# Canonical Fields: Self-Supervised Learning of Pose-Canonicalized Neural Fields

Rohith Agaram<sup>1</sup> Shaurya Dewan<sup>1</sup> Rahul Sajnani<sup>2</sup> Adrien Poulenard<sup>3</sup> Madhava Krishna<sup>1</sup> Srinath Sridhar<sup>2</sup>

<sup>1</sup>RRC, IIIT-Hyderabad <sup>2</sup>Brown University <sup>3</sup>Stanford University

ivl.cs.brown.edu/projects/canonicalfields

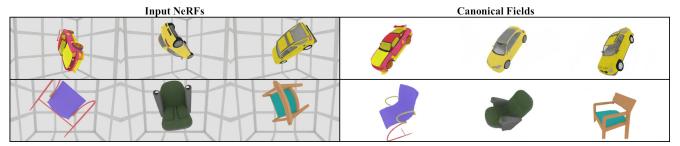


Figure 1. We present <u>Canonical Field Network</u> (**CaFi-Net**), a self-supervised method for 3D position and orientation (pose) canonicalization of objects represented as neural fields. Given neural radiance fields (NeRFs) fitted to raw RGB images of arbitrarily posed objects (left), we estimate a **canonical field** (fixed novel view shown on right) where all objects in a category are consistently posed.

#### **Abstract**

Coordinate-based implicit neural networks, or neural fields, have emerged as useful representations of shape and appearance in 3D computer vision. Despite advances however, it remains challenging to build neural fields for categories of objects without datasets like ShapeNet that provide "canonicalized" object instances that are consistently aligned for their 3D position and orientation (pose). We present Canonical Field Network (CaFi-Net), a selfsupervised method to canonicalize the 3D pose of instances from an object category represented as neural fields, specifically neural radiance fields (NeRFs). CaFi-Net directly learns from continuous and noisy radiance fields using a Siamese network architecture that is designed to extract equivariant field features for category-level canonicalization. During inference, our method takes pre-trained neural radiance fields of novel object instances at arbitrary 3D pose, and estimates a canonical field with consistent 3D pose across the entire category. Extensive experiments on a new dataset of 1300 NeRF models across 13 object categories show that our method matches or exceeds the performance of 3D point cloud-based methods.

#### 1. Introduction

Neural fields [59]—coordinate-based neural networks that implicitly parameterize signals—have recently gained

significant attention as representations of 3D shape [6, 23, 29], view-dependent appearance [25, 42], and motion [26]. In particular, neural radiance fields (NeRFs) [25], have been successfully used in problems such as novel view synthesis [3, 4, 66], scene geometry extraction [55, 61], capturing dynamic scenes [20, 30, 31, 34, 52], 3D semantic segmentation [53, 68], and robotics [1, 13, 22].

Despite the progress, it remains challenging to build neural fields that represent an entire category of objects. Previous methods sidestep the problem by overfitting on a single instance [25], or learning [23, 29, 63] on datasets like ShapeNet [5] that contain objects that are manually **canonicalized** – oriented consistently for 3D position and orientation (3D pose) across a category. This strong supervision makes it easier to learn over categories, but limits their application to data that contain these labels. Recent work has proposed methods for self-supervised learning of 3D pose canonicalization [40, 43, 47], however, these operate on 3D point clouds, meshes or voxels – but not neural fields.

In this paper, we present <u>Canonical Field</u> Network (**CaFi-Net**), a self-supervised method for category-level canonicalization of the 3D position and orientation of objects represented as **neural fields**, specifically neural radiance fields. Canonicalizing neural fields is challenging because, unlike 3D point clouds or meshes, neural fields are **continuous**, noisy, and hard to manipulate since they are parameterized as the weights of a neural network [60]. To address these challenges, we first extend the notion of **equivariance** to continuous vector fields and show how networks

for processing 3D point clouds [50] can be extended to operate directly on neural radiance fields. We design CaFi-Net as a Siamese network that contains layers to extract equivariant features directly on vector fields. These field features are used to learn a canonical frame that is consistent across instances in the category. During inference, our method takes as input neural radiance fields of object instances from a category at arbitrary pose, and estimates a canonical field that is consistent across the category. To handle noise in radiance fields from NeRF, our method incorporates density-based feature weighting and foreground-background clustering.

Our approach learns canonicalization without any supervision labels on a new dataset of 1300 pre-trained NeRF models of 13 common ShapeNet categories in arbitrary 3D pose (see Figure 1). We introduce several self-supervision loss functions that encourage estimation of a consistent canonical pose. In addition, we present extensive quantitative comparisons with baselines and other methods on standardized canonicalization metrics [38] over 13 object categories. In particular, we show that our approach matches or exceeds the performance of 3D point cloud-based methods. This enables the new capability of directly operating on neural fields rather than converting them to point clouds for canonicalization. To sum up, we contribute:

- <u>Canonical Field Network (CaFi-Net)</u>, the first method for self-supervised canonicalization of the 3D position and orientation (pose) of objects represented as neural radiance fields.
- A Siamese neural network architecture with equivariant feature extraction layers that are designed to directly operate on continuous and noisy radiance fields from NeRF.
- A public dataset of 1300 NeRF models from 13 ShapeNet categories including posed images, and NeRF weights for evaluating canonicalization quality.

# 2. Related Work

We focus our review of related work to neural fields, supervised canonicalization, equivariant neural network architectures, and self-supervised canonicalization.

**Neural Fields**: Neural fields are emerging as useful representations for solving problems ranging from novel view synthesis [3, 4, 16, 25, 66], shape encoding and reconstruction [23, 29], dynamic reconstruction [20, 30, 31], appearance modeling [24, 37, 45], and human motion modeling [8, 19, 46, 51]. Generalization in neural fields to object categories remains difficult, but some methods have used pre-canonicalized datasets to circumvent this problem [63]. Neural fields are also used outside of computer vision, for instance in reconstructing proteins [69], physics [36], or audio [11]. Please see [49, 59] for a more details. In this pa-

per, our focus is on canonicalizing for the 3D pose of neural fields, specifically neural radiance fields (NeRF) [25].

