

Q.1 Merge sort (A, i, j)

mid =  $(i+j)/2$ , count = 0

if  $j > i$  then

count += merge sort (A, i, m)

count += merge sort (A, m+1, j)

count += merge sort (A, i, m+1, j)

return count.

// end func.

// func. start

Merge (A, i, m, j)

count = 0, ~~left = i, p = i, q = m~~

left = i, mid = m, k = i

while (left  $\leq$  j-1 & mid  $\leq$  j)

if (A[left]  $\leq$  A[mid])

temp[k] = A[left]

k = k+1, left = left+1

else { temp[k] = A[mid]

k = k+1, mid = mid+1, count = count + j

while (left  $\leq$  j-1)

- left

temp[k] = A[left]

k = k+1, left = left+1

while (mid  $\leq$  j)

temp[k] = A[mid]

k = k+1, mid = mid+1

while (left < length of array)

A[left] = temp[left]

left ++

return count

// end merge.

In main func. "pass array to merge sort"

①

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## Q.2 Correctness of while loop in function "merge"

- We have 2 arrays separately sorted.
- We have to return the variable 'count', which is our result.

↔

### Loop invariants

- ①  $b[i..r]$  is sorted and is a permutation of  $a[i..p-1] \cup a[mid+1..q-1]$
- ②  $b[i..r-1] \leq a[p], a[r]$  if  $p \leq mid, q \leq j$   
 $b[i..r-1] \leq a[q]$  if  $p > mid, q \leq j$   
 $b[i..r-1] \leq a[p]$  if  $p \leq mid, q > j$
- ③  $i \leq p \leq mid+1, mid+1 \leq q \leq j+1, r = p+q-mid-1$
- ④ count is number of inversion of form  $(l, k)$   
 $a[l] > a[k], i \leq l \leq mid, mid+1 \leq k \leq q-1$

### Initially

- \* (a)  $b[i..r-1]$  is empty
- \* (b)  $p = i \Rightarrow i \leq p \leq mid+1$   
 $q = mid+1 \Rightarrow mid+1 \leq q \leq j+1$   
 $r = i, p = i, q = mid+1 \Rightarrow r = p+q-mid-1$
- \* (c)  $q = mid+1$  so over  $k$  is undefined. So count = 0

let value of  $p$  be  $p_0, q \rightarrow q_0, r \rightarrow r_0$  & ~~count~~  $\rightarrow$  count<sub>0</sub> at ①  
 let value of  $p \rightarrow p_1, q \rightarrow q_1, r \rightarrow r_1$  & ~~count~~  $\rightarrow$  count<sub>1</sub> at ②  
 count  $\rightarrow$  count<sub>1</sub>



(2)

If a, b, c hold at (1), then it should be true at (2)

→ if  $(p \leq \text{mid} \ \& \ q \leq j \ \& \ a[p] \leq a[q])$   
then  $b[r] = a[p] \quad p++, r++$

(1) By (a) at (1)  $b[i \dots r_0]$  is sorted. (A)  
By at (1)  $b[i \dots r_0-1] \leq a[p] \quad \text{--- (2)}$   
 $b[r_0] = a[p] \quad \text{--- (3)}$   
from (2) & (3)

$b[i \dots r_0]$  is sorted

At 3  $r = r_0 + 1$

→  $b[i \dots r-1]$  is sorted

(2) at (1)  $b[i \dots r_0-1]$  is permutation of  $a[i \dots p_0-1] \cup a[\text{mid}+1 \dots q_0-1]$ .

at (2)  $r = r_0 + 1, p = p_0 + 1, q = q_0$

so again  $b[i \dots r-1]$  is permutation of  $a[i \dots p] \cup a[\text{mid}+1 \dots q-1]$

(3) at (2)  $b[i \dots r_0-1] \leq a[p_0], a[q_0]$   
 $b[i \dots r_0] \leq a[q_0], a[p_0]$  (because

$b[r_0] = a[p_0]$

$\leq a[q_0]$

\* if  $p_0 = \text{mid}$

$p = p_0 + 1 = \text{mid} + 1$

$\& \ b[i \dots r_0] \leq a[q_0]$

and  $p = p_0 + 1, q = q_0, r = r_0 + 1$

so  $b[i \dots r-1] \leq a[q]$

\* if  $p_0 < \text{mid}$ .

