

UNIMODULAR VALUATIONS BEYOND EHRHART

WORKSHOP ON GEOMETRIC & ALGEBRAIC COMBINATORICS, SANTANDER

ANSGAR FREYER

TU WIEN

JOINT WORK WITH MONIKA LUDWIG & MARTIN RUISEY

VALUATIONS ON LATTICE POLYTOPES

▷ $\mathcal{P}(\mathbb{Z}^n) = \{\text{LATTICE POLYTOPES IN } \mathbb{R}^n\}$

▷ A FUNCTION $z: \mathcal{P}(\mathbb{Z}^n) \rightarrow G$ ^{SOME ABELIAN GROUP} IS A VALUATION, IF

$$z(P \cup Q) = z(P) + z(Q) - z(P \cap Q), \quad \forall P, Q, P \cap Q, P \cup Q \in \mathcal{P}(\mathbb{Z}^n)$$

VALUATIONS ON LATTICE POLYTOPES

▷ $\mathcal{P}(\mathbb{Z}^n) = \{\text{LATTICE POLYTOPES IN } \mathbb{R}^n\}$

▷ A FUNCTION $z: \mathcal{P}(\mathbb{Z}^n) \rightarrow G$ ^{SOME ABELIAN GROUP} IS A VALUATION, IF

$$z(P \cup Q) = z(P) + z(Q) - z(P \cap Q), \quad \forall P, Q, P \cap Q, P \cup Q \in \mathcal{P}(\mathbb{Z}^n)$$

EXAMPLES: • $\text{Vol}(P)$ IS A VALUATION. IT'S SIMPLE, I.E. $\text{Vol}(P) = 0$ IF $\dim P < n$.

• $L^0(P) := \sum_{v \in P \cap \mathbb{Z}^n} 1$ IS A VALUATION

VALUATIONS ON LATTICE POLYTOPES

▷ LET x_1, \dots, x_n BE THE COORDINATES OF $(\mathbb{R}^n)^*$

▷ IDENTIFY $v \in \mathbb{R}^n$ WITH $V(x_1, \dots, x_n) := v_1 x_1 + \dots + v_n x_n$

MORE EXAMPLES: $E(P) := \int_P e^{V(x)} dv \in \mathbb{R}[x_1, \dots, x_n]$

$$\bullet \quad L(P) := \sum_{v \in P \cap \mathbb{Z}^n} e^{V(x)} \in \mathbb{R}[x_1, \dots, x_n]$$

(BARVINOK / LAURENCE)

VALUATIONS ON LATTICE POLYTOPES

▷ LET x_1, \dots, x_n BE THE COORDINATES OF $(\mathbb{R}^n)^*$

▷ IDENTIFY $v \in \mathbb{R}^n$ WITH $V(x_1, \dots, x_n) := v_1 x_1 + \dots + v_n x_n$

MORE EXAMPLES: $E(P) := \int_P e^{V(x)} dv \in \mathbb{R}[x_1, \dots, x_n]$

• $\bar{L}(P) := \sum_{v \in P \cap \mathbb{Z}^n} e^{V(x)} \in \mathbb{R}[x_1, \dots, x_n]$

(BARVINOK / LAURENCE)

• WRITE $\bar{L}(P) = \sum_{r \geq 0} L^r(P)$, WHERE $L^r(P) \in \mathbb{R}[x_1, \dots, x_n]$.

THEN $L^r(P) = \sum_{v \in P \cap \mathbb{Z}^n} \frac{v^r}{r!}$ IS A VALUATION

(BÖRÖCZKY + LUDWIG)

VALUATIONS ON LATTICE POLYTOPES

▷ LET x_1, \dots, x_n BE THE COORDINATES OF $(\mathbb{R}^n)^*$

▷ IDENTIFY $v \in \mathbb{R}^n$ WITH $V(x_1, \dots, x_n) := v_1 x_1 + \dots + v_n x_n$

MORE EXAMPLES: $E(P) := \int_P e^{V(x)} dv \in \mathbb{R}[x_1, \dots, x_n]$

• $\bar{L}(P) := \sum_{v \in P \cap \mathbb{Z}^n} e^{V(x)} \in \mathbb{R}[x_1, \dots, x_n]$

(BARVINOK / LAURENCE)

• WRITE $\bar{L}(P) = \sum_{r \geq 0} L^r(P)$, WHERE $L^r(P) \in \mathbb{R}[x_1, \dots, x_n]$.