**Supervised Canonicalization**: Datasets such as ShapeNet [5] and ModelNet40 [58] have 3D shapes that are manually pre-canonicalized. This inductive bias aids category-level generalization in problems such as 3D reconstruction [12, 23, 29, 48]. We can also use these datasets to formulate canonicalization as a supervised learning problem [54] enabling applications ranging from 6 degree-of-freedom object pose estimation, multi-view reconstruction [18, 44], and reconstruction of articulating [21, 65] and non-rigid objects [64]. However, our goal is to canonicalize using without using manual pose labels.

**Equivariant Neural Networks**: Pose-equivariant networks are equivariant to input pose [9, 50, 56, 57] by design. Some of these methods use Spherical Harmonic functions [10, 50, 56, 57], or vector neurons [9] to extract equivariant features. Equivariance is closely related to canonicalization since a canonical pose is also equivariant. Thus, previous methods have used pose-equivariant for canonicalization [38, 41, 43, 47]. However, these methods have thus far been limited to 3D point clouds, voxels, or meshes.

**Self-Supervised Canonicalization**: Recent research has shown that self/weak supervision is sufficient for learning pose canonicalization on point clouds [38, 39, 43, 47], 2D key-points [27], and images [28]. None of these previous self-supervised methods can operate directly on neural fields – to the best of our knowledge, ours is the first.

### 3. Background

We first provide background on the essential components and key ideas behind our method.

Neural Radiance Fields (NeRF): Given posed RGB images, NeRF and its variants [24, 25] learn a neural network to synthesize novel views of complex scenes. At inference, for any 3D location and viewing direction, it estimates a direction-independent density value  $\sigma$ , and a directiondependent color c. Although designed for novel view synthesis, NeRF implicitly learns the 3D geometry and viewdependent appearance of scenes making it a useful representation of shape and appearance. While NeRFs excel at fitting a single object instance or scene, it struggles to generalize to object categories partly because objects can be in arbitrary 3D poses - thus, existing methods use precanonicalized datasets [63]. In this paper, we provide a way to canonicalze 3D pose without any supervision for objects represented as neural radiance fields. While our method is aimed at NeRFs, it can be extended to 3D pose canonicalization of any neural field.

Equivariance and Canonicalization: A function  $\Gamma$  over x is said to be **equivariant** to a group operation  $\mathcal{O}$  if its output changes by a fixed mapping M for any  $\mathcal{O}$  operating on the input x, i.e.,  $\Gamma(\mathcal{O}x) = M(\mathcal{O}) \Gamma(x)$ . In this paper, we

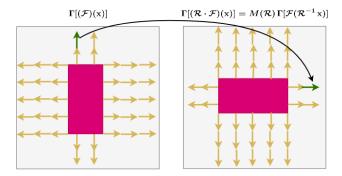


Figure 2. Rotation Equivariance in Vector Fields. Rotation equivariance in vector fields  $\mathcal{F}$  (here, a simulated 2D magnetic field) require two operations: (1) update the position of the green arrow (the vector), and (2) rotating the green arrow at its new position. A function  $\Gamma$  operating on  $\mathcal{F}$  is rotationally equivariant over the field if and only if  $\Gamma[(\mathcal{R} \cdot \mathcal{F})(\mathbf{x})] = M(\mathcal{R}) \Gamma[\mathcal{F}(\mathcal{R}^{-1}\mathbf{x})]$ , where  $\mathcal{R}$  is an SO(2) rotation, and M is a rotation-dependent mapping function.

are interested in equivariance to the SE(3) group denoting 3D position and orientation (pose) – in particular we focus on the rotation group SO(3) since 3D translation equivariance is readily achieved through mean centering [27]. **Pose canonicalization** is closely related to equivariance – our goal is to find an *equivariant* canonical 3D rigid transformation that maps the neural field of an object in arbitrary pose to a canonical pose. We call the outputs of our method **canonical fields** – neural fields that are consistently oriented across a category of shapes.

Rotation Equivariance in Vector Fields: Unlike 3D point clouds, rotation equivariance in fields is more involved. Consider a 2D vector field  $\mathcal{F}$  defined  $\forall \mathbf{x} \in \mathbb{R}^2$  (see Figure 2). Rotating this field requires two operations: (1) updating the positions of vectors in the field to new rotated positions, and (2) rotating the directions of the vectors. A function  $\Gamma$  operating on  $\mathcal{F}$  is rotationally equivariant over the field if and only if  $\Gamma[(\mathcal{R} \cdot \mathcal{F})(\mathbf{x})] = M(\mathcal{R}) \Gamma[\mathcal{F}(\mathcal{R}^{-1}\mathbf{x})]$ , where  $\mathcal{R}$  is an SO(2) rotation, and M is a rotation-dependent mapping function. In this paper, we operate on NeRF's density field (a scalar field) but also extract equivariant features that can be vector-valued fields.

**Tensor Field Networks (TFNs)**: Many methods have been proposed for using equivariant features for rotation canonicalization for 3D data, for instance, spherical CNNs [7,43], vector neurons [9], or capsule networks [47,67]. However, these and other methods [39] are limited to 3D point cloud or voxel representations. In this paper, we extend Tensor Field Networks [35,50] pose canonicalization directly on samples from NeRF's density field. TFNs operate by computing Type- $\ell$  real-valued spherical harmonic functions that are rotation equivariant:  $D^{\ell}(R)Y^{\ell}(X) = Y^{\ell}(Rx)$ .

