

**Q1.** Say  $n$  boxes arrive in the order  $b_1, \dots, b_n$ . Say each box  $b_i$  has a positive weight  $w_i$ , and the maximum weight each truck can carry is  $W$ . To pack the boxes into  $N$  trucks *preserving the order* is to assign each box to one of the trucks  $1, \dots, N$  so that:

- No truck is overloaded: the total weight of all boxes in each truck is less or equal to  $W$ .
- The order of arrivals is preserved: if the box  $b_i$  is sent before the box  $b_j$  (i.e. box  $b_i$  is assigned to truck  $x$ , box  $b_j$  is assigned to truck  $y$ , and  $x < y$ ) then it must be the case that  $b_i$  has arrived to the company earlier than  $b_j$  (i.e.  $i < j$ ).

We prove that the greedy algorithm uses the fewest possible trucks by showing that it “stays ahead” of any other solution. Specifically, we consider any other solution and show the following. If the greedy algorithm fits boxes  $b_1, b_2, \dots, b_j$  into the first  $k$  trucks, and the other solution fits  $b_1, \dots, b_i$  into the first  $k$  trucks, then  $i \leq j$ . Note that this implies the optimality of the greedy algorithm, by setting  $k$  to be the number of trucks used by the greedy algorithm.

We will prove this claim by induction on  $k$ . The case  $k = 1$  is clear; the greedy algorithm fits as many boxes as possible into the first truck. Now, assuming it holds for  $k - 1$ : the greedy algorithm fits  $j'$  boxes into the first  $k - 1$ , and the other solution fits  $i' \leq j'$ . Now, for the  $k^{\text{th}}$  truck, the alternate solution packs in  $b_{i'+1}, \dots, b_i$ . Thus, since  $j' \geq i'$ , the greedy algorithm is able at least to fit all the boxes  $b_{j'+1}, \dots, b_i$  into the  $k^{\text{th}}$  truck, and it can potentially fit more. This completes the induction step, the proof of the claim, and hence the proof of optimality of the greedy algorithm.

---

<sup>1</sup>ex73.193.591

**Q2.** Let the sequence  $S$  consist of  $s_1, \dots, s_n$  and the sequence  $S'$  consist of  $s'_1, \dots, s'_m$ . We give a greedy algorithm that finds the first event in  $S$  that is the same as  $s'_1$ , matches these two events, then finds the first event after this that is the same as  $s'_2$ , and so on. We will use  $k_1, k_2, \dots$  to denote the match have we found so far,  $i$  to denote the current position in  $S$ , and  $j$  the current position in  $S'$ .

```

Initially  $i = j = 1$ 
While  $i \leq n$  and  $j \leq m$ 
    If  $s_i$  is the same as  $s'_j$ , then
        let  $k_j = i$ 
        let  $i = i + 1$  and  $j = j + 1$ 
    otherwise let  $i = i + 1$ 
EndWhile
If  $j = m + 1$  return the subsequence found:  $k_1, \dots, k_m$ 
Else return that " $S'$  is not a subsequence of  $S$ "

```

The running time is  $O(n)$ : one iteration through the while loop takes  $O(1)$  time, and each iteration increments  $i$ , so there can be at most  $n$  iterations.

It is also clear that the algorithm finds a correct match if it finds anything. It is harder to show that if the algorithm fails to find a match, then no match exists. Assume that  $S'$  is the same as the subsequence  $s_{l_1}, \dots, s_{l_m}$  of  $S$ . We prove by induction that the algorithm will succeed in finding a match and will have  $k_j \leq l_j$  for all  $j = 1, \dots, m$ . This is analogous to the proof in class that the greedy algorithm finds the optimal solution for the interval scheduling problem: we prove that the greedy algorithm is always ahead.

- For each  $j = 1, \dots, m$  the algorithm finds a match  $k_j$  and has  $k_j \leq l_j$ .

*Proof.* The proof is by induction on  $j$ . First consider  $j = 1$ . The algorithm lets  $k_1$  be the first event that is the same as  $s'_1$ , so we must have that  $k_1 \leq l_1$ .

Now consider a case when  $j > 1$ . Assume that  $j - 1 < m$  and assume by the induction hypothesis that the algorithm found the match  $k_{j-1}$  and has  $k_{j-1} \leq l_{j-1}$ . The algorithm lets  $k_j$  be the first event after  $k_{j-1}$  that is the same as  $s'_j$  if such an event exists. We know that  $l_j$  is such an event and  $l_j > l_{j-1} \geq k_{j-1}$ . So  $s_{l_j} = s'_j$ , and  $l_j > k_{j-1}$ . The algorithm finds the first such index, so we get that  $k_j \leq l_j$ . ■

---

<sup>1</sup>ex876.936.4

**Q3.** Here is a greedy algorithm for this problem. Start at the western end of the road and begin moving east until the first moment when there's a house  $h$  exactly four miles to the west. We place a base station at this point (if we went any farther east without placing a base station, we wouldn't cover  $h$ ). We then delete all the houses covered by this base station, and iterate this process on the remaining houses.

