

# Master Thesis Presentation

# STOCHASTIC APPROACH TO

# MANY-BODY PROBLEMS

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# Introduction

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- Main Objectives
- Many-body problem formulation and Size of the Hilbert Space
- Many body *Ab initio* methods: deterministic and stochastic
- Coupled Cluster Theory
- Coupled Cluster Quantum Monte Carlo
- Results
- Summary

# Objectives

The main objectives:

- Implement numerical methods to solve the Time Independent Schrödinger equation for  $N$  electrons
- Develop a Coupled Cluster Doubles code capable of handling relatively large systems
- The code should be general and can be applied to other systems
- Develop a Stochastic Coupled Cluster Doubles code
- Benchmarking with other methods

# Main Part

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## Many-body: problem formulation

The Hamiltonian for quantum mechanical system consisting of  $N$  particles can be written as

$$\hat{H} = -\frac{1}{2} \sum_{i=1}^N \nabla_i^2 + \sum_{i<j}^N \frac{1}{r_{ij}} + \sum_{i=1}^N \hat{V}_{\text{external}}(r_i),$$

$r_{ij}$  relative distance between the particles,

$\hat{V}_{\text{external}}(r_i)$  external one-body potential.

Stationary Schrödinger equation can be written as:

$$\hat{H}\Psi_n(\vec{\mathbf{R}}) = E_n\Psi_n(\vec{\mathbf{R}}),$$

$\vec{\mathbf{R}}$  - vector representing both coordinates and spins for all particles.

$$\vec{\mathbf{R}} = \{\vec{R}_n\} = \{(\vec{r}, \sigma)_n\},$$

For fermions the wave function must be antisymmetric:

$$\Psi_n(\dots, \vec{R}_p, \dots, \vec{R}_q, \dots) = -\Psi_n(\dots, \vec{R}_q, \dots, \vec{R}_p, \dots),$$

# Many-body: Slater Determinant Space

- Slater Determinant (SD) Space is a Hilbert space for fermions
- Each SD is constructed from  $N$  orthonormal single particle wavefunctions
- SD for reference vacuum state :

$$|D_0\rangle = \frac{1}{\sqrt{N!}} \begin{vmatrix} \psi_1(x_1) & \psi_1(x_2) & \dots & \psi_1(x_N) \\ \psi_2(x_1) & \psi_2(x_2) & \dots & \psi_2(x_N) \\ \vdots & \vdots & \ddots & \vdots \\ \psi_N(x_1) & \psi_N(x_2) & \dots & \psi_N(x_N) \end{vmatrix}$$

- Every SD is anti-symmetric by construction

## Many-body: Size of the Slater Determinant Space

An orthonormal set of  $2M$  spin-orbitals, where  $N$  are occupied.

$$\binom{2M}{N} = \frac{(2M)!}{N!(2M - N)!},$$

For the electron gas,  $N=14$ :

$$2M=38 \ (N_s=3) \rightarrow 10^{10}$$

$$2M=246 \ (N_s=10) \rightarrow 10^{22}$$

$$2M=730 \ (N_s=20) \rightarrow 10^{29}$$

For Quantum Dot,  $N=20$ :

$$2M=110 \ (N_s=10) \rightarrow 10^{21}$$

$$2M=420 \ (N_s=20) \rightarrow 10^{33}$$

$$2M=930 \ (N_s=30) \rightarrow 10^{40},$$

here  $N_s$  is shell number.



# Many-body: Ab initio quantum many body methods

## Deterministic or Wave Function Methods

- Hartree-Fock Method
- Many Body Perturbation Theory
- Full Configuration Interaction
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- Variational MC
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Density Functional Theory - widely used, but has issues

# Coupled Cluster Theory

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## Coupled cluster theory: Exponential ansatz

The Coupled Cluster approximation for wavefunction is:

$$\Psi_{CC} = e^{\hat{T}} |D_0\rangle,$$
$$\hat{T} = \sum_i^N \hat{T}_i = \hat{T}_1 + \hat{T}_2 + \hat{T}_3 + \cdots + \hat{T}_N,$$

Each excitation operator can be written in terms of creation and annihilation operators:

$$\hat{T}_1 = \sum_i \hat{t}_i = \sum_{ia} t_i^a c_a^\dagger c_i, \quad \hat{T}_2 = \sum_{i<j} \hat{t}_{ij}^{ab} = \frac{1}{2!^2} \sum_{ijab} t_{ij}^{ab} c_a^\dagger c_b^\dagger c_j c_i,$$
$$\hat{T}_3 = \sum_{i<j<k} \hat{t}_{ijk}^{abc} = \frac{1}{3!^2} \sum_{ijkabc} t_{ijk}^{abc} c_a^\dagger c_b^\dagger c_c^\dagger c_k c_j c_i.$$

here  $i, j, k$  indexes denote the orbitals that are occupied in the reference determinant and  $a, b, c$  denote those that are not.

## Coupled Cluster: Energy and Amplitudes

Insert the CC approximation for wave function into TISE:

$$\hat{H}e^{\hat{T}}|D_0\rangle = Ee^{\hat{T}}|D_0\rangle.$$

$$\langle D_0|\hat{H}|D_0\rangle + \langle D_0|\hat{H}\hat{T}|D_0\rangle + \langle D_0|\hat{H}\frac{1}{2!}\hat{T}^2|D_0\rangle = E.$$

In the energy equation is *naturally truncated* after  $\hat{T}^2$ . Equations for the amplitudes can be obtained from:

$$\langle D_{ij\dots}^{ab\dots}|\hat{H}e^{\hat{T}}|D_0\rangle = 0.$$

However for practical purposes we are using so-called similarly transformed Hamiltonian and the equations become:

$$\text{Energy} \implies \langle D_0|e^{-\hat{T}}\hat{H}e^{\hat{T}}|D_0\rangle = E,$$

$$\text{Amplitudes} \implies \langle D_{ij\dots}^{ab\dots}|e^{-\hat{T}}\hat{H}e^{\hat{T}}|D_0\rangle = 0.$$

