

Compiler Design

Top Down Parsing

Amey Karkare
Department of Computer Science and Engineering
IIT Kanpur

karkare@iitk.ac.in

Top down Parsing

 Following grammar generates types of Pascal

Example ...

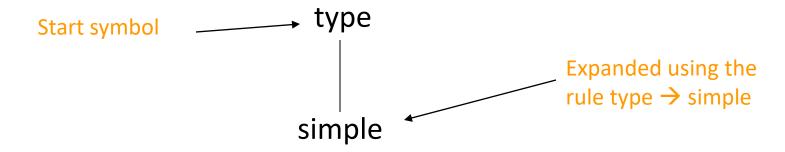
- Construction of a parse tree is done by starting the root labeled by a start symbol
- repeat following two steps
 - at a node labeled with non terminal A select one of the productions of A and construct children nodes

(Which production?)

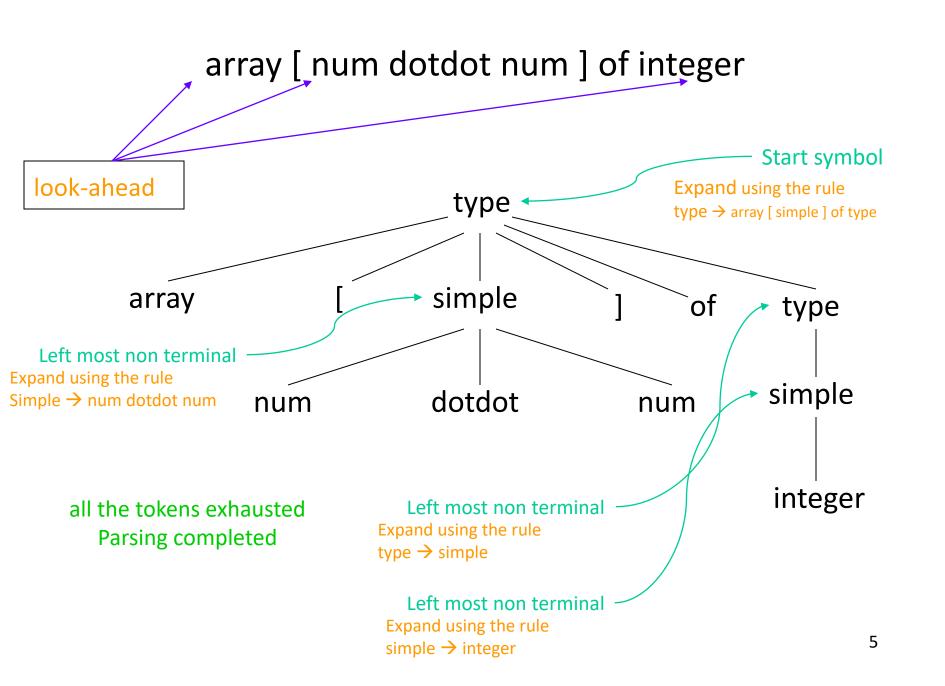
find the next node at which subtree is Constructed

(Which node?)

 Parse array [num dotdot num] of integer



- Cannot proceed as non terminal "simple" never generates a string beginning with token "array". Therefore, requires back-tracking.
- Back-tracking is not desirable, therefore, take help of a "look-ahead" token. The current token is treated as lookahead token. (restricts the class of grammars)



Recursive descent parsing

First set:

Let there be a production

$$A \rightarrow \alpha$$

then $First(\alpha)$ is the set of tokens that appear as the first token in the strings generated from α

```
For example :

First(simple) = {integer, char, num}

First(num dotdot num) = {num}
```

Define a procedure for each non terminal

```
procedure type;
 if lookahead in {integer, char, num}
  then simple
  else if lookahead = ↑
        then begin match(\uparrow);
                  match(id)
             end
        else if lookahead = array
              then begin match(array);
                         match([);
                         simple;
                         match(]);
                         match(of);
                         type
                   end
              else error;
```

```
procedure simple;
 if lookahead = integer
   then match(integer)
   else if lookahead = char
         then match(char)
         else if lookahead = num
              then begin match(num);
                        match(dotdot);
                        match(num)
                  end
              else
                 error;
procedure match(t:token);
  if lookahead = t
     then lookahead = next token
     else error;
```

