6.02214×10^{23} $N_{\rm Av}$: mol^{-1} 1.6605×10^{-27} 1 amu: kg ${
m eV~K^{-1}}$ 1.38065×10^{-23} $\rm J~K^{-1}$ 8.61734×10^{-5} $k_{\rm B}$: $J K^{-1} mol^{-1}$ 8.2057×10^{-2} l atm mol⁻¹ K⁻¹ R: 8.314472 ${
m J}~{
m s}^{-1}~{
m m}^{-2}~{
m K}^{-4}$ 5.6704×10^{-8} σ_{SB} : $\rm m\ s^{-1}$ 2.99792458×10^{8} c: 6.62607×10^{-34} h: J s 4.13566×10^{-15} eV s 1.05457×10^{-34} 6.58212×10^{-16} eV sJ s \hbar : hc: 1239.8 eV nm 1.60218×10^{-19} \mathbf{C} e: $9.10938215 \times 10^{-31}$ $MeV c^{-2}$ kg 1: 0.5109989 m_e : $C^2 J^{-1} m^{-1}$ $e^2 \text{ Å}^{-1} \text{ eV}^{-1}$ 8.85419×10^{-12} 5.52635×10^{-3} $e^2/4\pi\epsilon_0$: 2.30708×10^{-28} J m 14.39964 eV Å 0.529177×10^{-10} 0.529177Å \mathbf{m} a_0 : 27.212 E_{H} : Ha eV

Table 1: Key units in Physical Chemistry

1 The Classical Foundations

1.1 Lecture 0: Introduction

- 1. Burning lighter
- 2. Foundations of Physical Chemistry
 - (a) Quantum mechanics
 - (b) Statistical mechanics
 - (c) Thermodynamics, kinetics, spectroscopy
 - (d) Physical and chemical properties of matter

1.2 Lecture 1: Basic statistics

- 1. Discrete probability distributions—Coin flip
 - (a) Example of Bernoulli trial, 2^n possible outcomes from n flips
 - (b) Number of ways to get i heads in n flips, ${}_{n}C_{i} = n!/i!(n-i)!$
 - (c) Probability of *i* heads $P_i \propto {}_nC_i$
 - (d) Normalized probability, $\tilde{P}_i = P_i / \sum_i P_i = {}_n C_i / 2^n$
 - (e) Expectation value $\langle i \rangle = \sum_i i \tilde{P}_i$
- 2. Continuous distributions—temperature
 - (a) Probability density P(x) has units 1/x
 - (b) Normalized $\tilde{P}(x) = P(x) / \int P(x) dx$
 - (c) (Unitless) probability $a < x < b = \int_a^b \tilde{P}(x) dx$

- (d) Expectation value $\langle f(x) \rangle = \int f(x) \tilde{P}(x) dx$
- (e) Mean = $\langle x \rangle$
- (f) Mean squared = $\langle x^2 \rangle$
- (g) Variance $\sigma^2 = \langle x^2 \rangle \langle x \rangle^2$
- (h) Standard deviation $\Delta x = \sigma$

3. Boltzmann distribution

- (a) $P(E) \propto e^{-E/k_BT}$, in some sense the definition of temperature
- (b) Energy and its units
- (c) Absolute temperature and its units
- (d) k_BT as an energy scale, 0.026 eV at 298 K
- (e) Gravity example
 - i. E(h) = mgh, linear, continuous energy spectrum
 - ii. molecule vs car in a gravitational field (Table 2)
 - iii. Barometric law for gases, $P = P_0 e^{-mgh/k_BT}$
- (f) Kinetic energy in 1-D example

i.
$$KE = \frac{1}{2}mv_x^2$$

ii.
$$P_{1D}(v_x) = \left(\frac{m}{2\pi k_B T}\right)^{1/2} \exp\left(-\frac{m|v_x|^2}{2k_B T}\right)$$

iii. Gaussian distribution, mean μ , variance σ^2

$$G(x) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left(-\frac{(x-\mu)^2}{2\sigma^2}\right)$$

- iv. By inspection, $\mu = \langle v_x \rangle = 0$, $\sigma^2 = \langle v_x^2 \rangle = k_B T/m$
- v. Molecule vs car again
- (g) Equipartition energy freely exchanged between all degrees of freedom

Table 2: Car vs gas molecule at the earth's surface

	car	gas molecule
m	$1000\mathrm{kg}$	$1 \times 10^{-26} \mathrm{kg}$
h	$1\mathrm{m}$	$1\mathrm{m}$
mgh	$9800\mathrm{J}$	$9.8 \times 10^{-26} \mathrm{J}$
	$6.1 \times 10^{22} \mathrm{eV}$	$6.1 \times 10^{-7} \mathrm{eV}$
T	$298\mathrm{K}$	$298\mathrm{K}$
k_BT	$0.026\mathrm{eV}$	$0.026\mathrm{eV}$
mgh/k_BT	2.4×10^{24}	2.3×10^{-5}
$P(1 {\rm m})/P(0)$	$e^{-2.4 \times 10^{-24}}$	0.99998
$\langle h \rangle$	$0\mathrm{m}$	$42\mathrm{km}$
$\langle v_x \rangle^{1/2}$	$2 \times 10^{-12} \mathrm{m/s}$	$640\mathrm{m/s}$

1

 1.986410^{-23}

 $1 \text{ cm}^{-1} =$

	J	eV	Hartree	$kJ \text{ mol}^{-1}$	cm^{-1}
1 J =	1	6.2415×10^{18}	2.2937×10^{17}	6.0221×10^{20}	5.0340×10^{22}
1 eV =	1.6022×10^{-19}	1	0.036748	96.485	8065.5
1 Ha =	4.3598×10^{-18}	27.212	1	2625.6	219474.6
$1 \text{ kJ mol}^{-1} =$	1.6605×10^{-21}	0.010364	3.8087×10^{-4}	1	83.5935

 1.23984×10^{-4}

 4.55623×10^{-6}

0.011963

Table 3: Energy conversions and correspondences

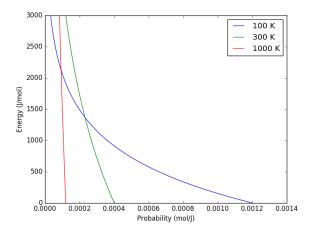


Figure 1: Boltzmann distribution at various temperatures

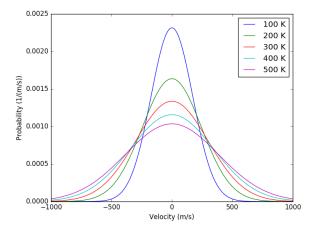


Figure 2: One-dimensional (Gaussian) velocities of N_2 gas

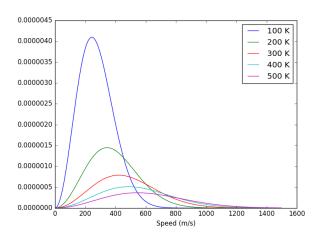


Figure 3: Maxwell-Boltzmann speed distribution of N₂ gas

1.3 Lecture 2: Kinetic theory of gases

1. Postulates

- (a) Gas is composed of molecules in constant random, thermal motion
- (b) Molecules only interact by perfectly elastic collisions
- (c) Volume of molecules is << total volume

2. Maxwell-Boltzmann distribution of molecular speeds

(a) Speed
$$v = \sqrt{v_x^2 + v_y^2 + v_z^2}$$

(b)
$$P_{MB}(v)dv = P_{1D}(v_x)P_{1D}(v_y)P_{1D}(v_z) * degeneracy(v)dv$$

- (c) mean speeds $\propto \sqrt{T}$
- (d) mean energy $U = \frac{3}{2}RT$ and heat capacity $C_v = \frac{3}{2}R$

3. Flux and pressure

- (a) Velocity flux $j(v_x)dv_x = v_x \frac{N}{V} P(v_x) dv_x$, molecules /area /time / v_x
- (b) Wall collisions, J_w , total collisions /area /time
- (c) Momentum exchange, pressure, ideal gas law

4. Collisions and mean free path

- (a) Collision cross section $\sigma = \pi d^2$, size of molecule
- (b) Molecular collisions, z per molecule and $z_{\rm AA}$ per volume
- (c) Mean free path, λ , mean distance between collisions

Table 4: Kinetic theory of gases key equations

Boltzmann distribution $(g(E))$: degeneracy of E)	$P(E) = g(E)e^{-E/k_BT}$
Maxwell-Boltzmann distribution	$P_{\text{MB}}(v) = 4\pi v^2 \left(\frac{m}{2\pi k_B T}\right)^{3/2} \exp\left(-\frac{mv^2}{2k_B T}\right)$
Mean and RMS speeds	$\langle v \rangle = \left(\frac{8k_BT}{\pi m}\right)^{1/2} \qquad \langle v^2 \rangle^{1/2} = \left(\frac{3k_BT}{m}\right)^{1/2}$
Pressure	$\langle P \rangle = \frac{\Delta p}{\Delta t} = m \frac{N}{V} \frac{1}{3} \langle v^2 \rangle = \frac{N k_B T}{V} = \frac{nRT}{V}$
Wall collision frequency	$J_W = \frac{1}{4} \frac{N}{V} \langle v \rangle = \frac{P}{(2\pi m k_B T)^{1/2}}$
Molecular collision frequency	$z = \sqrt{2}\sigma \langle v \rangle \frac{N}{V} = \frac{4\sigma P}{(\pi m k_B T)^{1/2}}$
Total collisions	$z_{AA}=rac{1}{2}rac{N}{V}z$
Mean free path	$\lambda = \frac{\langle v \rangle}{z} = \frac{V}{\sqrt{2}\sigma N}$
Graham's effusion law	$\frac{dN}{dt} = \text{Area} \cdot J_w \propto 1/m^{1/2}$
Effusion from a vessel	$P = P_0 e^{-t/\tau}, \tau = \frac{V}{A} \left(\frac{2\pi m}{k_B T}\right)^{1/2}$
Self-diffusion constant	$D_{11} = \frac{1}{3} \langle v \rangle \lambda$
Diffusion rate	$\langle x^2 \rangle^{1/2} = \sqrt{2Dt} \langle r^2 \rangle^{1/2} = \sqrt{6Dt}$
Einstein-Smoluchowski equation	$D_{11} = \frac{\delta^2}{2\tau}$
Stokes-Einstein equation for liquids	$D_{11} = \frac{k_B T}{4\pi \eta r}$ "Slip" boundary
	$D_{\text{Brownian}} = \frac{k_B T}{6\pi \eta r}$ "Stick" boundary

