}
```





Readers-Writers Problem (Cont.)

- The structure of a reader process

```
while (true) {
    wait(mutex);
    read_count++;
    if (read_count == 1) /* first reader */
        wait(rw_mutex);
    signal(mutex);

    ...
/* reading is performed */

    ...
    wait(mutex);
    read_count--;
    if (read_count == 0) /* last reader */
        signal(rw_mutex);
    signal(mutex);
}
```





Readers-Writers Problem (Cont.)

- Let writer is in the critical section and *n* readers are waiting
 - one reader is queued on rw_mutex; rest *n-1* readers are queued on mutex
- writer executes signal(rw_mutex); reader(s) or writer may be allowed.

```
while (true) {
    wait(mutex);
    read_count++;
    if (read_count == 1) /* first reader */

        wait(rw_mutex);

    signal(mutex);

    ...
/* reading is performed */

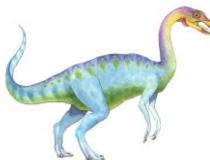
    ...
wait(mutex);
read_count--;
if (read_count == 0) /* last reader */

    signal(rw_mutex);

signal(mutex);

}
```

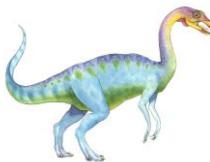




Readers-Writers Problem Variations

- The solution in previous slide can result in a **situation where a writer process never writes**. It is referred to as the “First reader-writer” problem.
- The “Second reader-writer” problem is a variation the first reader-writer problem that state:
 - Once a writer is ready to write, no “**newly arrived reader**” is allowed to read.
- Both the first and second may **result in starvation**. leading to even more variations





Dining-Philosophers Problem

- N philosophers sit at a round table with a bowel of rice in the middle.



- They spend their lives alternating thinking and eating.
- They do not interact with their neighbors.
- Occasionally try to pick up 2 chopsticks (one at a time) to eat from bowl
 - Need both to eat, then release both when done





Dining-Philosophers Problem

- The dining-philosophers problem is considered a classic synchronization problem neither because of its practical importance nor because computer scientists dislike philosophers but because it is an example of a large class of concurrency-control problems.
 - It is a simple representation of the need to allocate several resources among several processes in a deadlock-free and starvation-free manner.
- Semaphore Based Solution
- In the case of 5 philosophers, the shared data
 - ▶ Bowl of rice (data set)
 - ▶ Semaphore chopstick [5] initialized to 1





Dining-Philosophers Problem Algorithm

- Philosophers (0,1,2,3,4)
- The structure of Philosopher i :

```
while (true) {  
    wait (chopstick[i] ); //left chopstick  
    wait (chopStick[ (i + 1) % 5] ); //right chopstick  
  
    /* eat for awhile */  
  
    signal (chopstick[i] );  
    signal (chopstick[ (i + 1) % 5] );  
  
    /* think for awhile */  
}
```

- Algorithm guarantees no two neighbors are eating simultaneously.





Dining-Philosophers Problem Algorithm

- Philosophers (0,1,2,3,4)
- The structure of Philosopher i :

```
while (true) {  
    wait (chopstick[i] ); //left chopstick  
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    /* eat for awhile */  
  
    signal (chopstick[i] );  
    signal (chopstick[ (i + 1) % 5] );  
  
    /* think for awhile */  
}
```

- Algorithm guarantees no two neighbors are eating simultaneously.
- What is the problem with this algorithm?





Dining-Philosophers Problem Algorithm

- Philosophers (0,1,2,3,4)
- The structure of Philosopher i :

```
while (true) {  
    wait (chopstick[i] ); //left chopstick  
    wait (chopStick[ (i + 1) % 5] ); //right chopstick  
  
    /* eat for awhile */  
  
    signal (chopstick[i] );  
    signal (chopstick[ (i + 1) % 5] );  
  
    /* think for awhile */  
  
}
```

- Algorithm guarantees no two neighbors are eating simultaneously.
- What is the problem with this algorithm?
 - Deadlock (Circular wait!!)

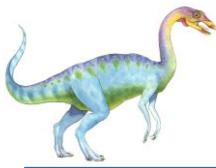




Deadlock Situation: Possible Remedies

- Allow at most four philosophers to be sitting simultaneously at the table.
- Allow a philosopher to pick up her chopsticks only if both chopsticks are available (to do this, **she must pick them up in a critical section**).
- Use an asymmetric solution
 - Odd-numbered philosopher picks up first her left chopstick and then her right chopstick
 - Even numbered philosopher picks up her right chopstick and then her left chopstick.
- A **deadlock-free solution** does not necessarily eliminate the possibility of starvation.





Monitor Solution to Dining Philosophers

- Monitor-based deadlock-free solution
 - This solution imposes the restriction that a philosopher may pick up her chopsticks only if both of them are available.





Solution to Dining Philosophers (Cont.)

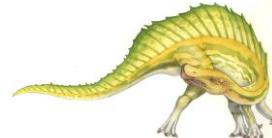
```
monitor DiningPhilosophers
{
    enum {THINKING, HUNGRY, EATING} state[5];
    condition self[5];

    void pickup(int i) {
        state[i] = HUNGRY;
        test(i);
        if (state[i] != EATING)
            self[i].wait();
    }

    void putdown(int i) {
        state[i] = THINKING;
        test((i + 4) % 5);
        test((i + 1) % 5);
    }

    void test(int i) {
        if ((state[(i + 4) % 5] != EATING) &&
            (state[i] == HUNGRY) &&
            (state[(i + 1) % 5] != EATING)) {
            state[i] = EATING;
            self[i].signal();
        }
    }

    initialization_code() {
        for (int i = 0; i < 5; i++)
            state[i] = THINKING;
    }
}
```





Solution to Dining Philosophers (Cont.)

- Each philosopher “i” invokes the operations **pickup ()** and **putdown ()** in the following sequence:

```
DiningPhilosophers.pickup(i) ;
```

```
/** EAT **/
```

```
DiningPhilosophers.putdown(i) ;
```

- No deadlock, but starvation is possible



End of Chapter 7

