## MLT Homework 4

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## Question 1

We have shown that for a finite hypothesis class  $\mathcal{H}$ ,  $VCdim(\mathcal{H}) \leq \lfloor \log(|\mathcal{H}|) \rfloor$ . However, this is just an upper bound. The VC-dimension of a class can be much lower than that.

### Subquestion 1.1

Find an example of a class  $\mathcal{H}$  of functions over the real interval  $\mathcal{X} = [0,1]$  such that  $\mathcal{H}$  is infinite while  $VCdim(\mathcal{H}) = 1$ .

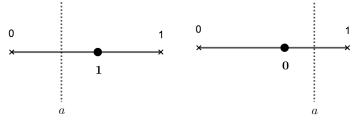
### Solution

Let's define hypothesis class as:

$$\mathcal{H} = \{h_a : a \in [0,1]\}$$

$$h_a(x) = \begin{cases} 1; & x \ge a \\ 0; & x < a \end{cases}$$

From definition we know  $|\mathcal{H}| = \infty$ . Now we need to prove that  $VCdim(\mathcal{H}) = 1$ .



(a) If point is labeled "1".

(b) If point is labeled "0".

Figure 1: Proof that  $VCdim(\mathcal{H}) \geq 1$ .

- $VCdim(\mathcal{H}) \geq 1$ : The proof we can see from the figure 1.
- $VCdim(\mathcal{H}) \leq 1$ : From the figure 2 it is seen that hypothesis class  $\mathcal{H}$  does not shatter a set of two points (no matter how we position them).

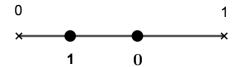


Figure 2: The problem we have when trying to shatter a set of two points.

### Subquestion 1.2

Give an example of a finite hypothesis class  $\mathcal{H}$  over domain  $\mathcal{X} = [0,1]$ , where  $VCdim(\mathcal{H}) = \lfloor \log_2(|\mathcal{H}|) \rfloor$ 

#### Solution

Let's define hypothesis class:

$$\mathcal{H} = \{h_0, h_1\}$$

where  $h_0(x) = 0$  ( $\forall x$ ) and  $h_1(x) = 1$  ( $\forall x$ ). We would like to prove that  $VCdim(\mathcal{H}) = \lfloor \log_2(|\mathcal{H}|) \rfloor = \lfloor \log_2(2) \rfloor = 1$ .

- $VCdim(\mathcal{H}) \geq 1$ : If we want to label a  $x \in [0,1]$  as 1, we pick  $h_1$  as hypothesis, otherwise we pick  $h_0$ . So,  $VCdim(\mathcal{H}) \geq 1$ .
- VCdim(H) ≤ 1: Let say that we have a set of two points. If we want to label one of the point with 1 and the other with 0, there does not exist a hypothesis in hypothesis class which can label two points differently.

We can conclude that  $VCdim(\mathcal{H}) = 1$ .

## Question 2

6.8

#### Solution

## Question 3

Let  $\mathcal{H}$  be the class of signed intervals, that is,  $\mathcal{H} = \{h_{a,b,s} : a \leq b, s \in \{-1,1\}\}$  where

$$h_{a,b,s}(x) = \begin{cases} s & \text{if } x \in [a,b] \\ -s & \text{if } x \notin [a,b] \end{cases}$$

Calculate  $VCdim(\mathcal{H})$ .

#### Solution

Claim:  $VCdim(\mathcal{H}) = 3$ .

•  $VCdim(\mathcal{H}) \geq 3$ : On figure 3 it is seen that set of three points can be shattered. Furthermore  $VCdim(\mathcal{H}) \geq 3$ .

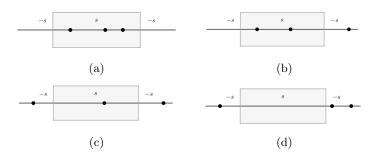


Figure 3: Proof that  $VCdim(\mathcal{H}) \geq 3$ .

•  $VCdim(\mathcal{H}) \leq 3$ : There does not exist a hypothesis  $h \in \mathcal{H}$  that lables the situation on figure 4. From here we can conclude  $VCdim(\mathcal{H}) \leq 3$ .



Figure 4: Proof that  $VCdim(\mathcal{H}) \leq 3$ .

# Question 4

6.11

Solution