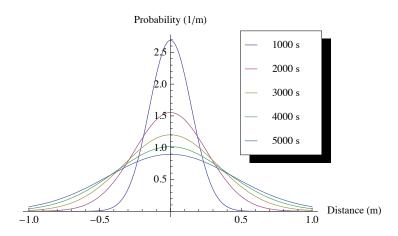


Figure 4: Diffusional spreading, $\sqrt{\langle x^2 \rangle} = \sqrt{2Dt}$

1.4 Lecture 3: Transport

- 1. Effusion and Graham's law, effusion rate $\propto MW^{-1/2}$
- 2. Fick's first law: net flux proportional to concentration gradient
 - (a) $j_x = -D\frac{dc}{dx}$
 - (b) Self-diffusion constant, $D = \frac{1}{3}\lambda \langle v \rangle$
- 3. Knudsen diffusion, $D = \frac{1}{3}l\langle v \rangle$
- 4. Fick's second law: time evolution of concentration gradient
 - (a) Continuity with no advection: $\frac{\partial c}{\partial t} = -\nabla \cdot \vec{j} + \text{gen}$
 - (b) One-dimension: $\frac{dc}{dt} = D\frac{d^2c}{dx^2}$
 - (c) Diffusion has Gaussian probability distribution: $c(x,t)/c_0 = [2\sqrt{\pi Dt}]^{-1} \exp(-x^2/4Dt)$
- 5. Seeing is believing—Brownian motion
 - (a) Seemingly random motion of large particles ("dust") due to "kicks" from invisible molecules
 - (b) Einstein receives Nobel Prize for showing:
 - i. Motion follows same Gaussian diffusion behavior
 - ii. From steady-state arguments in a field, diffusion constant is ratio of Boltzmann energy, k_BT , to mobility
 - iii. Mobility inversely related to viscosity
 - (c) Stokes-Einstein equation
 - (d) Allows measurement of Avogadro's number, final proof of kinetic theory
 - (e) Similar model for diffusion of liquid molecules, slip boundary
- 6. Random walk model of diffusion
 - (a) Binomial distribution
 - (b) Large N and Stirling approximation
 - (c) Einstein-Smoluchowski relation

Table 5: Classical waves

The wave equation	$\frac{\partial^2 \Psi(x,t)}{\partial x^2} = \frac{1}{v^2} \frac{\partial^2 \Psi(x,t)}{\partial t^2}$		
General solution	$\Psi(x,t) = A\sin(kx - \omega t)$		
Wavelength (distance)	$\lambda = 2\pi/k$		
Frequency (/time)	$ u = \omega/2\pi $		
Speed	$v = \lambda \nu$		
Amplitude (distance)	A		
Energy	$E \propto A^2$		
Standing wave	$\Psi(x,t) = A\sin(kx)\cos(\omega t), k = n\pi/a$		

2 Quantum Mechanics: Blurred Lines Between Particles and Waves

2.1 Lecture 4: Duality and demise of classical physics

2.1.1 Properties of waves

- 1. Traveling waves, standing waves
- 2. interference, diffraction
- 3. Expected energy of a classical oscillator, $\langle \epsilon \rangle_{\nu} = k_B T$ for all ν

2.1.2 Blackbody radiation

- 1. Hohlraum spectrum (like the sun) empirically observed to obey:
 - (a) Stefan-Boltzmann law, total irradiance
 - (b) Wien's displacement law
- 2. Rayleigh-Jeans predicts spectrum using classical physics
 - (a) standing waves + classical oscillators \rightarrow ultraviolet catastrophe
- 3. Planck model
 - (a) Energy spectrum of oscillators are quantized, $\epsilon_{\nu} = nh\nu$
 - (b) Expected energy of a quantized oscillator, $\langle \epsilon \rangle_{\nu} = h \nu / \left(e^{h \nu / k_B T} 1 \right)$
 - (c) Correctly reproduces Stefan-Boltzmann and Wien Laws!

2.1.3 Heat capacities of solids

- 1. Law of DuLong and Pettite, $C_v = 3R$, fails at low T
- 2. Einstein model
 - (a) Atomic vibrations are quantized, $\epsilon_n = nh\nu$
 - (b) Heat capacity goes to zero at low T

2.1.4 Photoelectric effect

- 1. Stopping potential and work function, $E_{\text{kinetic}} = h\nu W$
- 2. Kinetic energy varies with light frequency, number of electrons varies with light intensity

2.1.5 Compton effect

- 1. light scattering of electrons changes λ
- 2. Photon properties, $\epsilon = h\nu, p = h/\lambda$

2.1.6 Wave-particle duality

2.1.7 Rutherford, planetary model of atom

1. Inconsistent with Maxwell's equations

2.1.8 Bohr model of H atom

- 1. Discrete H energy spectrum and Rydberg formala
- 2. Bohr model (the old quantum mechanics)
 - (a) Stable electron "orbits," quantized angular momentum
 - (b) Light emission corresponds to orbital jumps, $\nu = \Delta E/h$
 - (c) Bohr equations
 - (d) Comparison with Rydberg formula
 - (e) Failure for larger atoms

2.1.9 de Broglie relation

- 1. $\lambda = h/p$ universally
- 2. Relation to Bohr orbits
- 3. Davison and Germer experiment, e^- diffraction off Ni

```
import numpy as np
    import matplotlib.pyplot as plt
3
    hc = 1239.8
    c = 2.9979e8 * 1.e9
                           nm/s
   k = 8.61734e5 eV /K
    hck = hc/k
                      nm K
8
9
    def Irrad(w1,T):
          return (8. * np.pi * hc * c * wl**5) / (np.exp(hck/(wl*T))1)
10
    def PlanckEnergy(wl,T):
          return (hc/wl) / (np.exp(hck/(wl*T))1)
12
13
   plt.figure()
14
   wl=np.linspace(100,5000,1000)
15
   for T in [1000.,2000.,3000.,4000.,5000.]:
        Intensity = Irrad(w1,T)
17
        plt.plot(wl,Intensity,label= K.format(T))
18
```

```
legend=plt.legend()
20
21
    plt.xlabel(Wavelength (nm))
    plt.ylabel(Irradiance (eV/nm3/s))
22
     plt.title(Boltzmann distribution at various temperatures)
23
^{24}
    plt.savefig(./Images/BlackBody.png)
25
26
    plt.figure()
    color=[red,orange,green,blue,violet]
27
28
    wl=np.linspace(100,20000,1000)
    for T in [1000.,2000.,3000.,4000.,5000.]:
29
        Energy = PlanckEnergy(w1,T)
30
31
        plt.plot(wl,Energy,label= K.format(T),color=color[0])
        kT = k*T
32
33
        plt.plot([100,max(wl)],[kT,kT],ls=,color=color.pop(0))
34
    legend=plt.legend()
35
36
    plt.xlabel(Wavelength (nm))
    plt.ylabel(Energy (eV))
37
     plt.title(Boltzmann distribution at various temperatures)
    plt.savefig(./Images/Planck.png)
39
```

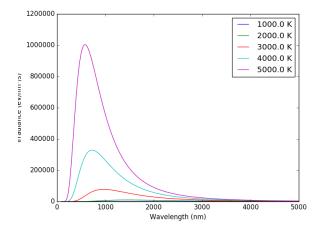


Figure 5: Blackbody irradiance

2.2 Lecture 5: Postulates of quantum mechanics

2.2.1 Schrödinger equation describes wave-like properties of matter

2.2.2 Born interpretation

- 1. wavefunction is a probability amplitude
- 2. wavefunction squared is probability density

2.2.3 Postulates

- 1. Wavefunction contains all information about a system
- 2. Operators used to extract that information
 - (a) QM operators are *Hermitian*

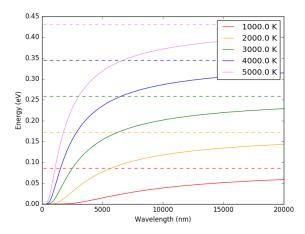


Figure 6: Average energy of a Planck quantized oscillator

Table 6: The new physics

Stefan-Boltzmann Law	$\int I(\lambda, T) d\lambda = \sigma_{\rm SB} T^4$
Wien's Law	$\lambda_{\rm max}T=2897768~{\rm nm~K}$
Rayleigh-Jeans eq	$I(\lambda, T) = \frac{8\pi}{\lambda^4} k_B T c$
Blackbody irradiance	$I(\lambda, T) = \frac{8\pi}{\lambda^5} \frac{hc^2}{e^{hc/\lambda k_B T} - 1}$
Einstein crystal	$C_v = 3R \left(\frac{h\nu}{k_B T}\right)^2 \frac{e^{h\nu/k_B T}}{\left(e^{h\nu/k_B T} - 1\right)^2}$
Photon energy	$\epsilon = h\nu = hc/\lambda$
Rydberg equation	$\nu = R_H c \left(1/n^2 - 1/k^2 \right)$
Bohr equations	$l_n = n\hbar$
$n=1,2,\ldots$	$r_n = n^2 \left(\frac{4\pi\epsilon_0 \hbar^2}{e^2 m_e} \right) = n^2 a_0$
	$E_n = -\frac{m_e e^4}{8\epsilon_0^2 h^2} \frac{1}{n^2} = -\frac{E_H}{2} \frac{1}{n^2}$ $p_n = \frac{e^2}{4\pi\epsilon_0} \frac{m_e}{\hbar} \frac{1}{n} = p_0 \frac{1}{n}$
de Broglie equation	$\lambda = \frac{h}{p}$

