

# SDSC HPC CI 2022

## Introduction to Neural Networks, Convolution Neural Networks, and Deep Learning

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PhD

# Overview

- **Overview of Neural Networks (aka Multilayer Perceptron)**
- **What is Deep Learning?**
- **Convolutions and feature discovery**
- **Convolution Neural Networks**
- **MNIST demonstration with Keras on Expanse**
- **What next?**

*to get neural network:*

# Consider the Logistic Function

(aka sigmoid)

$$f(x) = \frac{1}{1 + \exp(-(b+wx))}$$

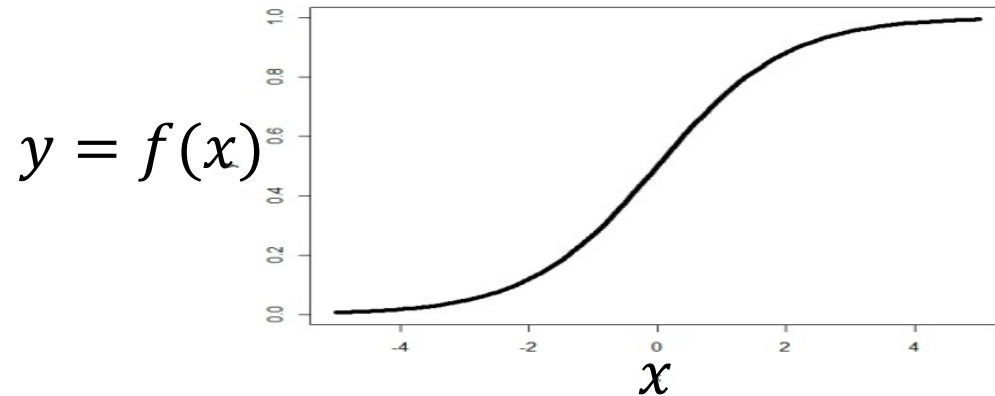
*to get neural network:*

# Consider the Logistic Function

(aka sigmoid)

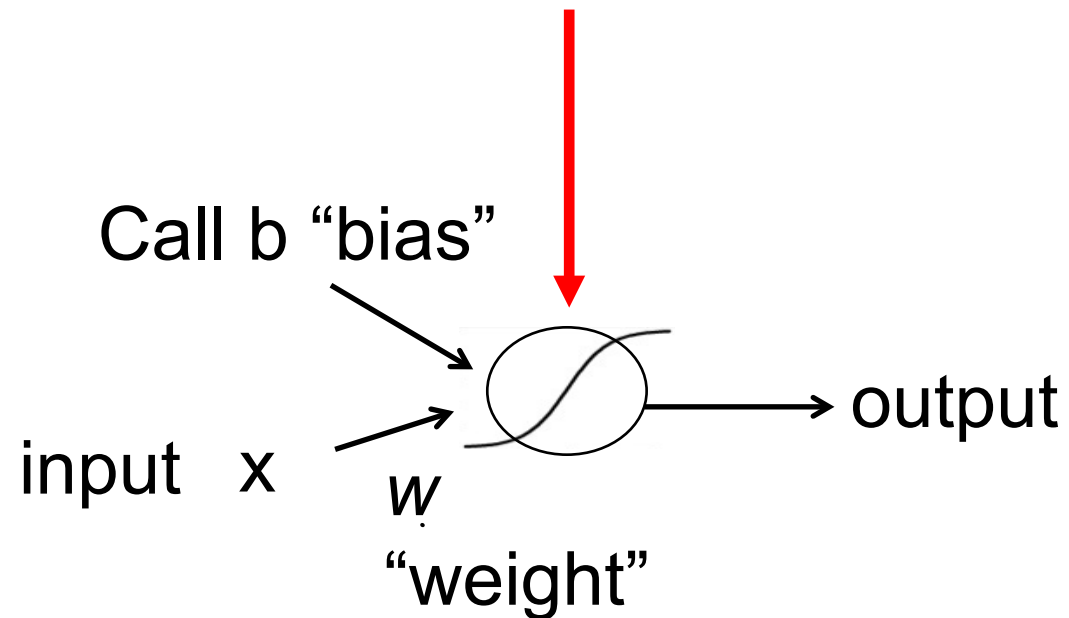
$$f(x) = \frac{1}{1 + \exp(-(b+wx))}$$

for parameters:  $b = 0$  ,  $w_1 = 1$



# Make a graphical description of Logistic Function

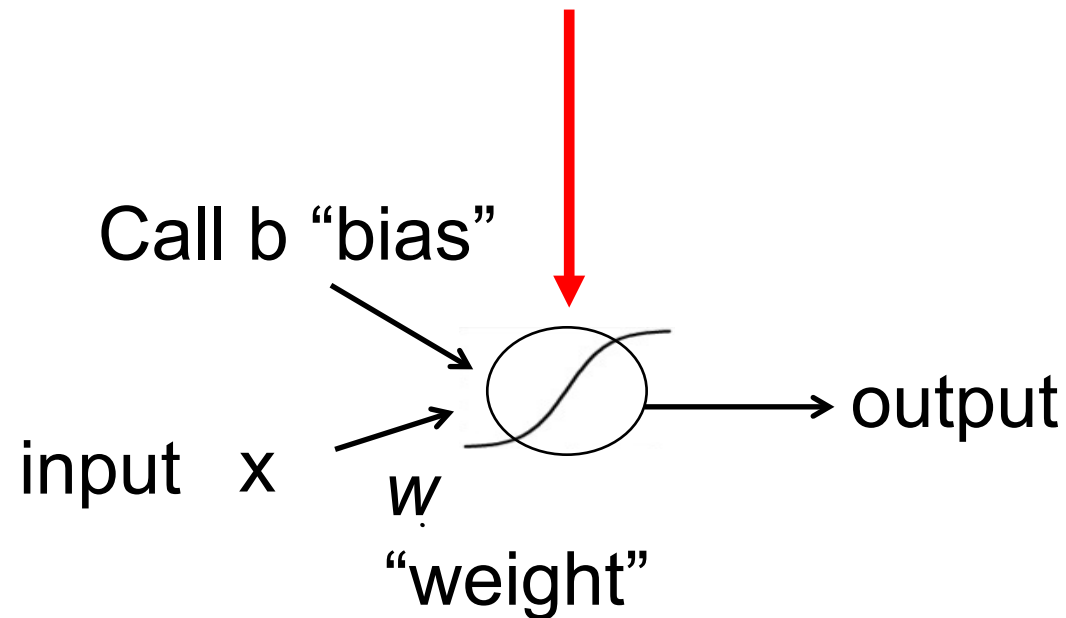
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this node (or unit) will transform input to output with logistic activation function

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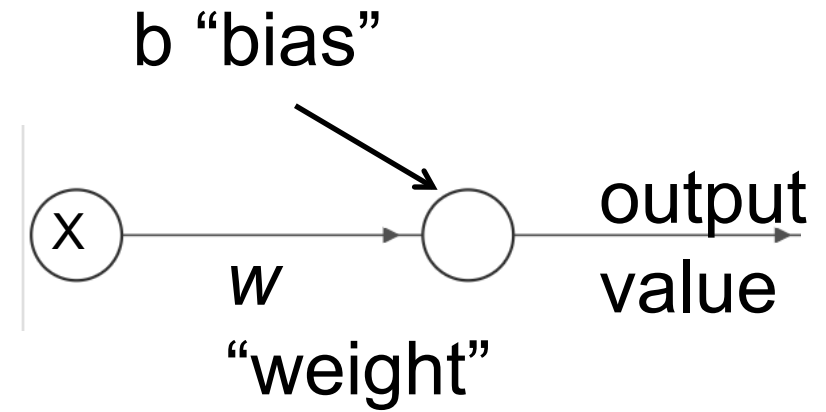
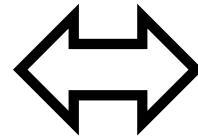


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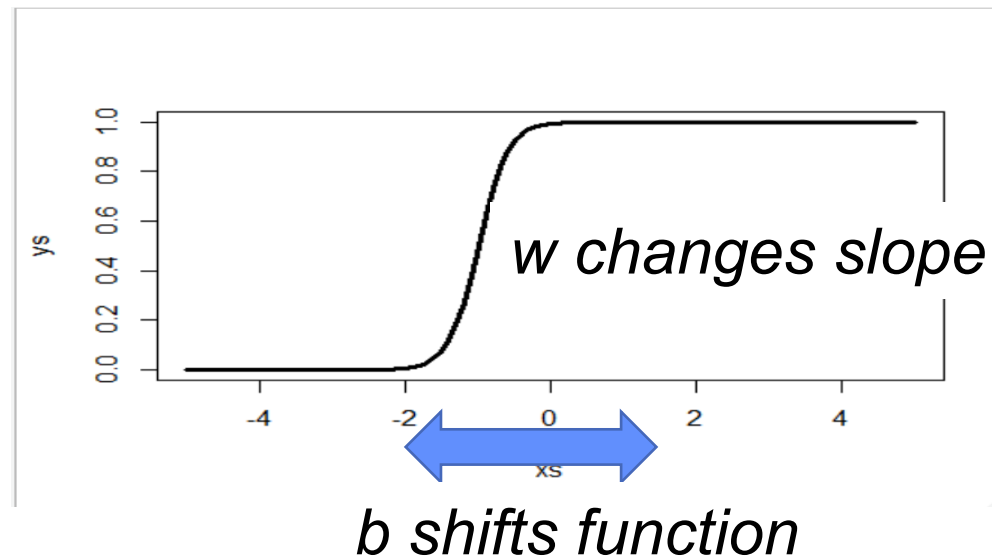
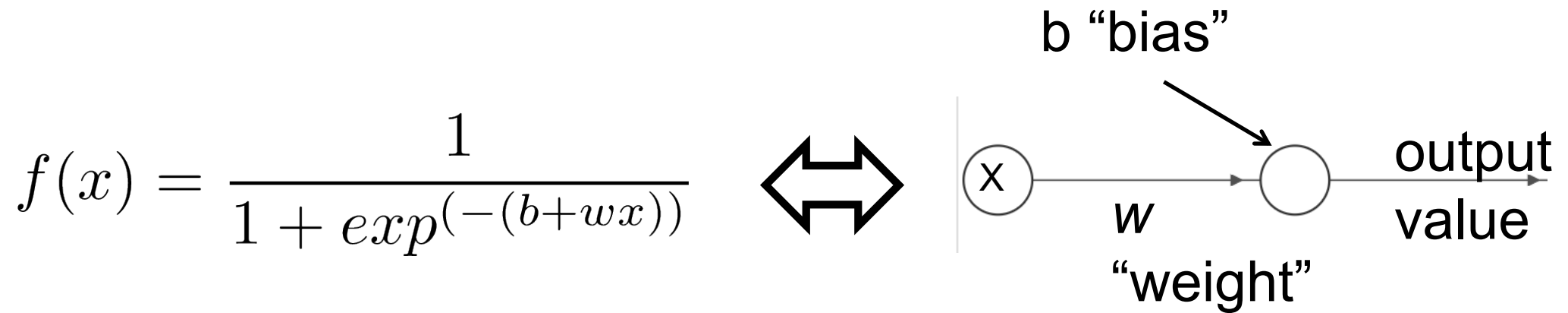
Solution: find  $w$  &  $b$  that maximizes likelihood that output = 1  
(by using derivatives)

# How does changing parameters affect function?

$$f(x) = \frac{1}{1 + \exp(-(b + wx))}$$



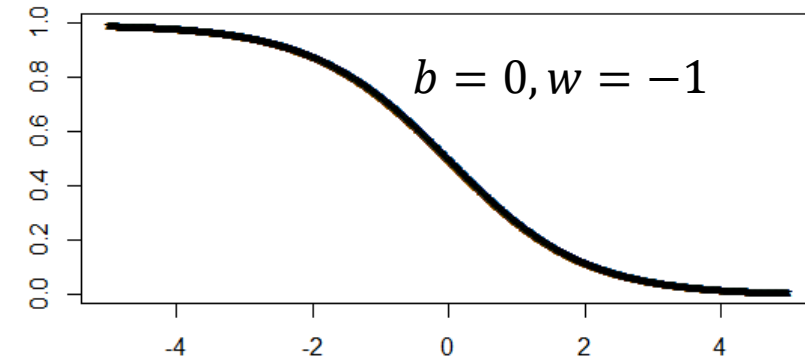
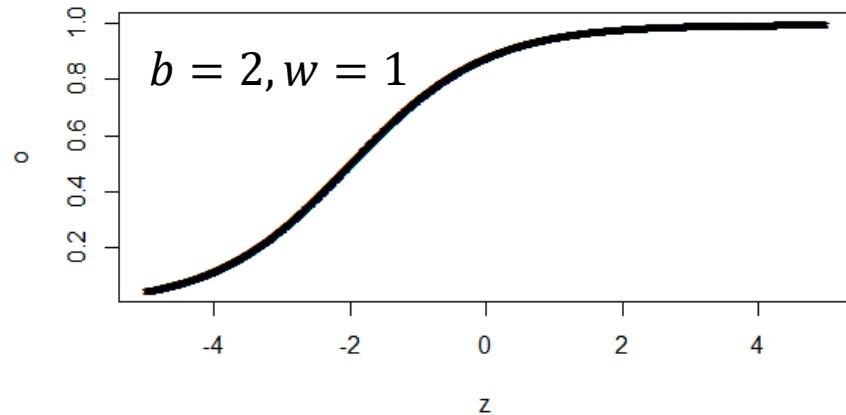
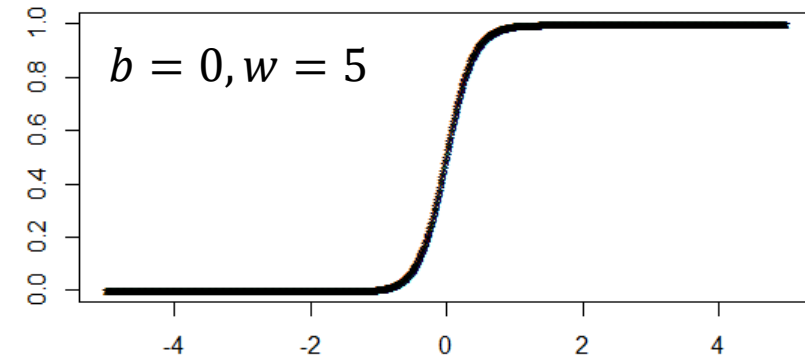
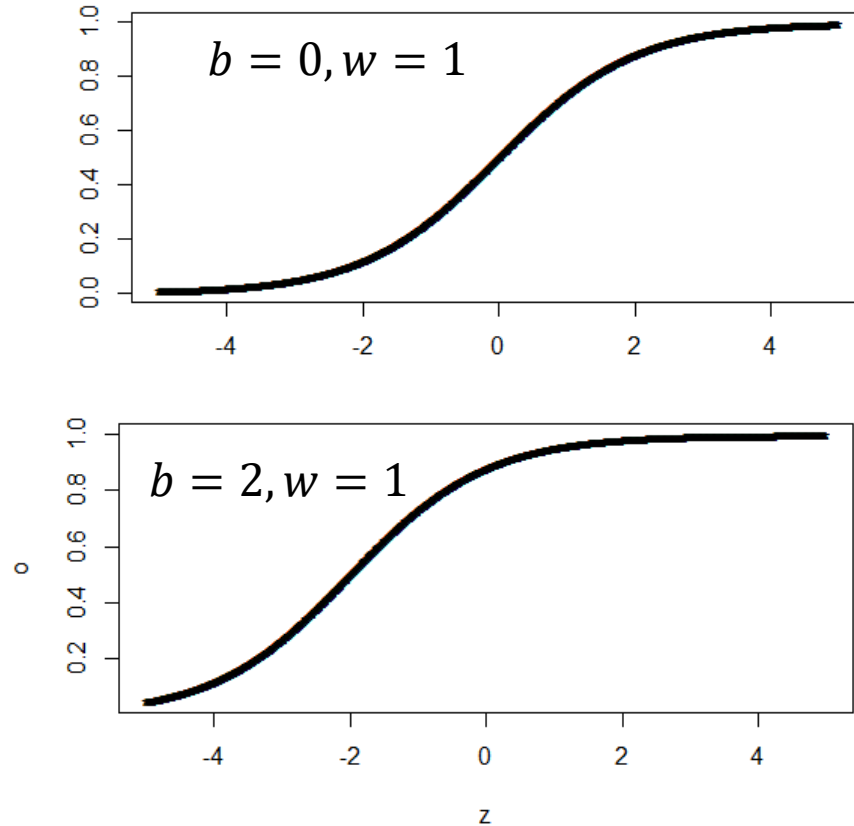
# How does changing parameters affect function?



