

# ANAPHORA AND AMBIGUITY

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## ANNOTATION OF ANAPHORIC INFORMATION

# CONTENT

- ▶ My Project
- ▶ Relevant Notions
- ▶ Corpora
- ▶ Procedure
- ▶ Results
- ▶ Further Research

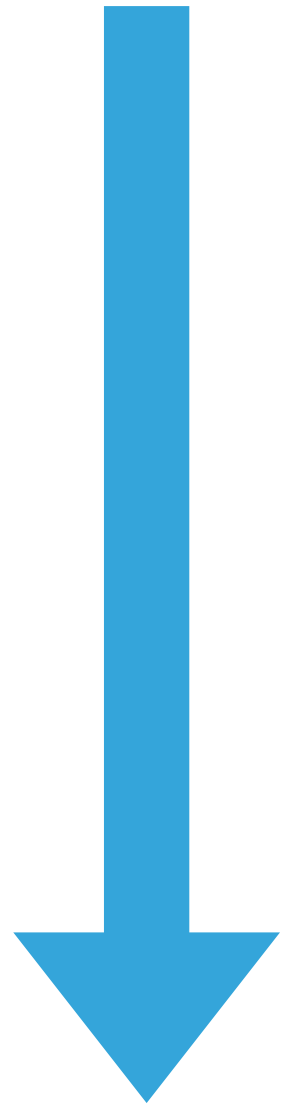
# THE PROJECT

## GENERAL OBJECTIVE

- ▶ analysis of the disagreement and ambiguities in anaphoric judgements between annotators recorded in two corpora
  - ▶ Phrase Detectives (Yu et al, 2023; Poesio et al, 2019)
  - ▶ ARRAU (Uryupina et al, 2020)

## GENERAL OBJECTIVE

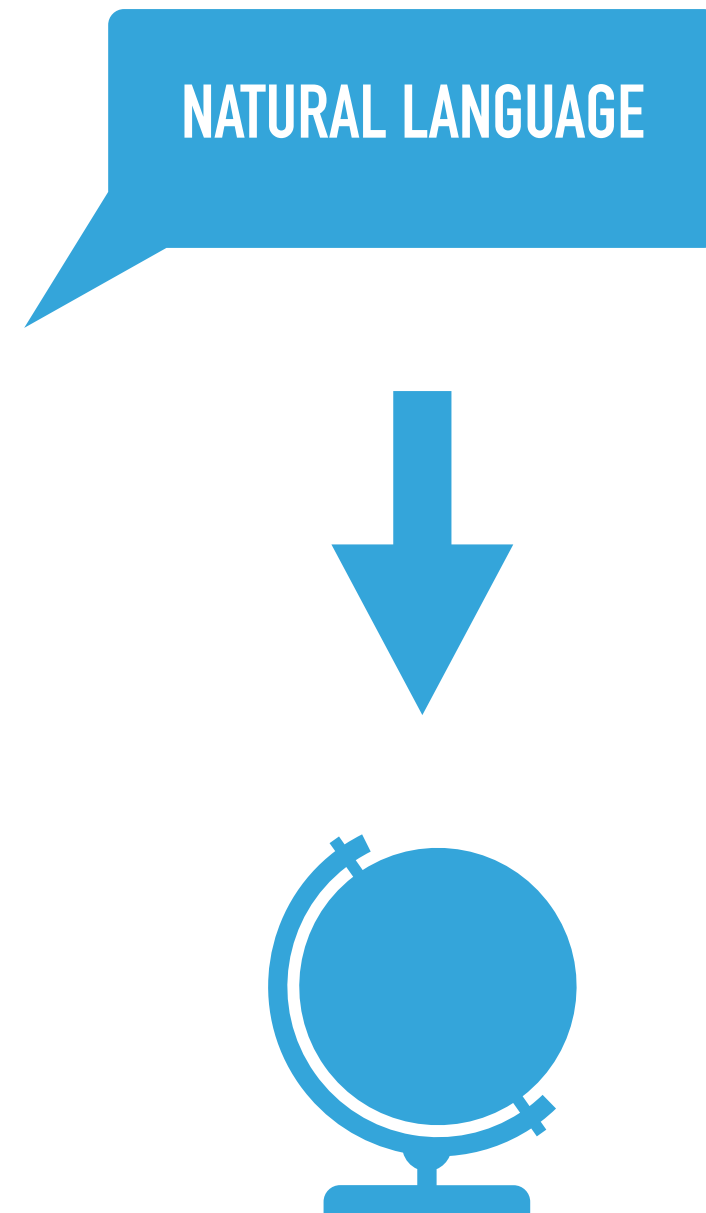
- ▶ examination of the corpora and their annotation schemes ✓
- ▶ evaluation of annotations marked as ambiguous ✓
- ▶ Phrase Detectives (PD): scheme for annotating disagreements
  - ▶ evaluation of PD 3 Gold according to disagreement annotation scheme
- ▶ compute inter-annotator agreement
- ▶ analysis of cases of disagreement
  - ▶ new cases of ambiguity?



