# **Mean Shift Clustering: Overview**

**Purpose:** Mean Shift Clustering is a non-parametric clustering technique that does not assume any prior knowledge of the number of clusters. It works by iteratively shifting data points towards the mode (the highest density of data points) of a density function, thus forming clusters around these modes. The purpose of Mean Shift Clustering is to discover the number and location of clusters in a dataset.

## When It Is Used: Mean Shift is used in various scenarios, especially when:

- The number of clusters is unknown.
- Clusters have arbitrary shapes and sizes.
- A density-based approach is more appropriate than a distance-based approach (e.g., k-means).

## Suitable Data Types: Mean Shift Clustering is suitable for:

- Continuous numerical data.
- Data with an underlying density structure where modes (peaks) represent clusters.
- Image segmentation tasks.
- Feature space analysis where density peaks correspond to cluster centers.

## **Examples of Real-life Applications:**

- 1. Image Segmentation: Segmenting an image into regions with similar colors or textures.
- 2. **Object Tracking in Videos:** Tracking moving objects by identifying and following modes in the feature space.
- 3. **Anomaly Detection:** Identifying regions with low density as potential anomalies.
- 4. **Spatial Data Analysis:** Clustering geographic data points to identify regions with high density.

# Implementation of Mean Shift Clustering on a Real-life Dataset

Let's implement Mean Shift Clustering using Python on a sample dataset. We'll use the sklearn library for the implementation and a real-life dataset for demonstration.

#### **Dataset:**

We'll use the "Mall Customer Segmentation Data" dataset, which includes customer information and spending scores. The goal is to identify clusters of customers with similar spending behavior.

# Working Mechanism of Mean Shift Clustering

Mean Shift Clustering is a non-parametric and density-based clustering algorithm that seeks to find the modes (peaks) of a density function given a set of data points. Here's a step-by-step explanation of how it works:

#### 1. Initialization:

- Mean Shift starts with a set of data points.
- o Each data point is treated as a potential cluster center (also known as a "seed").

### 2. Bandwidth Selection:

In the context of Mean Shift Clustering, the **bandwidth** is a crucial hyperparameter that determines the radius of the search window (also referred to as the kernel) around each data point. It defines the region within which the algorithm will search for points to calculate the mean shift vector.

- A crucial parameter in Mean Shift is the bandwidth (also known as the window size or radius), denoted as hhh. This parameter defines the radius of the region (kernel) around each data point within which the algorithm searches for higher density.
- o The bandwidth can be selected using techniques like cross-validation, domain knowledge, or heuristic methods such as the "rule of thumb."

## 3. Shifting Step:

- For each data point xix\_ixi, the algorithm defines a window of radius hhh around xix ixi.
- Within this window, the algorithm computes the mean of the data points. This
  mean is the "mean shift vector."
- The data point xix\_ixi is then shifted towards this mean. Mathematically, the new position of xix\_ixi is:  $xinew = \sum xj \in window K(xj-xi) \cdot xj \sum xj \in window K(xj-xi)x_i^{new} = \frac{x_j \sin \text{x_j in \text{x_i}} \cdot x_i}{K(x_j x_i) \cdot xj \cdot x_j} = \frac{x_j \sin \text{x_j in \text{x_i}} \cdot x_j \sin \text{x_j in \text{x_i}}}{K(x_j x_i)} = \frac{x_j \sin \text{x_j in \text{x_i}}}{K(x_j x_i)} = \frac{x_j \sin \text{x_j}}{K(x_j x_i)} = \frac{x$

### 4. Convergence:

- The shifting step is repeated iteratively for each data point until the shift is smaller than a predefined threshold (i.e., the points converge to a stable location).
- o Points that converge to the same mode are assigned to the same cluster.

## 5. Cluster Formation:

 After convergence, each point is associated with a mode. Points with the same mode are grouped together to form clusters.

## 6. Cluster Pruning (Optional):

- o In practice, modes that are very close to each other can be merged to avoid having too many small clusters.
- o This step involves defining a distance threshold below which two modes are considered the same.

### **Visualization of the Mean Shift Process**

To help visualize the Mean Shift process, consider a set of data points distributed in 2D space:

#### 1. Initial Data Points:

o Imagine a scatter plot of points representing customer data with "Annual Income" on the x-axis and "Spending Score" on the y-axis.

### 2. Bandwidth Selection:

o A circular window (kernel) is centered on each data point.