Here's another way to view this algorithm. For any point on the road, define its *position* to be the number of miles it is from the western end. We place the first base station at the easternmost (i.e. largest) position  $s_1$  with the property that all houses between 0 and  $s_1$  will be covered by  $s_1$ . In general, having placed  $\{s_1, \dots, s_i\}$ , we place base station  $i + 1$  at the largest position  $s_{i+1}$  with the property that all houses between  $s_i$  and  $s_{i+1}$  will be covered by  $s_i$  and  $s_{i+1}$ .

Let  $S = \{s_1, \dots, s_k\}$  denote the full set of base station positions that our greedy algorithm places, and let  $T = \{t_1, \dots, t_m\}$  denote the set of base station positions in an optimal solution, sorted in increasing order (i.e. from west to east). We must show that  $k = m$ .

We do this by showing a sense in which our greedy solution  $S$  “stays ahead” of the optimal solution  $T$ . Specifically, we claim that  $s_i \geq t_i$  for each  $i$ , and prove this by induction. The claim is true for  $i = 1$ , since we go as far as possible to the east before placing the first base station. Assume now it is true for some value  $i \geq 1$ ; this means that our algorithm's first  $i$  centers  $\{s_1, \dots, s_i\}$  cover all the houses covered by the first  $i$  centers  $\{t_1, \dots, t_i\}$ . As a result, if we add  $t_{i+1}$  to  $\{s_1, \dots, s_i\}$ , we will not leave any house between  $s_i$  and  $t_{i+1}$  uncovered. But the  $(i + 1)^{\text{st}}$  step of the greedy algorithm chooses  $s_{i+1}$  to be *as large as possible* subject to the condition of covering all houses between  $s_i$  and  $s_{i+1}$ ; so we have  $s_{i+1} \geq t_{i+1}$ . This proves the claim by induction.

Finally, if  $k > m$ , then  $\{s_1, \dots, s_m\}$  fails to cover all houses. But  $s_m \geq t_m$ , and so  $\{t_1, \dots, t_m\} = T$  also fails to cover all houses, a contradiction.

---

<sup>1</sup>ex198.453.676

**Q4.** An optimal algorithm is to schedule the jobs in decreasing order of  $w_i/t_i$ . We prove the optimality of this algorithm by an exchange argument.

Thus, consider any other schedule. As is standard in exchange arguments, we observe that this schedule must contain an *inversion* — a pair of jobs  $i, j$  for which  $i$  comes before  $j$  in the alternate solution, and  $j$  comes before  $i$  in the greedy solution. Further, in fact, there must be an adjacent such pair  $i, j$ . Note that for this pair, we have  $w_j/t_j \geq w_i/t_i$ , by the definition of the greedy schedule. If we can show that swapping this pair  $i, j$  does not increase the weighted sum of completion times, then we can iteratively do this until there are no more inversions, arriving at the greedy schedule without having increased the function we're trying to minimize. It will then follow that the greedy algorithm is optimal.

So consider the effect of swapping  $i$  and  $j$ . The completion times of all other jobs remain the same. Suppose the completion time of the job before  $i$  and  $j$  is  $C$ . Then before the swap, the contribution of  $i$  and  $j$  to the total sum was  $w_i(C + t_i) + w_j(C + t_i + t_j)$ , while after the swap it is  $w_j(C + t_j) + w_i(C + t_i + t_j)$ . The difference between the value after the swap, compared to the value before the swap is (canceling terms in common between the two expressions)  $w_i t_j - w_j t_i$ . Since  $w_j/t_j \geq w_i/t_i$ , this difference is bounded above by 0, and so the total weighted sum of completion times does not increase due to the swap, as desired.

---

<sup>1</sup>ex948.540.252

**Q5.** Let  $I_1, \dots, I_n$  denote the  $n$  intervals. We say that an  $I_j$ -restricted solution is one that contains the interval  $I_j$ .

Here is an algorithm, for fixed  $j$ , to compute an  $I_j$ -restricted solution of maximum size. Let  $x$  be a point contained in  $I_j$ . First delete  $I_j$  and all intervals that overlap it. The remaining intervals do not contain the point  $x$ , so we can “cut” the time-line at  $x$  and produce an instance of the Interval Scheduling Problem from class. We solve this in  $O(n)$  time, assuming that the intervals are ordered by ending time.

