

COL 351 : ANALYSIS & DESIGN OF ALGORITHMS

LECTURE 3

DIVIDE & CONQUER II :

MERGE SORT (CONTD.) AND ASYMPTOTIC ANALYSIS

JULY 26, 2024

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ROHIT VAISH

ANNOUNCEMENTS

Tutorial Sheet 1 is available.

Tutorials start on Monday, July 29

Groups will be announced by Saturday (July 27)

INTEGER MULTIPLICATION

input: two n digit numbers x and y

output: the product $x \cdot y$

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Grade-school multiplication

$\leq 9n^2$ basic operations

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Grade-school multiplication

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Recursive algorithm (4 calls)

?

Karatsuba algorithm (3 calls)

?

MERGE SORT

input : array A of n distinct integers

output: array with the same numbers sorted in increasing order

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MERGE SUBROUTINE

input : sorted arrays L and R of length $n/2$ each

output: sorted array B of length n

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assume n is even

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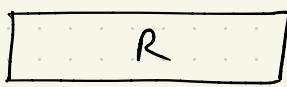
initialize $i := 1$

initialize $j := 1$

assume n is even



\uparrow
 i



\uparrow
 j

MERGE SUBROUTINE

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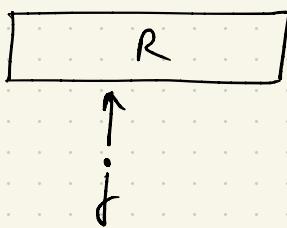
output: sorted array B of length n

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initialize $j := 1$

for $k := 1$ to n

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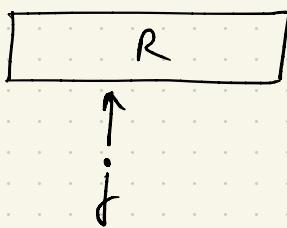
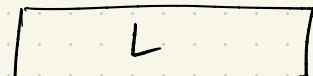
initialize $i := 1$

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 if $L[i] < R[j]$ then

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MERGE SUBROUTINE

input: sorted arrays L and R of length $n/2$ each

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initialize $i := 1$

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$B[k] := L[i]$

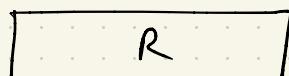
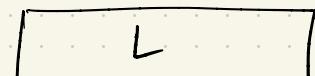
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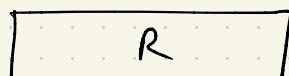
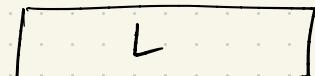
 ignoring end cases

 when i or j falls off

$B[k] := R[j]$

 increment j by 1

assume n is even



MERGE SORT RUNNING TIME

What is the basic operation ?

MERGE SORT RUNNING TIME

What is the basic operation ?

- Commonly , any pairwise comparison
- for now , a line-by-line implementation
using a debugger

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Let's start by analyzing MERGE subroutine.

MERGE SUBROUTINE

input: sorted arrays L and R of length $n/2$ each

output: sorted array B of length n

initialize $i := 1$

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 if $L[i] < R[j]$ then

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input: sorted arrays L and R of length $n/2$ each

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if $L[i] < R[j]$ then

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?? operations

MERGE SUBROUTINE

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$3+1=4$ operations
incrementing k

MERGE SUBROUTINE

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output: sorted array B of length n

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$3+1=4$ operations
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4 operations

MERGE SUBROUTINE

input: sorted arrays L and R of length $n/2$ each

output: sorted array B of length n

assume n is even

initialize $i := 1$ }
initialize $j := 1$ } 2 operations

for $k := 1$ to n executes n times

if $L[i] < R[j]$ then }

$B[k] := L[i]$ }
increment i by 1 }

4n + 2 operations

$3+1=4$ operations
incrementing k

else

$B[k] := R[j]$ }
increment j by 1 }

4 operations

MERGE SUBROUTINE

Lemma: Running time of MERGE subroutine on input arrays
of length $\frac{n}{2}$ each is $\leq 4n + 2$.

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Lemma: Running time of MERGE subroutine on input arrays of length $l/2$ each is $\leq 4l + 2$.

$$\leq 6l \quad \text{since } l \geq 1$$

(So we can plug in other values of l later)

MERGE SORT RUNNING TIME

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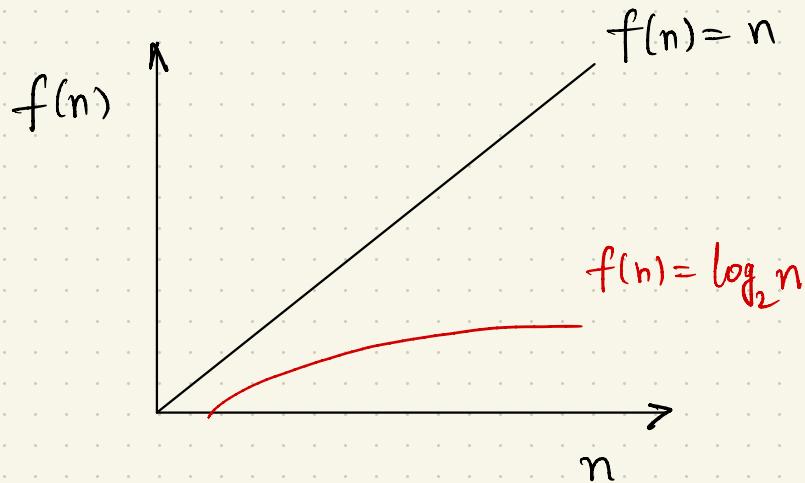


MERGE SORT RUNNING TIME

Theorem: For every input array of length $n \geq 1$, Merge Sort performs at most $6n \log_2 n + 6n$ operations.