Where  $D^\ell: \mathrm{SO}(3) \to \mathrm{SO}(2\ell+1)$  is the Wigner matrix of type  $\ell$  (please see [35,50] for details). For a 3D point set  $P \in \mathbb{R}^{N \times 3}$ , a rotation-equivariant TFN convolution layer (EQConv) at point  $p \in P$  is defined as:  $\mathrm{EQConv}^J(p) = Q^{(n,\ell),J}\left(\sum_{y \in {}^{2R}\mathcal{N}} k_r^n(p-y) \otimes s^\ell[y]\right)$ . Where  ${}^{2R}\mathcal{N}$  is a set containing neighbors of point p at twice the resolution and  $Q^{(n,\ell),J}(\cdot)$  is the Clebsh Gordon decomposition to combine type-n kernel  $k_r^n$  and type- $\ell$  equivariant signal  $s^\ell$ . The  $\mathrm{EQConv}(\cdot)$  layer aggregates type- $\ell$  feature  $s^\ell$  at multiple receptive fields along with learnable weights to perform equivariant convolution. Our method uses the EQConv layer as the fundamental building block to canonicalize continuous fields.

#### 4. Method

Our method assumes that we have pre-trained NeRF models of scenes containing single object instances at arbitrary poses from common shape categories. Our scenes contain primarily the object, but also some background – perfect foreground-background segmentation is not available. Our goal is to build a method that estimates a canonicalizing SE(3) transformation that aligns these object NeRFs to a consistent category-level **canonical field**.

Rather than operate on 3D point clouds, meshes or voxels, our method (see Figure 3) operates directly on samples from the continuous neural radiance field. During training, we learn **canonical fields** from a pre-trained NeRF dataset in a fully **self-supervised** manner. We use a Siamese network architecture that extracts equivariant field features for canonicalization (see Figure 3). During inference, given a previously unseen NeRF model of a new object instance in arbitrary pose, our method estimates the transformation that maps it to the canonical field.

#### 4.1. NeRF Sampling

For a pre-trained neural radiance field that maps 3D position (x,y,z) and direction  $\theta,\phi$  to color and density  $(\mathbf{c},\sigma)$ , the input to our method consists of samples on the density field. We do not use color because it is direction dependent in NeRF and also varies for different instances.

**Preprocessing:** During both training and inference, we uniformly sample the pre-trained NeRF density field to find the object center and its bounding box (see supplementary document for details). We then sample a uniform grid in the density field of the object's bounding box. We empirically choose uniform grid sampling over fully random sampling since we found no difference. The uniformly sampled density grid is then normalized to obtain density values  $\sigma_D$  in the continuous range [0,1], *i.e.*,

$$\sigma_D := \{1 - exp(-d \cdot \Gamma_r^{\sigma}(x)) \mid x \in \mathbf{X}\},\$$

where X is the regularly sampled grid within the object

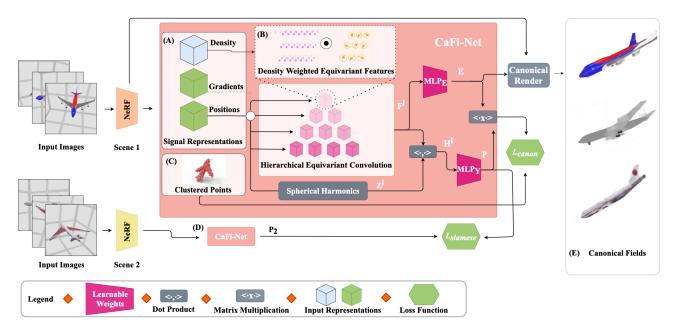


Figure 3. **CaFi-Net** samples a density field from NeRF and uses its **Density** and **Position** input signals (A) to canonicalize the field. We predict rotation equivariant features and weigh them by density (B) to guide our learning from the occupied regions of the scene. We then compute an invariant embedding by taking a dot product between equivariant features. This invariant embedding is used to canonicalize the field that enables rendering all the object in the canonical frame (E). Our method also applies an inter-instance consistency loss (D) that aligns different instances of the same category in the canonical frame. **We do not assume pre-canonicalized fields and canonicalize in a self-supervised manner**.

bounding box and d is the depth step size used in the coarse NeRF sampling. **Translation** and **scale** canonicalization is simply achieved by moving the bounding box's center [27] and scaling it, so our next concern is on rotation canonicalization.

#### 4.2. CaFi-Net

CaFi-Net (<u>Canonical Field Network</u>) is our method for 3D rotation canonicalization using NeRF's density field (red box in Figure 3). We now describe its components.

Signal Representation: At each of the sampled density field locations, we have several choices of signals to use as the input for CaFi-Net. For instance, we can (1) use the NeRF density value directly, (2) use the xyz location of the sampled field, or (3) use the gradient of the density field. Densities alone do not capture position and directional components of the field, so we use a combination of density and xyz query locations of the grid as our input signal for CaFi-Net. Empirically, using the gradient did not show improved performance as we show in our ablation study in Section 5. Equivariant Convolution: To canonicalize for rotation, we leverage equivariance properties of spherical harmonic functions to obtain rotation-equivariant learnable features on the field [35, 50]. Type- $\ell$  spherical harmonic functions  $(Y^{\ell})$  are degree- $\ell$  polynomials of points on the sphere that are equivariant to  $SO(2\ell+1)$ . The densities sampled from

NeRF reconstructions are often noisy and contain outliers. To promote our method to learn only from occupied regions, we weigh each equivariant feature type by its density. This weighing has no effect on the equivariance of features (see supplementary document for proof). We have an option to weigh equivariant features with the local average of the density to smoothen the signal or to weigh directly by the density. We found weighing by density working better than taking the local average density (see Section 5). We learn equivariant features of type  $\ell$  as:

$$\begin{split} ^Rs^\ell &:= f_w(^Rx) \left( W^\ell(\mathsf{EQConv}^\ell(^Rx)) + \delta_{0\ell}b \right), \\ f_w(^Rx) &:= \begin{cases} \mathsf{mean}_r(\boldsymbol{\sigma}_D(^Rx)), & or \\ \boldsymbol{\sigma}_D(^Rx), \end{cases} \end{split}$$

where,  $W^\ell$  and b are the weights and biases,  ${}^Rx$  is a 3D point queried at resolution R and  $\operatorname{mean}_r(\sigma_D({}^Rx))$  is the average density at location  ${}^Rx$  from points sampled at radius r. We hierarchically aggregate features at resolutions  $\frac{1}{2},\frac{1}{4},$  and  $\frac{1}{8}$  to obtain global equivariant features. The EQConv $^\ell$  convolution layer is the same as defined in Section 3. We employ non-linearities from [35] that preserve equivariance and capture better equivariant features. We also compute the max-pool of point-wise features of the last layer to obtain global type- $\ell$  equivariant features  $F^\ell$ .

