

# COL 351 : ANALYSIS & DESIGN OF ALGORITHMS

## LECTURE 5

### DIVIDE & CONQUER IV :

COUNTING INVERSIONS (CONTD.), MATRIX MULTIPLICATION AND MASTER THEOREM

JULY 31, 2024

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ROHIT VAISH

# COUNTING INVERSIONS

**input:** a length  $n$  array  $A$  of distinct integers

**output:** the number of **inversions** of  $A$

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\

pairs  $(i, j)$  of array indices with  
 $i < j$  and  $A[i] > A[j]$ .

# COUNTING INVERSIONS

Brute force algorithm: check every pair of indices  $(i, j)$

$$\Theta(n^2)$$

# COUNTING INVERSIONS

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$$\Theta(n^2)$$

Can we do better?

Yes!  $O(n \log n)$  algorithm via divide-and-conquer.

# COUNTING INVERSIONS

Call an inversion  $(i, j)$  where  $i < j$

left inversion if  $i, j \leq n/2$

right inversion if  $i, j > n/2$

split inversion if  $i \leq \frac{n}{2} < j$

# COUNTING INVERSIONS

Call an inversion  $(i, j)$  where  $i < j$

left inversion if  $i, j \leq n/2$  ←

right inversion if  $i, j > n/2$  ← compute these recursively

split inversion if  $i \leq \frac{n}{2} < j$  ← compute these in "combine" step

# HIGH-LEVEL ALGORITHM

**input:** An array A of n distinct integers

**output:** the number of inversions of A

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$$A = \boxed{\begin{array}{|c|c|c|c|c|c|c|c|} \hline \frac{n}{2}+1 & \frac{n}{2}+2 & \dots & n & 1 & 2 & \dots & \frac{n}{2} \\ \hline \end{array}}$$

# split inversions = ?

$$A = \boxed{\begin{array}{|c|c|c|c|c|c|c|c|} \hline \frac{n}{2}+1 & \frac{n}{2}+2 & \dots & n & 1 & 2 & \dots & \frac{n}{2} \\ \hline \end{array}}$$

$$\# \text{ split inversions} = n^2/4$$

|                   |                   |     |     |     |     |     |               |
|-------------------|-------------------|-----|-----|-----|-----|-----|---------------|
| $\frac{n}{2} + 1$ | $\frac{n}{2} + 2$ | --- | $n$ | $1$ | $2$ | --- | $\frac{n}{2}$ |
|-------------------|-------------------|-----|-----|-----|-----|-----|---------------|

$$\# \text{ split inversions} = n^2/4$$

Possible to compute split inversions in  $O(n)$  time ?

✓  
Suffices for  $O(n \log n)$  time overall



Piggyback on Merge Sort



Piggyback on Merge Sort

Suppose A has no split inversion.



## Piggyback on Merge Sort

Suppose A has **no** split inversion.

Then , every element in  
left half of A                  <                  every element in  
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What does merge subroutine do for such array?



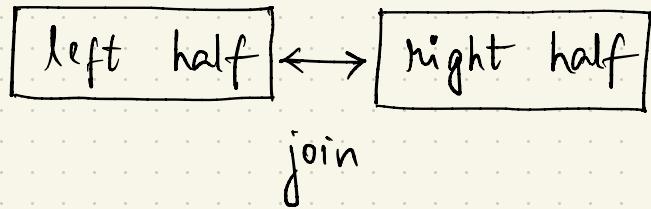
## Piggyback on Merge Sort

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Concatenation!



What does merge subroutine do when there **are** split inversions?

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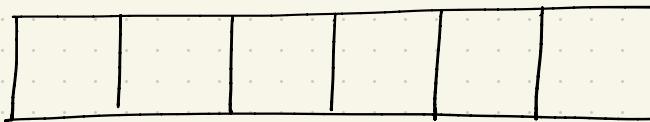
Consider merging

|   |   |   |
|---|---|---|
| 1 | 3 | 5 |
|---|---|---|

and

|   |   |   |
|---|---|---|
| 2 | 4 | 6 |
|---|---|---|

Output

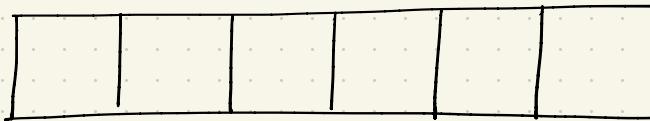


What does merge subroutine do when there **are** split inversions?