# SOME RELEVANT NOTIONS

## SOME TERMINOLOGY

- ▶ (co-)reference



## SOME TERMINOLOGY

- ▶ (co-)reference
- ▶ anaphora
- ▶ entity context dependence
- ▶ interpretation depends on relation to (discourse) entities
- ▶ e.g. pronouns
  - ▶ [A bird] sits in the tree. [It] is green.



## SOME TERMINOLOGY

- ▶ (co-)reference
- ▶ anaphora
- ▶ bridging

We can't take [the car]. [The wheel] is broken.



## SOME TERMINOLOGY

- ▶ (co-)reference
- ▶ anaphora
- ▶ bridging
- ▶ (discourse) deixis
  - ▶ use of a linguistic expression to point to some entity or property
  - ▶ entity is contextually available
    - ▶ in context and also extralinguistically
  - ▶ visual context: "Can you open the door?"
  - ▶ spatial deixis: "John lives [there]."
  - ▶ discourse deixis:  
*after listening to a story*  
"[That] is a funny story."

## SOME TERMINOLOGY

- ▶ (co-)reference
- ▶ anaphora
- ▶ bridging
- ▶ (discourse) deixis
- ▶ markable

S : okay

M : uh / and then

and then uh

send [E2]<sub>old</sub>

send [/ E2]<sub>old</sub> 's already going [there]<sub>old</sub>

and as soon as [it]<sub>old</sub> gets [there]<sub>old</sub>

couple [the cars]<sub>old</sub> and take [it]<sub>old</sub> phrase to uh

S : well [we]<sub>old</sub> n / [we]<sub>old</sub> need [a boxcar]<sub>new</sub>

to take [the oranges]<sub>old</sub>

## SOME TERMINOLOGY

- ▶ (co-)reference
- ▶ anaphora
- ▶ bridging
- ▶ (discourse) deixis
- ▶ markable
- ▶ generic
- ▶ expressing some kind of world knowledge
  - ▶ "[Ravens] are black."
- ▶ expressing a generalization
- ▶ reference to a kind

# THE CORPORA

## ARRAU

- ▶ multi-genre corpus for English
  - ▶ news texts (RTS, 72013 markables)
  - ▶ medical and art history genre (GNOME, 6562 markables)
  - ▶ task-oriented dialogues (TRAINS, 16999 markables)
  - ▶ spoken narratives (PEAR, 4008 markables)
- ▶ 350000 tokens

## ARRAU – GOAL OF ANNOTATION

- ▶ collection of data about how
  - ▶ context is constructed
  - ▶ context is used in natural language
- ▶ two phenomena in particular
  - ▶ anaphoric reference
  - ▶ deictic reference

## ARRAU – WHAT IS ANNOTATED

- ▶ complex and linguistically motivated annotation scheme
  - ▶ referring expressions
  - ▶ non-referring expressions
  - ▶ bridging references
  - ▶ discourse deixis
- ▶ annotation of a number of semantic properties
  - ▶ most importantly genericity
- ▶ annotation of anaphoric ambiguity (explicit)
- ▶ ALL NPs are considered markables



## ARRAU – WHAT FOR

- ▶ resource for next generation of anaphora or coreference resolvers
- ▶ allows for joint modeling and deeper linguistic analysis of different anaphora-related phenomena