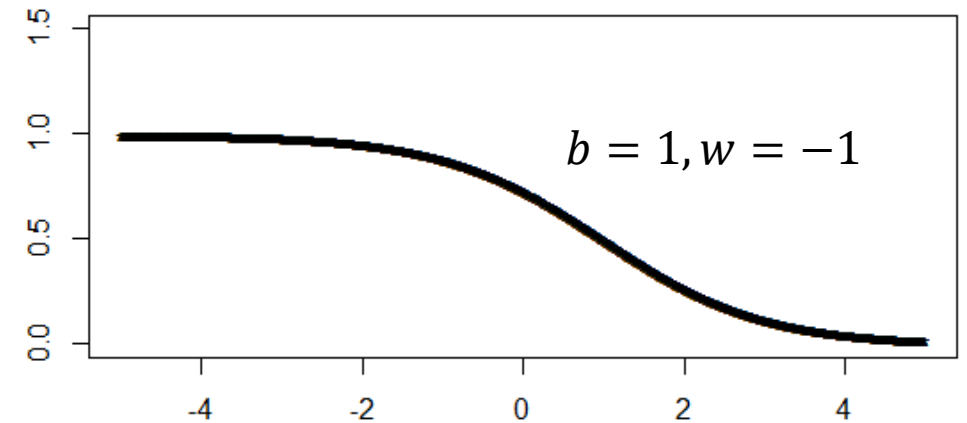
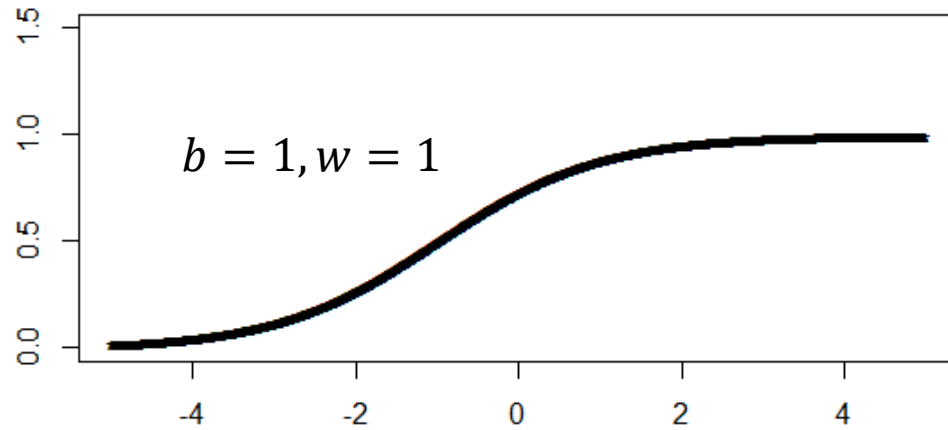


# Logistic function w/various weights

$$\text{for } y = f(x) = \frac{1}{1 + \exp(-(b + wx))}$$

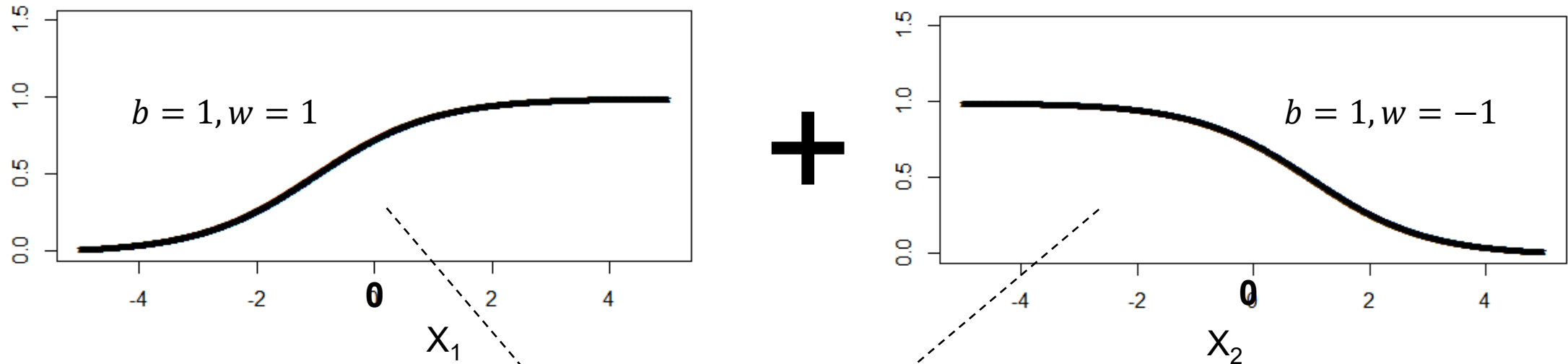


# So combinations are highly flexible and nonlinear



(Note: these are both slightly shifted)

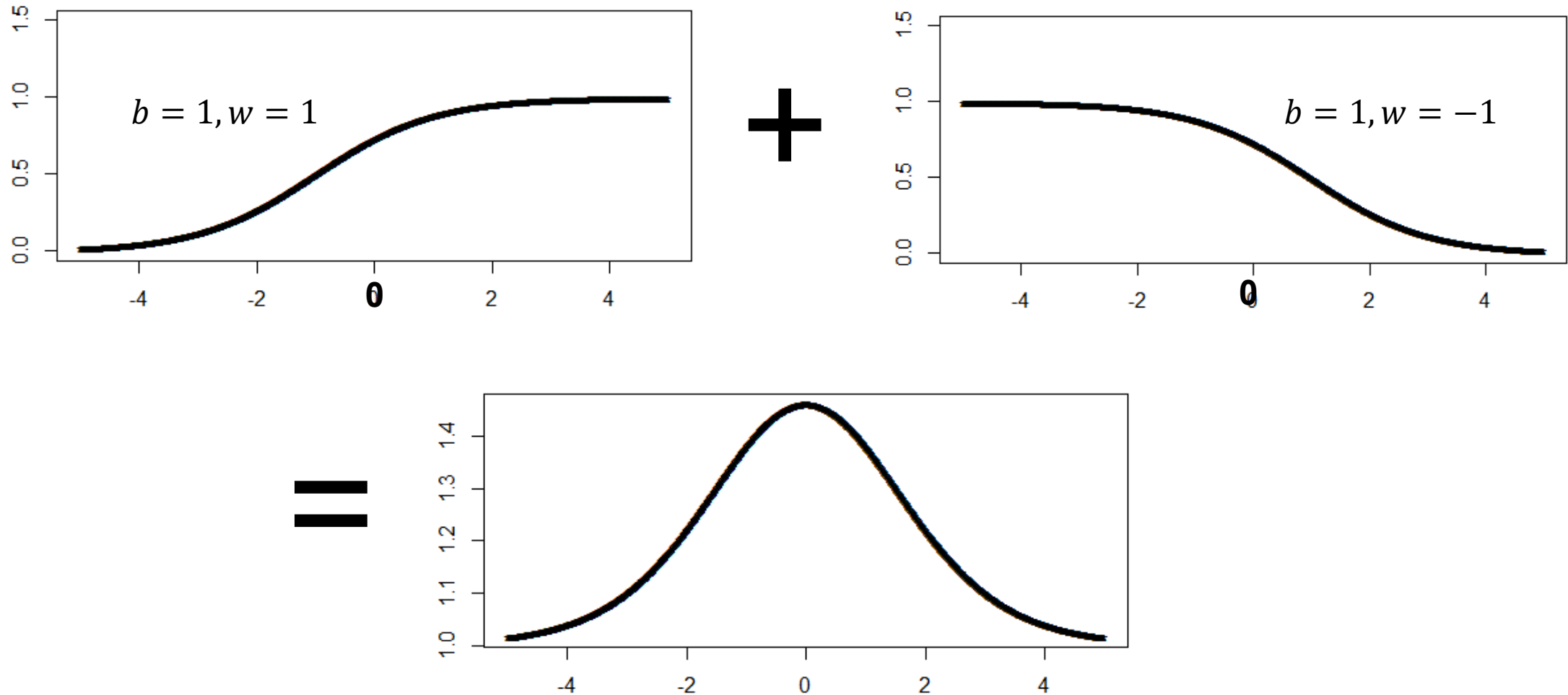
# So combinations are highly flexible and nonlinear



If these are Hidden Layer Units,  
and you add them....

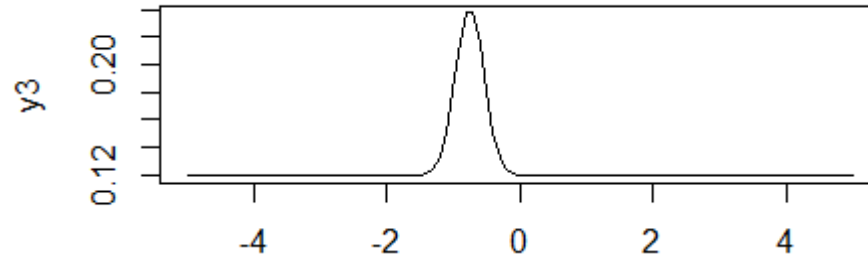
then what does the output look like?

# So combinations are highly flexible and nonlinear

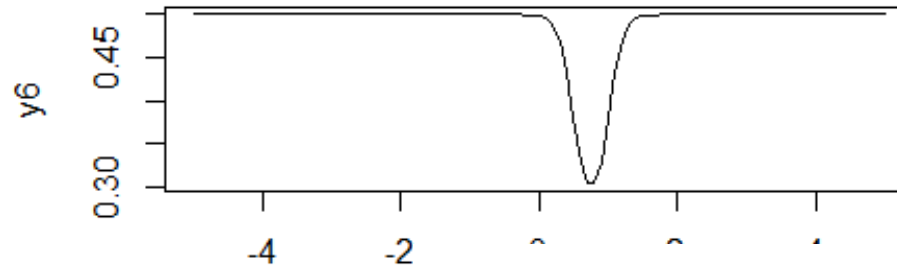


# Higher level function combinations

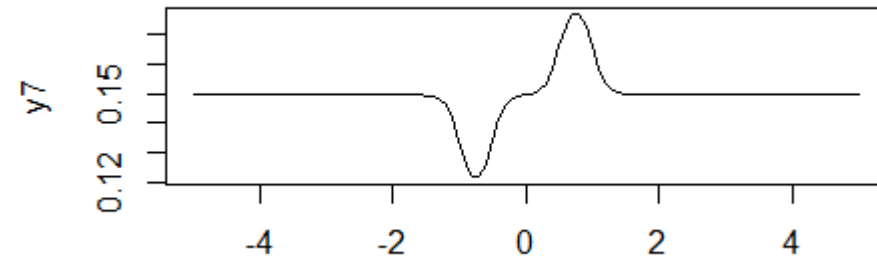
```
x=seq(-5,5,.1)
y1=1/(1+exp(10+ 10*x))
y2=1/(1+exp(-5+(-10)*x))
y3=1/(1+exp(1+1*y1+1*y2))
plot(x,y3,type="l")
```



```
y4=1/(1+exp(10+ (-10)*x))
y5=1/(1+exp(-5+(10)*x))
y6=1/(1+exp(1-1*y4-1*y5))
plot(x,y6,type="l")
```

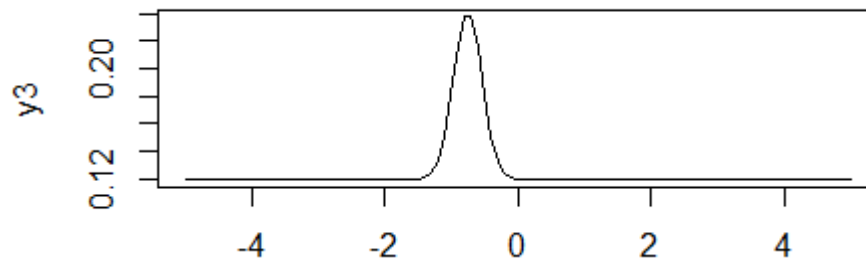


```
y7=1/(1+exp(1+2*y3+1*y6))
plot(x,y7,type="l")
```



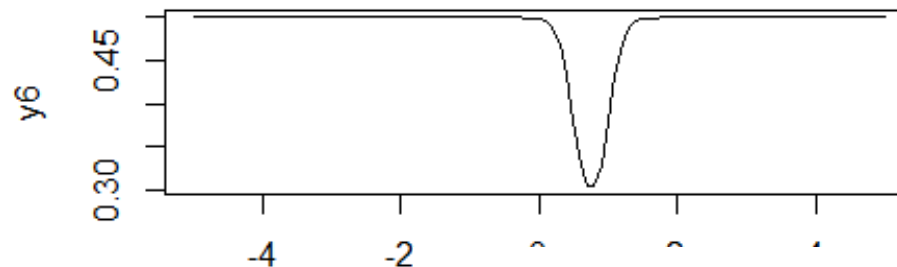
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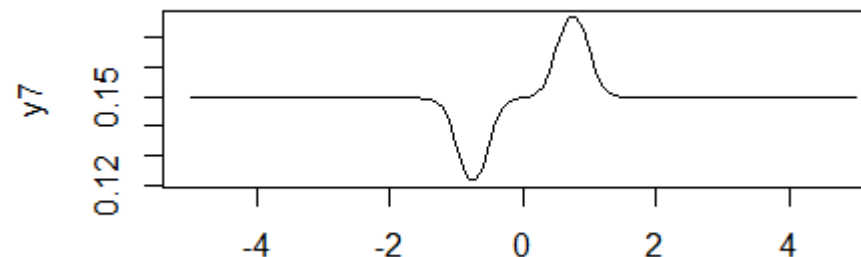


*Multiple layer networks can represent any logical or real-valued functions (unbiased, but potential to overfit)*

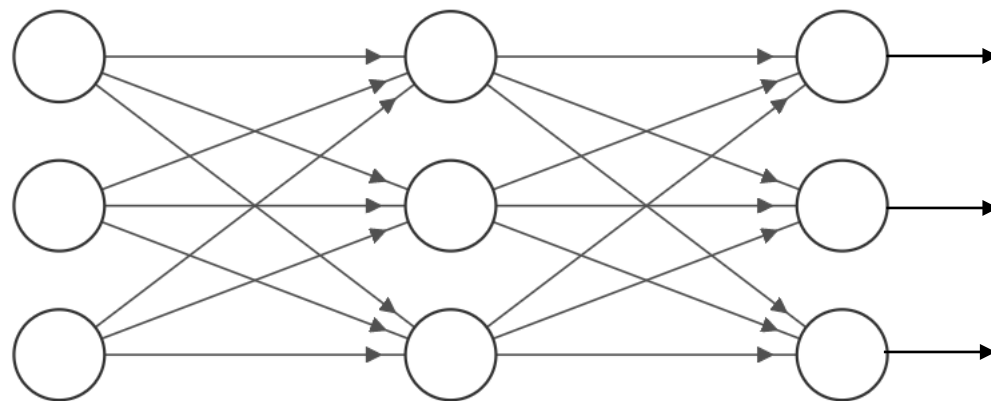
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```
y7=1/(1+exp(1+2*y3+1*y6))
plot(x,y7,type="l")
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we can add layers and nodes