THEN $L^r(P) = \sum_{v \in P \cap \mathbb{Z}^n} \frac{v^r}{r!}$ IS A VALUATION

(BÖRÖCZKY + LUDWIG)

GOAL: CLASSIFY VALUATIONS ON LATTICE POLYTOPES!

INTERLUDE: HADWIGER'S THEOREM

TAM (HADWIGER): LET $z: \{\text{CONVEX BODIES IN } \mathbb{R}^n\} \rightarrow \mathbb{R}$

BE A CONTINUOUS AND RIGID MOTION INVARIANT VALUATION.

THEN,

$$z = \sum_{i=0}^n \lambda_i V_i, \text{ FOR CERTAIN } \lambda_0, \dots, \lambda_n \in \mathbb{R}.$$

"INTRINSIC VOLUMES" \uparrow

INTERLUDE: HADWIGER'S THEOREM

TAM (HADWIGER): LET $z : \{\text{CONVEX BODIES IN } \mathbb{R}^n\} \rightarrow \mathbb{R}$

BE A CONTINUOUS AND RIGID MOTION INVARIANT VALUATION.

THEN,

$$z = \sum_{i=0}^n \lambda_i V_i, \text{ FOR CERTAIN } \lambda_0, \dots, \lambda_n \in \mathbb{R}.$$

↑
"INTRINSIC VOLUMES"

\Rightarrow SINCE $V_i(sK) = s^i V_i(K)$:

$$\text{SURFACE-AREA}(K) = \frac{1}{\text{VOLUME OF THE } (n-1)\text{-BALL}} \int_{S^{n-1}} \text{Vol}_{n-1}(K|u^\perp) du$$

ORTH' PROJECTION
"CAUCHY'S PROJECTION FORMULA"

TRANSLATIVELY POLYNOMIAL VALUATIONS

▷ $z : J(z) \rightarrow \mathbb{R}[x_1, \dots, x_n]$ IS TRANSLATIVELY POLYNOMIAL OF DEGREE r , IF

$$z(p+v) = \sum_{j=0}^r z^{r-j}(p) \frac{v^j}{j!}, \quad \text{FOR CERTAIN } z^{r-j} \text{ (ASSOCIATED FUNCTIONS)}$$

TRANSLATIVELY POLYNOMIAL VALUATIONS

▷ $z : \mathcal{J}(z) \rightarrow \mathbb{R}[x_1, \dots, x_n]$ IS TRANSLATIVELY POLYNOMIAL OF DEGREE r , \neq

$$z(p+v) = \sum_{j=0}^r z^{r-j}(p) \frac{v^j}{j!}, \quad \text{FOR CERTAIN } z^{r-j} \text{ (ASSOCIATED FUNCTIONS)}$$

EXAMPLE: $L^r(p+v) = \sum_{j=0}^r L^{r-j}(p) \frac{v^j}{j!}$

TRANSLATIVELY POLYNOMIAL VALUATIONS

▷ $Z : \mathcal{J}(\mathbb{C}) \rightarrow \mathbb{R}[x_1, \dots, x_n]$ IS TRANSLATIVELY POLYNOMIAL OF DEGREE r , \nexists

$$Z(P+V) = \sum_{j=0}^r Z^{r-j}(P) \frac{V^j}{j!}, \quad \text{FOR CERTAIN } Z^{r-j} \text{ (ASSOCIATED FUNCTIONS)}$$

EXAMPLE: $L^r(P+V) = \sum_{j=0}^r L^{r-j}(P) \frac{V^j}{j!}$

THEM (KHOVANSKII + PUKHLIKOV): LET $Z : \mathcal{J}(\mathbb{C}^n) \rightarrow \mathbb{R}[x_1, \dots, x_n]$ BE A TRANSLATIVELY POLYNOMIAL VALUATION $\Rightarrow Z = \sum_{i=0}^{n+r} Z_i$ $\leftarrow i$ -HOMOGENEOUS: $Z_i(mP) = m^i Z_i(P)$, $\forall m \in \mathbb{C}_{\geq 0}$

