



# CS240 Algorithm Design and Analysis

## Lecture 25

### Approximation Algorithms

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2022.12.13

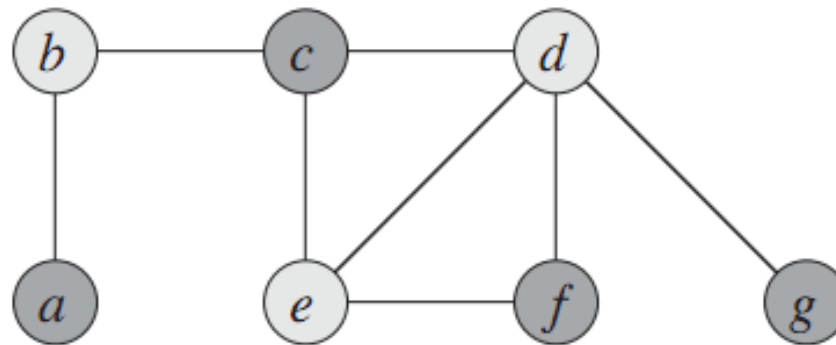
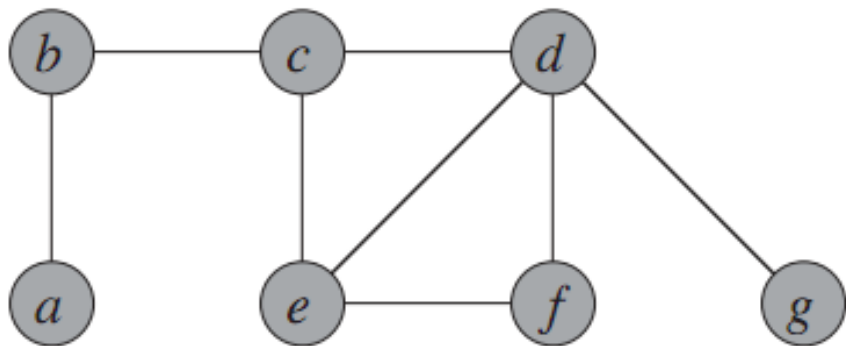


# Vertex Cover



# Vertex Cover

- **Input** A graph with vertices  $V$  and edges  $E$ .
- **Output** A subset  $V'$  of the vertices, so that every edge in  $E$  touches some vertex in  $V'$ .
- **Goal** Make  $|V'|$  as small as possible.



- Finding the minimum vertex cover is NP-complete.
- We'll see a simple 2 approximation for this problem.



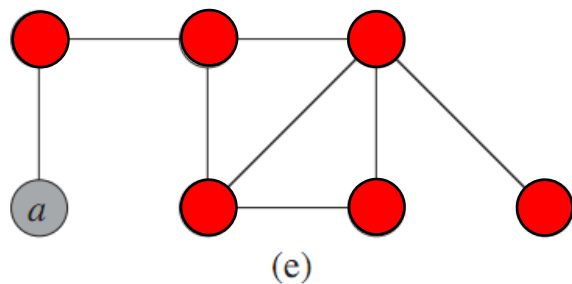
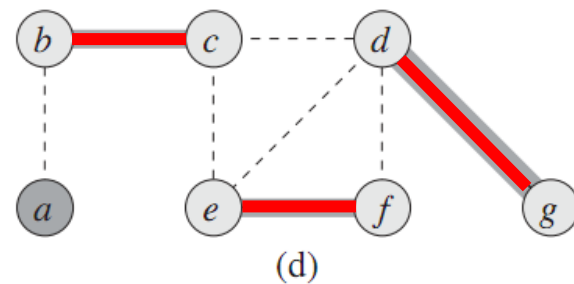
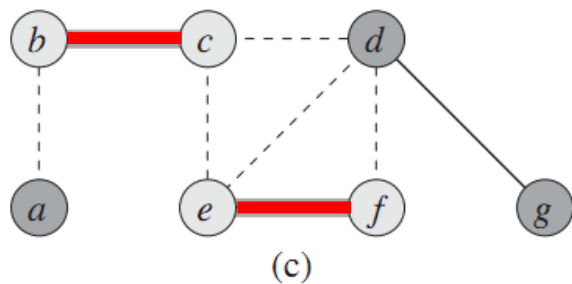
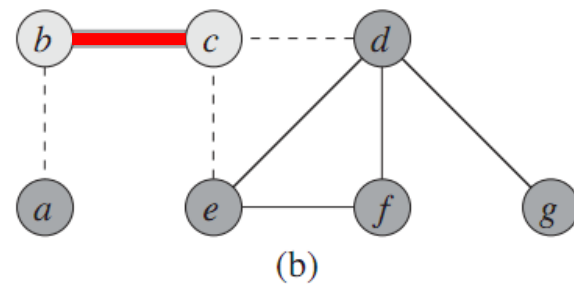
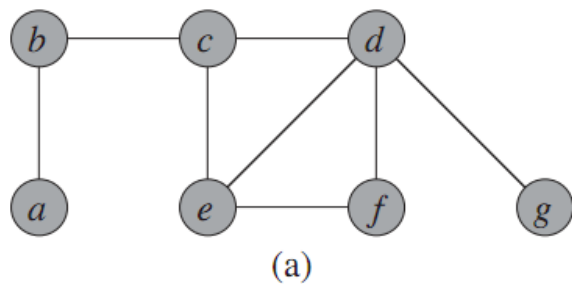
# A Vertex Cover Algorithm