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Output



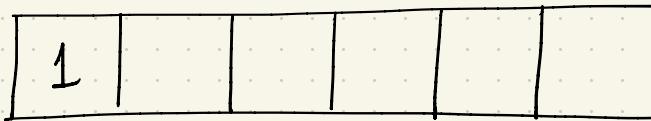
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and

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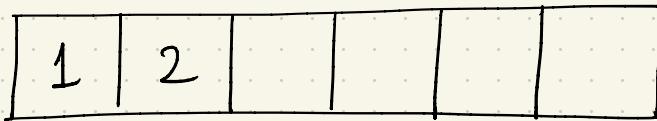


What does merge subroutine do when there **are** split inversions?

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Output



when "2" gets copied in output, the split inversions  
 $(3, 2)$  and  $(5, 2)$  are **exposed**.

What does merge subroutine do when there **are** split inversions?

Consider merging

|   |   |   |
|---|---|---|
| 1 | 3 | 5 |
| ↑ |   |   |

and

|   |   |   |
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| ↑ |   |   |

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|   |   |   |  |  |  |
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and

|   |   |   |
|---|---|---|
| 2 | 4 | 6 |
| ↑ |   |   |

Output

|   |   |   |   |  |  |
|---|---|---|---|--|--|
| 1 | 2 | 3 | 4 |  |  |
|---|---|---|---|--|--|



when "2" gets copied in output, the split inversions  
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when "4" gets copied in output, the split inversion  
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|---|---|---|
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|---|---|---|

and

|   |   |   |
|---|---|---|
| 2 | 4 | 6 |
|---|---|---|

↑

Output

|   |   |   |   |   |  |
|---|---|---|---|---|--|
| 1 | 2 | 3 | 4 | 5 |  |
|---|---|---|---|---|--|



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|---|---|---|---|---|---|
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|---|---|---|---|---|---|



when "2" gets copied in output, the split inversions  
 $(3, 2)$  and  $(5, 2)$  are **exposed**.

when "4" gets copied in output, the split inversion  
 $(5, 4)$  is **exposed**.

**Claim:** Let  $A$  be an array, and let  $L$  and  $R$  be sorted versions of first and second halves of  $A$ .

The elements  $x \in L$  and  $y \in R$  form a **split inversion** if and only if

$\text{merge}(L, R)$  copies  $y$  to the output before  $x$ .

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$\text{merge}(L, R)$  copies  $y$  to the output before  $x$ .

**Proof:** Since  $x \in L$  and  $y \in R$

$(x, y)$  split inversion  $\iff y < x \iff y$  copied before  $x$ .



# ALGORITHM FOR COUNTING INVERSIONS

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**input:** An array A of  $n$  distinct integers

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if  $n \leq 1$  return 0

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return  $l + r + s$

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return  $l + r + s$

How to implement this  
in linear time?

# RECALL MERGE SUBROUTINE

initialize  $i := 1$

initialize  $j := 1$

for  $k := 1$  to  $n$

    if  $L[i] < R[j]$  then

$B[k] := L[i]$

        increment  $i$  by 1

    else

$B[k] := R[j]$

        increment  $j$  by 1

return  $B$

# MERGE - AND - COUNT - SPLIT - INVERSIONS

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return  $B$

# MERGE - AND - COUNT - SPLIT - INVERSIONS

initialize  $s := 0$

initialize  $i := 1$

initialize  $j := 1$

for  $k := 1$  to  $n$

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$B[k] := L[i]$

        increment  $i$  by 1

    else

$B[k] := R[j]$

        increment  $j$  by 1

$s := s + \underbrace{\left(\frac{n}{2} - i + 1\right)}_{\text{\# left in } L}$

return  $(B, s)$

**Theorem :** For every input array  $A$  of length  $n \geq 1$ ,  
our algorithm correctly computes the number  
of inversions of  $A$  and runs in  $O(n \log n)$  time.