TRANSLATIVELY POLYNOMIAL VALUATIONS

▷ $z : \mathcal{P}(\mathbb{Z}^n) \rightarrow \mathbb{R}[x_1, \dots, x_n]$ IS TRANSLATIVELY POLYNOMIAL OF DEGREE r , IFF

$$z(P+v) = \sum_{j=0}^r z^{r-j}(P) \frac{v^j}{j!}, \quad \text{FOR CERTAIN } z^{r-j} \text{ (ASSOCIATED FUNCTIONS)}$$

EXAMPLE: $L^r(P+v) = \sum_{j=0}^r L^{r-j}(P) \frac{v^j}{j!}$

THM (KHOVANSKII + PUKHLIKOV): LET $z : \mathcal{P}(\mathbb{Z}^n) \rightarrow \mathbb{R}[x_1, \dots, x_n]$ BE A TRANSLATIVELY POLYNOMIAL VALUATION $\Rightarrow z = \sum_{i=0}^{n+r} z_i$ $\leftarrow i$ -HOMOGENEOUS: $z_i(mP) = m^i z_i(P)$, $\forall m \in \mathbb{Z}_{\geq 0}$

\nwarrow "EHRHART TENSOR COEFFICIENTS"

EXAMPLE: $L^r = L_0^r + \dots + L_{n+r}^r$, $L_i^r : \mathcal{P}(\mathbb{Z}^n) \rightarrow \mathbb{R}[x_1, \dots, x_n]$

BERG + JOCHENKO / BAZO ET AL. STUDIED h^* -COEFFICIENTS OF $L^r(mP)$

UNIMODULAR VALUATIONS

- ▷ $GL_n(\mathbb{Z})$ ACTS ON $\mathbb{R}[x_1, \dots, x_n]$ VIA $\phi f := f \circ \phi^*$
- ▷ $z: \mathcal{P}(\mathbb{Z}^n) \rightarrow \mathbb{R}[x_1, \dots, x_n]$ IS UNIMODULAR, IF $z(\phi P) = \phi z(P)$, $\forall \phi \in GL_n(\mathbb{Z}), P \in \mathcal{P}(\mathbb{Z}^n)$
- ▷ $Val^r(\mathbb{Z}^n) := \{z: \mathcal{P}(\mathbb{Z}^n) \rightarrow \mathbb{R}[x_1, \dots, x_n], \text{ UNIMODULAR, TRANSL. POLYNOMIAL VALUATION} \}$
- ▷ $Val_i^r(\mathbb{Z}^n) := \{z \in Val^r(\mathbb{Z}^n) : z \text{ IS } i\text{-HOMOGENEOUS} \} \rightsquigarrow Val^r = \bigoplus_{i=0}^{n+r} Val_i^r$

UNIMODULAR VALUATIONS

- ▷ $GL_n(\mathbb{Z})$ ACTS ON $\mathbb{R}[x_1, \dots, x_n]$ VIA $\phi f := f \circ \phi^*$
- ▷ $z: \mathcal{P}(\mathbb{Z}^n) \rightarrow \mathbb{R}[x_1, \dots, x_n]$ IS UNIMODULAR, IF $z(\phi P) = \phi z(P)$, $\forall \phi \in GL_n(\mathbb{Z}), P \in \mathcal{P}(\mathbb{Z}^n)$
- ▷ $Val^r(\mathbb{Z}^n) := \{z: \mathcal{P}(\mathbb{Z}^n) \rightarrow \mathbb{R}[x_1, \dots, x_n], \text{ UNIMODULAR, TRANSL. POLYNOMIAL VALUATION} \}$
- ▷ $Val_i^r(\mathbb{Z}^n) := \{z \in Val^r(\mathbb{Z}^n) : z \text{ IS } i\text{-HOMOGENEOUS} \} \leadsto Val^r = \bigoplus_{i=0}^{n+r} Val_i^r$

THM (BETKE + KNESEK): $Val_i^0(\mathbb{Z}^n) = \text{span} \{L_i^0\}$, $\forall 0 \leq i \leq n$.