# PROCEDURE

ANNOTATION TOOL

phraseutterance

Comment

min\_words

bananas

min\_ids

word\_78

Gender

unmarked

male

female

neuter

unspecified

Number

unmarked

plur

sing

mass

undersp-num

unsure-num

Person

unmarked

per3

per1

per2

Gram\_fnc

obj

< > Reference

unmarked

new

old

non\_referring

undef\_reference

Category

unmarked

person

animate

substance

concrete

organization

space

time

numerical

plan

abstract

undersp-onto

medicine

disease

unknown

< > On\_map

no

yes

ObjectAdditional

< > Related\_object

no

yes

Related\_phrase

433

related\_rel

unmarked

poss

poss-inv

subset

subset-inv

element

element-inv

other

other-inv

undersp-rel

Generic

generic-no

< > Ambiguity

unambiguous

ambiguous

ambiguous\_antecedent

< > Ref\_type\_2

phrase

segment

new

non\_referring

undef\_reference\_2

Category\_2

unmarked

person

animate

substance

medicine

concrete

organization

space

time

disease

numerical

plan

abstract

undersp-onto

unknown

< > On\_map\_2

no

yes

ObjectAdditional2

< > Phrase\_Antecedent\_2

single\_phrase

multiple\_phrases

Single\_phrase\_antecedent\_2

433

< > Related\_object\_2

no

yes

Generic\_2

generic-yes

Suppress check

Warn on extra attributes

Apply

Undo changes

FileSettingsDisplayToolsPluginsInfoShow ML Panel

M : okay

so

are [there]<sub>non\_referring</sub> [bananas]<sub>new</sub> in [Avon]<sub>new</sub>

S : yes

okay

[I]<sub>new</sub> d / [I]<sub>old</sub> do n't know [what]<sub>non\_referring</sub> [the problem]<sub>new</sub> is

M : oh

right

okay

[laugh -RSB-

okay

so [the problem]<sub>old</sub> is

[we]<sub>new</sub> need to get uh

[a boxcar of [bananas]<sub>new phrase</sub>]<sub>new</sub> to [Corning]<sub>new</sub>

