

Master Thesis Presentation

STOCHASTIC APPROACH TO

MANY-BODY PROBLEMS

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Introduction

Outline

- Main Objectives
- Many body *Ab initio* methods: deterministic and stochastic
- Many-body problem formulation
- Slater Determinant space
- Coupled Cluster Theory
- Coupled Cluster Quantum Monte Carlo
- Results
- Summary

Objectives

The main objectives:

- Implement numerical methods to solve the Time Independent Schrödinger equation for N electrons
- Develop a Coupled Cluster Doubles code capable of handling relatively large systems
- The code should be general and can be applied to other systems
- Develop a Stochastic Coupled Cluster Doubles code
- Compare two methods

Main Part

Many-body: Ab initio quantum many body methods

Deterministic or Wave Function Methods

- Hartree-Fock Method
- Many Body Perturbation Theory
- Full Configuration Interaction
- Coupled Cluster Method

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Stochastic or Monte Carlo (MC) Methods

- Variational MC
- Diffusion MC
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Density Functional Theory - widely used, but has issues

Many-body: problem formulation

The Hamiltonian for quantum mechanical system consisting of N particles can be written as

$$\hat{H} = -\frac{1}{2} \sum_{i=1}^N \nabla_i^2 + \sum_{i<j}^N \frac{1}{r_{ij}} - \sum_{i=1}^N \hat{V}(r_i),$$

r_{ij} relative distance between the particles,

$\hat{V}(r_i)$ potential that represents the system under consideration.

Stationary Schrödinger equation can be written as:

$$\hat{H}\Psi_n(\vec{\mathbf{R}}) = E_n\Psi_n(\vec{\mathbf{R}}),$$

$\vec{\mathbf{R}}$ - vector representing both coordinates and spins for all particles.

$$\vec{\mathbf{R}} = \{\vec{R}_n\} = \{(\vec{r}, \sigma)_n\},$$

For fermions the wave function must be antisymmetric:

$$\Psi_n(\dots, \vec{R}_p, \dots, \vec{R}_q, \dots) = -\Psi_n(\dots, \vec{R}_q, \dots, \vec{R}_p, \dots),$$

Many-body: Slater Determinant Space

- Slater Determinant (SD) Space is a Hilbert space for fermions
- Each SD is constructed from N orthonormal single electron wavefunctions
- SD for reference vacuum state :

$$|D_0\rangle = \frac{1}{\sqrt{N!}} \begin{vmatrix} \psi_1(x_1) & \psi_1(x_2) & \dots & \psi_1(x_N) \\ \psi_2(x_1) & \psi_2(x_2) & \dots & \psi_2(x_N) \\ \vdots & \vdots & \ddots & \vdots \\ \psi_N(x_1) & \psi_N(x_2) & \dots & \psi_N(x_N) \end{vmatrix}$$

- Every SD is anti-symmetric by construction

Many-body: Size of the Slater Determinant Space

An orthonormal set of $2M$ spin-orbitals, where N are occupied.

$$\binom{N}{2M} = \frac{N!}{2M!(2M - N)!},$$

For the electron gas:

$$N=14, 2M=3/38 \rightarrow 10^{10}$$

$$N=14, 2M=10/246 \rightarrow 10^{22}$$

$$N=14, 2M=20/730 \rightarrow 10^{29}$$

Coupled Cluster Theory

Coupled cluster theory: Exponential ansatz

The approximation for wave function for Coupled Cluster is:

$$\Psi_{CC} = e^{\hat{T}} |D_0\rangle,$$
$$\hat{T} = \sum_i^N \hat{T}_i = \hat{T}_1 + \hat{T}_2 + \hat{T}_3 + \cdots + \hat{T}_N,$$

Each excitation operator can be written in terms of creation and annihilation operators:

$$\hat{T}_1 = \sum_i \hat{t}_i = \sum_{ia} t_i^a c_a^\dagger c_i, \quad \hat{T}_2 = \sum_{i<j} \hat{t}_{ij}^{ab} = \frac{1}{2!^2} \sum_{ijab} t_{ij}^{ab} c_a^\dagger c_b^\dagger c_j c_i,$$
$$\hat{T}_3 = \sum_{i<j<k} \hat{t}_{ijk}^{abc} = \frac{1}{3!^2} \sum_{ijkabc} t_{ijk}^{abc} c_a^\dagger c_b^\dagger c_c^\dagger c_k c_j c_i.$$

here i, j, k indexes denote the orbitals that are occupied in the reference determinant and a, b, c denote those that are not.

Coupled Cluster: Energy and Amplitudes

Insert the CC approximation for wave function into TISE:

$$\hat{H}e^{\hat{T}}|D_0\rangle = Ee^{\hat{T}}|D_0\rangle.$$

$$\langle D_0|\hat{H}|D_0\rangle + \langle D_0|\hat{H}\hat{T}|D_0\rangle + \langle D_0|\hat{H}\frac{1}{2!}\hat{T}^2|D_0\rangle = E.$$

In the energy equation is *naturally truncated* after \hat{T}^2 . Equations for the amplitudes can be obtained from:

$$\langle D_{ij\dots}^{ab\dots}|\hat{H}e^{\hat{T}}|D_0\rangle = 0.$$

However for practical purposes we are using so-called similarly transformed Hamiltonian and the equations become:

$$\text{Energy} \implies \langle D_0|e^{-\hat{T}}\hat{H}e^{\hat{T}}|D_0\rangle = E,$$

$$\text{Amplitudes} \implies \langle D_{ij\dots}^{ab\dots}|e^{-\hat{T}}\hat{H}e^{\hat{T}}|D_0\rangle = 0.$$

Coupled Cluster Quantum Monte Carlo

Coupled Cluster Quantum Monte Carlo

CCQMC is a Projector Monte Carlo Method and can be introduced as follows:

