

## Chapter 4.

## Vector Space

Vector spaces with real scalars are called *real vector spaces* and those with complex scalars are called *complex vector spaces*. For now, we will be concerned exclusively with real vector spaces.

### 4.1 Real Vector Spaces

Let  $V$  be a nonempty set of objects, on which two operations are defined:

- a) Addition,      b) Multiplication by scalars

With the following properties:

1. If  $\vec{u}$  and  $\vec{v}$  are elements in  $V$ , then  $\vec{u} + \vec{v}$  is in  $V$ . ( **$V$  is closed under addition**)
2.  $\vec{u} + \vec{v} = \vec{v} + \vec{u}$ , for all  $\vec{u}, \vec{v}$  in  $V$ . (**holds Commutative Law**)
3.  $\vec{u} + (\vec{v} + \vec{w}) = (\vec{u} + \vec{v}) + \vec{w}$  (**holds Associative Law**)
4. There is an object  $\vec{0}$  in  $V$ , called the zero vector for  $V$  such that  $\vec{0} + \vec{u} = \vec{u} + \vec{0} = \vec{u}$ , for each  $\vec{u}$  in  $V$ . (**have Additive Identity**)
5. For each  $\vec{u}$  in  $V$ , there is an object  $-\vec{u}$  in  $V$ , called a negative of  $\vec{u}$ , such that  $\vec{u} + (-\vec{u}) = -\vec{u} + \vec{u} = \vec{0}$ . (**have Additive Inverse**)
6. If  $k$  is any scalar and  $\vec{u}$  is any object in  $V$ , then  $k\vec{u}$  is in  $V$ . (**Closed under Scalar Multiplication**).
7.  $k(\vec{u} + \vec{v}) = k\vec{u} + k\vec{v}$
8.  $(k + m)\vec{u} = k\vec{u} + m\vec{u}$
9.  $k(m\vec{u}) = (km)\vec{u}$
10.  $1\vec{u} = \vec{u}$  (**have Multiplicative Identity**)

then  $V$  is called a vector space and the objects in  $V$  are *vectors*.

**Example 1:** Let  $V = R^2 = \{(x, y); x, y \in R\}$ , prove that  $V$  is a vector space under the usual operations of addition and scalar multiplication defined by:

$$\vec{u} + \vec{v} = (u_1, u_2) + (v_1, v_2) = (u_1 + v_1, u_2 + v_2)$$

$$k\vec{u} = k(\vec{u}_1, \vec{u}_2) = (k\vec{u}_1, k\vec{u}_2)$$

**Solution:**

1.  $V$  is closed under addition. (as defined)
2. Let  $\vec{u} = (\vec{u}_1, \vec{u}_2), \vec{v} = (\vec{v}_1, \vec{v}_2)$

$$\begin{aligned}
\vec{u} + \vec{v} &= (\vec{u}_1, \vec{u}_2) + (\vec{v}_1, \vec{v}_2) \\
&= (\vec{u}_1 + \vec{v}_1, \vec{u}_2 + \vec{v}_2) \\
&= (\vec{v}_1 + \vec{u}_1, \vec{v}_2 + \vec{u}_2) \\
&= (\vec{v}_1, \vec{v}_2) + (\vec{u}_1, \vec{u}_2) = \vec{v} + \vec{u}
\end{aligned}$$

$$\begin{aligned}
3. \text{ Let } \vec{u} &= (\vec{u}_1, \vec{u}_2), \vec{v} = (\vec{v}_1, \vec{v}_2), \vec{w} = (\vec{w}_1, \vec{w}_2) \\
(\vec{u} + \vec{v}) + \vec{w} &= (\vec{u}_1 + \vec{v}_1, \vec{u}_2 + \vec{v}_2) + (\vec{w}_1, \vec{w}_2) \\
&= (\vec{u}_1 + \vec{v}_1 + \vec{w}_1, \vec{u}_2 + \vec{v}_2 + \vec{w}_2) \\
&= (\vec{u}_1 + (\vec{v}_1 + \vec{w}_1), \vec{u}_2 + (\vec{v}_2 + \vec{w}_2)) \\
&= (\vec{u}_1, \vec{u}_2) + (\vec{v}_1 + \vec{w}_1, \vec{v}_2 + \vec{w}_2) \\
&= \vec{u} + (\vec{v} + \vec{w})
\end{aligned}$$

$$4. \text{ Let } \vec{u} = (\vec{u}_1, \vec{u}_2), \vec{0} = (0, 0)$$

$$\vec{u} + \vec{0} = (\vec{u}_1, \vec{u}_2) + (0, 0) = (\vec{u}_1, \vec{u}_2) = \vec{u}$$

$$5. \text{ Let } \vec{u} = (\vec{u}_1, \vec{u}_2), \text{ then there exist } -\vec{u} = (-\vec{u}_1, -\vec{u}_2),$$

$$\vec{u} + (-\vec{u}) = (\vec{u}_1 + (-\vec{u}_1), \vec{u}_2 + (-\vec{u}_2)) = (\vec{u}_1 - \vec{u}_1, \vec{u}_2 - \vec{u}_2) = (0, 0) = \vec{0}$$