# Coupled Cluster Quantum Monte Carlo

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CCQMC is a Projector Monte Carlo Method and can be introduced as follows:

- Perform Wick rotation for time dependent Schrödinger equation

$$-i\hbar\frac{\partial}{\partial t}|\Psi(t)\rangle = \hat{H}|\Psi(t)\rangle \implies -\frac{\partial}{\partial \tau}|\Psi(\tau)\rangle = \hat{H}|\Psi(\tau)\rangle,$$



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$$|\Psi(\tau)\rangle \propto e^{-\tau\hat{H}}|\Psi(\tau=0)\rangle,$$

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$$|\Psi(\tau)\rangle \propto e^{-\tau\hat{H}}|\Psi(\tau=0)\rangle,$$

- Assume that initial wave function has nonzero overlap with the ground state of the Hamiltonian:

$$|\Psi_0\rangle = \lim_{\tau \rightarrow \infty} e^{-\tau(\hat{H}-S)}|\Psi(\tau=0)\rangle,$$

# Coupled Cluster Quantum Monte Carlo

- Approximate the exponential propagator by repeated application of the linear propagator:

$$|\Psi_0\rangle = \lim_{N \rightarrow \infty} \left[ 1 - \delta\tau(\hat{H} - S) \right]^N |\Psi^{(\tau=0)}\rangle,$$

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- Introduce some new notations to simplify equations:

$$|D_i\rangle = \hat{c}_i |D_0\rangle,$$

here  $\hat{c}_i$  a string of creation and annihilation operators

# Coupled Cluster Quantum Monte Carlo

- Insert a coupled cluster approximation for the wave function and project equation on the excited determinant  $D_{\{i\}}$ :

$$\langle D_{\{i\}} | e^{\hat{T}} D_0 \rangle = \langle D_{\{i\}} | e^{\hat{T}} D_0 \rangle - \delta\tau \langle D_{\{i\}} | (\hat{H} - S) e^{\hat{T}} | D_0 \rangle,$$

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$$\langle D_i | e^{\hat{T}^{(\tau)}} D_0 \rangle = t_i^{(\tau)} + \mathcal{O}(\hat{T}^2),$$

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$$t_{\{i\}}^{(\tau+\delta\tau)} + \mathcal{O}(\hat{T}^2) = t_{\{i\}}^{(\tau)} + \mathcal{O}(\hat{T}^2) - \delta\tau \langle D_{\{i\}} | (\hat{H} - S) e^{\hat{T}} | D_0 \rangle,$$



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- Rewrite it to obtain CCQMC population dynamics equation:

$$\frac{\delta t_{\{i\}}}{\delta\tau} = -\langle D_{\{i\}} | (\hat{H} - S) e^{\hat{T}} | D_0 \rangle,$$

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# Coupled Cluster Quantum Monte Carlo

The equation we obtained is very similar to a diffusion one. Now we need to introduce particles or walkers to simulate the population dynamics. We use random walkers to obtain amplitudes. In this case walkers are called *excips*.

$$\frac{\delta t_{\{i\}}}{\delta \tau} = -\langle D_{\{i\}} | (\hat{H} - S) e^{\hat{T}} | D_0 \rangle,$$

Each amplitude corresponds to an excited determinant or *excitor*. The population of excips on a given excitor is proportional to the amplitude:

$$t_i \propto N_i = \sum_{\alpha} s_{\alpha} \delta_{i,i_{\alpha}} \text{ and } N_{ex} = \sum_i |N_i|$$

here  $s_{\alpha} = \pm 1$  is sign of excip,  $t_i$  is an amplitude corresponding to the determinant  $i_{\alpha}$ .

# CCQMC: Game of Life

CCQMC simulated equation:

$$\frac{\delta t_{\{i\}}}{\delta \tau} = -\langle D_{\{i\}} | (\hat{H} - S) e^{\hat{T}} | D_0 \rangle,$$

Exponential ansatz:

$$\Psi_{cc} = \left[ 1 + \sum_i t_i \hat{a}_i + \frac{1}{2!} \sum_{ij} t_i t_j \hat{a}_i \hat{a}_j + \frac{1}{3!} \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] | D_0 \rangle.$$

After the wave function collapse:

$$\frac{\delta t_{\{i\}}}{\delta \tau} = -\langle D_n | (\hat{H} - S) | D_m \rangle,$$

These determinants are connected through the Hamiltonian.

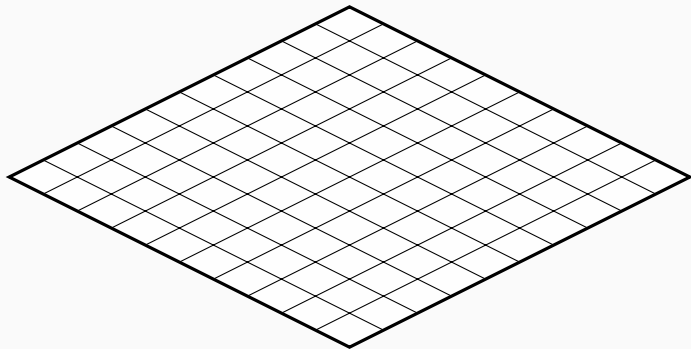
Probability to spawn:

$$P_{\text{spawn}} = \delta\tau |AH_{nm}| \frac{1}{N_a} p_{\text{size}}(s) p_{\text{clust}}(e|s) \frac{1}{P_{\text{gen}}},$$

Probability to die:

$$P_{\text{death}} = \delta\tau |A(H_{mm} - S)| \frac{1}{N_a p_{\text{size}}(s) p_{\text{clust}}(e|s)}.$$