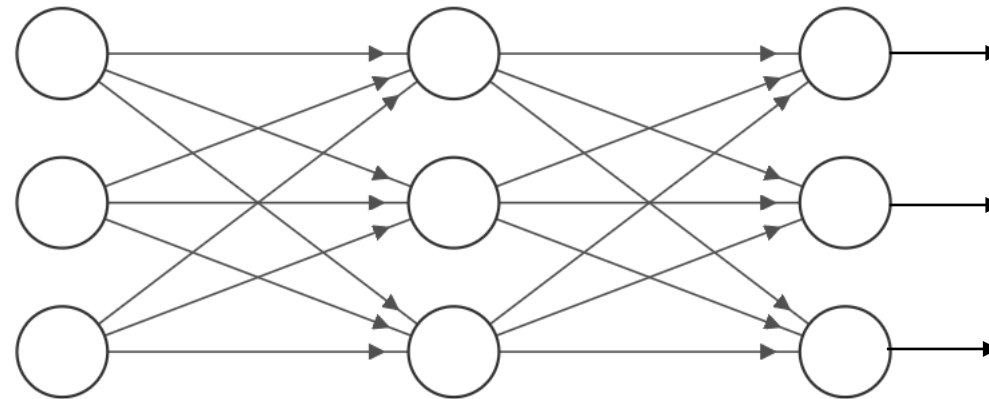
# we can add layers and nodes

## Multilayer Perceptron

1 Input layer

1 Hidden layer

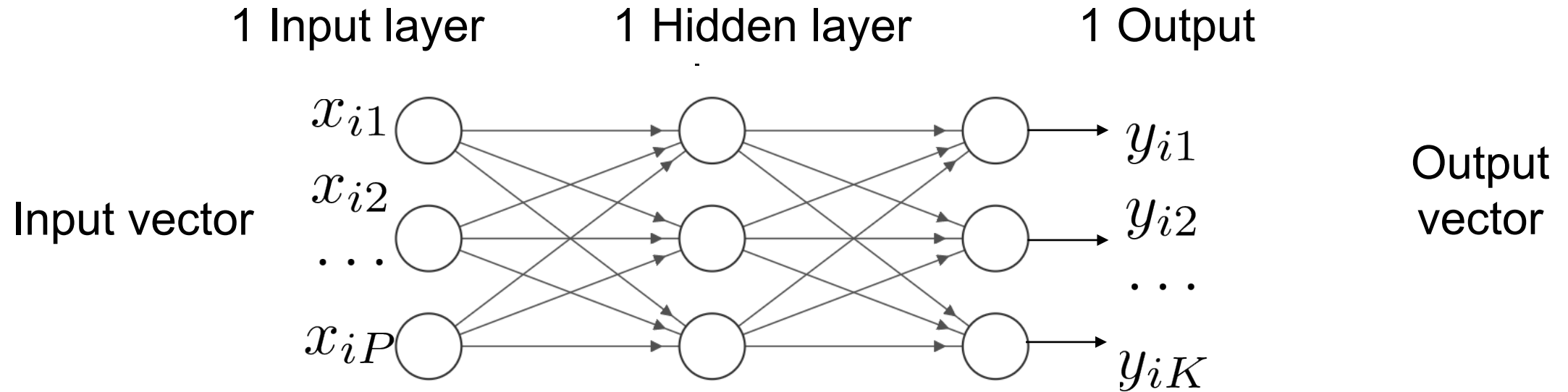
1 Output





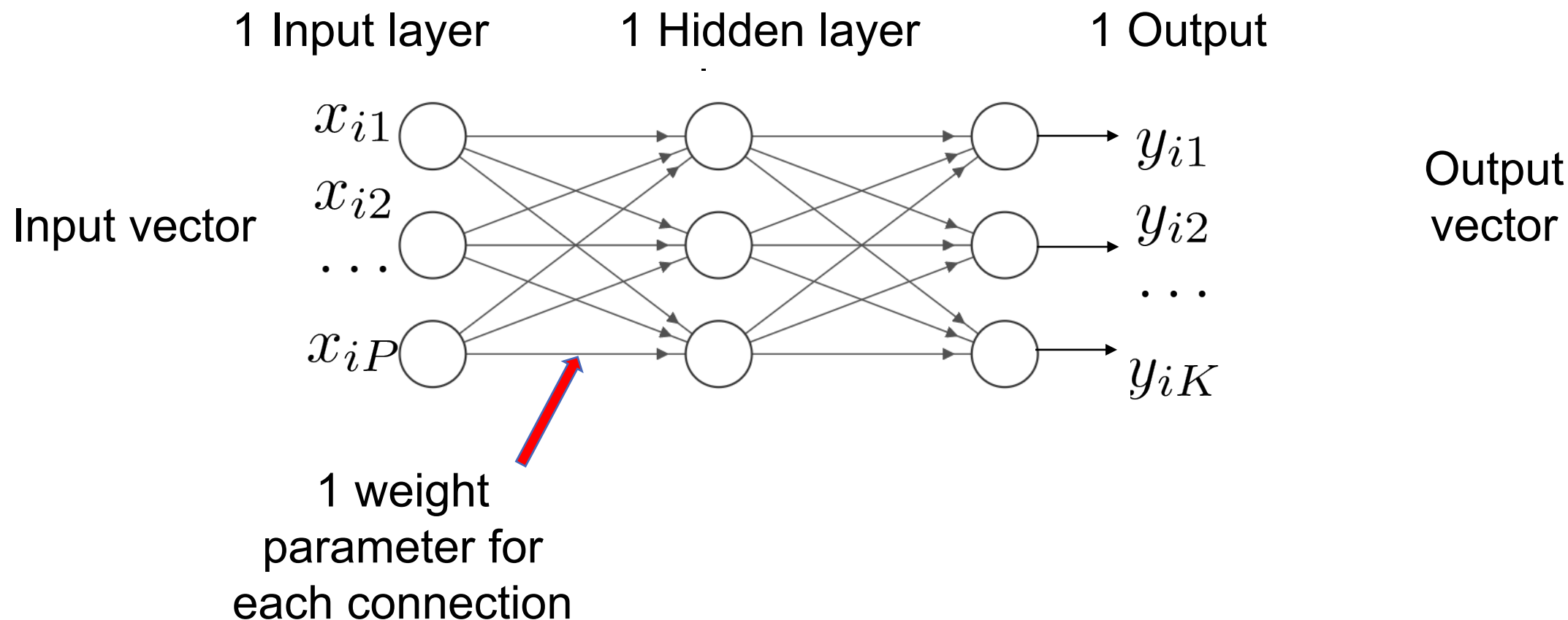
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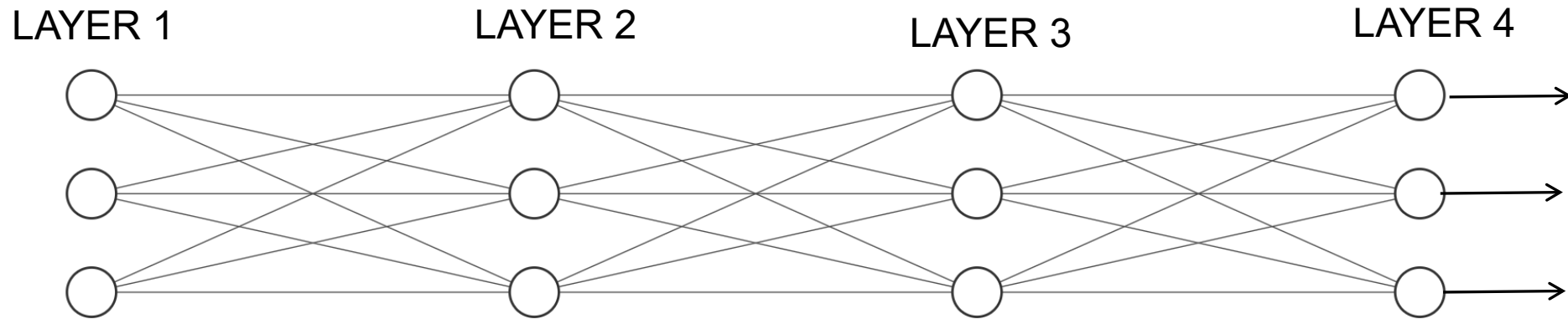


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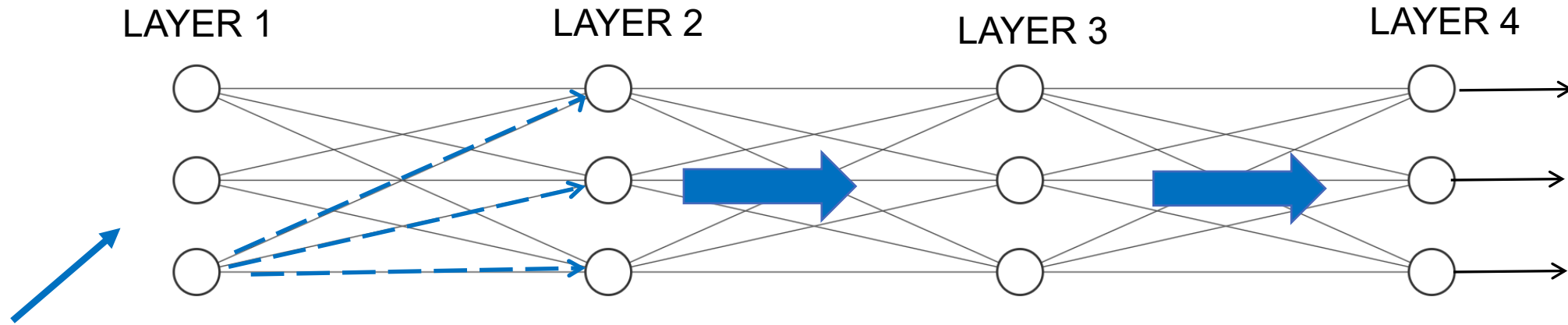
## Multilayer Perceptron



# First step: choose layers, connectivity, and activations



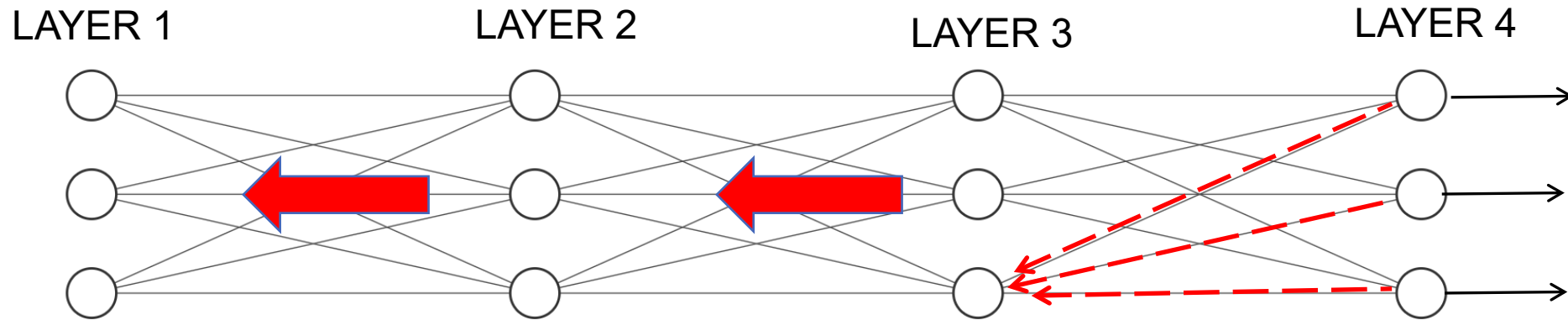
# Algorithm steps:



## 1. FORWARD PROPAGATE ACTIVATION:

apply input data  $x_i$ ,  
calculate all node activations

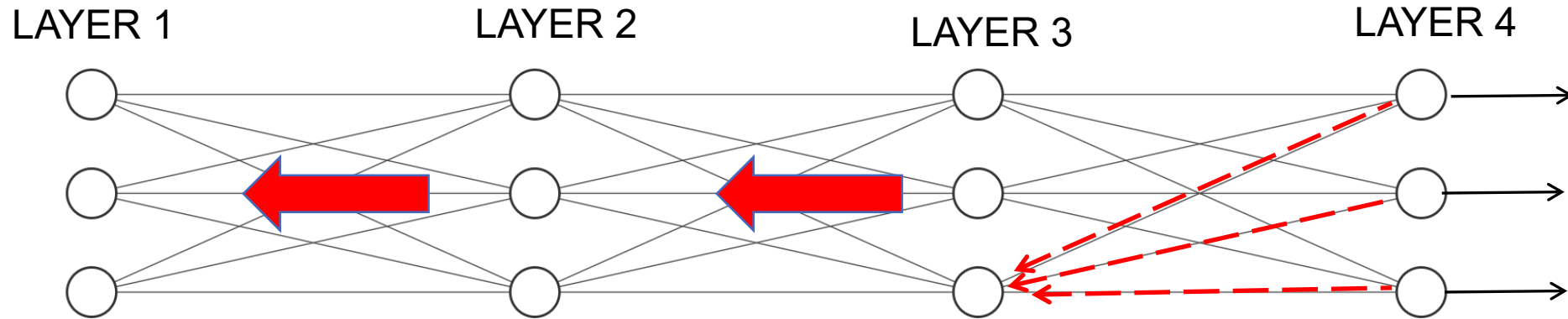
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pass it back to lower layer

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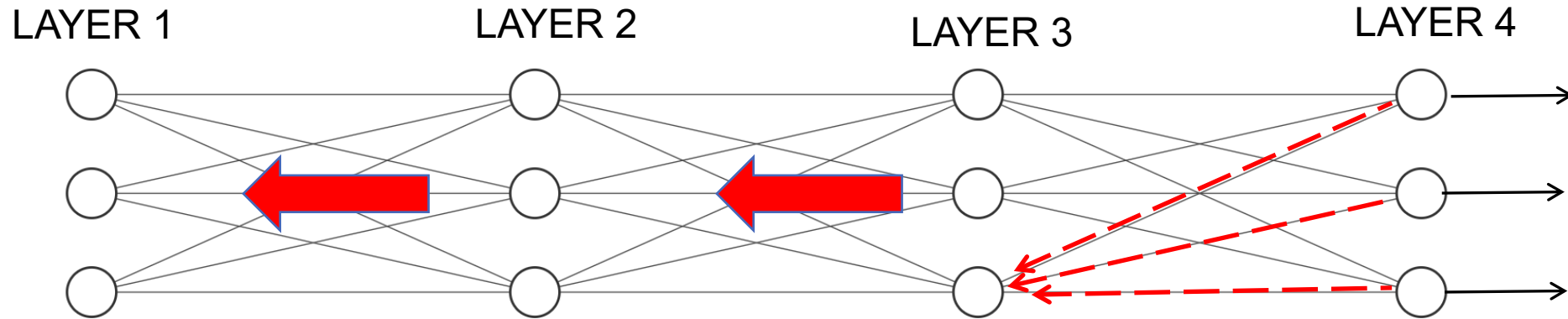
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For hidden layers use chain rule:  
( $dE/dY \quad dY/dH_3 \quad dH_3/dH_2$  etc...)  
needs a summation of previous layer

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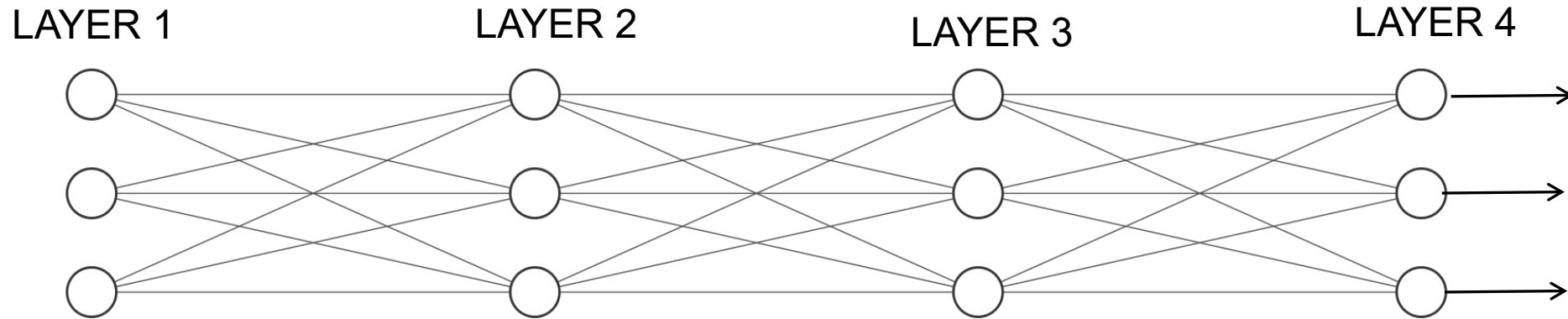
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***Beware: error signals get diluted as you go backward -  
the 'vanishing gradient' problem***

## algorithm steps:



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calculate all node activations

### 2. BACKWARD PROPAGATE ERROR: calculate Error (or Loss) derivatives ( $dE/dY$ ) pass it back to lower layer

### 3. Update weights and bias terms

$$w_{ji} = w_{ji} - \eta \frac{dE}{dw_{ji}}$$



# NN Algorithm

**INITIALIZE WEIGHTS** (small random values)

# NN Algorithm

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**LOOP** until stopping criterion:

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**LOOP** until stopping criterion:

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**UPDATE WEIGHTS:**  $w \leftarrow w - \text{learning\_rate} * \frac{dL}{dw}$

# NN Algorithm

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**STOP:** when validation loss reaches minimum or converges

# NN Algorithm

[heuristics, options to learn faster and/or better]

**INITIALIZE WEIGHTS** [use truncated distributions]

**LOOP** until stopping criterion: [work in batches of input]

**FORWARD PROPAGATION:** calculate all node activations

**BACKWARD PROPAGATION:** calculate all derivatives to *minimize Loss (dL)*

**UPDATE WEIGHTS:**  $w \leftarrow w - \text{learning\_rate} * \frac{dL}{dw}$  [adapt learning rate, use momentum]

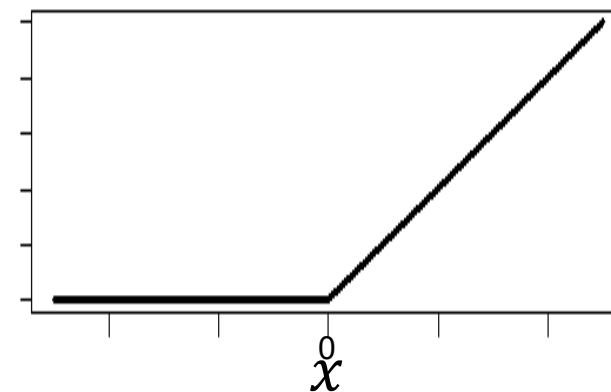
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[several metrics of loss are possible]

# A heuristic for deep networks

RELU (rectified linear

activation function



$$f(a) = \begin{cases} a & a > 0 \\ 0 & a \leq 0 \end{cases}$$

where  $a = XW$

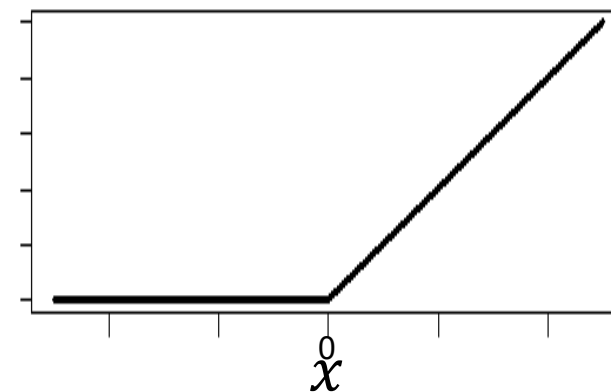
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It is unscaled (bad!)