→ WHAT ABOUT HIGHER DEGREES $r > 0$?

UNIMODULAR VALUATIONS

- ▷ $GL_n(\mathbb{Z})$ ACTS ON $\mathbb{R}[x_1, \dots, x_n]$ VIA $\phi f := f \circ \phi^*$
- ▷ $z: \mathcal{P}(\mathbb{Z}^n) \rightarrow \mathbb{R}[x_1, \dots, x_n]$ IS UNIMODULAR, IF $z(\phi P) = \phi z(P)$, $\forall \phi \in GL_n(\mathbb{Z}), P \in \mathcal{P}(\mathbb{Z}^n)$
- ▷ $Val^r(\mathbb{Z}^n) := \{z: \mathcal{P}(\mathbb{Z}^n) \rightarrow \mathbb{R}[x_1, \dots, x_n], \text{ UNIMODULAR, TRANSL. POLYNOMIAL VALUATION} \}$
- ▷ $Val_i^r(\mathbb{Z}^n) := \{z \in Val^r(\mathbb{Z}^n) : z \text{ IS } i\text{-HOMOGENEOUS} \} \leadsto Val^r = \bigoplus_{i=0}^{n+r} Val_i^r$

THM (BETKE + KNESEK): $Val_i^0(\mathbb{Z}^n) = \text{span} \{L_i^0\}$, $\forall 0 \leq i \leq n$.

→ WHAT ABOUT HIGHER DEGREES $r > 0$?

THM (LUDWIG + SILVERSTEIN): LET $1 \leq r \leq 8$, $1 \leq i \leq n$.

$$\Rightarrow Val_i^r(\mathbb{Z}^n) = \text{span} \{L_i^r\}$$

MOREOVER, $\dim Val_i^9(\mathbb{Z}^2) \geq 2$.

POLYGONS

THM (F + LUDWIG + RUBEN): LET $P_{23}(r) = \# \{ (s, t) : r = 2s + 3t \}, r \geq 1.$

$$\dim \text{Val}_i^r(\mathbb{Z}^2) = \begin{cases} 1, & \text{IF } r \leq i \leq r+2 \text{ OR } 1 \leq i < r \text{ AND } r-i \text{ IS ODD,} \\ P_{23}(r), & \text{IF } i = 1 < r \text{ AND } r-1 \text{ IS EVEN,} \\ P_{23}(\frac{r-i}{2} + 1), & \text{IF } 1 < i < r \text{ AND } r-i \text{ IS EVEN,} \\ 0 & \text{ELSE.} \end{cases}$$

POLYGONS

THM (F + LUDWIG + PUBEY): LET $P_{23}(r) = \# \{ (s, t) : r = 2s + 3t \}$, $r \geq 1$.

$$\dim \text{Val}_i^r(\mathbb{Z}^2) = \begin{cases} 1, & \text{IF } r \leq i \leq r+2 \text{ OR } 1 \leq i < r \text{ AND } r-i \text{ IS ODD,} \\ P_{23}(r), & \text{IF } i = 1 < r \text{ AND } r-1 \text{ IS EVEN,} \\ P_{23}(\frac{r-i}{2} + 1), & \text{IF } 1 < i < r \text{ AND } r-i \text{ IS EVEN,} \\ 0 & \text{ELSE.} \end{cases}$$

▷ CASE 1 IS IMPLICIT IN [LUDWIG + SILVERSTEIN].

▷ CASE 4 IS KHOVANSKII + PUKHLIKOV.

▷ IN THE CONVEX BODY SETTING, A GENERATING SYSTEM WAS FOUND BY ALLESKER.

▷ WE FOCUS ON CASES 2 & 3, SO LET $r-i > 0$ BE EVEN.

▷ ABBREVIATE $\text{Val}_i^r = \text{Val}_i^r(\mathbb{Z}^2)$.