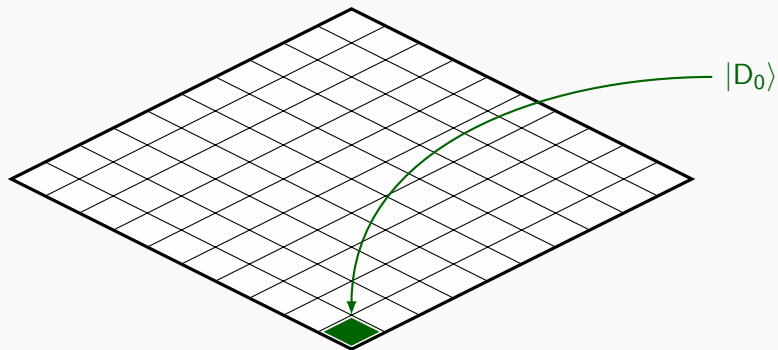
## First Iteration



$$\begin{bmatrix} D_0 & | & \dots \end{bmatrix}$$

$$\begin{bmatrix} N_0 & | & \dots \end{bmatrix}$$

# First Iteration

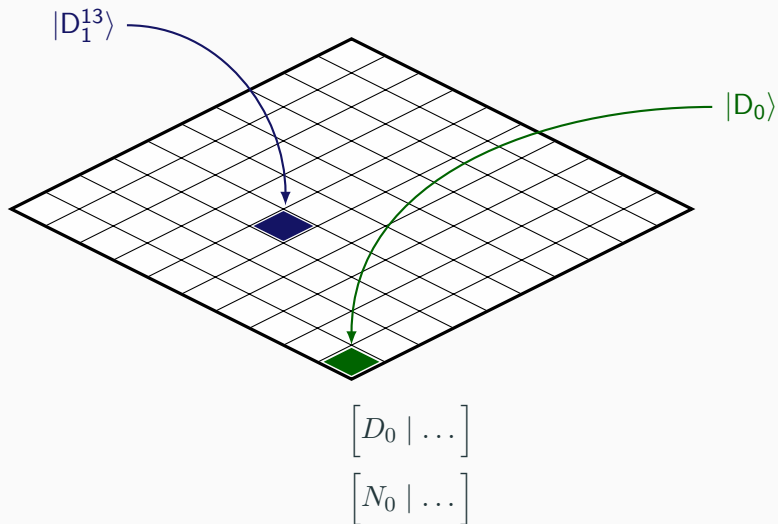


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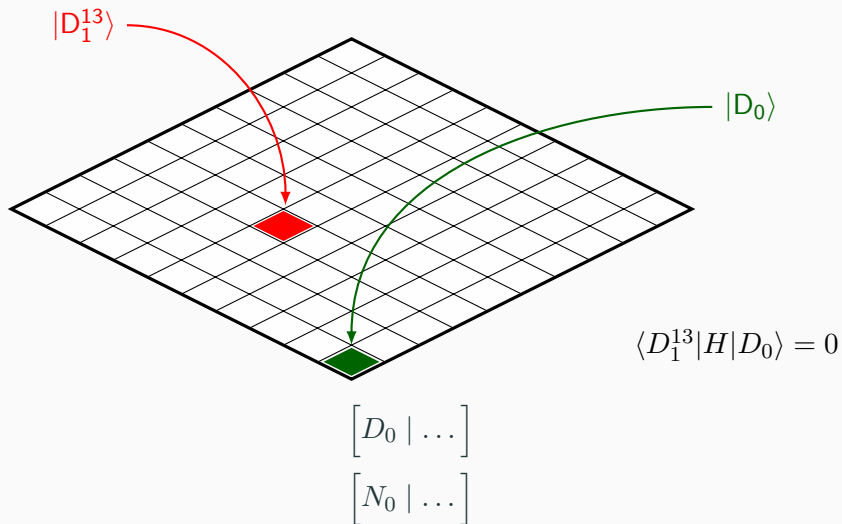
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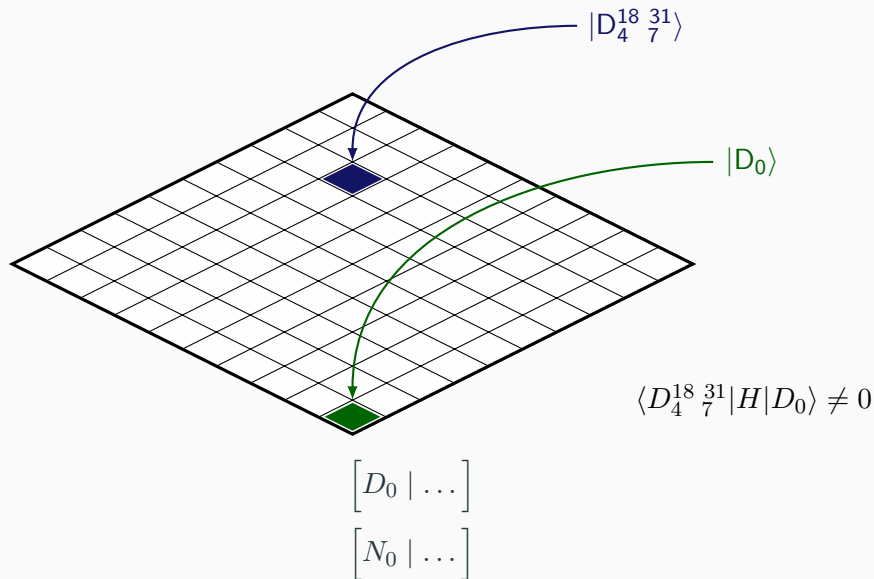
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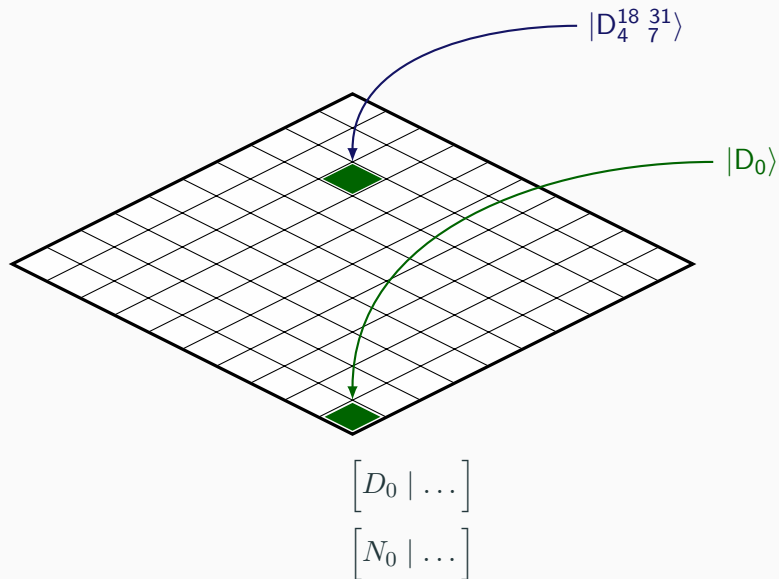
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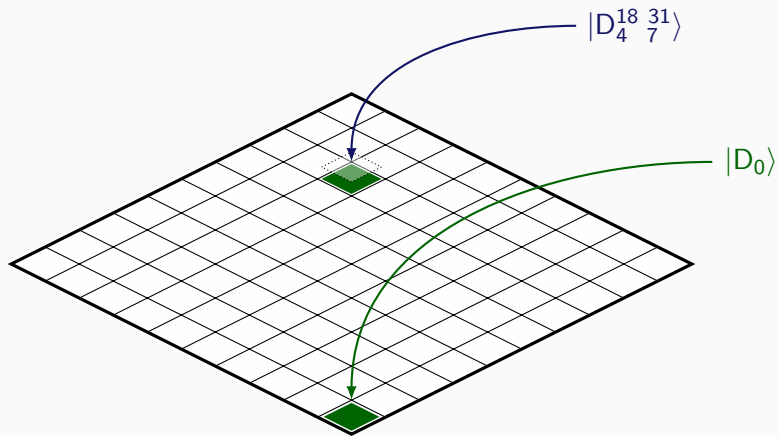
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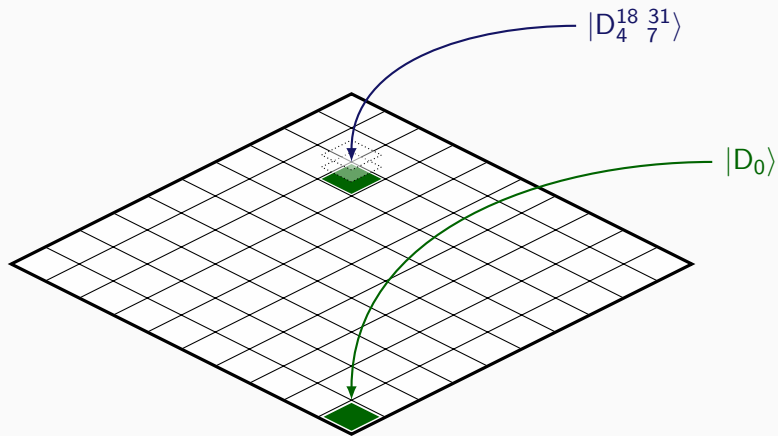


