

## Honors Algebra 4, MATH 371 Winter 2010

### Solutions 2

1. Let  $R$  be a ring.

- (a) Let  $I$  be an ideal of  $R$  and denote by  $\pi : R \rightarrow R/I$  the natural ring homomorphism defined by  $\pi(x) := x \bmod I (= x + I$  using coset notation). Show that an arbitrary ring homomorphism  $\phi : R \rightarrow S$  can be factored as  $\phi = \psi \circ \pi$  for some ring homomorphism  $\psi : R/I \rightarrow S$  if and only if  $I \subseteq \ker(\phi)$ , in which case  $\psi$  is unique.
- (b) Suppose that  $R$  is commutative with 1. An  $R$ -algebra is a ring  $S$  with identity equipped with a ring homomorphism  $\phi : R \rightarrow S$  mapping  $1_R$  to  $1_S$  such that  $\text{im}(\phi)$  is contained in the center of  $S$  (i.e. the set

$$c(S) := \{z \in S \mid zs = sz \text{ for all } s \in S\}$$

of all elements of  $S$  that commute with every other element). If  $(S, \phi)$  and  $(S', \phi')$  are two  $R$ -algebras then a ring homomorphism  $f : S \rightarrow S'$  is called a *homomorphism of  $R$ -algebras* if  $f(1_S) = 1_{S'}$  and  $f \circ \phi = \phi'$ . For an  $R$ -algebra  $(S, \phi)$  we will frequently simply write  $rx$  for  $\phi(r)x$  whenever  $r \in R$  and  $x \in S$ .

Prove that the polynomial ring  $R[X]$  in one variable is naturally an  $R$ -algebra, and that if  $S$  is an  $R$ -algebra then for any  $s \in S$  there exists a unique  $R$ -algebra homomorphism  $f : R[X] \rightarrow S$  such that  $f(X) = s$ . In other words, mapping  $R[X]$  to  $S$  is the “same” as choosing an element  $s$  of  $S$ .

#### Solution:

- (a) One direction is obvious. For the other direction, assume that  $I \subseteq \ker(\phi)$  and define  $\psi : R/I \rightarrow S$  by the rule

$$\psi(r + I) := \phi(r).$$

Note that this is well-defined since it doesn't depend on the choice of coset representative as  $\phi(I) = 0$ . Clearly  $\phi = \psi \circ \pi$  and if  $\psi' : R/I \rightarrow S$  is another ring map with this property then we must have  $\psi = \psi'$  as  $\pi$  is surjective. Hence  $\psi$  is unique.

- (b) That  $R[X]$  is an  $R$ -algebra via the map  $R \rightarrow R[X]$  sending  $r \in R$  to the constant polynomial  $r \in R[X]$  is obvious. If  $S$  is any  $R$ -algebra and  $s \in S$ , we define  $f : R[X] \rightarrow S$  as

$$f(a_0 + a_1X + a_2X^2 + \cdots + a_nX^n) := a_0 + a_1s + \cdots + a_ns^n.$$

It is easy to check that  $f$  is an  $R$ -algebra homomorphism. On the other hand, if  $f : R[X] \rightarrow S$  is any homomorphism of  $R$ -algebras with  $f(X) = s$  then we must have  $f(X^n) = f(X)^n = s^n$  and hence

$$f(a_0 + a_1X + a_2X^2 + \cdots + a_nX^n) = f(a_0) + f(a_1)s + \cdots + f(a_n)s^n = a_0 + a_1s + \cdots + a_ns^n.$$

We conclude that  $f$  exists and is uniquely determined by the requirement that  $f(X) = s$ .