1 - HOMOGENEOUS VALUATIONS

$r > 1$ ODD

▶ Let $Z \in \text{Val}_r$ $\Rightarrow Z(\triangle) \in \mathbb{R}[x, y]_r^G$, WHERE
 $\triangle \in \text{conv}\{0, e_1, e_2\}$

$G = \{ \phi \in GL_2(\mathbb{Z}) : \phi(\triangle) = \triangle + v \text{ for some } v \in \mathbb{Z}^2 \}$. IN FACT: $\text{Val}_r \simeq \mathbb{R}[x, y]_r^G$

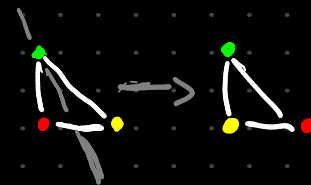
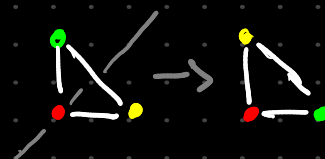
1 - HOMOGENEOUS VALUATIONS

$r > 1$ ODD

▶ Let $Z \in \text{Val}_r^+$ $\Rightarrow Z(\triangle) \in \mathbb{R}[x, y]_r^G$, WHERE
 $\triangle = \text{conv}\{0, e_1, e_2\}$

$G = \{\phi \in GL_2(\mathbb{Z}) : \phi(\triangle) = \triangle + v \text{ for some } v \in \mathbb{Z}^2\}$. IN FACT: $\text{Val}_r^+ \simeq \mathbb{R}[x, y]_r^G$.

▶ $G = \left\langle \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} -1 & -1 \\ 0 & 1 \end{pmatrix} \right\rangle \simeq S_3$



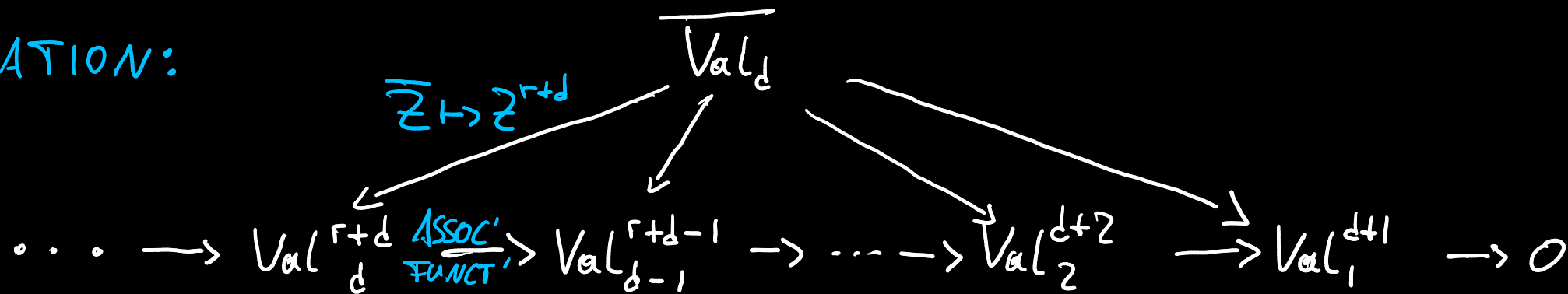
$\Rightarrow \mathbb{R}[x, y]_r^G = \mathbb{R}[x^2 - xy + y^2, x^3 - \frac{3}{2}(x^2y + xy^2) + y^3]$

$\Rightarrow \dim \text{Val}_r^+ = p_{\geq 3}(r)$

EXPONENTIAL VALUATIONS $d > 0$ EVEN

$$\overline{\text{Val}}_d := \left\{ \bar{z} = \sum_{r \geq 0} z^r : z^r \in \text{Val}_{r-d} \text{ AND } \bar{z}(P+v) = e^v \bar{z}(P) \right\}$$