- (b) Have eigenvectors and real eigenvalues, $\hat{O}\psi_i = o\psi_i$
- (c) Are orthogonal, $\langle \psi_i | \psi_j \rangle = \delta_{ij}$
- (d) Always observe an eigenvalue when making an observation
- 3. Expectation values
- 4. Energy-invariant wavefunctions given by Schröodinger equation
- 5. Uncertainty principle

2.2.4 Particle in a box illustrations

- 2.3 Lecture 6: Particle in a box model
- 2.3.1 Particle between infinite walls, electron confined in a wire
- 2.3.2 Classical solution, either stationary or uniform bouncing back and forth

2.3.3 One-dimesional QM solutions

- 1. Schrödinder equation and boundary conditions
- 2. discrete, quantized solutions
- 3. standing waves, $\lambda = 2L/n, n-1$ nodes, non-uniform probability
- 4. Ho paper, STM of Pd wire
- 5. zero point energy and uncertainty
- 6. correspondence principle
- 7. superpositions

2.3.4 Finite walls and tunneling

- 1. Potential well of finite depth V_0
- 2. Finite number of bound states
- 3. Classical region, $\psi(x) e^{ikx} + e^{-ikx}, k = \sqrt{2mE}/\hbar$
- 4. "Forbidden" region, $\psi(x)~e^{\kappa x}+e^{-\kappa x}, \kappa=\sqrt{2m(V_0-E)}/\hbar$
- 5. Non-zero probability to "tunnel" into forbidden region
- 6. Tunneling between two adjacent wells: chemical bonding, STM, nanoelectronics
- 7. H atom tunneling: NH₃ inversion, H transfer, kinetic isotope effect

2.3.5 Multiple dimensions

1. separation of variables, one quantum number for each dimension

Table 7: Postulates of Non-relativistic Quantum Mechanics

Postulate 1: The physical state of a system is completely described by its wavefunction Ψ . In general, Ψ is a complex function of the spatial coordinates and time. Ψ is required to be:

- I. Single-valued
- II. continuous and twice differentiable
- III. square-integrable $(\int \Psi^* \Psi d\tau)$ is defined over all finite domains)
- IV. For bound systems, Ψ can always be normalized such that $\int \Psi^* \Psi d\tau = 1$

Postulate 2: To every physical observable quantity M there corresponds a Hermitian operator \hat{M} . The only observable values of M are the eignevalues of \hat{M} .

Physical quantity	Operator	Expression
Position x, y, z	\hat{x},\hat{y},\hat{z}	$x\cdot,y\cdot,z\cdot$
		a
Linear momentum p_x, \ldots	\hat{p}_x, \dots	$-i\hbar \frac{\partial}{\partial x}, \dots$
Angular momentum l_x, \dots	\hat{p}_x,\dots	$-i\hbar \frac{\partial}{\partial x}, \dots$ $-i\hbar \left(y \frac{\partial}{\partial z} - z \frac{\partial}{\partial y} \right), \dots$
Angular momentum t_x, \dots	p_x, \dots	$-in\left(y\overline{\partial z}-z\overline{\partial y}\right),\cdots$
Kinetic energy T	\hat{T}	$-\frac{\hbar^2}{2m}\nabla^2$
Potential energy V	\hat{V}	1/ (r +)
Total energy E	\hat{H}	$-\frac{\hbar^2}{2m}\nabla^2 + V(\mathbf{r},t)$
rotal ellergy E	11	$-\frac{1}{2m}\mathbf{v}^{-}+\mathbf{v}^{-}(\mathbf{I},t)$

Postulate 3: If a particular observable M is measured many times on many identical systems is a state Ψ , the average resuts with be the expectation value of the operator \hat{M} :

$$\langle M \rangle = \int \Psi^*(\hat{M}\Psi) d\tau$$

Postulate 4: The energy-invariant states of a system are solutions of the equation

$$\hat{H}\Psi(\mathbf{r},t) = i\hbar \frac{\partial}{\partial t}\Psi(\mathbf{r},t)$$

$$\hat{H} = \hat{T} + \hat{V}$$

The time-independent, stationary states of the system are solutions to the equation

$$\hat{H}\Psi(\mathbf{r}) = E\Psi(\mathbf{r})$$

Postulate 5: (The uncertainty principle.) Operators that do not commute $(\hat{A}(\hat{B}\Psi) \neq \hat{B}(\hat{A}\Psi))$ are called *conjugate*. Conjugate observables cannot be determined simultaneously to arbitrary accuracy. For example, the standard deviation in the measured positions and momenta of particles all described by the same Ψ must satisfy $\Delta x \Delta p_x \geq \hbar/2$.

Table 8: Particle-in-a-box model

2.3.6 Introduce Pauli principle for fermions?

2.4 Lecture 7: Harmonic oscillator

2.4.1 Classical harmonic oscillator

- 1. Hooke's law, $F = -k(x x_0)$, k spring constant
- 2. Continuous sinusoidal motion
- 3. $x(t) = A\sin(\frac{k}{\mu})^{1/2}t, \nu = \frac{1}{2\pi}(\frac{k}{\mu})^{1/2}, E = \frac{1}{2}kA^2$
- 4. Exchanging kinetic and potential energies

2.4.2 Quantum harmonic oscillator

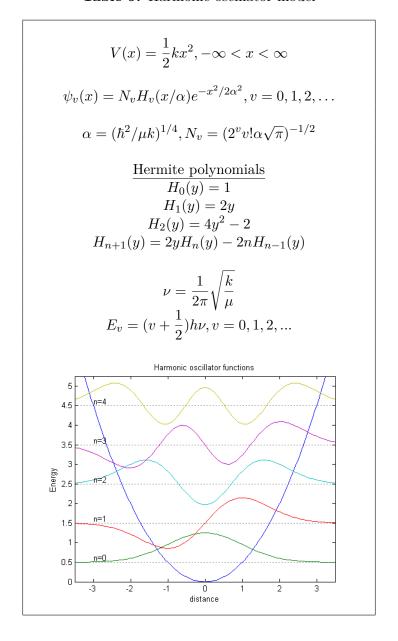
- 1. Solutions like P-I-A-B, waves, nodes, even/odd symmetry
- 2. Zero-point energy
- 3. Expectation values: $\langle x \rangle = 0, \langle x^2 \rangle = \alpha^2(v+1/2), \langle V(x) \rangle = \frac{1}{2}h\nu(v+\frac{1}{2})$
- 4. Classical turning point and tunneling

5. Classical limiting behavior

2.4.3 HCl example

- 1. Reduced mass, $\frac{1}{\mu} = \frac{1}{m_A} + \frac{1}{m_B}$
- 2. ZPE, energy spacing in IR, Boltzmann probabilities

Table 9: Harmonic oscillator model



2.5 Lecture 8: Rigid Rotor

2.5.1 Classical rigid rotor

- 1. Compare rotation about an axis vs linear motion
- 2. Moment of intertia $I = \mu r^2$
- 3. Angular momentum, $\mathbf{l} = I\omega = \mathbf{r} \times \mathbf{p}, T = l^2/2I$
 - (a) Angular momentum and energy continuous variables

2.5.2 Quantum rotor in a plane

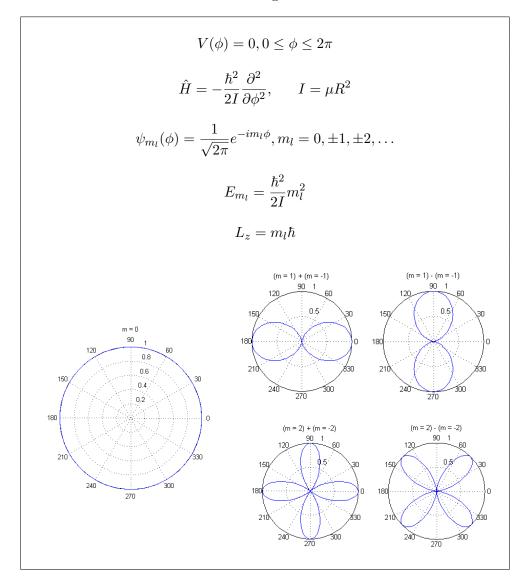
- 1. Angular momentum and kinetic energy operators in polar coordinates, $\hat{l}_z = -i\hbar \frac{d}{d\phi}$
- 2. Eigenfunctions degenerate, cw and ccw rotation
- 3. No zero point energy
- 4. Angular momentum eignefunctions, $l_z = m_l \hbar$
- 5. Energy superpositions and localization