**Canonicalization**: After obtaining the global type- $\ell$ 

equivariant feature  $F^\ell$ , we compute its dot product with point-wise spherical harmonics  $Z^\ell$  scaled by their norms  $Z^\ell(X) = ||X||_2 Y^\ell(X/||X||_2)$  to obtain a pose-invariant embedding  $H^\ell$  [38]. As the two vectors  $F^\ell$  and  $Z^\ell$  are both equivariant to input rotation, their dot product is invariant. We use linear layer on top of the pose-invariant embedding to estimate grid coordinates in the canonical frame  $(P \in \mathbb{R}^{H \times W \times D \times 3})$  for each point in X and M equivariant transformations  $E \in \mathbb{R}^{M \times 3 \times 3}$  [38] that orient the canonical coordinates to the input coordinates. We then choose the best canonicalizing transform  $E_b$  and penalize for canonicalization. The invariant embedding H, grid coordinates P and equivariant rotation E are given as,

$$H^{\ell}(\boldsymbol{\sigma}_{D}, \boldsymbol{X}) := \langle F^{\ell}(\boldsymbol{\sigma}_{D}), Z^{\ell}(\boldsymbol{X}) \rangle,$$

$$P := \text{MLP}_{Y}(H(\boldsymbol{\sigma}_{D}, \boldsymbol{X})),$$

$$E := \text{MLP}_{E}(F(\boldsymbol{\sigma}_{D}, \boldsymbol{X})).$$

Here, we have dropped the type- $\ell$  notation for H and F as we concatenate all equivariant feature types.

**Siamese Network Architecture**: Experimentally, canonicalizing noisy density fields is difficult without being guided by shape similarity within a category. We therefor use a Siamese training strategy [27] where two different object instances are forced to be consistently canonicalized (see lower branch in Figure 3).

Clustering: CaFi-Net predicts a canonical coordinate for every grid point, but unlike point clouds, fields have many un-occupied regions that do not provide any information. We penalize the network on foreground regions only by performing K-means clustering on the densities within the object bounding box with K=2 and choose the cluster  $C_f$  with a higher mean. Note that we still operate on continuous fields, but only cluster samples to guide our training.

#### 4.3. Training

CaFi-Net is trained on a large dataset of pre-trained NeRF models over 13 object categories (see Section 5). We use the following loss functions to train our model.

Canonicalization Loss: To self-supervise our learning, we transform the predicted canonical grid coordinates to the input grid using the canonical rotation  $E_b$  and compute a point-wise L2-distance between them. This loss forces the predicted invariant grid coordinates P to reconstruct the shape and forces the predicted canonicalizing transform to be equivariant to input transformation. The final loss is computed over the canonicalizing transform that minimizes this loss:

$$\begin{split} E_b &:= \min_{j} \left( \operatorname{mean}_{i \in \boldsymbol{C}_f} \left| \left| \boldsymbol{X}_i - E_j P_i \right| \right|_2^2 \right), \\ \mathcal{L}_{canon} &:= \operatorname{mean}_{i \in \boldsymbol{C}_f} \left| \left| \boldsymbol{X}_i - E_b P_i \right| \right|_2^2, \end{split}$$

where  $C_f$  is a set of points belonging to the foreground.

**Orthonormality Loss**: The predicted equivariant transformations E should have orthonormal vectors and we use the following to force orthonormality using:

$$\begin{aligned} &U_j, D_j, V_j := \text{SVD}(E_j), \\ &\mathcal{L}_{ortho} := \frac{1}{M} \sum_j \left| \left| E_j - U_j V_j^T \right| \right|_2, \end{aligned}$$

where  $SVD(E_j)$  computes the singular value decomposition of the  $j^{th}$  equivariant transformation.

Siamese Shape Loss: We use a Siamese loss that penalizes for consistency between different shapes belonging to the same category. Given two un-canonicalized fields in different frames of reference  $\sigma_D^1$  and  $\sigma_D^2$ , we canonicalize both the fields to the canonical frame and compute chamfer distance between their predicted canonical coordinates for points in the foreground cluster  $C_f$ , *i.e.*,

$$\mathcal{L}_{siamese} := CD(P(\boldsymbol{\sigma}_D^1), P(\boldsymbol{\sigma}_D^2)).$$

This loss regularizes the training by ensuring that instances of the same category should be aligned in the canonical frame.

**Architecture and Hyper-parameters**: CaFi-Net predicts a 128 dimensional invariant embedding for each point in space and performs convolution at resolutions 1/2, 1/4, and 1/8. Each equivariant convolution layer aggregates features from 512 neighboring points at twice the resolution. We then use equivariant non-linearities introduced in [35] by applying Batch Normalization [14] and ReLU activation [2] in the inverse spherical harmonic transform domain. We use a three layer MLP to with intermediate Batch Normalization and ReLU layers followed by a final linear layer to predict canonical coordinates. To train our network, we weigh the canonicalization loss ( $\mathcal{L}_{canon}$ ) the highest with weight 2.0 and 1.0 for all the other loss functions. All our models are written in PyTorch [32] and are trained for 300 epochs with batch size of 2 on an Nvidia 1080-Ti GPU. We use the Adam Optimizer [17] with initial learning rate of 6e-4 and L2 weight regularization of 1e-5 for all our experiments. Please see the supplementary document for more details.