- Perform Wick rotation for time dependent Schrödinger equation

$$-i\hbar\frac{\partial}{\partial t}|\Psi(t)\rangle = \hat{H}|\Psi(t)\rangle \implies -\frac{\partial}{\partial \tau}|\Psi(\tau)\rangle = \hat{H}|\Psi(\tau)\rangle,$$

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- Assume that initial wave function has nonzero overlap with the ground state of the Hamiltonian:

$$|\Psi_0\rangle = \lim_{\tau \rightarrow \infty} e^{-\tau(\hat{H}-S)} |\Psi(\tau=0)\rangle,$$

Coupled Cluster Quantum Monte Carlo

- Approximate the exponential propagator by repeated application of the linear propagator:

$$|\Psi_0\rangle = \lim_{N \rightarrow \infty} \left[1 - \delta\tau(\hat{H} - S) \right]^N |\Psi^{(\tau=0)}\rangle,$$

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- Introduce some new notations to simplify equations:

$$|D_i\rangle = \hat{c}_i |D_0\rangle,$$

here \hat{c}_i a string of creation and annihilation operators

Coupled Cluster Quantum Monte Carlo

- Insert a coupled cluster approximation for the wave function and project equation on the excited determinant $D_{\{i\}}$:

$$\langle D_{\{i\}} | e^{\hat{T}} D_0 \rangle = \langle D_{\{i\}} | e^{\hat{T}} D_0 \rangle - \delta\tau \langle D_{\{i\}} | (\hat{H} - S) e^{\hat{T}} | D_0 \rangle,$$

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- Express the RHS of the above equation in terms of amplitudes:

$$\langle D_i | e^{\hat{T}^{(\tau)}} D_0 \rangle = t_i^{(\tau)} + \mathcal{O}(\hat{T}^2),$$

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$$t_{\{i\}}^{(\tau+\delta\tau)} + \mathcal{O}(\hat{T}^2) = t_{\{i\}}^{(\tau)} + \mathcal{O}(\hat{T}^2) - \delta\tau \langle D_{\{i\}} | (\hat{H} - S) e^{\hat{T}} | D_0 \rangle,$$

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- Rewrite it to obtain CCQMC population dynamics equation:

$$\frac{\delta t_{\{i\}}}{\delta\tau} = -\langle D_{\{i\}} | (\hat{H} - S) e^{\hat{T}} | D_0 \rangle,$$

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Coupled Cluster Quantum Monte Carlo

The equation we obtained is very similar to a diffusion one. Now we need to introduce particles or walkers to simulate the population dynamics. We use random walkers to obtain amplitudes. In this case walkers are called *excips*.

$$\frac{\delta t_{\{i\}}}{\delta \tau} = -\langle D_{\{i\}} | (\hat{H} - S) e^{\hat{T}} | D_0 \rangle,$$

Each amplitude corresponds to an excited determinant or *excitor*. The population of excips on a given excitor is proportional to the amplitude:

$$t_i \propto N_i = \sum_{\alpha} s_{\alpha} \delta_{i, i_{\alpha}} \text{ and } N_{ex} = \sum_i |N_i|$$

here $s_{\alpha} = \pm 1$ is sign of excip, t_i is an amplitude corresponding to the determinant i_{α} .

CCQMC simulated equation:

$$\frac{\delta t_{\{i\}}}{\delta \tau} = -\langle D_{\{i\}} | (\hat{H} - S) e^{\hat{T}} | D_0 \rangle,$$

Exponential ansatz:

$$\Psi_{cc} = \left[1 + \sum_i t_i \hat{a}_i + \frac{1}{2!} \sum_{ij} t_i t_j \hat{a}_i \hat{a}_j + \frac{1}{3!} \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] | D_0 \rangle.$$

After the wave function collapse:

$$\frac{\delta t_{\{i\}}}{\delta \tau} = -\langle D_n | (\hat{H} - S) | D_m \rangle,$$

These determinants are connected through the Hamiltonian.

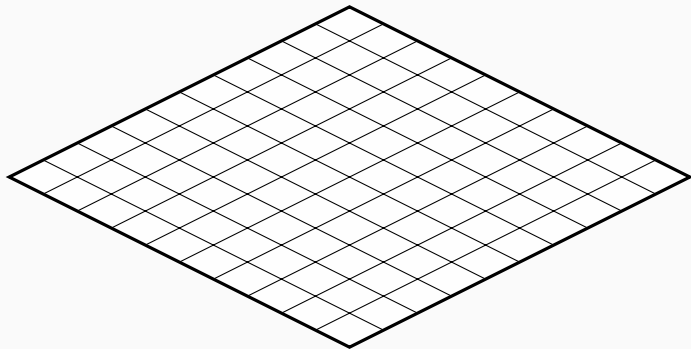
Probability to spawn:

$$P_{\text{spawn}} = \delta\tau |AH_{nm}| \frac{1}{N_a} p_{\text{size}}(s) p_{\text{clust}}(e|s) \frac{1}{P_{\text{gen}}},$$

Probability to die:

$$P_{\text{death}} = \delta\tau |A(H_{mm} - S)| \frac{1}{N_a p_{\text{size}}(s) p_{\text{clust}}(e|s)}.$$