## 3. Shifting Step:

- o For each point, calculate the centroid of all points within the window.
- o Shift the point towards the centroid.
- o Repeat this until convergence.

#### 4. Final Clusters:

o Once all points have converged to their respective modes, identify clusters by grouping points that converge to the same mode.

## **Implementation**

```
import pandas as pd
import numpy as np
from sklearn.cluster import MeanShift, estimate bandwidth
from sklearn.preprocessing import StandardScaler
import matplotlib.pyplot as plt
# Load the dataset
url = "https://raw.githubusercontent.com/JWarmenhoven/Mall-
Customers/master/Mall Customers.csv"
data = pd.read_csv(url)
# Select the features for clustering
features = data[['Annual Income (k$)', 'Spending Score (1-100)']]
# Standardize the features
scaler = StandardScaler()
features scaled = scaler.fit transform(features)
# Visualize the data before clustering
plt.figure(figsize=(10, 6))
plt.scatter(features['Annual Income (k$)'], features['Spending Score (1-100)'], c='gray', marker='o')
```

```
plt.title('Data Before Clustering')
plt.xlabel('Annual Income (k$)')
plt.ylabel('Spending Score (1-100)')
plt.show()
# Estimate the bandwidth
bandwidth = estimate_bandwidth(features_scaled, quantile=0.2)
# Apply Mean Shift Clustering
mean_shift = MeanShift(bandwidth=bandwidth)
mean_shift.fit(features_scaled)
# Get the cluster labels
labels = mean_shift.labels_
cluster_centers = mean_shift.cluster_centers_
# Add the cluster labels to the original dataset
data['Cluster'] = labels
# Visualize the data after clustering
plt.figure(figsize=(10, 6))
unique_labels = np.unique(labels)
colors = plt.cm.viridis(np.linspace(0, 1, len(unique_labels)))
for k, col in zip(unique_labels, colors):
  class_member_mask = (labels == k)
  plt.plot(features['Annual Income (k$)'][class_member_mask], features['Spending Score (1-
100)'][class_member_mask], 'o', markerfacecolor=col, markeredgecolor='k', markersize=8,
label=f'Cluster {k}')
```

# Plot the cluster centers

for center in cluster\_centers:

```
plt.plot(center[0] * scaler.scale_[0] + scaler.mean_[0], center[1] * scaler.scale_[1] + scaler.mean_[1],
'x', markerfacecolor='red', markeredgecolor='k', markersize=12)
```

```
plt.title('Mean Shift Clustering of Customers')
plt.xlabel('Annual Income (k$)')
plt.ylabel('Spending Score (1-100)')
plt.legend()
plt.show()
```

## **Code Explanation**

- pandas as pd: Imports the pandas library and assigns it the alias pd for data manipulation and analysis.
- numpy as np: Imports the numpy library and assigns it the alias np for numerical operations.
- from sklearn.cluster import MeanShift, estimate\_bandwidth: Imports the Mean Shift clustering algorithm and a function to estimate the bandwidth from the scikit-learn library.
- from sklearn.preprocessing import StandardScaler: Imports the StandardScaler class to standardize features by removing the mean and scaling to unit variance.
- import matplotlib.pyplot as plt: Imports the matplotlib library for plotting and assigns it the alias plt.
- url: Specifies the URL of the CSV file containing the dataset.
- data = pd.read\_csv(url): Reads the CSV file from the specified URL into a pandas DataFrame named data.

features: Selects the 'Annual Income (k\$)' and 'Spending Score (1-100)' columns from the DataFrame data for clustering.

- scaler = StandardScaler(): Creates an instance of the StandardScaler class.
- features\_scaled = scaler.fit\_transform(features): Fits the StandardScaler to the selected features and transforms them to have zero mean and unit variance.
- plt.figure(figsize=(10, 6)): Creates a new figure with a specified size.
- plt.scatter(features['Annual Income (k\$)'], features['Spending Score (1-100)'], c='gray', marker='o'): Plots a scatter plot of the data points with gray color and 'o' marker.
- plt.title('Data Before Clustering'): Sets the title of the plot.
- plt.xlabel('Annual Income (k\$)'): Sets the label for the x-axis.

- plt.ylabel('Spending Score (1-100)'): Sets the label for the y-axis.
- plt.show(): Displays the plot.

bandwidth = estimate\_bandwidth(features\_scaled, quantile=0.2): Estimates the bandwidth (window size) for Mean Shift clustering. The quantile parameter specifies the quantile to use for bandwidth estimation.