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**Proof :** Exercise

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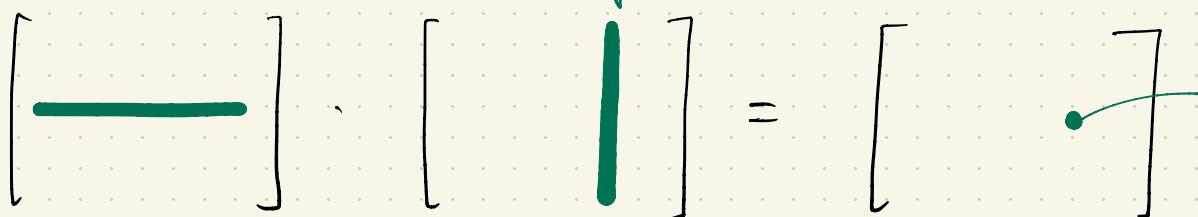
**input:** Two  $n \times n$  matrices  $X$  and  $Y$  of integers

**output:** the product  $Z = X \cdot Y$  where  $Z_{ij} = \sum_{k=1}^n x_{ik} y_{kj}$

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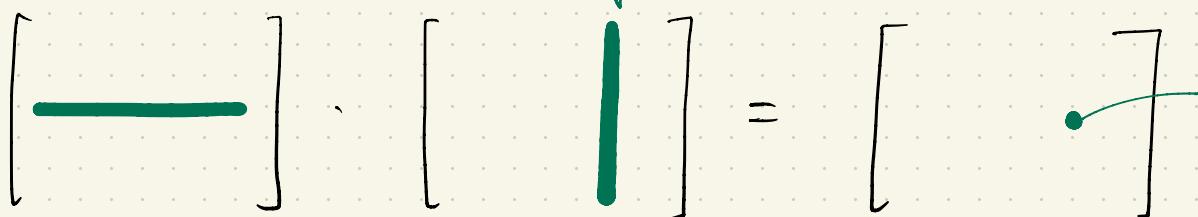
row  $i$  

$$X \cdot \begin{bmatrix} & & \overset{\text{col } j}{|} & & \end{bmatrix} = \begin{bmatrix} & & \bullet & & \end{bmatrix} Z_{ij}$$

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input size:  $O(n^2)$

# MATRIX MULTIPLICATION

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \cdot \begin{bmatrix} e & f \\ g & h \end{bmatrix} = \begin{bmatrix} \quad & \quad \\ \quad & \quad \end{bmatrix}$$

*X*                    *Y*                    *Z*

# MATRIX MULTIPLICATION

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \cdot \begin{bmatrix} e & f \\ g & h \end{bmatrix} = \begin{bmatrix} ae + bg \\ ce + dg \end{bmatrix}$$

$x$                      $y$                      $z$

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Running time for  $n \times n$  matrices : ?

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Running time for  $n \times n$  matrices :  $O(n^3)$

assuming multiplication/addition of two numbers takes  $O(1)$  time

# MATRIX MULTIPLICATION

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Running time for  $n \times n$  matrices :  $O(n^3)$

Can we do better?

# APPLYING DIVIDE & CONQUER

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$$X = \left[ \begin{array}{c|c} L & R \end{array} \right]_{n \times n}$$

non-square matrices 

# APPLYING DIVIDE & CONQUER

$$X = \begin{bmatrix} A & B \\ C & D \end{bmatrix}_{n \times n}$$

A, B, C, D are  $\frac{n}{2} \times \frac{n}{2}$  matrices

(assuming n is even)

# APPLYING DIVIDE & CONQUER

$$X = \begin{bmatrix} A & B \\ \hline C & D \end{bmatrix}_{n \times n}$$

$$Y = \begin{bmatrix} E & F \\ \hline G & H \end{bmatrix}_{n \times n}$$

# APPLYING DIVIDE & CONQUER

$$X = \begin{bmatrix} A & B \\ C & D \end{bmatrix}_{n \times n}$$

$$Y = \begin{bmatrix} E & F \\ G & H \end{bmatrix}_{n \times n}$$

Then,  $X \cdot Y = \begin{bmatrix} A \cdot E + B \cdot G & A \cdot F + B \cdot H \\ C \cdot E + D \cdot G & C \cdot F + D \cdot H \end{bmatrix}$

verify!

# RECURSIVE ALGORITHM

$$X \cdot Y = \begin{bmatrix} A \cdot E + B \cdot G & A \cdot F + B \cdot H \\ C \cdot E + D \cdot G & C \cdot F + D \cdot H \end{bmatrix}$$

# RECURSIVE ALGORITHM

Step 1: Recursively compute the eight matrix products

$$X \cdot Y = \begin{bmatrix} A \cdot E + B \cdot G & A \cdot F + B \cdot H \\ C \cdot E + D \cdot G & C \cdot F + D \cdot H \end{bmatrix}$$

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Fact : Above algorithm has running time  $\Theta(n^3)$  (by Master theorem)

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Is there a way to save a recursive call ?