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$$p = p_0 + 1 = \text{mid} + 1$$

$$b[i \dots r_0] \leq a[q_0], a[p_0]$$

$$a[p_0] \leq a[p_0 + 1]$$

$$\Rightarrow a[i \dots r_0] \leq a[q_0], a[p_0 + 1]$$

$$\text{At } \textcircled{2} \quad p = p_0 + 1, r = r_0 + 1, q = q_0$$

$$\Rightarrow a[i \dots r_1] \leq a[p], a[q]$$

$$\star \quad i \leq p \leq \text{mid} + 1, \text{mid} + 1 \leq q \leq j + 1, r = p + q - \text{mid} - 1$$

$$\text{as } p \geq i \text{ \& } q \geq \text{mid} + 1$$

$$p_0 \leq \text{mid}, q_0 \leq j \text{ at } \textcircled{1}$$

$$\text{at } \textcircled{2} \quad \cancel{p = p_0}, p = p_0 + 1 \text{ \& } q = q_0$$

$$\Rightarrow p \leq \text{mid} + 1, q \leq j$$

$$\text{and } r_0 = p_0 + q_0 - \text{mid} - 1$$

$$\Rightarrow r_0 + 1 = p_0 + q_0 - \text{mid} \quad \text{--- } \textcircled{X}$$

$$\text{So } \textcircled{X} \text{ becomes } r = p + q - \text{mid} - 1 \quad \text{--- } \textcircled{L} \text{ True}$$

~~At 2, count contains the n~~

⑧ at ② count contains no. of inversions (k, l)

$$i \leq l \leq \text{mid} \text{ \& } \text{mid} + 1 \leq l \leq q_0 - 1$$

$$\text{at } \textcircled{2} \quad p = p_0 + 1, q = q_0, r = r_0 + 1, \text{inv} = \text{inv}_0$$

As no change in value of q.

$\Rightarrow$  no change in 'count'.

#

$$\text{If } (p \leq \text{mid} \text{ \& } q \leq j \text{ \& } a[p] > a[q])$$

$$\text{then } [b[i] = a[q]], \text{count} += (\text{mid} - p + 1), q++, r++$$



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Proof of sorting algorithm is done in lectures.

So for proof of count of inversion at ① & ②  
inv. of the form (l, k)

$$i \leq l \leq \text{mid} \quad \text{mid} + 1 \leq k \leq q_0 - 1$$

$$a[p_0] > a[q_0]$$

array  $a[i \dots \text{mid}]$  is sorted.

$$a[p_0 \dots \text{mid}] \geq a[p_0]$$

$$\Rightarrow a[p_0 \dots \text{mid}] > a[q_0]$$

\* if  $(p_0 > i)$

$\rightarrow$  we have to prove  $a[p_0 - 1] \leq a[q_0]$

So by contradiction  $a[p_0 - 1] > a[q_0]$

$\Rightarrow$  in previous iteration  $q$  would increase by 1  
 $\rightarrow q \rightarrow q_0 + 1$  at ② which would result in  $q_0 + 1$  at ①  
which is wrong.

So in array  $a[i \dots \text{mid}]$  only  $a[p_0 \dots \text{mid}]$  is  
greater than  $a[q_0]$ .

$\Rightarrow$  No. of inversion of form (l,  $q_0$ ) where  $i \leq l \leq \text{mid}$   
will be number of elements in array  $a[p_0 \dots \text{mid}]$   
which will be  $(\text{mid} - p_0 + 1)$

$$\Rightarrow \text{no. of inv.} = \overset{\text{count}_0}{\cancel{p_0}} + (\text{mid} - p_0 + 1) \quad \text{--- (X)}$$

At ②  $q = q_0 + 1$ ,  $r = r_0 + 1$ ,  $\text{inv} = \overset{\text{count}_0}{\cancel{p_0}} + (\text{mid} - p_0 + 1)$   
So, from (Y)

~~inv~~ count in inv. of form (l, k)  
where  $i \leq l \leq \text{mid}$  &  $\text{mid} + 1 \leq k \leq q - 1$

# if  $(p > \text{mid} \ \& \ q \leq j)$   
then  $\{ \ b[r] = a[q], \ q++, r++ \}$

→ at ①  $b[i--r_0-1]$  is sorted  
 $b[i--r_0-1] \leq a[q_0]$   
 New  $b[r_0] = a[q_0]$   
 $\Rightarrow b[i--r_0] \leq b[r_0] \Rightarrow b[i--r_0]$  is sorted