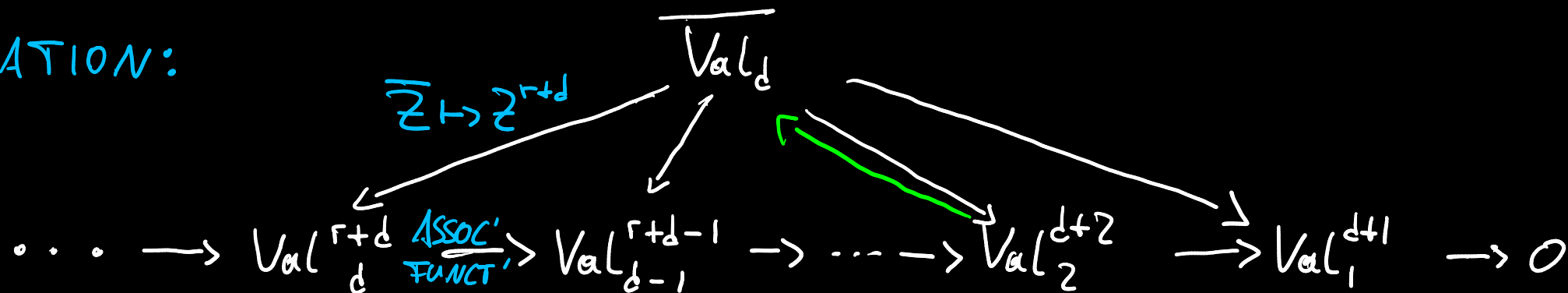
SITUATION:



EXPONENTIAL VALUATIONS $d > 0$ EVEN

$$\overline{\text{Val}}_d := \left\{ \bar{z} = \sum_{r \geq 0} z^r : z^r \in \text{Val}_{r-d} \text{ AND } \bar{z}(P+v) = e^v \bar{z}(P) \right\}$$

SITUATION:

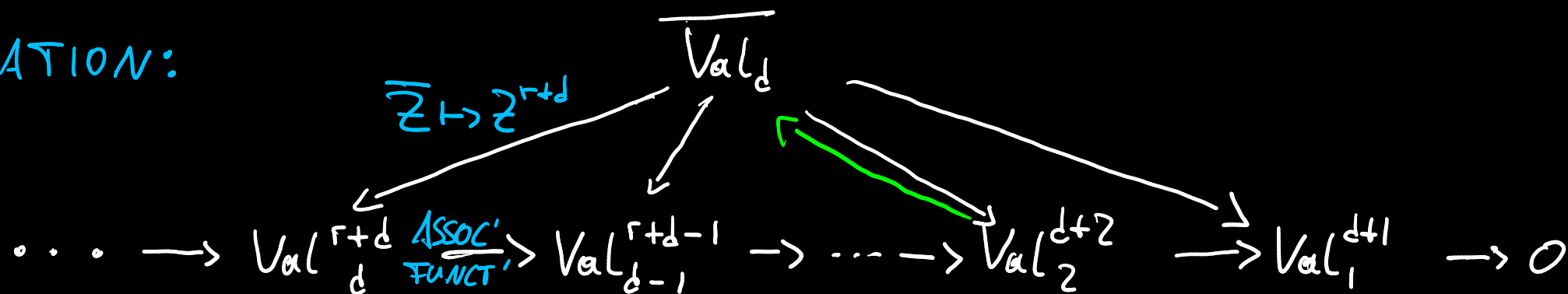


$\overline{\text{Val}}_{d+2} \xrightarrow{\quad} \overline{\text{Val}}_d$ CAN BE REVERSED BY $\bar{z}(\mathbb{R}_{\geq 0}^?) = \frac{z^{d+2}(\text{[scribble]})}{x^y} e^{\mathbb{R}(x,y)_d}$

EXPONENTIAL VALUATIONS $d > 0$ EVEN

$$\overline{\text{Val}}_d := \left\{ \bar{z} = \sum_{r \geq 0} z^r : z^r \in \text{Val}_{r-d} \text{ AND } \bar{z}(P+v) = e^v \bar{z}(P) \right\}$$

SITUATION:



$\text{Val}_2^{d+2} \xrightarrow{\quad} \overline{\text{Val}}_d$ CAN BE REVERSED BY $\bar{z}(\mathbb{R}_{\geq 0}^?) = \frac{z_2^{d+2}(\text{?})}{x^y} e^{\mathbb{R}(x,y)_d}$

$$\Rightarrow \overline{\text{Val}}_d \simeq \text{Val}_i^{d+i}, \quad \forall i \geq 2$$