### 5. Experiments

We now provide details on our extensive experiments to evaluate the quality of our pose canonicalization. To our knowledge, we are the first method for pose canonicalization of neural radiance fields which makes direct comparisons with other work challenging. Nonetheless, we have designed experiments to compare our method with three 3D point cloud-based canonicalization methods. Overall, we present three classes of experiments: (1) evaluation of the performance of our method on 13 different categories, (2) comparison with other work, and (3) ablations to justify method design choices.

Table 1. This table compares the canonicalization performance of our method (F - operating on fields) with other 3D point cloud-based methods (P) on three standard metric (IC, CC and GEC) on our dataset of 13 categories. We compare with PCA, Canonical Capsules (CaCa) [47] and ConDor [38]. All metrics are multiplied by 100 for ease of reading. The top two performing methods are highlighted in **magenta** (best) and **blue** (second best). Our method consistently performs in the top two across all categories on both mean and median consistency. We are better than SOTA [38] on the Ground Truth Equivariance Consistency (GEC) with lower median canonicalization error of 2.59. However, we perform on par with ConDor on the other metrics.

	bench	cabinet	car	cellph.	chair	couch	firearm	lamp	monitor	plane	speaker	table	water.	avg.	med.
Instance-Level C	Consisten	ey (IC)↓													
PCA (P)	14.65	5.94	6.13	0.67	6.13	7.63	15.07	12.84	6.78	7.90	5.40	10.31	10.72	8.47	7.63
CaCa (P) [47]	2.72	4.36	1.95	2.97	2.33	2.28	0.09	1.03	2.92	3.69	4.32	3.46	1.02	2.55	2.72
ConDor (P) [38]	0.92	1.95	0.37	0.43	1.53	0.24	0.47	2.16	2.91	2.18	3.78	1.72	1.02	1.51	1.53
Ours (F)	1.76	1.59	1.44	1.64	1.27	1.35	0.78	2.75	1.85	2.36	1.45	1.71	1.49	1.65	1.59
Category-Level	Consisten	ıcy (CC)↓													
PCA (P)	14.55	9.68	7.48	4.26	9.90	13.45	15.13	11.87	13.09	8.15	5.51	10.43	11.07	10.36	10.43
CaCa (P) [47]	3.71	5.39	2.39	3.73	6.34	3.27	1.56	11.16	3.66	9.22	5.03	6.76	1.69	4.92	3.73
ConDor (P) [38]	2.22	2.77	2.10	2.44	2.86	2.44	2.31	4.59	2.13	3.39	3.52	3.73	3.04	2.90	2.77
Ours (F)	2.81	3.04	1.96	2.38	4.95	2.45	2.93	11.94	8.90	4.10	2.75	5.15	2.54	4.30	2.93
<b>Ground Truth E</b>	quivaria	nce Consis	tency (	GEC)↓											
PCA (P)	14.4	5.86	6.32	1.64	6.32	8.12	15.01	12.49	6.82	8.16	5.32	10.17	11.27	8.61	8.12
CaCa (P) [47]	3.43	4.73	2.48	3.41	5.64	2.87	0.84	6.69	3.20	5.48	5.30	6.13	1.43	3.97	3.43
ConDor (P) [38]	1.82	3.15	0.61	1.24	3.98	0.64	1.25	8.43	3.97	4.21	5.39	3.45	1.43	3.04	3.15
Ours (F)	2.13	2.36	1.56	2.59	3.77	2.14	1.77	6.90	3.74	3.43	5.12	4.07	2.22	3.22	2.59

**Dataset**: All our experiments use a new large synthetic NeRF dataset of shapes from 13 categories (that overlap with [12, 38, 47]) from the ShapeNet [5] dataset. For each category, we pick 100 instances from ShapeNet, rotate the shape randomly, and render 54 omnidirectional views sampled in a cube around the shape using Blender. Different from other datasets, we also simulate cluttered background. These posed views are used to train 100 NeRF models per category using the public PyTorch implementation of NeRF [62] for a total of 1300 NeRF models. To our knowledge, this is the one of the largest (compared to [11, 53]) 360° synthetic NeRF datasets – we will publicly release the simulator, raw posed images, and trained NeRF weights. Each NeRF model is trained for 400 epochs with 1024 randomly selected rays per iteration, a coarse sampling resolution of 64 points, and a fine sampling resolution of 128 points along each ray. Rather than train NeRF to maximize PSNR on a novel view, we fix the number of epochs for all models to enable fair comparison between instances. As a consequence, some NeRF models may have a lower PSNR, but our goal is not to increase NeRF quality but rather improve canonicalization quality – hence we fix the number of epochs. For each category, we set aside 20% of the models for testing.

Metrics: Since there are no known metrics for evaluating canonical fields, we resort to 3 metrics used for 3D point cloud canonicalization [38]: (1) Instance-Level Consistency (IC), a metric that measures how consistently we can canonicalize the same instance in different poses, (2) Category-Level Consistency (CC) to measure how consistently we canonicalize across different instances

in the same category, and (3) **Ground Truth Equivariance Consistency (GEC)**, a variant of the Ground Truth Consistency (GC) metric proposed in [38] to measure canonicalization performance compared to manual labels. We use the GEC because we observed that the original GC metric is prone to degeneracy when the canonicalizing transform is identity. We fix this issue by taking three point clouds  $P_i, P_j$ , and  $P_k$  from a canonicalized dataset  $\mathcal{P}$  and rotating  $P_i$  by  $R_1$ ,  $P_j$  by  $R_2$  where both  $R_1$  and  $R_2$  are random rotations. Let  $\mathcal{C}(P)$  predict a canonicalizing rotation for a point cloud P, we then compute the Ground Truth Equivariance consistency as:

where CD refers to the Chamfer distance. Here, we apply canonicalizing transforms of shape  $P_i$  and  $P_j$  to the shape  $P_k$ . This modified metric will not be degenerate for identity canonicalizing transforms and is more rigorous in evaluating ground truth consistency. To compute our metrics, we sample a regular grid  $32^3$  grid for all of the methods.