Left recursion

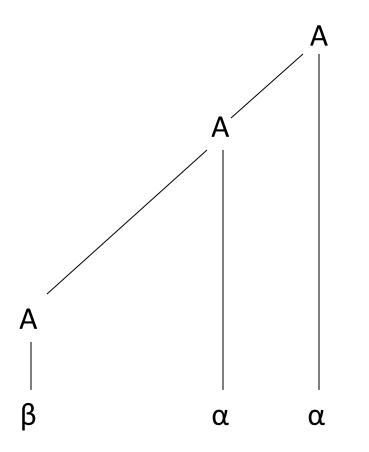
• A top down parser with production $A \rightarrow A \alpha$ may loop forever

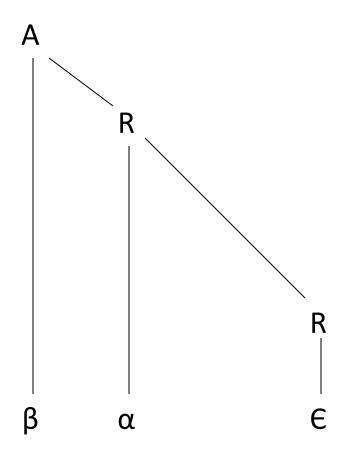
• From the grammar A \rightarrow A α | β left recursion may be eliminated by transforming the grammar to

$$A \rightarrow \beta R$$
 $R \rightarrow \alpha R \mid \epsilon$

Parse tree corresponding to a left recursive grammar

Parse tree corresponding to the modified grammar





Both the trees generate string $\beta \alpha^*$

Example

Consider grammar for arithmetic expressions

$$E \rightarrow E + T \mid T$$

 $T \rightarrow T * F \mid F$
 $F \rightarrow (E) \mid id$

After removal of left recursion the grammar becomes

$$E \rightarrow T E'$$

 $E' \rightarrow + T E' \mid E$
 $T \rightarrow F T'$
 $T' \rightarrow * F T' \mid E$
 $F \rightarrow (E) \mid id$

Removal of left recursion

In general

$$A \rightarrow A\alpha_1 \mid A\alpha_2 \mid \dots \mid A\alpha_m$$
$$\mid \beta_1 \mid \beta_2 \mid \dots \dots \mid \beta_n$$

transforms to

$$A \rightarrow \beta_1 A' \mid \beta_2 A' \mid \dots \mid \beta_n A'$$

$$A' \rightarrow \alpha_1 A' \mid \alpha_2 A' \mid \dots \mid \alpha_m A' \mid \epsilon$$

Left recursion hidden due to many productions

Left recursion may also be introduced by two or more grammar rules.
 For example:

$$S \rightarrow Aa \mid b$$

 $A \rightarrow Ac \mid Sd \mid E$

there is a left recursion because

$$S \rightarrow Aa \rightarrow Sda$$

- In such cases, left recursion is removed systematically
 - Starting from the first rule and replacing all the occurrences of the first non terminal symbol
 - Removing left recursion from the modified grammar

Removal of left recursion due to many productions ...

 After the first step (substitute S by its rhs in the rules) the grammar becomes

$$S \rightarrow Aa \mid b$$

A \rightarrow Ac \cent Aad \cent bd \cent \in \end{align*}

 After the second step (removal of left recursion) the grammar becomes

```
S \rightarrow Aa \mid b

A \rightarrow bdA' \mid A'

A' \rightarrow cA' \mid adA' \mid E
```

Left factoring

 In top-down parsing when it is not clear which production to choose for expansion of a symbol

defer the decision till we have seen enough input.