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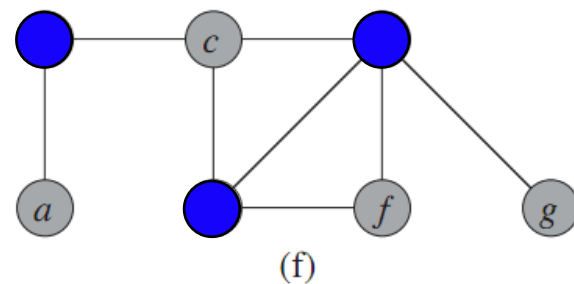
- Initially, let  $D$  be all the edges in the graph, and  $C$  be the empty set.
  - $C$  is our eventual vertex cover.
- Repeat as long as there are edge left in  $D$ .
  - Take any edge  $(u,v)$  in  $D$ .
  - Add  $\{u,v\}$  to  $C$ .
  - Remove all the edges adjacent to  $u$  or  $v$  from  $D$ .
- Output  $C$  as the vertex cover.



# Example



Algorithm's vertex cover



Optimal vertex cover



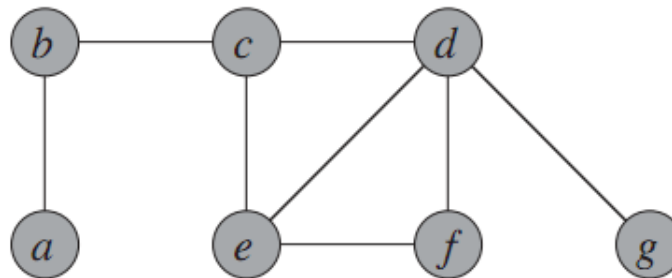
# Proof of Correctness

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- The output is certainly a vertex cover.
  - In each iteration, we only take out edges that get covered.
  - We keep adding vertices till all edges are covered.
- Now, we show it's a 2 approximation.
- Let  $C^*$  be an optimal vertex cover.
- Let  $A$  be the set of edges the algorithm picked.

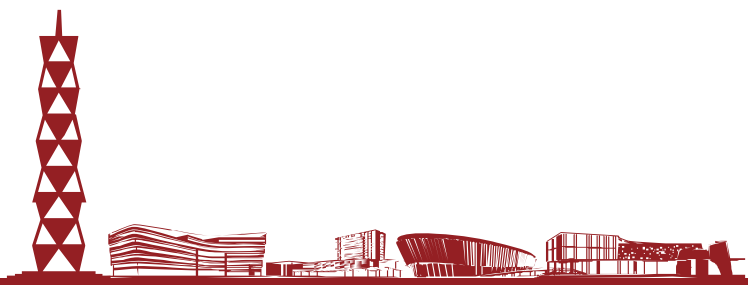
# Proof of Correctness

- None of the edges in  $A$  touch each other.
  - Each time we pick an edge, we remove all adjacent edges.
- So each vertex in  $C^*$  covers at most one edge in  $A$ .
  - The edges covered by a vertex all touch each other.
- Every edge in  $A$  is covered by a vertex in  $C^*$ .
  - Because  $C^*$  is a vertex cover.
- So  $|C^*| \geq |A|$ .
- The number of vertices the algorithm uses is  $2|A|$ .
  - If algorithm picks edge  $(u,v)$ , it uses  $\{u,v\}$  in the cover.
- So  $(\# \text{ vertices algorithm uses}) / (\# \text{ vertices in opt cover}) = 2|A| / |C^*| \leq 2|A| / |A| = 2$ .





# The Pricing Method: Vertex Cover





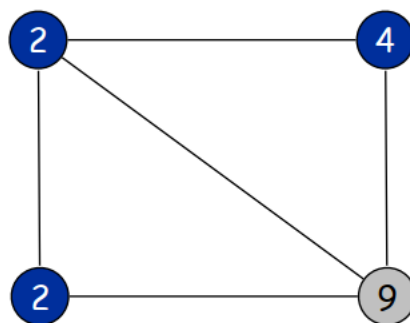


# Weighted Vertex Cover

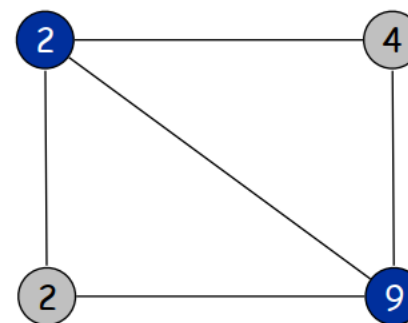


**Weighted vertex cover.** Given a graph  $G$  with vertex weights, find a vertex cover of minimum weight.

It's a special case of the set cover problem, so the  $H(d^*)$  approximation ratio can be achieved by the greedy algorithm, where  $d^* = \max \text{ degree}$



$$\text{weight} = 2 + 2 + 4 = 8$$



$$\text{weight} = 2 + 9 = 11$$





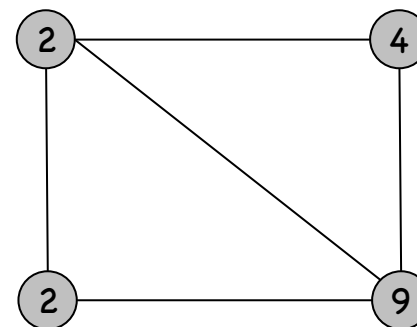
# Weighted Vertex Cover



**Pricing method.** Each edge must be covered by some vertex  $i$ . Edge  $e$  pays price  $p_e \geq 0$  to use vertex  $i$ .