6. V is closed under scalar multiplication. (as defined).

$$\begin{aligned}
7. \quad k(\vec{u} + \vec{v}) &= k((\vec{u}_1, \vec{u}_2) + (\vec{v}_1, \vec{v}_2)) \\
&= k(\vec{u}_1 + \vec{v}_1, \vec{u}_2 + \vec{v}_2) \\
&= (k\vec{u}_1 + k\vec{v}_1, k\vec{u}_2 + k\vec{v}_2) \\
&= (k\vec{u}_1, k\vec{u}_2) + (k\vec{v}_1, k\vec{v}_2) \\
&= k(\vec{u}_1, \vec{u}_2) + k(\vec{v}_1, \vec{v}_2) \\
&= k\vec{u} + k\vec{v}
\end{aligned}$$

$$\begin{aligned}
8. \quad (k + m)\vec{u} &= (k + m)(\vec{u}_1, \vec{u}_2) \\
&= (k\vec{u}_1 + m\vec{u}_1, k\vec{u}_2 + m\vec{u}_2) \\
&= (k\vec{u}_1, k\vec{u}_2) + (m\vec{u}_1, m\vec{u}_2) \\
&= k(\vec{u}_1, \vec{u}_2) + m(\vec{u}_1, \vec{u}_2) \\
&= k\vec{u} + m\vec{u}
\end{aligned}$$

$$\begin{aligned}
9. \quad k(m\vec{u}) &= k(m(\vec{u}_1, \vec{u}_2)) = (km\vec{u}_1, km\vec{u}_2) \\
&= km(\vec{u}_1, \vec{u}_2) = km(\vec{u})
\end{aligned}$$

$$10. \quad 1\vec{u} = 1(\vec{u}_1, \vec{u}_2) = (\vec{u}_1, \vec{u}_2) = \vec{u}$$

As the set V satisfies all the properties, so V is vector space.

**Example 2:** Let  $V = R^3$ , prove that  $V$  is a vector space under the usual operations of addition and scalar multiplication defined by:

$$\vec{u} + \vec{v} = (\vec{u}_1, \vec{u}_2, \vec{u}_3) + (\vec{v}_1, \vec{v}_2, \vec{v}_3) = (\vec{u}_1 + \vec{v}_1, \vec{u}_2 + \vec{v}_2, \vec{u}_3 + \vec{v}_3)$$

$$k\vec{u} = k(\vec{u}_1, \vec{u}_2, \vec{u}_3) = (k\vec{u}_1, k\vec{u}_2, k\vec{u}_3)$$

**Example 3:** Let  $V = R^2$ , under the usual operations of addition defined by:

$$\vec{u} + \vec{v} = (\vec{u}_1, \vec{u}_2) + (\vec{v}_1, \vec{v}_2) = (\vec{u}_1 + \vec{v}_1, \vec{u}_2 + \vec{v}_2)$$

And if  $k$  is any scalar number, then define

$$k\vec{u} = k(\vec{u}_1, \vec{u}_2) = (k\vec{u}_1, 0)$$

The addition operation is standard one on  $R^2$ , but the scalar multiplication is not.

Check whether  $V$  is vector space or not?

**Solution:** All properties of addition are satisfied. (Check it by yourself)

Let's check the properties of scalar multiplication.

6. Let  $\vec{u} = (u_1, u_2)$  in  $V$ , then  $k\vec{u} = k(u_1, u_2) = (ku_1, 0) \in V$ .

7. Let  $\vec{u} = (u_1, u_2), \vec{v} = (v_1, v_2)$

$$\begin{aligned} k(\vec{u} + \vec{v}) &= k((u_1, u_2) + (v_1, v_2)) \\ &= k(u_1 + v_1, u_2 + v_2) \\ &= (ku_1 + kv_1, 0) \\ &= (k\vec{u}_1, 0) + (k\vec{v}_1, 0) \\ &= k(\vec{u}_1, 0) + k(\vec{v}_1, 0) \\ &\neq k\vec{u} + k\vec{v} \end{aligned}$$

As the 7<sup>th</sup> property does not satisfied So it's not a vector space.

