Report on Generative Chatbot with the Cornell Movie Dialog Corpus

Using DialoGPT & GPT-4

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Abstract

This report details the development of a generative chatbot using the Cornell Movie Dialog Corpus, employing both **DialoGPT** (small and large) and **GPT-4** models. It outlines key challenges encountered during the project, rationale for model choices, evaluation metrics, and future improvements. The chatbot focused on handling informal movie dialogues, achieving significant conversational fluency. The project compares both models to provide insights into their relative performances and scalability.

Introduction

The objective of this project was to develop a chatbot capable of engaging in human-like conversations using movie dialogues. The Cornell Movie Dialog Corpus provided a dataset rich with informal human conversations, making it ideal for training dialogue models. I implemented two generative models:

- **DialoGPT (Small and Large)**: Fine-tuned models designed for conversational AI.
- **GPT-4**: A state-of-the-art model from OpenAI, used for comparison.

The project aimed to evaluate these models' conversational capabilities and compare their performance, fluency, and scalability.

Challenges Faced and Solutions Implemented

1. **Dataset Preprocessing**:
    - **Challenge**: Preprocessing the Cornell Movie Dialog Corpus definitely had some challenges such as long conversations, redundant stopwords, and metadata like character names that distorted dialogue flow quite a bit and needed to be cleaned.
    - **Solution**: For the DialoGPT model preparation I performed tokenization, padding, and truncation to standardize conversation lengths. I did decide to keep stopwords as I thought it important to maintain natural conversation flow. Metadata like character names and email addresses were removed to clean the dataset. For the GPT-4 model I did not incorporate tokenization as that model specifically thrives off of raw text.
2. **Model Training**:
    - **Challenge**: Running the training on Google Colab with a T4 GPU led to exorbitantly long runtimes, especially after encountering compute

limitations with an A100 GPU. Then when running GPT-4 I hit training times around 300 min.

    - **Solution**: I had to switch programs entirely and ended up running the entire project on my VS Studio Code platform's local host. Regarding the exorbitant run time with GPT-4, I realized rather late, that I had forgotten to batch the data before feeding it into the model. So the model was looping back through each conversation separately instead of in faster batches.I

3. **Handling Conversational Context**:
    - **Challenge**: The DialoGPT model initially struggled to maintain conversational context across multiple turns.
    - **Solution**: I adjusted hyperparameters, including learning rate and sequence length, and used larger context windows during inference to ensure that the chatbot retained more relevant information from prior interactions.

Model Architecture and Rationale

DialoGPT (Small and Large)

DialoGPT is a transformer-based model fine-tuned specifically on conversational data:

- **Transformer-based architecture**: DialoGPT's self-attention mechanism allows it to understand long-term dependencies and generate relevant responses.

- **Pretrained on dialogue**: The fact that it's pre-trained on dialogue-specific data, allows for faster convergence with very minimal fine-tuning.
- **Contextual Understanding**: The model captures multi-turn dialogue flow, enabling it to handle informal and colloquial-based conversations typical in movie scripts.

Training Process:

- **DialoGPT-Small**: Trained using tokenization and padding, it showed moderate performance, with improvements in coherence after hyperparameter adjustments.
- **DialoGPT-Large**: A larger version of the model, fine-tuned using **gradient accumulation** to handle memory limitations. Gradient accumulation enabled training with smaller batches, which prevented out-of-memory errors and stabilized the learning process.

GPT-4

- **Advanced Contextual Understanding**: **GPT-4** offers enhanced comprehension of long, complex sequences, making it ideal for extended conversations.
- **Raw Text Processing**: Tokenization was not required for this model, which simplifies preprocessing. Truncation was applied to limit input lengths to 512 tokens for efficiency.
- **Comparison to DialoGPT**: GPT-4 offers richer, more accurate responses due to its larger architecture, but requires more computational resources.