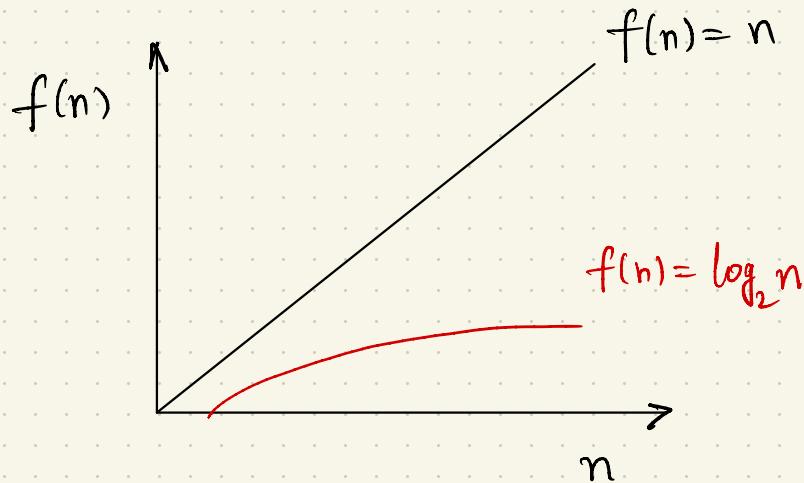
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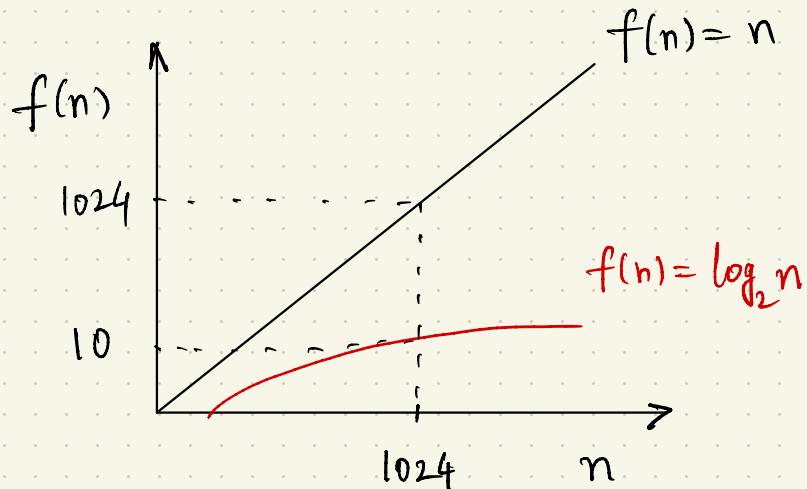
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MERGE SORT RUNNING TIME

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Proof via recursion tree

MERGE SORT RUNNING TIME

Proof : (assuming n is power of 2)

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Root



level 0 outermost call

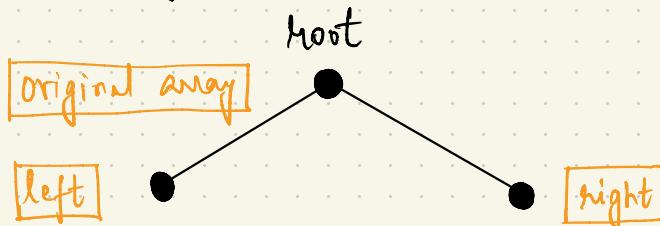
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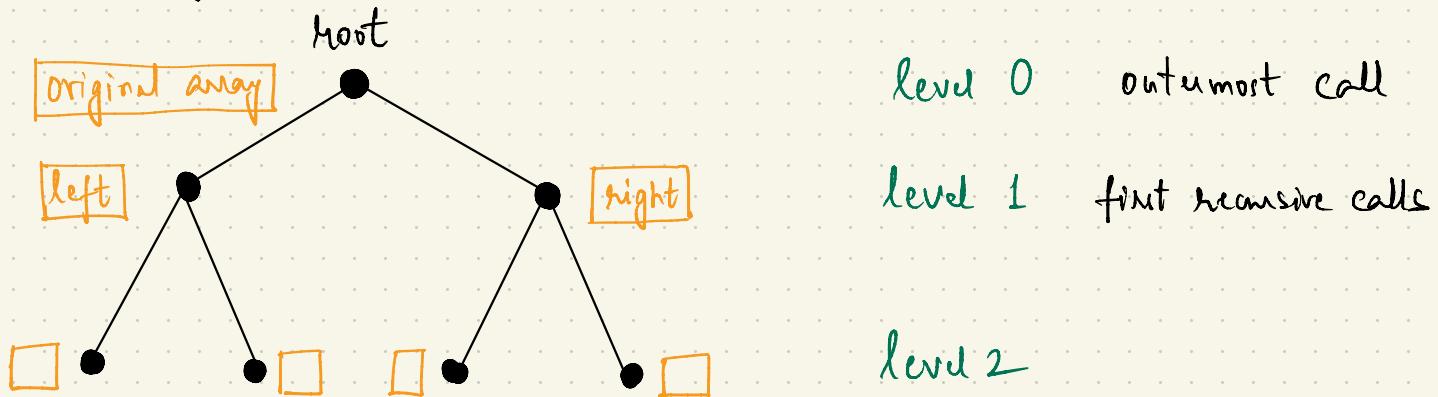