FINISH BY PROVING $\dim \text{Val}_2^{d+2} = \rho_{2,3} \left(\frac{d}{2} + 1 \right).$

DIMENSION 3

LET $r > 1$ BE ODD, $z \in \text{Val}_1^r$

▷ SINCE VALUATIONS IN $\text{Val}_1^r(\mathbb{C}^2)$ ARE SIMPLE:

$$\gamma(P) := \begin{cases} z(P) & \dim P \leq 2 \\ \frac{1}{2} \sum_{F \in \mathcal{F}_2(P)} z(F) & \dim P = 3 \end{cases}$$

DEFINES A VALUATION IN $\text{Val}_1^r(\mathbb{C}^3)$

DIMENSION 3

LET $r > 1$ BE ODD, $z \in \text{Val}_1^r$

⇒ SINCE VALUATIONS IN $\text{Val}_1^r(\mathbb{Z}^2)$ ARE SIMPLE:

$$\gamma(P) := \begin{cases} z(P) & \dim P \leq 2 \\ \frac{1}{2} \sum_{F \in \mathcal{F}_2(P)} z(F) & \dim P = 3 \end{cases}$$

DEFINES A VALUATION IN $\text{Val}_1^r(\mathbb{Z}^3)$

⇒ CONVERSELY, WE HAVE (McMULLEN): $\gamma(P) = \frac{1}{2} \sum_F \gamma(F)$, $\forall \gamma \in \text{Val}_1^r(\mathbb{Z}^3)$.

⇒ $\dim \text{Val}_1^r(\mathbb{Z}^3) = \rho_{23}(r)$, $\forall r > 1$ odd.

DIMENSION 3

LET $r > 1 \in \text{ODD}$, $z \in \text{Val}_1^r$

▷ SINCE VALUATIONS IN $\text{Val}_1^r(\mathbb{Z}^3)$ ARE SIMPLE:

$$\gamma(P) := \begin{cases} z(P) & \dim P \leq 2 \\ \frac{1}{2} \sum_{F \in \mathcal{F}_2(P)} z(F) & \dim P = 3 \end{cases}$$

DEFINES A VALUATION IN $\text{Val}_1^r(\mathbb{Z}^3)$

▷ CONVERSELY, WE HAVE (McMULLEN): $\gamma(P) = \frac{1}{2} \sum_F \gamma(F)$, $\forall \gamma \in \text{Val}_1^r(\mathbb{Z}^3)$.

$\Rightarrow \dim \text{Val}_1^r(\mathbb{Z}^3) = p_{23}(r)$, $\forall r > 1 \text{ odd}$.

▷ A SIMILAR ARGUMENT GIVES $\dim \text{Val}_i^r(\mathbb{Z}^3) = p_{23}(\frac{r-i}{2} + 1)$, $\forall 1 < i < r$, $r-i \in \text{EVEN}$

DIMENSION 3

LET $r > 1$ BE ODD, $z \in \text{Val}_1^r$

▷ SINCE VALUATIONS IN $\text{Val}_1^r(\mathbb{Z}^3)$ ARE SIMPLE:

$$\gamma(P) := \begin{cases} z(P) & \dim P \leq 2 \\ \frac{1}{2} \sum_{F \in \mathcal{F}_2(P)} z(F) & \dim P = 3 \end{cases}$$

DEFINES A VALUATION IN $\text{Val}_1^r(\mathbb{Z}^3)$

▷ CONVERSELY, WE HAVE (McMULLEN): $\gamma(P) = \frac{1}{2} \sum_F \gamma(F)$, $\forall \gamma \in \text{Val}_1^r(\mathbb{Z}^3)$.

$\Rightarrow \dim \text{Val}_1^r(\mathbb{Z}^3) = p_{23}(r)$, $\forall r > 1$ odd.

▷ A SIMILAR ARGUMENT GIVES $\dim \text{Val}_i^r(\mathbb{Z}^3) = p_{23}(\frac{r-i}{2} + 1)$, $\forall 1 < i < r$, $r-i$ EVEN

THANK YOU.