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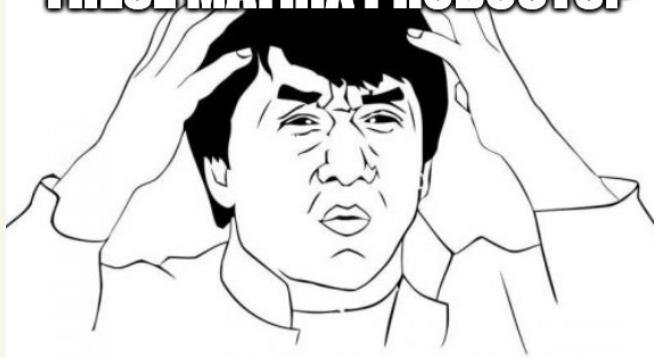
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Spoiler alert: Better than  $O(n^3)$  time (by Master theorem)

BUT WHAT ARE  
THESE MATRIX PRODUCTS?



# STRASSEN'S ALGORITHM

$$X = \begin{bmatrix} A & B \\ C & D \end{bmatrix}_{n \times n} \quad Y = \begin{bmatrix} E & F \\ G & H \end{bmatrix}_{n \times n}$$

$$X \cdot Y = \begin{bmatrix} AE + BG & AF + BH \\ CE + DG & CF + DH \end{bmatrix}$$

# STRASSEN'S ALGORITHM

$$P_1 = A \cdot (F - H)$$

$$P_2 = (A + B) \cdot H$$

$$P_3 = (C + D) \cdot E$$

$$P_4 = D \cdot (G - E)$$

$$P_5 = (A + D) \cdot (E + H)$$

$$P_6 = (B - D) \cdot (G + H)$$

$$P_7 = (A - C) \cdot (E + F)$$

$$X = \begin{bmatrix} A & B \\ C & D \end{bmatrix}_{n \times n} \quad Y = \begin{bmatrix} E & F \\ G & H \end{bmatrix}_{n \times n}$$

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$$X = \begin{bmatrix} A & B \\ C & D \end{bmatrix}_{n \times n} \quad Y = \begin{bmatrix} E & F \\ G & H \end{bmatrix}_{n \times n}$$

$$X \cdot Y = \begin{bmatrix} AE + BG & AF + BH \\ CE + DG & CF + DH \end{bmatrix}$$

**Claim:**  $X \cdot Y = \begin{bmatrix} P_5 + P_4 - P_2 + P_6 & P_1 + P_2 \\ P_3 + P_4 & P_1 + P_5 - P_3 - P_7 \end{bmatrix}$