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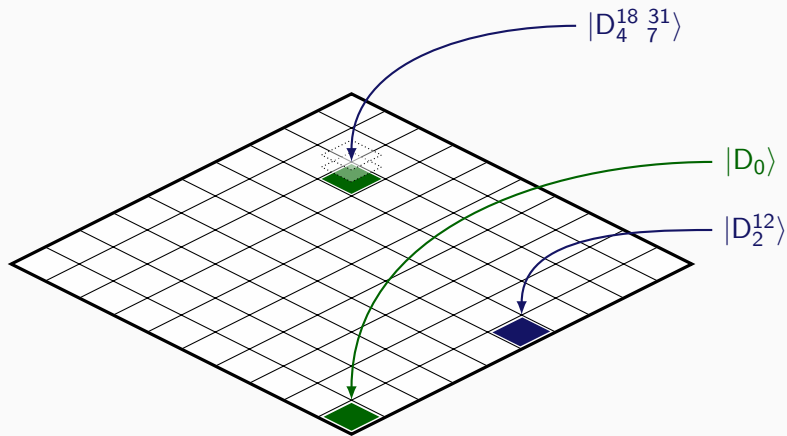
$$\begin{bmatrix} D_0 & | & D_4^{18 \ 31} & | & \dots \end{bmatrix}$$
$$\begin{bmatrix} N_0 & | & +1 & | & \dots \end{bmatrix}$$

# First Iteration



$$\begin{bmatrix} D_0 & | & D_4^{18 \ 31} & | & \dots \end{bmatrix}$$
$$\begin{bmatrix} N_0 & | & +2 & | & \dots \end{bmatrix}$$

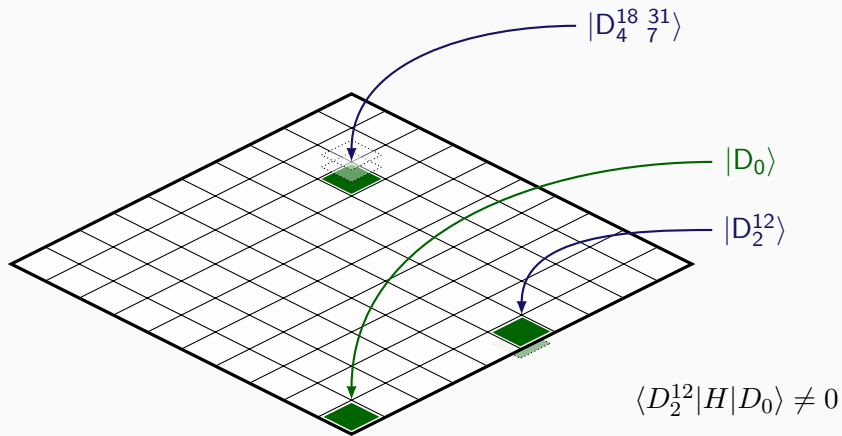
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$$\begin{bmatrix} D_0 & | & D_4^{18 \ 31} & | & \dots \end{bmatrix}$$