But  $df/da$  is constant (good!)



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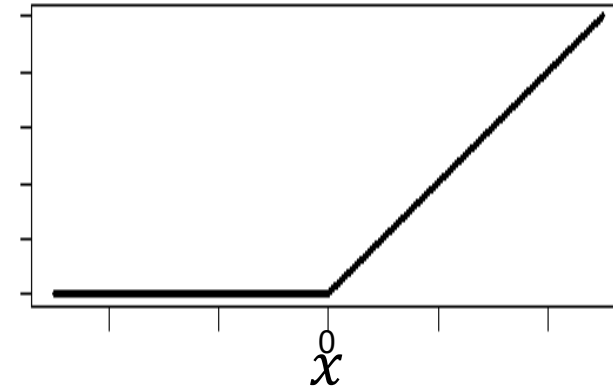
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where  $a = XW$

***RELU helps mitigates vanishing gradients***

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Pro:

Neural Networks in general, are flexible, powerful learners

Hidden layers learn a nonlinear transformation of input

Many heuristics about what works

# Summary:

## Pro:

- Neural Networks in general, are flexible, powerful learners
- Hidden layers learn a nonlinear transformation of input
- Many heuristics about what works

## Con:

- Hard to interpret
- Needs more data
- Lots of parameters

# What is deep learning?

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Deep learning is a neural network with many layers

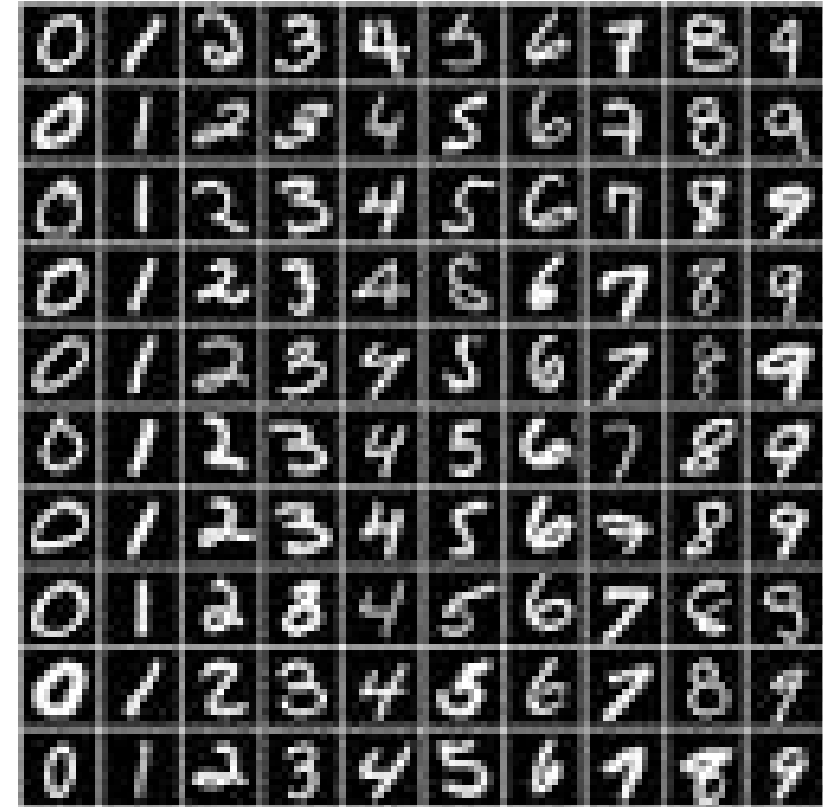
pause

# onto Convolution Networks



# Image features

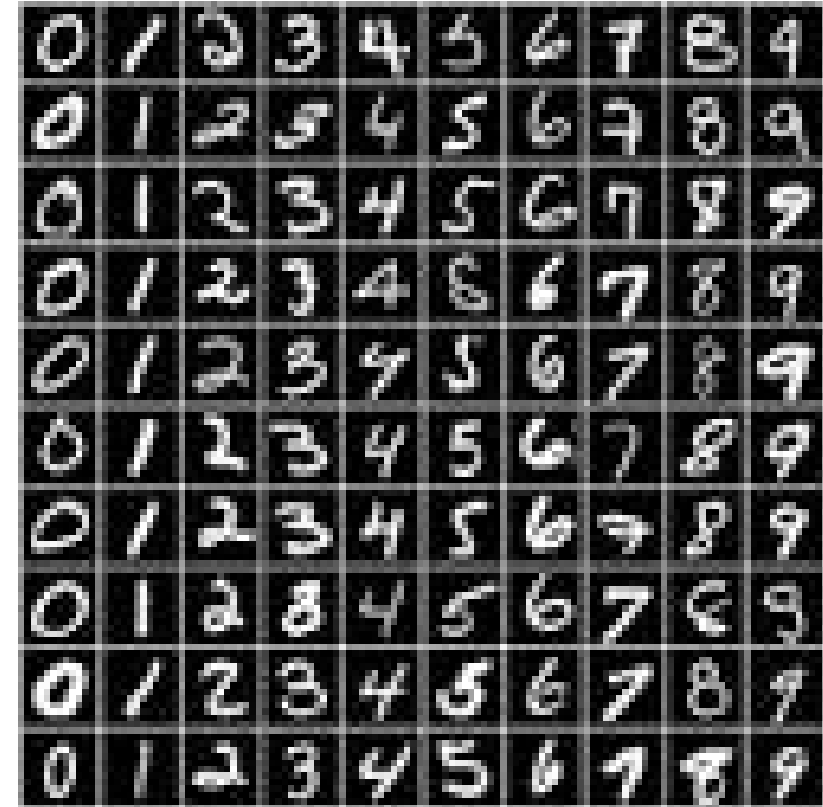
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(National Inst. of Standards and Technology)



# Image features

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*How to classify digits?*

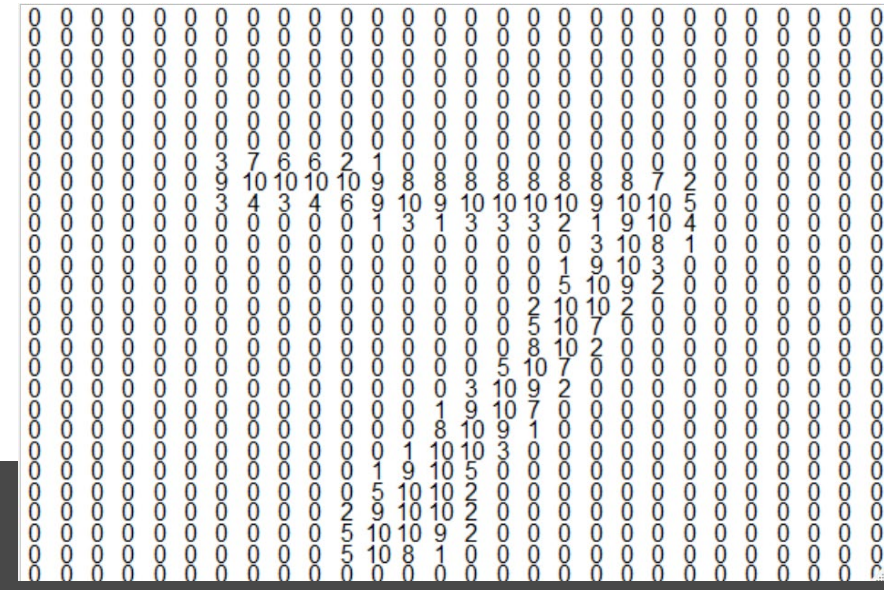
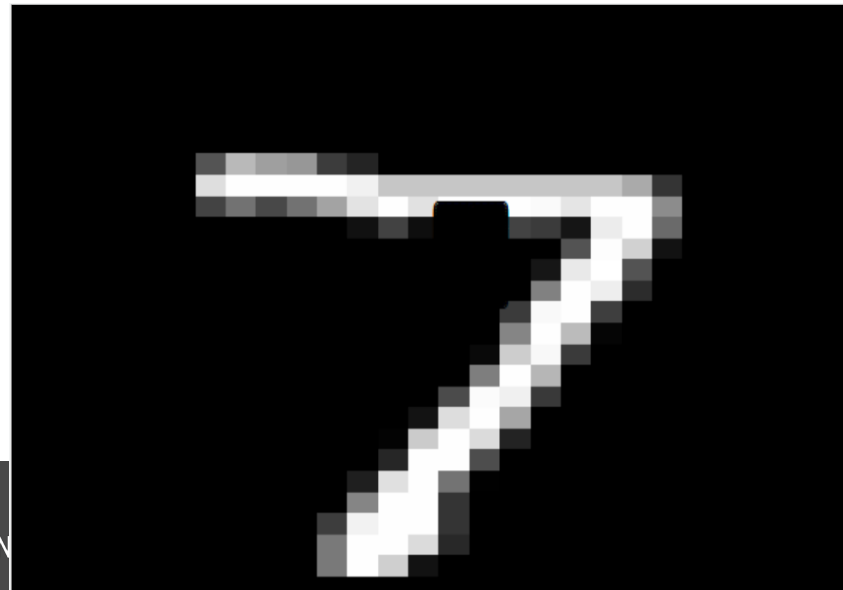


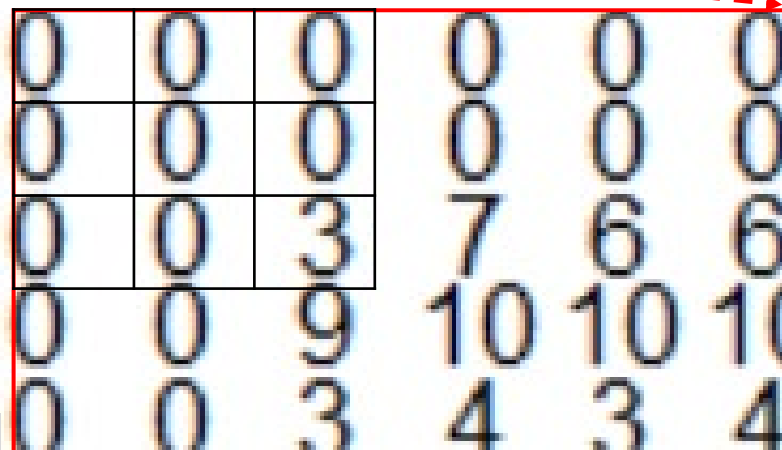
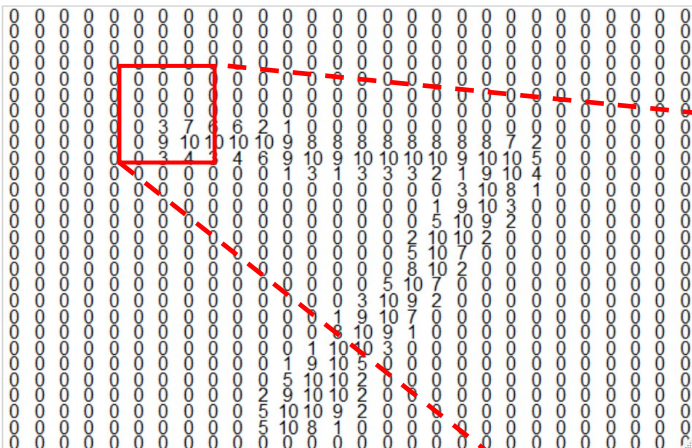
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- **MNIST - A database of handwritten printed digits**  
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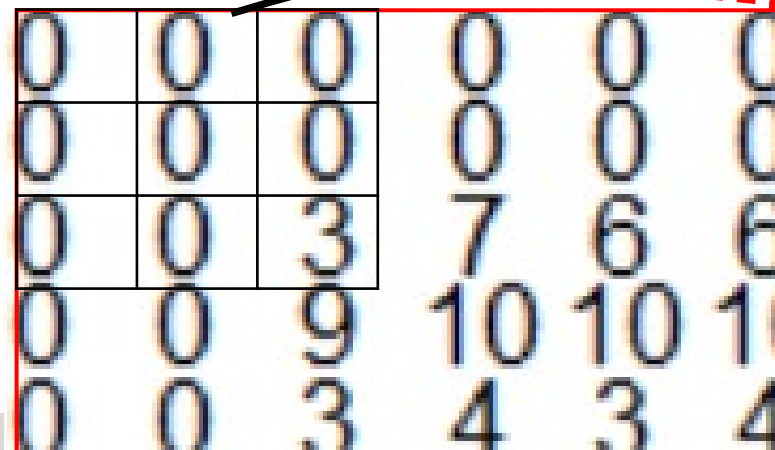
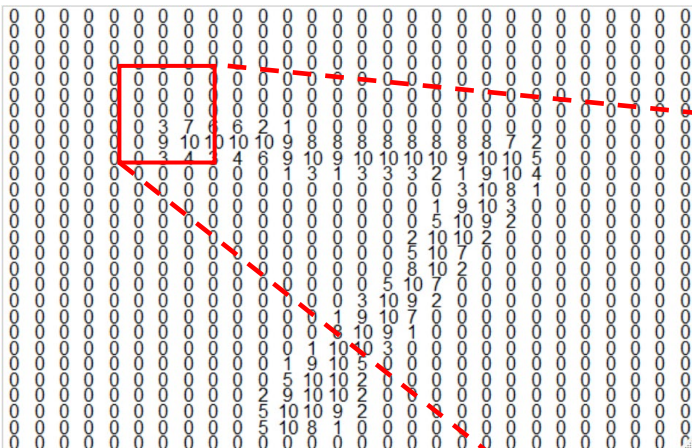
*How to classify digits?*





Let's zoom into 5x6 window of pixels near the tip of '7'

Take a 3x3 patch of pixels and apply a 'filter' template – designed to find an edge



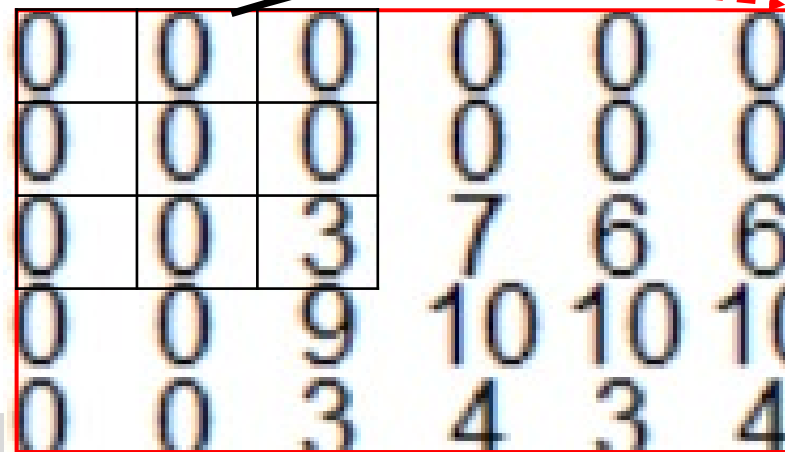
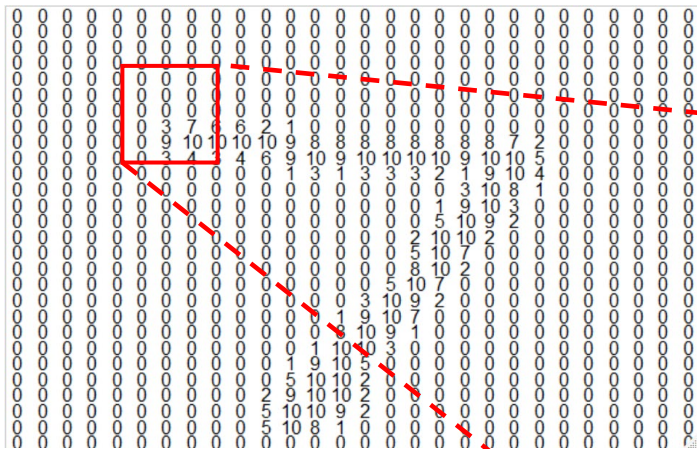
X

-1	0	+1
-1	0	+1
-1	0	+1

1. Multiply 3x3 patch of pixels with 3x3 filter

Let's zoom into 5x6 window of pixels near the tip of '7'

Take a 3x3 patch of pixels and apply a 'filter' template – designed to find an edge



(our weight parameters)