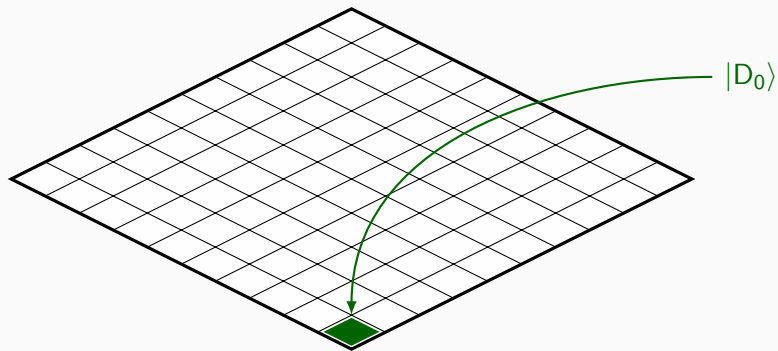
First Iteration



$$\begin{bmatrix} D_0 & | & \dots \end{bmatrix}$$

$$\begin{bmatrix} N_0 & | & \dots \end{bmatrix}$$

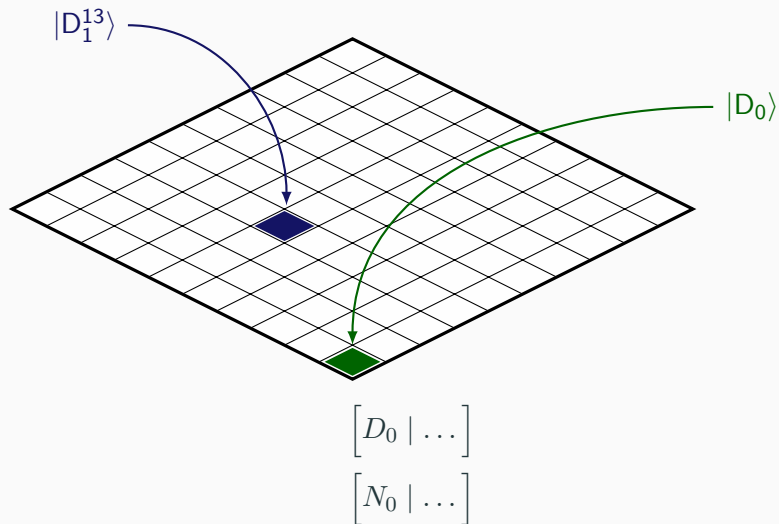
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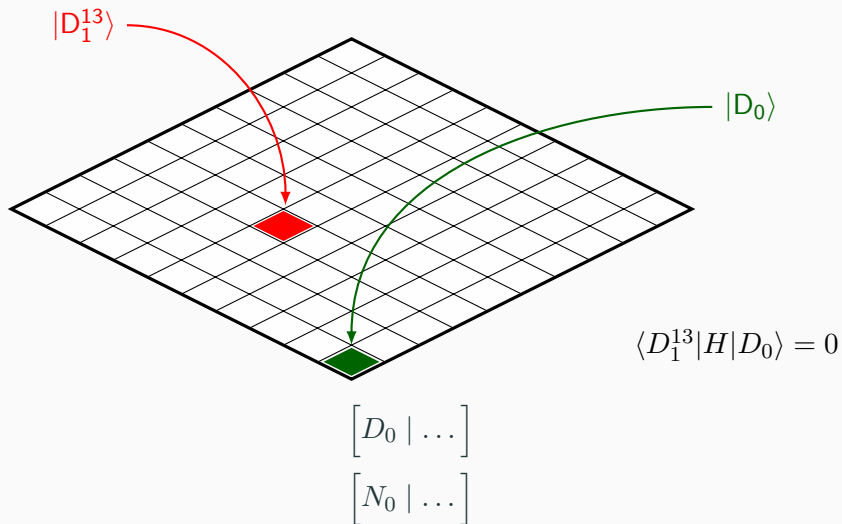
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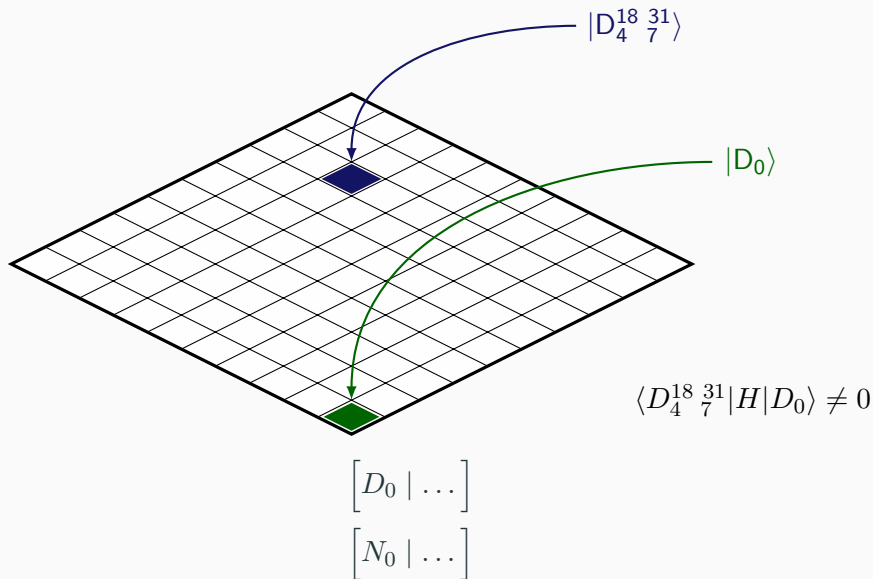
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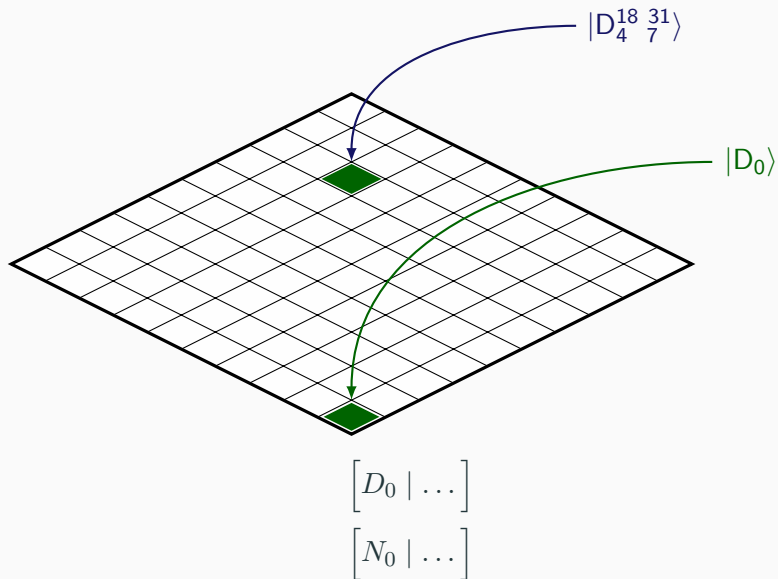
First Iteration



