

# Lecture 1 Introduction

## CS101 Algorithms and Data Structures

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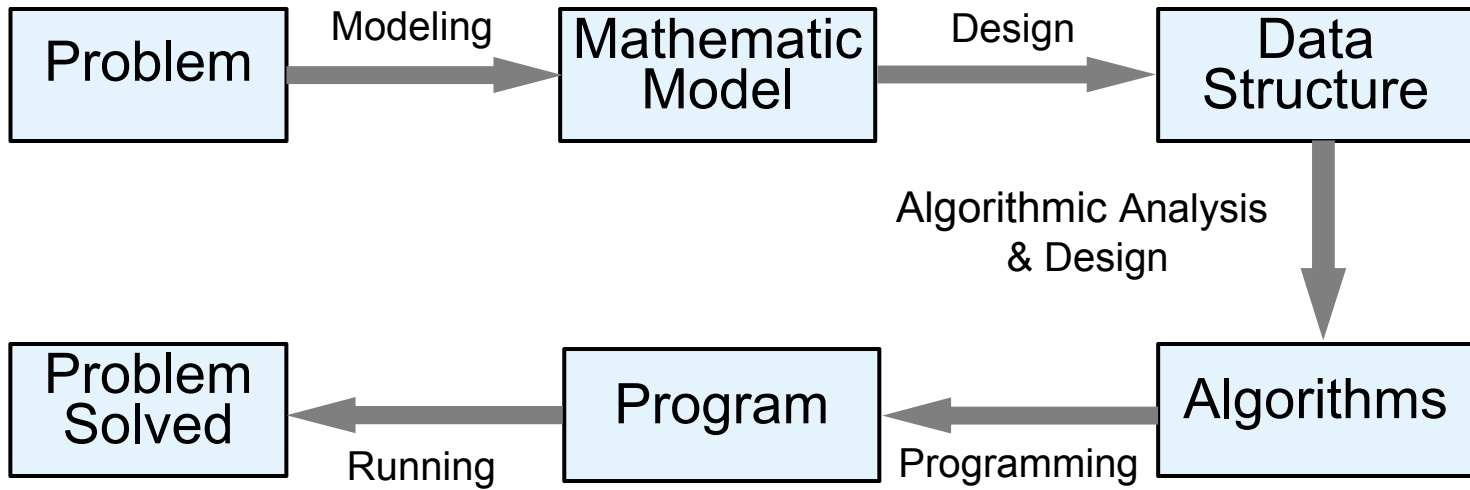
# Outline

- What will we learn from this course?
- How we manage this course?

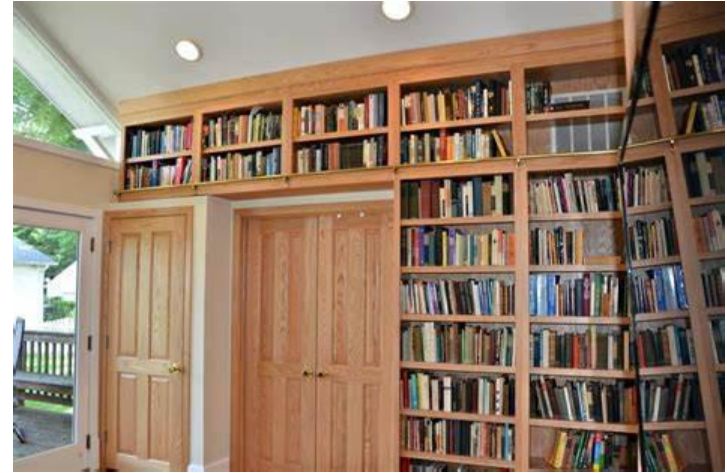
# Definition of Data Structure

- A data structure is a scheme for organizing data in the memory of a computer.
- The way in which the data is organized affects the performance of an algorithm for different tasks.
- 数据结构 (data structure) 是计算机中存储、组织数据的方式。通常情况下，精心选择的数据结构可以带来最优效率的算法 (algorithm) 。

# How to combat problems via a computer



# Ex1 How to arrange books on the bookshelf?



## **Ex1** How to arrange books on the bookshelf?

- The following two operations are essential for efficiently arranging your books:
  - **Operation 1:** how to insert new books?
  - **Operation 2:** how to find/access an existing book?