level 0 outermost call

level 1 first recursive calls

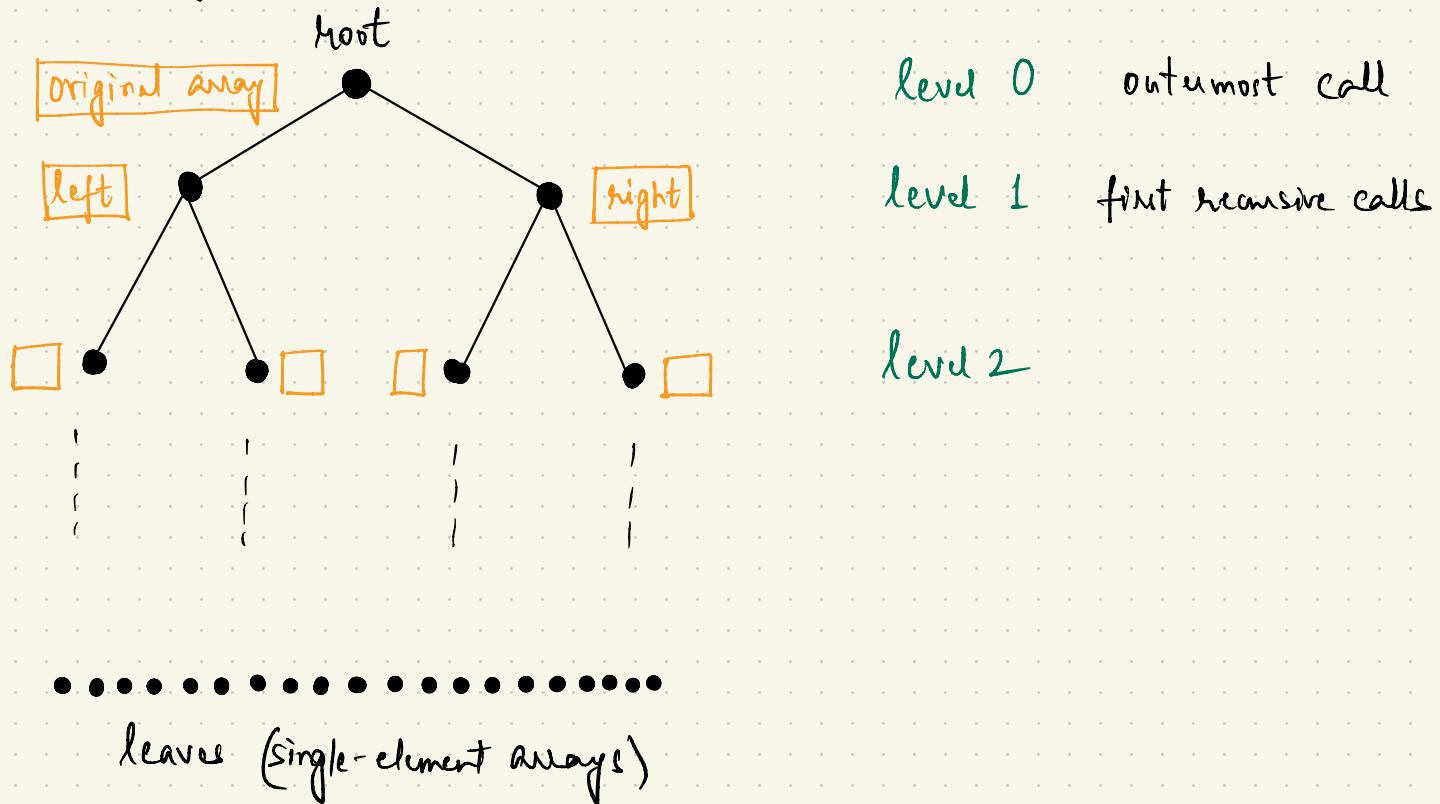
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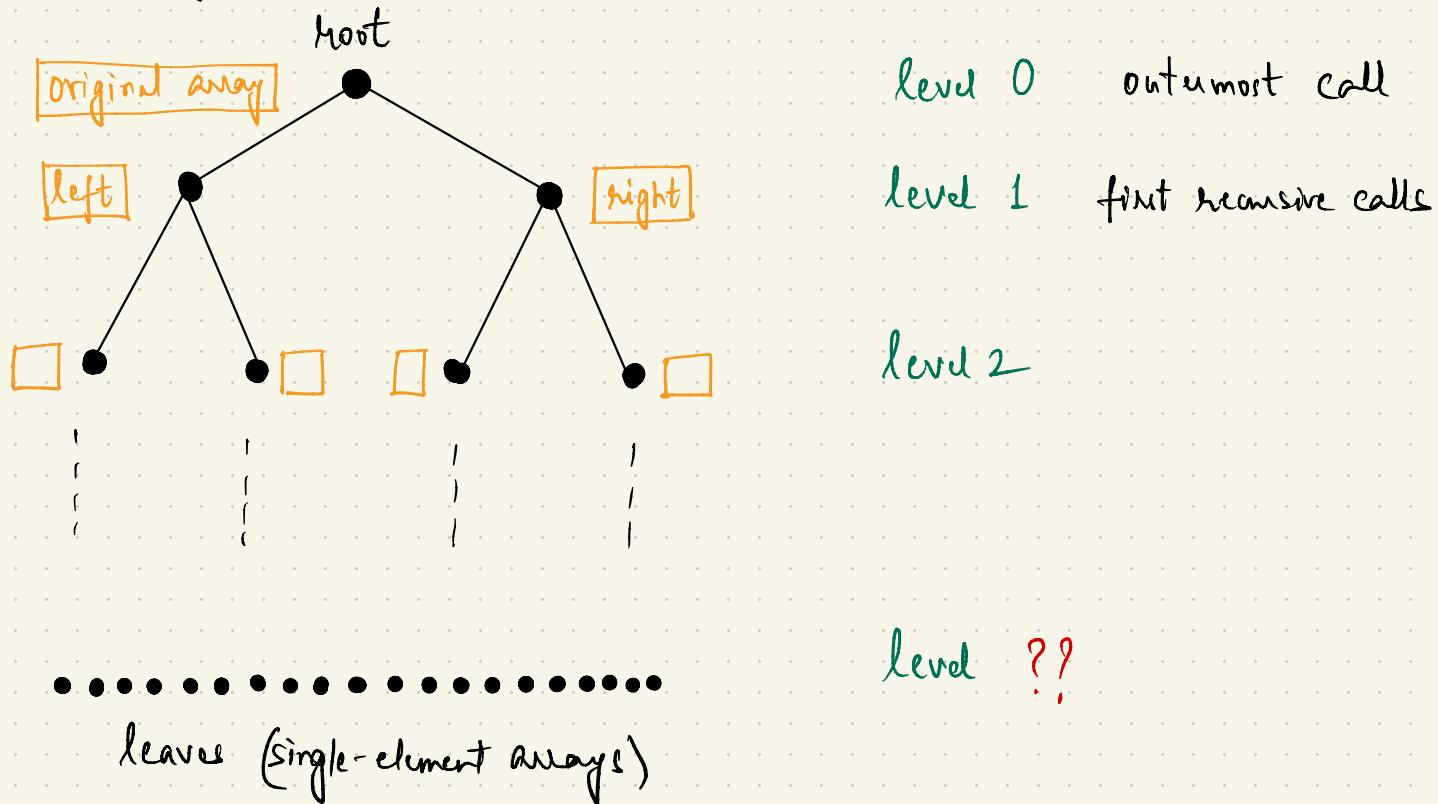
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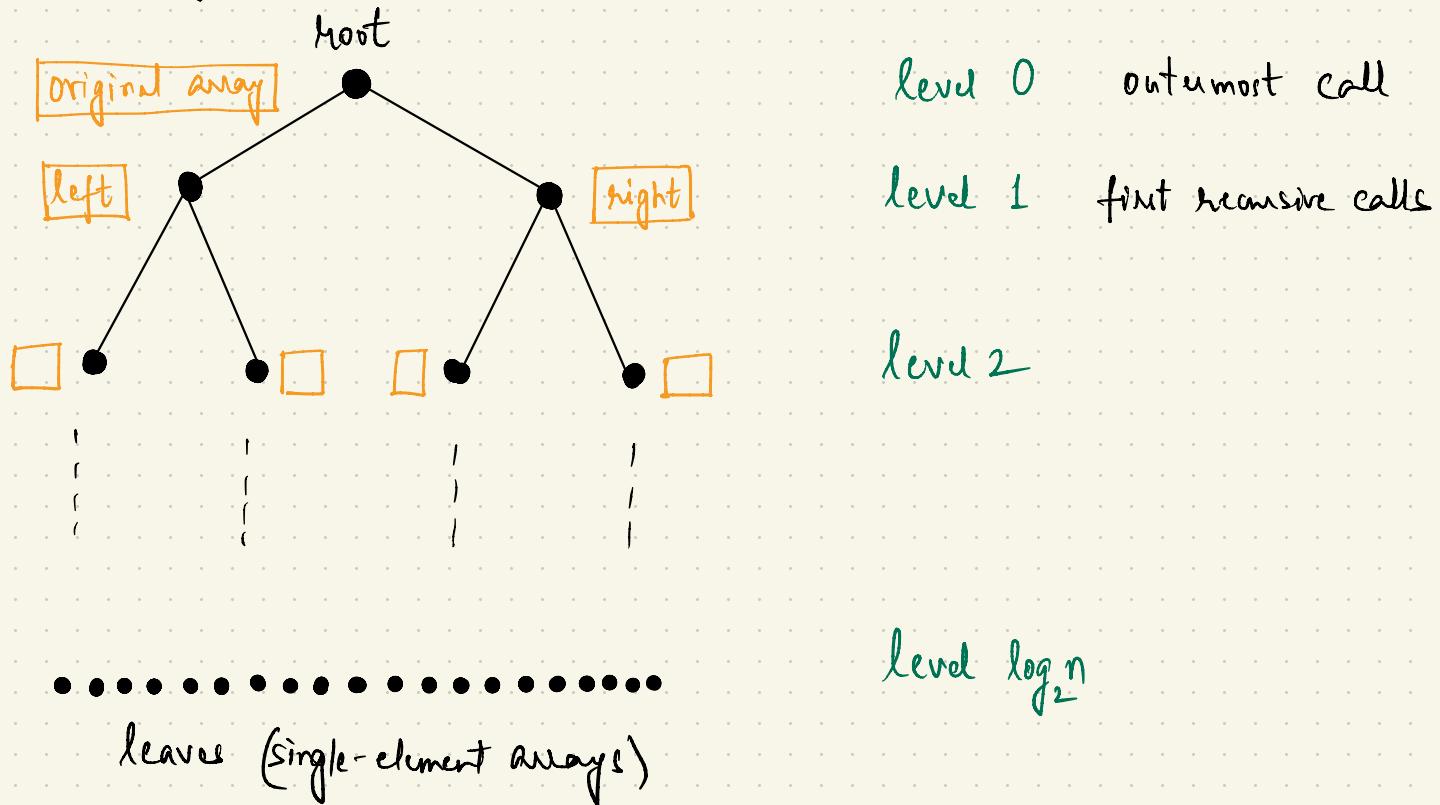
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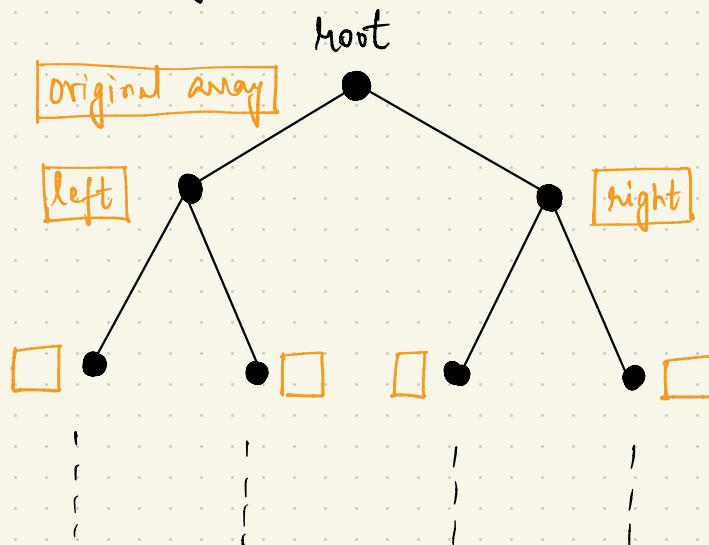
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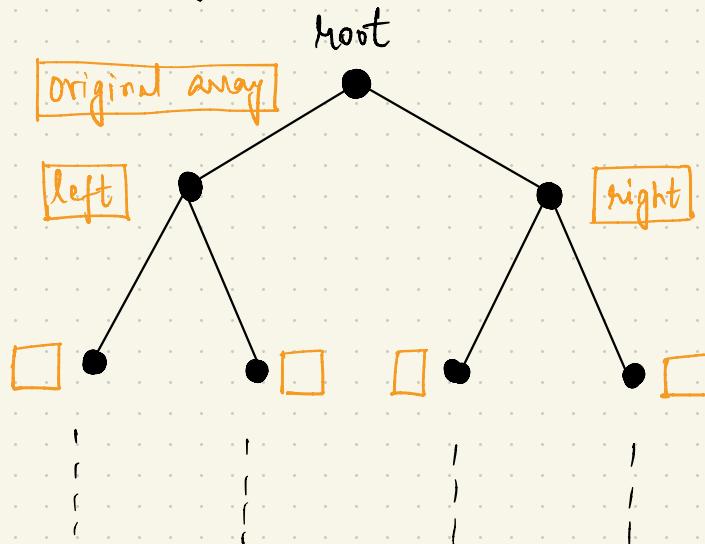
$\log_2 n + 1$ levels in total



