







Towards Facilitating Empathic Conversations in Online Mental Health Support: A Reinforcement Learning Approach



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Online Mental Health Support Platforms

Mental health care is a global challenge

Most countries have less than 1 psychiatrist per 100k individuals

- Widespread shortages of workforce
- Limited in-treatment options

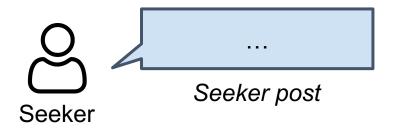
Online peer-support platforms can help!

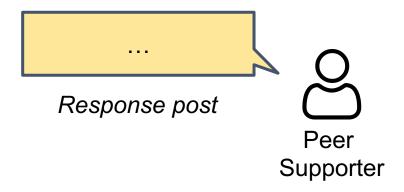






Millions of users **seek** and **provide support** through **conversations** online



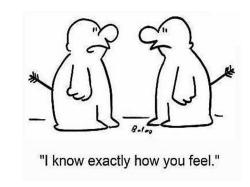


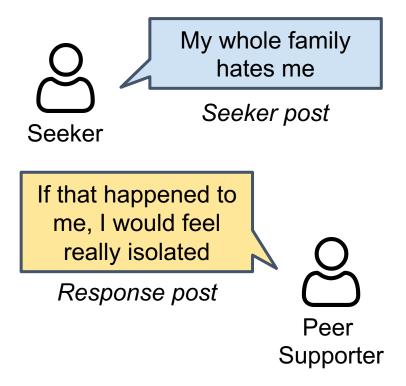
Empathy

Empathy: The ability to **understand** or **feel** the emotions and experiences of others

High empathy interactions

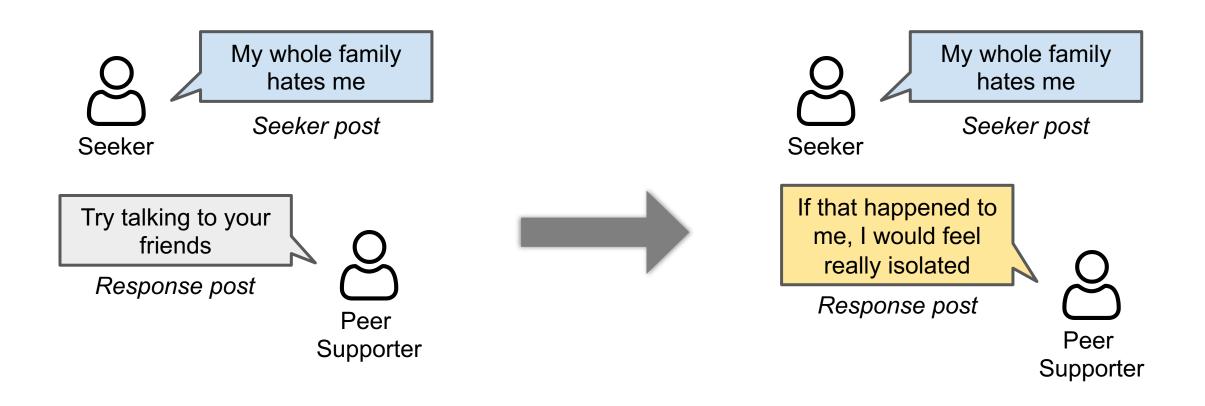
- Strong associations with symptom improvement in mental health (<u>Elliot et al.</u>, 2011)
- Received positively by users on online peersupport platforms (<u>Sharma et al., 2020</u>)





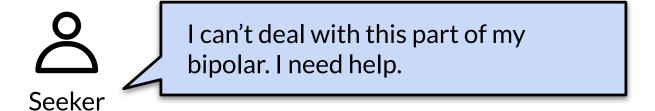
Empathic interaction

Highly empathic conversations are rare (Sharma et al., 2020)!