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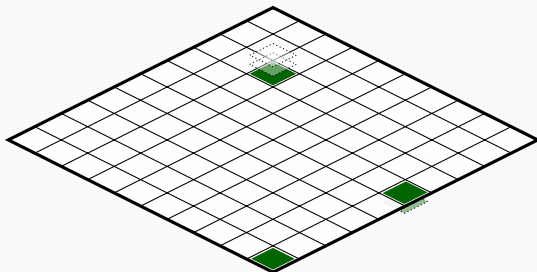


$$\begin{bmatrix} D_0 & | & D_4^{18 \ 31} & | & D_2^{12} & | & \dots \end{bmatrix}$$

$$\begin{bmatrix} N_0 & | & +2 & | & -1 & | & \dots \end{bmatrix}$$

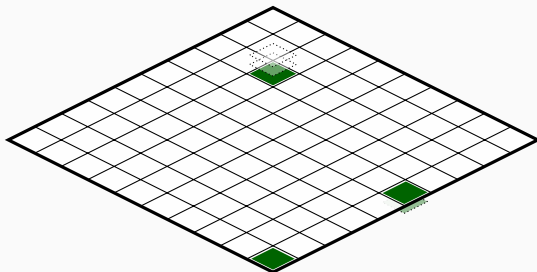


$$- \langle D_{\{i\}} | (\hat{H} - S) | \Psi_{cc} \rangle$$



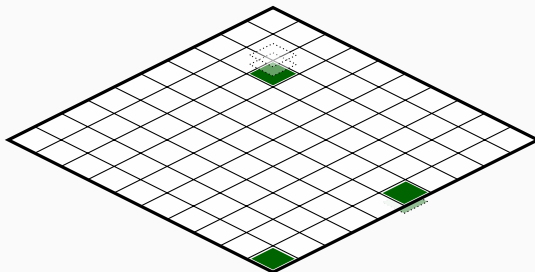
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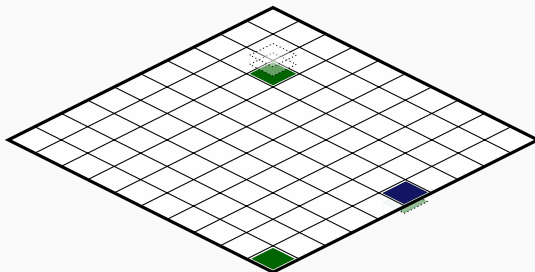
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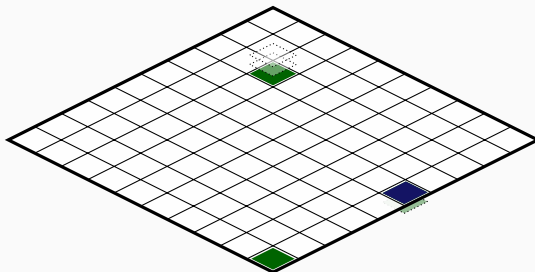
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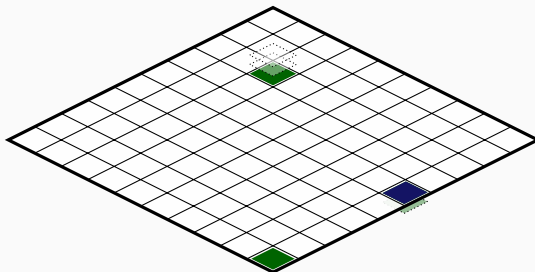
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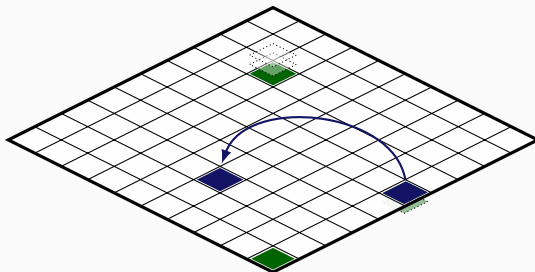
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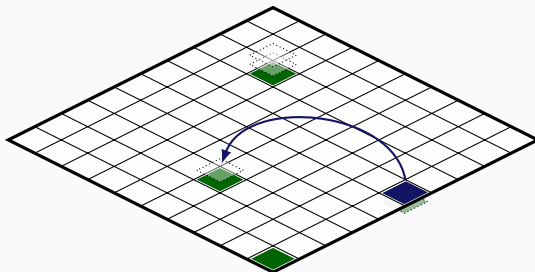
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$$- \langle D_m | (\hat{H} - S) | D_n \rangle$$



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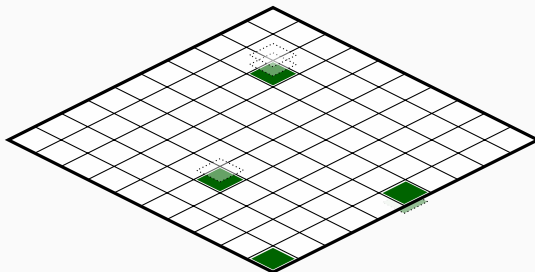
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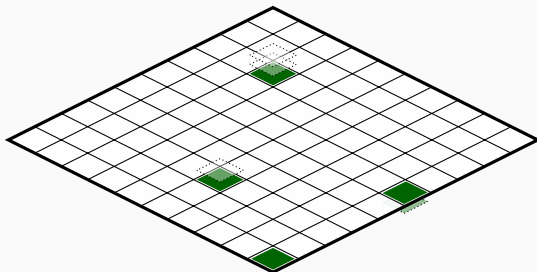


