CS101 Algorithms and Data Structures

Quick Sort Textbook Ch 2.7

Outline

- Insertion sort
- Bubble sort
- Merge sort
- Quicksort

Outline

In this topic we will look at quicksort:

- The idea behind the algorithm
- The run time and worst-case scenario
- Strategy for avoiding the worst-case: median-of-three
- Implementing quicksort in place
- Examples

Strategy

We have seen two $\Theta(n \ln(n))$ sorting algorithms:

- Heap sort which allows in-place sorting, and
- Merge sort which is faster but requires more memory

We will now look at a recursive algorithm which may be done *almost* in place but which is faster than heap sort

- Use an object in the array (a pivot) to divide the two
- Average case: $\Theta(n \ln(n))$ time and $\Theta(\ln(n))$ memory
- Worst case: $\Theta(n^2)$ time and $\Theta(n)$ memory

We will look at strategies for avoiding the worst case

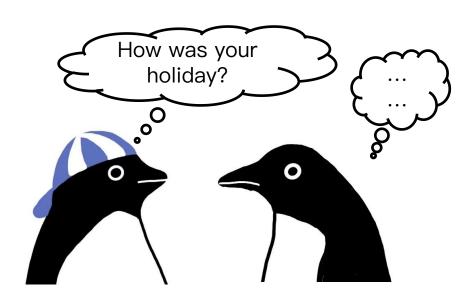
Quicksort

Merge sort splits the array into two sub-lists and sorts them

 It splits the larger problem into two sub-problems based on *location* in the array

Consider the following alternative:

 Chose an object in the array and partition the remaining objects into two groups relative to the chosen entry



Quicksort

For example, given



we can select the middle entry, 44, and sort the remaining entries into two groups, those less than 44 and those greater than 44:

38	10	26	12	43	3	44	80	95	84	66	79	87	96	81	
----	----	----	----	----	---	----	----	----	----	----	----	----	----	----	--

Notice that 44 is now in the correct location if the list was sorted

 Proceed by recursively applying the algorithm to the first six and last eight entries

Run-time analysis

Like merge sort, we can either:

- Sort the sub-lists using quicksort
- If the size of the sub-list is sufficiently small, apply insertion sort

In the best case, the list will be split into two approximately equal sub-lists, and thus, the run time could be very similar to that of merge sort: $\Theta(n \ln(n))$

What happens if we don't get that lucky?

Worst-case scenario

Suppose we choose the middle element as our pivot and we try ordering a list:

Using 2, we partition into

We still have to sort a list of size n-1

The run time is $T(n) = T(n-1) + \Theta(n) = \Theta(n^2)$

- Thus, the run time performance drops from $n \ln(n)$ to n^2

Worst-case scenario

Our goal is to choose the median element in the list as our pivot:

80	38	95	84	66	10	79	2	26	87	96	12	43	81	3	
----	----	----	----	----	----	----	---	----	----	----	----	----	----	---	--

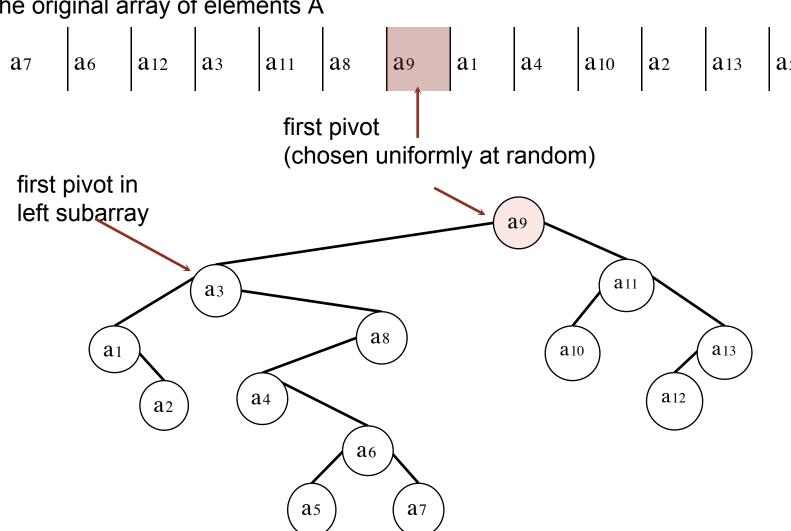
Using the median element 66, we can get two equal-size sub-lists

3	38	43	12	2	10	26	66	79	87	96	84	95	81	80
---	----	----	----	---	----	----	----	----	----	----	----	----	----	----

Unfortunately, median is difficult to find

BST representation

The original array of elements A



BST representation

- Proposition. The expected number of compares to quicksort an array of n distinct elements $a_1 < a_2 < ... < a_n$ is $O(n \log n)$.
- Pf. Consider BST representation of pivot elements.
 - a_i and a_j are compared once if one is an ancestor of the other.

first pivot (chosen uniformly at random) a₃ and a₆ are compared (when a₃ is pivot) first pivot in **a**9 left subarray **a**3 **a**11 **a**13 a₈ **a**10 **a**12 \mathbf{a}_2 **a**4 **a**6

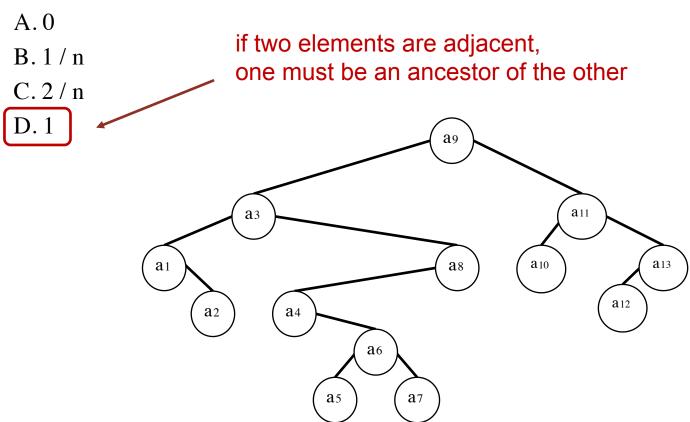
Analysis of running time

- Proposition. The expected number of compares to quicksort an array of n distinct elements $a_1 < a_2 < ... < a_n$ is $O(n \log n)$.
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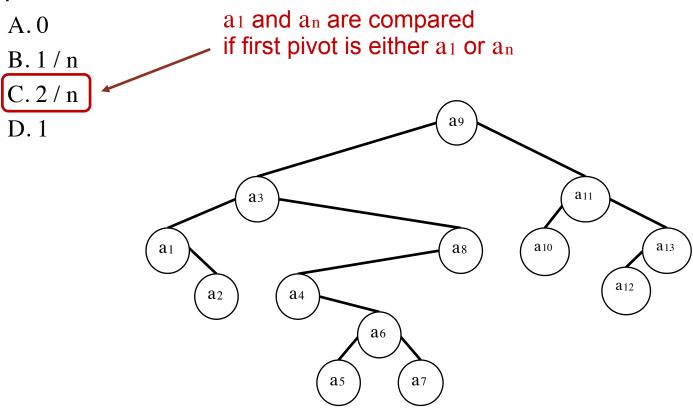
Question 1

• Given an array of $n \ge 8$ distinct elements $a_1 < a_2 < ... < a_n$, what is the probability that a_7 and a_8 are compared during randomized quicksort?



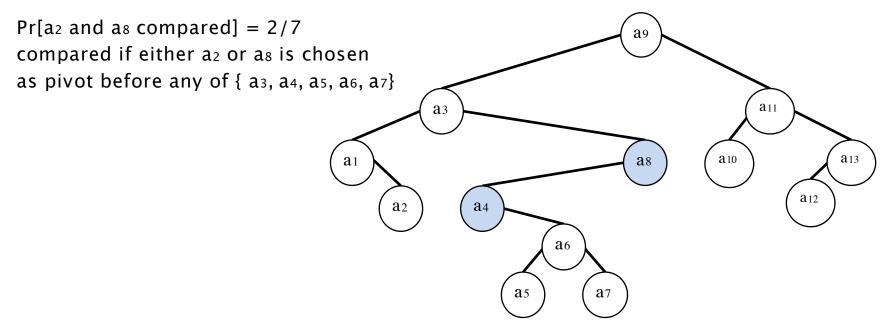
Question 2

• Given an array of $n \ge 8$ distinct elements $a_1 < a_2 < ... < a_n$, what is the probability that a_1 and a_n are compared during randomized quicksort?



Analysis of running time

- Proposition. The expected number of compares to quicksort an array of n distinct elements $a_1 < a_2 < ... < a_n$ is $O(n \log n)$.
- Pf. Consider BST representation of pivot elements.
 - ai and aj are compared once if one is an ancestor of the other.
 - Pr [a_i and a_j are compared] = 2 / (j-i+1), where i < j.