- mean\_shift = MeanShift (bandwidth=bandwidth): Creates an instance of the Mean Shift clustering algorithm with the estimated bandwidth.
- mean shift.fit(features scaled): Fits the Mean Shift model to the standardized features.
- labels = mean shift.labels : Retrieves the cluster labels assigned to each data point.
- cluster\_centers = mean\_shift.cluster\_centers\_: Retrieves the coordinates of the cluster centers.

data['Cluster'] = labels: Adds a new column 'Cluster' to the original DataFrame data, containing the cluster labels for each data point.

- plt.figure(figsize=(10, 6)): Creates a new figure with a specified size.
- unique labels = np.unique(labels): Retrieves the unique cluster labels.
- colors = plt.cm.viridis(np.linspace(0, 1, len(unique\_labels))): Generates a list of colors from the 'viridis' colormap, one for each unique cluster label.
- for k, col in zip(unique\_labels, colors): Iterates over each unique cluster label and corresponding color.
- class\_member\_mask = (labels == k): Creates a boolean mask to select data points belonging to the current cluster.
- plt.plot(features['Annual Income (k\$)'][class\_member\_mask], features['Spending Score (1-100)'][class\_member\_mask], 'o', markerfacecolor=col, markeredgecolor='k', markersize=8, label=f'Cluster {k}'): Plots the data points of the current cluster with the specified color and marker properties. Adds a label for the cluster.
- for center in cluster centers: Iterates over each cluster center.
- plt.plot(center[0] \* scaler.scale\_[0] + scaler.mean\_[0], center[1] \* scaler.scale\_[1] + scaler.mean\_[1], 'x', markerfacecolor='red', markeredgecolor='k', markersize=12): Plots each cluster center as a red 'x' marker. The centers are transformed back to the original scale.
- plt.title('Mean Shift Clustering of Customers'): Sets the title of the plot.
- plt.xlabel('Annual Income (k\$)'): Sets the label for the x-axis.
- plt.ylabel('Spending Score (1-100)'): Sets the label for the y-axis.
- plt.legend(): Adds a legend to the plot.
- plt.show(): Displays the plot.

## Gaussian Mixture Models (GMM) in Unsupervised Learning

### **Purpose**

Gaussian Mixture Models (GMM) are used in unsupervised learning primarily for clustering and density estimation. The purpose of GMMs is to model a distribution of data points that may come from multiple underlying Gaussian distributions. Each Gaussian component in the mixture represents a cluster, and the overall model captures the data's probabilistic structure.

#### When to Use GMMs

GMMs are particularly useful when:

- 1. **Data has subgroups**: The data is believed to come from several subgroups, each of which can be modeled by a Gaussian distribution.
- 2. **Flexibility**: More flexibility is needed than what k-means clustering can provide. Unlike k-means, GMM can capture elliptical clusters and varying sizes and densities of clusters.
- 3. **Soft clustering**: Instead of hard assignment of data points to clusters, GMM provides a probability (responsibility) that a data point belongs to each cluster.

## Suitable Types of Data

GMMs are suitable for continuous data where the clusters can be assumed to have Gaussian distributions. Examples include:

- Customer segmentation based on purchasing behavior.
- Image segmentation where regions of an image may have different pixel intensity distributions.
- Anomaly detection where normal data points cluster around a Gaussian distribution, and anomalies deviate from this.

### Real-life Examples

- 1. **Customer Segmentation**: Identifying different segments of customers based on purchase history and demographics.
- 2. Image Segmentation: Segmenting an image into different regions based on color or intensity.
- 3. Anomaly Detection: Detecting unusual patterns in financial transactions to flag potential fraud.

# Working Mechanism of Gaussian Mixture Models (GMM)

### Overview

Gaussian Mixture Models (GMM) assume that the data is generated from a mixture of several Gaussian distributions, each with its own mean and covariance. GMMs use the Expectation-Maximization (EM) algorithm to iteratively estimate the parameters of these Gaussian components and the probability that each data point belongs to each component.

- 1. **Gaussian Components**: Each component kkk is a Gaussian distribution with its own mean  $\mu k \mu k$  and covariance matrix  $\Sigma k \sim k k$ .
- 2. **Mixing Coefficients**: Each component has a weight  $\pi k \pi k$  representing the proportion of data points that belong to that component. The mixing coefficients sum to 1.