2.5.3 Quantum rotor in 3-D

- 1. Angular momentum and kinetic energy operators in spherical coordinates
- 2. Spherical harmonic solutions, Y_{lm_l}
- 3. Azimuthal QN $l = 0, 1, \dots$
- 4. Magnetic QN $m_l = -l, -l+1, ..., l$
- 5. Energy spectrum, 2l + 1 degeneracy
- 6. Vector model can only know total total |L| and L_z
- 7. Wavefunctions look like atomic orbitals, l nodes

```
import matplotlib.pyplot as plt
   from matplotlib import cm, colors
   from mpltoolkits.mplot3d import Axes3D
    import numpy as np
    from scipy.special import sphharm
    phi = np.linspace(0, np.pi, 100)
    theta = np.linspace(0, 2*np.pi, 100)
    phi, theta = np.meshgrid(phi, theta)
9
10
     The Cartesian coordinates of the unit sphere
11
   x = np.sin(phi) * np.cos(theta)
12
   y = np.sin(phi) * np.sin(theta)
   z = np.cos(phi)
14
    m, 1 = 0, 0
16
17
```

Table 10: 2-D rigid rotor model



```
18
     Calculate the spherical harmonic Y(l,m) and normalize to [0,1]
    fcolors = sphharm(m, 1, theta, phi).real
19
20
    fmax, fmin = fcolors.max(), fcolors.min()
    fcolors = (fcolors fmin)/(fmax fmin)
^{21}
22
23
     Set the aspect ratio to 1 so our sphere looks spherical
    sfig = plt.figure(figsize=plt.figaspect(1.))
24
    s = sfig.addsubplot(111, projection=3d)
25
    s.plotsurface(x, y, z, rstride=1, cstride=1, facecolors=cm.seismic(fcolors))
26
    Turn off the axis planes
27
28
    s.setaxisoff()
    plt.savefig(./Images/s.png)
29
30
    m, 1 = 0, 1
31
32
    Calculate the spherical harmonic Y(l,m) and normalize to [0,1]
33
    fcolors = sphharm(m, 1, theta, phi).real
34
    fmax, fmin = fcolors.max(), fcolors.min()
```

Table 11: 3-D rigid rotor model

$$V(\theta,\phi) = 0, 0 \le \phi \le 2\pi, 0 \le \theta < \pi$$

$$\hat{L}^2 = -\hbar^2 \left[\frac{1}{\sin^2 \theta} \frac{\partial^2}{\partial \phi^2} + \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial}{\partial \theta} \right) \right]$$

$$\hat{H}_{rot} = \frac{1}{2I} \hat{L}^2$$

$$Y_{lm_l}(\theta,\phi) = N_l^{|m|} P_l^{|m|} (\cos(\theta)) e^{im_l \phi}$$

$$l = 0, 1, 2, \dots, \qquad m_l = 0, \pm 1, \dots, \pm l$$

$$E_l = \frac{\hbar^2}{2I} l(l+1)$$

$$|L| = \hbar \sqrt{l(l+1)}, L_z = m_l \hbar$$

```
36
    fcolors = (fcolors fmin)/(fmax fmin)
37
     Set the aspect ratio to 1 so our sphere looks spherical
38
     fig = plt.figure(figsize=plt.figaspect(1.))
39
    pfig = plt.figure(figsize=plt.figaspect(1.))
40
    p = pfig.addsubplot(111, projection=3d)
41
    p.plotsurface(x, y, z, rstride=1, cstride=1, facecolors=cm.seismic(fcolors))
42
43
     Turn off the axis planes
    p.setaxisoff()
44
45
46
    plt.savefig(./Images/p.png)
47
    m, 1 = 1, 2
48
49
50
     Calculate the spherical harmonic Y(l,m) and normalize to [0,1]
    fcolors = sphharm(m, 1, theta, phi).real
51
    fmax, fmin = fcolors.max(), fcolors.min()
52
    fcolors = (fcolors fmin)/(fmax fmin)
53
54
    Set the aspect ratio to 1 so our sphere looks spherical
55
     fig = plt.figure(figsize=plt.figaspect(1.))
56
57
    dfig = plt.figure(figsize=plt.figaspect(1.))
    d = dfig.addsubplot(111, projection=3d)
    d.plotsurface(x, y, z, rstride=1, cstride=1, facecolors=cm.seismic(fcolors))
59
     Turn off the axis planes
    d.setaxisoff()
61
62
63
    plt.savefig(./Images/d.png)
```

2.5.4 Particle angular momentum

- 1. Fermions, mass, half-integer spin
 - (a) Electron, $s = 1/2, m_s = \pm 1/2$



Figure 7: Pythonic s spherical harmonic



Figure 8: Pythonic p spherical harmonic



Figure 9: Pythonic d spherical harmonic

2. Bosons, force-carrying, integer spin

2.6 Lecture 9: Spectroscopy

2.6.1 Spectroscopy is quantitative measurement of interaction of light with matter

- 1. Observed $I(\nu)/I(\nu_0)$
- 2. Bohr condition, $|E_f E_i|/h = \nu = c\tilde{\nu} = c/\lambda$
- 3. Intensities determined by state populations and transition probabilities

2.6.2 Einstein coefficients

- 1. Stimulated absorption, $dn_1/dt = -n_1B\rho(\nu)$
- 2. Stimulated emission, $dn_2/dt = -n_2B\rho(\nu)$
- 3. Spontaneous emission, $dn_2/dt = -n_2A$, $A = \left(\frac{8\pi h \nu^3}{c^3}\right)B$
- 4. 1/A = lifetime

2.6.3 Transition probability

- 1. Einstein coefficient $B_{if} = \frac{|\mu_{if}|^2}{6\epsilon_0 \hbar^2}$
- 2. Classical electric dipole, $\overrightarrow{\mu} = q \cdot \overrightarrow{l}$, quantum dipole operator $\hat{\mu} = e \cdot \overrightarrow{r}$
- 3. Transition dipole moment, $\mu_{if} = \left(\frac{d\mu}{dx}\right) \langle \psi_i | \hat{\mu} | \psi_f \rangle$
- 4. Selection rules—conditions that make μ_{if} non-zero, "allowed" vs "forbidden" transitions

2.7 Lecture 10: Vibrational and rotational spectroscopy

2.7.1 Diatomic rotational spectroscopy

- 1. Rotational constant $B = \hbar/4\pi Ic \text{ cm}^{-1}$, $I = \mu R^2$
- 2. Gross selection rule: dynamic dipole moment non-zero (heteronuclear, not homonuclear)
- 3. Specific selection rule: $\Delta l = \pm 1, \, \Delta m_l = 0, \pm 1$
- 4. $\Delta \tilde{E}_l = 2B(l+1) \text{ cm}^{-1}$
- 5. Rotational state populations

2.7.2 Diatomic vibrational transitions

- 1. Gross selection rule: dynamic dipole $d\mu/dx$ non-zero
- 2. Homo- vs. heteronuclear
- 3. Specific selection rule: dipole integral $\langle \psi_v | \hat{\mu} | \psi_{v'} \rangle = 0$ unless $\Delta v = \pm 1$
- 4. Allowed $\Delta E = h\nu$
- 5. Boltzmann distribution implies v = 1 states dominate at normal T

2.7.3 Raman spectroscopy

- 1. Shine in light of arbitrary frequency $\tilde{\nu_0}$, mostly get out the same
- 2. Some light comes out at $\tilde{\nu_0} \tilde{\nu}$ (Stoke's line)
- 3. Some light comes out at $\tilde{\nu_0} + \tilde{\nu}$ (anti-Stoke's line)
- 4. Gross selection rule: dynamic polarizability non-zero (homonuclear, not heteronuclear)

2.7.4 Anharmonicity, Morse potential

2.7.5 Vibration-rotation spectroscopy

- 1. Harmonic oscillator + rigid rotor
- 2. Selection rules: $\Delta v = \pm 1, \Delta l = \pm 1$
- 3. R branch: $\Delta \tilde{E} = \tilde{\nu} + 2B(l+1), \Delta l = 1$
- 4. P branch: $\Delta \tilde{E} = \tilde{\nu} 2B(l), \Delta l = -1$

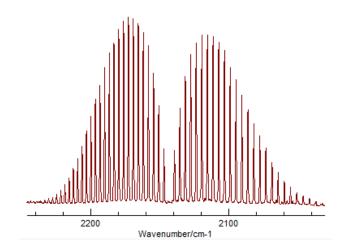


Figure 10: Rovibrational spectrum of carbon monoxide

2.7.6 Polyatomic vibrational spectroscopy

- 1. Polyatomics, 3n-6 (3n-5 for linear polyatomic) vibrational modes
- 2. Selection rules and degeneracies affect number of observed features
- 3. CO_2 example

2.7.7 Polyatomic rotational spectroscopy

- 1. Three distinct moments of intertia (I_x, I_y, I_z)
- 2. Spectra more complex

2.8 Lecture 11: Hydrogen atom

2.8.1 Schrödinger equation

- 1. Spherical coordinates and separation of variables
- 2. Coulomb potential $v_{\text{Coulomb}}(r) = -\frac{e^2}{4\pi\epsilon_0} \frac{1}{r}$
- 3. Centripetal potential $v = \hbar^2 \frac{l(l+1)}{2\mu r^2}$

2.8.2 Solutions

- 1. $\psi(r, \theta, \phi) = R_{nl}(r)Y_{lm}(\theta, \phi)$
- 2. Principle quantum number n = 1, 2, ...
 - (a) K, L, M, N, \ldots shells
 - (b) n-1 radial nodes
- 3. Azimuthal quantum number l = 0, 1, ..., n 1
 - (a) s, p, d, \ldots orbital sub-shells
 - (b) l angular nodes
- 4. Magnetic quantum number $m_l = -l, -l+1, ..., l$
- 5. Spin quantum number $m_s = \pm 1/2$
- 6. Energy spectrum and populations
- 7. Electronic selection rules