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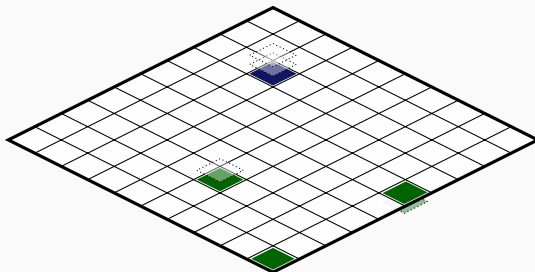
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$$- \langle D_{\{i\}} | (\hat{H} - S) | \Psi_{cc} \rangle$$



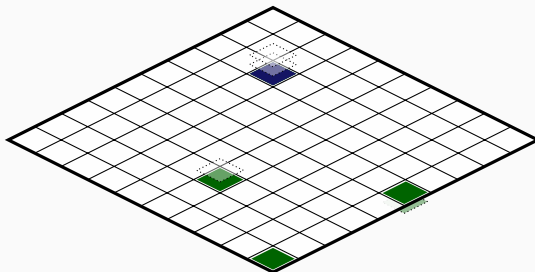
$$\Psi_{cc} = \left[ 1 + \underbrace{\sum_i t_i \hat{a}_i}_{\text{size one}} + \sum_{ij} t_i t_j \hat{a}_i \hat{a}_j + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

$$- \langle D_{\{i\}} | (\hat{H} - S) | D_{n'} \rangle$$



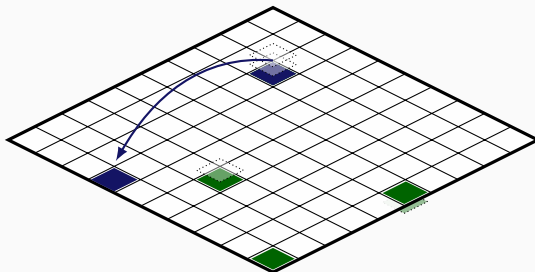
$$\Psi_{cc} = \left[ 1 + \underbrace{\sum_i t_i \hat{a}_i}_{\text{size one}} + \sum_{ij} t_i t_j \hat{a}_i \hat{a}_j + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

$$- \langle \underline{D_{\{i\}}} | (\hat{H} - S) | D_{n'} \rangle$$



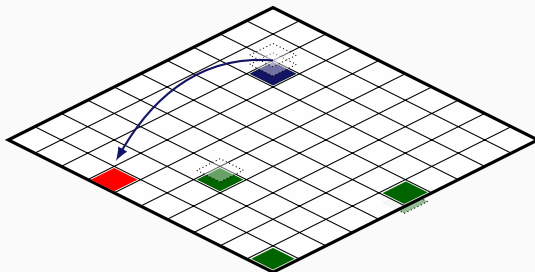
$$\Psi_{cc} = \left[ 1 + \underbrace{\sum_i t_i \hat{a}_i}_{\text{size one}} + \sum_{ij} t_i t_j \hat{a}_i \hat{a}_j + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

$$- \langle D_{m'} | (\hat{H} - S) | D_{n'} \rangle$$



$$\Psi_{cc} = \left[ 1 + \underbrace{\sum_i t_i \hat{a}_i}_{\text{size one}} + \sum_{ij} t_i t_j \hat{a}_i \hat{a}_j + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

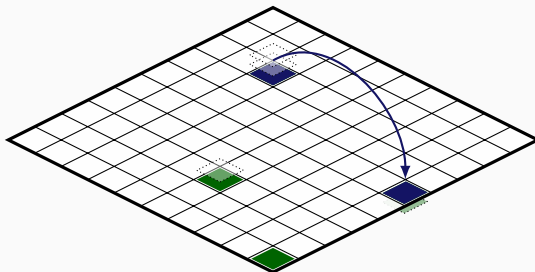
$$- \langle D_{m'} | (\hat{H} - S) | D_{n'} \rangle$$



$$\Psi_{cc} = \left[ 1 + \underbrace{\sum_i t_i \hat{a}_i}_{\text{size one}} + \sum_{ij} t_i t_j \hat{a}_i \hat{a}_j + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

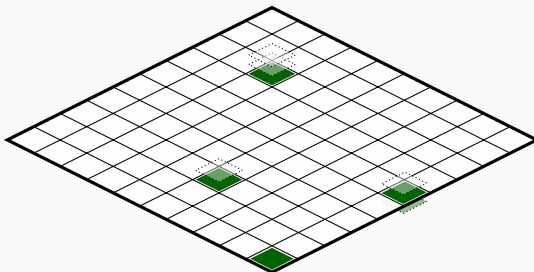
# Iteration

$$- \langle D_{m''} | (\hat{H} - S) | D_{n'} \rangle$$



$$\Psi_{cc} = \left[ 1 + \underbrace{\sum_i t_i \hat{a}_i}_{\text{size one}} + \sum_{ij} t_i t_j \hat{a}_i \hat{a}_j + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

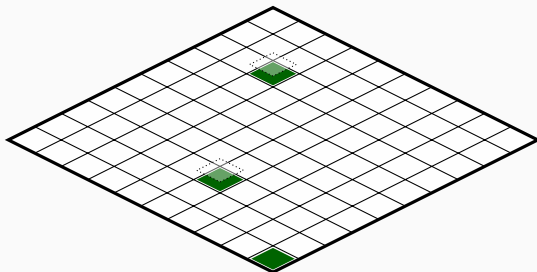
$$- \langle D_{m''} | (\hat{H} - S) | D_{n'} \rangle$$



$$\Psi_{cc} = \left[ 1 + \underbrace{\sum_i t_i \hat{a}_i}_{\text{size one}} + \sum_{ij} t_i t_j \hat{a}_i \hat{a}_j + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