### Expectation-Maximization (EM) Algorithm

The EM algorithm is used to fit the GMM to the data. It consists of two main steps:

### 1. E-Step (Expectation Step):

 Calculate the responsibility γik\gamma\_{ik}γik, which is the probability that the iii-th data point xix\_ixi belongs to the kkk-th Gaussian component.

0

 $\label{eq:lik} $$ \operatorname{k} \operatorname{N}(x_i \mid \mu_k, \Sigma_k) {\sum_{j=1}^K \pi_j \in \mathbb{N}(x_i \mid \mu_k, \Sigma_k) \operatorname{N}(x_i \mid \mu_k, \Sigma_k) \otimes \mathbb{N}(x_i \mid \mu_k, \Sigma_k) \otimes \mathbb{N}(x_$ 

## 2. M-Step (Maximization Step):

- 0 Update the parameters  $\mu$ k\mu\_k $\mu$ k,  $\Sigma$ k\Sigma\_k $\Sigma$ k, and  $\pi$ k\pi\_k $\pi$ k using the responsibilities calculated in the E-step.
- 0 Update the mean:  $\mu k=\sum_{i=1}N\gamma ikxi\sum_{i=1}N\gamma ik\mu_k = \frac{i=1}^N \gamma _{ik} x i}{\sum_{i=1}^N \gamma _{i=1}^N \gamma _{ik}\sum_{i=1}N\gamma ik\sum_{i=1}N\gamma ik\gamma _{i=1}N\gamma ik\gamma _{i=1}N\gamma _{ik}}$
- 0 Update the covariance:  $\Sigma k=\Sigma i=1N\gamma ik(xi-\mu k)(xi-\mu k)T\Sigma i=1N\gamma ik\Sigma_k = \frac{i=1}^N \gamma k(x_i \mu_k)(x_i \mu_k)^T}{\sum_{i=1}^N \gamma k(x_i \mu_k)(x_i \mu_k)^T}{\sum_{i=1}^N \gamma k(x_i \mu_k)(x_i \mu_k)^T}$
- 0 Update the mixing coefficients:  $\pi k=\Sigma i=1N\gamma ikN\pi_k = \frac{i=1}^N \frac{ik}{N}\pi k=N\Sigma i=1N\gamma ik$

## 3. **Repeat**:

o Iterate the E-step and M-step until convergence, which is when the change in the log-likelihood of the data given the model parameters becomes very small.

# **Step-by-Step Implementation**

- 1. Generate synthetic data.
- 2. Visualize the data before applying GMM.
- 3. Apply GMM to the data.
- 4. Visualize the clustered data with labels.

import numpy as np

import matplotlib.pyplot as plt

from sklearn.datasets import make\_blobs

```
from sklearn.mixture import GaussianMixture
import seaborn as sns
# Generate synthetic data
X, y_true = make_blobs(n_samples=300, centers=3, cluster_std=0.60, random_state=42)
# Visualize the original data
plt.figure(figsize=(10, 6))
sns.scatterplot(x=X[:, 0], y=X[:, 1], hue=y_true, palette='viridis', legend=None)
plt.title('Original Data')
plt.xlabel('Feature 1')
plt.ylabel('Feature 2')
plt.show()
# Fit GMM to the data
gmm = GaussianMixture(n_components=3, random_state=42)
gmm.fit(X)
labels = gmm.predict(X)
# Retrieve GMM parameters
means = gmm.means_
covariances = gmm.covariances_
weights = gmm.weights_
```

# Print parameters

```
print("Means:\n", means)
print("Covariances:\n", covariances)
print("Weights:\n", weights)
# Visualize the clustered data
plt.figure(figsize=(10, 6))
sns.scatterplot(x=X[:, 0], y=X[:, 1], hue=labels, palette='viridis', legend=None)
plt.scatter(means[:, 0], means[:, 1], s=300, c='red', marker='x') # Mark cluster centers
for i, mean in enumerate(means):
    plt.text(mean[0], mean[1], f'Cluster {i+1}', fontsize=12, color='black', ha='center')
plt.title('GMM Clustering')
plt.xlabel('Feature 1')
plt.ylabel('Feature 2')
plt.show()
```