#### 5.1. Evaluation & Comparisons

We first analyze the performance of our method on the three metrics across 13 different categories. In Table 1, we highlight our method that operates on a field as **Ours** (**F**). Our method performs well across all categories with low variance between different categories. Differences between different categories show similar trends (*e.g.*, firearms, lamps) across the three metrics indicating that class-level shape plays a role in performance.

Category	Density	Density vs. Gradient Signals				
	Density	Gradient signal				
Ground Truth Equivariance Consistency (GEC)						
bench	2.13	1.85				
cellphone	2.59	3.53				
chair	3.77	3.13				
plane	3.43	4.24				
Average	2.98	3.19				
Instance-Level Consistency (IC)↓						
bench	1.76	1.51				
cellphone	1.64	2.4				
chair	1.27	1.82				
plane	2.36	2.9				
Average	1.76	2.16				
Category-I	Level Consisto	ency (CC)↓				
bench	2.81	2.65				
cellphone	2.38	6.55				
chair	4.95	7.39				
plane	4.1	4.81				
Average	3.56	5.34				

(a) Choice of Signal Representation - Canonical-
ization metrics for using Gradients vs. Density as
input signal. Noisy gradients deteriorate canoni-
calization performance on average.

Category	Local Average Density				
	w/o	W			
Ground Tr	uth Eq	uivariance Consistency (GEC)↓			
bench	2.13	2.21			
cellphone	2.59	2.96			
chair	3.77	5.21			
firearm	1.77	1.72			
Average	2.57	3.03			
Instance-Level Consistency (IC)↓					
bench	1.76	1.84			
cellphone	1.64	2.09			
chair	1.27	4.14			
firearm	0.78	0.86			
Average	1.36	2.23			
Category-I	Level C	onsistency (CC)↓			
bench	2.81	2.87			
cellphone	2.38	2.92			
chair	4.95	7.11			
firearm	2.93	2.39			
Average	3.27	3.82			

(b) Weighing Equivariant Signals by Local Av-
erage Density deteriorates the performance by
smoothing out important details of the shape. We
show canonicalization with (w) and without (w/o)
weighing by the local averaged density.

Category	Siamese Training				
	w/o siamese	with siamese			
Ground Truth Equivariance Consistency (GEC)					
car	2.76	1.56			
chair	3.43	3.77			
plane	5.82	3.43			
bench	1.95	2.13			
Average	3.49	2.72			
Instance-L	evel Consistency	(IC)↓			
car	1.87	1.44			
chair	1.74	1.27			
plane	3.93	2.36			
bench	2.33	1.76			
Average	2.96	1.71			
Category-l	Level Consistency	y (CC)↓			
car	3.19	1.96			
chair	7.54	4.95			
plane	6.23	4.1			
bench	2.64	2.81			
Average	4.9	3.21			

(c) Siamese Training improves performance on all canonicalization metrics on average. We show a canonicalization performance with siamese and without (w/o) siamese training. The average of Ground Truth Equivariance Consistency GEC metric reduces to 2.72 from 3.49

Table 2. Ablation studies to justify three key design choices: (a) signal representation, (b) density weighting, and (c) Siamese architecture.

Next, we compare our method against three bechmarks: Principal Component Analysis (PCA) [33], Canonical Capsules (CaCa) [47], and ConDor [38]. All prior methods canonicalize clean point clouds with all points on the surface. To have a fair comparison, we train the above methods on point clouds (P) sampled from NeRF scenes for point on and within the object. Most scenes have points in the range 90–1200 when sampled uniformly on the 32<sup>3</sup> grid. We resample the scene when points are less than 1024 and train both Canonical Capsules and ConDor on the resulting point clouds. CaFi-Net canonicalizes directly over density fields, but we sample a point cloud after canonicalization to compute our metrics. We follow the same protocol as [38] to measure and benchmark canonicalization performance for all the methods.

Analysis: Table 1 compares the metrics for PCA (P), CaCa (P), ConDor (P), and CaFi-Net [Ours (F)]. We list point cloud canonicalizers with (P) and field canonicalizer as (F) in the table. On average, our method is as good or better than the state-of-the-art method (ConDor), and is always first or second overall in all metrics. This is despite the fact that our method directly operates on the field – these metrics are computed through samples on the field. We observe that PCA underperforms on all categories suggesting that the noisy point clouds make it unreliable. Similary we observe that CaCa fails to canonicalize in categories where high noise is observed. Given that ConDor also uses TFNs in a different architecture, we conclude that the choice of

TFNs makes canonicalization more robust to noise. Our method has the additional advantage of operating directly on the field. Please see Section 5.1 for qualitative comparison of the different methods.

### 5.2. Ablations

We conduct additional experiments to justify key design choices in our method. For all the ablation studies we use a smaller subset of our data consisting of 4 categories: bench, cellphone, chair, and airplane.

Choice of Signal Representation: To select the appropriate signal representation to use (see Section 4), we conducted an ablation study using just the density field or the gradient of the density field. The motivation for using the gradient is because gradients capture information about change in signal which could contain clues for canonicalization. As seen in Table 2 (a), the average canonicalization metric over 4 categories is lower when using density as compared to using gradient on all the three metrics. We hypothesize that since the gradient is susceptible to noise, it cannot provide sufficiently discriminative equivariant features for canonincalization. In future work, we will explore de-noising the gradients before use.