Analysis of running time

- Proposition. The expected number of compares to quicksort an array of n distinct elements $a_1 < a_2 < ... < a_n$ is $O(n \log n)$.
- Pf. Consider BST representation of pivot elements.
 - a_i and a_j are compared once if one is an ancestor of the other.
 - Pr [a_i and a_j are compared] = 2 / (j-i+1), where i < j.

• Expected number of compares =
$$\sum_{i=1}^n \sum_{j=i+1}^n \frac{2}{j-i+1} = 2\sum_{i=1}^n \sum_{j=2}^{n-i+1} \frac{1}{j}$$
 all pairs i and j
$$\leq 2n\sum_{i=1}^n \frac{1}{j}$$

$$\leq 2n \left(\ln n + 1 \right)$$
harmonic sum

Consider another strategy:

Choose the median of the first, middle, and last entries in the list

This will usually give a better approximation of the actual median



Sorting the elements based on 44 results in two sub-lists, each of which must be sorted (again, using quicksort)

Select the 26 to partition the first sub-list:



Select 81 to partition the second sub-list:



If we choose a random pivot, this will, on average, divide a set of n items into two sets of size 1/4 n and 3/4 n, why?

Choosing the median-of-three, this will, on average, divide the n items into two sets of size 5/16 n and 11/16 n

- Median-of-three helps speed the algorithm
- This requires order statistics:

$$2\int_{0}^{\frac{1}{2}} x \cdot (6x(1-x)) dx = \frac{5}{16} = 0.3125$$

Recall that merge sort always divides a list into two equal halves:

- The median-of-three will require $\frac{\ln\left(\frac{1}{2}\right)}{\ln\left(\frac{11}{16}\right)} \approx 1.8499$ or 85 % more recursive steps, how to get this?

A single random pivot will require recursive steps

$$\frac{\ln\left(\frac{1}{2}\right)}{\ln\left(\frac{3}{4}\right)} \approx 2.4094 \text{ or 141 \% more}$$

Question: what is the affect on run time?

- Surprisingly, not so much
- Here we see the ratios of the recurrence relations for large values of n

$$T_{\text{random pivot}}(n) = T\left(\left\lfloor \frac{1}{4}n \right\rfloor\right) + T\left(\left\lceil \frac{3}{4}n \right\rceil\right) + n$$

$$T_{\text{median of 3}}(n) = T\left(\left\lfloor \frac{5}{16}n \right\rfloor\right) + T\left(\left\lceil \frac{11}{16}n \right\rceil\right) + n$$

20 billion

$$T_{\text{median}}(n) = T\left(\left[\frac{1}{2}n\right]\right) + T\left(\left[\frac{1}{2}n\right]\right) + n$$

$$T_{\text{median}}(n)$$

$$T_{\text{median}}(n)$$

$$T_{\text{median of 3}}(n)$$

$$T_{\text{median of 3}}(n)$$

$$T_{\text{median of 3}}(n)$$

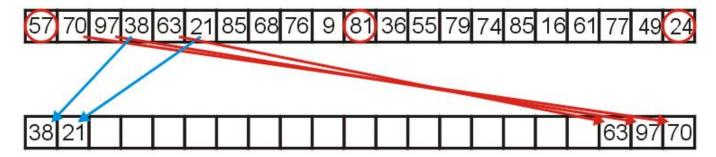
10 billion

If we choose to allocate memory for an additional array, we can implement the partitioning by

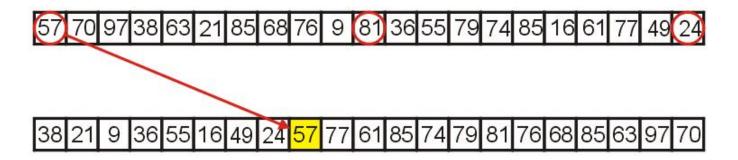
- copying elements either to the front or the back of the additional array
- placing the pivot into the resulting hole

For example, consider the following:

- 57 is the median-of-three
- we go through the remaining elements, assigning them either to the front or the back of the second array



Once we are finished, we copy the median-of-three, 57, into the resulting hole



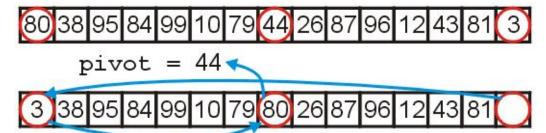
Can we implement quicksort in place?

Yes!

- Swap the pivot to the last slot of the list
- We repeatedly try to find two entries:
 - Staring from the front: an entry larger than the pivot
 - Starting from the back: an entry smaller than the pivot
- Such two entries are out of order, so we swap them
- Repeat until all the entries are in order
- Move the leftmost entry larger than the pivot into the last slot of the list and fill the hole with the pivot

First, we have already examined the first, middle, and last entries and chosen the median of these to be the pivot In addition, we can:

- move the smallest entry to the first entry
- move the largest entry to the middle entry



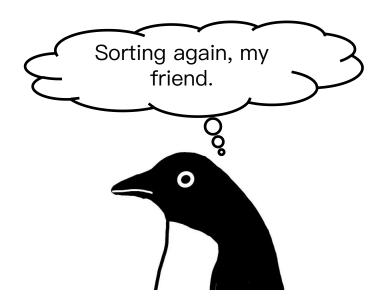
The implementation is straight-forward

```
template <typename Type>
void quicksort( Type *array, int first, int last ) {
   if ( last - first <= N ) {
      insertion_sort( array, first, last );
  } else {
      Type pivot = find_pivot( array, first, last );
      int low = find_next( pivot, array, first + 1);
      int high = find_previous( pivot, array, last -2 );
      while (low < high) {
         std::swap( array[low], array[high] );
         low = find next(pivot, array, low + 1);
         high = find previous( pivot, array, high -1);
      array[last - 1] = array[low];
      array[low] = pivot;
      quicksort( array, first, low );
      quicksort( array, high, last );
```

Consider the following unsorted array of 25 entries

0					~																			
13	77	49	35	61	48	73	23	95	3	89	37	57	99	17	32	94	28	15	55	7	51	88	97	62

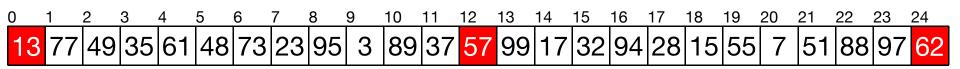
We will call insertion sort if the list being sorted of size N = 6 or less



We call quicksort(array, 0, 25)

											10														
-	13	77	49	35	61	48	73	23	95	3	89	37	57	99	17	32	94	28	15	55	7	51	88	97	62

We are calling quicksort(array, 0, 25)



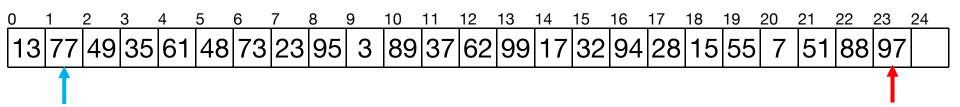
First,
$$25 - 0 > 6$$
, so find the midpoint and the pivot midpoint = $(0 + 25)/2$; $// == 12$

We are calling quicksort(array, 0, 25)



```
First, 25 - 0 > 6, so find the midpoint and the pivot midpoint = (0 + 25)/2; // == 12 pivot = 57;
```

We are calling quicksort(array, 0, 25)

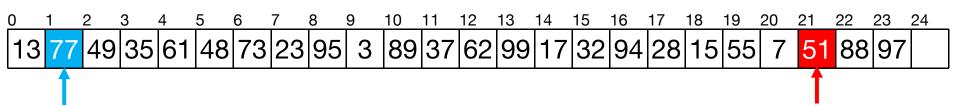


Starting from the front and back:

- Find the next element greater than the pivot
- The last element less than the pivot

$$pivot = 57;$$

We are calling quicksort(array, 0, 25)

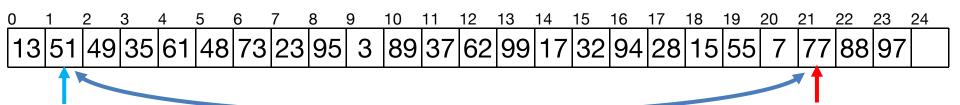


Searching forward and backward:

low = 1; high =
$$21$$
;

pivot = 57;

We are calling quicksort(array, 0, 25)



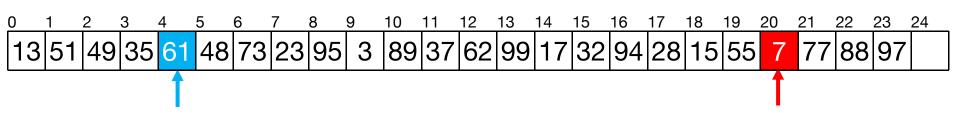
Searching forward and backward:

```
low = 1;
high = 21;
```