**Fairness.** Edges incident to vertex  $i$  should pay  $\leq w_i$  in total.

for each vertex  $i$ : 
$$\sum_{e=(i,j)} p_e \leq w_i$$



**Claim.** For any vertex cover  $S$  and any fair prices  $p_e$ :  $\sum_e p_e \leq w(S)$ .

**Proof.** 
$$\sum_{e \in E} p_e \leq \sum_{i \in S} \sum_{e=(i,j)} p_e \leq \sum_{i \in S} w_i = w(S) \quad \blacksquare$$

each edge  $e$  covered by  
at least one node in  $S$

sum fairness inequalities  
for each node in  $S$





# Pricing Method



Pricing method. Set prices and find vertex cover simultaneously.

```
Weighted-Vertex-Cover-Approx(G, w) {  
  foreach e in E  
     $p_e = 0$   
  
  while ( $\exists$  edge i-j such that neither i nor j are tight)  
    select such an edge e  
    increase  $p_e$  without violating fairness  
}  
  
S  $\leftarrow$  set of all tight nodes  
return S  
}
```

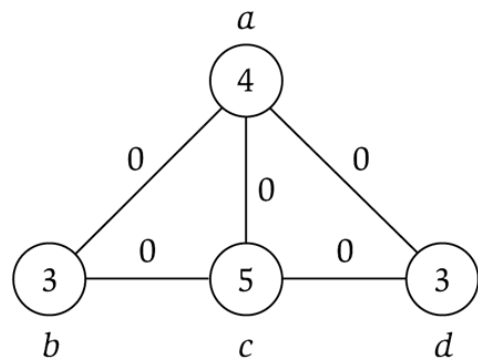
$$\sum_{e=(i,j)} p_e = w_i$$

$\downarrow$

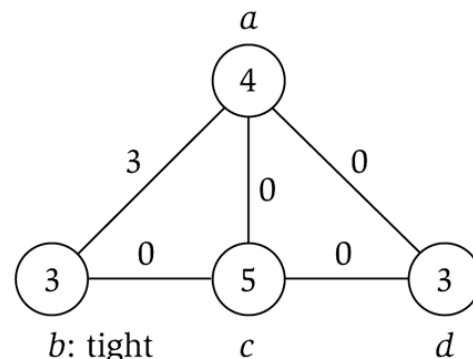




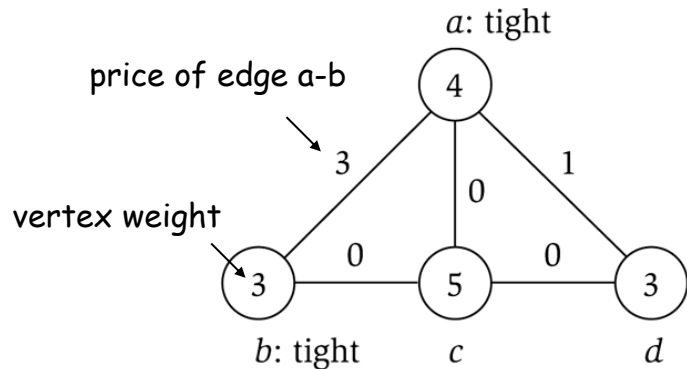
# Pricing Method: Example



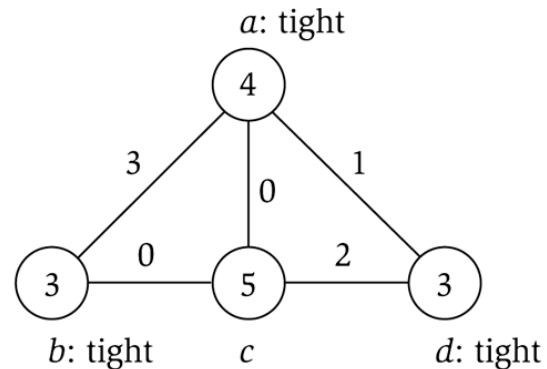
(a)



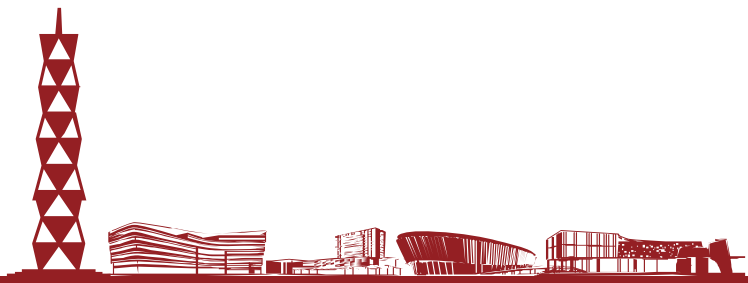
(b)



(c)



(d)





**Theorem.** Pricing method is a 2-approximation.

Pf.