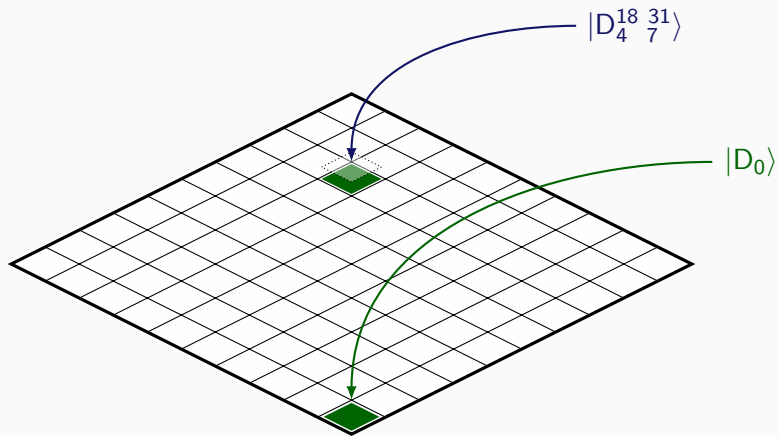
First Iteration



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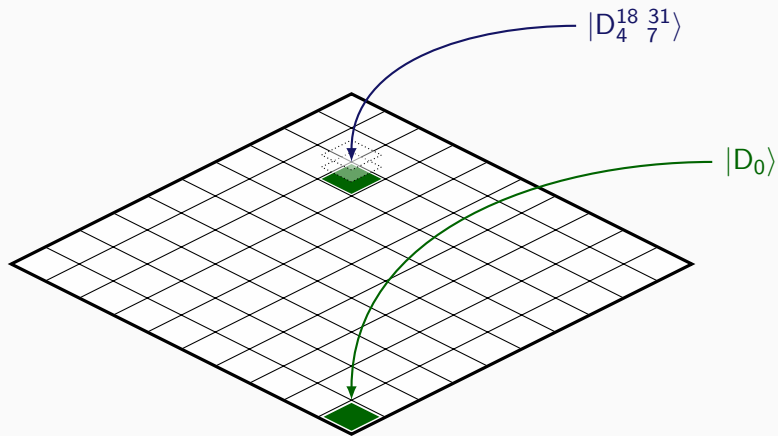


First Iteration



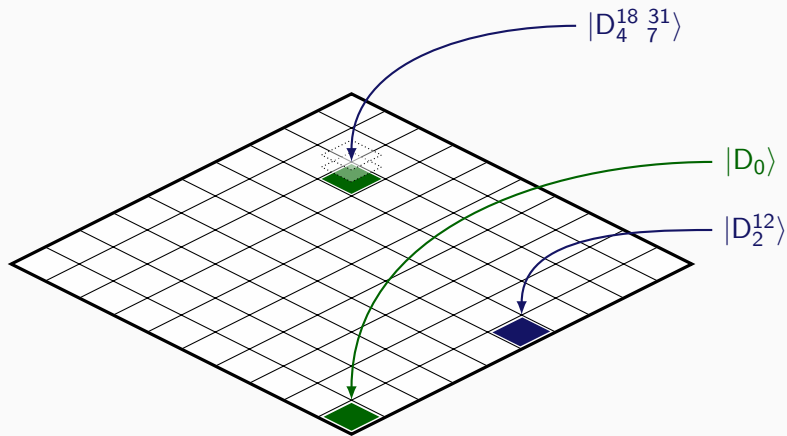
$$\begin{bmatrix} D_0 & | & D_4^{18 \ 31} & | & \dots \end{bmatrix}$$
$$\begin{bmatrix} N_0 & | & +1 & | & \dots \end{bmatrix}$$

First Iteration



$$\begin{bmatrix} D_0 & | & D_4^{18 \ 31} & | & \dots \end{bmatrix}$$
$$\begin{bmatrix} N_0 & | & +2 & | & \dots \end{bmatrix}$$

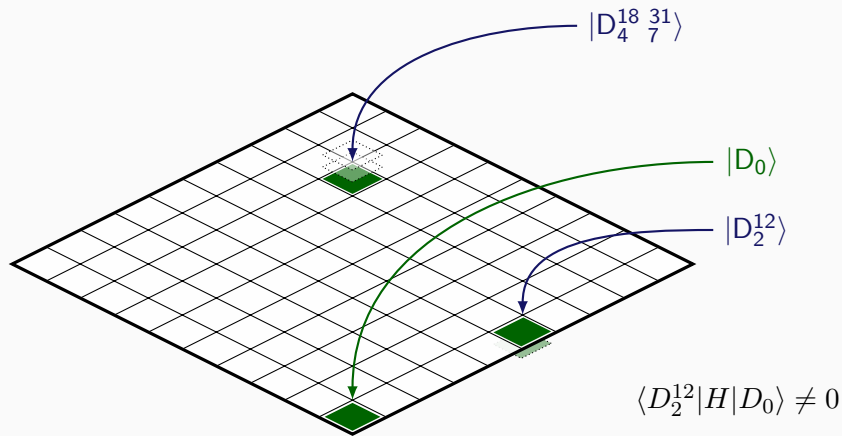
First Iteration



$$\begin{bmatrix} D_0 & | & D_4^{18 \ 31} & | & \dots \end{bmatrix}$$

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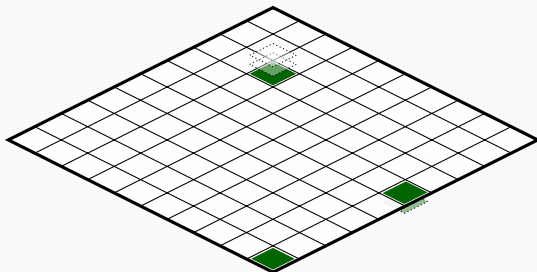
First Iteration



$$\begin{bmatrix} D_0 & | & D_4^{18 \ 31} & | & D_2^{12} & | & \dots \end{bmatrix}$$