Swap them

pivot = 57;

We are calling quicksort(array, 0, 25)

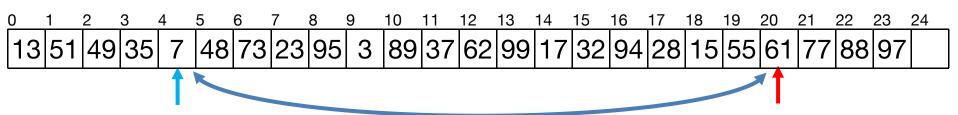


Continue searching

low =
$$4$$
;
high = 20 ;

$$pivot = 57;$$

We are calling quicksort(array, 0, 25)



Continue searching

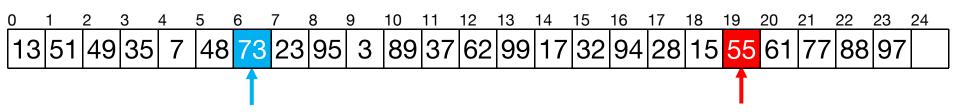
low = 4;

high = 20;

Swap them

pivot = 57;

We are calling quicksort(array, 0, 25)



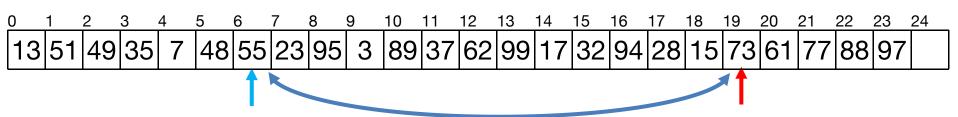
Continue searching

$$low = 6;$$

$$high = 19;$$

pivot = 57;

We are calling quicksort(array, 0, 25)



Continue searching

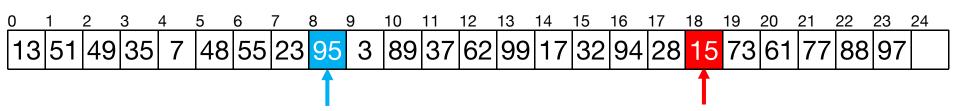
low = 6;

high = 19;

Swap them

pivot = 57;

We are calling quicksort(array, 0, 25)

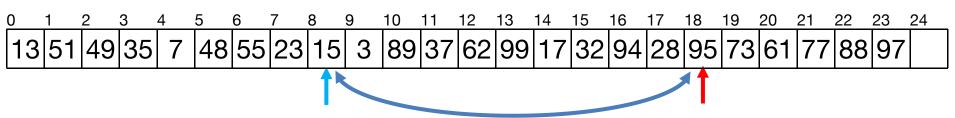


Continue searching

low =
$$8$$
;
high = 18 ;

pivot = 57;

We are calling quicksort(array, 0, 25)



Continue searching

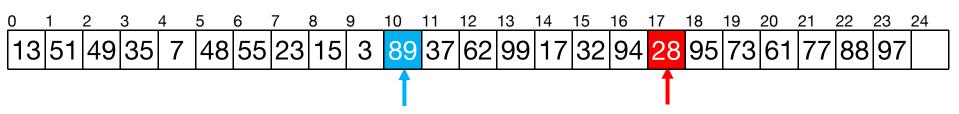
low = 8;

high = 18;

Swap them

pivot = 57;

We are calling quicksort(array, 0, 25)

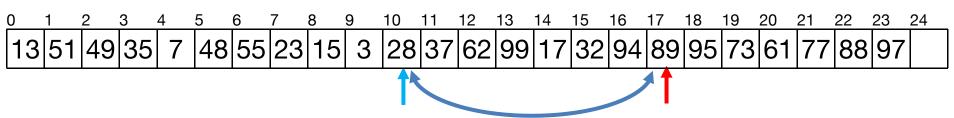


Continue searching

low =
$$10$$
;
high = 17 ;

pivot = 57;

We are calling quicksort(array, 0, 25)



Continue searching

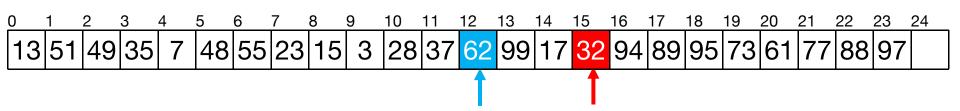
low = 10;

high = 17;

Swap them

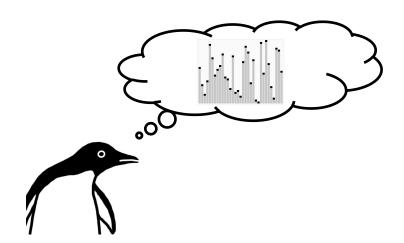
pivot = 57;

We are calling quicksort(array, 0, 25)



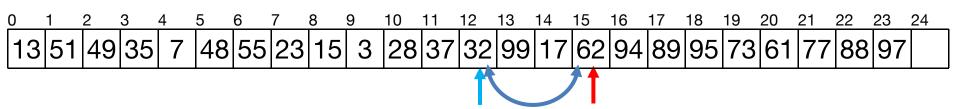
Continue searching

low =
$$12$$
;
high = 15 ;



pivot = 57;

We are calling quicksort(array, 0, 25)



Continue searching

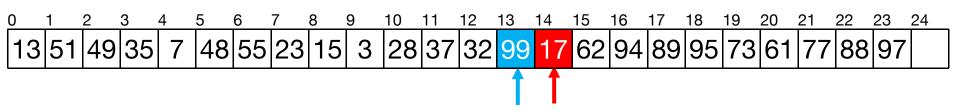
low = 12;

high = 15;

Swap them

pivot = 57;

We are calling quicksort(array, 0, 25)

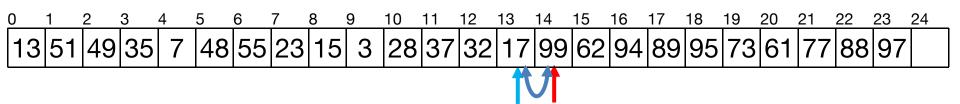


Continue searching

low =
$$13$$
;
high = 14 ;

pivot = 57;

We are calling quicksort(array, 0, 25)



Continue searching

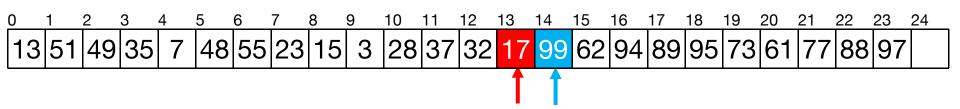
low = 13;

high = 14;

Swap them

pivot = 57;

We are calling quicksort(array, 0, 25)



Continue searching

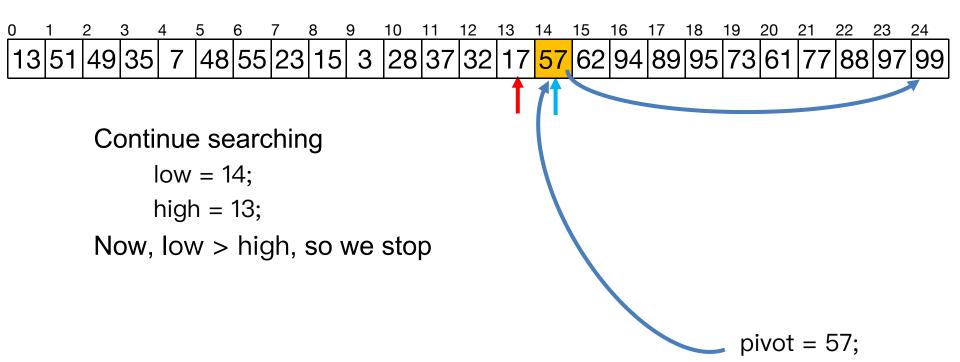
low = 14;

high = 13;

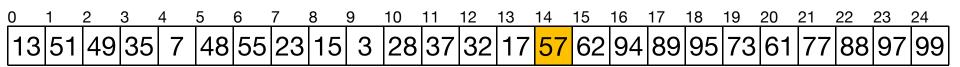
Now, low > high, so we stop

pivot = 57;

We are calling quicksort(array, 0, 25)



We are calling quicksort(array, 0, 25)



We now begin calling quicksort recursively on the first half quicksort(array, 0, 14);

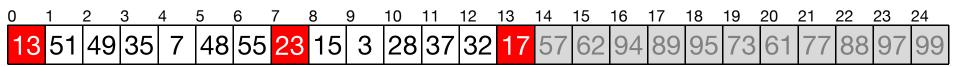
We are executing quicksort(array, 0, 14)

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	51	49	35	7	48	55	23	15	3	28	37	32	17	57	62	94	89	95	73	61	77	88	97	99