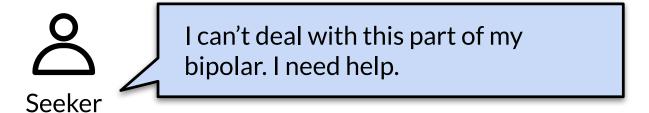
Key Question: How can we **improve empathy** in peer-to-peer support?

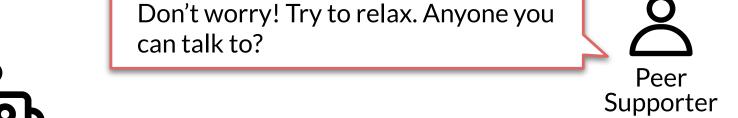
Empathic Rewriting: Computationally transform low-empathy conversational posts to higher empathy

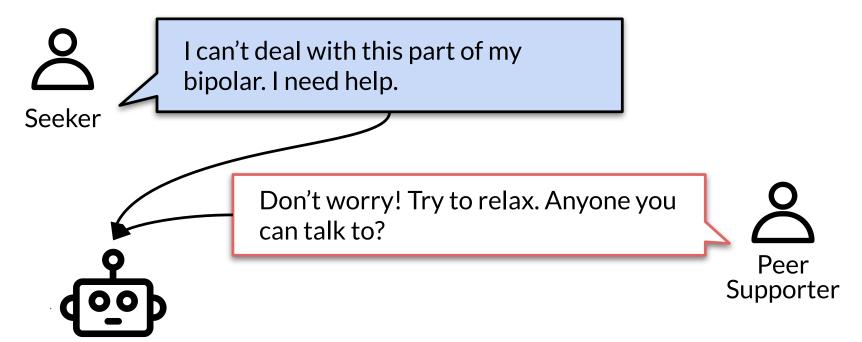


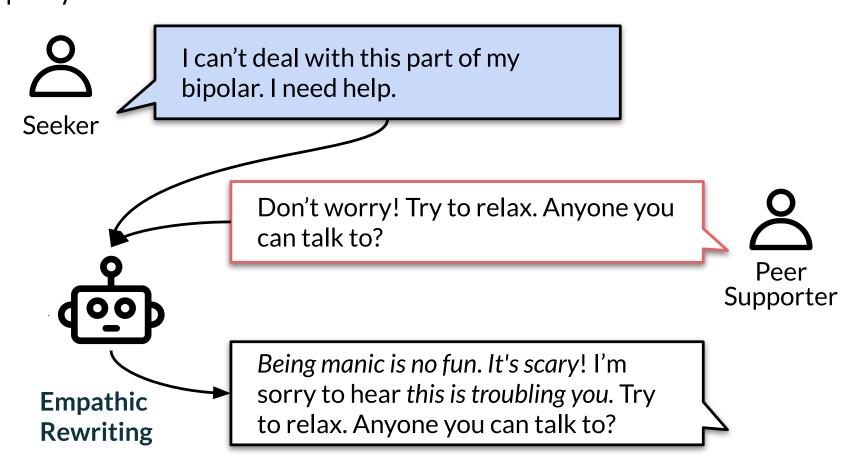
Don't worry! Try to relax. Anyone you can talk to?