In general if A
$$\rightarrow \alpha \beta_1 \mid \alpha \beta_2$$

defer decision by expanding A to $\alpha A'$

we can then expand A' to β_1 or β_2

• Therefore A $\rightarrow \alpha \beta_1 \mid \alpha \beta_2$

transforms to

$$A \rightarrow \alpha A'$$

$$A' \rightarrow \beta_1 \mid \beta_2$$

Dangling else problem again

Dangling else problem can be handled by left factoring

```
stmt → if expr then stmt else stmt
| if expr then stmt
```

can be transformed to

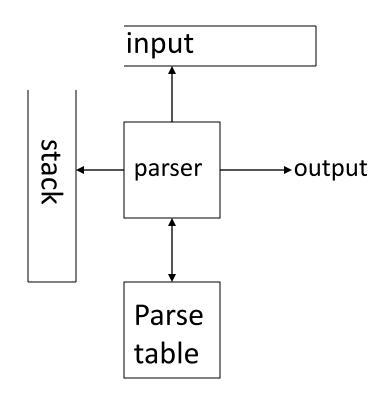
```
stmt \rightarrow if expr then stmt S' \rightarrow else stmt | \in
```

Predictive parsers

- A non recursive top down parsing method
- Parser "predicts" which production to use
- It removes backtracking by fixing one production for every non-terminal and input token(s)
- Predictive parsers accept LL(k) languages
 - First L stands for left to right scan of input
 - Second L stands for leftmost derivation
 - k stands for number of lookahead token
- In practice LL(1) is used

Predictive parsing

 Predictive parser can be implemented by maintaining an external stack



Parse table is a two dimensional array M[X,a] where "X" is a non terminal and "a" is a terminal of the grammar

Example

Consider the grammar

$$E \rightarrow T E'$$

$$E' \rightarrow +T E' \mid E$$

$$T \rightarrow F T'$$

$$T' \rightarrow * F T' \mid E$$

$$F \rightarrow (E) \mid id$$

Parse table for the grammar

	id	+	*	()	\$
Ε	E → TE′			E→TE′		
E'		E'→+TE'			E′ → €	E′ → €
Т	T→FT′			T → FT′		
T'		T′ → €	T' → *FT'		T′ → €	T′ → €
F	F→id			F → (E)		

Blank entries are error states. For example E cannot derive a string starting with '+'

Parsing algorithm

- The parser considers 'X' the symbol on top of stack, and 'a' the current input symbol
- These two symbols determine the action to be taken by the parser
- Assume that '\$' is a special token that is at the bottom of the stack and terminates the input string

Example

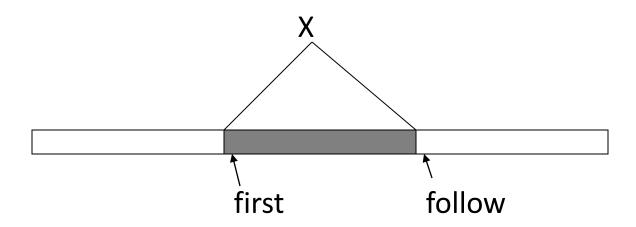
Stack	input	action
\$E	id + id * id \$	expand by E→TE′
\$E'T	id + id * id \$	expand by T→FT′
\$E'T'F	id + id * id \$	expand by F→id
\$E'T'id	id + id * id \$	pop id and ip++
\$E'T'	+ id * id \$	expand by T′→€
\$E'	+ id * id \$	expand by E′→+TE′
\$E'T+	+ id * id \$	pop + and ip++
\$E'T	id * id \$	expand by T→FT′

Example ...

Stack	input	action
\$E'T'F	id * id \$	expand by F→id
\$E'T'id	id * id \$	pop id and ip++
\$E'T'	* id \$	expand by $T' \rightarrow *FT'$
\$E'T'F*	* id \$	pop * and ip++
\$E'T'F	id\$	expand by F→id
\$E'T'id	id\$	pop id and ip++
\$E'T'	\$	expand by $T' \rightarrow \epsilon$
\$E'	\$	expand by $E' \rightarrow \epsilon$
\$	\$	halt

Constructing parse table

- Table can be constructed if for every non terminal, every lookahead symbol can be handled by at most one production
- First(α) for a string of terminals and non terminals α is
 - Set of symbols that might begin the fully expanded (made of only tokens) version of α
- Follow(X) for a non terminal X is
 - set of symbols that might follow the derivation of X in the input stream



Compute first sets

- If X is a terminal symbol then First(X) = {X}
- If $X \rightarrow E$ is a production then E is in First(X)
- If X is a non terminal
 and X → Y₁Y₂ ... Y_k is a production
 then
 if for some i, a is in First(Y_i)
 and ∈ is in all of First(Y_j) (such that j<i)
 then a is in First(X)
- If ∈ is in First (Y₁) ... First(Yk) then ∈ is in First(X)