First,
$$14 - 0 > 6$$
, so find the midpoint and the pivot midpoint = $(0 + 14)/2$; $// == 7$

quicksort(array, 0, 14) quicksort(array, 0, 25)

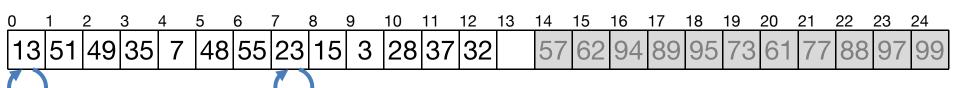
We are executing quicksort(array, 0, 14)



```
First, 14 - 0 > 6, so find the midpoint and the pivot midpoint = (0 + 14)/2; // == 7 pivot = 17
```

```
quicksort( array, 0, 14 ) quicksort( array, 0, 25 )
```

We are executing quicksort(array, 0, 14)

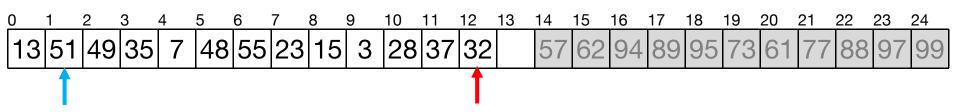


First, 14 - 0 > 6, so find the midpoint and the pivot midpoint = (0 + 14)/2; // == 7

```
pivot = 17;
```

quicksort(array, 0, 14) quicksort(array, 0, 25)

We are executing quicksort(array, 0, 14)

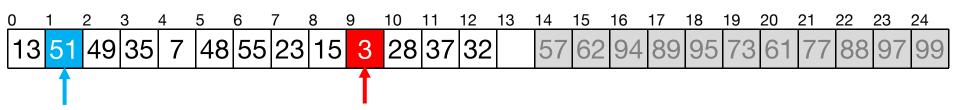


Starting from the front and back:

- Find the next element greater than the pivot
- The last element less than the pivot

```
pivot = 17;
quicksort( array, 0, 14 )
quicksort( array, 0, 25 )
```

We are executing quicksort(array, 0, 14)



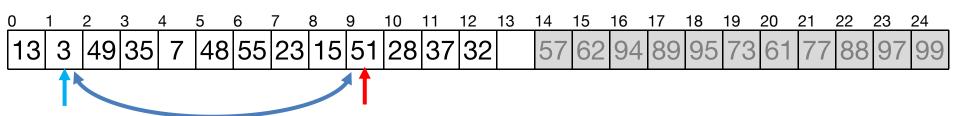
Searching forward and backward:

```
low = 1; high = 9;
```

```
pivot = 17;
```

```
quicksort( array, 0, 14 ) quicksort( array, 0, 25 )
```

We are executing quicksort(array, 0, 14)



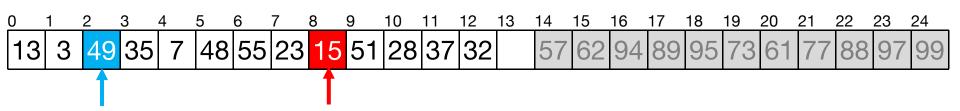
Searching forward and backward:

```
low = 1;
high = 9;
```

Swap them

```
pivot = 17;
quicksort( array, 0, 14 )
quicksort( array, 0, 25 )
```

We are executing quicksort(array, 0, 14)

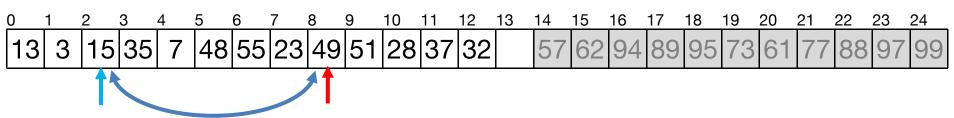


Searching forward and backward:

```
low = 2;
high = 8;
```

```
pivot = 17;
quicksort( array, 0, 14 )
quicksort( array, 0, 25 )
```

We are executing quicksort(array, 0, 14)



Searching forward and backward:

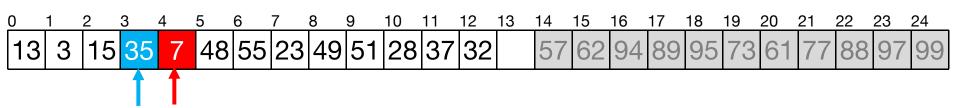
```
low = 2;
high = 8;
```

Swap them

```
pivot = 17;
```

```
quicksort( array, 0, 14 ) quicksort( array, 0, 25 )
```

We are executing quicksort(array, 0, 14)



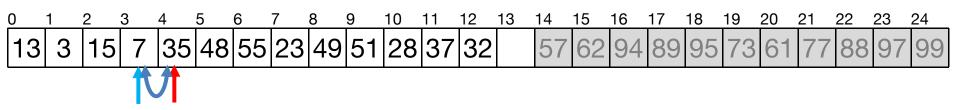
Searching forward and backward:

low =
$$3$$
;
high = 4 ;

```
pivot = 17;
```

```
quicksort( array, 0, 14 ) quicksort( array, 0, 25 )
```

We are executing quicksort(array, 0, 14)



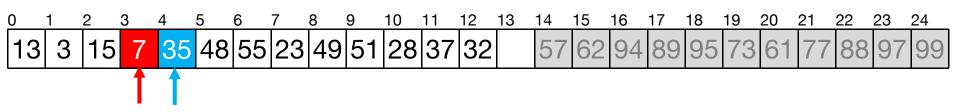
Searching forward and backward:

```
low = 3;
high = 4;
```

Swap them

```
pivot = 17;
quicksort( array, 0, 14 )
quicksort( array, 0, 25 )
```

We are executing quicksort(array, 0, 14)



Searching forward and backward:

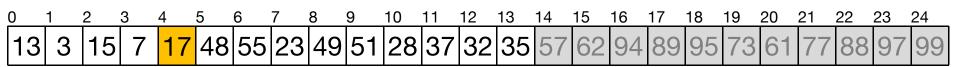
```
low = 4;
high = 3;
```

Now, low > high, so we stop

```
pivot = 17;
```

```
quicksort( array, 0, 14 ) quicksort( array, 0, 25 )
```

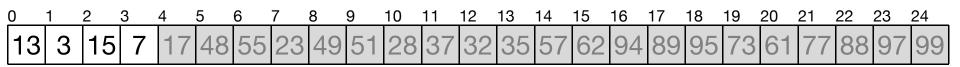
We are executing quicksort(array, 0, 14)



We continue calling quicksort recursively quicksort(array, 0, 4);

```
quicksort( array, 0, 14 ) quicksort( array, 0, 25 )
```

We are executing quicksort(array, 0, 4)



Now, $4 - 0 \le 6$, so find we call insertion sort

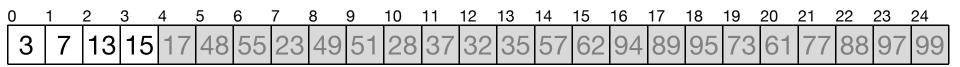
```
quicksort( array, 0, 4)
quicksort( array, 0, 14)
quicksort( array, 0, 25)
```

Insertion sort just sorts the entries from 0 to 3

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
13	3	15	7	17	48	55	23	49	51	28	37	32	35	57	62	94	89	95	73	61	77	88	97	99

```
insertion_sort( array, 0, 4)
quicksort( array, 0, 4)
quicksort( array, 0, 14)
quicksort( array, 0, 25)
```

Insertion sort just sorts the entries from 0 to 3



This function call completes and so we exit

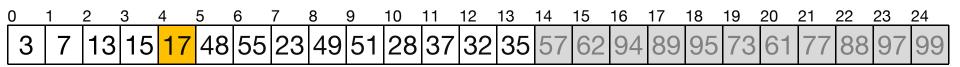
```
insertion_sort( array, 0, 4)
quicksort( array, 0, 4)
quicksort( array, 0, 14)
quicksort( array, 0, 25)
```

This call to quicksort is now also finished, so it, too, exits

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	48	55	23	49	51	28	37	32	35	57	62	94	89	95	73	61	77	88	97	99

```
quicksort( array, 0, 4)
quicksort( array, 0, 14)
quicksort( array, 0, 25)
```

We are back to executing quicksort(array, 0, 14)



We continue calling quicksort recursively on the second half

```
quicksort( array, 0, 4); quicksort( array, 5, 14);
```

```
quicksort( array, 0, 14 ) quicksort( array, 0, 25 )
```

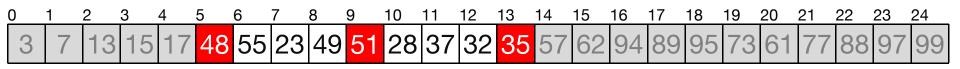
We now are calling quicksort(array, 5, 14)