X

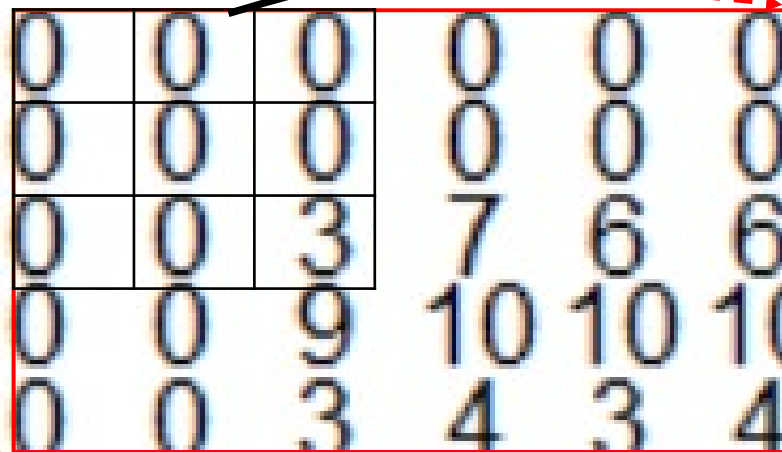
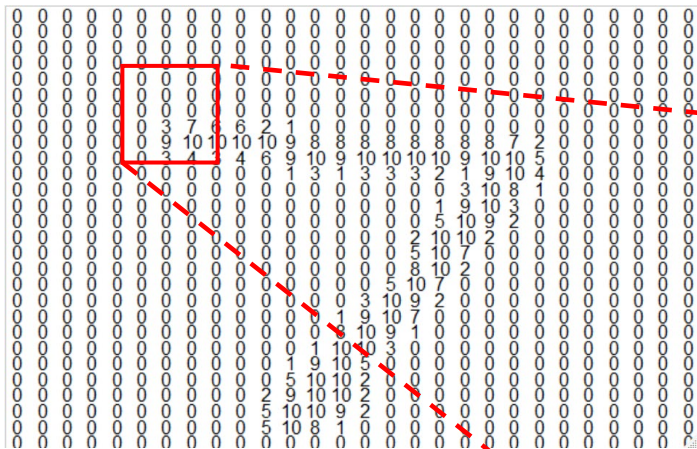
-1	0	+1
-1	0	+1
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1. Multiply 3x3 patch of pixels with 3x3 filter “W”

Let's zoom into 5x6 window of pixels near the tip of '7'

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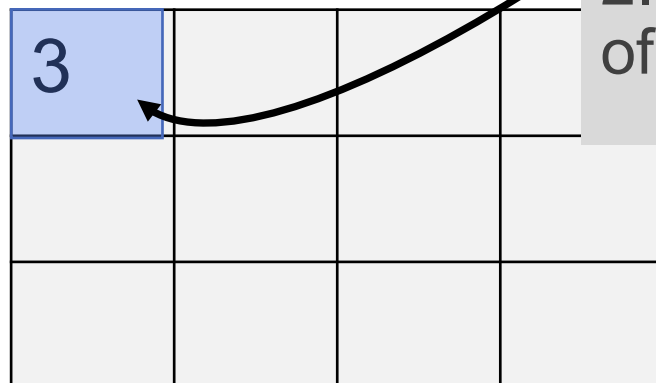




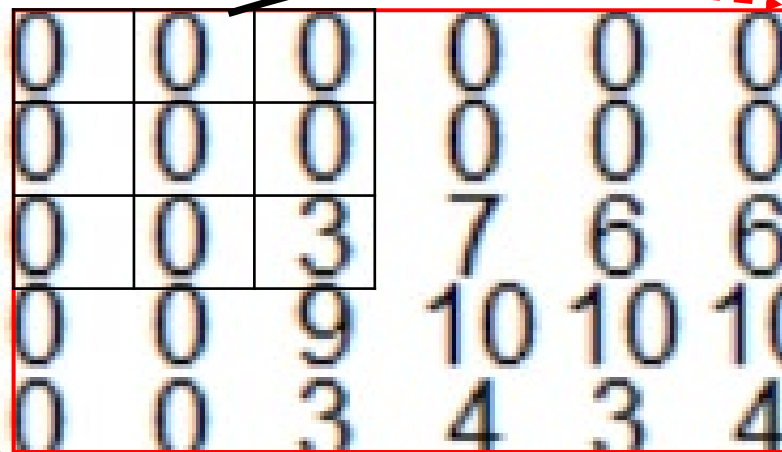
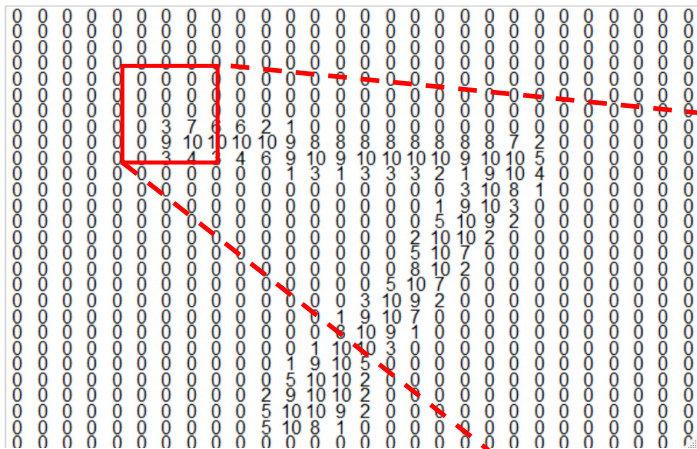
**X**

-1	0	+1
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1. Multiply 3x3 patch of pixels with 3x3 filter “W”



2. Put answer in new cell of output map

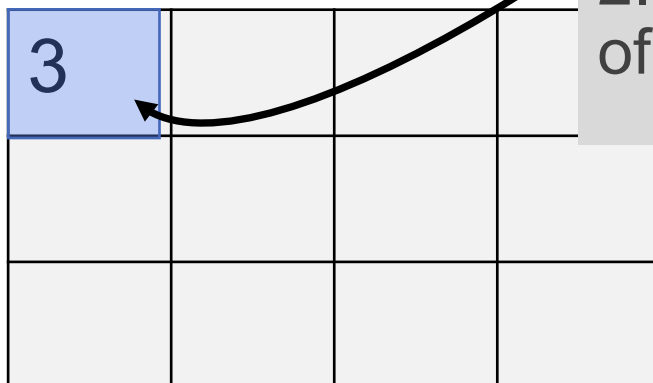


-1	0	+1
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-1	0	+1

X

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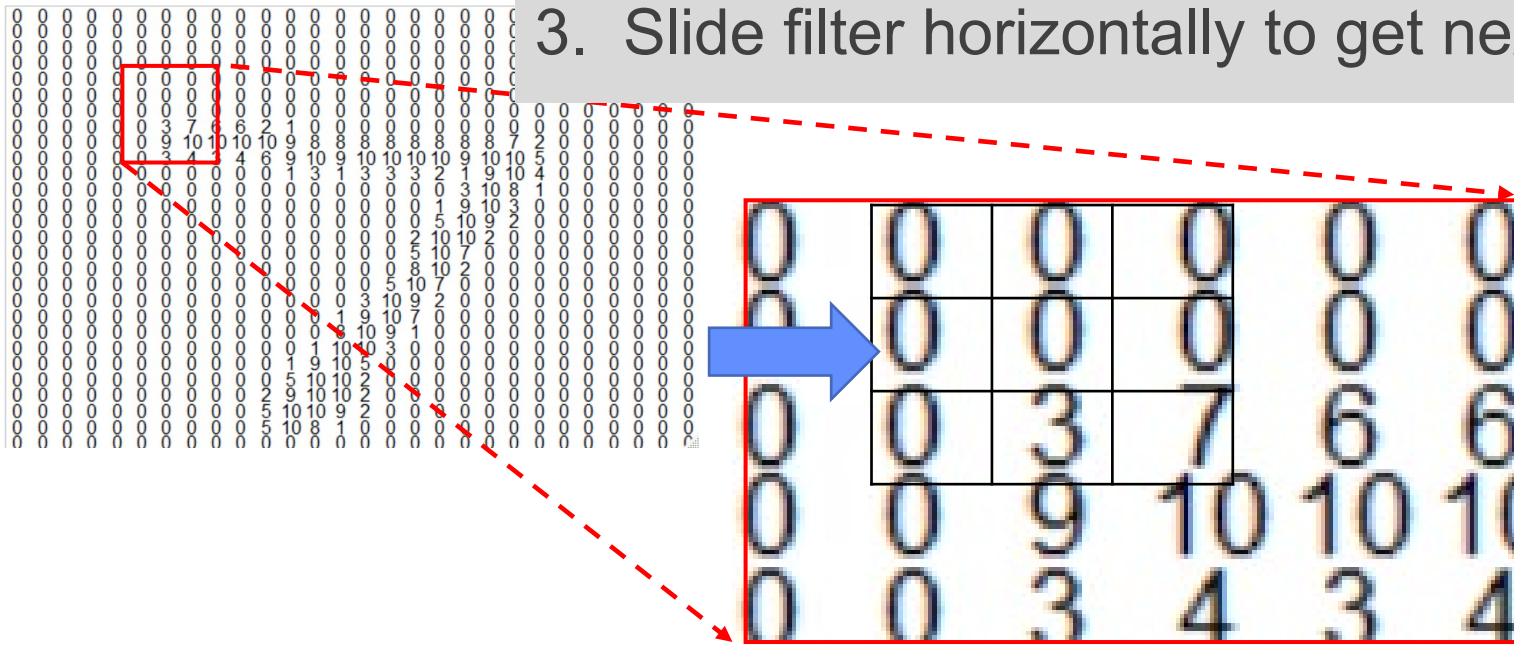
This is “image patch” \* W



2. Put answer in new cell of output map

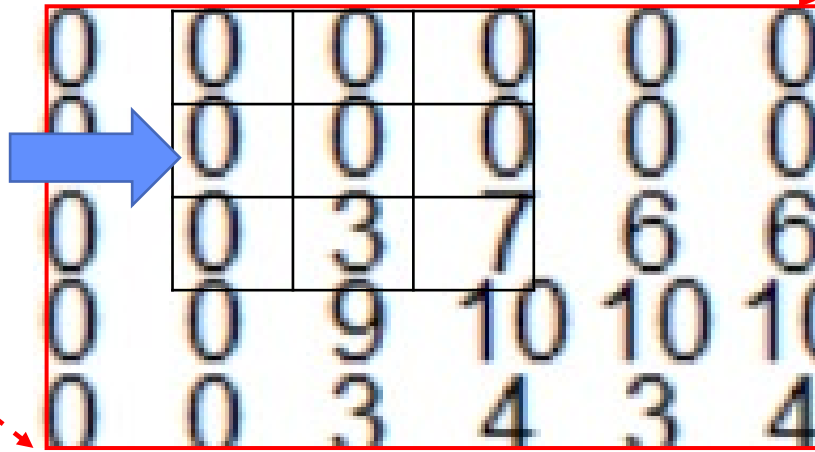
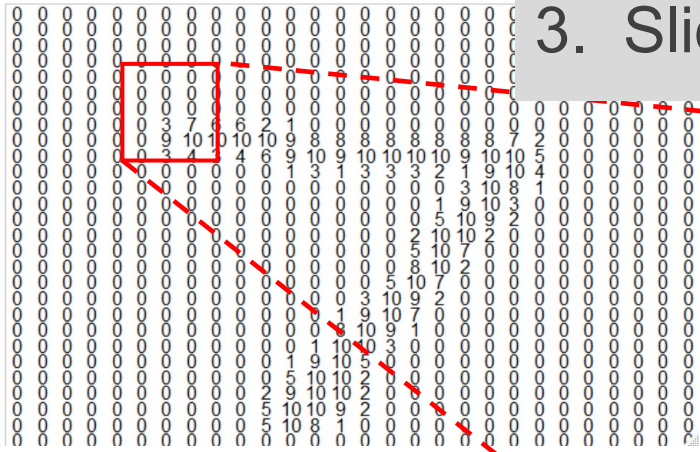


### 3. Slide filter horizontally to get next output value



3			

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X

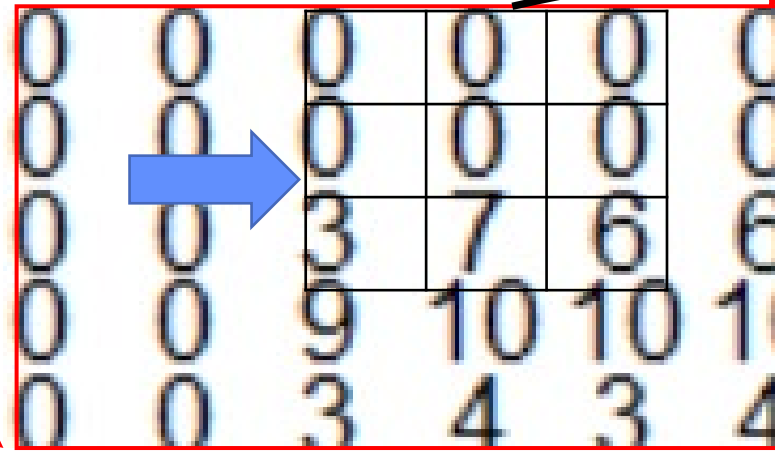
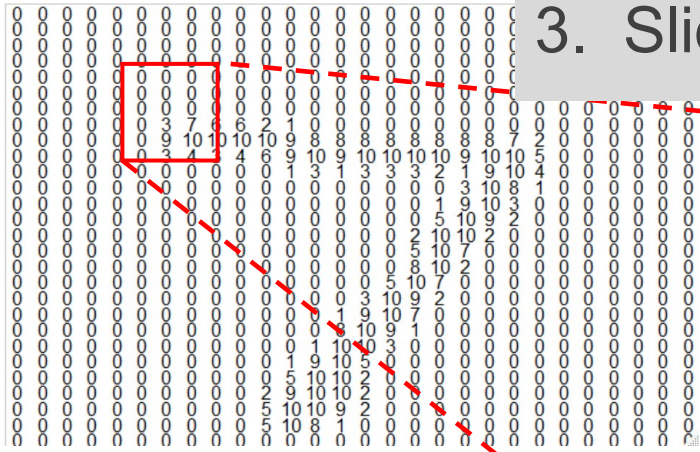
-1	0	+1
-1	0	+1
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1. Multiply 3x3 patch of pixels with 3x3 filter "W"

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3	7		

### 3. Slide filter horizontally to get next output value



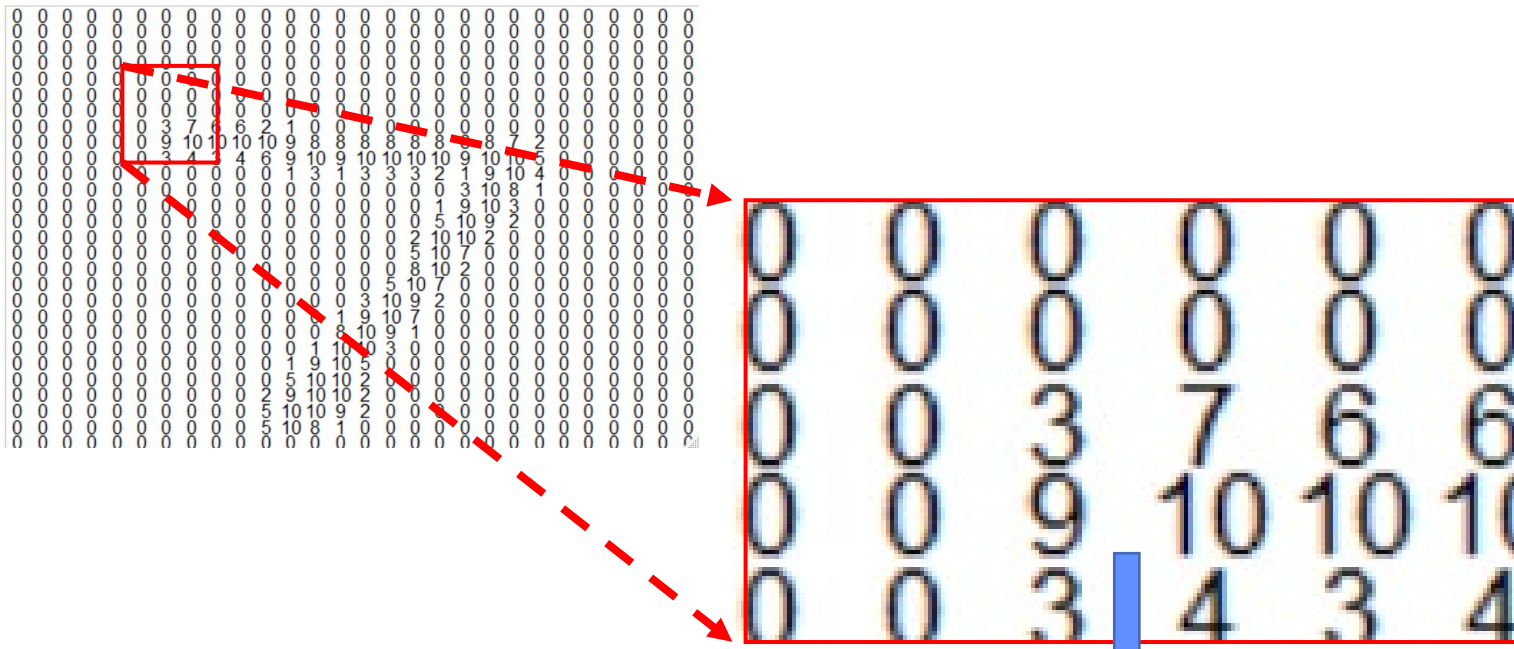
-1	0	+1
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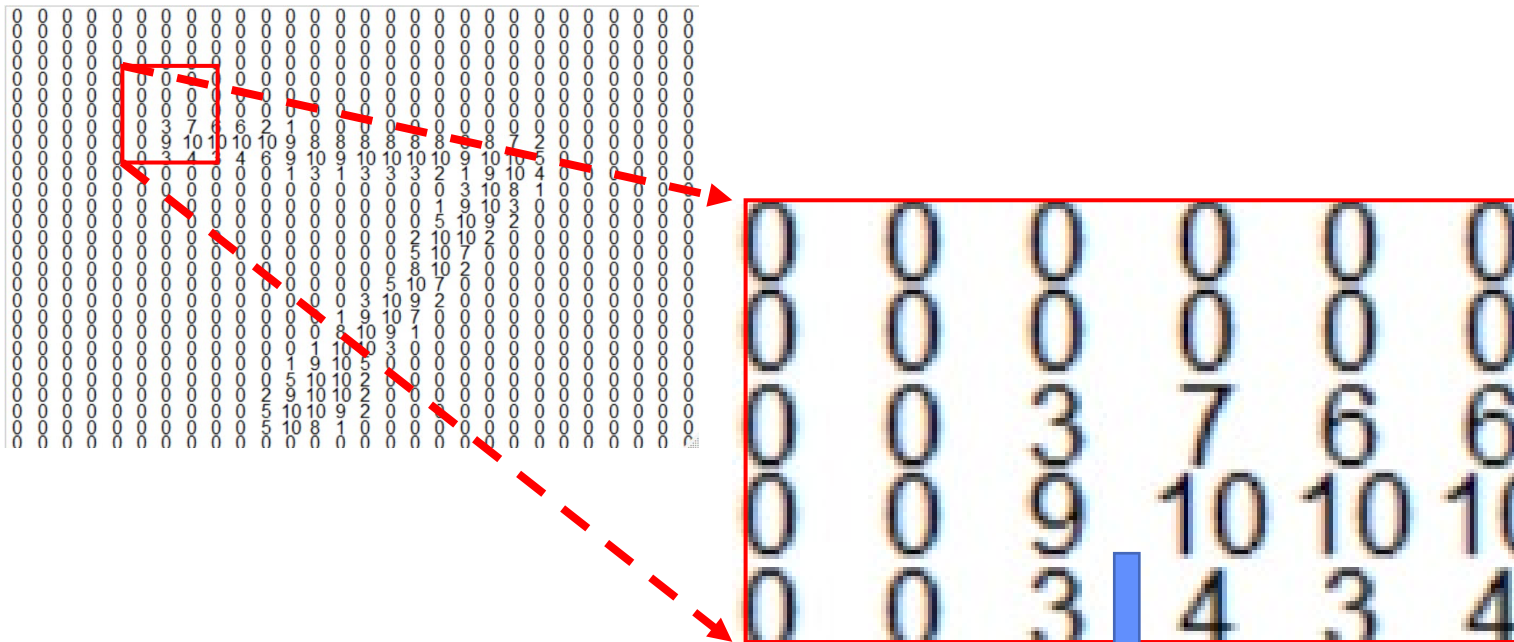
**NOTE:** sliding a filter is known as a “convolution” operation