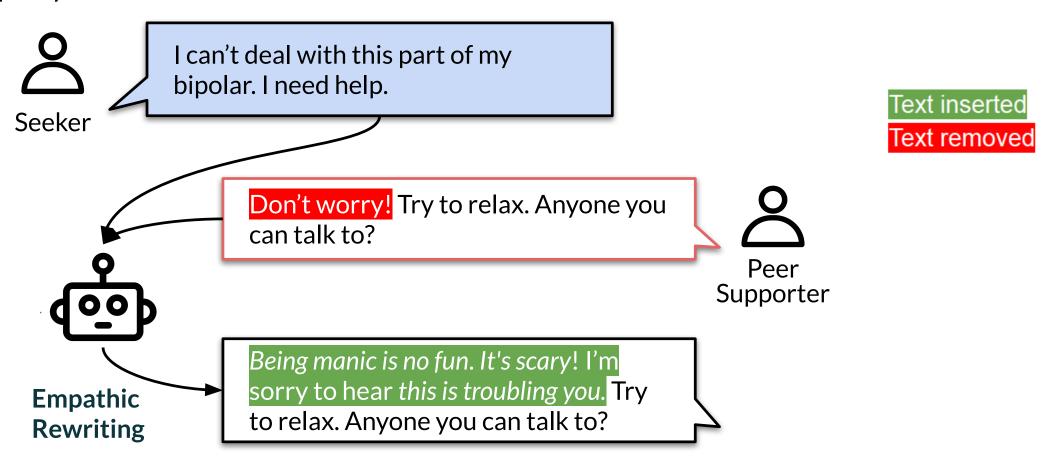










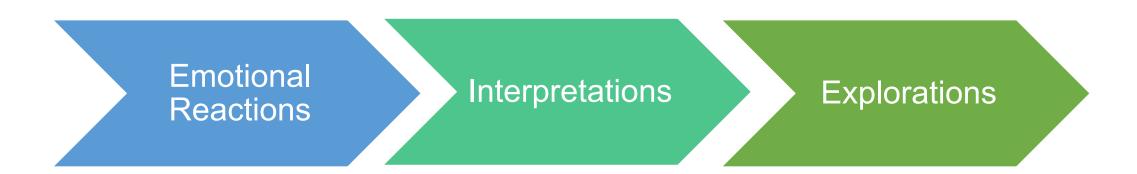


Challenges: Empathy is complex

Empathy is complex, conceptually-nuanced, multi-dimensional

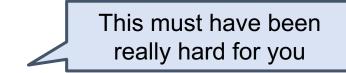
- Much more than sympathy or reacting with positive sentiment
- Clinically relevant perspective Understanding of hidden feelings and experiences

Theoretically-grounded framework of empathy (Sharma et al., 2020)



Challenges: Why existing approaches fail?

Can we transform every response to a generic, empathic response?



- May not be specific to the emotions and experiences
- o Affects **response diversity** on the platform (<u>Althoff et al., 2016</u>)
- Style transfer approaches may not work!
 - Requires changes beyond simple word-level transformations

Sentiment Transfer "The movie was bad" ——— "The movie was good"

Empathic Rewriting "Being manic is no fun. It's scary! I'm sorry to hear ..." (3 new sentences)

- No parallel dataset exists and creating one is expensive
 - o We will need domain-experts!

Empathic Rewriting: Key Contributions and Insights

We design a **Reinforcement Learning** model for empathic rewriting

- Sentence-level edits using transformer LM
- Leverage theoretically-grounded empathy framework
- Carefully-constructed **reward functions** for ensuring fluency, specificity, and diversity
- Don't need a ground truth dataset of rewritings!

We effectively increase empathy in conversations while maintaining fluency, specificity, and diversity



than baseline methods (BART, DialoGPT, MIME, ...)

