# [EMNLP2020] Event Extraction by Answering (Almost) Natural Questions

Xinya Du and Claire Cardie Department of Computer Science Cornell University Ithaca, NY, USA

汇报人: 李东阳

## Input:

As part of the 11-billion-dollar sale of USA Interactive's film and television operations to the French company and its parent company in December 2001, USA Interactive received 2.5 billion dollars in preferred shares in

Vivendi Universal Entertainment.

## Extracted Event:

Even	at type	Transaction- Transfer-Ownership
Trigg	ger	"sale"
•	Buyer	"French company", "parent company"
Args.	Seller	"USA Interactive"
	Artifact	"operations"
	Place	-

## **Motivations**

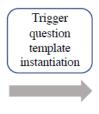
- 1. Heavily rely on entity recognition, causing the problem of error propagation.
  - identify entities and their general semantic class.
  - assign argument roles to each entity.
- 2.Inability to exploit the similarities of related argument roles across event types.
  - zero-shot scenario

## Contributions

- 1. Formulating event extraction tasks a question answering (QA)/machine reading comprehension (MRC) task.
- 2. Treating event extraction as QA overcomes the weaknesses in existing methods.
  - No entity annotation and no entity recognition; argument extraction is performed as an end-to-end task.
  - Permits the transfer of argument extraction knowledge across semantically related argument roles.
  - Rule-based question generation strategies.
- 3. Our framework extends to the zero-shot setting.

#### Input sentence:

As part of the 11-billion-dollar sale of USA Interactive's film and television operations ...



Buyer

[CLS] the action [SEP] As part of ... sale of ... film and television operations ...

BERT OA model for trigger extraction

Argument

question

template

instantiation

As part of ... sale of ... film and television operations to the French company and its parent company ...

Detected event:

Type: Transaction-Transfer-Ownership,

Triggered by: sale

'French company", "parent company", Buyer "USA Interactive" Seller "USA Interactive" Artifact "operations" Applying

Seller "USA Interactive" Artifact "operations" Place | "USA"

"French company",

"parent company",

"USA Interactive"

BERT OA model for argument extraction

Artifact: [CLS] What was bought in sale? Seller: [CLS] Who is the selling agent in sale? Place: [CLS] Where the event

Buyer: [CLS] Who is the buying

agent in sale?

[SEP] <input sentence>

dynamic Place "USA" threshold to keep only top arguments

takes place in sale?

Input sequences:

[CLS] <question> [SEP] <sentence> [SEP]

# **Question Generation Strategies:**

- Event trigger detection: we experiment with a set of four
  - fixed templates "what is the trigger", "trigger", "action", "verb".
  - the input sequence:

[CLS] action [SEP] As part of the 11-billion-dollar sale ... [SEP].

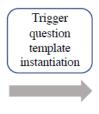
# **Question Generation Strategies:**

- Event argument extraction:
  - Template 1 (Role Name)
  - Template 2 (Type + Role)--we first determine the argument role's general semantic type.
    - eg. <WH word> is the <role name>?
  - Template 3 (Incorporating Annotation Guidelines)--we utilize the descriptions of each argument role provided in the ACE annotation guidelines for events.
  - + "in <trigger>"--<WH\_word> is the <argument> in <trigger>?

Argument	Template 1 (Role name)	Template 2 (Type + Role question)	Template 3 (Annotation guideline question)
	(Koic name)	(Type + Role question)	(Almotation guideline question)
Artifact	artifact	What is the artifact?	What is being transported?
Agent	agent	Who is the agent?	Who is responsible for the transport event?
Vehicle	vehicle	What is the vehicle?	What is the vehicle used?
Origin	origin	What is the origination?	Where the transporting originated?
Destination	destination	What is the destination?	Where the transporting is directed?
	•		

#### Input sentence:

As part of the 11-billion-dollar sale of USA Interactive's film and television operations ...



Buyer

[CLS] the action [SEP] As part of ... sale of ... film and television operations ...

BERT OA model for trigger extraction

Argument

question

template

instantiation

As part of ... sale of ... film and television operations to the French company and its parent company ...

Detected event:

Type: Transaction-Transfer-Ownership,

Triggered by: sale

'French company", "parent company", Buyer "USA Interactive" Seller "USA Interactive" Artifact "operations" Applying

Seller "USA Interactive" Artifact "operations" Place | "USA"

"French company",

"parent company",

"USA Interactive"

BERT OA model for argument extraction

Artifact: [CLS] What was bought in sale? Seller: [CLS] Who is the selling agent in sale? Place: [CLS] Where the event

Buyer: [CLS] Who is the buying

agent in sale?

[SEP] <input sentence>

dynamic Place "USA" threshold to keep only top arguments

takes place in sale?