Weighing Features by Local Average Density: Next we justify why weighting the equivariant features using the density is helpful. Table 2 (b) shows results for weighting features by local average density compared to weighting features by density. Weighing features by local average

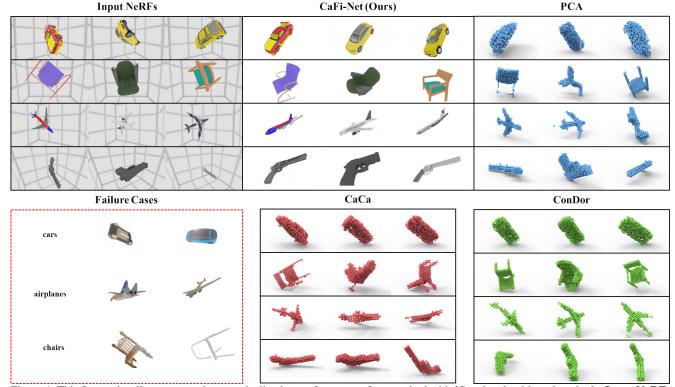


Figure 4. This figure visually compares the canonicalization performance of our method with 3D point cloud-based methods. **Input NeRFs** shows one of the RGB views used to learn our NeRF input (notice how orientations of instances are random). **CaFi-Net (Ours)** shows the results of our canonical field rendered from a novel view unseen during NeRF training. **PCA** (blue), **CaCa** [47] (red), and **ConDor** [38]'s (green) results are also presented for the same instances. Our method matches or exceeds ConDor. We also show some failure cases for several instances (red box) which occur either due to thin structures or symmetry.

age smoothens out details that are necessary to canonicalize the volume. Our average ground truth canonicalization error increases from 2.57 to 3.03 if we weigh features by local averaged densities. In future works we will delve into better methods for reducing input signal noise that do not smoothen out the geometry details of objects to further improve our canonicalization.

**Siamese Architecture**: Finally, we justify the need for a two-branch Siamese network architecture. Although our method can canonicalize even without this architecture, it makes it easier for category-level learning as observed in [27]. Table 2 (c) compares our method on using a single branch architecture and a Siamese architecture. Clearly, the Siamese architecture helps improve results. Enforcing different instances of the same category to align with each other reduces the Ground Truth Canonicalization error from 3.49 to 2.72. We also see a decrease in all the other metrics including Instance-Level Consistency.

#### 6. Conclusion

We presented Canonical Field Network (CaFi-Net), a method for self-supervised category-level canonicalization of the 3D pose of objects represented as neural radiance fields. CaFi-Net consists of a Siamese network architecture with rotation-equivariant convolution layers to extract features for pose canonicalization. Our approach operates directly on NeRF's noisy but continuous density field. We train our method on a large dataset of 1300 NeRF models obtained for 13 common ShapeNet categories. During inference, our method is able to canonicalize arbitrarily oriented NeRFs. Experiments show that our method matches or outperforms 3D point cloud-based methods.

**Limitations & Future Work**: Our approach has limitations that future work should investigate. First, we require a 360° NeRF model of objects and cannot handle partial views from front-facing NeRFs. We also inherit limitations of TFNs with symmetry and thin structures (see airplane failure case in Section 5.1). In future work, we will extend our approach to create a large real dataset of common object categories without needing manual pose canonicalization.

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Input NeRFs Canonical Fields

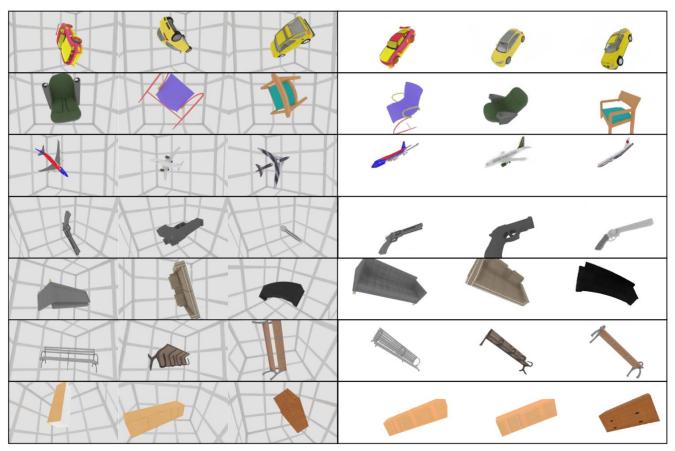


Figure 5. **CaFi-Net** qualitative canonicalization results for 6 categories (see following pages for more results). We omit the background in the canonical rendering for easier view.

## A. Preprocessing Density Field

Before querying NeRF for occupancy, we do not have the extremities of the object. We query a uniform grid within the unit cube to obtain a 32<sup>3</sup> grid density field to find the object bounds and the center of the object. The density values are normalized to range [0, 1] (see page 3 in the main paper). We then perform an initial K-means clustering with K=2 to separate the foreground and background clusters. Note that this clustering is on the entire scene and is different from the clustering we perform while computing the losses. The clustering that we perform while computing the loss is within the object bounding box and not for the entire scene. The mean of query coordinate locations in the foreground cluster gives us the center of the object c. We then obtain the extremities from the foreground cluster and find its maximal diagonal length (l). We re-sample the region within the bounding cube (at center c side length l) and use it as input to CaFi-Net.

#### **B.** Equivariance Properties of CaFi-Net

# **B.1. Averaging Equivariant Signals is Equivariant**

A tensor field of type  $\ell$  ( $\ell$ -field) is a map  $f: \mathbb{R}^3 \to \mathbb{R}^{2\ell+1}$ . We have an action of  $\mathrm{SO}(3)$  on any  $\ell$ -field f given by  $(R.f)(x) := D^\ell(R)f(R^{-1}x)$  for any rotation  $R \in \mathrm{SO}(3)$  and  $x \in \mathbb{R}^3$  where  $D^\ell(R) \in \mathrm{SO}(2\ell+1)$  is the Wigner matrix of type  $\ell$ . We say that transformation F transforming tensor fields of type p into tensor fields of type q if it commutes with the action of  $\mathrm{SO}(3)$ , i.e. for all type p-field f and rotation  $R \in \mathrm{SO}(3)$  we have F(R.f) = R.F(f). We will call such transformations (p,q)-equivariant transformations.