Dataset

TalkLife Dataset

- 10.9M seeker posts
- 26.9M response posts
- 642K users

Curate mental health-related

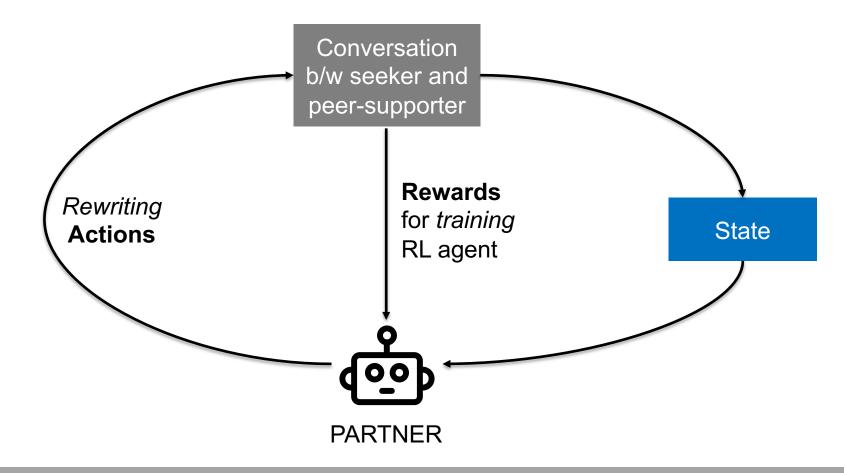
- TalkLife hosts a large no. of social media interactions ("Happy mother's day")
- Curated mental health-related conversations using a BERT-based classifier
- 1.48M seeker posts and 3.33M response posts

Computational labeling with empathy

- Label with empathy using the empathy classifier developed by <a>Sharma et al., <a>2020
- Used for a supervised warm-start training of our RL model

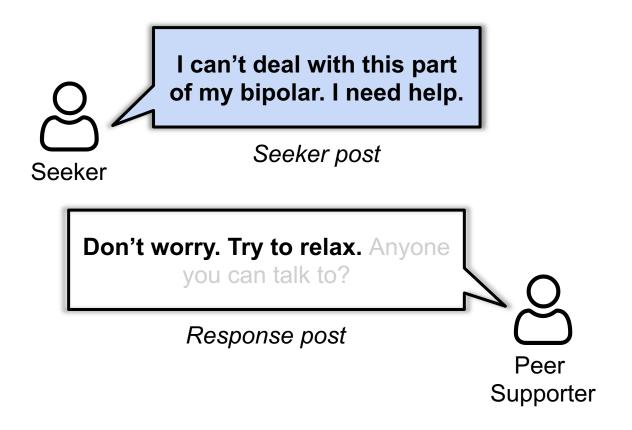
PARTNER: Empathic Rewriting using Reinforcement Learning (RL)

PARTNER is an **RL agent** for the task of empathic rewriting



PARTNER: State

Seeker post & Fixed-length contiguous spans of response post



Sentence-level edits

- Insert empathic sentences
- Replace with empathic sentences

[Action 1] Select a position in the response span for insertion or replacement

[Action 2] Generate candidate empathic sentences

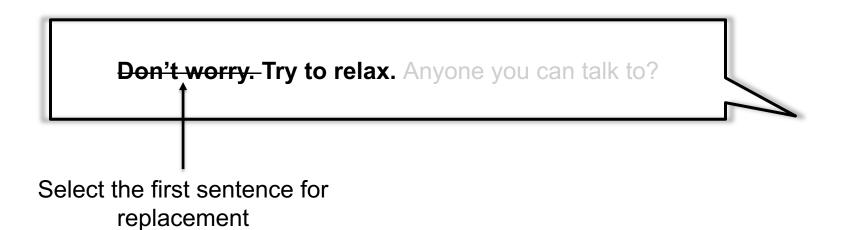
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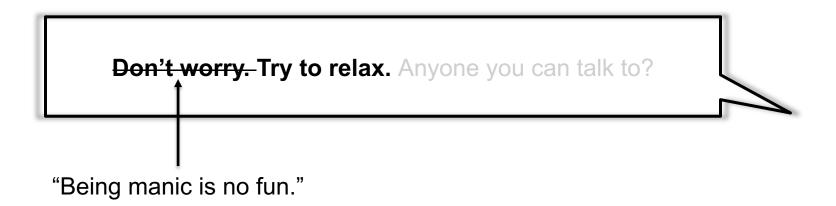