3	7	3	



*After vertical and horizontal sliding the 5x6 patch is now a 3x5 feature map.*

3	7	3	-1
12	17	4	-1
15	21	4	-1

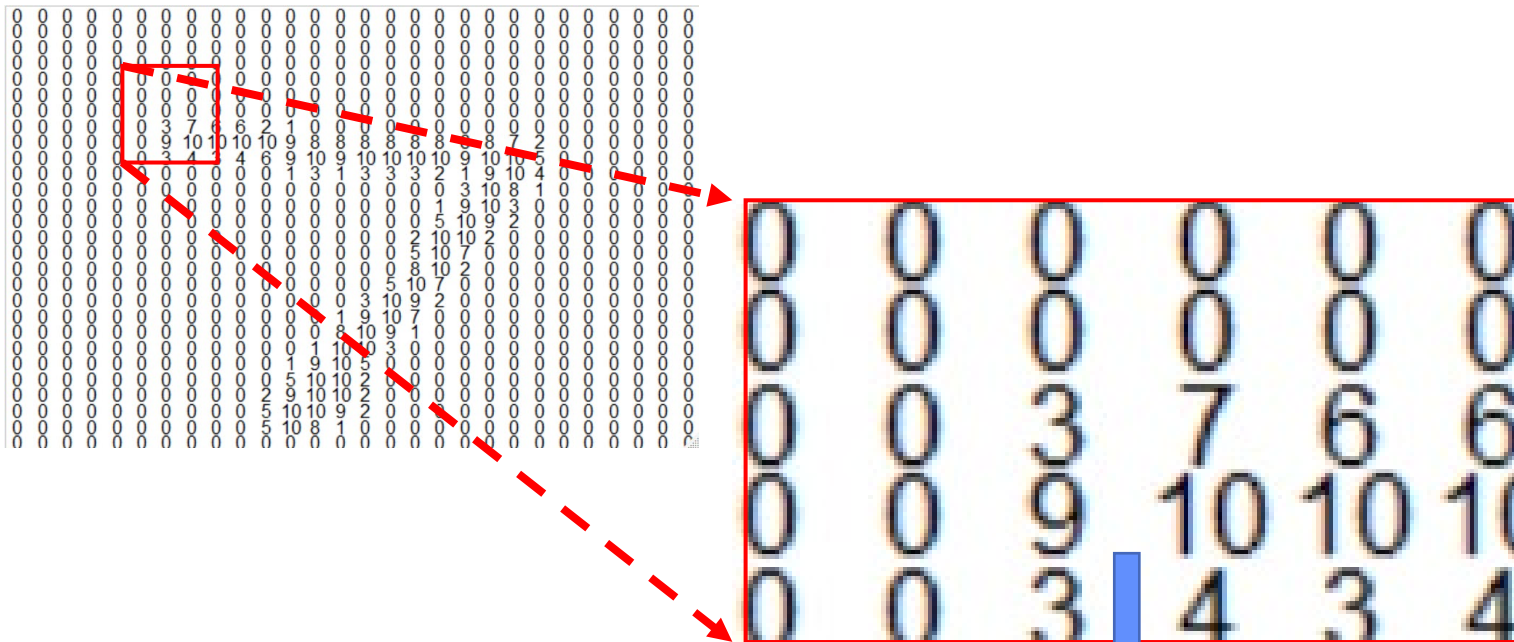


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12	17	4	-1
15	21	4	-1

*After vertical and horizontal sliding the 5x6 patch is now a 3x5 feature map.*

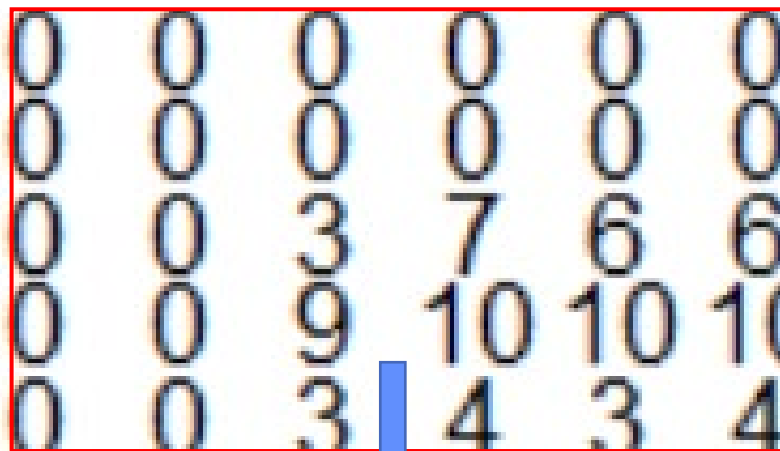
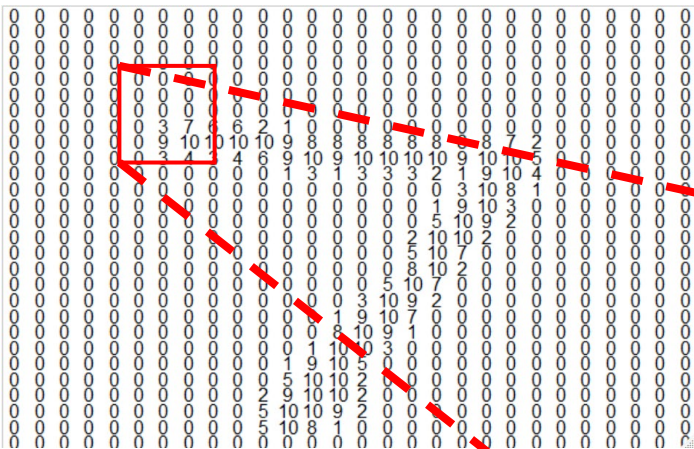
*What do the highest values in the feature map represent?*





3	7	3	-1
12	17	4	-1
15	21	4	-1

Optional next step:  
Use another filter, and take maximum over elements -  
“max pooling”



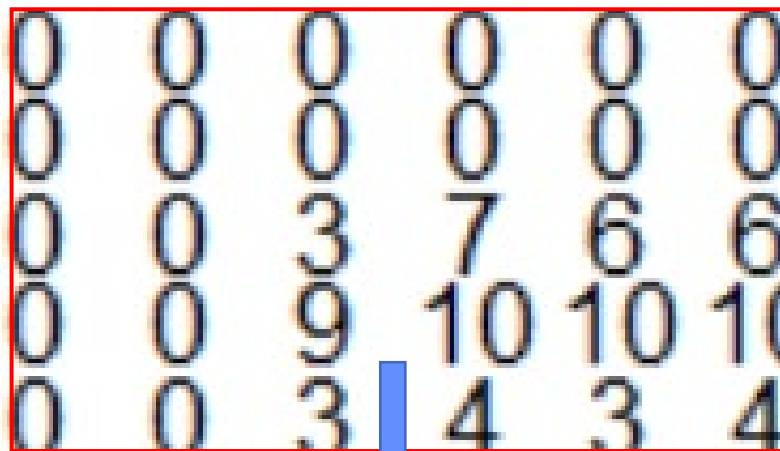
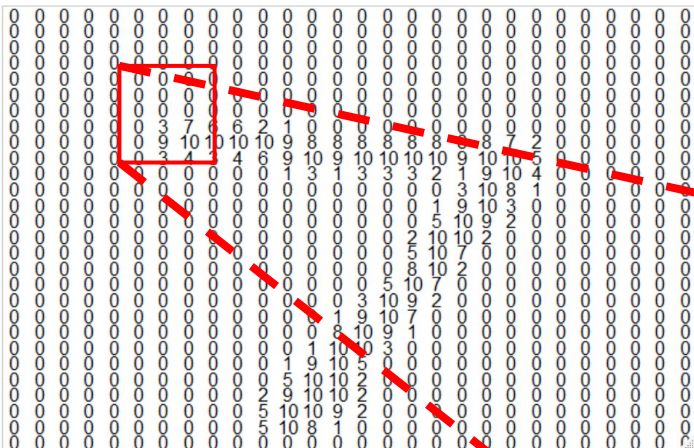
3	7	3	-1
12	17	4	1
15	21	4	-1

Optional next step:

Use another filter, and take maximum over elements - "max pooling"

2x2 filter has max=17

17		



3	7	3	-1
12	17	4	-1
15	21	4	-1

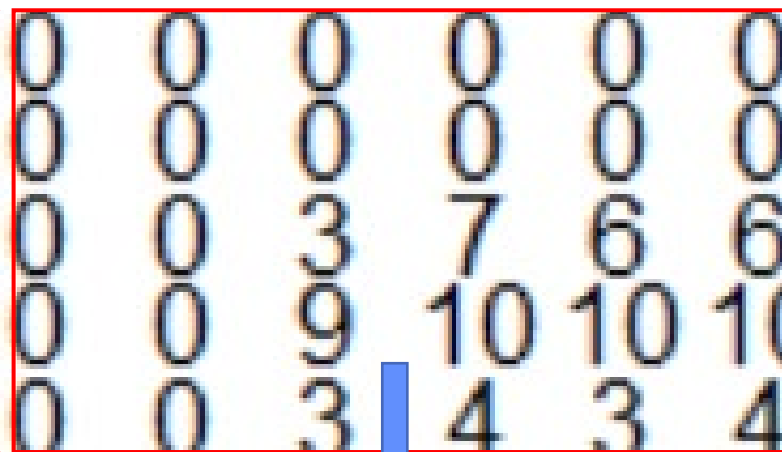
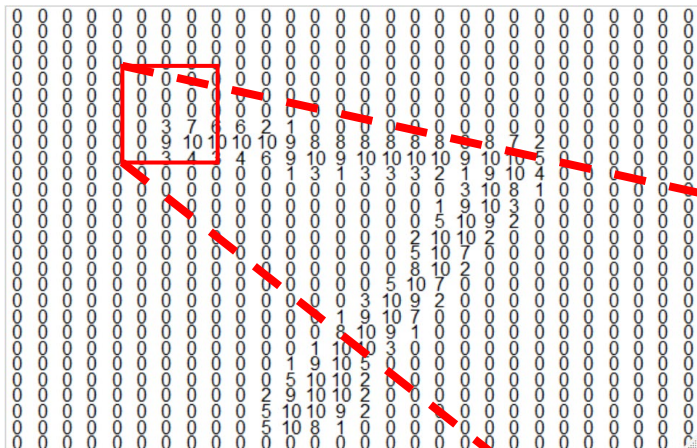
Optional next step:

Use another filter, and take maximum over elements -  
“max pooling”

Slide filter ...

17	17	4
21	21	4





3	7	3	-1
12	17	4	-1
15	21	4	-1

After convolution and pooling 5x6 patch is **transformed** into a 2x3 feature map of 'edge gradients'

Slide filter ...

17	17	4
21	21	4

# Feature engineering

In Computer Vision there are many kinds of edge detectors and many ways to scale them

-1	0	+1
-1	0	+1
-1	0	+1

But building features is hard, so if you have enough data ...

# Convolution Neural Network (CNN)

In CNNs the filter values are weight parameters that are learned (**feature discovery**)

$W_{11}$	$W_{12}$	$W_{13}$
$W_{21}$	$W_{22}$	$W_{23}$
$W_{31}$	$W_{32}$	$W_{33}$

# Convolution Neural Network (CNN)

In CNNs the filter values are weight parameters that are learned (**feature discovery**)

$W_{11}$	$W_{12}$	$W_{13}$
$W_{21}$	$W_{22}$	$W_{23}$
$W_{31}$	$W_{32}$	$W_{33}$

*A convolution layer is a set of feature maps, where each map is derived from convolution of 1 filter with input*

# Convolution Neural Network (CNN)

More hyperparameters:

Size of filter (smaller is more general)

# Convolution Neural Network (CNN)

More hyperparameters:

- Size of filter (smaller is more general)

- Number of pixels to slide over (1 or 2 is usually fine)

# Convolution Neural Network (CNN)

More hyperparameters:

- Size of filter (smaller is more general)

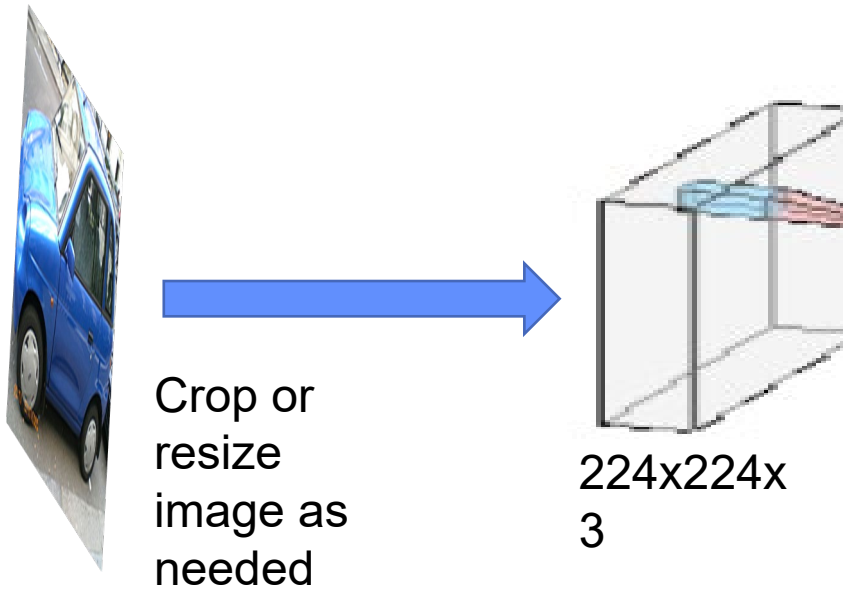
- Number of pixels to slide over (1 or 2 is usually fine)

- Number of filters (depends on the problem!)