# **Ex1** How to arrange books on the bookshelf?

- **Method 1:** randomly insert new books.
- **Operation 1:** how to insert new books?

Insert the book wherever there is an available space.

Nice and easy!

- **Operation 2:** how to find/access an existing book?

It depends ...

## **Ex1** How to arrange books on the bookshelf?

- **Method 2:** insert new books according to the alphabets order of the first letter.

- **Operation 1:** how to insert new books?

EX: we bought a new book “Algorithm”.

- **Operation 2:** how to find/access an existing book?

EX: Binary search!



## **Ex1** How to arrange books on the bookshelf?

- **Discussion 1:** is **Method 2** absolutely better/more efficient than **Method 1**?

**Method 1:** randomly insert new books.

**Method 2:** insert new books according to the alphabets order of the first letter.

- **Discussion 2:** how can we further improve **Method 2**?

# Ex1 How to arrange books on the bookshelf?

- **Method 3: cluster books according to different topics** (computer science, economics, agriculture, politics...), then insert new books according to the alphabets order of the first letter.

- **Operation 1:** how to insert new books?

EX: we bought a new book “Algorithm”.

- **Operation 2:** how to find/access an existing book?

EX: Binary search for topic first, then binary search for book title.

- **Discussion 3:** how much space we should preserve for each topic?

How many topics is an optimism option?

*The efficiency of a method/algorithm  
highly depends on the  
organization&amount of the data.*



## Ex2 How to implement a function PrintN?

- Implement a function named PrintN, when input a positive integer N, print all the positive integer from 1 to N.

```
void PrintN ( int N )  
{ int i;  
  for ( i=1; i<=N; i++ ) {  
    printf( "%d\n" , i);  
  }  
  return;  
}
```

Loop implementation

```
void PrintN ( int N )  
{ if (N);  
  PrintN( N-1);  
  printf( "%d\n" , N);  
}  
return;  
}
```

Recursive implementation

- Let N = 100, 1000, 10000, 100000, ... ..

## Ex2 How to implement a function PrintN?

- Implement a function named PrintN, when input a positive integer N, print all the positive integer from 1 to N.

```
#include <stdio.h>
void PrintN ( int N );
int main ()
{ int N;
  scanf ("%d", &N);
  PrintN(N);
  return 0;
}
```

```
10
1
2
3
4
5
6
7
8
9
10
Press any key to continue_
```

```
99977
99978
99979
99980
99981
99982
99983
99984
99985
99986
99987
99988
99989
99990
99991
99992
99993
99994
99995
99996
99997
99998
99999
100000
Press any key to continue_
```

Loop implementation

*The efficiency of a method/algorithm depends on the occupation of RAM.*



**Ex3** compute the summation for a polynomial at a fixed value x.

$$f(x) = a_0 + a_1x + a_2x^2 + \cdots + a_{n-1}x^{n-1} + a_nx^n$$

```
double fpoly1 ( int n, double a[ ], double x )
{ int i;
  double p = a[0];
  for (i = 1; i <= n; i++)
    p += (a[i] * pow( x, i) );
  return p;
}
```

$$f(x) = a_0 + x(a_1 + x(a_2 + \cdots x(a_{n-1} + x(a_n))\cdots))$$

```
double fpoly2 ( int n, double a[ ], double x )
{ int i;
  double p = a[n];
  for (i = n; i > 0; i-- )
    p = a[i-1] + x* p;
  return p;
}
```

- clock(): capture consumed time for running a function. The unit of the captured time is **clock tick**, which depends on the CPU.
- CLOCKS\_PER\_SEC is a constant that presents the number of **clock ticks** per second.

```
#include <stdio.h>
#include <time.h>

clock_t start, stop;
/* Clock_t is the variable returned by function clock(). */
double duration;
/* Record the running time for a function. Time unit is second. */
int main ()
{
    start = clock (); /* Start timing. */
    Myfunction();
    stop = clock (); /* Stop timing. */
    duration = ((double) (stop - start))/CLOCKS_PER_SEC;

    return 0;
}
```