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Sentence-level edits

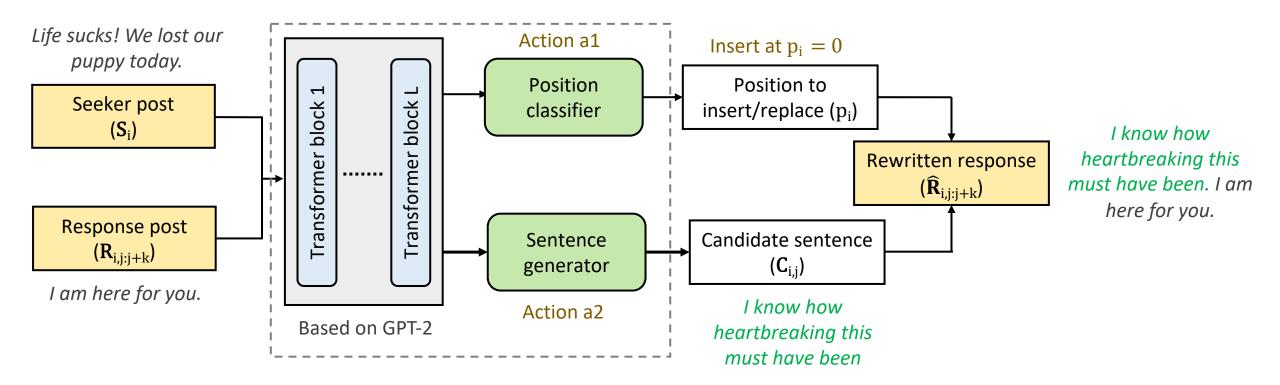
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[Action 1] Generate candidate empathic sentences

Being manic is no fun. Try to relax. Anyone you can talk to?

PARTNER: Policy



PARTNER: Rewards

Ensuring highly empathic rewritings while maintaining fluency, specificity, and diversity

(1) Change in empathy

- Empathy of the rewritten response –
 Empathy of the original response
- Empathy scores b/w 0 to 6 based on a theoretically-grounded empathy framework (<u>Sharma et al., 2020</u>)

(2) Text fluency

 Measured using Perplexity of the rewritten response

$$r_f = p_{\rm LM} \left(\hat{\mathbf{R}}_{\rm i} \right)^{(1/N)}$$

(3) Sentence coherence

 Average sentence coherence probability between a candidate sentence and existing sentences in the response.

(4) Mutual information for specificity and diversity

$$r_m = \lambda_{\text{MI}} * \log \overrightarrow{p} \left(\hat{\mathbf{R}}_{\text{i}} | \mathbf{S}_{\text{i}} \right) + (1 - \lambda_{\text{MI}}) * \log \overleftarrow{p} \left(\mathbf{S}_{\text{i}} | \hat{\mathbf{R}}_{\text{i}} \right)$$

Experiments



Transformer LM initialized using pre-trained weights of **DialoGPT**



Warm-start supervised training using the dataset with computationally labeled empathy



Evaluation through a combination of automatic and human evaluation

Results: Automatic Evaluation

Automatic Metrics

- Change in empathy
- Perplexity
- Sentence coherence
- Specificity
- Diversity
- Edit rate

	PARTNER	1.6410
Seq-to-Seq	Latent Seq.	0.9745
Generation	BART	-0.0611
Dialogue	DialoGPT	0.4698
Generation	MIME	1.2069
	Model	Change in empathy (†)

35%+ more empathy than baseline methods

PARTNER outperforms baselines in empathy improvement and generates fluent, specific, and diverse outputs with lower edits

Human Evaluation

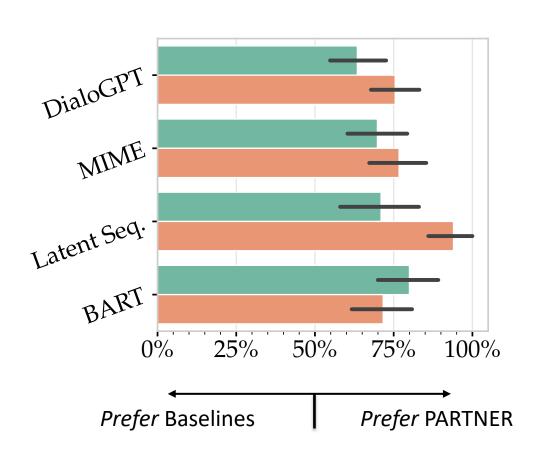
We recruit **six graduate students in clinical psychology** with expertise in empathy and mental health support