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	48	55	23	49	51	28	37	32	35	57	62	94	89	95	73	61	77	88	97	99

First,
$$14-5 > 6$$
, so find the midpoint and the pivot midpoint = $(5 + 14)/2$; $// == 9$

```
quicksort( array, 5, 14)
quicksort( array, 0, 14)
quicksort( array, 0, 25)
```

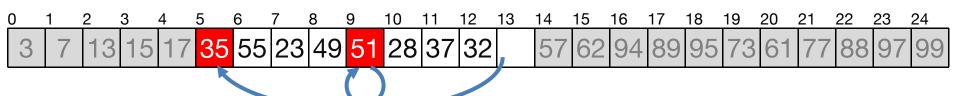
We now are calling quicksort(array, 5, 14)



```
First, 14-5>6, so find the midpoint and the pivot midpoint = (5 + 14)/2; // == 9 pivot = 48
```

```
quicksort( array, 5, 14)
quicksort( array, 0, 14)
quicksort( array, 0, 25)
```

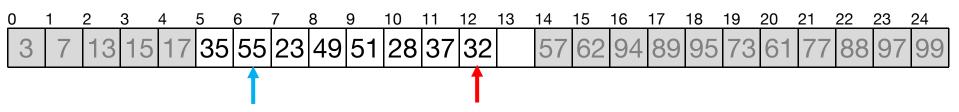
We now are calling quicksort(array, 5, 14)



```
First, 14-5>6, so find the midpoint and the pivot midpoint = (5 + 14)/2; // == 9 pivot = 48
```

```
quicksort( array, 5, 14)
quicksort( array, 0, 14)
quicksort( array, 0, 25)
```

We now are calling quicksort(array, 5, 14)

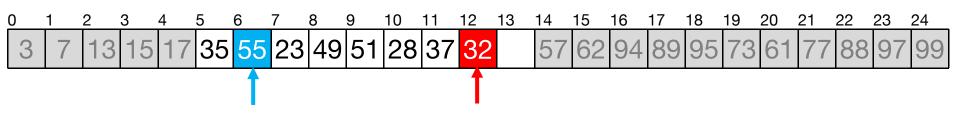


Starting from the front and back:

- Find the next element greater than the pivot
- The last element less than the pivot

```
pivot = 48;
quicksort( array, 5, 14 )
quicksort( array, 0, 14 )
quicksort( array, 0, 25 )
```

We now are calling quicksort(array, 5, 14)

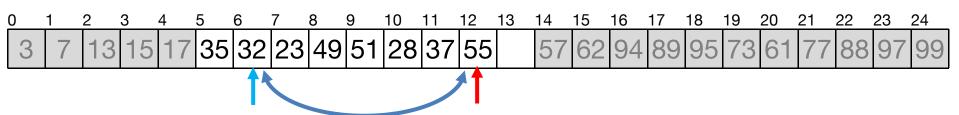


Searching forward and backward:

```
pivot = 48;
```

```
quicksort( array, 5, 14)
quicksort( array, 0, 14)
quicksort( array, 0, 25)
```

We now are calling quicksort(array, 5, 14)



Searching forward and backward:

low = 6;

high = 12;

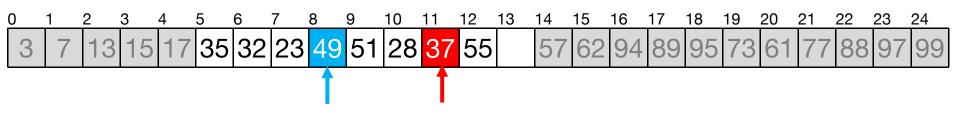
Swap them

```
pivot = 48;
```

quicksort(array, 5, 14)

quicksort(array, 0, 14)

We now are calling quicksort(array, 5, 14)



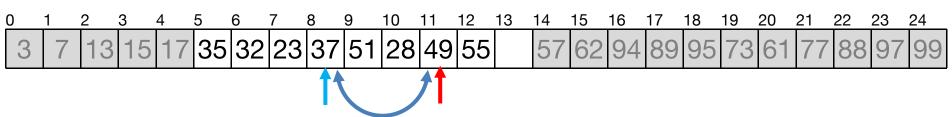
Continue searching

low =
$$8$$
;
high = 11 ;

$$pivot = 48;$$

```
quicksort( array, 5, 14)
quicksort( array, 0, 14)
quicksort( array, 0, 25)
```

We now are calling quicksort(array, 5, 14)



Continue searching

low = 8;

high = 11;

Swap them

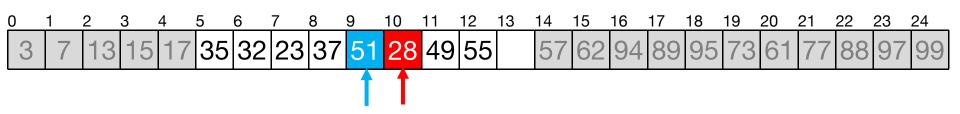
```
pivot = 48;
```

quicksort(array, 5, 14)

quicksort(array, 0, 14)

quicksort(array, 0, 25)

We now are calling quicksort(array, 5, 14)



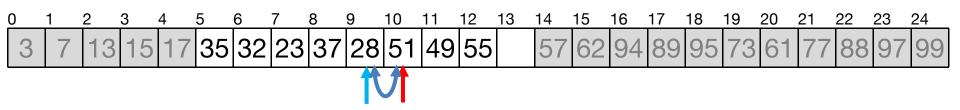
Continue searching

low =
$$8$$
;
high = 11 ;

```
pivot = 48;
```

```
quicksort( array, 5, 14)
quicksort( array, 0, 14)
quicksort( array, 0, 25)
```

We now are calling quicksort(array, 5, 14)



Continue searching

low = 8;

high = 11;

Swap them

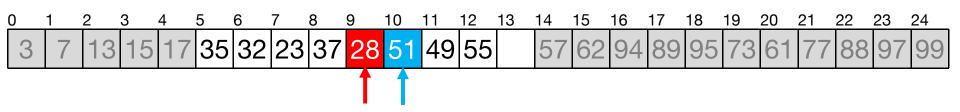
```
pivot = 48;
```

quicksort(array, 5, 14)

quicksort(array, 0, 14)

quicksort(array, 0, 25)

We now are calling quicksort(array, 5, 14)



Continue searching

low = 8;

high = 11;

Now, low > high, so we stop

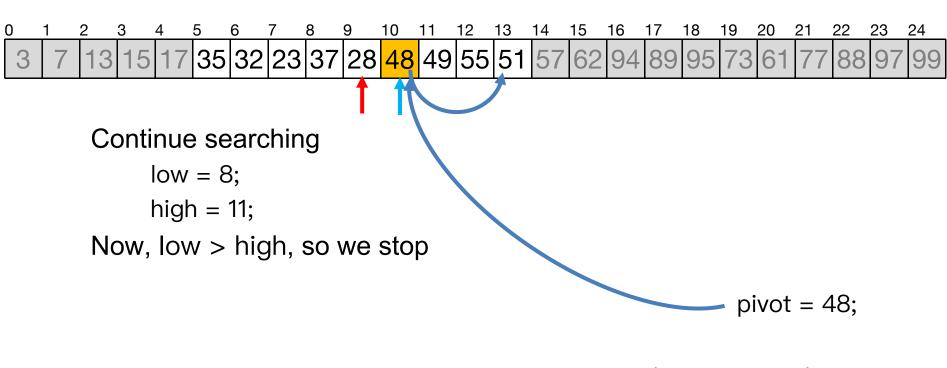
pivot = 48;

quicksort(array, 5, 14)

quicksort(array, 0, 14)

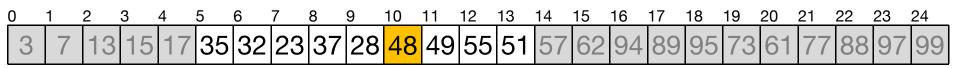
quicksort(array, 0, 25)

We now are calling quicksort(array, 5, 14)



quicksort(array, 5, 14) quicksort(array, 0, 14) quicksort(array, 0, 25)

We now are calling quicksort(array, 5, 14)



We now begin calling quicksort recursively on the first half quicksort(array, 5, 10);

```
quicksort( array, 5, 14)
quicksort( array, 0, 14)
quicksort( array, 0, 25)
```

We now are calling quicksort(array, 5, 14)

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	35	32	23	37	28	48	49	55	51	57	62	94	89	95	73	61	77	88	97	99

We now begin calling quicksort recursively quicksort(array, 5, 10);

```
quicksort( array, 5, 14)
quicksort( array, 0, 14)
quicksort( array, 0, 25)
```

We are executing quicksort(array, 5, 10)

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	35	32	23	37	28	48	49	55	51	57	62	94	89	95	73	61	77	88	97	99