.....
leaves (single-element arrays)

MERGE SORT RUNNING TIME

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At level $j \in \{0, 1, \dots, \log_2 n\}$

?? subproblems

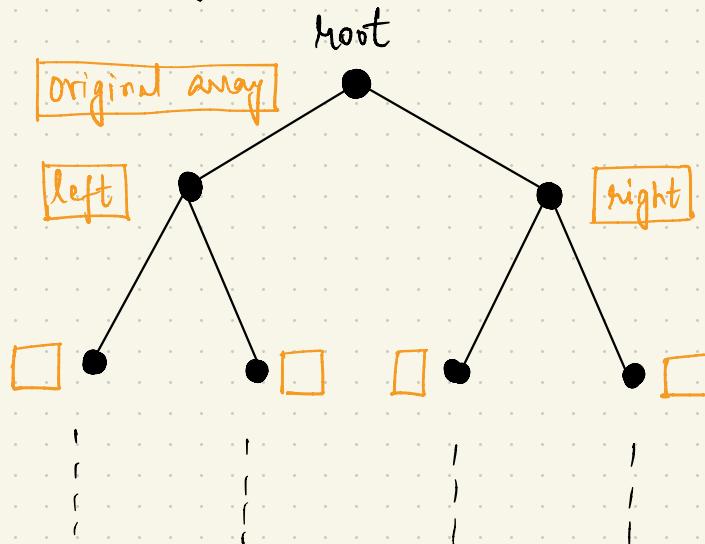
Each of size ??

• • • • • • • • • • • • • •

leaves (single-element arrays)

MERGE SORT RUNNING TIME

Proof : (assuming n is power of 2)



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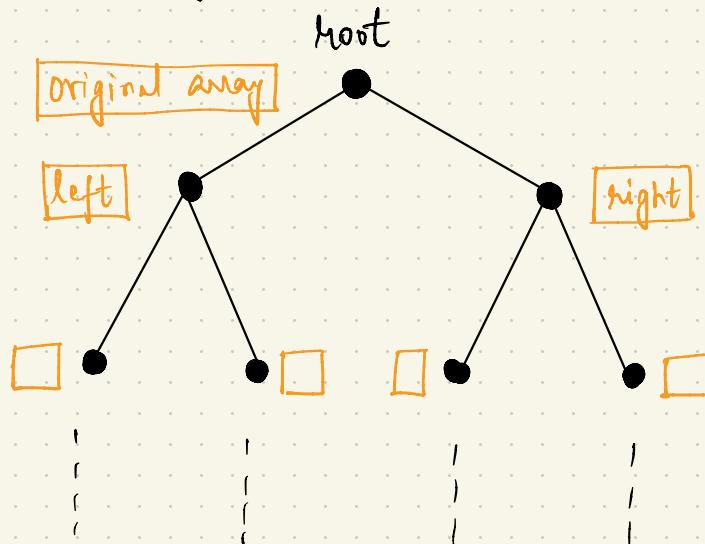
At level $j \in \{0, 1, \dots, \log_2 n\}$

2^j subproblems

Each of size $n/2^j$

MERGE SORT RUNNING TIME

Proof : (assuming n is power of 2)



How much work does Merge Sort do at level j ?