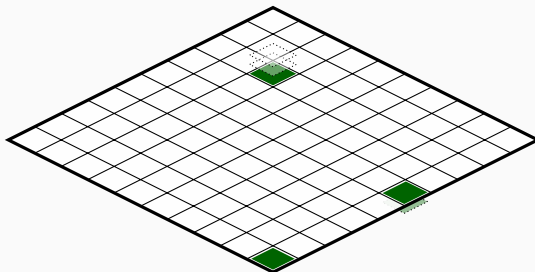
$$\begin{bmatrix} N_0 & | & +2 & | & -1 & | & \dots \end{bmatrix}$$

$$- \langle D_{\{i\}} | (\hat{H} - S) | \Psi_{cc} \rangle$$



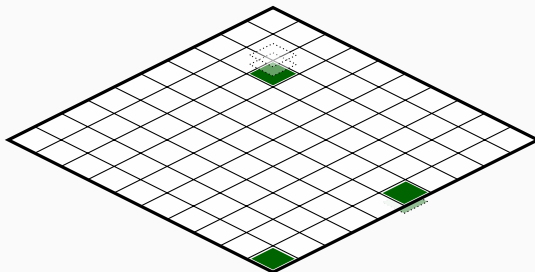
$$\Psi_{cc} = [1 + \sum_i t_i \hat{a}_i + \sum_{ij} t_i t_j \hat{a}_i \hat{a}_j + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots] |D_0\rangle.$$

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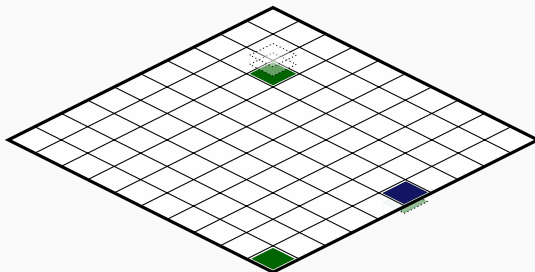
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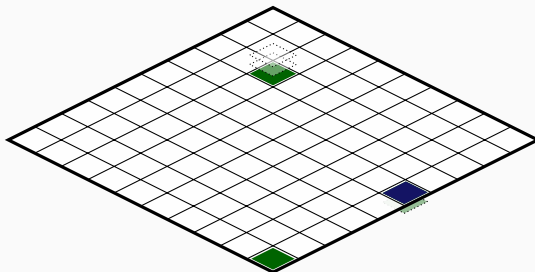
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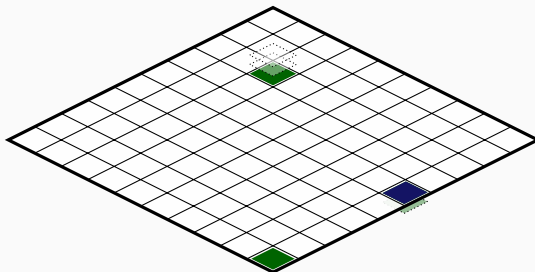
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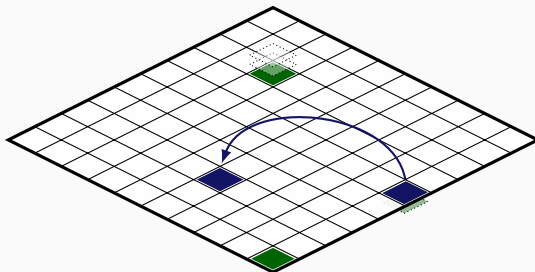
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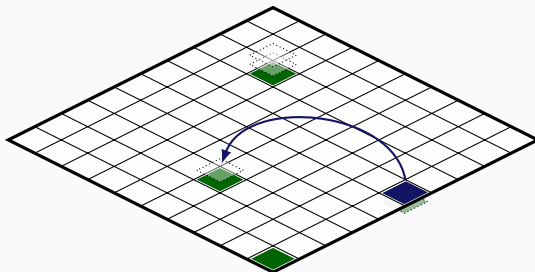
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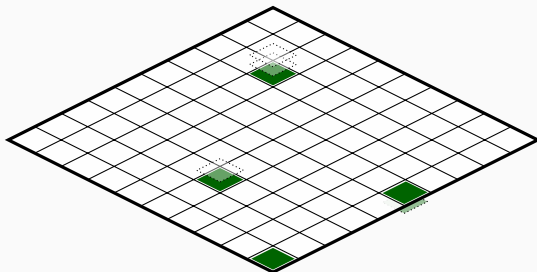
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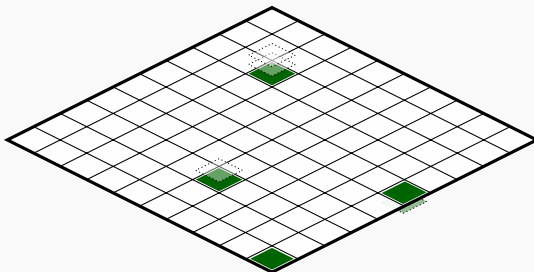
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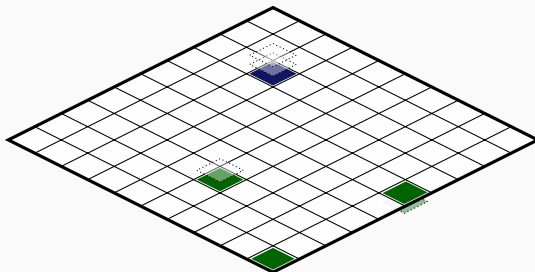
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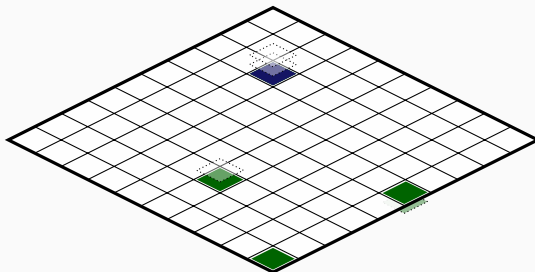
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$$- \langle D_{\{i\}} | (\hat{H} - S) | D_{n'} \rangle$$