Now, $10-5 \le 6$, so find we call insertion sort

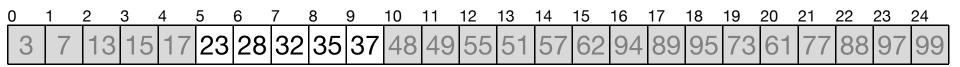
```
quicksort( array, 5, 10)
quicksort( array, 5, 14)
quicksort( array, 0, 14)
quicksort( array, 0, 25)
```

Insertion sort just sorts the entries from 5 to 9

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	35	32	23	37	28	48	49	55	51	57	62	94	89	95	73	61	77	88	97	99

```
insertion_sort( array, 5, 10 )
quicksort( array, 5, 10 )
quicksort( array, 5, 14 )
quicksort( array, 0, 14 )
quicksort( array, 0, 25 )
```

Insertion sort just sorts the entries from 5 to 9



This function call completes and so we exit

```
insertion_sort( array, 5, 10 )
quicksort( array, 5, 10 )
quicksort( array, 5, 14 )
quicksort( array, 0, 14 )
quicksort( array, 0, 25 )
```

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	23	28	32	35	37	48	49	55	51	57	62	94	89	95	73	61	77	88	97	99

```
quicksort( array, 5, 10 )
quicksort( array, 5, 14 )
quicksort( array, 0, 14 )
quicksort( array, 0, 25 )
```

We are back to executing quicksort(array, 5, 14)

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	23	28	32	35	37	48	49	55	51	57	62	94	89	95	73	61	77	88	97	99

We continue calling quicksort recursively on the second half

```
quicksort( array, 5, 10 ); quicksort( array, 6, 14 );
```

```
quicksort( array, 5, 14)
quicksort( array, 0, 14)
quicksort( array, 0, 25)
```

We are executing quicksort(array, 11, 15)

0	1	2	,	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	7 -	13	15	17	23	28	32	35	37	48	49	55	51	57	62	94	89	95	73	61	77	88	97	99

Now, $15 - 11 \le 6$, so find we call insertion sort

```
quicksort( array, 6, 14)
quicksort( array, 5, 14)
quicksort( array, 0, 14)
quicksort( array, 0, 25)
```

Insertion sort just sorts the entries from 11 to 14

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	23	28	32	35	37	48	49	55	51	57	62	94	89	95	73	61	77	88	97	99

```
insertion_sort( array, 11, 14 )
quicksort( array, 11, 14 )
quicksort( array, 5, 14 )
quicksort( array, 0, 14 )
quicksort( array, 0, 25 )
```

Insertion sort just sorts the entries from 11 to 14

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	23	28	32	35	37	48	49	51	55	57	62	94	89	95	73	61	77	88	97	99

This function call completes and so we exit

```
insertion_sort( array, 11, 14 )
quicksort( array, 11, 14 )
quicksort( array, 5, 14 )
quicksort( array, 0, 14 )
quicksort( array, 0, 25 )
```

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	23	28	32	35	37	48	49	51	55	57	62	94	89	95	73	61	77	88	97	99

```
quicksort( array, 11, 14 )
quicksort( array, 5, 14 )
quicksort( array, 0, 14 )
quicksort( array, 0, 25 )
```

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	23	28	32	35	37	48	49	51	55	57	62	94	89	95	73	61	77	88	97	99

```
quicksort( array, 5, 14)
quicksort( array, 0, 14)
quicksort( array, 0, 25)
```

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	23	28	32	35	37	48	49	51	55	57	62	94	89	95	73	61	77	88	97	99

```
quicksort( array, 0, 14 ) quicksort( array, 0, 25 )
```

We are back to executing quicksort(array, 0, 25)

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	23	28	32	35	37	48	49	51	55	57	62	94	89	95	73	61	77	88	97	99

We continue calling quicksort recursively on the second half

```
quicksort( array, 0, 14);
quicksort( array, 15, 25);
```

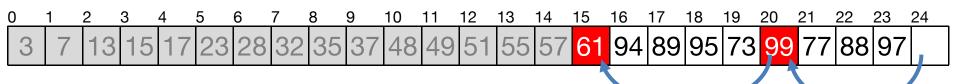
We are back to executing quicksort(array, 15, 25)

()	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
	3	7	13	15	17	23	28	32	35	37	48	49	51	55	57	62	94	89	95	73	61	77	88	97	99

First,
$$25 - 15 > 6$$
, so find the midpoint and the pivot midpoint = $(15 + 25)/2$; $// == 20$

quicksort(array, 15, 25) quicksort(array, 0, 25)

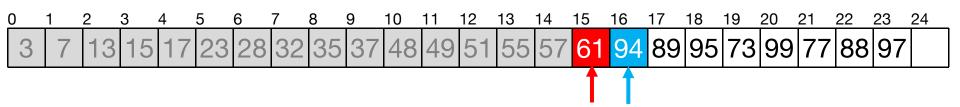
We are back to executing quicksort(array, 15, 25)



```
First, 25 - 15 > 6, so find the midpoint and the pivot midpoint = (15 + 25)/2; // == 20 pivot = 62;
```

```
quicksort( array, 15, 25 ) quicksort( array, 0, 25 )
```

We are back to executing quicksort(array, 15, 25)



Searching forward and backward:

low = 16;

high = 15;

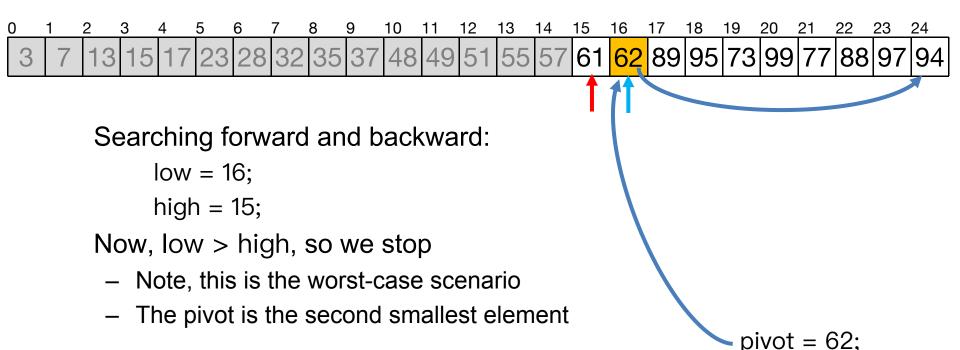
Now, low > high, so we stop

pivot = 62;

quicksort(array, 15, 25)

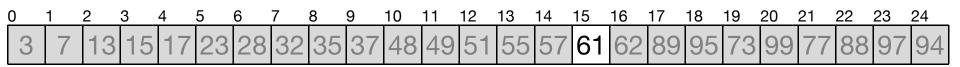
quicksort(array, 0, 25)

We are back to executing quicksort (array, 15, 25)



quicksort(array, 15, 25) quicksort(array, 0, 25)

We are back to executing quicksort(array, 15, 25)



We continue calling quicksort recursively on the first half quicksort(array, 15, 16);

```
quicksort( array, 15, 16 ) quicksort( array, 15, 25 ) quicksort( array, 0, 25 )
```

We are executing quicksort(array, 15, 16)

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	23	28	32	35	37	48	49	51	55	57	61	62	89	95	73	99	77	88	97	94

Now, $16 - 15 \le 6$, so find we call insertion sort

```
quicksort( array, 15, 16 )
quicksort( array, 15, 25 )
quicksort( array, 0, 25 )
```

Insertion sort immediately returns

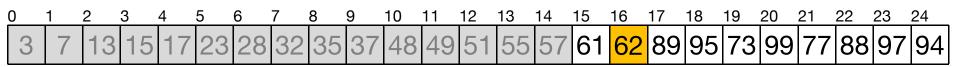
0		1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
	\mathcal{C}																							97	94

```
insertion_sort( array, 15, 16 )
quicksort( array, 15, 16 )
quicksort( array, 15, 25 )
quicksort( array, 0, 25 )
```

0		1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
	\mathcal{C}																							97	94

```
quicksort( array, 15, 16 )
quicksort( array, 15, 25 )
quicksort( array, 0, 25 )
```

We are back to executing quicksort(array, 15, 25)



We continue calling quicksort recursively on the second half

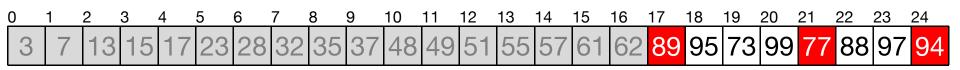
```
quicksort( array, 15, 16);
quicksort( array, 17, 25);
```

```
quicksort( array, 15, 25) quicksort( array, 0, 25)
```

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	23	28	32	35	37	48	49	51	55	57	61	62	89	95	73	99	77	88	97	94