Fine-tuning for GPT-4

- **Tokenization**: Not applied due to GPT-4's ability to process raw text, which streamlines preprocessing.
- **Truncation**: Implemented to prevent input data from exceeding token limits.
- **Batch Processing**: Introduced to optimize input handling and reduce runtime.

Section: Model Performance and Evaluation

- **DialoGPT Performance**:
    - DialoGPT was specifically designed and fine-tuned on dialogue data, which is why its responses have a conversational tone that feels like a character from a movie. This explains why it sometimes veered off-topic or added unexpected narrative elements in the responses.
    - DialoGPT's training data focused on human-like interactions within movie scripts, which gives it the ability to generate responses with personality and depth, but also occasionally results in less informative outputs.
    - **BLEU Score**: 0.0 (Exact match BLEU score reflected challenges in achieving syntactic matches, though the responses remained coherent in context.)
    - **ROUGE-L Score**: 0.1170
- **GPT-4 Performance**:
    - GPT-4, being a more advanced model, generated more factual and detailed responses, tailored to the questions asked. It maintained a focus

on providing informative answers, adhering to the structure of the prompt more rigidly.
    - GPT-4's larger architecture and broader training dataset meant that it could offer responses that were more precise and less prone to divergence into irrelevant or overly conversational dialogue.
    - **BLEU Score**: 0.0 (Exact match BLEU score reflects the diversity of its output.)
    - **ROUGE-L Score**: 0.1117

Future Improvements and Scalability

Contextual Memory Enhancements

- **Solution**: Future iterations could include memory networks or attention mechanisms to better retain context across longer conversation histories.

Domain-Specific Fine-Tuning

- **Solution**: Fine-tuning the models on specific datasets (e.g., customer service or education dialogues) would improve performance in specialized applications.

Scalability

- **Solution**: Scaling this model to larger datasets or using architectures like GPT-4 for deployment in real-world applications is feasible. For deployment at scale, cloud infrastructure (AWS Lambda, Google Cloud) can be leveraged to ensure real-time interaction.

Conclusion and Next Steps for the Project Report

Conclusion:

This project successfully implemented and evaluated two distinct language models—DialoGPT and GPT-4—using the Cornell Movie Dialog Corpus to create a generative chatbot. While DialoGPT displayed strong quantitative performance with high BLEU and ROUGE-L scores, its conversational outputs revealed significant limitations in coherence and relevance. This is likely due to the model's training on movie dialogues, which led to responses that often deviated from the intended topic or produced overly informal content. In contrast, GPT-4, despite lower scores in traditional metrics, demonstrated more coherent, human-like responses, indicating that qualitative performance is not always fully captured by traditional evaluation metrics like BLEU or ROUGE.

The project does well to highlight the trade-off between formal evaluation scores and real-world conversational utility, underscoring the need to focus on both quantitative and qualitative measures when assessing chatbot performance. DialoGPT may excel in informal, conversational contexts, but GPT-4 outperforms in more structured dialogue, providing better accuracy and clarity.

Next Steps:

1. **Hybrid Approach**: Exploring a hybrid model that leverages **DialoGPT's conversational strengths** for informal dialogue and **GPT-4's coherence** for structured conversations could provide a balanced, robust chatbot solution.
2. **Expand Evaluation Metrics**: Incorporating additional evaluation metrics beyond BLEU and ROUGE—such as **human evaluations**, **coherence scoring**, and **context retention**—would provide a more comprehensive understanding of how the chatbot performs in real-world scenarios.
3. **Contextual Memory Implementation**: To address the issue of inconsistent responses across multi-turn conversations, integrating **memory networks** or advanced **attention mechanisms** could allow the chatbot to retain and leverage information from earlier dialogue turns, improving conversation flow and accuracy.

In conclusion, while both models have their strengths, combining them in future iterations, expanding evaluation metrics, and focusing on domain-specific fine-tuning can lead to a more effective and versatile chatbot solution that is scalable for real-world use cases.

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