

**Name:** Ran Zhao  
**Student ID:** 129021

**Institution:** Claremont Graduate University  
**Print Date:** 2015-09-09

2013 Spring

## 2013 Spring

**Degrees Awarded**  
**Degree:** Dual Degree  
**Confer Date:** 2014-12-20  
**Plan:** Dual Degree MS in Financial Engineering/MS in Math

## Academic Program History

**Program:** Financial Engineering  
**2012-08-23:** Active in Program  
 Master of Science in Financial Engineering (Major)  
**Program:** Dual Degree  
**2014-09-02:** Active in Program  
 Dual Degree MS in Financial Engineering/MS in Math (Major)  
**Program:** Dual Degree  
**2014-12-20:** Completed Program  
 Dual Degree MS in Financial Engineering/MS in Math (Major)  
**Program:** Financial Engineering  
**2015-02-02:** Active in Program  
 Doctor of Philosophy in Financial Engineering (Major)  
 40 units of previous CGU coursework toward PhD/Financial Engineering

<u>Course</u>		<u>Title</u>	<u>Attempted</u>	<u>Earned</u>	<u>Grade</u>	<u>Points</u>
MATH	256	Stochastic Processes	4.000	4.000	A+	16.000
Instructor:		Qidi Peng				
MATH	260CM	Monte Carlo Methods	4.000	4.000	B+	13.200
Instructor:		Mark Huber				
MATH	352	Nonparametric & Comp Stats	4.000	4.000	A-	14.800
Instructor:		John Angus				
MATH	353	Asymptotic Meth in Stat w/Apls	4.000	4.000	A-	14.800
Instructor:		John Angus				

2013 Summer

## 2013 Summer

<u>Course</u>		<u>Title</u>	<u>Attempted</u>	<u>Earned</u>	<u>Grade</u>	<u>Points</u>
MATH	389	Discrete Math Model	4.000	4.000	A	16.000
Instructor:		Susan Martonosi				

2013 Fall

## 2013 Fall

<u>Course</u>		<u>Title</u>	<u>Attempted</u>	<u>Earned</u>	<u>Grade</u>	<u>Points</u>
MATH	268CM	Wavelets & Their Applications	0.000	0.000	AU	0.000
Instructor:		Deanna Needell				
MATH	358	Mathematical Finance	4.000	4.000	A	16.000
Instructor:		Henry Schellhorn				
MATH	361A	Numerical Methods for Finance	2.000	2.000	A	8.000
Instructor:		Henry Schellhorn				
MATH	458A	Quantitative Risk Management	2.000	2.000	B+	6.600
Instructor:		Kenneth Abbott				
MATH	458B	Optimal Portfolio Theory I	2.000	2.000	A	8.000
Instructor:		Henry Schellhorn				
MGT	402	Asset Management Practicum	4.000	4.000	A-	14.800
Instructor:		James Mills				

2014 Spring

## Beginning of Graduate Record

2012 Fall

## 2012 Fall

<u>Course</u>		<u>Title</u>	<u>Attempted</u>	<u>Earned</u>	<u>Grade</u>	<u>Points</u>
MATH	294	Adv Math for Applications	4.000	4.000	A	16.000
Instructor:		Ali Nadim				
MATH	301A	Intro to C++ Programming	2.000	2.000	A	8.000
Instructor:		Thanh Hoang				
MATH	355	Linear Statistical Models	4.000	4.000	A	16.000
Instructor:		John Angus				
MATH	368	Advanced Numerical Analysis	0.000	0.000	AU	0.000
Instructor:		Marina Chugunova				
MATH	463	Financial Time Series	4.000	4.000	A	16.000
Instructor:		Andrew Nguyen				

Name: Ran Zhao  
Student ID: 129021

### 2014 Spring

<u>Course</u>		<u>Title</u>	<u>Attempted</u>	<u>Earned</u>	<u>Grade</u>	<u>Points</u>
MATH	259PO	Advanced Topics in Statistics	4.000	4.000	A	16.000
Instructor:		Gabriel Chandler				
MATH	264HM	Scientific Computing	4.000	4.000	A	16.000
Instructor:		Ali Nadim				
MGT	383	Economics of Strategy	4.000	4.000	A+	16.000
Instructor:		Jay Prag Iv				

### 2014 Fall

#### 2014 Fall

<u>Course</u>		<u>Title</u>	<u>Attempted</u>	<u>Earned</u>	<u>Grade</u>	<u>Points</u>
MATH	365A	Comp Meth for Molecular Biolgy	4.000	4.000	B-	10.800
Instructor:		Claudia Rangel				

### 2015 Spring

#### 2015 Spring

<u>Course</u>		<u>Title</u>	<u>Attempted</u>	<u>Earned</u>	<u>Grade</u>	<u>Points</u>
MATH	361B	Credit Risk	2.000	2.000	A	8.000
Instructor:		Henry Schellhorn				
MATH	498	Indep Research (PhD Students)	2.000	2.000	S	0.000
Transcript Note:		Liquidity Model with Brownian Sheets				
Instructor:		Henry Schellhorn				

### 2015 Fall

#### 2015 Fall

<u>Course</u>		<u>Title</u>	<u>Attempted</u>	<u>Earned</u>	<u>Grade</u>	<u>Points</u>
MATH	458B	Optimal Portfolio Theory	2.000	0.000		0.000
Instructor:		Henry Schellhorn				
MATH	498	Indep Research (PhD Students)	4.000	0.000		0.000
Transcript Note:		Research on Liquidity Model				
Instructor:		Henry Schellhorn				

### Graduate Career Totals

Cum GPA: 3.803 Cum Totals 74.000 68.000 66.000 251.000

### External Degrees

Central University of Finance & Economics  
Bachelor of Arts 2012-06-05

### Non-Course Milestones

Program: Dual Degree  
Master's Degree Final Approval  
Date Completed: 2014-09-16

End of \*\*\*\* UNOFFICIAL Transcript \*\*\*\*