**Example 4:**

Check whether  $V$  is vector space or not?

$V$  = The set of all pairs of real numbers of the form  $(x, 0)$ . i.e.  $\{(x, 0); x \in R\}$

with the standard operations on  $R^2$ .

$$\vec{u} + \vec{v} = (\vec{u}_1, \vec{u}_2) + (\vec{v}_1, \vec{v}_2) = (\vec{u}_1 + \vec{v}_1, \vec{u}_2 + \vec{v}_2)$$

$$k\vec{u} = k(\vec{u}_1, \vec{u}_2) = (k\vec{u}_1, k\vec{u}_2)$$

**Solution:**

$$1. \quad \vec{u} = (\vec{u}_1, 0), \vec{v} = (\vec{v}_1, 0) \in V$$

$$(\vec{u} + \vec{v}) = (\vec{u}_1 + \vec{v}_1, 0) \in V$$

V is closed under addition.

$$\begin{aligned} 2. \quad (\vec{u} + \vec{v}) &= (\vec{u}_1, 0) + (\vec{v}_1, 0) \\ &= (\vec{u}_1 + \vec{v}_1, 0) \\ &= (\vec{v}_1 + \vec{u}_1, 0) \\ &= (\vec{v}_1, 0) + (\vec{u}_1, 0) \\ &= \vec{v} + \vec{u} \end{aligned}$$

$$\begin{aligned} 3. \quad \vec{u} + (\vec{v} + \vec{w}) &= (\vec{u}_1, 0) + ((\vec{v}_1, 0) + (\vec{w}_1, 0)) \\ &= (\vec{u}_1, 0) + (\vec{v}_1 + \vec{w}_1, 0) \\ &= (\vec{u}_1 + \vec{v}_1 + \vec{w}_1, 0) \\ &= (\vec{u}_1 + \vec{v}_1, 0) + (\vec{w}_1, 0) \\ &= (\vec{u} + \vec{v}) + \vec{w} \end{aligned}$$

$$4. \quad \vec{u} + \vec{0} = (\vec{u}_1, 0) + (0, 0) = (\vec{u}_1, 0) = \vec{u}$$

$$\begin{aligned} 5. \quad \vec{u} + (-\vec{u}) &= (\vec{u}_1, 0) + (-\vec{u}_1, 0) \\ &= (\vec{u}_1 - \vec{u}_1, 0) = (0, 0) = \vec{0} \end{aligned}$$

$$6. \quad \vec{u} = (\vec{u}_1, 0) \in V$$

$$\text{Then } k\vec{u} = (k\vec{u}_1, k0) = (ku_1, 0) \in V$$

$$\begin{aligned} 7. \quad k(\vec{u} + \vec{v}) &= k((u_1, 0) + (v_1, 0)) = k(\vec{u}_1 + \vec{v}_1, 0) = (k\vec{u}_1 + k\vec{v}_1, 0) \\ &= (k\vec{u}_1, 0) + (k\vec{v}_1, 0) = k(\vec{u}_1, 0) + k(\vec{v}_1, 0) \\ &= (k\vec{u} + k\vec{v}) \end{aligned}$$

$$8. \quad (k + m)\vec{u} = (k + m)(\vec{u}_1, 0)$$

$$\begin{aligned}
&= ((k+m)\vec{u}_1, 0) = (k\vec{u}_1 + m\vec{u}_1, 0) \\
&= (k\vec{u}_1, 0) + (m\vec{u}_1, 0) \\
&= k(\vec{u}_1, 0) + m(\vec{u}_1, 0) = k\vec{u} + m\vec{u}
\end{aligned}$$

$$\begin{aligned}
9. \quad k(m\vec{u}) &= k(m\vec{u}_1, 0) = (km\vec{u}_1, 0) \\
&= km(\vec{u}_1, 0) = (km)\vec{u}
\end{aligned}$$

$$10. \quad 1\vec{u} = 1(\vec{u}_1, 0) = (\vec{u}_1, 0) = \vec{u}$$

So V is a vector space.

**Example 5:** Check whether V is a vector space or not.

V = set of all pairs of real numbers of the form  $(x, y)$ , where  $x \geq 0$ , i.e.

$$V = \{(x, y); x \geq 0, y \in R\}$$

With standard operations on  $R^2$ .