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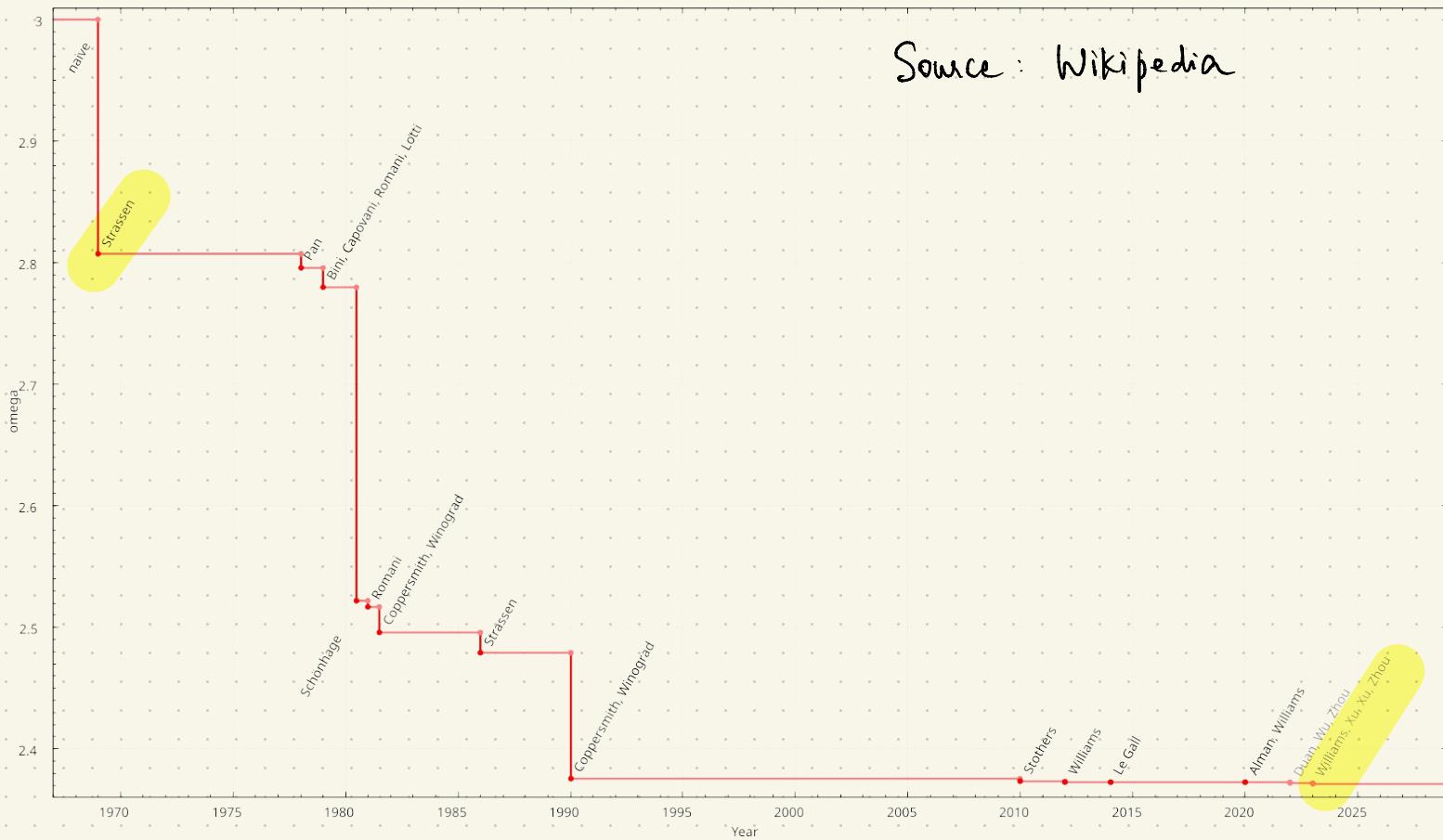
$$X = \begin{bmatrix} A & B \\ C & D \end{bmatrix}_{n \times n} \quad Y = \begin{bmatrix} E & F \\ G & H \end{bmatrix}_{n \times n}$$

$$X \cdot Y = \begin{bmatrix} AE + BG & AF + BH \\ CE + DG & CF + DH \end{bmatrix}$$