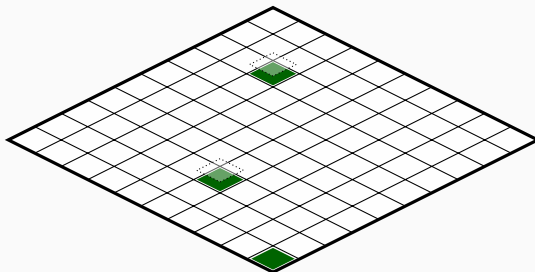


$$- \langle D_{\{i\}} | (\hat{H} - S) | \Psi_{cc} \rangle$$



$$\Psi_{cc} = \left[ 1 + \underbrace{\sum_i t_i \hat{a}_i}_{\text{size one}} + \sum_{ij} t_i t_j \hat{a}_i \hat{a}_j + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

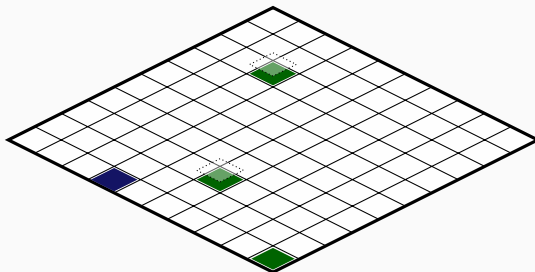
$$- \langle D_{\{i\}} | (\hat{H} - S) | \underline{\Psi}_{cc} \rangle$$



$$\Psi_{cc} = \left[ 1 + \sum_i t_i \hat{a}_i + \underbrace{\sum_{ij} t_i t_j \hat{a}_i \hat{a}_j}_{\text{size two}} + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

$$\hat{c}_{ik}^{ac} - \hat{c}_j^b$$

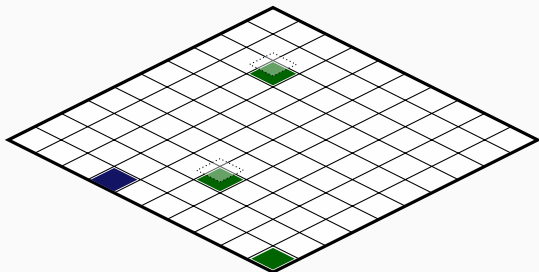
$$- \langle D_{\{i\}} | (\hat{H} - S) | \Psi_{cc} \rangle$$



$$\Psi_{cc} = \left[ 1 + \sum_i t_i \hat{a}_i + \underbrace{\sum_{ij} t_i t_j \hat{a}_i \hat{a}_j}_{\text{size two}} + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

$$\hat{c}_{ik}^{ac} - \hat{c}_j^b \rightarrow -\hat{c}_{ijk}^{abc}$$

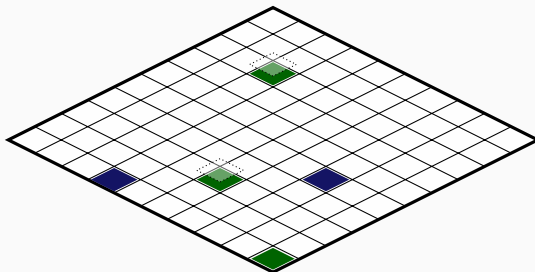
$$- \langle D_{\{i\}} | (\hat{H} - S) | \Psi_{cc} \rangle$$



$$\Psi_{cc} = \left[ 1 + \sum_i t_i \hat{a}_i + \underbrace{\sum_{ij} t_i t_j \hat{a}_i \hat{a}_j}_{\text{size two}} + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

$$- \hat{c}_{ijk}^{abc} |D_0\rangle = - |D_{ijk}^{abc}\rangle$$

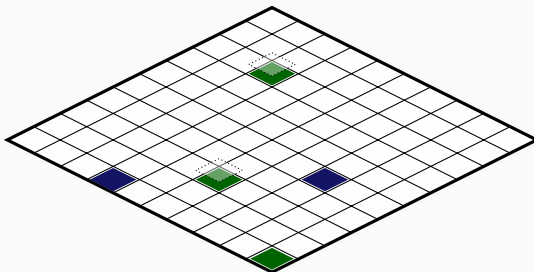
$$- \langle D_{\{i\}} | (\hat{H} - S) | \Psi_{cc} \rangle$$



$$\Psi_{cc} = \left[ 1 + \sum_i t_i \hat{a}_i + \underbrace{\sum_{ij} t_i t_j \hat{a}_i \hat{a}_j}_{\text{size two}} + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

$$- |D_{ijk}^{abc}\rangle \rightarrow \begin{matrix} c \\ i \end{matrix}$$

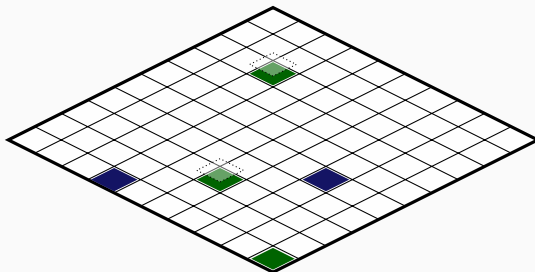
$$- \langle D_i^c | \hat{H} | D_{ijk}^{abc} \rangle$$



$$\Psi_{cc} = \left[ 1 + \sum_i t_i \hat{a}_i + \underbrace{\sum_{ij} t_i t_j \hat{a}_i \hat{a}_j}_{\text{size two}} + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

$$\delta\tau \langle D_i^c | \hat{H} | D_{ijk}^{abc} \rangle$$

$$- \langle D_i^c | \hat{H} | D_{ijk}^{abc} \rangle$$



$$\Psi_{cc} = \left[ 1 + \sum_i t_i \hat{a}_i + \underbrace{\sum_{ij} t_i t_j \hat{a}_i \hat{a}_j}_{\text{size two}} + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

$$\delta\tau \langle D_{ijk}^{abc} | \hat{H} - S | D_{ijk}^{abc} \rangle$$

# Results

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# Benchmarking

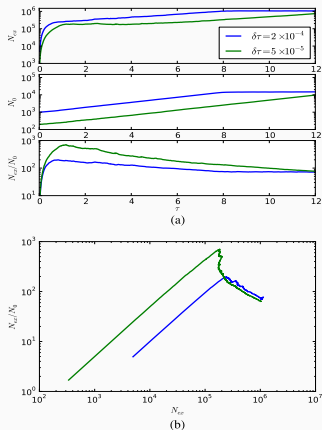
CCD(deterministic) results for 14 electrons. Mixing parameter  $\alpha = 0.8$  for Miller's results, and  $\alpha = 0.3$  for our results. All energies are presented in Hartree units.