S : okay

M : and [we]<sub>old</sub> need to get

[a /]<sub>non\_referring</sub>

[one tanker car of [orange juice]<sub>new</sub>]<sub>new</sub>

to [Avon]<sub>old</sub>

S : okay

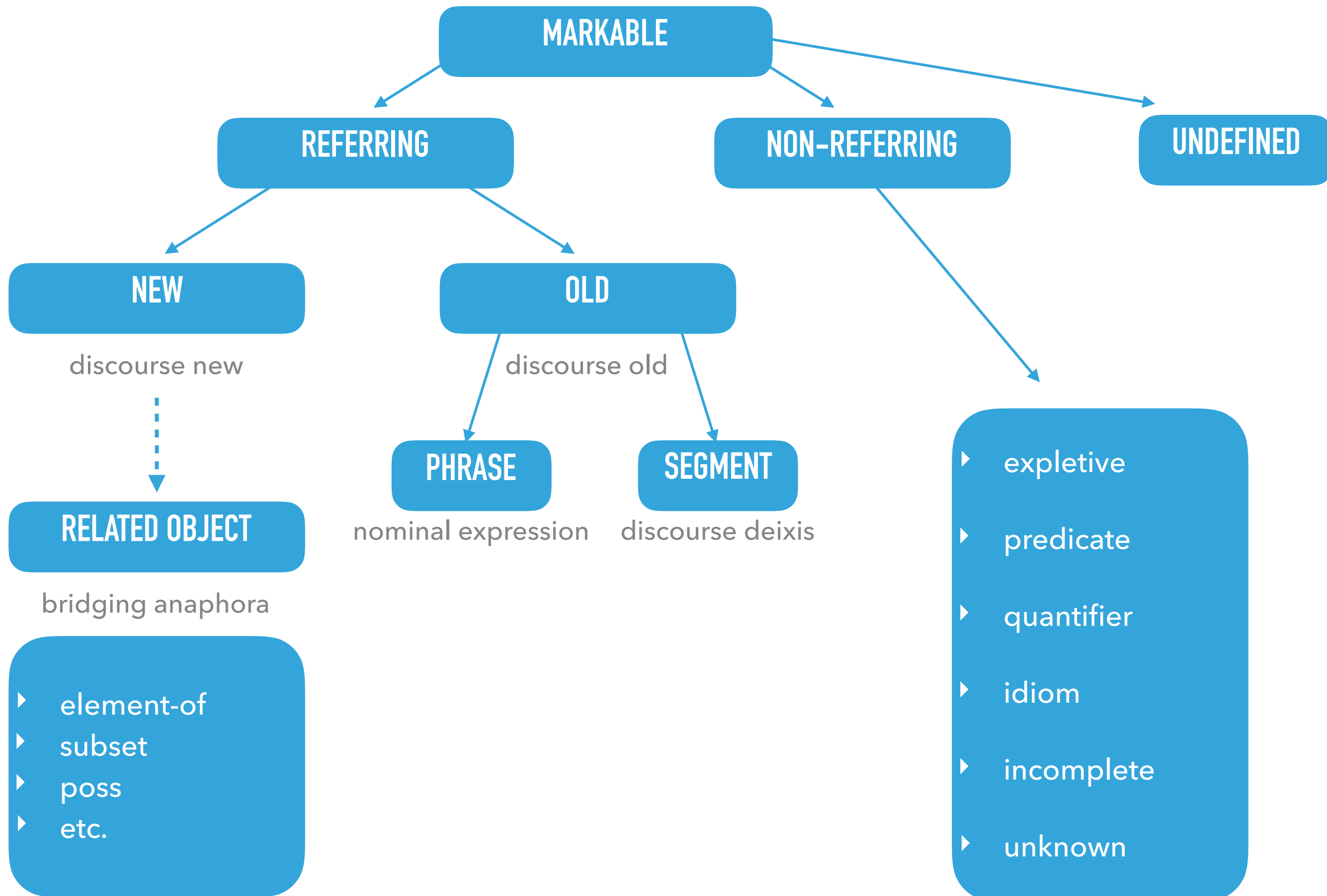
M : okay

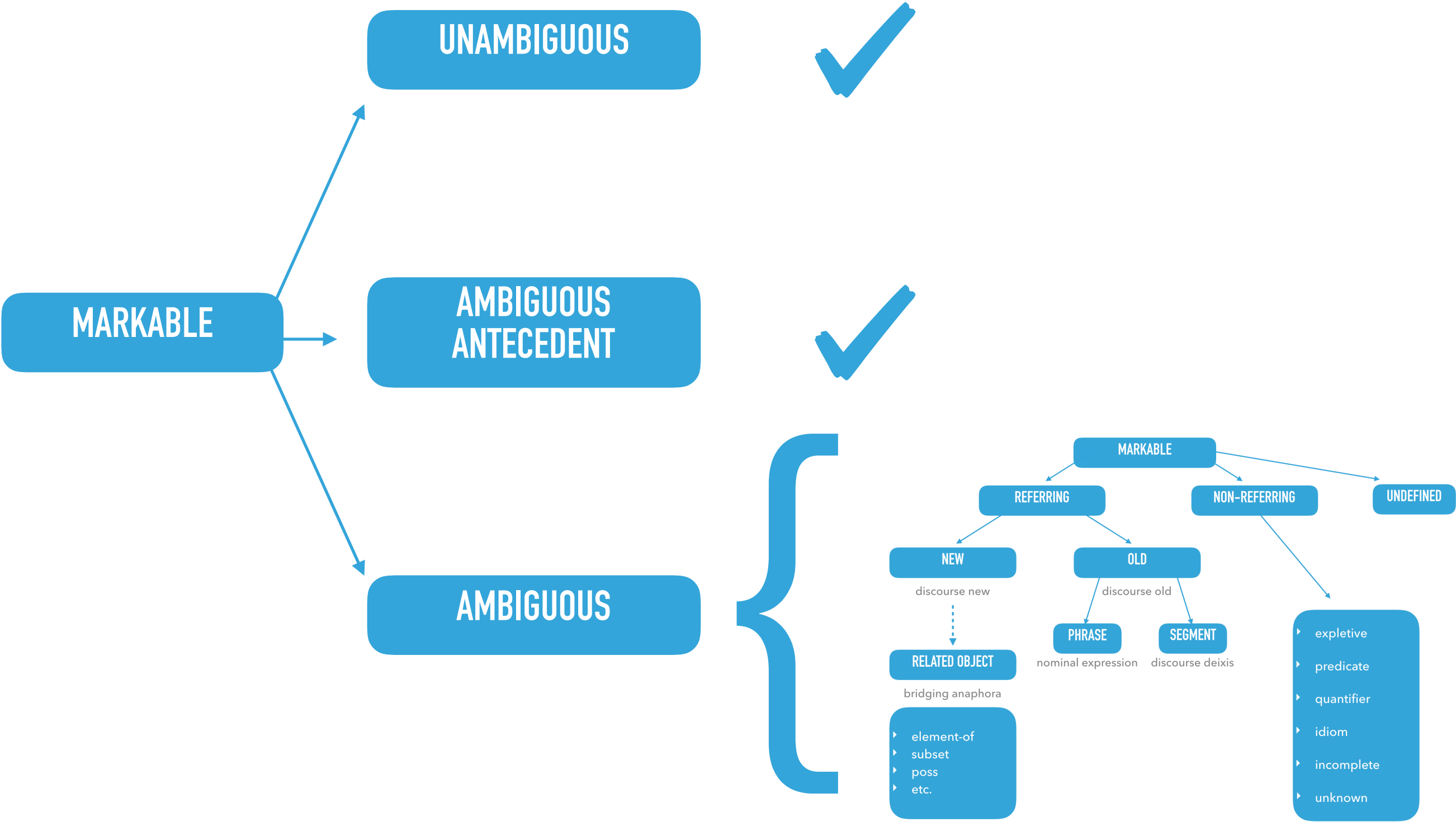
and so [we]<sub>old</sub> have [bananas]<sub>new phrase</sub> in [Avon]<sub>old</sub>