## **Explanation**

- import numpy as np: Imports the NumPy library, which is used for numerical operations.
- import matplotlib.pyplot as plt: Imports Matplotlib's pyplot module for plotting.
- from sklearn.datasets import make\_blobs: Imports the make\_blobs function from scikit-learn to generate synthetic data.
- from sklearn.mixture import GaussianMixture: Imports the GaussianMixture class from scikit-learn for fitting the Gaussian Mixture Model.
  - import seaborn as sns: Imports the Seaborn library, which is used for creating more attractive statistical graphics.

```
X, y_true = make_blobs(n_samples=300, centers=3, cluster_std=0.60,
random_state=42): Generates synthetic data using make_blobs. Here:
```

- n samples=300: Creates 300 data points.
- centers=3: Specifies that the data should be generated around 3 cluster centers.
- cluster\_std=0.60: Sets the standard deviation of the clusters to 0.60, controlling the spread of the data points around the centers.

- random\_state=42: Ensures reproducibility of the results by setting a seed for the random number generator.
- plt.figure(figsize=(10, 6)): Creates a new figure with a specified size.
- sns.scatterplot(x=X[:, 0], y=X[:, 1], hue= $y_true$ , palette='viridis', legend=None): Creates a scatter plot of the original data.
  - x=x[:, 0]: Uses the first feature of the data points for the x-axis.
  - y=x[:, 1]: Uses the second feature of the data points for the y-axis.
  - hue=y\_true: Colors the points based on their true cluster labels.
  - palette='viridis': Uses the 'viridis' color palette.
  - legend=None: Omits the legend from the plot.
- plt.title('Original Data'): Sets the title of the plot.
- plt.xlabel('Feature 1'): Labels the x-axis.
- plt.ylabel('Feature 2'): Labels the y-axis.
  - plt.show(): Displays the plot.
- gmm = GaussianMixture(n\_components=3, random\_state=42): Creates an instance of the GaussianMixture class with 3 components (clusters).
  - n components=3: Specifies the number of Gaussian components.
  - random state=42: Sets the random seed for reproducibility.
- qmm.fit(X): Fits the Gaussian Mixture Model to the data x using the EM algorithm.
  - labels = gmm.predict(X): Predicts the cluster labels for each data point in X based on the fitted model.
- means = gmm.means : Retrieves the means of the Gaussian components.
- covariances = gmm.covariances\_: Retrieves the covariance matrices of the Gaussian components.
- weights = gmm.weights\_: Retrieves the weights (mixing coefficients) of the Gaussian components.
- print ("Means: \n", means): Prints the means of the Gaussian components.
- print ("Covariances:\n", covariances): Prints the covariance matrices.
  - print("Weights:\n", weights): Prints the weights.
- plt.figure(figsize=(10, 6)): Creates a new figure with a specified size.
- sns.scatterplot(x=X[:, 0], y=X[:, 1], hue=labels, palette='viridis', legend=None): Creates a scatter plot of the clustered data.

- x=X[:, 0]: Uses the first feature of the data points for the x-axis.
- y=x[:, 1]: Uses the second feature of the data points for the y-axis.
- hue=labels: Colors the points based on their predicted cluster labels.
- palette='viridis': Uses the 'viridis' color palette.
- legend=None: Omits the legend from the plot.
- plt.scatter(means[:, 0], means[:, 1], s=300, c='red', marker='x'): Plots the centers of the clusters.
  - means [:, 0]: Uses the x-coordinates of the cluster centers.
  - means [:, 1]: Uses the y-coordinates of the cluster centers.
  - s=300: Sets the size of the markers.
  - c='red': Colors the markers red.
  - marker='x': Uses 'x' markers.
- for i, mean in enumerate (means): Iterates over each cluster center.
  - plt.text(mean[0], mean[1], f'Cluster {i+1}', fontsize=12, color='black', ha='center'): Adds text labels for each cluster center.
    - o mean [0]: x-coordinate of the cluster center.
    - o mean[1]: y-coordinate of the cluster center.
    - o f'Cluster {i+1}': Text label for the cluster.
    - o fontsize=12: Font size of the text.
    - o color='black': Color of the text.
    - o ha='center': Horizontal alignment of the text.
- plt.title('GMM Clustering'): Sets the title of the plot.
- plt.xlabel('Feature 1'): Labels the x-axis.
- plt.ylabel('Feature 2'): Labels the y-axis.
  - plt.show(): Displays the plot.

### **BIRCH**

(Balanced Iterative Reducing and Clustering using Hierarchies) is a clustering algorithm designed to handle large datasets efficiently. It incrementally and dynamically clusters incoming data points while maintaining a tree structure. BIRCH is particularly suitable for situations where the dataset is too large to fit into memory, or when quick, one-pass clustering is required.