**Ex3** compute the summation for a polynomial  $f(x) = \sum_{i=0}^9 i \cdot x^i$  at a fixed value  $x = 1.1$ ,  $f(1.1)$ .

```
double fpoly1 ( int n, double a[ ], double x )
{ int i;
  double p = a[0];
  for (i = 1; i <=0; i++)
    p += (a[i] * pow( x, i) );
  return p;
}
```

```
double fpoly2 ( int n, double a[ ], double x )
{ int i;
  double p = a[n];
  for (i = n; i > 0; i-- )
    p = a[i-1] + x* p;
  return p;
}
```

$$f(x) = \sum_{i=0}^9 i \cdot x^i$$

```
#include <stdio.h> #include <time.h> #include
<math.h>
clock_t start, stop;
double duration;
#define MAXN 10 /*maximum order of the
polynomial */
double fpoly1 ( int n, double a[ ], double x )
double fpoly2 ( int n, double a[ ], double x )
int main ()
{ int i;
  double a[MAXN]; /*save the coefficient of the
polynomial*/
  start = clock ();
  for ( i=0; i<MAXN; i++) a[i] = (double) i;
  fpoly1(MAXN-1, a, 1.1);
  stop = clock ();
  duration = ((double) (stop -
start))/CLOCKS_PER_SEC;
  printf ("ticks1 = %f\n", (double) (stop - start));
  printf ("duration1 = %6.2e\n", duration);
  start = clock ();
  fpoly2(MAXN-1, a, 1.1);
  stop = clock ();
  duration = ((double) (stop - start))/CLOCKS_PER_SEC;
  printf ("ticks1 = %f", (double) (stop - start));
  printf ("duration1 = %6.2e\n", duration);
  return 0;
}
```

```
ticks1 = 0.000000
duration1 = 0.00e+000
ticks2 = 0.000000
duration2 = 0.00e+000
Press any key to continue
```

```

#include <stdio.h>
#include <time.h>
#include <math.h>

.....
#define MAXK 1e7
/*maximum repeat time of the test function */

.....
int main ()
{
    .....

    start = clock ();
    for ( i=0; i<MAXK; i++)
        fpoly1(MAXN-1, a, 1.1); /* repeat the test function to get enough clock ticks*/

    stop = clock ();
    duration = ((double) (stop - start))/CLOCKS_PER_SEC/MAXK;
    /* compute running time for single function duration */
    printf ("ticks1 = %f\n", (double) (stop - start));
    printf ("duration1 = %6.2e\n", duration);

    .....

    return 0;
}

```

```

ticks1 = 10093.000000
duration1 = 1.01e-006
ticks2 = 1375.000000
duration2 = 1.38e-007
Press any key to continue

```

*The efficiency of a method/algorithm depends on the design of the algorithm.*



# Definition of Data Structure

- ***Data structure***, way in which data are stored for efficient search and retrieval.
- Different data structures are suited for different ***operations***.
- ***Algorithm*** is a procedure for solving a mathematical problem in a finite number of steps that frequently involves repetition of an operation.

# Abstract Data Type (ADT 抽象数据类型)

- Abstract: The method that we describe the data type, does not depend on the implementations.
  - Not related to the computer that stores the data.
  - Not related to the physical structure that stores the data.
  - Not related to the algorithm and language that implements the operation.
- We only care about “*how to design*” the objective data sets and related operations, not how to “*implement*” a data structure.

## EX4 Abstract data type of a *matrix*

Array?

Structural array? Orthogonal list?

- **Data type:** *Matrix*
- **Objects:** a  $M \times N$  matrix  $A_{M \times N} = (a_{ij})$  ( $i = 1, \dots, M; j = 1, \dots, N$ ) is composed by a number of  $M \times N$  array of  $\langle a, i, j \rangle$ , where  $a$  present the value of the matrix element,  $i$  present the no. of row, and  $j$  present the no. of column.
- **Operations:** for an arbitrary matrix  $A, B, C \in Matrix$ , and integers  $i, j, M, N$
- *Matrix create* (*int*  $M$ , *int*  $N$ ): return an empty matrix of  $M \times N$ ;
- *int* *GetMaxRow*( *Matrix*  $A$  ): return the number of rows;
- *int* *GetMaxCol*( *Matrix*  $A$  ): return the number of columns;
- ~~*ElementType*~~ *GetEntry*( *Matrix*  $A$ , *int*  $i$ , *int*  $j$ ): return the element of matrix  $A$  in row  $i$ , column  $j$ ;
- *Matrix Add* ( *Matrix*  $A$ , *Matrix*  $B$  ): if the dimension of matrix  $A$  and  $B$  are the same, return matrix  $C = A + B$ , otherwise error;
- *Matrix multiply* ( *Matrix*  $A$ , *Matrix*  $B$  ): if the number of columns of matrix  $A$  is equals to the number of rows of matrix  $B$ , return matrix  $C = AB$ , otherwise return error;
- .....