• • • • • • • • • • • • • •

leaves (single-element arrays)

MERGE SORT : PSEUDO CODE

if $n \leq 1$

 return A

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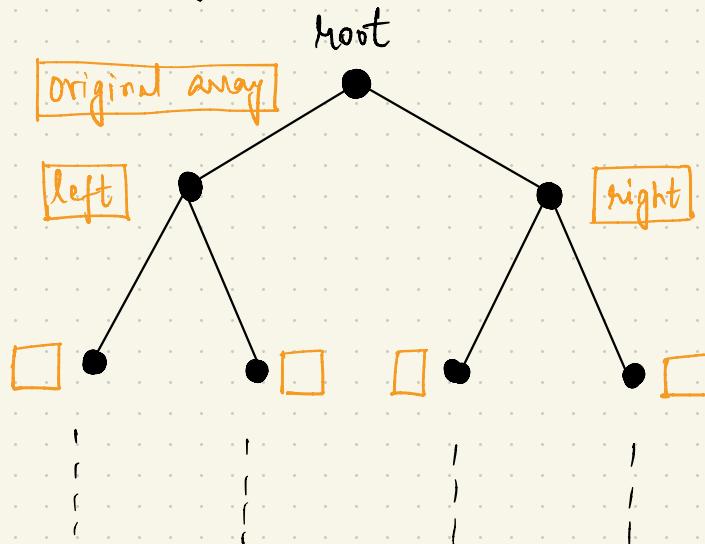
$R :=$ right " "

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only need to count this

MERGE SORT RUNNING TIME

Proof : (assuming n is power of 2)



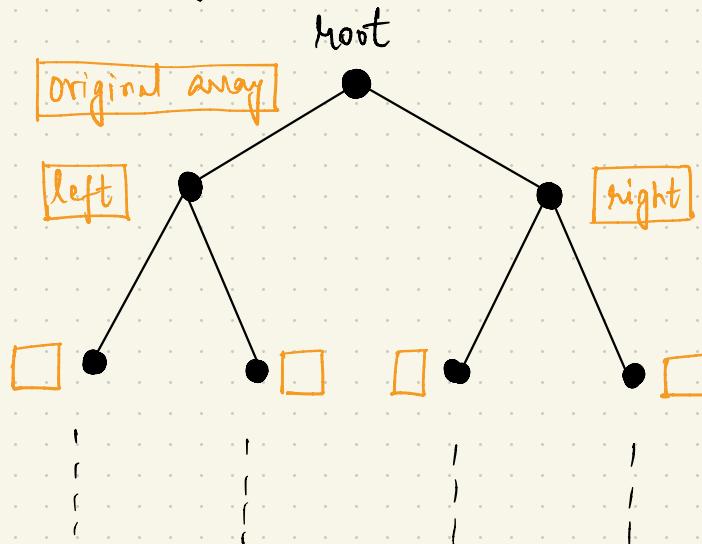
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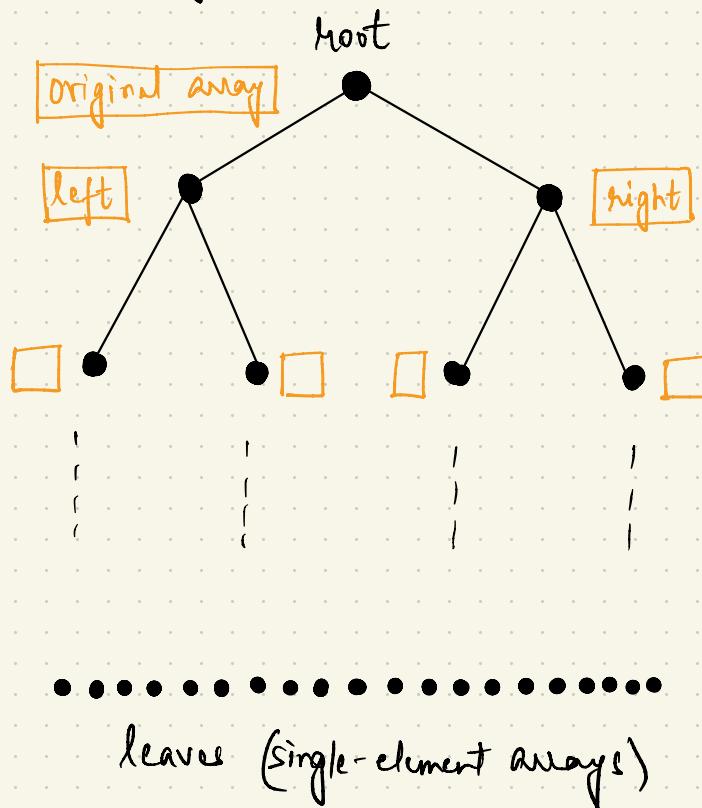
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Work done by merge at level j

MERGE SORT RUNNING TIME

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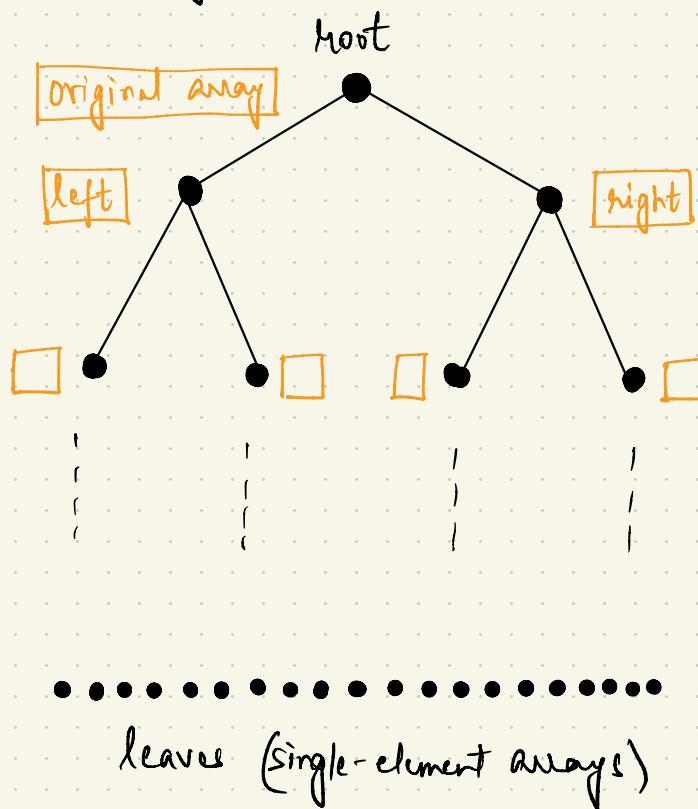
$$\leq 2^j$$