(a)
$$\Delta l = \pm 1$$
 $\Delta m_s = 0$ $\Delta m_l = 0, \pm 1$

- 8. Wavefunctions = "orbitals"
- 9. Radial probability function $P_{nl}(r) = r^2 R_{nl}^2(r)$

(a)
$$\langle r \rangle = \int r P_{nl}(r) dr = \left(\frac{3}{2}n^2 - l(l+1)\right) a_0$$

2.8.3 Variational principle

- 1. Solutions of Schrödinger equation always form a complete set
- 2. True wavefunction energy is therefore lower bound on energy of any trial wavefunction

$$\langle \psi_{\text{trial}}^{\lambda} | \hat{H} | \psi_{\text{trial}}^{\lambda} \rangle = E_{\text{trial}}^{\lambda} \ge E_0$$

1. Optimize wavefunction with respect to variational parameter

$$\left(\frac{\partial \langle \psi_{\text{trial}}^{\lambda} | \hat{H} | \psi_{\text{trial}}^{\lambda} \rangle}{\partial \lambda}\right) = 0 \to \lambda_{\text{opt}}$$

Table 12: Hydrogen atom

$$V(r) = -\frac{e^2}{4\pi\epsilon_0} \frac{1}{r}, 0 < r < \infty$$

$$\hat{H} = -\frac{\hbar^2}{2m_e} \frac{1}{r^2} \left[\frac{\partial}{\partial r} r^2 \frac{\partial}{\partial r} + \hat{L}^2 \right] + V(r)$$

$$\psi(r, \theta, \phi) = R(r) Y_{l,m_l}(\theta, \phi)$$

$$\left\{ -\frac{\hbar^2}{2m_e} \frac{1}{r^2} \frac{d}{dr} \left(r^2 \frac{d}{dr} \right) + \frac{\hbar^2 l(l+1)}{2m_e r^2} - \frac{e^2}{4\pi\epsilon_0} \frac{1}{r} \right\} R(r) = ER(r)$$

$$R_{nl}(r) = N_{nl} e^{-x/2} x^l L_{nl}(x), \quad x = \frac{2r}{na_0}$$

$$P_{nl}(r) = r^2 R_{nl}^2$$

$$n = 1, 2, \dots, \quad l = 0, \dots, n-1 \quad m_l = 0, \pm 1, \dots, \pm l$$

$$N_{nl} = \sqrt{\left(\frac{2}{na_0}\right)^3 \frac{(n-l-1)!}{2n(n+l)!}}$$

$$L_{10} = L_{21} = L_{32} = \dots = 1 \quad L_{20} = 2 - x \quad L_{31} = 4 - x$$

$$E_n = -\frac{1}{2} \frac{\hbar^2}{m_e a_0^2} \frac{1}{n^2} = -\frac{E_H}{2} \frac{1}{n^2}$$

$$|L| = \hbar \sqrt{l(l+1)}, L_z = m_l \hbar$$

2.9 Lecture 12: Many-electron atoms

2.9.1 Many-electron problem, Schrödinger equation not exactly solvable

- 1. $e^- e^-$ interaction terms prevent separation of variables
- 2. Independent electron model basis of all solutions, describes each electron by its own wavefunction, or "orbital"

2.9.2 Qualitative solutions

- 1. ψ_i look like H atom orbitals, labeled by same quantum numbers
- 2. Aufbau principle: "Build-up" electron configuration by adding electrons into H-atom-like orbitals, from bottom up

- 3. Pauli exclusion principle: Every electron in atom must have a unique set of quantum numbers, so only two per orbital (with opposite spin)
- 4. Pauli exclusion principle (formally): The wavefunction of a multi-particle system must be anti-symmetric to coordinate exchange if the particles are fermions, and symmetric to coordinate exchange if the particles are bosons
- 5. *Hund's rule*: Electrons in degenerate orbitals prefer to be spin-aligned. Configuration with highest *spin multiplicity* is the most preferred

2.9.3 Structure of the periodic table

- 1. Electrons in different subshells experience different effective nuclear charge $Z_{\rm eff}=Z-\sigma_{nl}$
- 2. Inner ("core") shells not shielded well at
- 3. Inner shell electrons "shield" outer electrons well
- 4. Within a shell, s shielded less than p less than d ..., causes degeneracy to break down
- 5. Electrons in same subshell shield each other poorly, causing ionization energy to increase across the subshell

2.9.4 Quantitative solutions

1. Schrödinger equation

$$\hat{H}\Psi(\mathbf{r}_1, \mathbf{r}_2, \dots) = E\Psi(\mathbf{r}_1, \mathbf{r}_2, \dots)$$

$$\hat{H} = \sum_{i} \hat{h}_i + \frac{e^2}{4\pi\epsilon_0} \sum_{i} \sum_{j>i} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|}$$

$$\hat{h}_i = -\frac{\hbar^2}{2m_e} \nabla_i^2 - \frac{Ze^2}{4\pi\epsilon_0} \frac{1}{|\mathbf{r}_i|}$$

2. Construct candidate many-electron wavefunction Ψ from one electron wavefunctions (mathematical details vary with exact approach)

$$\Psi(\mathbf{r}_1, \mathbf{r}_2, ...) \approx \psi_1(\mathbf{r}_1)\psi_2(\mathbf{r}_2)...\psi_n(\mathbf{r}_n)$$

3. Calculate expectation value of E of approximate model and apply variational principle to find equations that describe "best" (lowest total energy) set of ψ_i

$$\begin{split} \frac{\partial E}{\partial \psi_i} &= 0 \quad \forall i \\ \hat{f}\psi &= \left\{ \hat{h} + \hat{v}_{\text{Coul}}[\psi_i] + \hat{v}_{\text{ex}}[\psi_i] + \hat{v}_{\text{corr}}[\psi_i] \right\} \psi = \epsilon \psi \\ E &= \sum_i \epsilon_i - \frac{1}{2} \langle \Psi | \hat{v}_{\text{Coul}}[\psi_i] + \hat{v}_{\text{ex}}[\psi_i] + \hat{v}_{\text{corr}}[\psi_i] | \Psi \rangle \end{split}$$

4. Motivate as equation for an electron moving in a "field" of other electrons, adding an electron to a known set of ψ_i

2.9.5 Electron-electron interactions

- 1. Coulomb (\hat{v}_{Coul}): classical repulsion between distinguishable electron "clouds"
- 2. Exchange (\hat{v}_{ex}) : accounts for electron indistinguishability (Pauli principle for fermions). Decreases Coulomb repulsion because electrons of like spin intrinsically avoid one another
- 3. Correlation (\hat{v}_{corr}): decrease in Coulomb repulsion due to dynamic ability of electrons to avoid one another; "fixes" orbital approximation
- 4. General form of exchange potential is expensive to calculate; general form of correlation potential is unknown

2.9.6 Popular models

- 1. Hartree model: Include only classical Coulomb repulsion \hat{v}_{Coul}
- 2. Hartree-Fock model: Include Coulomb and exchange
- 3. Density-functional theory (DFT): Include Coulomb and approximate expressions for exchange and correlation
- 4. All the potential terms \hat{v} depend on the solutions, so equations must be solved *iteratively* to self-consistency

2.9.7 DFT calculations on atoms

1. See http://www.chemsoft.ch/qc/fda.htm

2.10 Lecture 13: Molecular orbital theory of molecules

2.10.1 Clamped nucleus ("Born-Oppenheimer") approximation

1. Write one-electron equations parametrically in terms of positions of all atoms

$$\hat{h} = -\frac{\hbar^2}{2m_e} \nabla^2 - \sum_{\alpha} \frac{Z_{\alpha} e^2}{4\pi\epsilon_0} \frac{1}{|\mathbf{r} - \mathbf{R}_{\alpha}|}$$
 (1)

$$\hat{f}\psi = \left\{\hat{h} + \hat{v}_{\text{Coul}}[\psi_i] + \hat{v}_{\text{ex}}[\psi_i] + \hat{v}_{\text{corr}}[\psi_i]\right\}\psi = \epsilon\psi$$
(2)

- 1. Solve as for atoms, using some model for electron-electron interactions
- 2. Potential energy surface (PES)

$$E(\mathbf{R}_{\alpha}, \mathbf{R}_{\beta}, ...) = E_{\text{elec}} + \frac{e^2}{4\pi\epsilon_0} \sum_{\alpha} \sum_{\beta > \alpha} \frac{Z_{\alpha} Z_{\beta}}{|\mathbf{R}_{\alpha} - \mathbf{R}_{\beta}|}$$

Hydrogen (Z = 1) Helium (Z = 2) KE <1/r> 1.5175 1.7352 0.9133 0.60 total energy = -0.5002 virial ratio = -1.9996 total energy = -2.8527 virial ratio = -1.9399 0.20 0.10 Neon (Z = 10)Argon (Z = 18) total energy = -128.3615 virial ratio = -1.9561 total energy = -526.8275 virial ratio = -1.9719 2s distance (bohr) distance (bohr) Krypton (Z = 18) ■ Experiment First ionization energy (eV) 20 15 10

Table 13: Numerical DFT Solutions for Atoms

distance (bohr)

2.10.2 H₂ molecule as perturbation on two H atoms brought from infinite distance

- 1. "Bonding" orbital, $\sigma_q(\mathbf{r}) = 1s_A + 1s_B$
- 2. "Anti-bonding" orbital, $\sigma_u(\mathbf{r}) = 1s_A 1s_B$
- 3. Interaction scales with "overlap $\langle 1s_A | 1s_B \rangle$
- 4. Ground configuration = σ_q^2
- 5. Bond order = $\frac{1}{2}(n-n^*)$