and [we]<sub>old</sub> have uh

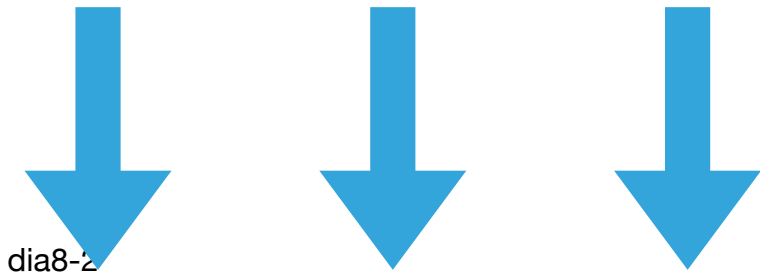
[O]<sub>old</sub>

in [Corning]<sub>old</sub>





# SUBJECTIVE EVALUATION OF ANNOTATIONS



min_ids	min_words	NP	comment (annotator)	1st reading	2nd reading	Reason for Amb	Notes	keywords
word_14	I		-	DN	-		is this a fragment? the utterance is but not the NP. if yes, then non_referring in order to annotated as incomplete	
word_413	it		nb you can't load an engine	DO; operator-instruction; antecedent 79 (E2 on-map)	DO; generic-no; antecedent is empty	referring to the engine while actually meaning the boxcar or mereological entity of the train	2nd has no antecedent	
word_1006	boxcar	a boxcar	semantic vs pragmatic coreference	DN; on_map; operator-question	DO; not on_map; generic-no	?	DN in 1st because of operator?	
colours	mereology	uncertainty	something is unmarked or missing a value	not annotated as ambiguous				

# SUBJECTIVE EVALUATION OF ANNOTATIONS

wsjarrau\_0037

min_ids	min_words	NP	comment (annotator)		1st reading						2nd reading						Reason for Amb	Notes	keywords
				Mereology	Generic	Reference (Info_Status)				Related (Bridging)	Generic	Reference (Info_Status)				Related (Bridging)			
						non-ref	new	old				non-ref	new	old					
								phrase (antecedent)	segment (deixis)					phrase (antecedent)	segment (deixis)				
word_585	players	[players]	mp: changed to operator-modal	no	modal	no	no	single	no	no	modal	no	yes	no	no	yes	old vs. new+bridging (subset-inv)		
word_663	it	[it]'s not worth ..	-	no	-	yes (explet.)	no	no	no	no	-	-	-	-	-	-		ambiguous: 2nd= old (word_645 or part of it)	no_amb
word_667	it	not worth [it]	-	no	-	yes (explet.)	no	no	no	no	-	-	-	-	-	-		ambiguous: 2nd=old (word_647 = money); a third reading of this and the one above could also be idiomatic	no_amb
word_1661	part	[[Hungary's]part of	changed to ambiguous between old and new	no	g-no	no	yes	no	no	yes	g-no	no	no	single	no	no	new+bridging (undersp-rel) +abstract vs. old+concrete	project vs. dam itself	
word_1684	dam	[the dam]	-	no	g-no	no	no	single	no	no	g-no	no	no	single	no	no	identifying right antecedent	the Hungarian damn seems to be the obvious choice; though meriological issues complicate the matter	mereology
Total of Markables Annotated as Amb in File: 3																			
colours		operator-scope lost	not annot. as amb.	highlight															