$$\Psi_{cc} = \left[1 + \underbrace{\sum_i t_i \hat{a}_i}_{\text{size one}} + \sum_{ij} t_i t_j \hat{a}_i \hat{a}_j + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

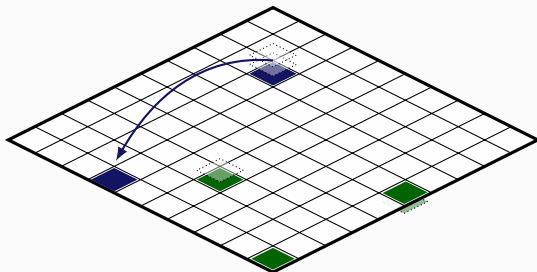
$$- \langle \underline{D_{\{i\}}} | (\hat{H} - S) | D_{n'} \rangle$$



$$\Psi_{cc} = \left[1 + \underbrace{\sum_i t_i \hat{a}_i}_{\text{size one}} + \sum_{ij} t_i t_j \hat{a}_i \hat{a}_j + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

Iteration

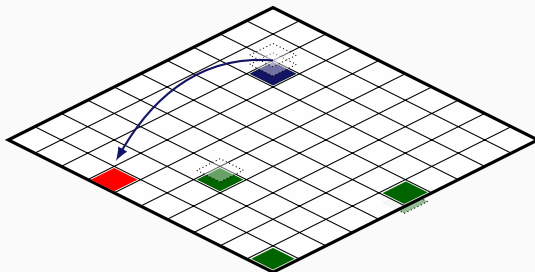
$$- \langle D_{m'} | (\hat{H} - S) | D_{n'} \rangle$$



$$\Psi_{cc} = \left[1 + \underbrace{\sum_i t_i \hat{a}_i}_{\text{size one}} + \sum_{ij} t_i t_j \hat{a}_i \hat{a}_j + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

Iteration

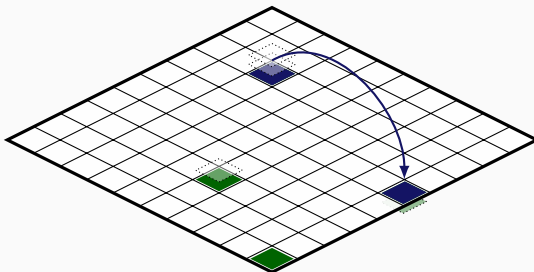
$$- \langle D_{m'} | (\hat{H} - S) | D_{n'} \rangle$$



$$\Psi_{cc} = \left[1 + \underbrace{\sum_i t_i \hat{a}_i}_{\text{size one}} + \sum_{ij} t_i t_j \hat{a}_i \hat{a}_j + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

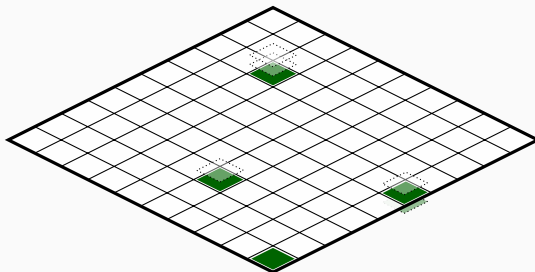
Iteration

$$- \langle D_{m''} | (\hat{H} - S) | D_{n'} \rangle$$



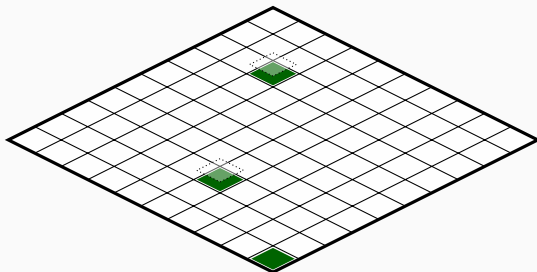
$$\Psi_{cc} = \left[1 + \underbrace{\sum_i t_i \hat{a}_i}_{\text{size one}} + \sum_{ij} t_i t_j \hat{a}_i \hat{a}_j + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

$$- \langle D_{m''} | (\hat{H} - S) | D_{n'} \rangle$$



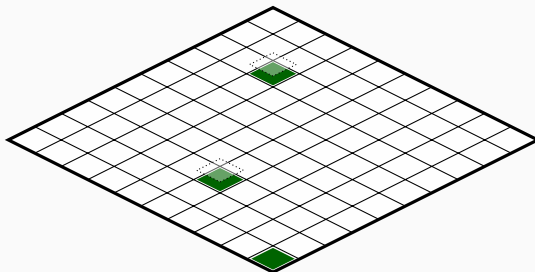
$$\Psi_{cc} = \left[1 + \underbrace{\sum_i t_i \hat{a}_i}_{\text{size one}} + \sum_{ij} t_i t_j \hat{a}_i \hat{a}_j + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

$$- \langle D_{\{i\}} | (\hat{H} - S) | \Psi_{cc} \rangle$$



$$\Psi_{cc} = \left[1 + \underbrace{\sum_i t_i \hat{a}_i}_{\text{size one}} + \sum_{ij} t_i t_j \hat{a}_i \hat{a}_j + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