- Algorithm terminates since at least one new node becomes tight after each iteration of while loop.
- Let  $S$  = set of all tight nodes upon termination of algorithm.
- $S$  is a vertex cover: if some edge  $i-j$  is uncovered, then neither  $i$  nor  $j$  is tight. But then while loop would not terminate.
- Let  $S^*$  be optimal vertex cover. We show  $w(S) \leq 2w(S^*)$ .

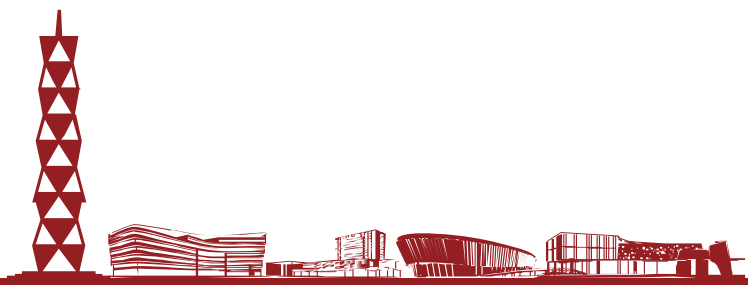
$$w(S) = \sum_{i \in S} w_i = \sum_{i \in S} \sum_{e=(i,j)} p_e \leq \sum_{i \in V} \sum_{e=(i,j)} p_e = 2 \sum_{e \in E} p_e \leq 2w(S^*). \quad \blacksquare$$

all nodes in  $S$  are tight
 $S \subseteq V$ ,  
prices  $\geq 0$ 
each edge counted twice
fairness lemma





# LP Rounding: Vertex Cover

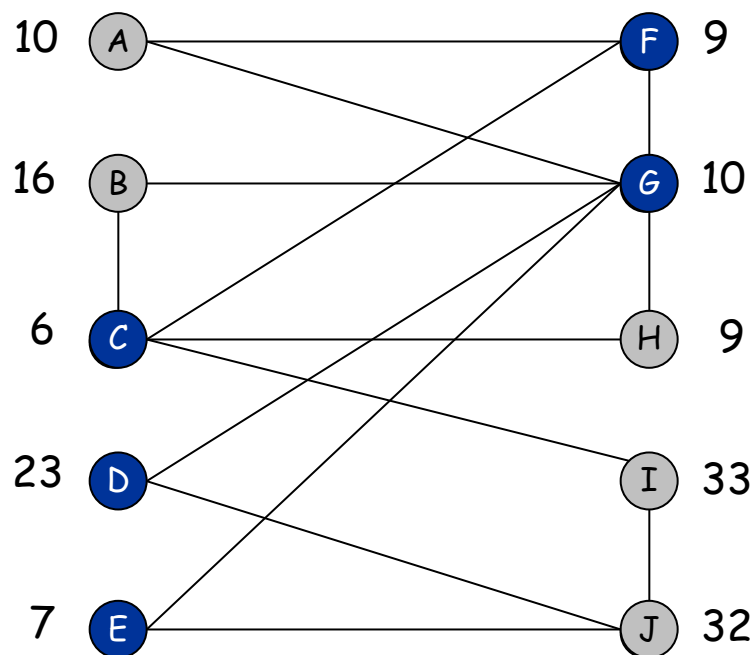




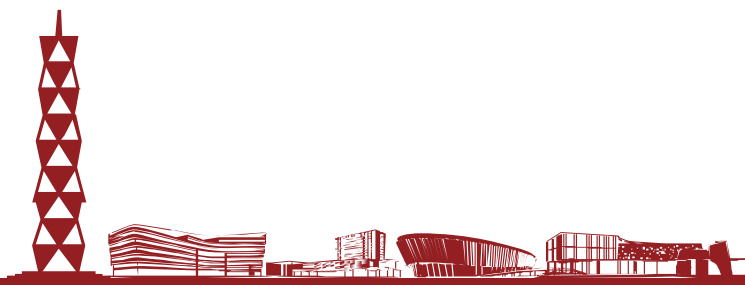
# Weighted Vertex Cover



**Weighted vertex cover.** Given an undirected graph  $G = (V, E)$  with vertex weights  $w_i \geq 0$ , find a minimum weight subset of nodes  $S$  such that every edge is incident to at least one vertex in  $S$ .



total weight = 55





# Weighted Vertex Cover: Integer Linear Programming Formulation

**Weighted vertex cover.** Given an undirected graph  $G = (V, E)$  with vertex weights  $w_i \geq 0$ , find a minimum weight subset of nodes  $S$  such that every edge is incident to at least one vertex in  $S$ .