EXAMPLES

M : all right  
so  
put [the oranges]<sub>old</sub> in [the boxcar]<sub>old</sub>  
[that one [you]<sub>old</sub> picked up from [Dansville]<sub>old</sub> ]<sub>old</sub>  
S : right  
M : hook on [the tanker car]<sub>old</sub>  
bring [it]<sub>old</sub> phrase to [Elmira]<sub>old</sub>

examples

min_ids	min_words	NP	comment (annotator)		1st reading					2nd reading					Reason for Amb	N o t e s			
				Mere ology	Gen eric	Reference (Info_Status)			Related (Bridging)	Gene ric	Reference (Info_Status)			Related (Bridging)					
						non- ref	new	old				non- ref	new		old				
								phrase (antece dent)			segment (deixis)					phrase (antece dent)	segment (deixis)		
word_1529	it	[it]	mereology	yes	g-no	no	no	multiple	no	no	no	no	no	single	no	no	identifying the right antecedent		
word_498.. word_499	bowery mission	[the Bowery Mission]	mp: marked as ambiguous referent/ bridging	no	g-no	no	no	single	no	no	g-no	no	yes	no	no	yes	old+concrete vs. new+ bidding (undersp-rel)) +space		

Total of Markables Annotated as Amb in File:

colours		not annot. as amb.	highlight
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PROCEDURE

EXAMPLES

At [night]<sub>non-referring</sub> [he]<sub>old</sub> returns to [the condemned building [he]<sub>old</sub> calls [home]<sub>non-referring</sub> ]<sub>new</sub> .

[[His]<sub>old</sub> life , including [[his]<sub>old</sub> skirmishes with [a competing sketch artist]<sub>new</sub> ]<sub>new</sub> ]<sub>new</sub> , seems carefree .

[He]<sub>old</sub> is [[his own]<sub>old</sub> man]<sub>non-referring</sub> .

Then , just as [the Tramp]<sub>old</sub> is given [a blind girl to cure]<sub>new</sub> in [ `` City Lights , `` ]<sub>new</sub> [the Artist]<sub>old</sub> is put in

[charge of returning [[a two-year-old waif]<sub>non-referring</sub> Nicole Alysia , [[whose]<sub>old</sub> father]<sub>new</sub> has been murdered

by [thugs]<sub>new</sub> , to [[her]<sub>old</sub> mother]<sub>new</sub> ]<sub>new</sub> ]<sub>non-referring</sub> .

[This cute child]<sub>old</sub> turns out to be [[a blessing]<sub>non-referring</sub> and [a curse]<sub>non-referring</sub> ]<sub>non-referring</sub> .

[She]<sub>old</sub> gives [the Artist]<sub>old</sub> [a sense of [purpose]<sub>new</sub> ]<sub>new</sub> , but also alerts [him]<sub>old</sub> to [the serious inadequacy of

[[his]<sub>old</sub> vagrant life]<sub>old</sub> ]<sub>new</sub> .

[The beds at [the Bowery Mission]<sub>old new</sub> ]<sub>new</sub> seem far drearier when [he]<sub>old</sub> has to tuck [a little girl]<sub>old</sub> into [one

of [them]<sub>old</sub> ]<sub>new</sub> at [night]<sub>old</sub> .

min_ids	min_words	NP	comment (annotator)		1st reading					2nd reading					Reason for Amb	N o t e s		
				Mere ology	Gen eric	Reference (Info_Status)			Related (Bridging)	Gene ric	Reference (Info_Status)			Related (Bridging)				
						non- ref	new	old					non- ref		new	old		
								phrase (antece dent)			segment (deixis)					phrase (antece dent)	segment (deixis)	
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word_498.. word_499	bowery mission	[the Bowery Mission]	mp: marked as ambiguous referent/ bridging	no	g-no	no	no	single	no	no	g-no	no	yes	no	no	yes	old+concrete vs. new+ bidding (undersp-rel)) +space	

Total of Markables Annotated as Amb in File:

colours		not annot. as amb.	highlight
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# RESULTS

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PRELIMINARY

REGARDING AMBIGUITIES

1st int	2nd int	RST	TRAINS	GNOME	PEAR	TOTAL
DO	DO	31	112	4	28	175
	DN	37	4	2	1	44
	DD	8	1	0	2	11
	NR	0	4	0	0	4
DN	DO	0	0	0	0	0
	DN	0	0	0	0	0
	DD	0	0	0	0	0
	NR	0	0	0	0	0
NR	DO	0	0	0	0	0
	DN	0	0	0	0	0
	DD	0	0	0	0	0
	NR	0	0	0	0	0
Total		76	121	6	31	234

Table 6: Distribution of ambiguity in the subdomains of ARRAU.