- Max pooling or not (usually some pooling layers)

# Convolution with image

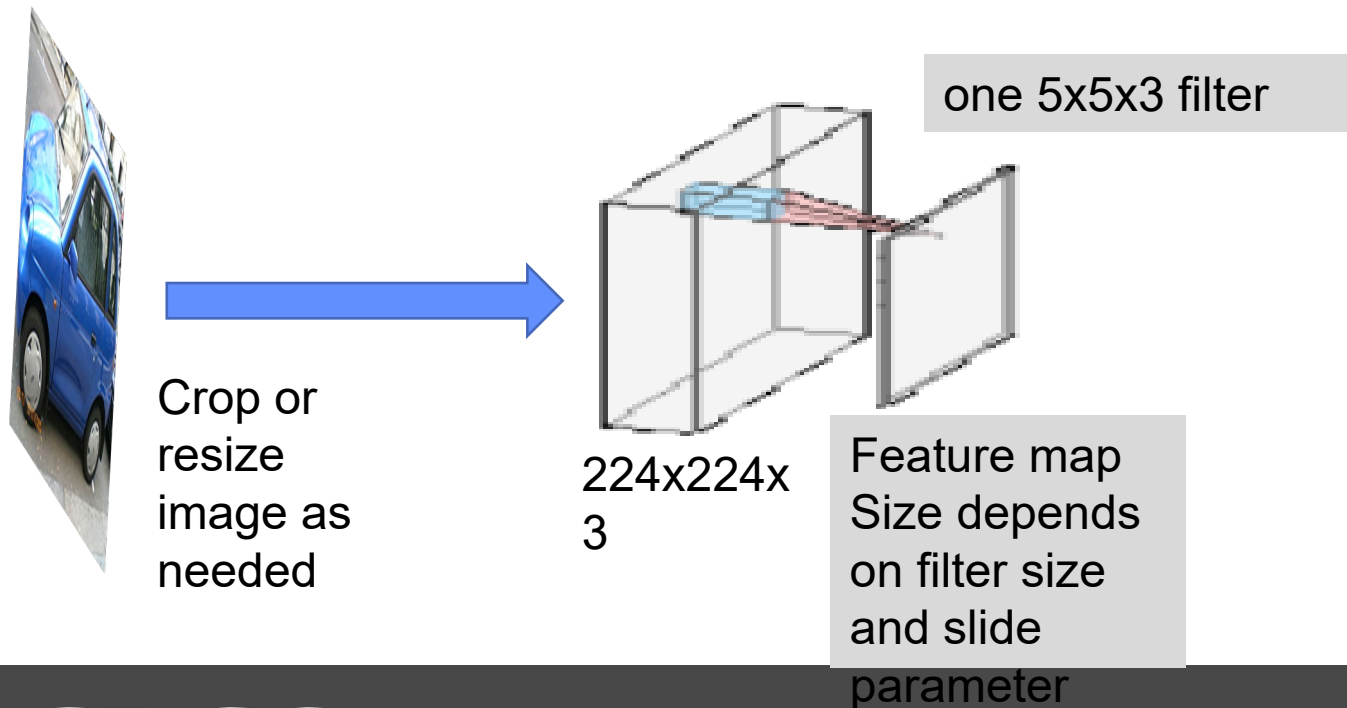
- Make 1 layer, using HxWx3 image (3 for Red,Green,Blue channels)





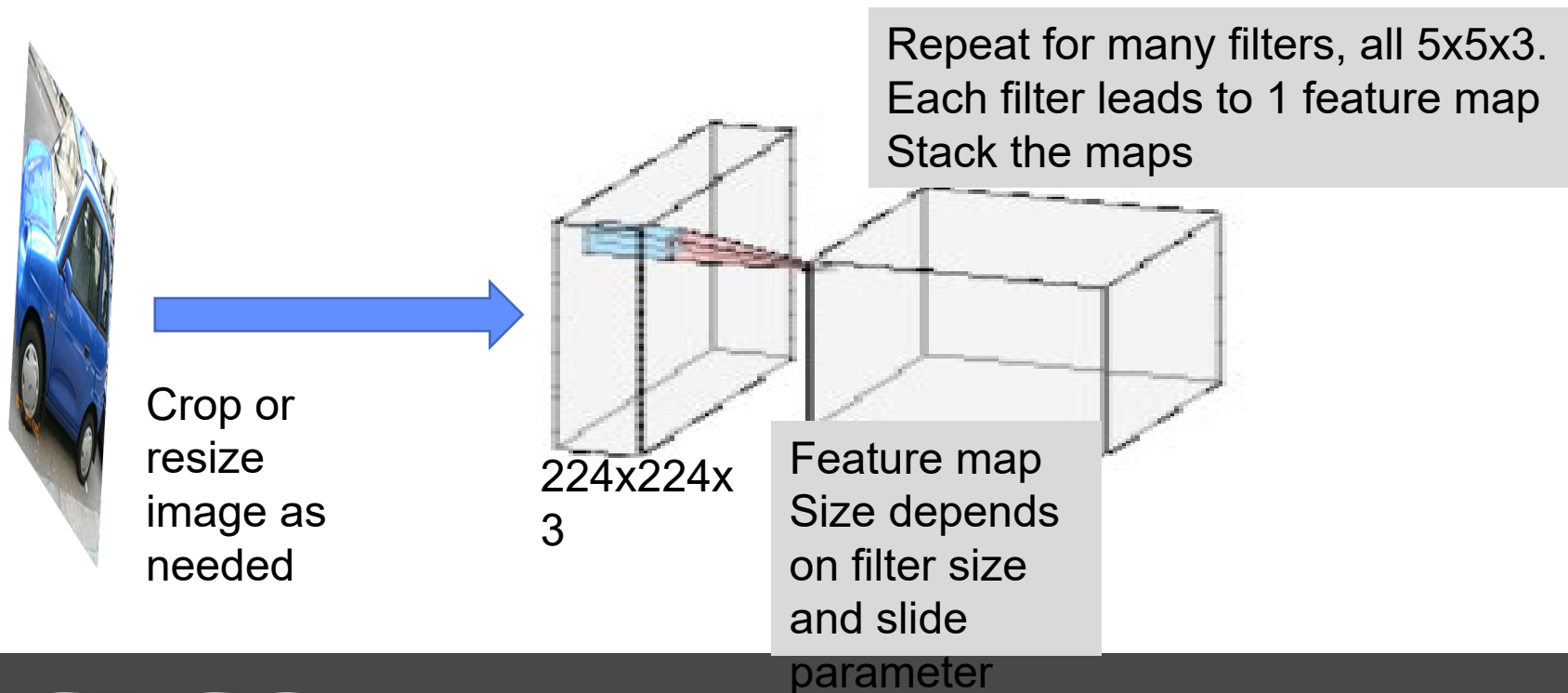
# Convolution with image

- Make 1 layer, using HxWx3 image (3 for RGB channels)



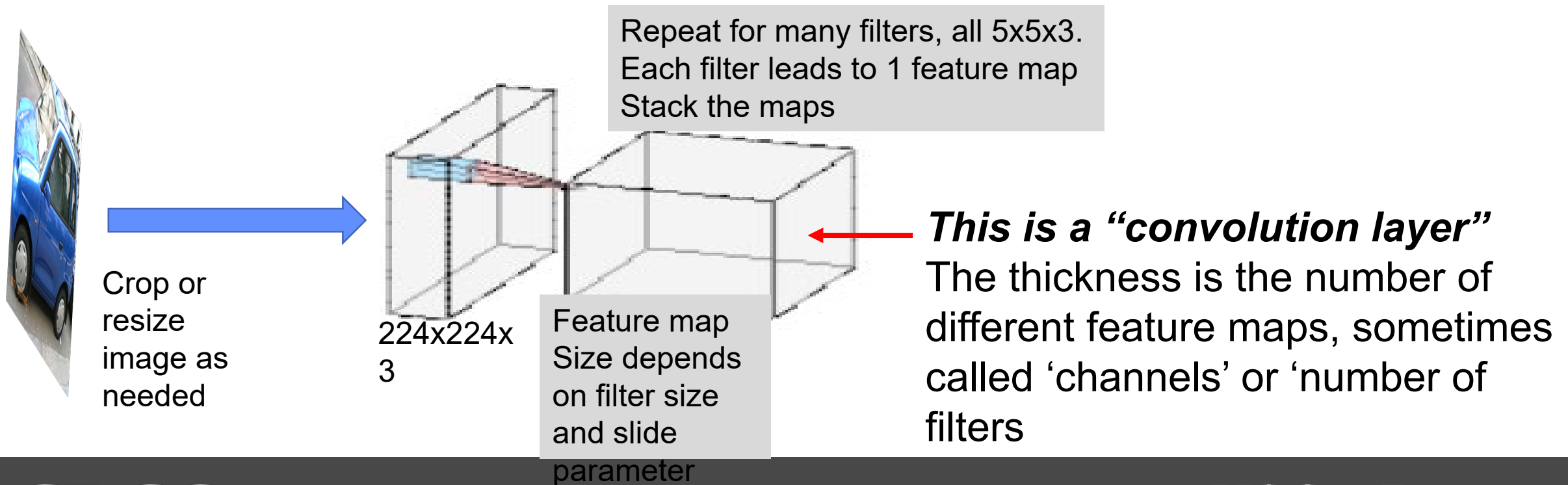
# Convolution with image

- Make 1 layer, using HxWx3 image (3 for RGB channels)



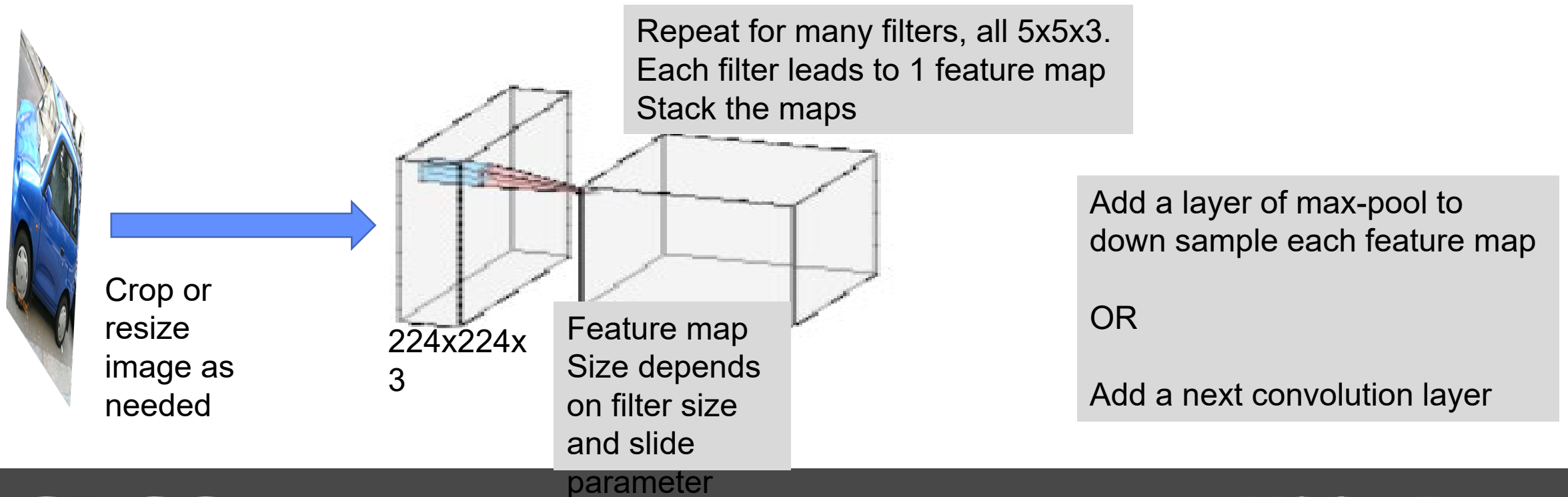
# Convolution with image

- Make 1 layer, using HxWx3 image (3 for RGB channels)



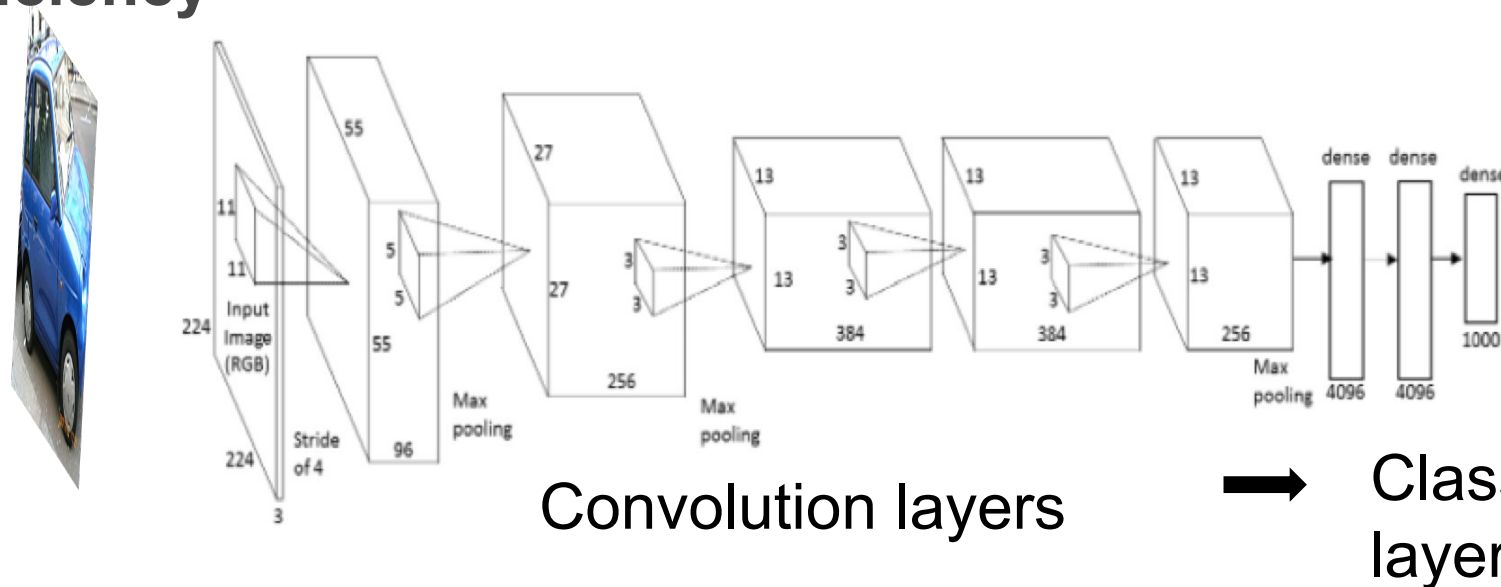
# Convolution with image

- Make 1 layer, using HxWx3 image (3 for RGB channels)



# Large Scale Versions

- Large (deep) Convolution Networks are turning out to be feasible with GPUs (some are 100+ layers)
- Need large amounts of data and many heuristics to avoid overfitting and increase efficiency



# What Learned Convolutions Look Like

First convolution layer filters are simple

features



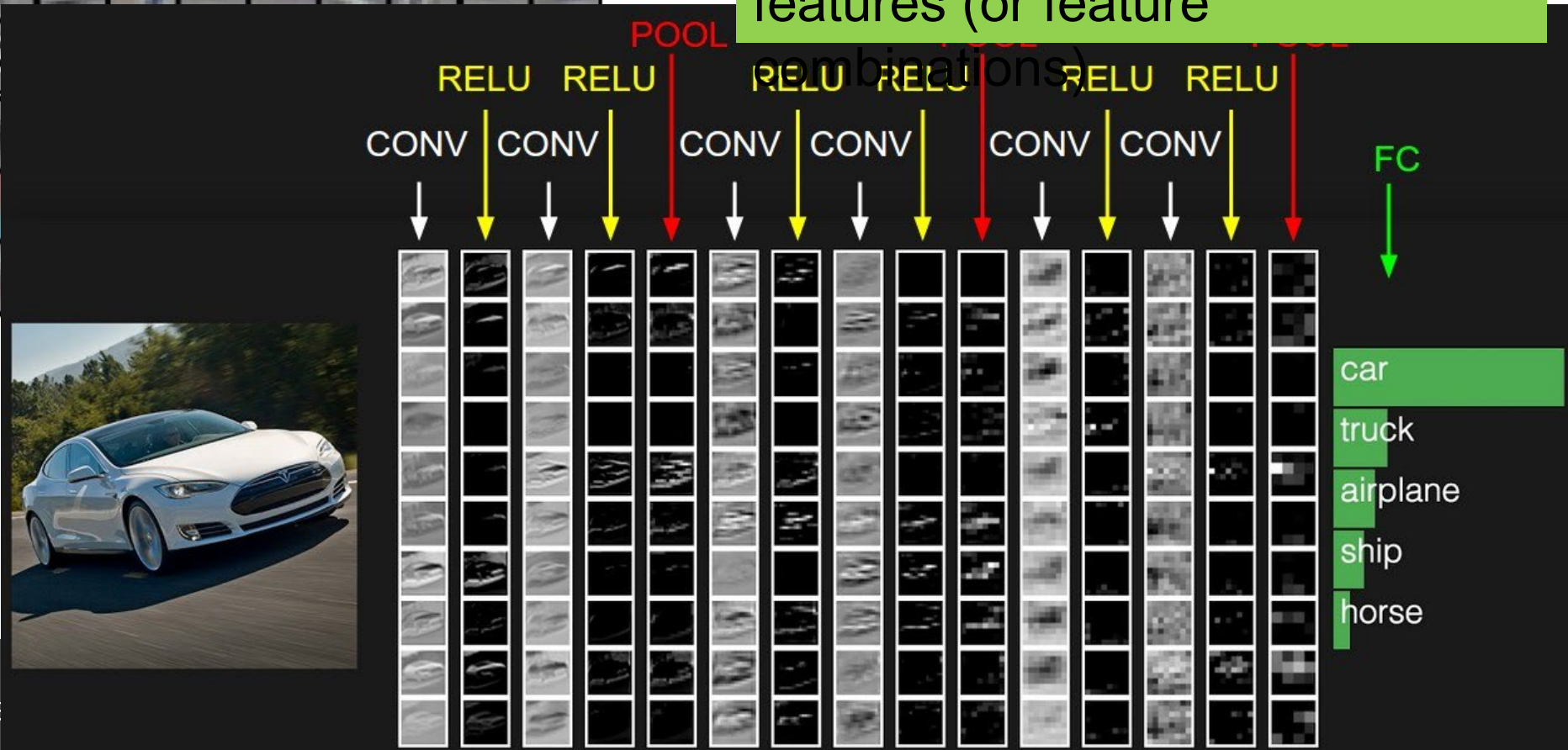
# What Learned Convolutions

First convolution layer filters are simple

features



Higher layers are more abstract  
features (or feature  
combinations)