**Solution:**

As

$$V = \{(x, y); x \geq 0, y \in R\}$$

$$\begin{aligned}
1. \text{ Let } \quad \vec{u} &= (\vec{u}_1, \vec{u}_2), \quad \vec{v} = (\vec{v}_1, \vec{v}_2) \in V \\
(\vec{u} + \vec{v}) &= (\vec{u}_1 + \vec{v}_1, \vec{u}_2 + \vec{v}_2) \in V
\end{aligned}$$

Because  $\vec{u}_1 + \vec{v}_1 \geq 0$ . So, V is closed under addition.

$$2. \quad \vec{u} + \vec{v} = \vec{v} + \vec{u} \quad (\text{Easy to verify})$$

$$3. \quad \vec{u} + (\vec{v} + \vec{w}) = (\vec{u} + \vec{v}) + \vec{w} \quad (\text{Easy to verify})$$

$$4. \text{ Let } \vec{u} = (\vec{u}_1, \vec{u}_2), \quad \vec{u} + \vec{0} = (\vec{u}_1, \vec{u}_2) + (0, 0) = (\vec{u}_1, \vec{u}_2) = \vec{u}$$

$$5. \text{ Let } \vec{u} = (\vec{u}_1, \vec{u}_2), \quad \text{Then there doesn't exist } -\vec{u} = (-\vec{u}_1, -\vec{u}_2) \text{ because } \vec{u}_1 \text{ should be positive.}$$

5<sup>th</sup> property fails, So V is not vector space.

**Example 6:** Show that the set of all pairs of real numbers of the form  $(x, 1)$  with the operations

$$(x, 1) + (x', 1) = (x + x', 1) \quad \& \quad k(x, 1) = (k^2x, 1) \text{ is not a vector space.}$$

**Example 7:** Determine whether the set of all triples of real numbers with standard vector addition but with scalar multiplication defined by

$$k(x, y, z) = (k^2x, k^2y, k^2z)$$

is a vector space or not.

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Axiom 8 fails.

**Example 8:** Determine whether the set of all pairs of real numbers of the form  $(1, x)$  with the operations

$$(1, y) + (1, y') = (1, y + y')$$

$$k(1, y) = (1, ky)$$

is a vector space or not.

**Example 9:** Determine whether  $V$  is a vector space or not.

$V$  = the set of all triples of the form  $(x, y, z)$  with the operations

$$(x, y, z) + (x', y', z') = (x + x', y + y', z + z')$$

&  $k(x, y, z) = (kx, y, z)$

**Example 10:** Determine whether  $V$  is a vector space or not.

Let  $V$  be the set of all  $2 \times 2$  matrices with real entries and take the vector space operations on  $V$  to be usual operations of matrix addition and scalar multiplication i.e.

$$\vec{u} + \vec{v} = \begin{bmatrix} u_{11} & u_{12} \\ u_{21} & u_{22} \end{bmatrix} + \begin{bmatrix} v_{11} & v_{12} \\ v_{21} & v_{22} \end{bmatrix} = \begin{bmatrix} u_{11} + v_{11} & u_{12} + v_{12} \\ u_{21} + v_{21} & u_{22} + v_{22} \end{bmatrix}$$

$$k\vec{u} = k \begin{bmatrix} u_{11} & u_{12} \\ u_{21} & u_{22} \end{bmatrix} = \begin{bmatrix} ku_{11} & ku_{12} \\ ku_{21} & ku_{22} \end{bmatrix}$$

**Solution:**

1. V is closed under addition.

$$\begin{aligned} 2. \quad \vec{u} + \vec{v} &= \begin{bmatrix} u_{11} & u_{12} \\ u_{21} & u_{22} \end{bmatrix} + \begin{bmatrix} v_{11} & v_{12} \\ v_{21} & v_{22} \end{bmatrix} \\ &= \begin{bmatrix} u_{11} + v_{11} & u_{12} + v_{12} \\ u_{21} + v_{21} & u_{22} + v_{22} \end{bmatrix} \\ &= \begin{bmatrix} v_{11} + u_{11} & v_{12} + u_{12} \\ v_{21} + u_{21} & v_{22} + u_{22} \end{bmatrix} \\ &= \vec{v} + \vec{u} \end{aligned}$$

$$3. \quad \vec{u} + (\vec{v} + \vec{w}) = (\vec{u} + \vec{v}) + \vec{w}$$

$$4. \quad \vec{u} + 0 = \begin{bmatrix} u_{11} & u_{12} \\ u_{21} & u_{22} \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} u_{11} & u_{12} \\ u_{21} & u_{22} \end{bmatrix} = \vec{u}$$

$$\begin{aligned} 5. \quad \vec{u} + (-\vec{u}) &= \begin{bmatrix} u_{11} & u_{12} \\ u_{21} & u_{22} \end{bmatrix} + \begin{bmatrix} -u_{11} & -u_{12} \\ -u_{21} & -u_{22} \end{bmatrix} \\ &= \begin{bmatrix} u_{11} + (-u_{11}) & u_{12} + (-u_{12}) \\ u_{21} + (-u_{21}) & u_{22} + (-u_{22}) \end{bmatrix} \\ &= \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} = \vec{0} \end{aligned}$$

Similarly, you can prove all the properties of scalar multiplication. (Prove it by yourself).