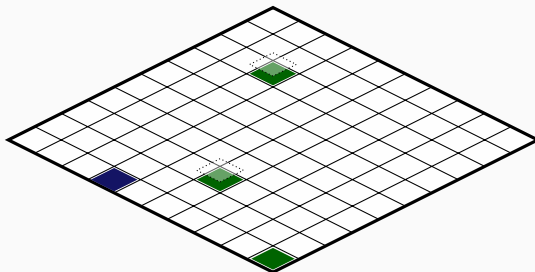
$$- \langle D_{\{i\}} | (\hat{H} - S) | \underline{\Psi}_{cc} \rangle$$



$$\Psi_{cc} = \left[1 + \sum_i t_i \hat{a}_i + \underbrace{\sum_{ij} t_i t_j \hat{a}_i \hat{a}_j}_{\text{size two}} + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

$$\hat{c}_{ik}^{ac} - \hat{c}_j^b$$

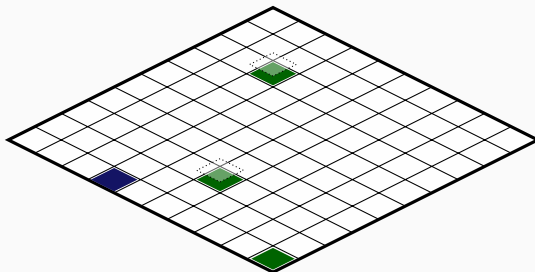
$$- \langle D_{\{i\}} | (\hat{H} - S) | \Psi_{cc} \rangle$$



$$\Psi_{cc} = \left[1 + \sum_i t_i \hat{a}_i + \underbrace{\sum_{ij} t_i t_j \hat{a}_i \hat{a}_j}_{\text{size two}} + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

$$\hat{c}_{ik}^{ac} - \hat{c}_j^b \rightarrow -\hat{c}_{ijk}^{abc}$$

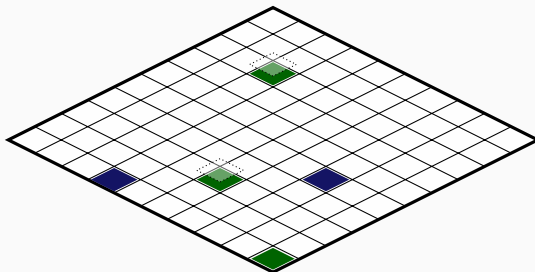
$$- \langle D_{\{i\}} | (\hat{H} - S) | \Psi_{cc} \rangle$$



$$\Psi_{cc} = \left[1 + \sum_i t_i \hat{a}_i + \underbrace{\sum_{ij} t_i t_j \hat{a}_i \hat{a}_j}_{\text{size two}} + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

$$- \hat{c}_{ijk}^{abc} |D_0\rangle = - |D_{ijk}^{abc}\rangle$$

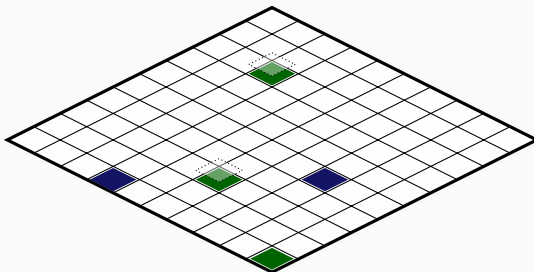
$$- \langle D_{\{i\}} | (\hat{H} - S) | \Psi_{cc} \rangle$$



$$\Psi_{cc} = \left[1 + \sum_i t_i \hat{a}_i + \underbrace{\sum_{ij} t_i t_j \hat{a}_i \hat{a}_j}_{\text{size two}} + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

$$- |D_{ijk}^{abc}\rangle \rightarrow \begin{matrix} c \\ i \end{matrix}$$

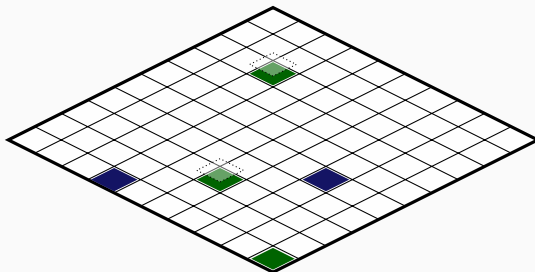
$$- \langle D_i^c | \hat{H} | D_{ijk}^{abc} \rangle$$



$$\Psi_{cc} = \left[1 + \sum_i t_i \hat{a}_i + \underbrace{\sum_{ij} t_i t_j \hat{a}_i \hat{a}_j}_{\text{size two}} + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

$$\delta\tau \langle D_i^c | \hat{H} | D_{ijk}^{abc} \rangle$$

$$- \langle D_i^c | \hat{H} | D_{ijk}^{abc} \rangle$$



$$\Psi_{cc} = \left[1 + \sum_i t_i \hat{a}_i + \underbrace{\sum_{ij} t_i t_j \hat{a}_i \hat{a}_j}_{\text{size two}} + \sum_{ijk} t_i t_j t_k \hat{a}_i \hat{a}_j \hat{a}_k + \dots \right] |D_0\rangle.$$

$$\delta\tau \langle D_{ijk}^{abc} | \hat{H} - S | D_{ijk}^{abc} \rangle$$

Results

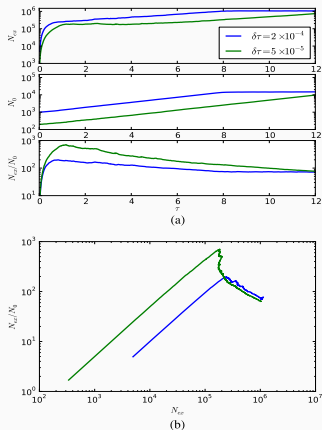
Benchmarking

CCD(deterministic) results for 14 electrons. Mixing parameter $\alpha = 0.8$ for Miller's results, and $\alpha = 0.3$ for our results. All energies are presented in Hartree units.