2. Let  $R$  be a ring with 1.

- (a) Prove that there is a unique map of rings  $f_R : \mathbf{Z} \rightarrow R$ . Conclude that every ring with 1 is a  $\mathbf{Z}$ -algebra in a unique way.
- (b) For a ring  $R$  with 1, the kernel of the ring homomorphism  $f_R$  as in (2a) is an ideal of  $\mathbf{Z}$  so it has the form  $c(R)\mathbf{Z}$  for a unique  $c(R) \in \mathbf{Z}$  satisfying  $c(R) \geq 0$ . By definition, the *characteristic of  $R$*  is this integer  $c(R)$ . Convince yourself that when  $c(R) > 0$ , this number is the least number of times we have to add  $1 \in R$  to itself to get  $0 \in R$ . Now prove that if  $R$  is a ring with 1 that is an integral domain, then the characteristic of  $R$  is either 0 or a prime number.
- (c) Prove that for  $g : R \rightarrow S$  a homomorphism of rings with 1 taking  $1_R$  to  $1_S$  the characteristic of  $S$  divides the characteristic of  $R$ .
- (d) Let  $g : R \rightarrow S$  be a homomorphism of rings with 1 taking  $1_R$  to  $1_S$ . If  $g$  is injective, prove that  $c(R) = c(S)$ . Give an example with  $g$  not injective where  $c(R) \neq c(S)$ .

**Solution:**

- (a) In general, one wants maps of rings with 1 to take 1 to 1, but I should have explicitly demanded this. In this situation, for  $n > 0$

$$f(n) = f(1) + f(n-1) = 1 + f(n-1)$$

and it follows by induction that  $f(n)$  for  $n > 0$  is uniquely determined. Using the existence of additive inverses in  $R$ , we must have  $f(0) = 0$  as  $f(0) = f(0+0) = f(0) + f(0)$ . We conclude that for  $n > 0$  we have

$$0 = f(0) = f(n + (-n)) = f(n) + f(-n)$$

and hence that  $f(-n) = -f(n)$  is again uniquely determined. Thus, there is a unique map of rings  $\mathbf{Z} \rightarrow R$  (provided we require 1 maps to 1).

- (b) In any case, we have an injective homomorphism of rings

$$\mathbf{Z}/c(R)\mathbf{Z} \hookrightarrow R.$$

If  $R$  is a domain then so is  $\mathbf{Z}/c(R)\mathbf{Z}$  since any subring of a domain is a domain and it follows that  $(c(R))$  must be a prime ideal. Hence either  $c(R) = 0$  or it is a prime number.

- (c) The composite homomorphism

$$\mathbf{Z} \xrightarrow{f_R} R \longrightarrow S$$

coincides with  $f_S$  by uniqueness and hence  $\ker(f_R) \subseteq \ker(f_S)$  as desired.

(d) When  $g : R \rightarrow S$  is injective, the composite

$$\mathbf{Z}/c(R)\mathbf{Z} \xrightarrow{f_R} R \hookrightarrow S$$

is also injective and we deduce that  $c(S) := \ker(f_S) = c(R)$ . As a counterexample to this equality when  $g$  fails to be injective, consider the quotient map  $\mathbf{Z} \rightarrow \mathbf{Z}/p\mathbf{Z}$ .

3. Let  $I$  and  $J$  be ideals of a ring  $R$ . We define

(a)  $I + J := \{a + b \mid a \in I, b \in J\}$

(b)  $IJ := \{a_1b_1 + \cdots + a_sb_s \mid a \in I, b \in J\}$

Prove that  $I + J$  is the smallest ideal of  $R$  containing  $I$  and  $J$  and that  $IJ$  is an ideal contained in the intersection  $I \cap J$ . Convince yourself that  $I \cap J$  is an ideal of  $R$ , and show that if  $R$  is commutative and  $I + J = R$  then  $IJ = I \cap J$ . Show by giving examples that  $IJ \neq I \cap J$  in general, and that  $I \cup J$  (set-theoretic union) need not be an ideal.