Uryupina et al. (2020:20)

	RST	TRAINS	GNOME	PEAR	OVERALL
<b>all</b>	72013	16999	6562	4008	99582
generic-yes	1438	728	12	74	2252 (2%)
operator-conditional	90	231	201	2	524
operator-instruction	15	163	211	-	389
operator-iquant	7	6	-	-	13
operator-modal	443	1080	147	16	1686
operator-question	54	432	39	10	535
operator-tquant	16	4	-	-	20
Total operator bound					3167 (3%)
underspecified-disease	-	-	84	-	84
underspecified-replicable	37	1	2	21	61
underspecified-substance	692	431	160	-	1283
underspecified-generic	1	3	-	-	4
Total underspecified					1432 (1.4%)

Table 3: Distribution of generic mentions in ARRAU.


Uryupina et al. (2020:14)

## REGARDING AMBIGUITIES

- ▶ categorisation of ambiguities
  - ▶ identification of certain patterns in the annotation of attribute values
  - ▶ generalisation of reoccurring cases/patterns and assign a label to them, i.e. reason for ambiguity (e.g. "DO vs. DN", "DN vs. DN+bridging", "identifying the right antecedent")

## REGARDING THE SCHEME AND MANUAL

- ▶ the attribute `ambiguous_antecedent` is not used
- ▶ complexity of generic attribute
- ▶ quantifiers cannot serve as antecedent
  - ▶ [Some [cats]] came in.  
[They] started to purr.