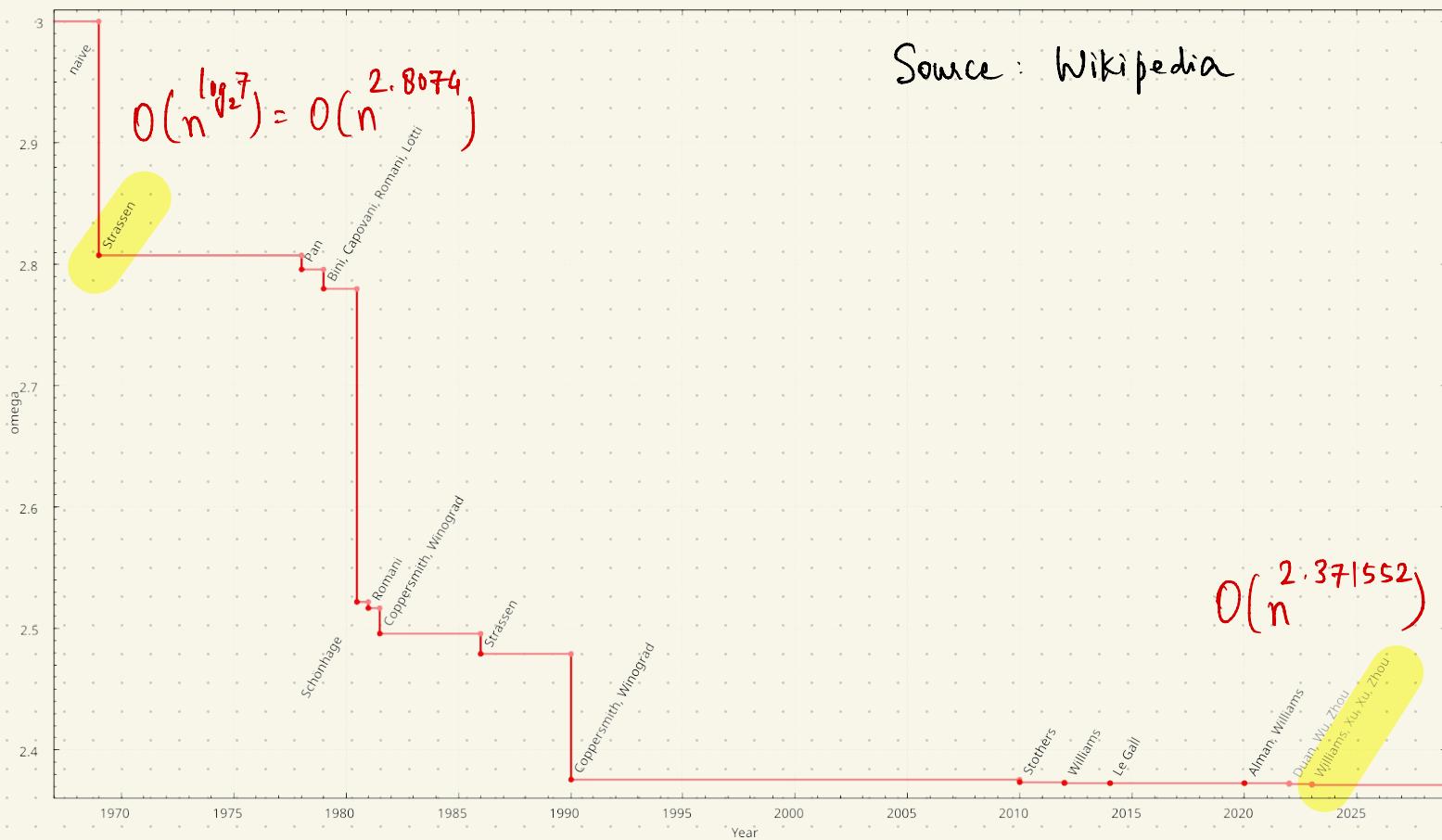
**Claim:**  $X \cdot Y = \begin{bmatrix} P_5 + P_4 - P_2 + P_6 & P_1 + P_2 \\ P_3 + P_4 & P_1 + P_5 - P_3 - P_7 \end{bmatrix}$  Strassen's algorithm

Saves a recursive call!

# MATRIX MULTIPLICATION



# MATRIX MULTIPLICATION



# MASTER METHOD

Black-box for determining the running time of recursive algorithms

RECALL : INTEGER MULTIPLICATION

# RECALL : INTEGER MULTIPLICATION

Grade-school multiplication

$$\Theta(n^2)$$

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Grade-school multiplication

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Recursive algorithm

$$[n \cdot y = 10^{\frac{n}{2}} ac + 10^{\frac{n}{2}}(ad + bc) + bd]$$

# RECALL : INTEGER MULTIPLICATION

Grade-school multiplication

$\Theta(n^2)$

Recursive algorithm

$$[n \cdot y = 10^n ac + 10^{n/2} (ad + bc) + bd]$$

$T(n)$



maximum number of operations

the algorithm needs to multiply  
two  $n$ -digit numbers

# RECALL : INTEGER MULTIPLICATION

Grade-school multiplication

$\Theta(n^2)$

Recursive algorithm

$$T(n) \leq 4 \cdot T(n/2) + O(n)$$

$$\left[ n \cdot y = 10^n ac + 10^{n/2} (ad + bc) + bd \right]$$

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Grade-school multiplication

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Recursive algorithm

$$T(n) \leq 4 \cdot T(n/2) + O(n)$$

$$[n \cdot y = 10^n ac + 10^{n/2}(ad + bc) + bd]$$

Karatsuba algorithm

$$T(n) \leq 3 \cdot T(n/2) + O(n)$$

$$[(a+b)(c+d) - ac - bd = ad + bc]$$

# MASTER METHOD

Black-box for solving recurrences

Key assumption: All subproblems have the same size.