So, V is a vector space.

**Example 11:** Let  $V = R^n$  and define operations on V to be the usual operations of addition and scalar multiplication.

$$\begin{aligned} \vec{u} + \vec{v} &= (u_1, u_2, u_3, \dots, u_n) + (v_1, v_2, v_3, \dots, v_n) \\ &= (u_1 + v_1, u_2 + v_2, \dots, u_n + v_n) \end{aligned}$$

$$\& \quad k\vec{u} = (ku_1, ku_2, ku_3, \dots, ku_n)$$

Then V is vector space.

**Example 12:** Let V be the set of polynomials of the form

$$P(t) = a_n t^n + a_{n-1} t^{n-1} + \dots + a_1 t + a_0.$$

Determine whether V is a vector space or not under the usual operations of addition and scalar multiplication?

### THEOREM 4.1.1

Let  $V$  be a vector space,  $\mathbf{u}$  a vector in  $V$ , and  $k$  a scalar; then:

- (a)  $0 \mathbf{u} = \mathbf{0}$
- (b)  $k \mathbf{0} = \mathbf{0}$
- (c)  $(-1)\mathbf{u} = -\mathbf{u}$
- (d) If  $k \mathbf{u} = \mathbf{0}$ , then  $k = 0$  or  $\mathbf{u} = \mathbf{0}$ .

### Exercise 4.1

1. Let  $V$  be the set of all ordered pairs of real numbers, and consider the following addition and scalar multiplication operations on  $\mathbf{u} = (u_1, u_2)$  and  $\mathbf{v} = (v_1, v_2)$ :

$$\mathbf{u} + \mathbf{v} = (u_1 + v_1, u_2 + v_2), \quad k\mathbf{u} = (0, ku_2)$$

- (a) Compute  $\mathbf{u} + \mathbf{v}$  and  $k\mathbf{u}$  for  $\mathbf{u} = (-1, 2)$ ,  $\mathbf{v} = (3, 4)$  and  $k = 3$ .
- (b) In words, explain why  $V$  is closed under addition and scalar multiplication.
- (c) Since addition on  $V$  is the standard addition operation on  $\mathbb{R}^2$ , certain vector space axioms hold for  $V$  because they are known to hold for  $\mathbb{R}^2$ . Which axioms are they?
- (d) Show that Axioms 7, 8, and 9 hold.
- (e) Show that Axiom 10 fails and hence that  $V$  is not a vector space under the given operations.

**Answer:**

- (a)  $\mathbf{u} + \mathbf{v} = (2, 6)$ ,  $3\mathbf{u} = (0, 6)$
- (c) Axioms 1–5

2. Let  $V$  be the set of all ordered pairs of real numbers, and consider the following addition and scalar multiplication operations on  $\mathbf{u} = (u_1, u_2)$  and  $\mathbf{v} = (v_1, v_2)$ :

$$\mathbf{u} + \mathbf{v} = (u_1 + v_1 + 1, u_2 + v_2 + 1), \quad k\mathbf{u} = (ku_1, ku_2)$$

- (a) Compute  $\mathbf{u} + \mathbf{v}$  and  $k\mathbf{u}$  for  $\mathbf{u} = (0, 4)$ ,  $\mathbf{v} = (1, -3)$ , and  $k = 2$ .
- (b) Show that  $(0, 0) \neq \mathbf{0}$ .
- (c) Show that  $(-1, -1) = \mathbf{0}$ .
- (d) Show that Axiom 5 holds by producing an ordered pair  $-\mathbf{u}$  such that  $\mathbf{u} + (-\mathbf{u}) = \mathbf{0}$  for  $\mathbf{u} = (u_1, u_2)$ .
- (e) Find two vector space axioms that fail to hold.

### True-False Exercises

In parts (a)–(e) determine whether the statement is true or false, and justify your answer.

- (a) A vector is a directed line segment (an arrow).
- (b) A vector is an  $n$ -tuple of real numbers.
- (c) A vector is any element of a vector space.
- (d) There is a vector space consisting of exactly two distinct vectors.
- (e) The set of polynomials with degree exactly 1 is a vector space under the operations defined in Exercise 12.