**Solution:** It is easy to see that  $I + J$  is an ideal of  $R$ . If  $K$  is any ideal of  $R$  containing  $I$  and  $J$  then it contains  $a$  for all  $a \in I$  and  $b$  for all  $b \in J$  and hence  $a + b$ . Thus,  $K$  contains  $I + J$ .

We obviously have  $IJ \subseteq I \cap J$ . To get the reverse inclusion, we have to require that  $1 \in R$  (this should have been stated as an assumption in the problem). Suppose that  $r \in I \cap J$  and write  $1 = i + j$  for  $i \in I$  and  $j \in J$ . Then  $r = ri + rj$  lies in  $IJ$ . As for counterexamples, consider the ring  $R = 2\mathbf{Z}$  which does not have an identity and the ideals  $I = 6\mathbf{Z}$  and  $J = 8\mathbf{Z}$ . These ideals clearly satisfy  $I + J = R$ . We have  $I \cap J = 24\mathbf{Z}$  but  $IJ = 48\mathbf{Z}$ . Now consider  $2\mathbf{Z}$  and  $3\mathbf{Z}$  as ideals of  $\mathbf{Z}$ . Their set-theoretic union contains 2 and 3 but not  $2 + 3 = 5$  since 5 isn't a  $\mathbf{Z}$ -multiple of either 2 or 3.

4. Let  $R$  be a commutative ring and  $I, J$  ideals of  $R$ . If  $P$  is a prime ideal of  $R$  containing  $IJ$ , prove that  $P$  contains  $I$  or  $P$  contains  $J$ .

**Solution:** Suppose that  $P$  does not contain  $I$  and let  $j \in J$  be arbitrary. Since  $P$  does not contain  $I$ , there exists  $i \in I$  with  $i \notin P$ . But  $ij \in P$  whence  $j \in P$  as  $P$  is prime. Hence  $P$  contains  $J$ .

5. Let  $R$  be a commutative ring.

(a) Show that the set of all nilpotent elements of  $R$  (called the *nilradical of  $R$* ) is an ideal. Hint: this is basically 1(b) from assignment 1, but be careful about showing that this set is really an abelian group under addition.

(b) Prove that the nilradical of  $R$  is contained in the intersection of all prime ideals of  $R$ .

- (c) Let  $G := \mathbf{Z}/p\mathbf{Z}$  as a group under addition (it is cyclic of order  $p$ ). Let  $\mathbf{F}_p := \mathbf{Z}/p\mathbf{Z}$  as a ring, and note that this is a field with  $p$  elements. Let  $R$  be the group ring  $R := \mathbf{F}_p G$ . What is the nilradical of  $R$ ?

**Solution:**

- (a) Using assignment 1, it remains to show that if  $x$  is nilpotent then so is  $-x$ . Note that for any  $r \in R$  we have

$$0 = 0 \cdot r = (x + (-x))r = xr + (-x)r$$

so  $(-x)r = -xr$ . We deduce that

$$(-x)^n = \begin{cases} x^n & n \in 2\mathbf{Z} \\ -x^n & \text{else} \end{cases}$$

and hence that  $-x$  is nilpotent if  $x$  is. Note that we don't need to assume that  $R$  has an identity.

- (b) If  $x \in R$  satisfies  $x^n = 0$  for  $n > 1$  and  $P$  is a prime ideal then  $x^n = x \cdot x^{n-1} \in P$  so by induction  $x \in P$ . It follows that  $x$  lies in the intersection of all prime ideals.
- (c) Arguing as in assignment 1, we have an isomorphism of rings

$$\mathbf{F}_p[X]/(x^p - 1) = \mathbf{F}_p G.$$

But as polynomials over  $\mathbf{F}_p$  we have  $x^p - 1 = (x - 1)^p$  so our task is to find the nilradical of  $\mathbf{F}_p[X]/(x - 1)^p$ . In other words, we seek to find all  $f \in \mathbf{F}_p[X]$  such that  $f^k \in (x - 1)^p$  for some  $k$ . Since  $(x - 1)$  is a prime ideal of  $\mathbf{F}_p[X]$ , we conclude that we must have  $f \in (x - 1)^i$  for some  $i \geq 1$  and hence the nilradical is precisely the principal ideal generated by  $(x - 1)$ .