for unbalanced subproblems and more: Akra-Bazzi method

# STANDARD RECURRENCE FORMAT

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Base Case:

General Case:

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# STANDARD RECURRENCE FORMAT

Base case:  $T(n) \leq \text{constant}$  for all sufficiently small  $n$

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$$T(n) \leq a \cdot T\left(\frac{n}{b}\right) + O(n^d)$$

$a$  : number of recursive calls ( $\geq 1$ )

$b$  : factor by which input size shrinks ( $> 1$ )  
independent  
of  $n$

$d$  : exponent in running time of "combine" step ( $\geq 0$ )

# MASTER THEOREM

Theorem: If  $T(n) \leq aT\left(\frac{n}{b}\right) + O(n^d)$ , then

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$$T(n) = \left\{ \begin{array}{l} \dots \\ \dots \\ \dots \end{array} \right.$$

Case 1

## Case 2

### Case 3

# MASTER THEOREM

Theorem: If  $T(n) \leq aT\left(\frac{n}{b}\right) + O(n^d)$ , then

$$T(n) = \begin{cases} & \text{if } a = b^d \quad \text{Case 1} \\ & \text{if } a < b^d \quad \text{Case 2} \\ & \text{if } a > b^d \quad \text{Case 3} \end{cases}$$

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Theorem: If  $T(n) \leq aT\left(\frac{n}{b}\right) + O(n^d)$ , then

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only upper bounds

# EXAMPLES

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Merge Sort

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$$T(n) \leq 2T\left(\frac{n}{2}\right) + O(n)$$

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Merge Sort

$$a = 2, b = 2, d = 1$$

(Case 1)

$$T(n) \leq 2T\left(\frac{n}{2}\right) + O(n)$$

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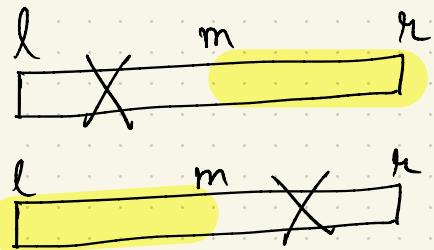
$O(n \log n)$

# BINARY SEARCH

**input:** a sorted array  $A$  of length  $n$  and a target  $T$   
**output:** index of  $T$  in  $A$  (if exists)

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**input:** a sorted array  $A$  of length  $n$  and a target  $T$   
**output:** index of  $T$  in  $A$  (if exists)

initialize  $l := 0$

initialize  $r := n - 1$

initialize  $m := \left\lfloor \frac{l+r}{2} \right\rfloor$

if  $A[m] < T$

    because with  $l := m + 1$

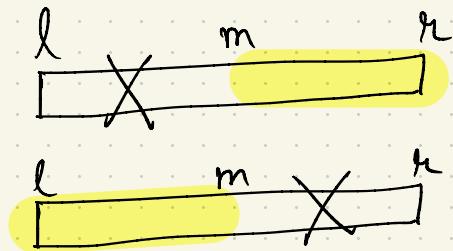
else if  $A[m] > T$

    because with  $r := m - 1$

else

    return  $m$

return NO



# EXAMPLES

Merge Sort

$$T(n) \leq 2T\left(\frac{n}{2}\right) + O(n)$$

$$a=2, b=2, d=1$$

(Case 1)

$$O(n \log n)$$

Binary Search

$$T(n) \leq T\left(\frac{n}{2}\right) + O(1)$$

$$a=1, b=2, d=0$$

(Case 1)

$$O(\log n)$$

# EXAMPLES

Recursive integer mult<sup>n</sup>

$$T(n) \leq 4T\left(\frac{n}{2}\right) + O(n)$$

$$a=4, b=2, d=1$$

(Case 3)

$$O(n^{\log_2 4}) = O(n^2)$$

Same as  
grade-school algorithm

Karatsuba mult<sup>n</sup>

$$T(n) \leq 3T\left(\frac{n}{2}\right) + O(n)$$

$$a=3, b=2, d=0$$

(Case 3)

$$O\left(n^{\log_2 3}\right) = O(n^{1.59})$$

faster!

Strassen's algorithm

$$T(n) \leq 7T\left(\frac{n}{2}\right) + O(n)$$

$$a=7, b=2, d=1$$

(Case 3)

$$O\left(n^{\log_2 7}\right) = O(n^{2.81})$$