# **Question Answering Models**

trigger detection

$$\mathbf{E} = [\mathbf{e}_1, \mathbf{e}_2, ..., \mathbf{e}_N]$$
  $\mathbf{e}_1, \mathbf{e}_2, ..., \mathbf{e}_N = \mathtt{BERT}_{Tr}(e_1, e_2, ..., e_N)$   $P_{tr} = \mathtt{softmax}(\mathbf{EW}_{tr}) \in \mathbb{R}^T \times N$  event type

argument span extraction

$$\begin{aligned} \mathbf{A} &= [\mathbf{a}_1, \mathbf{a}_2, ..., \mathbf{a}_M] \\ \mathbf{a}_1, \mathbf{a}_2, ..., \mathbf{a}_M &= \texttt{BERT}_{Arg}(a_1, a_2, ..., a_M) \\ P_s(i) &= \texttt{softmax}(\mathbf{a}_i \mathbf{W}_s) \\ P_e(i) &= \texttt{softmax}(\mathbf{a}_i \mathbf{W}_e) \end{aligned}$$

the start and end offsets

## Inference with Dynamic Threshold for Argument Spans

# **Algorithm 1:** Harvesting Argument Spans Candidates

```
Input : P_s(i), where i \in \{1, ..., M\},
            P_e(i), where i \in \{1, ..., M\}
  Output: valid candidate spans for the argument role
1 for start \leftarrow 1 to M do
       for end \leftarrow 1 to M do
            if start or end not in the input sentence
 3
             then continue;
            if end - start + 1 > MaxSpanLength then
             continue:
            if P_s(start) < P_s([CLS]) or
             P_e(end) < P_e([CLS]) then continue;
            // add the valid candidate
                span to the set
            score \leftarrow P_s(start) + P_e(end);
 6
            no\_ans\_score \leftarrow P_s(1) + P_e(1) - score;
            candidates.add([start, end, no_ans_score])
 8
       end
10 end
```

# **Experiments**

Dataset: ACE 2005

event trigger√: offsets ✓ + type ✓ event argument ✓: offsets ✓ + semantic role ✓

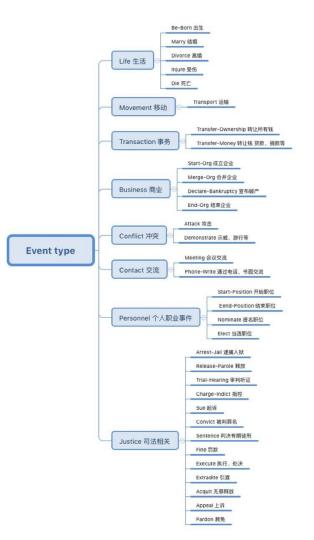


表 2-2 ACE 2005 定义的事件元素角色

### Table 2-2 Event Argument Roles Defined by ACE 2005

事件类别	事件元素角色
婚嫁(Marry)	人物 (Person), 时间 (Time), 地点 (Place)
攻击(Attack)	攻击者(Attacker),目标(Target),时间(Time),地点(Place)
受伤(Injure)	施事者(Agent),受害者(Victim),工具(Instrument), 时间(Time),地点(Place)
出生 (Be-born)	人物 (Person), 时间 (Time), 地点 (Place)
任职 (Start-Position)	人物 (Person), 时间 (Time), 机构 (Org), 地点 (Place)
会面(Meet)	人物 (Person), 持续时间 (Duration), 地点 (Place)
运输(Transport)	Origin (始发地),目的地 (Destination),物品 (Entity)
***	260 CD00-200 24-0 100 620 420.

R 74.50 71.20	F1 72.50 73.90	P 74.10 68.00 74.80	R 69.80 71.80 69.40	F1 71.90 69.80 72.00
	. 2.00	68.00	71.80	69.80
	. 2.00			-,
71.20	73.90	74.80	69.40	72.00
-	-	-	-	68.90
-	-	-	-	69.70
76.18	72.84	67.15	73.20	70.04
77.42	75.82	71.12	73.70	72.39

Table 2: Trigger detection results.

	Argument Identification		Argument ID + Classification			
	P	R	F1	P	R	F1
dbRNN (Sha et al., 2018)	-	-	57.20	_	-	50.10
Joint3EE (Nguyen and Nguyen, 2019)	-	-	-	52.10	52.10	52.10
GAIL-ELMo (Zhang et al., 2019b)	63.30	48.70	55.10	61.60	45.70	52.40
DYGIE++, BERT + LSTM (Wadden et al., 2019)	-	-	54.10	-	-	51.40
DYGIE++, BERT + LSTM ensemble (Wadden et al., 2019)	-	-	55.40	-	-	52.50
BERT_QA_Arg (annot. guideline question template)	58.02	50.69	54.11	56.87	49.83	53.12*
w/o dynamic threshold	53.39	54.69	54.03	50.81	52.78	51.77
BERT_QA_Arg (ensemble argument question template 2&3)	58.90	52.08	55.29	56.77	50.24	53.31

Table 3: Argument extraction results. \* indicates statistical significance (p < 0.05).

	•		
	P	R	F1
Random NE	26.61	24.77	25.66
GAIL Zhang et al., 2019b)	-	-	-
Our model			
w/ Role name	73.83	53.21	61.85
w/ Type + Role Q	77.18	55.05	64.26
w/ Annot. Guideline Q	78.52	59.63	67.79

Argument ID + Classification

Table 4: Evaluation on unseen argument roles.

## Future work:

It would be interesting to try incorporating broader context (e.g., paragraph/document-level context.