## Integer programming formulation.

- Model inclusion of each vertex  $i$  using a 0/1 variable  $x_i$ .

$$x_i = \begin{cases} 0 & \text{if vertex } i \text{ is not in vertex cover} \\ 1 & \text{if vertex } i \text{ is in vertex cover} \end{cases}$$

- Objective function: minimize  $\sum_i w_i x_i$ .
- Must take either  $i$  or  $j$ :  $x_i + x_j \geq 1$ .

$$\begin{aligned} (ILP) \quad & \min \sum_{i \in V} w_i x_i \\ \text{s. t.} \quad & x_i + x_j \geq 1 \quad (i, j) \in E \\ & x_i \in \{0, 1\} \quad i \in V \end{aligned}$$







# Integer Programming



**INTEGER-PROGRAMMING.** Given integers  $a_{ij}$  and  $b_i$ , find integers  $x_j$  that satisfy:

$$\begin{array}{ll}\min & c^t x \\ \text{s. t.} & Ax \geq b \\ & x \geq 0 \\ & x \text{ integral}\end{array}$$

$$\begin{array}{lll}\sum_{j=1}^n a_{ij} x_j & \geq & b_i \quad 1 \leq i \leq m \\ x_j & \geq & 0 \quad 1 \leq j \leq n \\ x_j & \text{integral} & 1 \leq j \leq n\end{array}$$

**Observation.** Vertex cover formulation proves that integer programming is NP-hard search problem.

↑  
even if all coefficients are 0/1 and  
at most two variables per inequality





# Integer Programming



**Linear programming.** Max/min linear objective function subject to linear inequalities.

- Input: integers  $c_j$ ,  $b_i$ ,  $a_{ij}$ .
- Output: **real numbers**  $x_j$ .

$$\begin{array}{ll} \text{(LP)} & \min \quad c^t x \\ & \text{s. t.} \quad Ax \geq b \\ & \quad \quad x \geq 0 \end{array}$$

$$\begin{array}{ll} \text{(LP)} & \min \quad \sum_{j=1}^n c_j x_j \\ & \text{s. t.} \quad \sum_{j=1}^n a_{ij} x_j \geq b_i \quad 1 \leq i \leq m \\ & \quad \quad x_j \geq 0 \quad 1 \leq j \leq n \end{array}$$

**Linear.** No  $x^2$ ,  $xy$ ,  $\arccos(x)$ ,  $x(1-x)$ , etc.

**Simplex algorithm.** [Dantzig 1947] Can solve LP in practice.

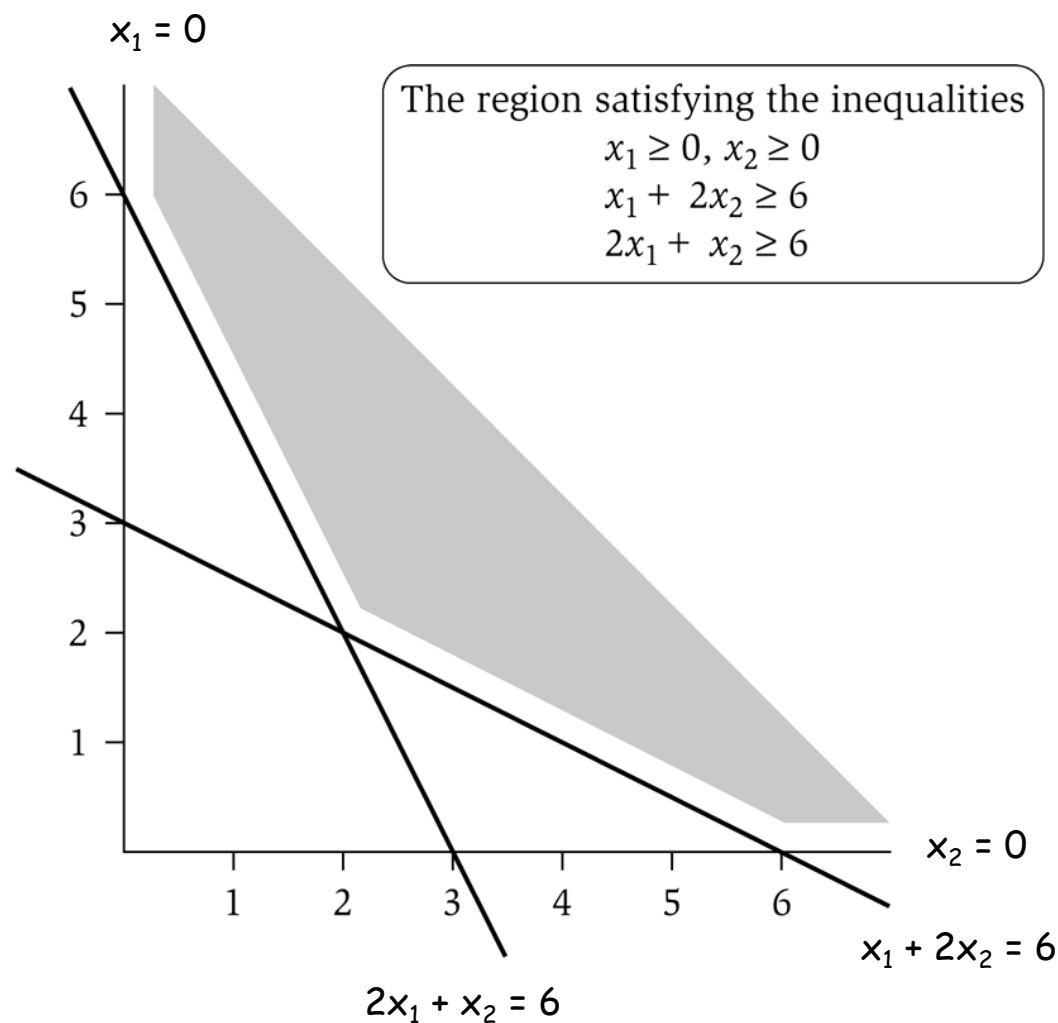
**Ellipsoid algorithm.** [Khachian 1979] Can solve LP in poly-time.