A/B Testing: Compare PARTNER outputs against baseline models

Choose the output which is more (a) empathic, (b) fluent, and (c) specific

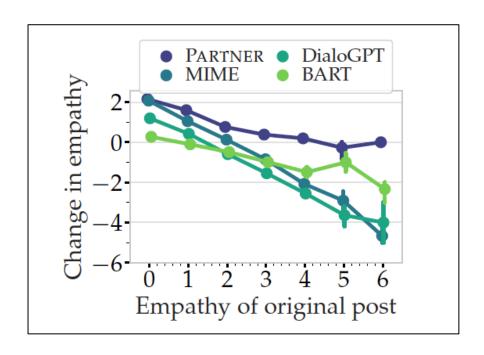
Results: Human Evaluation





PARTNER is preferred over baselines in empathy and specificity

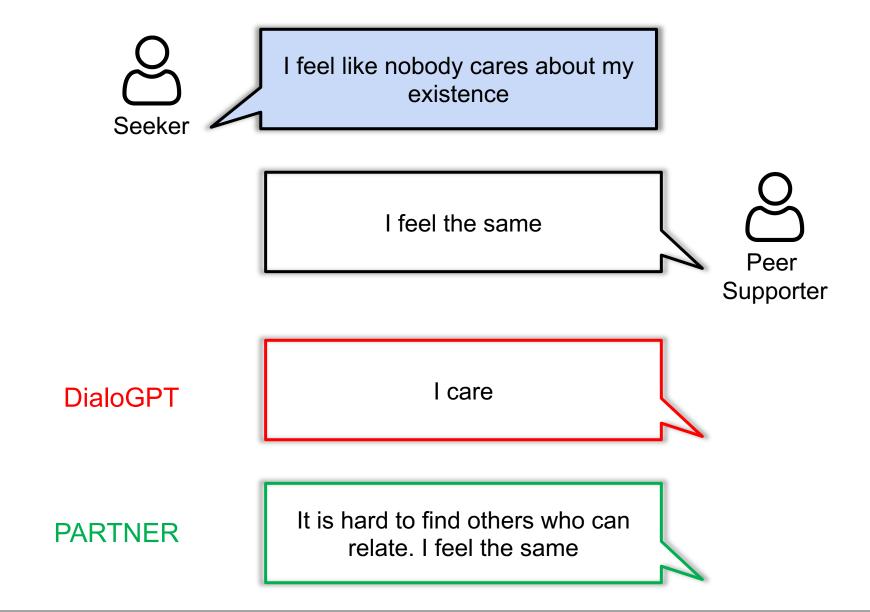
Results: Adaptability



PARTNER adapts well to different kind of responses

- Improves non-empathic responses by +2 empathy points
- Does not make an already empathic response worse

Example Output



Towards Facilitating Empathic Conversations in Online Mental Health: Summary

Empathic conversations are crucial for effective online mental health support, but **empathy is expressed rarely** online

How can we **facilitate empathic conversations**?

- New Task: Empathic Rewriting for computationally transforming low-empathy conversations to higher empathy
- PARTNER: Reinforcement Learning agent for empathic rewriting
- PARTNER effectively increases empathy in conversations while maintaining fluency, specificity, and diversity, as demonstrated by automatic and human evaluation

This task can be used for **giving intelligent, actionable feedback** to users with concrete, context-specific, diverse suggestions!

Thank You!

Codes and models available at: bdata.uw.edu/empathy



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