## **Purpose of BIRCH**

- 1. **Scalability**: BIRCH is designed to handle large datasets efficiently by using a memory-efficient tree structure called the Clustering Feature (CF) tree.
- 2. **Incremental Clustering**: It supports incremental clustering, which means new data points can be added to the existing clusters without the need to re-cluster all data points.
- 3. **Hierarchical Clustering**: BIRCH creates a hierarchical representation of the data, which can be used to understand the data's structure at multiple levels of granularity.
- 4. **Speed**: It is faster than many traditional clustering algorithms, making it suitable for real-time applications.

# **Suitable Data Types**

BIRCH works well with numerical data and can be applied to various types of data, including:

- **High-dimensional data**: Such as feature vectors in machine learning.
- Large-scale datasets: Where traditional clustering methods would be too slow or memory-intensive.
- **Dynamic data streams**: Where data points arrive over time, and the model needs to be updated incrementally.

# **Real-life Examples**

- 1. **Customer Segmentation**: In marketing, BIRCH can be used to cluster customers based on their purchasing behavior, allowing businesses to identify distinct customer segments for targeted marketing.
- 2. **Anomaly Detection**: In network security, BIRCH can help detect unusual patterns in network traffic that may indicate security breaches.
- 3. **Document Clustering**: In natural language processing, BIRCH can be used to cluster documents based on their content, which is useful for organizing large collections of text data.

# **Implementation Example**

Let's implement BIRCH using a real-life dataset. We'll use the sklearn library, which provides an implementation of BIRCH. We'll use the make\_blobs function to create a sample dataset for demonstration.

```
import numpy as np
import matplotlib.pyplot as plt
from sklearn.datasets import make_blobs
from sklearn.cluster import Birch
# Generate sample data
n_samples = 10000
n_features = 2
n clusters = 3
X, y = make_blobs(n_samples=n_samples, n_features=n_features,
centers=n_clusters, random_state=42)
# Plot original data
plt.figure(figsize=(12, 6))
plt.subplot(1, 2, 1)
plt.scatter(X[:, 0], X[:, 1], c=y, cmap='viridis', alpha=0.7, edgecolors='b')
plt.title('Original Data')
plt.xlabel('Feature 1')
plt.ylabel('Feature 2')
# Apply BIRCH clustering
birch_model = Birch(threshold=0.5, n_clusters=n_clusters)
birch model.fit(X)
labels = birch model.predict(X)
```

```
# Plot clustered data
plt.subplot(1, 2, 2)
plt.scatter(X[:, 0], X[:, 1], c=labels, cmap='viridis', alpha=0.7, edgecolors='b')
plt.title('BIRCH Clustering')
plt.xlabel('Feature 1')
plt.ylabel('Feature 2')

# Labeling clusters
centers = birch_model.subcluster_centers_
for i in range(n_clusters):
    plt.text(centers[i][0], centers[i][1], f'Cluster {i}', fontsize=12, color='red')

plt.tight_layout()
plt.show()
```

# **Code Explanation**

- import numpy as np: This imports the NumPy library and allows us to reference it using the alias np throughout the code. NumPy is commonly used for numerical computations in Python.
- import matplotlib.pyplot as plt: This imports the pyplot module from the Matplotlib library and allows us to create plots and visualizations. It is commonly imported with the alias plt.
- from sklearn.datasets import make\_blobs: This imports the make\_blobs function from the datasets module in the scikit-learn library. make\_blobs is a utility function to generate synthetic clusters of data points.
- from sklearn.cluster import Birch: This imports the BIRCH clustering algorithm from the scikit-learn library. We'll use this for clustering the generated data.
- # Generate sample data: This is a comment explaining the next block of code.
- n\_samples = 10000: Specifies the number of data points to generate.