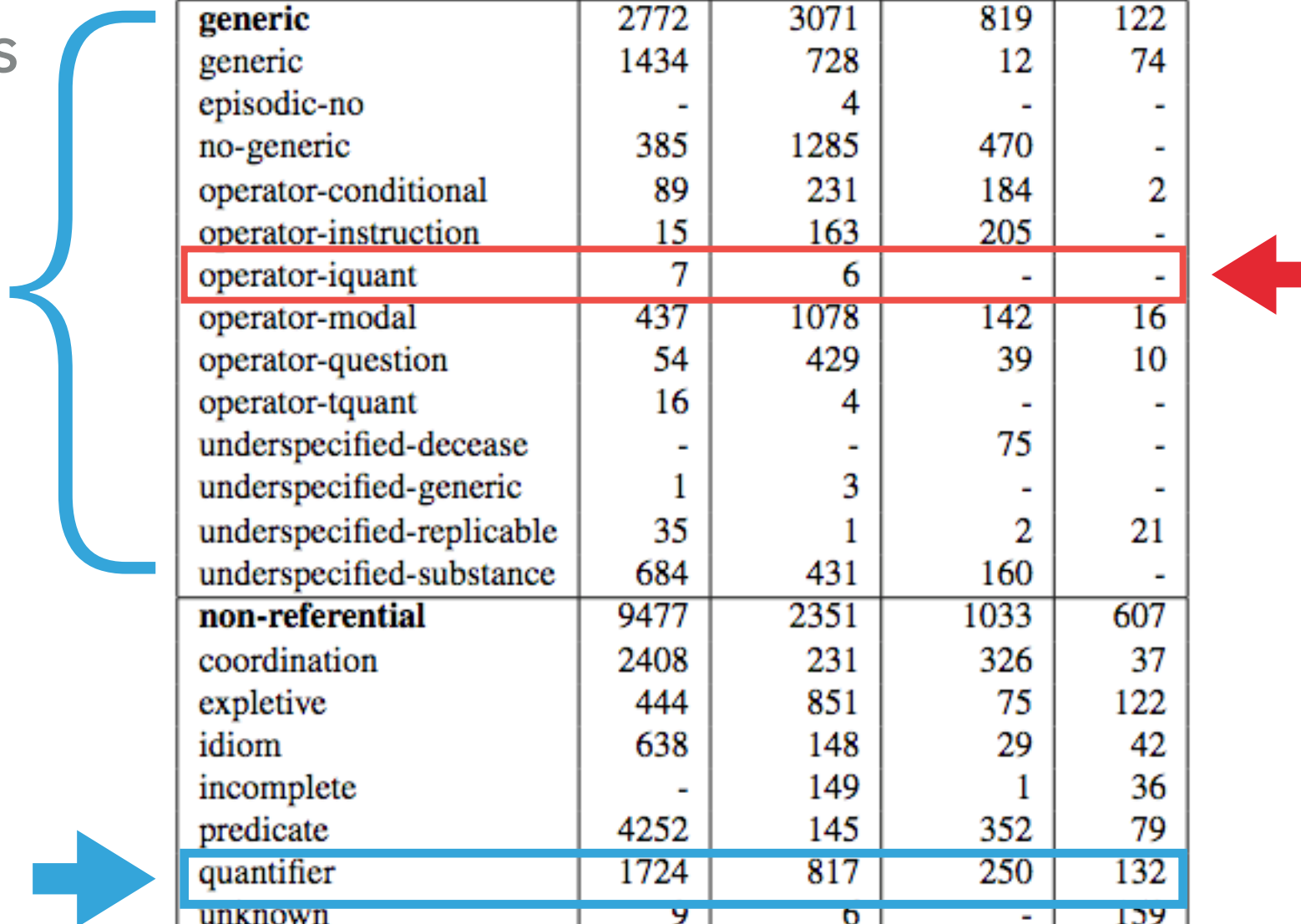


	RST	TRAINS	GNOME	PEAR
<b>all</b>	72013	16999	6562	4008
<b>singletons</b>	39431	5308	2887	1320
<b>generic</b>	2772	3071	819	122
generic	1434	728	12	74
episodic-no	-	4	-	-
no-generic	385	1285	470	-
operator-conditional	89	231	184	2
operator-instruction	15	163	205	-
operator-iquant	7	6	-	-
operator-modal	437	1078	142	16
operator-question	54	429	39	10
operator-tquant	16	4	-	-
underspecified-decease	-	-	75	-
underspecified-generic	1	3	-	-
underspecified-replicable	35	1	2	21
underspecified-substance	684	431	160	-
<b>non-referential</b>	9477	2351	1033	607
coordination	2408	231	326	37
expletive	444	851	75	122
idiom	638	148	29	42
incomplete	-	149	1	36
predicate	4252	145	352	79
quantifier	1724	817	250	132
unknown	9	6	-	159

Table 3: Distribution of markables in the second releases of ARRAU

## REGARDING THE SCHEME AND MANUAL

- ▶ the attribute `ambiguous_antecedent` is not used
- ▶ complexity of generic attribute
- ▶ quantifiers cannot serve as antecedent
  - ▶ [Some [cats]] came in. [They] started to purr.



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FURTHER RESEARCH

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**TO BE CONTINUED . . .**

## HOW TO CONTINUE

- ▶ design of a scheme:
  - ▶ automatise the process to identify certain feature patterns
  - ▶ identification of outliers of this scheme
    - ▶ are there regularities in those outliers?
- ▶ new insights about anaphoric ambiguity



## HOW TO CONTINUE AND OPEN QUESTIONS

- ▶ generic attribute
  - ▶ should it be reevaluated?
- ▶ ambiguity attribute: make real use of the value `ambiguous_antecedent`
  - ▶ run a test study?
- ▶ quantified NPs
  - ▶ how can relationships of quantified NPs to other markables be integrated into the scheme?

## REFERENCES

- ▶ Cohen, Ariel. 2016. Genericity. In Maria Aloni & Paul Dekker (eds.), *The Cambridge Handbook of Formal Semantics*, 285–310. 1st edn. Cambridge University Press
- ▶ Poesio, Massimo, Maris Camilleri, Paloma Carretero-Garcia & Ron Artstein. 2022. ARRAU 3 Annotation Manual Version 1.1.
- ▶ Uryupina, Olga, Ron Artstein, Antonella Bristot, Federica Cavicchio, Francesca Delogu, Kepa J. Rodriguez & Massimo Poesio. 2020. Annotating a broad range of anaphoric phenomena, in a variety of genres: the ARRAU Corpus. *Natural Language Engineering* 26(1). 95–128.
- ▶ Uryupina, Olga, Ron Artstein, Antonella Bristot, Federica Cavicchio, Kepa J Rodriguez & Massimo Poesio. 2016. ARRAU: Linguistically-Motivated Annotation of Anaphoric Descriptions. In *Proceedings of the Tenth International Conference on Language Resources and Evaluation (LREC'16)*.