$r_s$	States	$\Delta E_{CCD}^1$	$\Delta E_{CCD}$
1.0	54	-0.3178228436889338	-0.3178230699319593
1.0	66	-0.3926965898061968	-0.3926968074770886
1.0	114	-0.4479105961757175	-0.4479109389185165
1.0	162	-0.4805572589306421	-0.4805570782443642
1.0	186	-0.4855229317521320	-0.4855227418241649
1.0	246	-0.4929245740023971	-0.4929243692209991
1.0	294	-0.4984909094066806	-0.4984906939593084
1.0	342	-0.5019526761547777	-0.5019524529049425
1.0	358	-0.5025196736076414	-0.5025194488388953
0.5	114	-0.5120153541478306	-0.5120152296730573
0.5	342	-0.5729645498903680	-0.572964399507112
2.0	114	-0.3577968843144996	-0.3577955282575226
2.0	342	-0.4014136184665558	-0.4014117905655014

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<sup>1</sup>Quantum Mechanical Studies of Infinite Matter by the Use of Coupled-Cluster Calculations, with an Emphasis on Nuclear Matter. Sean Bruce Sangolt Miller. 2017

# Population dynamics

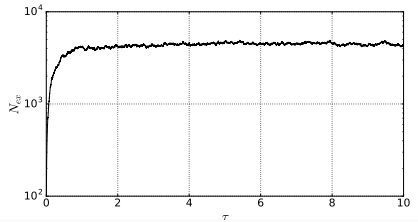
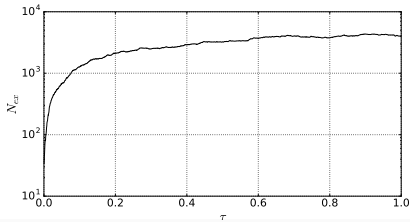


Ne CCSDTQ calculations starting with different initial particle numbers at the reference and different timesteps. (a): With a carefully chosen low timestep and initial population, a plateau is visible. An increased timestep and initial population overshoot the plateau but have a shoulder. The lower panel shows a maximum of the particle ratio at the position of the shoulder and plateau. (b): "Shoulder plots" allow shoulder height to be read off easily. <sup>a</sup>

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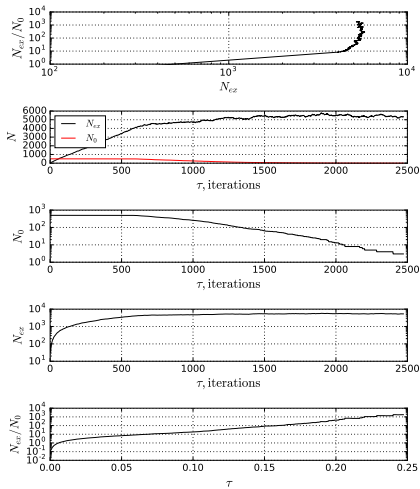
<sup>a</sup> James S. Spencer and Alex J. W. Thom. Developments in Stochastic Coupled Cluster Theory: The Initiator Approximation and Application to the Uniform Electron Gas. The Journal of Chemical Physics 144.8 (Feb. 2016)

# Population dynamics



The population dynamics of the excited space for 14 electrons and 54 basis functions.  $r_s = 0.5$ ,  $\delta\tau = 0.0005$ (left). The population dynamics of the excited space for 14 electrons and 54 basis functions with  $r_s = 0.5$ ,  $\delta\tau = 0.0005$  and the population control enabled after  $5 \cdot 10^3$  iterations. Dampening parameter is  $\gamma = 0.05$ . The energy shift  $S$  is tuned every five iterations.(right)

# Population dynamics



Summary of complete basis set extrapolated results for the correlation energy of the 14 electron uniform electron gas in hartree.  $r_s = 1.0$

—	$\Delta E_{CCD}$
dCCD	-0.514204
dCCD <sup>2</sup>	-0.5152(5)
qCCSD <sup>3</sup>	-0.51450(9)
qCCSDT <sup>3</sup>	-0.5307(2)
qCCSDTQ <sup>3</sup>	-0.5307(2)
FCIQMC <sup>4</sup>	-0.5325(4)

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<sup>2</sup>J. J. Shepherd, A. Grneis, G. H. Booth, G. Kresse, and A. Alavi, Phys. Rev. B 86, 035111 (2012)

<sup>3</sup>Verena A. Neufeld and Alex J. W. Thom. "A Study of the Dense Uniform Electron Gas with High Orders of Coupled Cluster". The Journal of Chemical Physics 147.19 (Nov. 2017)

<sup>4</sup>J. J. Shepherd, G. H. Booth, and A. Alavi, J. Chem. Phys. 136, 244101 (2012)

## Conclusion

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# Conclusion

- We have developed a CCD solver that reproduced published results for the homogeneous electron gas.
- This solver can also be applied to other systems.
- We have used this implementation to obtain a significant benchmark information to develop the CCQMC solver.
- We have developed a CCQMC solver and found that population of the reference state and truncation level play major roles for the CCQMC algorithm.
- The CCQMC method allows us to overcome the rapid growth of space size associated with deterministic methods even on a low truncation level.

## Future work

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## Future work

- Implement the method for a higher truncation level (include triples)
- Investigate different sampling schemes
- Use optimization techniques, for example initiator approximation might be a very important topic for future work.
- The optimization of the implementation both numerically and algorithmically.
- Test solver on a not transitionally invariant systems, for example Quantum Dots.