**Lemma 1** For any r > 0 the local average operator mean<sub>r</sub> defined over p-fields by:

$$\operatorname{mean}_r(f)(x) := \int_{B(x,r)} f(y) dy$$

#### **Input NeRFs**

#### **Canonical Fields**

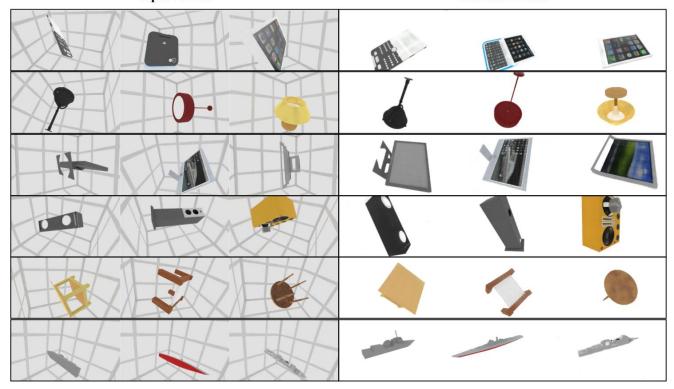


Figure 6. **CaFi-Net** qualitative canonicalization results for the remaining 7 categories. We omit the background in the canonical rendering for easier view.

where  $B(x,r) \subset \mathbb{R}^3$  is the open ball of radius r centered at  $x \in \mathbb{R}^3$  is a (p,p)-equivariant transform of fields.

**Proof**: Let  $x \in \mathbb{R}^3$  and  $R \in SO(3)$  we have:

$$\operatorname{mean}_{r}(R.f)(x) = \int_{B(x,r)} (R.f)(y)dy$$

$$= \int_{B(x,r)} D^{p}(R)f(R^{-1}y)dy$$

$$\underset{u=R^{-1}y}{=} D^{p}(R) \int_{R^{-1}B(x,r)} f(u)du$$

$$= D^{p}(R) \int_{B(R^{-1}x,r)} f(u)du$$

$$= (R.\operatorname{mean}_{r}(f))(x)$$

# **B.2.** Locally Averaged Density Weighted Equivariant Vectors are Equivariant

**Lemma 2** Scaling equivariant field with density is a (1, 1)-equivariant transformation.

**Proof**: Let  $\sigma$  be a type-0 density field and f be a type-1 field at the corresponding location, weighing f by the average of

 $\sigma$  is:

$$\operatorname{mean}_{r}(\sigma)(x) \cdot f(x) := \left( \int_{B(x,r)} \sigma(y) dy \right) f(x)$$

$$\operatorname{mean}_{r}(R \cdot \sigma)(x) \cdot (R \cdot f)(x)$$

$$= \left( \int_{B(x,r)} (R \cdot \sigma)(y) dy \right) D^{1}(R)(f)(R^{-1}x)$$

$$= \left( \int_{B(x,r)} (\sigma)(R^{-1}y) dy \right) D^{1}(R)(f)(R^{-1}x)$$

$$= \left( I \cdot \int_{R^{-1}B(x,r)} (\sigma)(u) du \right) D^{1}(R)(f)(R^{-1}x)$$

$$= D^{1}(R) \cdot \operatorname{mean}_{r}(\sigma)(x) \cdot (f)(R^{-1}x)$$

Thus,  $\operatorname{mean}_r(R \cdot \sigma)(x) \cdot f(x) = D^1(R) \cdot \operatorname{mean}_r(\sigma)(x) \cdot f(R^{-1}x)$  proving the result that scaling equivariant features by average density do not break the equivariance property.

# **B.3.** Gradient is Type-1 Equivariant

**Lemma 3** The gradient operator is a (0,1)-equivariant transformation.

**Proof**: Let f be a 0-type field, by the chain rule of differentiation, for any  $x, h \in \mathbb{R}^3$  and  $R \in SO(3)$  we have

$$\langle \nabla(R.f), h \rangle = D_x(R.f)(h)$$

$$= D_x f \circ R^{-1}(h)$$

$$= D_{R^{-1}x} f \circ D_x R^{-1}(h)$$

$$= \langle \nabla_{R^{-1}x} f, R^{-1}h \rangle$$

$$= \langle R \nabla_{R^{-1}x} f, h \rangle$$

$$= \langle R \nabla_{R^{-1}x} f, h \rangle$$

thus  $\nabla(R.f) = R.\nabla f$  which concludes the proof.

# **Equivariant Convolution Non-Linearities**

InverseSphericalHarmonicTransform(sphere\_samples=64)
BatchNorm(momentum=0.75)

 $\begin{array}{c} \textbf{ReLU} \\ \textbf{MLP}(F_{in}, F_{out}) \end{array}$ 

ForwardSphericalHarmonicTransform(sphere\_samples=64)

Table 3. **Equivariant Convolution Non-Linearities** - We use the result in [35] and apply non-linearities after performing an inverse spherical harmonic transform to avoid breaking the equivariance of the each layer. The *sphere\_samples* is the sphere sampling resolution to perform the Spherical Harmonic Transform and  $F_{in}$ ,  $F_{out}$  are the input and output feature dimensions respectively.

# C. Additional Training Details

To train CaFi-Net, we augment NeRF density fields with random rotations  $R_{rand}$  and canonicalize them at each training iteration. We can easily do this by sampling the NeRF model at location  $R_{rand}^{-1}x$  instead of x in a differentiable manner using [15]. Our method is built over equivariant layers and non-linearities from [35]. Table 3 shows the non-linearities and learning layers that are used after each equivariant convolution described in the main manuscript.

# **D.** Qualitative Results

We illustrate qualitative results by rendering objects in the canonical frame for all the 13 categories in Figure 5 and Figure 6. Here, we fix a camera position and viewing direction in the canonical frame and render all objects from the same camera. We have masked out the background by reducing the far-point for better view.