The elements are added in order of rows or columns? C,C++,Python,...?

# Outline

- What will we learn from this course?
- How we manage this course?



# Course info

- **Instructors**

- ❑ Yuyao Zhang [zhangyy8@shanghaitech.edu.cn](mailto:zhangyy8@shanghaitech.edu.cn) SIST 3-420
- ❑ Dengji Zhao [zhaodj@shanghaitech.edu.cn](mailto:zhaodj@shanghaitech.edu.cn) SIST 1A-304E
- ❑ Xin Liu [liuxin7@shanghaitech.edu.cn](mailto:liuxin7@shanghaitech.edu.cn) SIST 2-302H
- ❑ Hao Geng [genghao@shanghaitech.edu.cn](mailto:genghao@shanghaitech.edu.cn) SIST 3-332

# Course info

- **TAs (13 TAs )**
  - ❑ Piazza organization group: Yucen Peng, Zhongxiao Cong
  - ❑ Quiz and homework group: Yihang Lian, Huizhe Su, Ke Gong
  - ❑ Program assignment group: Cheng Peng
  - ❑ Video group: Miao Li, Haoxin Liu, Yuhan Cao
  - ❑ Blog group: Jintian Hu, Wenchao Li, Kai He, Ye Tian

# Course info

- **Classes**

- Mon 8:15-9:55; Wed 8:15-9:55

- **Review/Quizzes/Discussions**

- Format: 5 groups, about 45 students each (Week 3-16 Weekly)

- Length: 60mins each time

- Location: TBA

- Time: TBA

- Instructors: all TAs

- Contents: quizzes, and homework solutions

# Course info

- Piazza Course Forum

- ❑ [piazza.com/shanghaitech.edu.cn/fall2022/cs101](https://piazza.com/shanghaitech.edu.cn/fall2022/cs101)

- You are encouraged to ask questions and participate in discussions

- ❑ Course schedule, slides, office hour etc. are published on the forum

- ❑ Invitation has been sent to your ShanghaiTech email (haven't received it?)

- Office Hours

- ❑ Location and Time: see course forum

- Homework

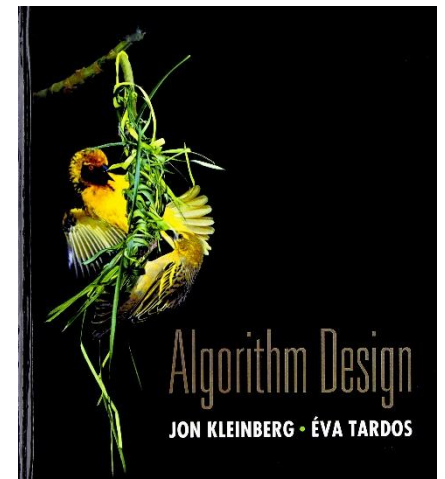
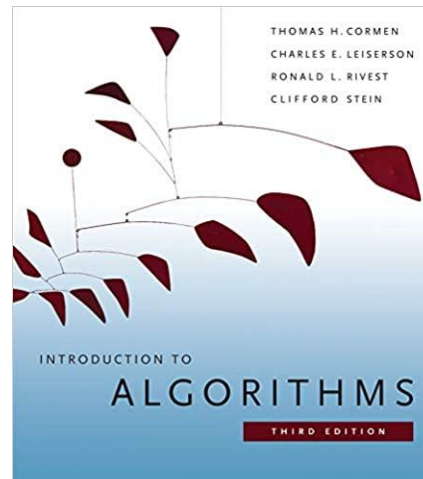
- ❑ Submit to gradescope : see course forum

# Course info

- Reference Book

❑ **Introduction to Algorithms** (3rd ed.). Cormen, Thomas H., Leiserson, Charles E., Rivest, Ronald L., Stein, Clifford. MIT Press. ISBN, 9780262033848.