2.10.3 Secular equations

1. Expand molecular orbitals in "basis" of atomic-like orbitals

$$\psi_{\text{MO}} = \sum_{a} c_a \phi_a(\mathbf{r}) \tag{3}$$

- 2. Problem reduces to finding set of c_a that give best molecular orbitals (MOs)
- 3. Substituting into Fock equation and integrating yields set of linear equations for the c_a for each MO

$$\begin{pmatrix} F_{11} - \epsilon S_{11} & F_{12} - \epsilon S_{12} & \dots \\ F_{21} - \epsilon S_{21} & F_{22} - \epsilon S_{22} & \dots \\ \vdots & \vdots & \vdots \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \\ \vdots \end{pmatrix} = 0$$

- (a) $F_{ij} = F_{ji} = \langle \phi_i | \hat{f} | \phi_j \rangle$ are Fock "matrix elements"
- (b) $S_{ij} = S_{ji} = \langle \phi_i | \phi_j \rangle$ are overlaps
- (c) Typically basis functions normalized such that $S_{ii} = 1$
- (d) ϵ are molecular orbital energies (to be solved for, as many as there are equations)
- 4. From linear algebra, only possible solutions are those that make the determinant vanish

$$\begin{vmatrix} F_{11} - \epsilon S_{11} & F_{12} - \epsilon S_{12} & \dots \\ F_{21} - \epsilon S_{21} & F_{22} - \epsilon S_{22} & \dots \\ \vdots & \vdots & \vdots \end{vmatrix} = 0$$

5. Solve for ϵ s and back-substitute to find correspond c_i s

2.10.4 Qualitative solutions of secular equations

- 1. Lot's of insight into chemical bonding can be obtained from approximate solutions to secular equations, basis of "molecular orbital theory"
- 2. Two general assumptions
 - (a) Diagonal Fock elements are approximately equal to energies of corresponding atomic orbitals: $F_{ii} \approx \epsilon_{i,ao}$
 - (b) Off-diagonal elements proportional to overlap and inversely proportional to energy difference:

$$F_{ij} \propto \frac{S_{ij}}{\epsilon_{i,ao} - \epsilon_{j,ao}}$$

(c) (Often) set differential overlap $S_{ij} = 0$

2.10.5 H_2 example, again

1. Assign one 1s atomic orbital ("basis function") to each atom

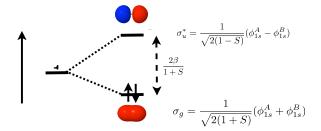
$$F_{11} = F_{22} = \epsilon_{1s} = \alpha$$
$$F_{12} = F_{21} = \beta$$
$$\alpha < \beta < 0 \text{ typically}$$

2. Set-up and solve secular matrix

$$\begin{vmatrix} \alpha - \epsilon & \beta - \epsilon S \\ \beta - \epsilon S & \alpha - \epsilon \end{vmatrix} = 0$$

$$\epsilon_{+} = \frac{\alpha + \beta}{1 + S}, \quad c_{1} = c_{2} = \frac{1}{\sqrt{2(1 + S)}}$$

$$\epsilon_{-} = \frac{\alpha - \beta}{1 - S}, \quad c_{1} = -c_{2} = \frac{1}{\sqrt{2(1 - S)}}$$



3. From Taylor expansion get picture of atomic orbitals destabilized by electron repulsion βS and split by interaction β

$$\epsilon_{+} \approx \alpha - \beta S + \beta$$
 $\epsilon_{-} \approx \alpha - \beta S - \beta$

4. Makes clear that bonding stabilization < anti-bonding destabilization

2.10.6 Heteronuclear diatomic: LiH, HF, BH example

1. Only AOs of appropriate symmetry, overlap, and energy match can combine to form MOs

$$\epsilon_{+} \approx \alpha_{1} - \beta S - \beta^{2}/|\alpha_{1} - \alpha_{2}|$$

 $\epsilon_{-} \approx \alpha_{2} - \beta S + \beta^{2}/|\alpha_{1} - \alpha_{2}|$

- 2. LiH: H 1s + Li 2s, bond polarized towards H
- 3. HF: H 1s + F 2p, bond polarized towards F, lots of non-bonding orbitals
- 4. BH: H 1s, B 2s and $2p_z \rightarrow$ bonding, non-bonding, anti-bonding orbitals

2.10.7 Homonuclear diatomic: O_2

- 1. Assign aos, 1s, 2s, 2p for each atom (10 total)
- 2. In principle, solve 10×10 secular matrix
- 3. In practice, matrix elements rules mean only a few off-diagonal elements survive
 - (a) 1s + 1s do nothing
 - (b) 2s + 2s form σ bond and anti-bond
 - (c) $2p_z + 2p_z$ form second bond and anti-bond
 - (d) $2p_{x,y} + 2p_{x,y}$ form degenerate π bonds and anti-bonds
 - (e) O_2 is a triplet, consistent with experiment!

2.10.8 The Hückel/tight binding model: Roberts, Notes on Molecular Orbital Theory

- 1. $F_{ii} = \alpha, S_{ij} = \delta_{ij}, F_{ij} = \beta$ iff i adjacent to j
- 2. Ethylene example
- 3. Butadiene example
- 4. Benzene example
- 5. Infinite chain example

```
from sympy import *
initprinting(useunicode=True)

alpha,beta = symbols(alpha beta)

M = Matrix([[alpha, beta, 0 , 0],[beta, alpha, beta, 0],[0,beta,alpha,beta],[0,0,beta,alpha]])

M = Matrix([[alpha,beta],[beta,alpha]])

eigs = M.eigenvects()
print(eigs)
```

[(alpha - beta/2 + sqrt(5)*beta/2, 1, [Matrix([[4/((1 + sqrt(5))*(-sqrt(5) + 1))], [-2/(1 + sqrt(5))], [2/(1 + sqrt(5))], [1]])]), (alpha + beta/2 + sqrt(5)*beta/2, 1, [Matrix([[-4/((1 + sqrt(5))*(-sqrt(5) + 1))], [-2/(-sqrt(5) + 1)], [-2/(-sqrt(5) + 1)], [1]])]), (alpha - sqrt(5)*beta/2 - beta/2, 1, [Matrix([[-4/((-1 + sqrt(5))*(1 + sqrt(5))], [2/(-1 + sqrt(5))], [-2/(-1 + sqrt(5))], [1]])]), (alpha - sqrt(5)*beta/2 + beta/2, 1, [Matrix([[4/((-1 + sqrt(5))*(1 + sqrt(5))], [-2/(1 + sqrt(5))],

2.10.9 Band structure of solids

2.11 Lecture 14: Computational chemistry

2.11.1 Numerical solvers of Schrödinger equation for molecules readily available today

2.11.2 Have to specify:

1. Identity of atoms

- 2. Positions of atoms (distances, angles, ...)
- 3. (spin multiplicity)
- 4. exact theoretical model (how are Coulomb, exchange, and correlation described?)
 - (a) Hartree, Hartree-Fock, DFT (various flavors), ...
- 5. basis set to express wavefunctions in terms of
- 6. initial guess of wavefunction coefficients (often guessed for you)

2.11.3 Secular equations solved iteratively until input coefficients = output coefficients

- 1. "self-consistent field"
- 2. Output
 - (a) energies of molecular orbitals
 - (b) occupancies of molecular orbitals
 - (c) coefficients describing molecular orbitals
 - (d) total electron wavefunction, total electron density, dipole moment, ...
 - (e) total molecular energy
 - (f) derivatives ("gradients") of total energy w.r.t. atom positions
- 3. Plot total energy vs internal coordinates: potential energy surface (PES)
- 4. Search iteratively for minimum point on PES (by hand or using gradient-driven search): equilibrium geometry
- 5. Find second derivative of energy at minimum point on PES: harmonic vibrational frequency
- 6. Find energy at minimum relative to atoms (or other molecules): reaction energy

2.11.4 H₂ example

H2 - 2 H DFT Energy (B3LYP/6-31G)

-4.2

y = 17.6813x² - 26.3703x + 5.0710

-4.3

-4.5

-4.7

-4.7

-4.8

0.5

0.6

0.7

Bond Distance (Ang)

0.9

1

	Bond length			
_	H-H exp't:	0.742Å	٦	
	B3LYP opt:	0.743		
_	i		╗	

Bond energy			
H-H exp't	= 4.478 eV		
2 H: 2(-0.4969) au H ₂ : -1.1687 au	u = -27.041 eV u = <u>-31.803</u> eV		
Dissociation E	= 4.762 eV		
ZPE corrected	= 4.484 eV		

Vibrational frequencies			
Experiment: 4401 cm ⁻¹			
B3LYP harmonic:	4487 cm ⁻¹		
ZPE:	0.278 eV		
	1		

2.11.5 Polyatomic molecules

- 1. Gradient-driven optimizations, 3n-6 degrees of freedom
- 2. Hessian matrix for frequencies
- 2.12 Lecture 15: Electronic spectroscopy
- 2.13 Lecture 16: Electronic and magnetic properties
- 3 Statistical Mechanics: The Bridge from the Tiny to the Many
- 3.1 Lecture 17: Statistical mechanics
- 3.1.1 Need machinary to average QM information over macroscopic systems
- 3.1.2 Equal a priori probabilities
- 3.1.3 Two-state model
 - 1. Box of particles, each of which can have energy 0 or ϵ
 - 2. Thermodynamic state defined by number of elements N, and number of quanta $q, U = q\epsilon$
 - 3. Degeneracy of given N and q given by binomial distribution:

$$\Omega = \frac{N!}{q!(N-q)!}$$

- 4. Allow energy to flow between two such systems
- 5. Energy of a closed system is conserved (first law!)
- 6. Degeneracy of total system is always \geq degeneracy of the starting parts!
- 7. Boltzmann's tombstone, $S = k_B \ln \Omega$
- 8. Clausius: entropy of the universe seeks a maximum! Second Law...