# LP Feasible Region



LP geometry in 2D.





# Weighted Vertex Cover: LP Relaxation



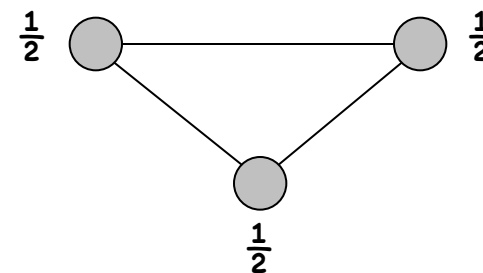
Weighted vertex cover. Linear programming formulation.

$$\begin{aligned} (LP) \quad & \min \sum_{i \in V} w_i x_i \\ \text{s. t.} \quad & x_i + x_j \geq 1 \quad (i, j) \in E \\ & x_i \geq 0 \quad i \in V \end{aligned}$$

Observation. Optimal value of (LP) is  $\leq$  optimal value of (ILP).

Pf. LP has fewer constraints.

Note. LP is not equivalent to vertex cover.



Q. How can solving LP help us find a small vertex cover?

A. Solve LP and **round** fractional values.





# Weighted Vertex Cover



**Theorem.** If  $x^*$  is optimal solution to (LP), then  $S = \{i \in V : x_i^* \geq \frac{1}{2}\}$  is a vertex cover whose weight is at most twice the min possible weight.

**Pf.** [S is a vertex cover]

- Consider an edge  $(i, j) \in E$ .
- Since  $x_i^* + x_j^* \geq 1$ , either  $x_i^* \geq \frac{1}{2}$  or  $x_j^* \geq \frac{1}{2} \Rightarrow (i, j)$  covered.

**Pf.** [S has desired cost]

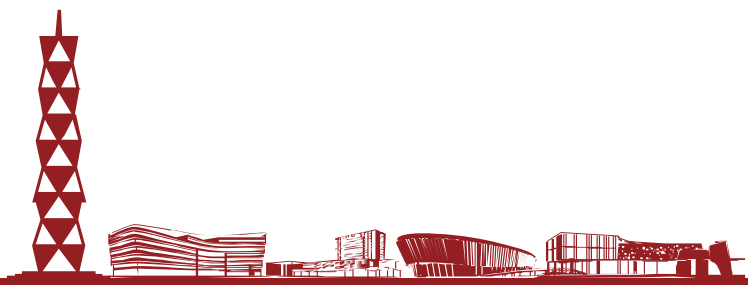
- Let  $S^*$  be optimal vertex cover. Then

$$\begin{array}{ccccc} \sum_{i \in S^*} w_i & \geq & \sum_{i \in S} w_i x_i^* & \geq & \frac{1}{2} \sum_{i \in S} w_i \\ & \uparrow & & \uparrow & \\ & \text{LP is a relaxation} & & x_i^* \geq \frac{1}{2} & \end{array}$$





# K-Center Problem

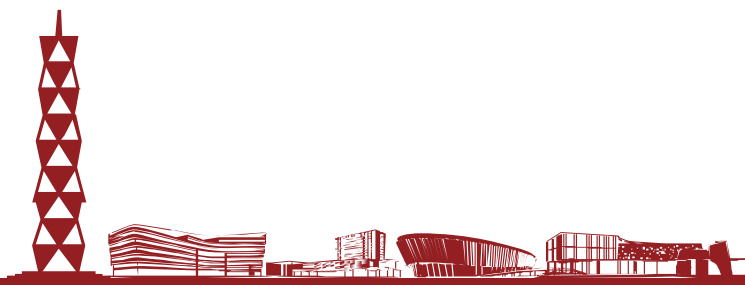
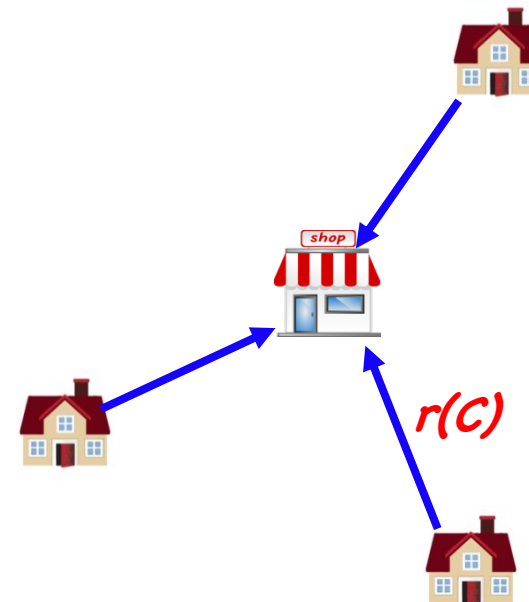
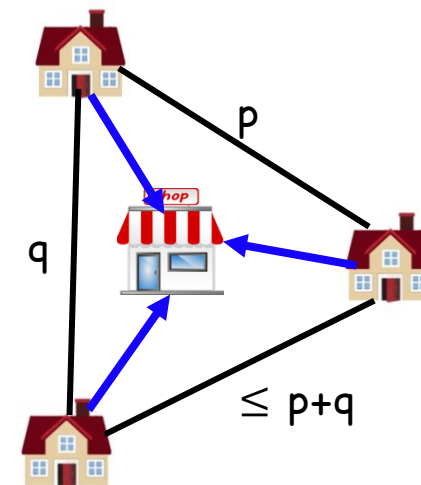