Now, the algorithm for the full problem is to compute an  $I_j$ -restricted solution of maximum size for each  $j = 1, \dots, n$ . This takes a total time of  $O(n^2)$ . We then pick the largest of these solutions, and claim that it is an optimal solution. To see this, consider the optimal solution to the full problem, consisting of a set of intervals  $S$ . Since  $n > 0$ , there is some interval  $I_j \in S$ ; but then  $S$  is an optimal  $I_j$ -restricted solution, and so our algorithm will produce a solution at least as large as  $S$ .

---

<sup>1</sup>ex434.357.684

**Q6.** It is clear that the working time *for the super-computer* does not depend on the ordering of jobs. Thus we can not change the time when the last job hands off to a PC. It is intuitively clear that the last job in our schedule should have the shortest *finishing* time.

This informal reasoning suggests that the following greedy schedule should be the optimal one.

**Schedule  $G$ :**

Run jobs in the order of decreasing finishing time  $f_i$ .

Now we show that  $G$  is actually the optimal schedule, using an exchange argument. We will show that for any given schedule  $S \neq G$ , we can repeatedly swap adjacent jobs so as to convert  $S$  into  $G$  without increasing the completion time.

Consider any schedule  $S$ , and suppose it does not use the order of  $G$ . Then this schedule must contain two jobs  $J_k$  and  $J_l$  so that  $J_l$  runs directly after  $J_k$ , but the finishing time for the first job is less than the finishing time for the second one, i.e.  $f_k < f_l$ . We can optimize this schedule by swapping the order of these two jobs. Let  $S'$  be the schedule  $S$  where we swap only the order of  $J_k$  and  $J_l$ . It is clear that the finishing times for all jobs except  $J_k$  and  $J_l$  does not change. The job  $J_l$  now schedules earlier, thus this job will finish earlier than in the original schedule. The job  $J_k$  schedules later, but the super-computer hands off  $J_k$  to a PC in the new schedule  $S'$  at the same time as it would handed off  $J_l$  in the original schedule  $S$ . Since the finishing time for  $J_k$  is less than the finishing time for  $J_l$ , the job  $J_k$  will finish earlier in the new schedule than  $J_l$  would finish in the original one. Hence our swapped schedule does not have a greater completion time.

If we define an inversion, as in the text, to be a pair of jobs whose order in the schedule does not agree with the order of their finishing times, then such a swap decreases the number of inversions in  $S$  while not increasing the completion time. Using a sequence of such swaps, we can therefore convert  $S$  to  $G$  without increasing the completion time. Therefore the completion time for  $G$  is not greater than the completion time for any arbitrary schedule  $S$ . Thus  $G$  is optimal.

*Notes.* As with all exchange arguments, there are some common kinds of mistakes to watch out for. We summarize some of these here; they illustrate principles that apply to others of the problems as well.

- The exchange argument should start with an arbitrary schedule  $S$  (which, in particular, could be an optimal one), and use exchanges to show that this schedule  $S$  can be turned into the schedule the algorithm produces without making the overall completion time worse. It does not work to start with the algorithm's schedule  $G$  and simply argue that  $G$  cannot be improved by swapping two jobs. This argument would show only that a schedule obtained from  $G$  by a *single swap* is not better; it would not rule out the possibility of other schedules, obtainable by multiple swaps, that are better.

---

<sup>1</sup>ex172.268.910

- To make the argument work smoothly, one should to swap neighboring jobs. If you swap two jobs  $J_l$  and  $J_k$  that are not neighboring, then all the jobs between the two also change their finishing times.
- In general, it does not work to phrase the above exchange argument as a proof by contradiction — that is, considering an optimal schedule  $\mathcal{O}$ , assuming it is not equal to  $G$ , and getting a contradiction. The problem is that there could many optimal schedules, so there is no contradiction in the existence of one that is not  $G$ . Note that when we swap adjacent, inverted jobs above, it does not necessarily make the schedule better; we only argue that such swaps do not make it worse.

Finally, it's worth noting the following alternate proof of the optimality of the schedule  $G$ , not directly using an exchange argument. Let job  $J_j$  be the job that finishes last on the PC in the greedy algorithm's schedule  $G$ , and let  $S_j$  be the time this job finishes on the supercomputer. So the overall finish time is  $S_j + f_j$ . In any other schedule, one of the first  $j$  jobs, in the other specified by  $G$ , must finish on the supercomputer at some time  $T \geq S_j$  (as the first  $j$  jobs give exactly  $S_j$  work to the supercomputer). Let that be job  $J_i$ . Now job  $J_i$  needs PC time at least as much as job  $j$  (due to the ordering of  $G$ ), and so it finishes at time  $T + f_i \geq S_j + f_j$ . So this other schedule is no better.