# Convolution Neural Network Summary

**CNNs work because convolution layers have a special architecture and function – it is biased to do certain kind of transformations**

**Low layers have less filters that represent simple local features for all classes**

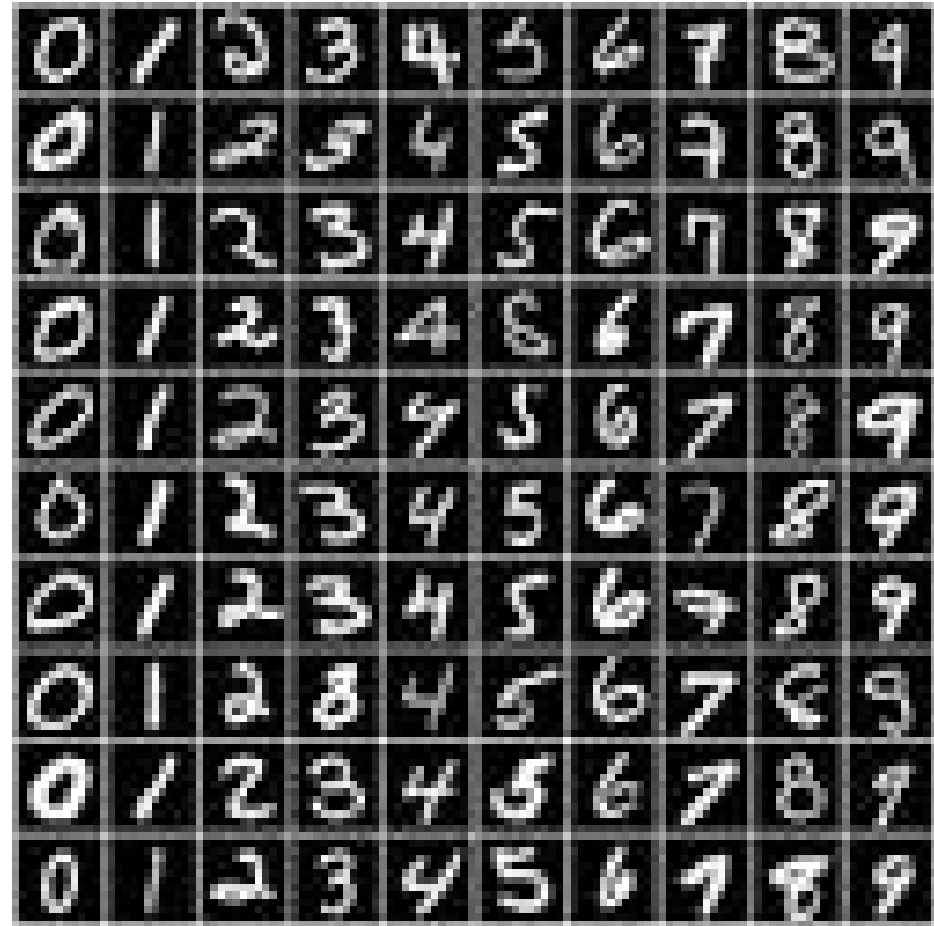
**Higher layers have more filters that cover large regions that represent object class features**



- **pause**

# Demo CNN for Digit Classification

- The 'hello world' of CNNs
- Uses MNIST dataset and Keras



```
import warnings
warnings.filterwarnings("ignore")
import tensorflow as tf
tf.get_logger().setLevel('ERROR')

#Load and prepare data
(x_train, y_train), (x_test, y_test) = tf.keras.datasets.mnist.load_data()
x_train, x_test = x_train / 255.0, x_test / 255.0

#specify the neural network model and optimization
my_model = tf.keras.models.Sequential([
    tf.keras.layers.Flatten(input_shape=(28, 28)),
    tf.keras.layers.Dense(10) ])
loss = tf.keras.losses.SparseCategoricalCrossentropy(from_logits=True)
optimizer = tf.keras.optimizers.SGD(learning_rate=0.01)
my_model.compile(optimizer=optimizer, loss=loss, metrics=['accuracy'])

#fit the model
fit_history= my_model.fit(x_train, y_train, epochs=5, batch_size=128)

#evaluate the fit
my_model.evaluate(x_test, y_test)
```

## A basic workflow in 4 steps

*load/prepare data*

*define a model*

*fit a model*

*test the model*

# Zooming in on keras.models statements

```
#specify the neural network Use a sequence of layers  
my_model = tf.keras.models.Sequential([  
    tf.keras.layers.Flatten(input_shape=(28, 28)), Flatten image into vector  
    tf.keras.layers.Dense(10) ]) Create hidden layer that is fully connected to input
```

*In a nutshell:*

*Many hyperparameter choices for defining the model depends on task*

*Many algorithm parameters for optimization depends on heuristics*

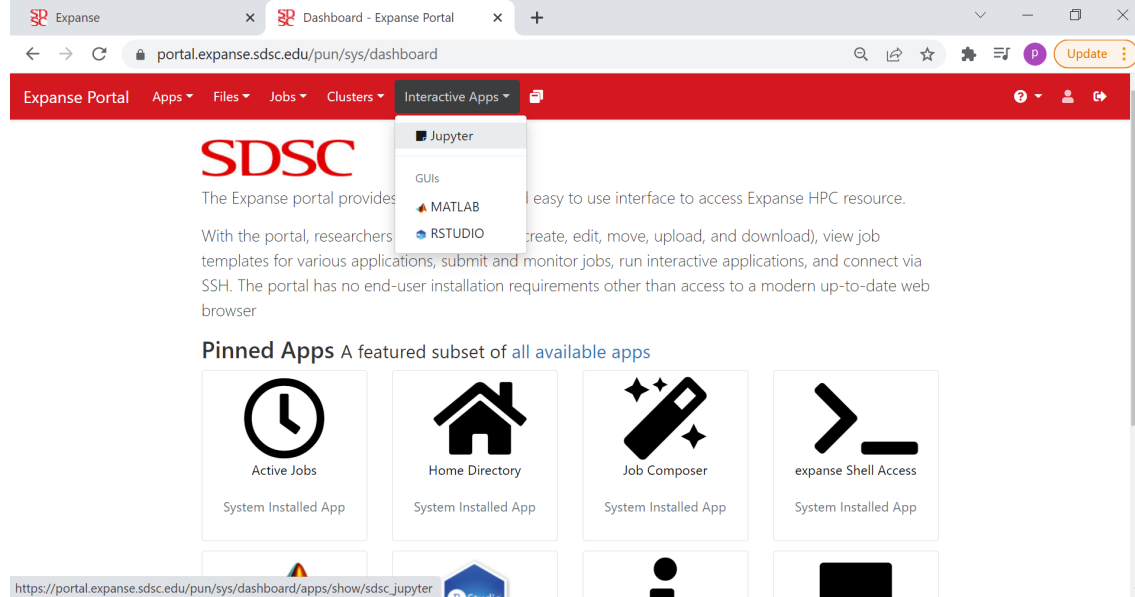
# Zooming in on keras convolution layers statements

*Use 16 filters, each of size 3x3*

```
my_model.add(tf.keras.layers.Convolution2D(filters=16,  
                                            kernel_size=(3, 3),  
                                            strides=1,  
                                            data_format="channels_last",  
                                            activation='relu',  
                                            input_shape=(28,28,1)))
```

*Input shape does not  
include number of images*

# HPC portal can launch a jupyter notebook session



The screenshot shows the 'Jupyter Session' configuration page. The page has a red header bar and a navigation bar with 'Dashboard - Expanse Portal' and 'Jupyter Session'. The main content area is titled 'Jupyter Session' and contains a form for configuring a new session. The form includes fields for 'Account' (sds164), 'Partition' (compute), 'Time limit (min)' (120), 'Number of cores' (128), 'Memory required per node (GB)' (248), 'GPUs (optional)' (0), 'Singularity Image File Location' (/cm/shared/apps/containers/singularity/tensorflow/tensorflow-latest.sif), 'Environment modules to be loaded' (singularitypro), 'Conda Environment' (empty), 'Reservation' (empty), 'QoS' (empty), 'Working directory' (home), and 'Type' (Notebook). A 'Submit' button is at the bottom of the form. Below the form, there is a section for 'Recent Jupyter Sessions' with a table of session details.

Time	URL
2022-02-09 16:38:19 -0800	<a href="https://copied-ravioli-cardiac.expanses-user-content.sdsc.edu/?token=8f991b098854c94bd5e9d29c0d1674">https://copied-ravioli-cardiac.expanses-user-content.sdsc.edu/?token=8f991b098854c94bd5e9d29c0d1674</a>
2022-02-10 12:38:27 -0800	<a href="https://unworldly-drained-asprate.expanses-user-content.sdsc.edu/?token=adab1762d01fda01a841c0c3c78be6d56">https://unworldly-drained-asprate.expanses-user-content.sdsc.edu/?token=adab1762d01fda01a841c0c3c78be6d56</a>

# Demo

# Things to think about

- On HPC, CPU work fine for many cases, you can will want to use GPUs for ‘large’ models and/or large datasets. Test with small datasets, and few epochs to evaluate
- Hyperparameter search is a bit of exploration, then focused trial and error – figure out work flow to save results and parameters together.
- Model saves and/or checkpoints are available in tensorflow; tensorboard available but needs to be secure (ask for details)



# Things to think about

- On HPC you may want to run batch jobs on a script not a notebook.

**You can use “*jupyter nbconvert --to script your-python.ipynb*” line command as part of your job and keep using the notebook**

**And you would use these matplotlib imports and plt.savefig()**

```
import matplotlib
matplotlib.use('Agg')
import matplotlib.pyplot as plt
```

**And you would use arguments or a configuration file to pass in parameters**

## Where to go from here

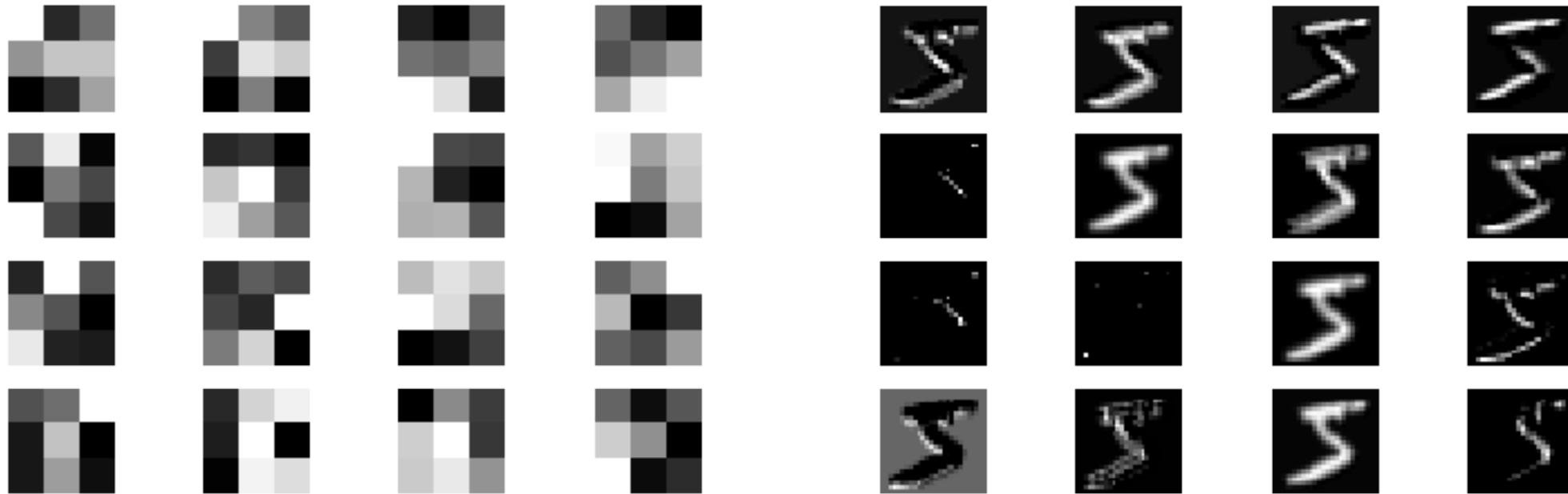
- Find relevant examples to your domain or task
- Tensorflow has many examples with tutorials in their documentation
- Tensorflow hub and model examples have code and pretrained models

[https://tfhub.dev/google/imagenet/inception\\_v1/classification/4](https://tfhub.dev/google/imagenet/inception_v1/classification/4)

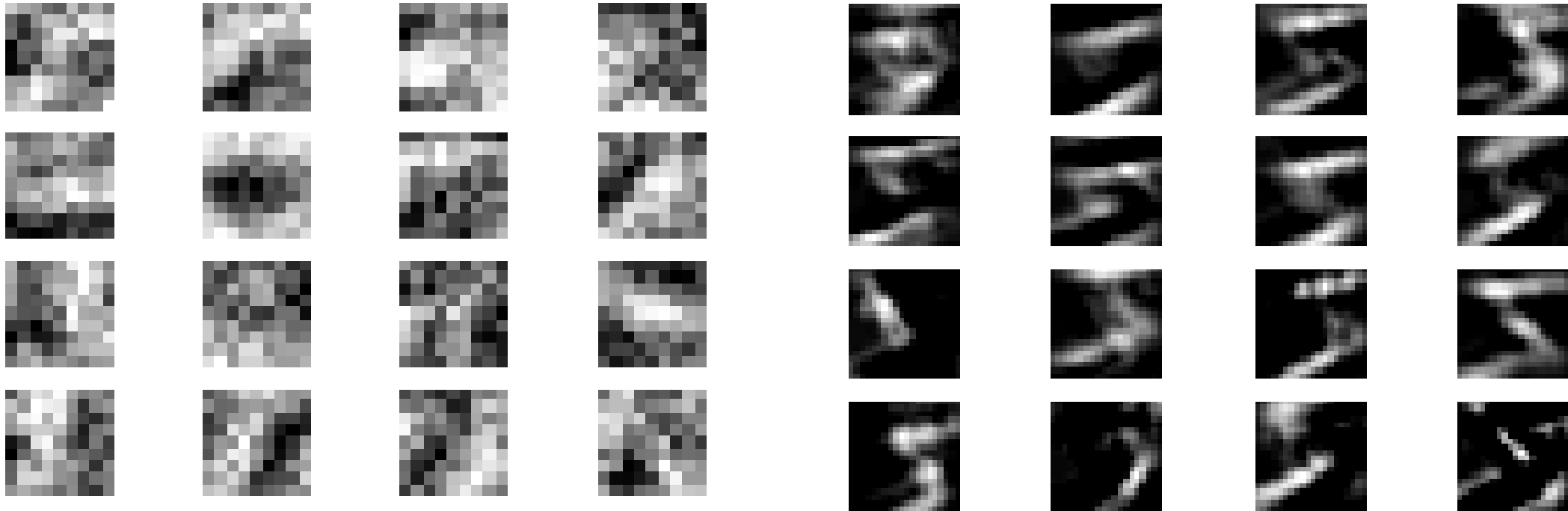
<https://keras.io/examples/>

- **End**

# 3x3 first convolution layer filter and activation



# 9x9 first convolution layer filter and activation



ViewInsertCellKernelWidgetsHelp

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Code

▼

Model: "sequential\_3"

Layer (type)	Output Shape	Param #
conv2d_6 (Conv2D)	(None, 26, 26, 16)	160
conv2d_7 (Conv2D)	(None, 24, 24, 16)	2320
max_pooling2d_3 (MaxPooling2D)	(None, 12, 12, 16)	0
flatten_3 (Flatten)	(None, 2304)	0
dense_6 (Dense)	(None, 32)	73760
dense_7 (Dense)	(None, 10)	330

Total params: 76,570

Trainable params: 76,570

Non-trainable params: 0

Train on 60000 samples, validate on 10000 samples

Filter\_wts X num\_filters + filter\_bias:  
 $(3 \times 3 \times 1) \times 16 + 16 \times 1 = 9 \times 16 + 16 = 160$