# K-Center Problem



- Given a city with  $n$  sites, we want to build  $k$  centers to serve them.
  - Let  $S$  be set of sites,  $C$  be set of centers.
- Each site uses the center closest to it.
  - Distance of site  $s$  from the nearest center is  $d(s, C) = \min_{c \in C} d(s, c)$ .
- Goal is to make sure no site is too far from its center.
  - We want to minimize the max distance that any site is from its closest center.
    - Minimize  $r(C) = \max_{s \in S} \min_{c \in C} d(s, c)$ .
  - $C$  is called a cover of  $S$ , and  $r$  is called  $C$ 's radius.
  - Where should we put centers to minimize the radius?
- Assume distances satisfy triangle inequality.

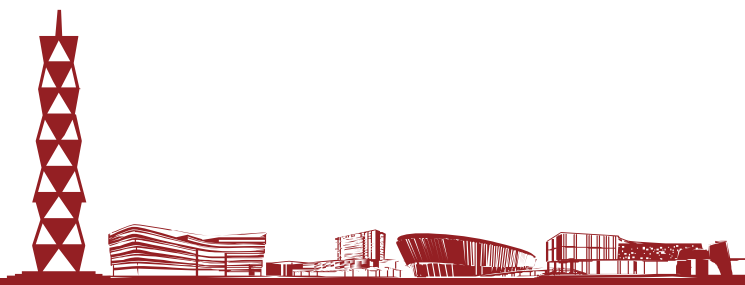
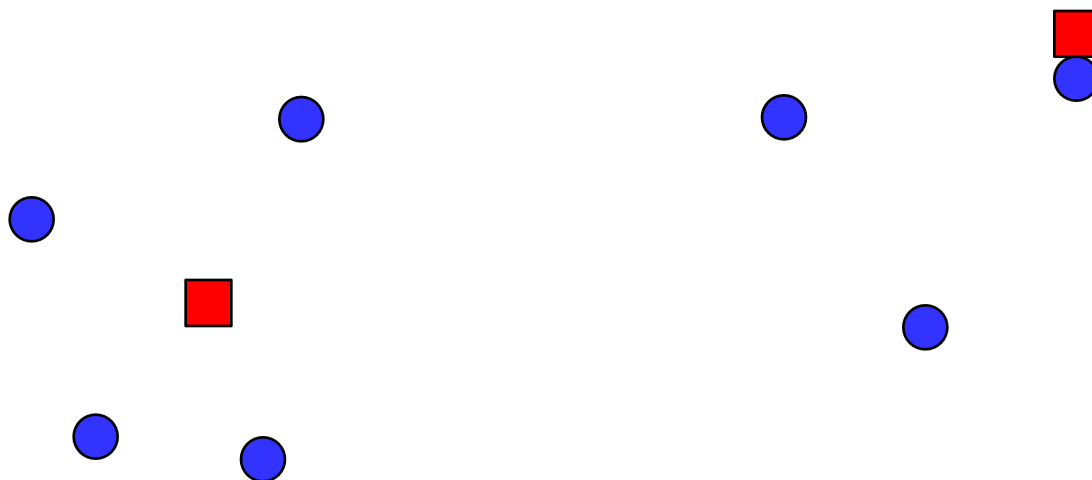




# Gonzalez's Algorithm



- k-Center is NP-complete.
- We'll give a simple 2-approximation for it.
- **Idea** Say there's one site that's farthest away from all centers. Then it makes the radius large. We'll put a center at that site, to reduce the radius.
  - Note we allow putting center at same location as site.



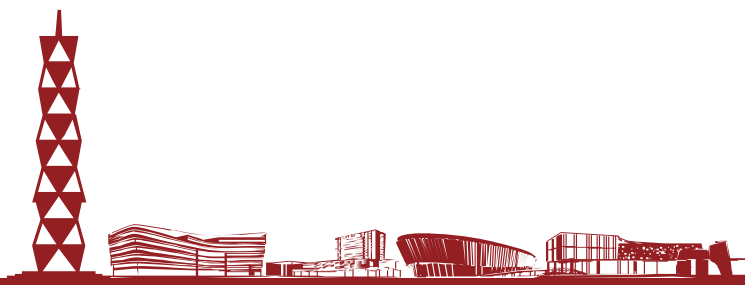




# Gonzalez's Algorithm



- $C$  is set of centers, initially empty.
- repeat  $k$  times
  - choose site  $s$  with maximum  $d(s, C)$
  - add  $s$  to  $C$
- return  $C$
- **Note** The centers are located at the sites.