6. Let  $R$  be a commutative ring. Prove that the set of prime ideals in  $R$  has minimal elements with respect to inclusion. Such minimal elements are called *minimal primes*.

**Solution:** This exercise should require  $R$  to have an identity  $1 \neq 0$ . Let  $S$  be the set of prime ideals of  $R$ , ordered by inclusion. Since  $R$  is not the zero ring,  $R$  has at least one maximal (hence prime) ideal so  $S$  is nonempty. Suppose that  $I$  is any totally ordered set and that  $\{P_i\}_{i \in I}$  is a chain in  $S$ . We claim that

$$P := \bigcap_{i \in I} P_i$$

is a prime ideal of  $R$ . It is clearly an ideal, so suppose that  $ab \in P$ . Then for all  $i$ , either  $a \in P_i$  or  $b \in P_i$ . If  $a \notin P_i$  for some  $i \in I$ , then  $a \notin P_j$  for all  $j \leq i$  as  $P_j \subseteq P_i$  and hence

$b \in P_j$  for all  $j \leq i$ . As we must also then have  $b \in P_j$  for all  $j \geq i$  we deduce that  $b \in P$  and  $P$  is prime. Thus, every chain in  $S$  is bounded below and we conclude by Zorn's Lemma (in the form with minimal elements) that  $S$  has minimal elements, as desired.

7. Let  $R$  be a finite (as a set) commutative ring with 1. Prove that every prime ideal of  $R$  is maximal.

**Solution:** Let  $P$  be a prime ideal of  $R$ . Then  $R/P$  is a domain with finitely many elements, and is hence a field. (Indeed, if  $x \in R/P$  is nonzero then the powers of  $x$  can not all be distinct by finiteness so  $x^j = x^i$  for some  $0 < i < j$  and we conclude that  $x^{j-i}(x^i - 1) = 0$  so since  $R/P$  is a domain and  $x \neq 0$  we conclude that  $x^i = 1$  for some  $i \geq 1$  whence  $x$  is a unit.) We conclude that  $P$  is maximal, as desired.

8. Let  $\varphi : R \rightarrow S$  be a homomorphism of commutative rings and  $I$  an ideal of  $S$ . Prove that  $\varphi^{-1}(I)$  (set-theoretic inverse image) is an ideal of  $R$  that is prime whenever  $I$  is a prime ideal of  $S$ . Show that this holds with “prime” replaced by “maximal” provided we assume that  $\varphi$  is surjective. Give a counterexample to this if we drop the surjectivity requirement.

**Solution:** The map  $\varphi$  induces an injective homomorphism of rings

$$R/\varphi^{-1}(I) \hookrightarrow S/I$$

so if the target is a domain, so is the source as any subring of a domain is a domain. In the case that  $\varphi$  is surjective, this induced map is an isomorphism so if  $I$  is maximal both target and source are fields and  $\varphi^{-1}(I)$  must be maximal as well. As a counterexample, consider the map  $\mathbf{Z} \hookrightarrow \mathbf{Q}$  given by inclusion. The zero ideal of  $\mathbf{Q}$  is maximal as  $\mathbf{Q}$  is a field, but clearly its inverse image—the zero ideal of  $\mathbf{Z}$ —is not maximal.

Suppose that  $ab \in \varphi^{-1}(I)$ . Then  $\varphi(a)\varphi(b) \in I$  so if  $I$  is prime one of  $\varphi(a), \varphi(b)$  lies in  $I$  and hence one of  $a, b$  lies in  $\varphi^{-1}(I)$ . If  $\varphi$  is surjective and  $I$  is maximal