3.1.4 Energy flow/thermal equilibrium between two large systems

- 1. Each subsystem has energy U_i and degeneracy $\Omega_i(U_i)$
- 2. Bring in thermal contact, $U = U_1 + U_2$, $\Omega = \Omega_1(U_1)\Omega_2(U_2)$
- 3. If systems are very large, one combination of U_1 , U_2 and Ω will be much more probably than all others
- 4. What value of U_1 and $U_2 = U U_1$ maximizes Ω ?

$$\left(\frac{\partial \ln \Omega_1}{\partial U_1}\right)_N = \left(\frac{\partial \ln \Omega_2}{\partial U_2}\right)_N$$
$$\left(\frac{\partial S_1}{\partial U_2}\right)_N = \left(\frac{\partial S_2}{\partial U_2}\right)$$

$$\left(\frac{\partial S_1}{\partial U_1}\right)_N = \left(\frac{\partial S_2}{\partial U_2}\right)_N$$

5. Thermal equilibrium is determined by equal temperature!

$$\frac{1}{T} = \left(\frac{\partial S}{\partial U}\right)_N$$

- 6. When the temperatures of the two subsystems are equal, the entropy of the combined system is maximized!
- 7. (Same arguments lead to requirement that equal pressures (P_i) and equal chemical potentials (μ_i) maximize entropy when volumes or particles are exchanged)

Two-state model in limit of large N

- 1. Large N and Stirling's approximation
- 2. Fundamental thermodynamic equation of two-state system:

$$S(U) = -k_B (x \ln x + (1-x) \ln(1-x))$$
, where $x = q/N = U/N\epsilon$

3. Temperature is derivative of entropy wrt energy yields

$$U(T) = \frac{N\epsilon}{1 + e^{\epsilon/k_B T}}$$

- 4. $T \to 0, U \to 0, S \to 0$, minimum disorder
- 5. $T \to \infty, U \to N\epsilon/2, S \to k_B \ln 2$, maximum disorder
- 6. Differentiate again to get heat capacity

3.1.6 Example of microcanonical ("NVE") ensemble

1. Direct evaluation of S(U) is generally intractable, so seek simpler approach

Lecture 18: Canonical (NVT) ensemble

3.2.1Partition function

- 1. Imagine a system brought into thermal equilibrium with a much larger "reservoir" of constant T, such that the aggregate has a total energy U
- 2. Degeneracy of a given system microstate j with energy U_i is $\Omega_{res}(U-U_i)$

$$T = \frac{dU_{res}}{k_B d \ln \Omega_{res}}$$
$$\Omega_{res}(U - U_j) \propto e^{-U_j/k_B T}$$

3. Probability for system to be in a microstate with energy U_i given by Boltzmann distribution!

$$P(U_i) \propto e^{-U_j/k_B T} = e^{-U_j \beta}$$

- 4. Partition function "normalizes" distribution, $Q(T) = \sum_{i} e^{-U_{i}\beta}$
- 5. For system of identical (distinguishable) elements with energy states ϵ_i , can factor probability to show

$$P(\epsilon_i) \propto e^{-\epsilon_i/k_B T} = e^{-\epsilon_i \beta}, \qquad \beta = 1/k_B T$$

3.2.2 Energy factoring (sidebar)

- 1. If system is large, how to determine it's energy states U_i ? There would be many, many of them!
- 2. One simplification is if we can write energy as sum of energies of individual elements (atoms, molecules) of system:

$$U_j = \epsilon_j(1) + \epsilon_j(2) + \dots + \epsilon_j(N) \tag{4}$$

$$U_{j} = \epsilon_{j}(1) + \epsilon_{j}(2) + \dots + \epsilon_{j}(N)$$

$$Q(N, V, T) = \sum_{j} e^{-U_{j}\beta}$$

$$= \sum_{j} e^{-(\epsilon_{j}(1) + \epsilon_{j}(2) + \dots + \epsilon_{j}(N))\beta}$$

$$(6)$$

$$= \sum_{j} e^{-(\epsilon_j(1) + \epsilon_j(2) + \dots + \epsilon_j(N))\beta}$$
(6)

3. If molecules/elements of system can be distinguished from each other (like atoms in a fixed lattice), expression can be factored:

$$Q(N, V, T) = \left(\sum_{j} e^{-\epsilon_{j}(1)\beta}\right) \cdots \left(\sum_{j} e^{-\epsilon_{j}(N)\beta}\right)$$
 (7)

$$= q(1) \cdots q(N) \tag{8}$$

(9)Assuming all the elements are the same:

$$=q^{N} \tag{10}$$

$$q = \sum_{i} e^{-\epsilon_{j}\beta}$$
: molecular partition function (11)

- 4. If not distinguishable (like molecules in a liquid or gas, or electrons in a solid), problem is difficult, because identical arrangements of energy amongst elements should only be counted once.
- 5. Approximate solution, good almost all the time:

$$Q(N, V, T) = q^N / N! \tag{12}$$

6. Sidebar: "Correct" factoring depends on whether individual elements are fermions or bosons, leads to funny things like superconductivity and superfluidity.

3.2.3 Distinguishable vs. indistinguishable particles

- 1. Distinguishable (e.g., in a lattice): $Q(N, V, T) = q(V, T)^N$
- 2. Indistinguishable (e.g., a gas): $Q(N, V, T) \approx q(V, T)^N / N!$

3.2.4 Two-state system again

- 1. Partition function, $q(T) = 1 + e^{-\epsilon \beta}$
- 2. State probabilities
- 3. Internal energy U(T)

$$U(T) = -N\left(\frac{\partial \ln(1 + e^{-\epsilon\beta})}{\partial \beta}\right) = \frac{N\epsilon e^{-\epsilon\beta}}{1 + e^{-\epsilon\beta}}$$
(13)

- 4. Heat capacity C_v
 - (a) Minimum when change in states with T is small
 - (b) Maximize when chagne in states with T is large
- 5. Helmholtz energy, $A = -\ln q/\beta$, decreasing function of T
- 6. Entropy

3.2.5 Thermodynamic functions in canonical ensemble

3.3 Lecture 19: Molecular Partition Functions

3.3.1 Ideal gas of molecules

$$Q_{ig}(N, V, T) = \frac{(q_{\text{trans}}q_{\text{rot}}q_{\text{vib}})^{N}}{N!}$$

Table 14: Equations of the Canoncial (NVT) Ensemble

$\beta = 1/k_B T$	Full Ensemble	Distinguishable particles (e.g. atoms in a lattice)	Indistinguishable particles (e.g. molecules in a fluid)
Single particle partition function		$q(V,T) = \sum_{i} e^{-\epsilon_{i}\beta}$	$q(V,T) = \sum_{i} e^{-\epsilon_{i}\beta}$
Full partition function	$Q(N, V, T) = \sum_{j} e^{-U_{j}\beta}$ $\ln Q$	$Q = q(V, T)^N$	$Q = q(V, T)^N / N!$
Log partition function	$\ln Q$	$N\log q$	$N \ln q - \ln N!$ $\approx N(\ln Q - \ln N + 1)$
Helmholtz energy $(A = U - TS)$	$-rac{\ln Q}{eta}$	$-\frac{N\ln q}{\beta}$	$-\frac{N}{\beta} \left(\ln \frac{q}{N} + 1 \right)$
Internal energy (U)	$-\left(\frac{\partial \ln Q}{\partial \beta}\right)_{NV}$	$-N\left(\frac{\partial \ln q}{\partial \beta}\right)_V$	$-N\left(\frac{\partial \ln q}{\partial \beta}\right)_V$
Pressure (P)	$-\left(\frac{\partial \ln Q}{\partial V}\right)_{N\beta}$	$-N\left(\frac{\partial \ln q}{\partial V}\right)_{\beta}$	$-N\left(\frac{\partial \ln q}{\partial V}\right)_{\beta}$
Entropy (S/k_B)	$\beta U + \ln Q$	$\beta U + N \ln q$	$\beta U + N\left(\ln(q/N) + 1\right)$
Chemical potential (μ)	$-\frac{1}{\beta} \left(\frac{\partial \ln Q}{\partial N} \right)_{VT}$	$-\frac{\ln q}{\beta}$	$-\frac{\ln(q/N)}{\beta}$

NOTE! All energies are referenced to their values at 0 K. Enthalpy H = U + PV, Gibb's Energy G = A + PV.