/

subproblems

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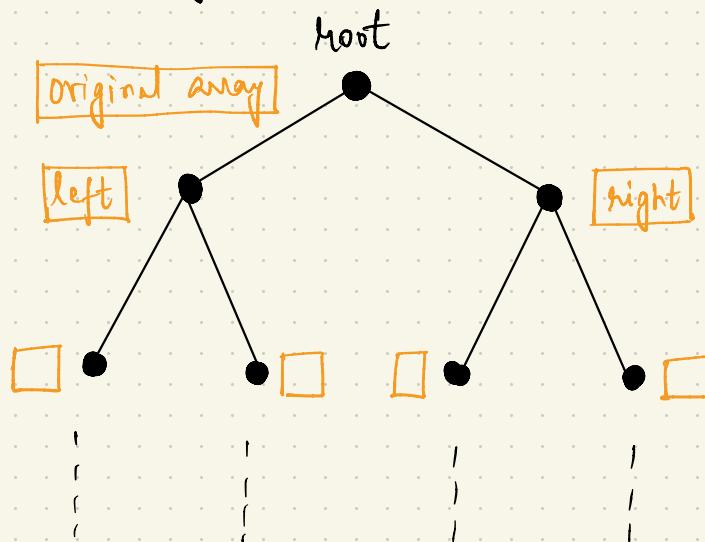
$$\leq 2^j \times 6 \left(\frac{n}{2^j} \right)$$

subproblems

size $l = n/2^j$
(from Lemma)

MERGE SORT RUNNING TIME

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Work done by merge
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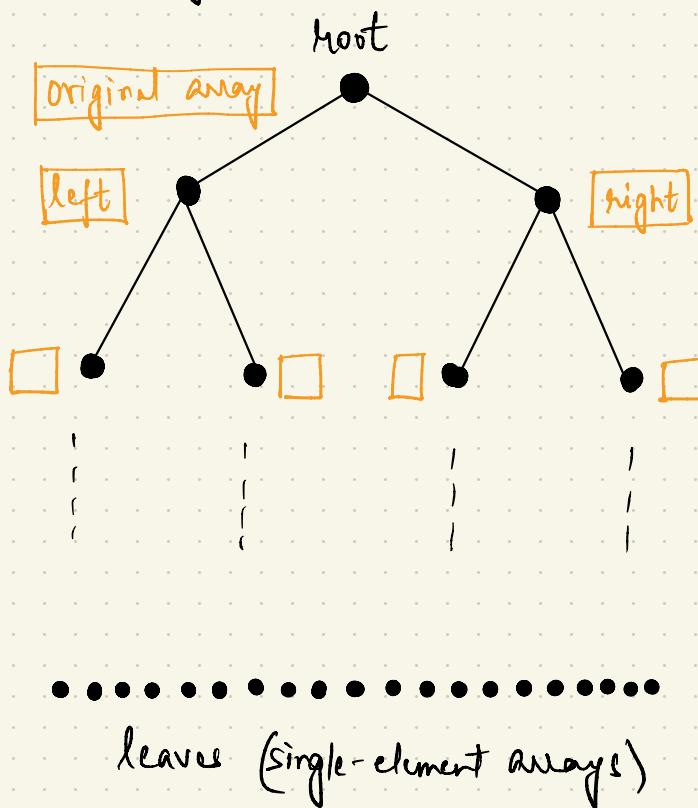
$$\leq \cancel{\frac{1}{2^j}} \times 6 \left(\frac{n}{\cancel{2^j}}\right)$$

• • • • • • • • • • • • • •

leaves (single-element arrays)

MERGE SORT RUNNING TIME

Proof : (assuming n is power of 2)



How much work does Merge Sort do at level j ?

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Work done by merge
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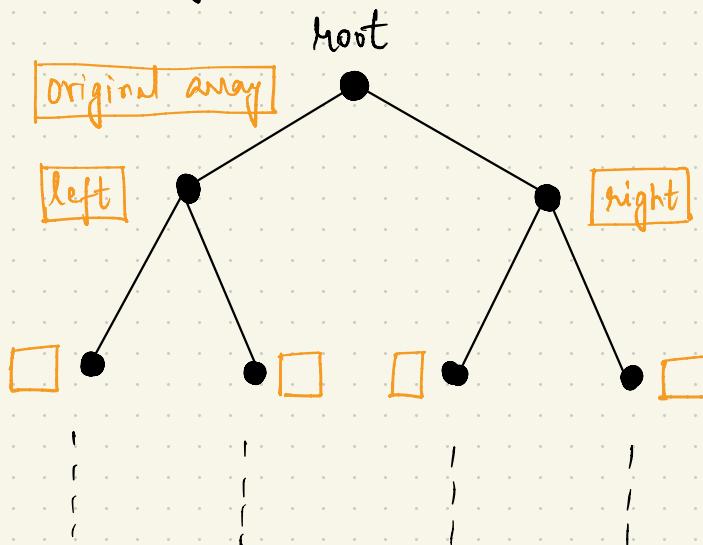
$$\leq \cancel{\frac{1}{2^j}} \times 6 \left(\frac{n}{\cancel{2^j}}\right)$$

$$= 6n$$

independent of j

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Work done by merge
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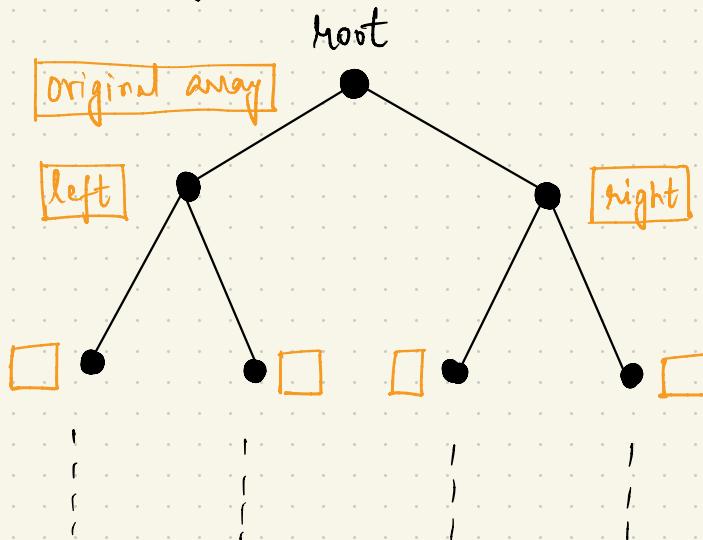
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subproblems ↑ by $2x$
size/subproblem ↓ by $2x$

MERGE SORT RUNNING TIME

Proof : (assuming n is power of 2)



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leaves (single-element arrays)