Example

For the expression grammar

```
E \rightarrow T E'
E' \rightarrow +T E' \mid E
T \rightarrow F T'
T' \rightarrow * F T' \mid \epsilon
F \rightarrow (E) \mid id
First(E) = First(T) = First(F) = { (, id }
First(E') = \{+, \in\}
First(T') = \{ *, \in \}
```

Compute follow sets

- 1. Place \$ in follow(\$)
- 2. If there is a production $A \rightarrow \alpha B\beta$ then everything in first(β) (except ϵ) is in follow(B)
- 3. If there is a production $A \rightarrow \alpha B$ then everything in follow(A) is in follow(B)
- 4. If there is a production A → αBβ and First(β) contains ε then everything in follow(A) is in follow(B)

Since follow sets are defined in terms of follow sets last two steps have to be repeated until follow sets converge

Example

For the expression grammar

```
E \rightarrow T E'
E' \rightarrow + T E' \mid E
T \rightarrow F T'
T' \rightarrow * F T' \mid E
F \rightarrow (E) \mid id
```

```
follow(E) = follow(E') = { $, ) }
follow(T) = follow(T') = { $, ), + }
follow(F) = { $, ), +, *}
```

Construction of parse table

- for each production A \rightarrow α do
 - for each terminal 'a' in first(α)

$$M[A,a] = A \rightarrow \alpha$$

- If \in is in First(α)

$$M[A,b] = A \rightarrow \alpha$$

for each terminal b in follow(A)

- If ε is in First(α) and \$ is in follow(A) $M[A,$] = A \rightarrow α$

A grammar whose parse table has no multiple entries is called LL(1)

Practice

- Construct LL(1) parse table for the expression grammar bexpr → bexpr or bterm | bterm bterm → bterm and bfactor | bfactor bfactor → not bfactor | (bexpr) | true | false
- Steps to be followed
 - Remove left recursion
 - Compute first sets
 - Compute follow sets
 - Construct the parse table

Error handling

- Stop at the first error and print a message
 - Compiler writer friendly
 - But not user friendly
- Every reasonable compiler must recover from errors and identify as many errors as possible
- However, multiple error messages due to a single fault must be avoided
- Error recovery methods
 - Panic mode
 - Phrase level recovery
 - Error productions
 - Global correction

Panic mode

Simplest and the most popular method

 Most tools provide for specifying panic mode recovery in the grammar

- When an error is detected
 - Discard tokens one at a time until a set of tokens is found whose role is clear
 - Skip to the next token that can be placed reliably in the parse tree

Panic mode ...

 Consider following code begin

```
a = b + c;
x = p r;
h = x < 0;
end;
```

- The second expression has syntax error
- Panic mode recovery for begin-end block
 skip ahead to next ';' and try to parse the next expression
- It discards one expression and tries to continue parsing
- May fail if no further ';' is found

Phrase level recovery

Make local correction to the input

- Works only in limited situations
 - A common programming error which is easily detected
 - For example insert a ";" after closing "}" of a class definition

Does not work very well!

Error productions

- Add erroneous constructs as productions in the grammar
- Works only for most common mistakes which can be easily identified
- Essentially makes common errors as part of the grammar
- Complicates the grammar and does not work very well

Global corrections

- Considering the program as a whole find a correct "nearby" program
- Nearness may be measured using certain metric
- PL/C compiler implemented this scheme: anything could be compiled!
- It is complicated and not a very good idea!

Error Recovery in LL(1) parser

- Error occurs when a parse table entry M[A,a] is empty
- Skip symbols in the input until a token in a selected set (synch) appears
- Place symbols in follow(A) in synch set. Skip tokens until an element in follow(A) is seen.
 - Pop(A) and continue parsing
- Add symbol in first(A) in synch set. Then it may be possible to resume parsing according to A if a symbol in first(A) appears in input.

Practice

- Reading assignment: Read about error recovery in LL(1) parsers
- Practice Assignment:
 - introduce synch symbols (using both follow and first sets) in the parse table created for the boolean expression grammar in the previous practice work
 - Parse "not (true and or false)" and show how error recovery works