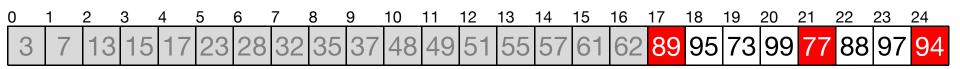
First,
$$25 - 17 > 6$$
, so find the midpoint and the pivot midpoint = $(17 + 25)/2$; $// == 21$

```
quicksort( array, 17, 25 ) quicksort( array, 15, 25 ) quicksort( array, 0, 25 )
```



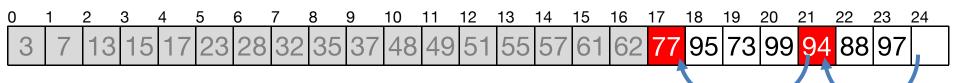
First,
$$25 - 17 > 6$$
, so find the midpoint and the pivot midpoint = $(17 + 25)/2$; $// == 21$

```
quicksort( array, 17, 25)
quicksort( array, 15, 25)
quicksort( array, 0, 25)
```



```
First, 25 - 17 > 6, so find the midpoint and the pivot midpoint = (17 + 25)/2; // == 21 pivot = 89
```

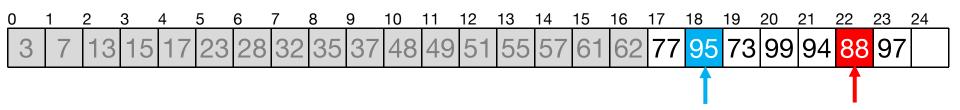
```
quicksort( array, 17, 25 ) quicksort( array, 15, 25 ) quicksort( array, 0, 25 )
```



```
First, 25 - 17 > 6, so find the midpoint and the pivot midpoint = (17 + 25)/2; // == 21 pivot = 89
```

```
quicksort( array, 17, 25)
quicksort( array, 15, 25)
quicksort( array, 0, 25)
```

We are now calling quicksort(array, 17, 25)



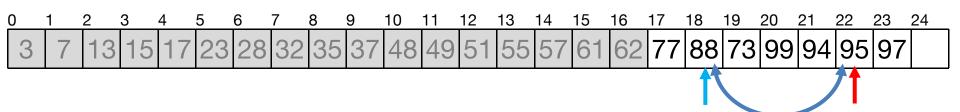
Searching forward and backward:

```
low = 18;
high = 22;
```

$$pivot = 89;$$

```
quicksort( array, 17, 25 )
quicksort( array, 15, 25 )
quicksort( array, 0, 25 )
```

We are now calling quicksort(array, 17, 25)



Searching forward and backward:

low = 18;

high = 22;

Swap them

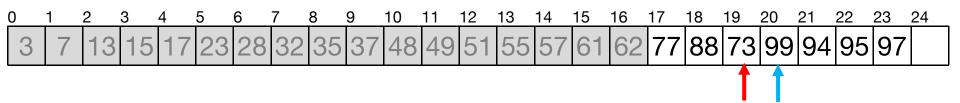
pivot = 89;

quicksort(array, 17, 25)

quicksort(array, 15, 25)

quicksort(array, 0, 25)

We are now calling quicksort(array, 17, 25)



Searching forward and backward:

low = 20;

high = 19;

Now, low > high, so we stop

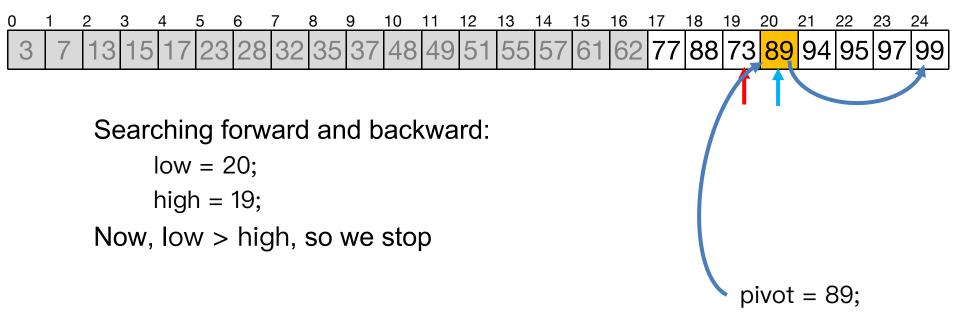
pivot = 89;

quicksort(array, 17, 25)

quicksort(array, 15, 25)

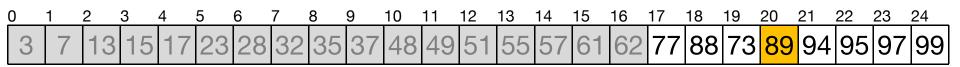
quicksort(array, 0, 25)

We are now calling quicksort(array, 17, 25)



```
quicksort( array, 17, 25 )
quicksort( array, 15, 25 )
quicksort( array, 0, 25 )
```

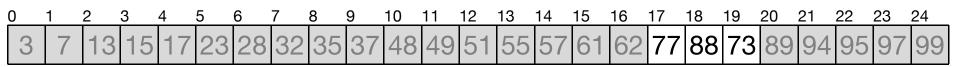
We are now calling quicksort(array, 17, 25)



We start by calling quicksort recursively on the first half quicksort(array, 17, 20);

```
quicksort( array, 17, 25)
quicksort( array, 15, 25)
quicksort( array, 0, 25)
```

We are now executing quicksort(array, 17, 20)



Now, $4 - 0 \le 6$, so find we call insertion sort

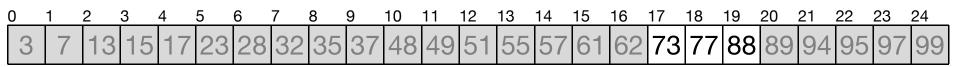
```
quicksort( array, 17, 20 )
quicksort( array, 17, 25 )
quicksort( array, 15, 25 )
quicksort( array, 0, 25 )
```

Insertion sort just sorts the entries from 17 to 19

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	23	28	32	35	37	48	49	51	55	57	61	62	77	88	73	89	94	95	97	99

```
insertion_sort( array, 17, 20 )
quicksort( array, 17, 20 )
quicksort( array, 17, 25 )
quicksort( array, 15, 25 )
quicksort( array, 0, 25 )
```

Insertion sort just sorts the entries from 17 to 19



This function call completes and so we exit

```
insertion_sort( array, 17, 20 )
quicksort( array, 17, 20 )
quicksort( array, 17, 25 )
quicksort( array, 15, 25 )
quicksort( array, 0, 25 )
```

This call to quicksort is now also finished, so it, too, exits

0	1		2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3		7	13	15	17	23	28	32	35	37	48	49	51	55	57	61	62	73	77	88	89	94	95	97	99

```
quicksort( array, 17, 20 )
quicksort( array, 17, 25 )
quicksort( array, 15, 25 )
quicksort( array, 0, 25 )
```

We are back to executing quicksort(array, 17, 25)

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	23	28	32	35	37	48	49	51	55	57	61	62	73	77	88	89	94	95	97	99

```
quicksort( array, 17, 25 ) quicksort( array, 15, 25 ) quicksort( array, 0, 25 )
```

We are back to executing quicksort(array, 17, 25)

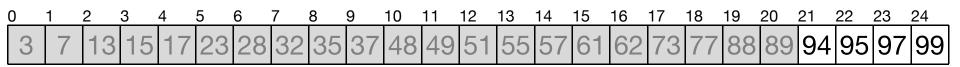
0	1	2	3	4	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	1	3 1	15	17	23	28	32	35	37	48	49	51	55	57	61	62	73	77	88	89	94	95	97	99

We continue by calling quicksort on the second half

```
quicksort( array, 17, 20 );
quicksort( array, 21, 25 );
```

```
quicksort( array, 17, 25 ) quicksort( array, 15, 25 ) quicksort( array, 0, 25 )
```

We are now calling quicksort(array, 21, 25)



Now, $25 - 21 \le 6$, so find we call insertion sort

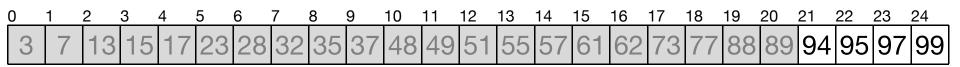
```
quicksort( array, 21, 25 )
quicksort( array, 17, 25 )
quicksort( array, 15, 25 )
quicksort( array, 0, 25 )
```

Insertion sort just sorts the entries from 21 to 24

		2		-	~																			
3	7	13	15	17	23	28	32	35	37	48	49	51	55	57	61	62	73	77	88	89	94	95	97	99

```
insertion_sort( array, 21, 25 )
quicksort( array, 21, 25 )
quicksort( array, 17, 25 )
quicksort( array, 15, 25 )
quicksort( array, 0, 25 )
```