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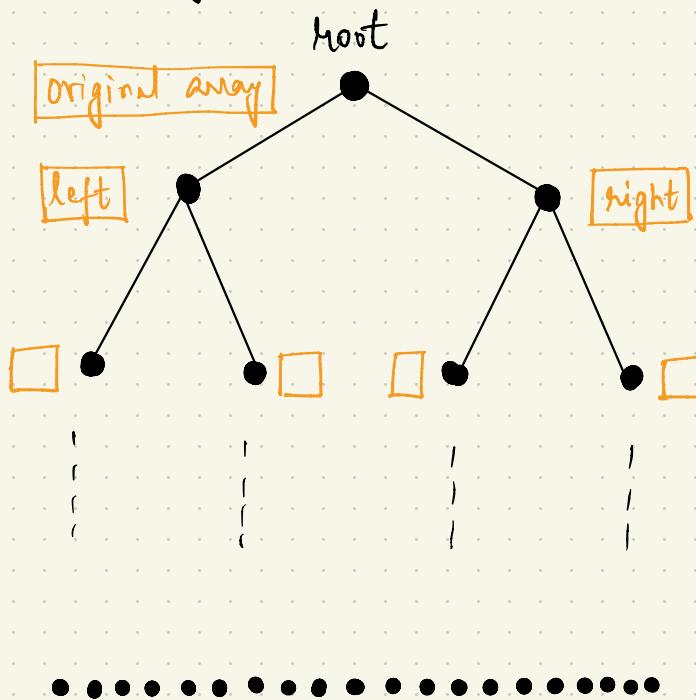
$$\leq \cancel{\frac{1}{2^j}} \times 6 \left(\frac{n}{\cancel{2^j}}\right)$$

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Total work done =

MERGE SORT RUNNING TIME

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Work done by merge
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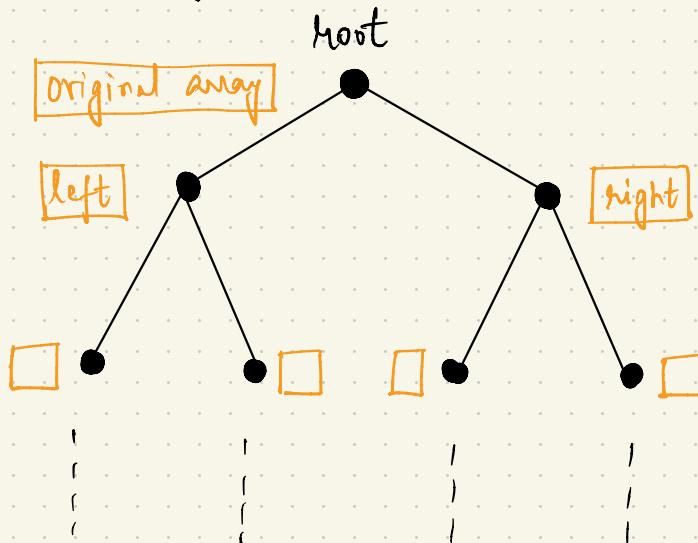
$$\leq \cancel{\frac{1}{2^j}} \times 6 \left(\frac{n}{\cancel{2^j}} \right)$$

$$= 6n$$

$$\text{Total work done} = 6n \cdot \underbrace{(\log_2 n + 1)}_{\# \text{ levels}}$$

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Proof : (assuming n is power of 2)



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Work done by merge
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$$\leq \cancel{\frac{1}{2^j}} \times 6 \left(\frac{n}{\cancel{2^j}}\right)$$

$$= 6n$$

Total work done = $6n \cdot (\log_2 n + 1)$

MAKING SOME ASSUMPTIONS EXPLICIT

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Worst-case (or adversarial) analysis

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- " $6n \log_2 n + 6n$ " bound holds for every single input of size n
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Not too worried about precise constants

MAKING SOME ASSUMPTIONS EXPLICIT

Worst-case (or adversarial) analysis

- " $6n \log_2 n + 6n$ " bound holds for every single input of size n
- no assumption on where the input comes from

Not too worried about precise constants

- used " $6l$ " instead of " $4l+2$ " for MERGE
- helps focus on properties of algorithms that **transcend** dependence on machine, programming language, architecture, etc.
- mathematically **easier** and **no loss** in predictive power

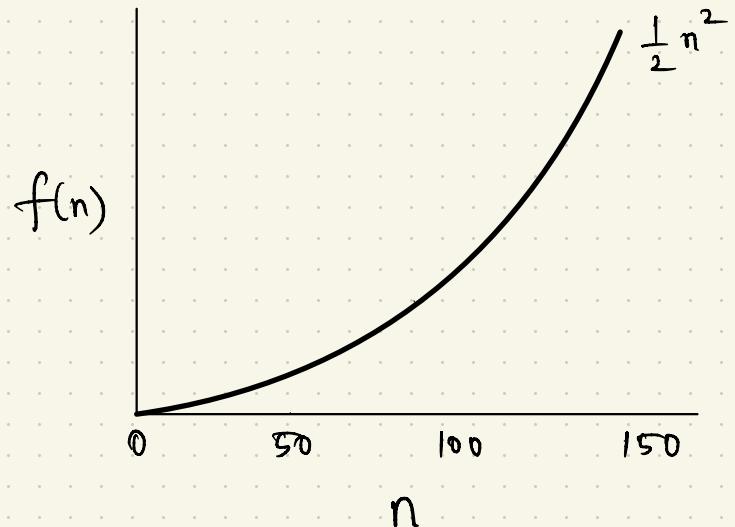
ASYMPTOTIC ANALYSIS

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Which running time is better : $6n \log_2 n + 6n$ or $\frac{1}{2}n^2$?