3.3.2 Particle-in-a-box (translational states of a gas)

- 1. Energy states $\epsilon_n = n^2 \epsilon_0, n = 1, 2, ..., \epsilon_0$ tiny for macroscopic V
- 2. $\Theta_{\text{trans}} = \epsilon_0/k_B$ translational temperature
- 3. $\Theta_{\rm trans} \ll T \rightarrow {\rm many\ states\ contribute\ to\ } q_{\rm trans} \rightarrow {\rm integral\ approximation}$

$$q_{\rm trans,1D} = \int_0^\infty e^{-x^2\beta\epsilon_0} dx = L/\Lambda$$

$$\Lambda = \left(\frac{h^2\beta}{2\pi m}\right)^{1/2} \text{ thermal wavelength}$$

$$q_{\rm trans,3D} = V/\Lambda^3$$

- 4. Internal energy
- 5. Heat capacity
- 6. Equation of state (!)
- 7. Entropy: Sackur-Tetrode equation

3.3.3 Rigid rotor (rotational states of a gas)

- 1. energy states and degeneracies
- 2. $\Theta_{\rm rot} = \hbar^2/2Ik_B$
- 3. "High" T $q_{\rm rot}(T) \approx \sigma \Theta_{\rm rot}/T$

3.3.4 Harmonic oscillator (vibrational states of a gas)

1.
$$\Theta_{\rm vib} = h\nu/k_B$$

3.3.5 Electronic partition functions \rightarrow spin multiplicity

3.3.6 Non-ideality

- 1. Real molecules interact through vdW interactions
- 2. Particle-in-a-box model breaks down, have to work harder but can still get at same ideas
- 3. See Hill, J. Chem. Ed. 1948, 25, p. 347 http://dx.doi.org/10.1021/ed025p347

3.4 Lecture 20: Chemical reactions and equilibria

3.4.1 Standard states

- 1. Translational partition function depends on concentration N/V
- 2. "Standard state" corresponds to some standard choice for N/V, $c^\$
- 3. For ideal gas, related to pressure by $P^{\circ} = c^{\circ} k_B T$

Table 15: Statistical Thermodynamics of an Ideal Gas

Translational DOFs 3-D particle in a box model

$$\theta_{\rm trans} = \frac{\pi^2 \hbar^2}{2mL^2 k_B}, \ \Lambda = h \left(\frac{\beta}{2\pi m}\right)^{1/2}$$
 For $T >> \Theta_{\rm trans}, \ \Lambda << L, \ q_{\rm trans} = V/\Lambda^3$ (essentially always true)

$$U_{\rm trans} = \frac{3}{2}RT \quad C_{\rm v,trans} = \frac{3}{2}R \quad S_{\rm trans}^{\circ} = R \ln \left(\frac{e^{5/2}V^{\circ}}{N^{\circ}\Lambda^{3}}\right) = R \ln \left(\frac{e^{5/2}k_{B}T}{P^{\circ}\Lambda^{3}}\right)$$

Rotational DOFs Rigid rotor model

Linear molecule $\theta_{\rm rot} = hcB/k_B$

$$q_{\rm rot} = \frac{1}{\sigma} \sum_{l=0}^{\infty} (2l+1)e^{-l(l+1)\theta_{\rm rot}/T}, \approx \frac{1}{\sigma} \frac{T}{\theta_{\rm rot}}, \quad T >> \theta_{\rm rot} \quad \sigma = \begin{cases} 1, & \text{unsymmetric} \\ 2, & \text{symmetric} \end{cases}$$

$$U_{\text{rot}} = RT$$
 $C_{\text{v,rot}} = R$ $S_{\text{rot}}^{\circ} = R(1 - \ln(\sigma\theta_{\text{rot}}/T))$

Non-linear molecule $\theta_{\text{rot},\alpha} = hcB_{\alpha}/k_B$

$$q_{\rm rot} \approx \frac{1}{\sigma} \left(\frac{\pi T^3}{\theta_{{\rm rot},\alpha} \theta_{{\rm rot},\beta} \theta_{{\rm rot},\gamma}} \right)^{1/2}, \quad T >> \theta_{{\rm rot},\alpha,\beta,\gamma} \quad \sigma = {\rm rotational \; symmetry \; number}$$

$$U_{\rm rot} = \frac{3}{2}RT \quad C_{\rm v,rot} = \frac{3}{2}R \quad S_{\rm rot}^{\circ} = \frac{R}{2}\left(3 - \ln\frac{\sigma\theta_{\rm rot,\alpha}\theta_{\rm rot,\beta}\theta_{\rm rot,\gamma}}{\pi T^3}\right)$$

Vibrational DOFs Harmonic oscillator model

Single harmonic mode $\theta_{\rm vib} = h\nu/k_B$

$$q_{\mathrm{vib}} = \frac{1}{1 - e^{-\theta_{\mathrm{vib}}/T}} \approx \frac{T}{\theta_{\mathrm{vib}}}, \quad T >> \theta_{\mathrm{vib}}$$

$$U_{\text{vib}} = C_{\text{v,vib}} = S_{\text{vib},i}^{\circ} = R \frac{\theta_{\text{vib}}}{e^{\theta_{\text{vib}}/T} - 1} R \left(\frac{\theta_{\text{vib}}}{T} \frac{e^{\theta_{\text{vib}}/2T}}{e^{\theta_{\text{vib}}/T} - 1} \right)^{2} R \left(\frac{\theta_{\text{vib}}/T}{e^{\theta_{\text{vib}}/T} - 1} - \ln(1 - e^{-\theta_{\text{vib}}/T}) \right)$$

Multiple harmonic modes $\theta_{\text{vib},i} = h\nu_i/k_B$

$$q_{\text{vib}} = \prod_{i} \frac{1}{1 - e^{-\theta_{\text{vib},i}/T}}$$

$$U_{\text{vib}} = C_{\text{v,vib}} = S_{\text{vib},i}^{\circ} = R \sum_{i} \frac{\theta_{\text{vib},i}}{e^{\theta_{\text{vib},i}/T} - 1} R \sum_{i} \left(\frac{\theta_{\text{vib},i}}{T} \frac{e^{\theta_{\text{vib},i}/2T}}{e^{\theta_{\text{vib},i}/T} - 1} \right)^{2} R \left(\frac{\theta_{\text{vib},i}/T}{e^{\theta_{\text{vib},i}/T} - 1} - \ln(1 - e^{-\theta_{\text{vib},i}/T}) \right)$$

Electronic DOFs $q_{\text{elec}} = \text{spin multiplicity}$

3.4.2 Chemical reaction $A \rightarrow B$

- 1. Reaction entropy $\Delta S^{\circ}(T) = S_{\rm R}^{\circ}(T) S_{\rm A}^{\circ}(T)$
- 2. Reaction energy $\Delta U^{\circ}(T) = U_{\rm B}^{\circ}(T) U_{\rm A}^{\circ}(T) + \Delta E(0)$
- 3. Equilibrium condition—equate chemical potentials, $\mu_A(N,V,T) = \mu_B(N,V,T)$
- 4. Equilibrium constant—evaluate from partition functions directly or indirectly from thermodynamic potentials

3.4.3 Le'Chatlier's principle

- 1. Response to temperature: Boltzmann distribution favors higher energy things as T increases
- 2. Response to volume chance: particle-in-a-box states increasingly favor side with more molecules as volume increases

3.5 Lecture 21: Chemical kinetics

3.5.1 Kinetics and reaction rates

1. Rate: number per unit time per unit something

3.5.2 Empirical chemical kinetics

- 1. Rate laws, rate orders, and rate constants
- 2. Arrhenius expression, $k = Ae^{-E_a/k_BT}$

3.5.3 Reaction mechanisms

- 1. Elementary steps and molecularity
- 2. Collision theory—overpredicts rates

3.5.4 Transition state theory (TST)

- 1. Existence of reaction coordinate (PES)
- 2. Existence of dividing surface
- 3. Equilibrium between reactants and "transition state"
- 4. Harmonic approximation for transition state

3.5.5 Locating transition states computationally

3.5.6 Thermodynamic connection

3.5.7 Diffusion-controlled reactions

- 1. Intermediate complex
- 2. Steady-state approximation

Table 16: Equilibrium and Rate Constants

Equilibrium Constants $a A + b B \rightleftharpoons c C + d D$

$$K_{eq}(T) = e^{\Delta S^{\circ}(T,V)/k_{B}} e^{-\Delta H^{\circ}(T,V)/k_{B}T}$$

$$K_{c}(T) = \left(\frac{1}{c^{\circ}}\right)^{\nu_{c}+\nu_{d}-\nu_{a}-\nu_{b}} \frac{(q_{c}/V)^{\nu_{c}}(q_{d}/V)^{\nu_{d}}}{(q_{a}/V)^{\nu_{a}}(q_{b}/V)^{\nu_{b}}} e^{-\Delta E(0)\beta}$$

$$K_{p}(T) = \left(\frac{k_{B}T}{P^{\circ}}\right)^{\nu_{c}+\nu_{d}-\nu_{a}-\nu_{b}} \frac{(q_{c}/V)^{\nu_{c}}(q_{d}/V)^{\nu_{d}}}{(q_{a}/V)^{\nu_{a}}(q_{b}/V)^{\nu_{b}}} e^{-\Delta E(0)\beta}$$

Unimolecular Reaction $[A] \rightleftharpoons [A]^{\ddagger} \rightarrow C$

$$k(T) = \nu^{\ddagger} \bar{K}^{\ddagger} = \frac{k_B T}{h} \frac{\bar{q}_{\ddagger}(T)/V}{q_A(T)/V} e^{-\Delta E^{\ddagger}(0)\beta}$$

$$E_a = \Delta H^{\circ \ddagger} + k_B T$$
 $A = e^1 \frac{k_B T}{h} e^{\Delta S^{\circ \ddagger}}$

Bimolecular Reaction $A + B \rightleftharpoons [AB]^{\ddagger} \rightarrow C$

$$k(T) = \nu^{\ddagger} \bar{K}^{\ddagger} = \frac{k_B T}{h} \frac{q_{\ddagger}(T)/V}{(q_A(T)/V)(q_B(T)/V)} \left(\frac{1}{c^{\circ}}\right)^{-1} e^{-\Delta E^{\ddagger}(0)\beta}$$
$$E_a = \Delta H^{\circ \ddagger} + 2k_B T \quad A = e^2 \frac{k_B T}{h} e^{\Delta S^{\circ \ddagger}}$$

- 3. Diffusion-controlled limit $(k_D = 4\pi(r_A + r_B)D_{AB})$
- 4. Reaction-controlled limit $(k_{app} = (k_D/k_{-D})k_r)$

3.6 Lecture 22: Conclusion

1. Do you think about the burning lighter any differently now?