Insertion sort just sorts the entries from 21 to 24



- In this case, the sub-array was already sorted
- This function call completes and so we exit

```
insertion_sort( array, 21, 25 )
quicksort( array, 21, 25 )
quicksort( array, 17, 25 )
quicksort( array, 15, 25 )
quicksort( array, 0, 25 )
```

This call to quicksort is now also finished, so it, too, exits

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	23	28	32	35	37	48	49	51	55	57	61	62	73	77	88	89	94	95	97	99

```
quicksort( array, 21, 25 )
quicksort( array, 17, 25 )
quicksort( array, 15, 25 )
quicksort( array, 0, 25 )
```

This call to quicksort is now also finished, so it, too, exits

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
3	7	13	15	17	23	28	32	35	37	48	49	51	55	57	61	62	73	77	88	89	94	95	97	99

```
quicksort( array, 17, 25 ) quicksort( array, 15, 25 ) quicksort( array, 0, 25 )
```

This call to quicksort is now also finished, so it, too, exits

0		1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
,	\mathcal{S}	7	13	15	17	23	28	32	35	37	48	49	51	55	57	61	62	73	77	88	89	94	95	97	99

quicksort(array, 15, 25) quicksort(array, 0, 25)

This call to quicksort is now also finished, so it, too, exits

 -			3																					
3	7	13	15	17	23	28	32	35	37	48	49	51	55	57	61	62	73	77	88	89	94	95	97	99



quicksort(array, 0, 25)

We have now used quicksort to sort this array of 25 entries

0		1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
	3	7	13	15	17	23	28	32	35	37	48	49	51	55	57	61	62	73	77	88	89	94	95	97	99

Black Board Example

Sort the following list using quicksort

Use insertion sort for any sub-list of size 4 or less

	1			•			•		9	
34	15	65	59	68	42	40	80	50	65	23

Memory Requirements

The additional memory?

- Function call stack
 - Each recursive function call places its local variables, parameters, etc., on a stack
- Average case: the depth of the recursion is $\Theta(\ln(n))$
- Worst case: the depth of the recursion is $\Theta(n)$

Run-time Summary

To summarize the two $\Theta(n \ln(n))$ algorithms

	Average Run Time	Worst- case Run Time	Averag e Memor y	Worst- case Memory
Merge Sort	$\Theta(n)$	ln(n))	e	O(n)
Quicksort	$\Theta(n \ln(n))$	$\Theta(n^2)$	$\Theta(\ln(n))$	$\Theta(n)$

Further modifications

Our implementation is by no means optimal:

An excellent paper on quicksort was written by Jon L. Bentley and M. Douglas McIlroy:

Engineering a Sort Function

found in Software—Practice and Experience, Vol. 23(11), Nov 1993

Matching Nuts and Bolts

- Problem. A disorganized carpenter has a mixed pile of n nuts and n bolts.
 - The goal is to find the corresponding pairs of nuts and bolts.
 - Each nut fits exactly one bolt and each bolt fits exactly one nut.
 - By fitting a nut and a bolt together, the carpenter can see which one is bigger (but cannot directly compare either two nuts or two bolts).



- Brute-force solution. Compare each bolt to each nut—Θ(n²) compares.
 - Challenge. Design an algorithm that makes O(n log n) compares.
 - Hint: use quick sort

Matching Nuts and Bolts

- Divide.
 - Pick bolt p uniformly at random; compare bolt p against all nuts;
 divide nuts smaller than p from those that are larger than p.
 - Let p' be the nut that matches bolt p. Compare p' against all bolts;
 divide bolts smaller than p' from those that are larger than p'.
- Conquer. Recursively solve two independent subproblems.
- Analysis. Almost identical to analysis of randomized quicksort.
 (but 2n compares to partition pile of n nuts and n bolts)

Summary

This topic covered quicksort

- On average faster than heap sort or merge sort
- Uses a pivot to partition the objects
- Using the median of three pivots is a reasonably means of finding the pivot
- Average run time of $\Theta(n \ln(n))$ and $\Theta(\ln(n))$ memory
- Worst case run time of $\Theta(n^2)$ and $\Theta(n)$ memory

Master Theorem

Goal. Recipe for solving common divide-and-conquer recurrences:

$$T(n) = a T\left(\frac{n}{b}\right) + f(n)$$

with T(0) = 0 and $T(1) = \Theta(1)$.

- Terms.
 - $a \ge 1$ is the number of subproblems.
 - $b \ge 2$ is the factor by which the subproblem size decreases.
 - f(n) ≥ 0 is the work to divide and combine subproblems.
- Recursion tree. [assuming n is a power of b]
 - a = branching factor.
 - a^k = number of subproblems at level k.
 - $-1 + \log_b n$ levels.
 - n / b^k = size of subproblem at level k.

Run-time Analysis of Merge Sort

The time required to sort an array of size n > 1 is:

- the time required to sort the first half,
- the time required to sort the second half, and
- the time required to merge the two lists

That is:
$$T(n) = \begin{cases} \mathbf{\Theta}(1) & n = 1 \\ 2T(\frac{n}{2}) + \mathbf{\Theta}(n) & n > 1 \end{cases}$$

Solution: $T(n) = \Theta(n \ln(n))$

Recursion Tree for Merge Sort

Level	Problem #	Problem size	Work
0	1	n	n
1	2	(n/2)	2(n/2)
2	4	(n/4)	4(n/4)
k=log ₂ n	 2 ^k = n	1	2 ^k (n / 2 ^k)

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n)$$
Total work = $\sum_{1}^{k} 2^{k} (n / 2^{k}) = \sum_{1}^{k} n = n \log n$

Recursion Tree for?

Level	Problem #	Problem size	Work
0	1	n	С
1	1	(n/2)	С
2	1	(n/4)	С
k=log ₂ n	 1	1	С

$$T(n) = T \left(f \log \left(\frac{n}{2}\right)\right) + \Theta(C)$$

$$Total \text{ work} = \sum_{1}^{k} C = \sum_{1}^{\log_2 n} C = \log n$$

Recursion Tree for?

Level					Problem #	Problem size	Work
0					1	n	n
1					4	(n/2)	4(n/2)
2		4	4		4 ²	(n/4)	4 ² (n/4)
	4	4		4			
k=log ₂ n					4 ^k =4 ^{log} 2 ⁿ	1	4 ^k (n / 2 ^k)

$$T(n) = 4T(\frac{n}{2}) + \Theta(n)$$

Total work $=\sum_{1}^{k} 4^{k}(n / 2^{k}) = \sum_{1}^{k} n2^{k} = n^{2}$

Master Theorem

Level					Problem #	Problem size	Work
0					1	n	n ^d
1					а	(n/b)	a(n/b)d
2					a ²	(n/b ²)	a ² (n/b ²) d
	a	a 	а	а			
k=log _b n					a ^k =a ^{log} b ⁿ	1	a ^k (n/b ^k) d

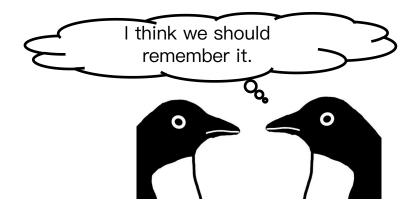
$$T(n) = aT\left(\frac{n}{b}\right) + \Theta(n^d)$$

Total work =
$$\sum_{1}^{k} a^{k} (n/b^{k})^{d} = \sum_{1}^{k} n^{d} (a/b^{d})^{k} = n^{d} \sum_{1}^{k} (a/b^{d})^{k}$$

Master Theorem

• If $T(n) = aT(\frac{n}{b}) + \Theta(n^d)$ for constants a > 0, b > 1, $d \ge 0$, then:

$$O(n^d)$$
 if $d > log_b a$
 $T(n) = \{O(n^d log n) \text{ if } d = log_b a$
 $O(n^{log_b a})$ if $d < log_b a$



Master theorem does not apply

- Gaps in master theorem.
 - Number of subproblems is not a constant.

$$T(n) = nT(n/2) + n^2$$

Number of subproblems is less than 1.

$$T(n) = \frac{1}{2}T(n/2) + n^2$$

- No polynomial separation between f(n) and $n^{logb\,a}$.

$$T(n) = 2T(n/2) + n \log n$$

- f(n) is not positive.

$$T(n) = 2T(n/2) - n^2$$

Regularity condition does not hold.

$$T(n) = T(n/2) + \boxed{n(2 - \cos n)}$$

Question 1

 Consider the following recurrence. Which case of the master theorem?

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1\\ 3T(\lceil n/2 \rceil) + \Theta(n) & \text{if } n > 1 \end{cases}$$

- Case 1: $T(n) = \Theta(n^{\log_2 3}) = O(n^{1.585})$.
- Case 2: $T(n) = \Theta(n \log n)$.
- Case 3: $T(n) = \Theta(n)$.
- Master theorem not applicable.