At ②  $r = r_0 + 1$   
 $b[i--r-1]$  is sorted

→ at ①  $b[i--r_0-1]$  is permutation of  $a[i--p_0-1] \cup a[\text{mid}+1--q_0-1]$   
 $b[r_0] = a[q_0]$   
 $\Rightarrow a[i--r_0]$  is permutation of  $a[i--p_0-1] \cup a[\text{mid}+1--q_0]$

At ②  $r = r_0 + 1, p = p_0, q = q_0 + 1$   
 $\Rightarrow b[i--r-1]$  is permutation of  $a[i--p-1] \cup a[\text{mid}+1--q-1]$

→  $i \leq p \leq \text{mid}+1, \text{mid}+1 \leq q \leq j+1, r = p+q-\text{mid}-1$   
 as  $p \geq i \ \& \ q \geq \text{mid}+1$

at ①  $p_0 \leq \text{mid}+1$

$q_0 \leq j$  at ①

at ②  $q = q_0 + 1, p = p_0$

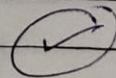
$q = q_0 + 1 \leq j+1 \ \& \ p \leq \text{mid}+1$  at ②

at ①  $r_0 = p_0 + q_0 - \text{mid} - 1$

$r_0 + 1 = p_0 + q_0 - \text{mid}$

at ③  $p = p_0, q = q_0 + 1, r = r_0 + 1$

$\Rightarrow r = p + q - \text{mid} - 1$





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→ Since  $p > \text{mid}$ . So no  $p$  in  $a[i \dots \text{mid}]$  s.t. it is greater than  $a[q]$  as no  $p$  existed.

Hence for  $q$ , there is no  $l$  in  $a[i \dots \text{mid}]$  s.t.  $\text{pair}(l, q)$  forms an inversion (no  $l$  s.t.  $a[l] > a[q]$ ).

So no. increase in inversion

$\text{count} = \text{count}_0$

Also at ③  $p = p_0, q = q_0 + 1, r = r_0 + 1$  ~~find if~~  
 $\text{count} = \text{count}_0$

# if  $(p \leq \text{mid} \ \& \ q > j)$   
then  $\{ b[r] = a[p], p++, r++ \}$

THIS IS ANALOGOUS TO PREVIOUS IF STATEMENT

New correctness

at ②  $r = p + q - \text{mid} - 1$  &  $p \leq \text{mid} + 1$   $q \leq j + 1$

→  $r \leq j + 1$  &  $r > i$

⇒  $r = j + 1$

if  $r = j + 1$ , then  $p$  &  $q$  must be max. possible

⇒  $p = \text{mid} + 1$  &  $q = j + 1$

→  $b[i \dots r-1]$  is sorted

→  $b[i \dots r-1]$  is permutation of  $a[i \dots p-1] \cup a[\text{mid} + 1 \dots q-1]$

→ (i)  $b[i \dots r-1] \leq a[p], a[q]$  if  $p \leq \text{mid}, q \leq j$   
 $\leq a[q]$  if  $p > \text{mid}, q \leq j$   
 $\leq a[p]$  if  $p \leq \text{mid}, q > j$

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 $\rightarrow i \leq p \leq \text{mid} + 1 \quad \text{mid} + 1 \leq q \leq j + 1 \quad r = p + q - \text{mid} - 1$ 

(i)  $b[i \dots r-1]$  is sorted  $\Rightarrow b[i \dots j]$  is sorted  
 $\Rightarrow b[i \dots j]$  is permutation of  $a[i \dots j]$ .

count is inversion of form  $(l, k)$ , where  
 $i \leq l \leq \text{mid} \quad \text{mid} + 1 \leq k \leq j$

func. 'Sort' gives value of

func. 'Merge' gives value of no. of inversions of form  $(l, k)$   
 $i \leq l \leq \text{mid}, \text{mid} + 1 \leq k \leq j$ .

or all pairs  $(a[l], a[k])$  for which  $a[l] > a[k]$

Hence proved.

Now Correctness of func. "Merge Sort"

~~func~~ Merge Sort  $(a[], i, j)$   
 if  $(i \geq j)$  {

}

Here in this func. we count the total inversion  
 b/w index  $i$  to  $\text{mid}$   
 and from index  $\text{mid} + 1$  to  $j$

And also inversion during sort and merge

Thus we get total number of inversions  
 from index  $i$  to  $j$ .