r_s	States	ΔE_{CCD}^1	ΔE_{CCD}
1.0	54	-0.3178228436889338	-0.3178230699319593
1.0	66	-0.3926965898061968	-0.3926968074770886
1.0	114	-0.4479105961757175	-0.4479109389185165
1.0	162	-0.4805572589306421	-0.4805570782443642
1.0	186	-0.4855229317521320	-0.4855227418241649
1.0	246	-0.4929245740023971	-0.4929243692209991
1.0	294	-0.4984909094066806	-0.4984906939593084
1.0	342	-0.5019526761547777	-0.5019524529049425
1.0	358	-0.5025196736076414	-0.5025194488388953
0.5	114	-0.5120153541478306	-0.5120152296730573
0.5	342	-0.5729645498903680	-0.572964399507112
2.0	114	-0.3577968843144996	-0.3577955282575226
2.0	342	-0.4014136184665558	-0.4014117905655014

¹Quantum Mechanical Studies of Infinite Matter by the Use of Coupled-Cluster Calculations, with an Emphasis on Nuclear Matter. Sean Bruce Sangolt Miller. 2017

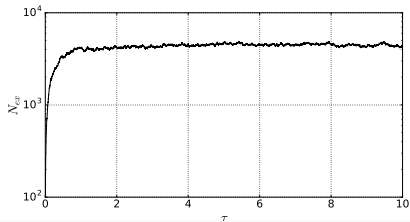
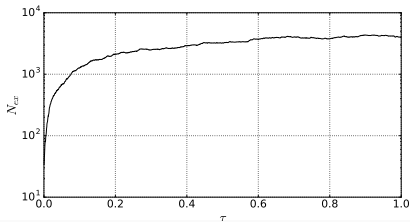
Population dynamics



Ne CCSDTQ calculations starting with different initial particle numbers at the reference and different timesteps. (a): With a carefully chosen low timestep and initial population, a plateau is visible. An increased timestep and initial population overshoot the plateau but have a shoulder. The lower panel shows a maximum of the particle ratio at the position of the shoulder and plateau. (b): "Shoulder plots" allow shoulder height to be read off easily. ^a

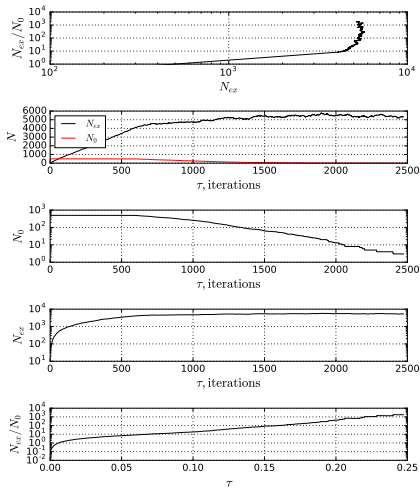
^a James S. Spencer and Alex J. W. Thom. Developments in Stochastic Coupled Cluster Theory: The Initiator Approximation and Application to the Uniform Electron Gas. The Journal of Chemical Physics 144.8 (Feb. 2016)

Population dynamics



The population dynamics of the excited space for 14 electrons and 54 basis functions. $r_s = 0.5$, $\delta\tau = 0.0005$ (left). The population dynamics of the excited space for 14 electrons and 54 basis functions with $r_s = 0.5$, $\delta\tau = 0.0005$ and the population control enabled after $5 \cdot 10^3$ iterations. Dampening parameter is $\gamma = 0.05$. The energy shift S is tuned every five iterations.(right)

Population dynamics



Summary of complete basis set extrapolated results for the correlation energy of the 14 electron uniform electron gas in hartree. $r_s = 1.0$

—	ΔE_{CCD}
dCCD	-0.514204
dCCD ²	-0.5152(5)
qCCSD ³	-0.51450(9)
qCCSDT ³	-0.5307(2)
qCCSDTQ ³	-0.5307(2)
FCIQMC ⁴	-0.5325(4)

²J. J. Shepherd, A. Grneis, G. H. Booth, G. Kresse, and A. Alavi, Phys. Rev. B 86, 035111 (2012)

³Verena A. Neufeld and Alex J. W. Thom. "A Study of the Dense Uniform Electron Gas with High Orders of Coupled Cluster". The Journal of Chemical Physics 147.19 (Nov. 2017)

⁴J. J. Shepherd, G. H. Booth, and A. Alavi, J. Chem. Phys. 136, 244101 (2012)

Conclusion

Conclusion

- We have developed a CCD code capable of handling relatively large system sizes.
- The code was developed with generality in mind, and can be applied for other systems.
- Our CCD solver reproduced published results for the homogeneous electron gas.
- Deterministic implementation provides a significant benchmark information for the further development of the code for the stochastic CCQMC.

- We have developed a CCD code for the CCQMC algorithm.
- The population of the reference state plays one of the major roles for the CCQMC algorithm.
- Transitionally invariant systems are not an optimal choice as a test system for the CCQMC method. At least at low truncation levels.

Future work

- There is a limited theoretical framework for the CCQMC sign problem.
- Further research might investigate different sampling schemes.
- Optimization techniques such as initiator approximation might be a very important topic for future work.
- The optimization of the implementation both numerically and algorithmically.