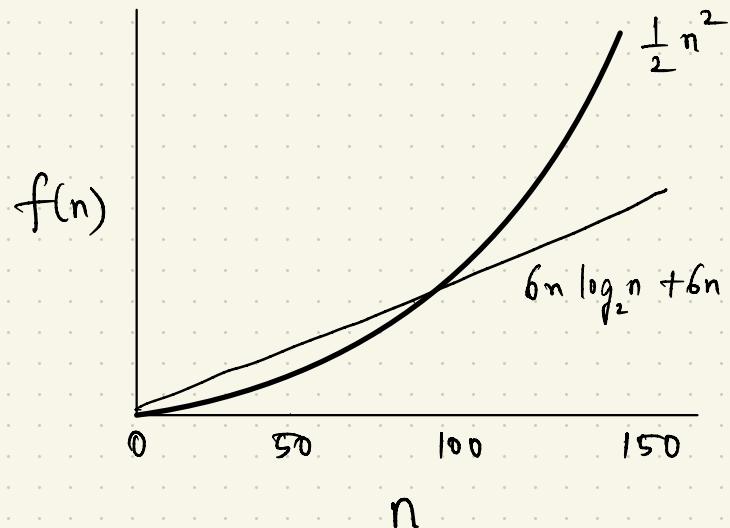
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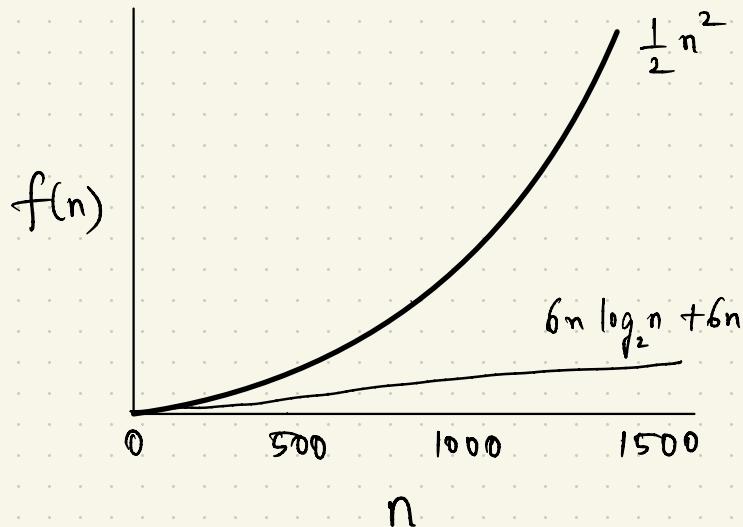
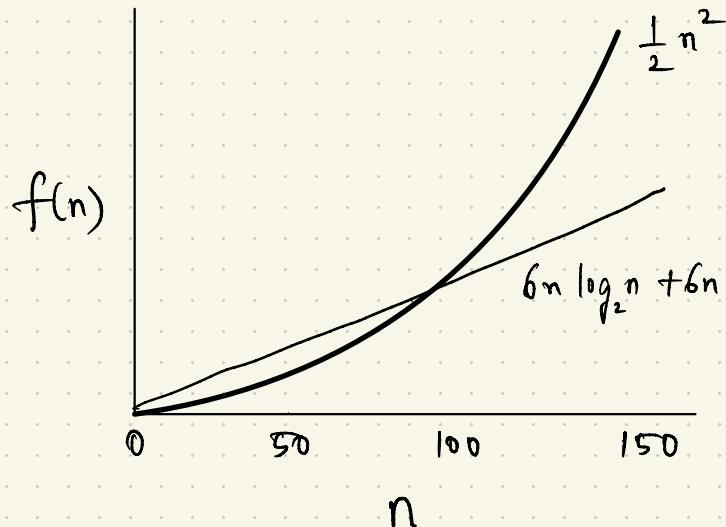
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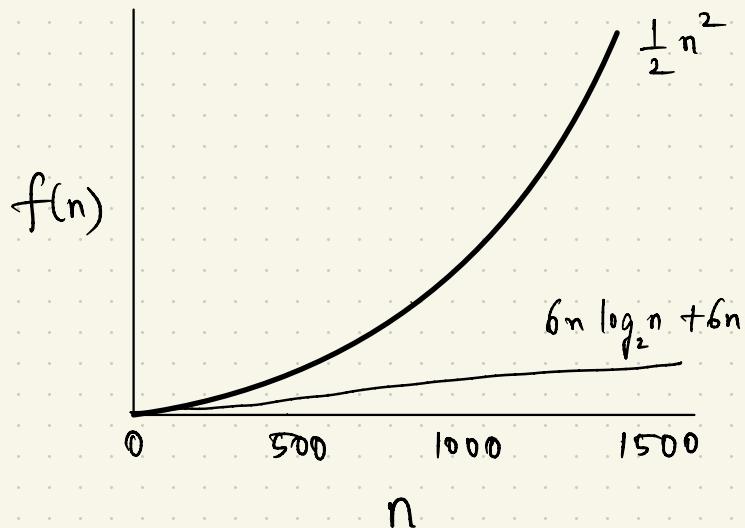
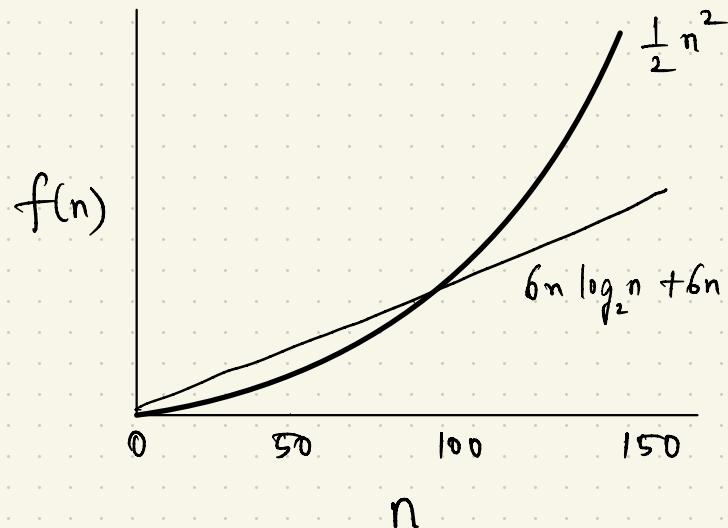
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ASYMPTOTIC ANALYSIS

Which running time is better : $6n \log_2 n + 6n$ or $\frac{1}{2}n^2$?



$6n \log_2 n + 6n$ is faster for sufficiently large inputs

ASYMPTOTIC ANALYSIS

Why care about large inputs?

ASYMPTOTIC ANALYSIS

Why care about large inputs?

- most algorithms can easily handle small inputs ;
it's the large inputs that require algorithmic cleverness
- our ambitions grow with technological progress !

Need fast algorithms for large inputs

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An algorithm whose **worst-case** running time
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polynomial time

e.g., n , n^2 , $100n^3 + 35n^2 + 475$ etc.

WHAT IS A "FAST" ALGORITHM ?

An algorithm whose worst-case running time
grows slowly with input size



polynomial time

e.g., n , n^2 , $100n^3 + 35n^2 + 475$ etc.

WHAT IS A "FAST" ALGORITHM ?

An algorithm whose **worst-case** running time
grows **slowly** with input size

Poly-time in theory \implies fast in practice
*often but
not always*

Better Balance by Being Biased: A 0.8776-Approximation for Max Bisection

Per Austrin*, Siavosh Benabbas*, and Konstantinos Georgiou†

has a lot of flexibility, indicating that further improvements may be possible. We remark that, while polynomial, the running time of the algorithm is somewhat abysmal; loose estimates places it somewhere around $O(n^{10^{100}})$; the running time of the algorithm of [RT12] is similar.

Picture-Hanging Puzzles

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Theorem 7 *For any $n \geq k \geq 1$, there is a picture hanging on n nails, of length $n^{c'}$ for a constant c' , that falls upon the removal of any k of the nails.*

of sorting networks is under $6,100 \log_2 n$. Applying Theorem 3, we obtain a picture hanging of length $c^{6,100 \log_2 n} = n^{6,100 \log_2 c}$. Using the $c \leq 1,078$ upper bound, we obtain an upper bound of $c' \leq 6,575,800$. Using the $c \leq 256 + o(1)$ upper bound, we obtain an upper bound of $c' \leq 1,561,600 + o(1)$.

So, while this construction is polynomial, it is a rather large polynomial. For small values of n , we can use known small sorting networks to obtain somewhat reasonable constructions.



Simplex algorithm

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This article is about the linear programming algorithm. For the non-linear optimization heuristic, see Nelder–Mead method.

In mathematical optimization, Dantzig's **simplex algorithm** (or **simplex method**) is a popular [algorithm](#) for linear programming.^[1]

Not poly-time in the worst case!