- n\_features = 2: Specifies the number of features for each data point.
- n clusters = 3: Specifies the number of clusters to generate.
- x, y = make\_blobs(...): Calls the make\_blobs function to generate synthetic data. x contains the generated data points, and y contains the corresponding cluster labels for each data point.
- plt.figure(figsize=(12, 6)): Creates a new figure with a specified size of 12x6 inches for the plots.
- plt.subplot(1, 2, 1): Creates a subplot grid with 1 row, 2 columns, and selects the first subplot for plotting.
- plt.scatter(...): Plots the original data points (x[:, 0] and x[:, 1]) using a scatter plot. Each point's color is determined by its cluster label y, and the colormap is set to 'viridis'.
- plt.title(...): Sets the title of the subplot to 'Original Data'.
- plt.xlabel(...) and plt.ylabel(...): Sets the labels for the x-axis and y-axis, respectively.
- birch\_model = Birch(threshold=0.5, n\_clusters=n\_clusters): Initializes a BIRCH clustering model with a threshold of 0.5 and the specified number of clusters.
- birch model.fit(X): Fits the BIRCH model to the data X.
- labels = birch\_model.predict(X): Predicts the cluster labels for the data points X using the trained BIRCH model.
- plt.subplot(1, 2, 2): Selects the second subplot for plotting.
- plt.scatter(...): Plots the clustered data points (x[:, 0] and x[:, 1]) using a scatter plot. Each point's color is determined by its cluster label labels, and the colormap is set to 'viridis'.
- plt.title(...), plt.xlabel(...), and plt.ylabel(...): Set the title, x-axis label, and y-axis label for the subplot, respectively.
- centers = birch\_model.subcluster\_centers\_: Retrieves the centroids of the subclusters from the trained BIRCH model.
- for i in range (n clusters):: Iterates over each cluster.

- plt.text(...): Adds text to the plot at the coordinates of each cluster centroid, labeling them with their respective cluster numbers.
- plt.tight layout(): Adjusts the layout of the subplots to prevent overlap.
- plt.show(): Displays the plots.

## **Working Mechanism**

## 1. CF Tree Structure:

- Clustering Feature (CF): The core of BIRCH is the CF tree structure. Each node in the CF tree represents a cluster.
- **CF Entry**: Each node (cluster) contains a set of summary statistics called a CF entry. This summary includes the number of points, centroid, and variance.
- Leaf Nodes: The leaf nodes of the tree contain small clusters, while internal nodes aggregate these smaller clusters into larger ones.

# 2. Incremental Clustering:

- **Single Pass**: BIRCH processes the dataset in a single pass, meaning it reads the data sequentially without needing to store the entire dataset in memory.
- **Dynamic Update**: As new data points arrive, BIRCH updates the CF tree structure incrementally. This allows for real-time clustering without needing to reprocess the entire dataset.

# 3. Clustering Process:

- Initialization: BIRCH begins with an empty CF tree.
- **Insertion**: As each data point arrives, it is inserted into the CF tree. BIRCH uses a two-step process for insertion:
  - o **Leaf Node Selection**: BIRCH traverses the tree to find the leaf node closest to the new data point based on a distance metric.
  - Leaf Node Update: The new data point is then added to the selected leaf node, and the CF entry for that node is updated.
- **Splitting and Merging**: If a leaf node becomes too full after insertion, BIRCH may split it into multiple smaller nodes. Conversely, if two neighboring nodes become too small, BIRCH may merge them into a single larger node.
- **Hierarchical Representation**: This process continues until all data points are processed, resulting in a hierarchical representation of the dataset.

# 4. Clustering Parameters:

• **Threshold**: BIRCH uses a threshold parameter to determine when to split nodes. If the number of data points in a leaf node exceeds the threshold, the node is split.

• **Number of Clusters**: BIRCH requires the number of clusters to be specified in advance. This parameter helps determine the initial size of the CF tree.

# 5. Final Clustering:

- After processing all data points, BIRCH may have multiple clusters represented by the leaf nodes of the CF tree.
- To obtain the final clusters, BIRCH may perform post-processing steps such as merging neighboring clusters that are too similar or discarding small clusters.

## 6. Advantages:

- **Scalability**: BIRCH is designed to handle large datasets efficiently by incrementally updating its tree structure.
- **Speed**: Since BIRCH processes data in a single pass, it is often faster than traditional clustering algorithms.
- **Memory Efficiency**: BIRCH does not require storing the entire dataset in memory, making it suitable for datasets that do not fit into memory.

# 7. Limitations:

- **Sensitive to Parameters**: BIRCH performance can be sensitive to its parameters, such as the threshold and the number of clusters.
- **Requires Pre-specification**: BIRCH requires the number of clusters to be specified in advance, which may not always be known or easy to determine.
- May Not Handle Arbitrary Shapes: Like many clustering algorithms, BIRCH may struggle with datasets containing clusters of non-convex shapes or varying densities.