❑ **Algorithm design.** Jon Kleinberg, Éva Tardos. Pearson. ISBN, 978-0321295354.



# Course Schedule

Week	Date	Content
1	9/05 Mon	Introduction
	9/07 Wed	Elementary Data Structures: Array and Lists
2	9/12 Mon	Mid-Autumn Festival
	9/14 Wed	Stack and Queue
3	9/19 Mon	Big O/Theta/Omega
	9/21 Wed	Hash Table
4	9/26 Mon	Sorting: Insertion, Bubble
	9/28 Wed	Sorting: Merge
5	10/03 10/05 Mon Wed	National Day
	10/08 Sat	Sorting: Quick
6	10/10 Mon	Divide and Conquer
	10/12 Wed	Trees: Introduction, DFS, BFS
7	10/17 Mon	Binary Trees
	10/19 Wed	Heap and Heap Sort
8	10/24 Mon	Binary Search Trees + Huffman Coding
	10/26 Wed	Balanced Binary Search Trees: AVL

# Course Schedule

Week	Date	Content
9	10/31 Mon	Red-Black Tree + Disjoint sets 1
	11/02 Wed	Middle Term Exam
10	11/07 Mon	Disjoint sets 2+ Graphs: Introduction, Traversal
	11/09 Wed	Graphs: Traversal
11	11/14 Mon	Minimum Spanning Trees
	11/16 Wed	Greedy
12	11/21 Mon	Topological Sorts
	11/23 Wed	Shortest Path Algorithm: Dijkstra
13	11/28 Mon	A*
	11/30 Wed	Floyd-Warshall Algorithm
14	12/05 Mon	Dynamic Programming
	12/07 Wed	Knapsack Problem
15	12/12 Mon	Reductions
	12/14 Wed	P+NP
16	12/19 Mon	NPC
	12/21 Wed	Review

# Course Policy

- Plagiarism

- ❑ All assignments must be done individually

- You **cannot** copy directly from any other source
    - You **cannot** share solutions with any other students
    - Plagiarism detection software will be used on all the assignments

- ❑ Ways of collaboration

- You may discuss together or help another student such as debugging his or her code; however, you **cannot** dictate or give the exact solutions.



# Course Policy

- Plagiarism

- Punishment

- When one student copied from another student, **both students are responsible.**
    - **Zero point on the assignment or exam in question.**
    - Disqualified from receiving any awards recommended by the school and from any competitive studying opportunities (e.g., international exchange).
    - **Repeated violation will result in a F** grade for this course as well as further punishment at the school/university level.

# Plagiarism: Example 1

- Alex and Bob were roommates.
- Bob let Alex use his laptop to complete an assignment.
- Alex copied Bob's solution for the assignment.

## Plagiarism: Example 2

- Leslie asked if Morgan could send her his code so that she could look at it (promising, of course, not to copy it).
- Morgan sent the code.
- Leslie copied it and handed it in.

## Plagiarism: Example 3

- Garry and Harry worked together on a single source file initially and then worked separately to finish off the details.
- The result was still noticeably similar with finger-print-like characteristics which left no doubt that some of the code had a common source.

## Plagiarism: Example 4

- Jordan uploaded the projects to GITHUB.com without setting appropriate permissions. Kasey found this site, downloaded the projects and submitted them. Both are guilty.
  - ❑ This applies to any public forum, news group, etc., not just gitub.com...

# Real Plagiarism Examples

- Copied a piece of the codes from others or online repositories.
- Copied someone's solution from his/her USB drive.
- Copied a piece of others' codes and change all the variable/function names.
- Unusual solutions appeared in different submissions.

# Course Policy

- **Grading**

- ❑ Exams (45%): middle term: 20%; final: 25%
- ❑ Weekly Homework (20%): non-programming questions
- ❑ Programming Tasks (20%): 4 programming tasks (each lasts 3 weeks)
- ❑ In-Class Quizzes (15%): in lectures and discussions

# Take Home Message

- Algorithms and Data Structure is ~~one of~~ the most important course during your undergraduate.
- You will sometimes feel it very tough, but please always keep on going, we are here to help.