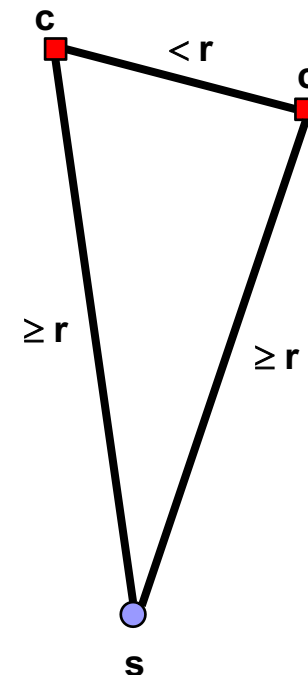




# Proof of Correctness



- Let  $C$  be the algorithm's output, and  $r$  be  $C$ 's radius.
  - $r = \max_{s \in S} \min_{c \in C} d(s, c)$
- **Lemma 1** For any  $c, c' \in C, d(c, c') \geq r$ .
- **Proof** Since  $r$  is the radius, there exists a point  $s \in S$  at distance  $\geq r$  from all the centers.
  - If there's no such  $s$ , then  $C$ 's radius  $< r$ .
  - So  $s$  is distance  $\geq r$  from  $c$  and  $c'$ .
  - Suppose WLOG  $c'$  is added to  $C$  after  $c$ .
  - If  $d(c, c') < r$ , then algorithm would add  $s$  to  $C$  instead of  $c'$ , since  $s$  is farther.





# Proof of Correctness



- **Cor** There exist  $k+1$  points mutually at distance  $\geq r$  from each other.
  - By the lemma, the  $k$  centers are mutually  $\geq r$  distance apart.
  - Also, there's an  $s \in S$  at distance  $\geq r$  from all the centers.
    - Otherwise,  $C$ 's covering radius is  $< r$ .
    - So, the  $k$  centers plus  $s$  are the  $k+1$  points.
- Call these  $k+1$  points  $D$ .

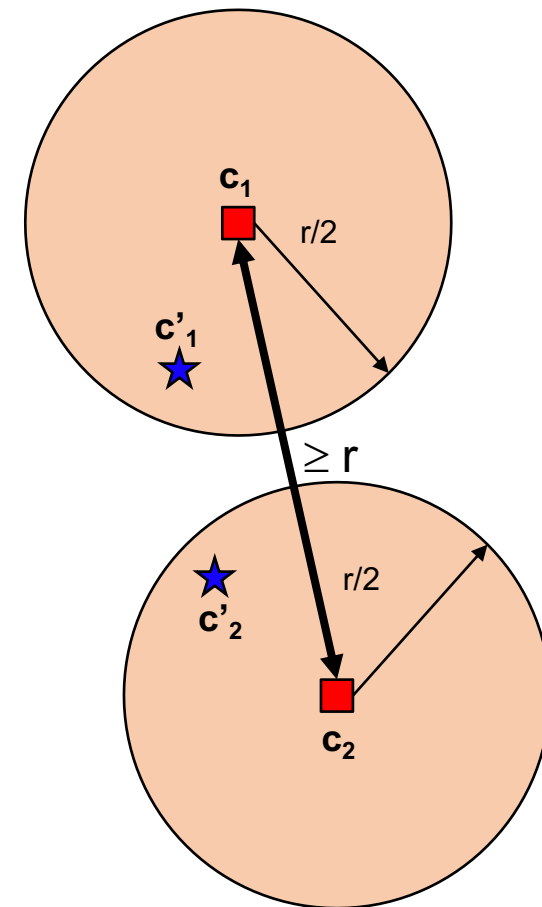




# Proof of Correctness



- Let  $C^*$  be an optimal cover with radius  $r^*$ .
- **Lemma 2** Suppose  $r > 2r^*$ . Then for every  $c \in D$ , there exists a corresponding  $c' \in C^*$ . Furthermore, all these  $c'$  are unique.
- **Proof** Draw a circle of radius  $r/2$  around each  $c \in D$ .
  - There must be a  $c' \in C^*$  inside the circle, because
    - $c$  is at most distance  $r^*$  away from its nearest center, since  $r^*$  is  $C^*$ 's radius.
    - $r/2 > r^*$ .
  - Given  $c_1, c_2 \in D$ , let  $c'_1, c'_2 \in C^*$  be inside  $c_1$  and  $c_2$ 's circle, resp.
  - $c_1$  and  $c_2$ 's circles don't touch, because  $d(c_1, c_2) \geq r$ .
  - So  $c'_1 \neq c'_2$

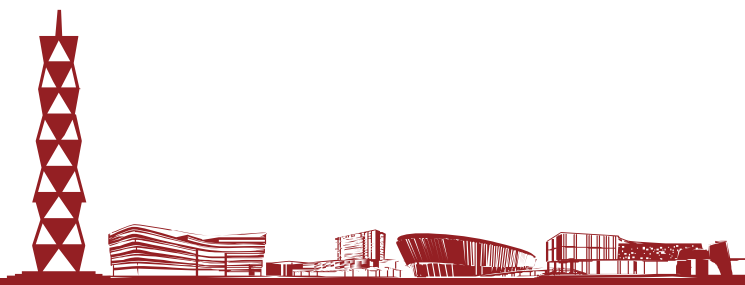




# Proof of Correctness



- **Thm** Let  $C$  be the output of Gonzalez's algorithm and let  $C^*$  be an optimal  $k$ -center. Then  $r(C) \leq 2r(C^*)$ .
- **Proof** By Lemma 2, if  $r(C) > 2r(C^*)$ , then for every  $c \in D$ , there is a unique  $c' \in C^*$ .
  - But there are  $k+1$  points in  $D$ , by the corollary.
  - So, there are  $k+1$  points in  $C^*$ . This is a contradiction because  $C^*$  is a  $k$ -center.





# Next

## Final Review

